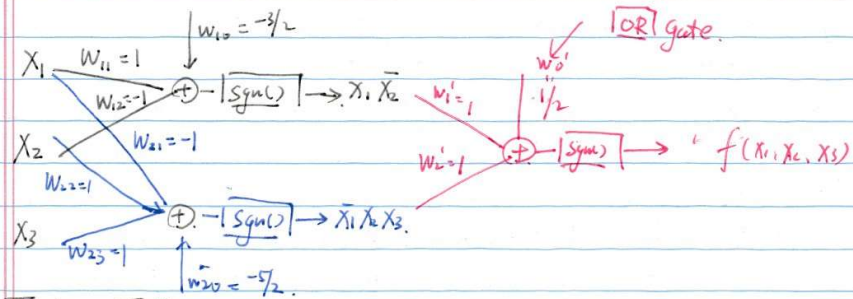


1.



Truth Table.

X_1	X_2	X_3	$X_1 \bar{X}_2$	$\bar{X}_1 X_2 X_3$	$f(X_1, X_2, X_3)$
T	T	T	F	F	F
T	T	F	F	F	F
T	F	T	T	F	T
T	F	F	T	F	T
F	T	T	F	T	T
F	T	F	F	F	F
F	F	T	F	F	F
F	F	F	F	F	F

↓

X_1	X_2	X_3	$X_1 \bar{X}_2$	$\bar{X}_1 X_2 X_3$	$f(X)$
1	1	1	-1	-1	-1
1	1	-1	-1	-1	-1
1	-1	1	1	-1	1
1	-1	-1	1	-1	1
-1	1	1	-1	1	1
-1	1	-1	-1	-1	-1
-1	-1	1	-1	-1	-1
-1	-1	-1	-1	-1	-1

For the 1st layer, we have,

$$\begin{cases} W_{10} + W_{11} + W_{12} < 0 & ; & W_{10} + W_{11} - W_{12} > 0 \\ W_{10} - W_{11} + W_{12} < 0 & & W_{10} - W_{11} - W_{12} < 0. \end{cases}$$

\exists a set of solution s.t. $W_{10} = -\frac{3}{2}, W_{11} = 1, W_{12} = -1$.

Also

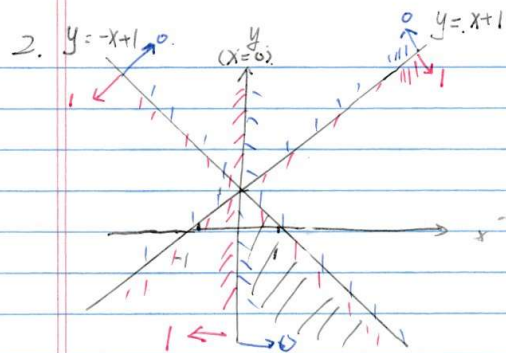
$$\begin{cases} W_{20} + W_{21} + W_{22} + W_{23} < 0 & ; & W_{20} + W_{21} + W_{22} - W_{23} < 0 \\ W_{20} + W_{21} - W_{22} + W_{23} < 0 & ; & W_{20} + W_{21} - W_{22} - W_{23} < 0 \\ W_{20} - W_{21} + W_{22} + W_{23} > 0 & ; & W_{20} - W_{21} + W_{22} - W_{23} < 0 \\ W_{20} - W_{21} - W_{22} + W_{23} < 0 & ; & W_{20} - W_{21} - W_{22} - W_{23} < 0. \end{cases}$$

\exists a set of solution s.t. $W_{20} = -\frac{5}{2}, W_{21} = 1, W_{22} = 1, W_{23} = 1$

For 2nd layer.

$$\begin{cases} W_0' + W_1' - W_2' < 0 & ; & W_0' + W_1' - W_2' > 0 \\ W_0' - W_1' + W_2' > 0. \end{cases}$$

\exists a set of solutions: $W_0' = \frac{1}{2}, W_1' = 1, W_2' = 1$.



From the 1st layer, we can get 3 decision boundaries.

$$\begin{cases} y = x + 1 & < 1 > \\ y = -x + 1 & < 2 > \\ x = 0 & < 3 > \end{cases}$$

and like in the above graph, the "1" region (including the boundaries) is sketched in red, "0" region is sketched in blue.

