

# Referring Expressions as Formulas of Description Logic

**Carlos Areces**

*INRIA Nancy Grand Est  
Nancy, France*

ARECES@LORIA.FR

**Alexander Koller**

*Saarland University  
Saarbrücken, Germany*

KOLLER@COLI.UNI-SAARLAND.DE

**Kristina Striegnitz**

*Union College  
Schenectady, NY, USA*

STRIEGNK@UNION.EDU

## Abstract

In this paper, we propose to reinterpret the problem of generating referring expressions (GRE) as the problem of computing a formula in a description logic that is only satisfied by the referent. This view offers a new unifying perspective under which existing GRE algorithms can be compared. We also show that by applying existing algorithms for computing simulation classes in description logic, we can obtain extremely efficient algorithms for relational referring expressions without any danger of running into infinite regress.

## 1. Introduction

The generation of referring expressions (GRE) is one of the most active and successful research areas in natural language generation. Building upon Dale and Reiter's work (Dale, 1989; Dale & Reiter, 1995), various researchers have added extensions such as reference to sets (Stone, 2000), more expressive logical connectives (van Deemter, 2002), and relational expressions (Dale & Haddock, 1991).

Referring expressions (REs) involving relations, in particular, have received increasing attention recently; especially in the context of spatial referring expressions in situated generation (e.g., Kelleher & Kruijff, 2006), where it seems particularly natural to use expressions such as “the book on the table”. However, the classical algorithm by Dale and Haddock (1991) was recently shown to be unable to generate satisfying REs in practice (Viethen & Dale, 2006). Furthermore, the Dale and Haddock algorithm and most of its successors (such as Kelleher & Kruijff, 2006) are vulnerable to the problem of “infinite regress”, where the algorithm jumps back and forth between generating descriptions for two related individuals infinitely, as in “the book on the table which supports a book on the table ...”.

In this paper, we propose to view GRE as the problem of computing a formula of description logic (DL) that denotes exactly the set of individuals that we want to refer to. This very natural idea has been mentioned in passing before (Krahmer, van Erk, & Verleg, 2003; Gardent & Striegnitz, 2007); however, we take it one step further by proposing DL as an interlingua for comparing the REs produced by different approaches to GRE. In this way, we can organize existing GRE approaches in an expressiveness hierarchy. For instance, the classical Dale and Reiter algorithms compute purely

conjunctive formulas; van Deemter (2002) extends this language by adding the other propositional connectives, whereas Dale and Haddock (1991) extends it by allowing existential quantification.

Furthermore, the view of GRE as a problem of computing DL formulas with a given extension allows us to apply existing algorithms for the latter problem to obtain efficient algorithms for GRE. We present algorithms that compute such formulas for the description logics  $\mathcal{EL}$  (which allows only conjunction and existential quantification) and  $\mathcal{ALC}$  (which also allows negation). These algorithms effectively compute REs for all individuals in the domain at the same time, which allows them to systematically avoid the infinite regress problem. The  $\mathcal{EL}$  algorithm is capable of generating 67% of the relational REs in the Viethen and Dale (2006) dataset, in about 15 milliseconds. The  $\mathcal{ALC}$  algorithm is even faster; it computes relational REs for all 100 individuals in a random model in 140 milliseconds.

The paper is structured as follows. In Section 2, we will first define description logics. We will then show how to generate REs by computing DL similarity sets for  $\mathcal{ALC}$  and  $\mathcal{EL}$  in Section 3. In Section 4, we evaluate our algorithms and discuss our results. Section 5 compares our approach to related research; in particular, it shows how various prominent GRE algorithms fit into the DL framework. Section 6 concludes and points to future work.

## 2. Description logics and similarity

In this paper, we will represent referring expressions as formulas of description logic (Baader, McGuinness, Nardi, & Patel-Schneider, 2003). In order to make this point, we will now define the two description logics we will be working with:  $\mathcal{ALC}$  and  $\mathcal{EL}$ .