In the 2nd layer, to make $z = 1$, the 3 outputs from the 1st layer need to be $\{1, 1, 0\}$. Hence, the target region is actually the region at the "1" side w.r.t. $< 1 >$ and $< 2 >$, but on the "0" side for $< 3 >$.

and it's black in black in the above figure.

CS 559 Hwk 1

September 21, 2017

Q.3

```
In [1]: import numpy as np
import matplotlib.pyplot as plt
```

```
In [2]: np.random.seed(42)
```

(b) Pick (your code should pick it) w_0 uniformly at random on $[1/4, 1/4]$.

(c) Pick w_1 uniformly at random on $[1, 1]$.

(d) Pick w_2 uniformly at random on $[1, 1]$.

```
In [3]: # (b), (c), (d)
w = np.zeros(3)
w[0] = np.random.uniform(-.25, .25)
w[1] = np.random.uniform(-1, 1)
w[2] = np.random.uniform(-1, 1)
```

(e) Write in your report the numbers $[w_0, w_1, w_2]$ you have picked.

```
In [4]: # (e)
w
```

```
Out[4]: array([-0.06272994,  0.90142861,  0.46398788])
```

(f) Pick $n = 100$ vectors x_1, \dots, x_n independently and uniformly at random on $[1, 1]^2$, call the collection of these vectors S .

```
In [5]: # (f)
S = np.random.uniform(-1, 1, (100, 2))
```

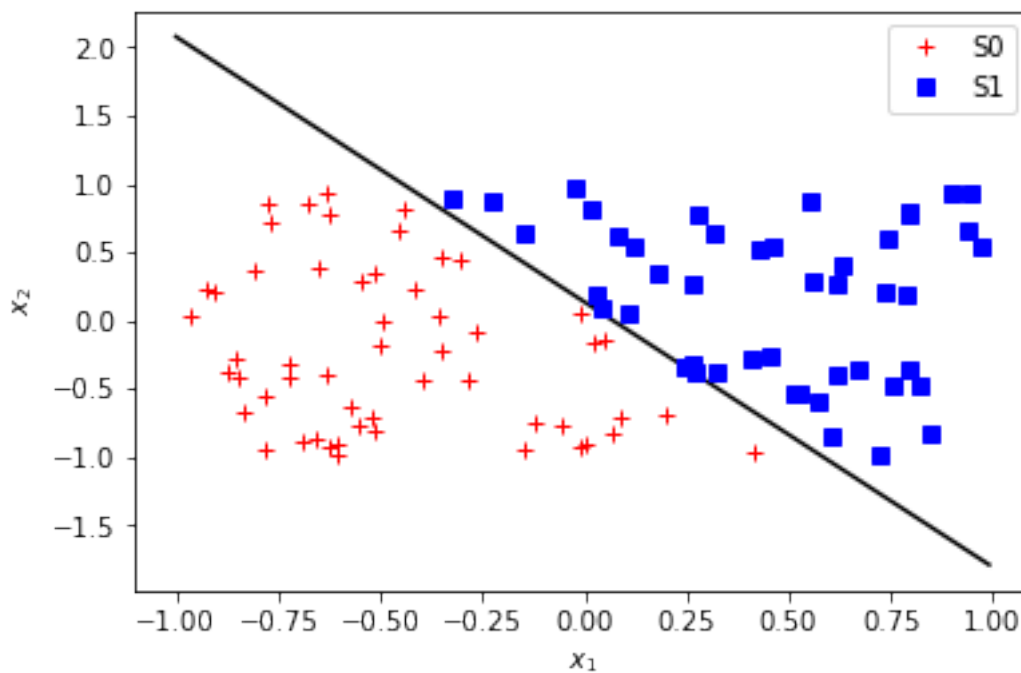
(g) Let $S_1 \subseteq S$ denote the collection of all $x = [x_1 \ x_2]^T$ satisfying $[1 \ x_1 \ x_2][w_0 \ w_1, w_2]^T \geq 0$.

(h) Let $S_0 \subseteq S$ denote the collection of all $x = [x_1 \ x_2]^T$ satisfying $[1 \ x_1 \ x_2][w_0 \ w_1, w_2]^T < 0$.

```
In [6]: # (g) (h)
Sone = np.hstack((np.ones((100, 1)), S))
temp = Sone.dot(w) >= 0
Sone_labeled = np.hstack((Sone, temp.reshape((100, 1))))
S1 = Sone_labeled[Sone_labeled[:, 3] == 1, 1:3]
S0 = Sone_labeled[Sone_labeled[:, 3] != 1, 1:3]
```

(i) In one plot, show the line $w_0 + w_1x_1 + w_2x_2 = 0$, with x_1 being the “x-axis” and x_2 being the “y-axis.” In the same plot, show all the points in S_1 and all the points in S_0 . Use different symbols for S_0 and S_1 . Indicate which points belong to which class. Given the ω , x_1 serves as the x-axis and x_2 serves as the y-axis, we should have the boundary line like: $y = -\frac{\omega_1}{\omega_2}x - \frac{\omega_0}{\omega_2}$.

```
In [36]: # (i)
x = np.arange(-1,1,0.01)
plt.plot(x,-x*w[1]/w[2]-w[0]/w[2], 'k-')
ps0 = plt.plot(S0[:,0],S0[:,1], 'r+', label = 'S0')
ps1 = plt.plot(S1[:,0],S1[:,1], 'bs', label = 'S1')
plt.xlabel('$x_1$')
plt.ylabel('$x_2$')
plt.legend()
plt.show()
```



(j) Use the perceptron training algorithm to find the weights that can separate the two classes S_0 and S_1 .

i. Use the training parameter $\eta = 1$.

```
In [231]: ## (j)
# [i]
np.random.seed(44)
eta = 1
```

ii. Pick w_0 , w_1 , w_2 independently and uniformly at random on $[1, 1]$. Write them in your report.

```
In [232]: # [ii]
wprime0 = np.zeros(3)
wprime0[0] = np.random.uniform(-1,1)
wprime0[1] = np.random.uniform(-1,1)
wprime0[2] = np.random.uniform(-1,1)
wprime0

Out[232]: array([ 0.6696843 , -0.79040779,  0.48928096])
```

iii. Record the number of misclassifications if we use the weights $[w_0, w_1, w_2]$.

```
In [233]: # [iii]
S0one = np.hstack((np.ones((np.shape(S0)[0],1)),S0))
S1one = np.hstack((np.ones((np.shape(S1)[0],1)),S1))
count0 = np.sum(S0one.dot(wprime0)>=0) + np.sum(S1one.dot(wprime0)<0)
count0

Out[233]: 63
```

iv. After one epoch of the perceptron training algorithm, you will find a new set of weights $[w_0, w_1, w_2]$.

```
In [234]: # [iv]
wtemp = wprime0
for i in range(0,100):
    wtemp += eta * Sone_labeled[i,0:3]* (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3],0))
    # result from epoch 1
wprime1 = wtemp
wprime1

Out[234]: array([-0.3303157 ,  3.29102147,  0.5143063 ])
```

v. Record the number of misclassifications if we use the weights $[w_0, w_1, w_2]$.

```
In [235]: # [v]
count1 = np.sum(S0one.dot(wprime1)>=0) + np.sum(S1one.dot(wprime1)<0)
count1

Out[235]: 6
```

vi. Do another epoch of the perceptron training algorithm, find a new set of weights, record the number of misclassifications, and so on, until convergence.

```
In [236]: # [vi]
# epoch 2
wtemp = wprime1
```

```

    for i in range(0,100):
        wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[
# result from epoch 2
wprime2 = wtemp
wprime2

Out[236]: array([-0.3303157 ,  3.81725553,  1.77925678])

In [237]: # record the number of misclassifications after epoch 2
count2 = np.sum(S0one.dot(wprime2)>=0) + np.sum(S1one.dot(wprime2)<0)
count2

Out[237]: 1

In [238]: # write a loop to run PTA until converge(NO more misclassification)
itern = 7
count = np.empty((1,itern+1))
count[:,0] = count0
wall = np.empty((3,itern+1))
wall[:,0] = wprime0.reshape((1,3))

for k in range(0,itern):
    wtemp = wall[:,k]
    for i in range(0,100):
        wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labe

    counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
    wall[:,k+1] = wtemp
    count[:,k+1] = counttemp

```

vii. Write down the final weights you obtain in your report. How does these weights compare to the “optimal” weights $[w_0, w_1, w_2]$? We know that the creterion of convergence in this situation is the count of misclassification becomes 0. So we show the

```

In [239]: count_eta_1 = count
count_eta_1

Out[239]: array([[ 63.,   0.,   0.,   0.,   0.,   0.,   0.,   0.]])

```

Thus, we just get the latest epoch’s weights as our final weights.

```

In [240]: # final weights
w_final_1 = wall[:,-1]
w_final_1

Out[240]: array([-0.3303157 ,  4.14741448,  2.01640985])

In [241]: # compare the final weights with the optimal weights
w_final_1 == w

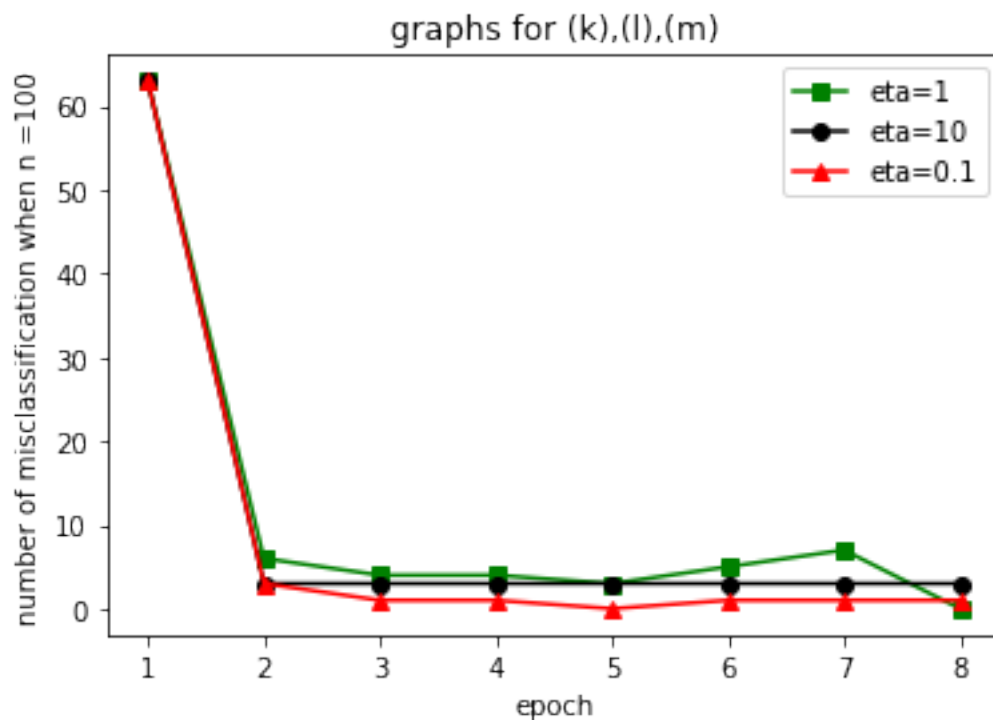
```

```
Out[241]: array([False, False, False], dtype=bool)
```

Clearly, the final weights are different to the optimal weights. But they could be the same if we manipulate the random seed. After all, the two classes of points can be linearly separated in more than one way, so there is not a unique weight in terms of merely no misclassification

(k) Regarding the previous step, draw a graph that shows the epoch number vs the number of mis- classifications.

```
In [250]: x = np.arange(1,(itern+2),1)
plt.plot(x,count_eta_1[0], 'gs-',label = 'eta=1')
plt.plot(x,count_eta_10[0], 'ko-',label = 'eta=10')
plt.plot(x,count_eta_01[0], 'r^-',label = 'eta=0.1')
plt.xlabel('epoch')
plt.ylabel('number of misclassification when n =100')
plt.title('graphs for (k),(l),(m)')
plt.legend()
plt.show()
```



(l) Repeat the same experiment with $\eta = 10$. Do not change $w_0, w_1, w_2, S, w_0, w_1, w_2$. As in the case $\eta = 1$, draw a graph that shows the epoch number vs the number of misclassifications.

```
In [242]: # write a loop to run PTA until converge(NO more misclassification)
eta = 10
```



```

itern = 7
count = np.empty((1,itern+1))
count[:,0] = count0
wall = np.empty((3,itern+1))
wall[:,0] = wprime0.reshape((1,3))

for k in range(0,itern):
    wtemp = wall[:,k]
    for i in range(0,100):
        wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3],0))

    counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
    wall[:,k+1] = wtemp
    count[:,k+1] = counttemp

# see the mis-classified count
count_eta_10 = count
count_eta_10

```

Out[242]: array([[63., 2., 1., 0., 0., 0., 0., 0.]])

In [243]: *# get the final weights*
w_final_10 = wall[:,-1]
w_final_10

Out[243]: array([-0.3303157 , 26.4552763 , 13.31221826])

(m) Repeat the same experiment with $\eta = 0.1$. Do not change $w_0, w_1, w_2, S, w_0, w_1, w_2$. As in the case $\eta = 1$, draw a graph that shows the epoch number vs the number of misclassifications.

In [244]: *# write a loop to run PTA until converge(NO more misclassification)*
eta = 0.1
itern = 7
count = np.empty((1,itern+1))
count[:,0] = count0
wall = np.empty((3,itern+1))
wall[:,0] = wprime0.reshape((1,3))

for k in range(0,itern):
 wtemp = wall[:,k]
 for i in range(0,100):
 wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3],0))

 counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
 wall[:,k+1] = wtemp
 count[:,k+1] = counttemp

see the mis-classified count

```
count_eta_01 = count
count_eta_01
```

```
Out[244]: array([[ 63.,   0.,   0.,   0.,   0.,   0.,   0.,   0.]])
```

(n) Comment on how the changes in η effect the number of epochs needed until convergence. Given the random seed, different choices between the learning rates do not post any practical differences in the covering speed. However, in practice, if we are working with more complicated networks, choosing a not too large or too small is very important. If the learning rate is too high, we might have a hard time of finding a converging point. Whilst, if the learning rate is set too low, we are likely to be "trapped" in local minima and have a extreme long converging process.

(o) Comment on whether we would get the exact same results (in terms of the effects of η on training performance) if we had started with different $w_0, w_1, w_2, S, w_0, w_1, w_2$. When the random seed changes, which flips how the weights and data are initilized, dramatic changes on the final weights choices, converging speed across different η s should be expected. This is sensible given there exists infinit many of weights that can get the classification job done.

(p) Do the same experiments with $n = 1000$ samples. Comment on the differences compared to $n = 100$.

```
In [249]: np.random.seed(42)
          S = np.random.uniform(-1,1,(1000, 2))
          Sone = np.hstack((np.ones((1000,1)),S))
          temp = Sone.dot(w)>=0
          Sone_labeled = np.hstack((Sone,temp.reshape((1000,1))))
          S1 = Sone_labeled[Sone_labeled[:,3]==1,1:3]
          S0 = Sone_labeled[Sone_labeled[:,3]!=1,1:3]
          np.random.seed(44)
          wprime0 = np.zeros(3)
          wprime0[0] = np.random.uniform(-1,1)
          wprime0[1] = np.random.uniform(-1,1)
          wprime0[2] = np.random.uniform(-1,1)
          # write a loop to run PTA until converge(NO more misclassification)
          eta = 1
          itern = 7
          count = np.empty((1,itern+1))
          count[:,0] = count0
          wall = np.empty((3,itern+1))
          wall[:,0] = wprime0.reshape((1,3))

          for k in range(0,itern):
              wtemp = wall[:,k]
              for i in range(0,100):
                  wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3]-1,0))

              counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
              wall[:,k+1] = wtemp
```

```

        count[:,k+1] = counttemp
count_eta_1 = count
w_final_1 = wall[:, -1]
# write a loop to run PTA until converge(NO more misclassification)
eta = 10
itern = 7
count = np.empty((1,itern+1))
count[:,0] = count0
wall = np.empty((3,itern+1))
wall[:,0] = wprime0.reshape((1,3))

for k in range(0,itern):
    wtemp = wall[:,k]
    for i in range(0,100):
        wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3],0))

        counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
        wall[:,k+1] = wtemp
        count[:,k+1] = counttemp
# see the mis-classified count
count_eta_10 = count
# get the final weights
w_final_10 = wall[:, -1]
# write a loop to run PTA until converge(NO more misclassification)
eta = 0.1
itern = 7
count = np.empty((1,itern+1))
count[:,0] = count0
wall = np.empty((3,itern+1))
wall[:,0] = wprime0.reshape((1,3))

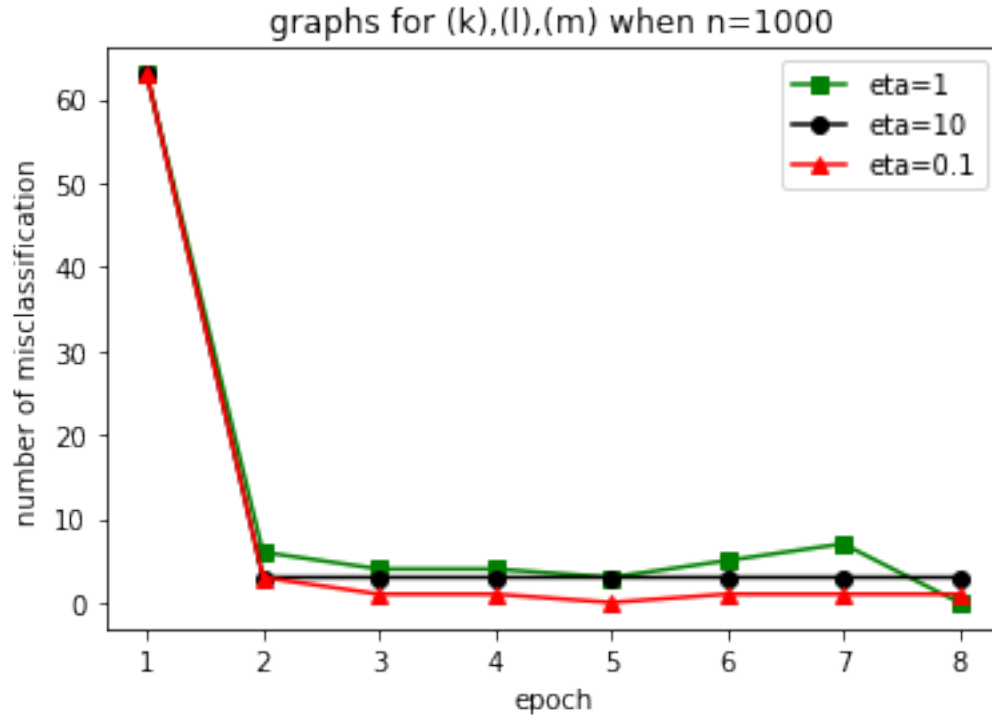
for k in range(0,itern):
    wtemp = wall[:,k]
    for i in range(0,100):
        wtemp += eta * Sone_labeled[i,0:3] * (Sone_labeled[i,3]-np.heaviside(Sone_labeled[i,3],0))

        counttemp = np.sum(S0one.dot(wtemp)>=0) + np.sum(S1one.dot(wtemp)<0)
        wall[:,k+1] = wtemp
        count[:,k+1] = counttemp

# see the mis-classified count
count_eta_01 = count
w_final_01 = wall[:, -1]
x = np.arange(1,(itern+2),1)
plt.plot(x,count_eta_1[0], 'gs-', label = 'eta=1')
plt.plot(x,count_eta_10[0], 'ko-', label = 'eta=10')
plt.plot(x,count_eta_01[0], 'r^-', label = 'eta=0.1')
plt.xlabel('epoch')

```

```
plt.ylabel('number of misclassification')
plt.title('graphs for (k),(l),(m) when n=1000')
plt.legend()
plt.show()
```



By setting the same random seeds, we can guarantee that the weight vectors are initialized exactly the same as they were in $n=100$ case. From the above plot, we can clearly observe the converging speed slows down due to the increased sample size. And different η values causes more tangible disparities in their convergence and final weight values. As a result, we generally need more epochs to find the converging point to deal with the increased sample size.