*Formulas (or concepts)  $\varphi$  of  $\mathcal{ALC}$  are generated by the following grammar:*

$$\varphi, \varphi' ::= \top \mid p \mid \neg\varphi \mid \varphi \sqcap \varphi' \mid \exists R.\varphi$$

where  $p$  is in the set of propositional symbols  $\text{prop}$ , and  $R$  is in the set of relational symbols  $\text{rel}$ .  $\mathcal{EL}$  is the negation-free fragment of  $\mathcal{ALC}$ .

Formulas of both  $\mathcal{ALC}$  and  $\mathcal{EL}$  are interpreted in ordinary relational first-order models  $\mathcal{M} = (\Delta, \|\cdot\|)$  where  $\Delta$  is a non-empty set and  $\|\cdot\|$  is an interpretation function such that:

$$\begin{aligned} \|p\| &\subseteq \Delta \text{ for } p \in \text{prop} \\ \|R\| &\subseteq \Delta \times \Delta \text{ for } R \in \text{rel} \\ \|\neg\varphi\| &= \Delta - \|\varphi\| \\ \|\varphi \sqcap \varphi'\| &= \|\varphi\| \cap \|\varphi'\| \\ \|\exists R.\varphi\| &= \{i \mid \text{for some } i', (i, i') \in \|R\| \\ &\quad \text{and } i' \in \|\varphi\|\}. \end{aligned}$$

Every formula of a description logic denotes a set of individuals in the domain; thus we can use such formulas to describe sets. For instance, in the model in Fig. 1b, the formula *flower* denotes the set  $\{f_1, f_2\}$ ; the formula *flower*  $\sqcap \exists \text{in.hat}$  denotes  $\{f_2\}$ ; and the formula *flower*  $\sqcap \neg \exists \text{in.hat}$  denotes  $\{f_1\}$ .

Different description logics differ in the inventory of logical connectives they allow: While  $\mathcal{ALC}$  permits negation,  $\mathcal{EL}$  doesn't. There are many other description logics in the literature; some that we will get back to in Section 5 are  $\mathcal{CL}$  ( $\mathcal{EL}$  without existential quantification, i.e., only conjunctions of atoms);  $\mathcal{PL}$  ( $\mathcal{ALC}$  without existential quantification, i.e., propositional logic); and  $\mathcal{ELU}_{(-)}$  ( $\mathcal{EL}$  plus disjunction and atomic negation).

Below, we will use a key notion of formula preservation that we call *similarity*. For any DL  $\mathcal{L}$ , we will say that an individual  $i$  is  $\mathcal{L}$ -similar to  $i'$  in a given model  $\mathcal{M}$  if for any formula  $\varphi \in \mathcal{L}$  such that  $i \in \|\varphi\|$ , we also have  $i' \in \|\varphi\|$ . Equivalently, there is no  $\mathcal{L}$ -formula that holds of  $i$  but not of  $i'$ . We say that the  $\mathcal{L}$ -similarity set of some individual  $i$  is the set of all individuals to which  $i$  is  $\mathcal{L}$ -similar.

Notice that similarity is not necessarily a symmetrical relation: For instance,  $f_1$  is  $\mathcal{EL}$ -similar to  $f_2$  in Fig. 1b, but  $f_2$  is not  $\mathcal{EL}$ -similar to  $f_1$  (it satisfies the formula  $\exists \text{in.hat}$  and  $f_1$  doesn't). However,  $\mathcal{ALC}$ -similarity is a symmetrical relation because the language contains negation; and indeed,  $f_1$  is not  $\mathcal{ALC}$ -similar to  $f_2$  either because it satisfies  $\neg \exists \text{in.hat}$ . Because  $\mathcal{ALC}$  is more expressive than  $\mathcal{EL}$ , it is possible for some individual  $a$  to be  $\mathcal{EL}$ -similar but not  $\mathcal{ALC}$ -similar to some individual  $b$ , but not vice versa.

### 3. Generating referring expressions

Now we apply description logic to GRE. The core claim of this paper is that it is natural and useful to view the GRE problem as the problem of computing a formula of some description logic  $\mathcal{L}$  whose extension is a given target set  $A$  of individuals.

$\mathcal{L}$ -GRE PROBLEM	
Input:	A model $\mathcal{M}$ and a target set $A \subseteq \Delta$ .
Output:	A formula $\varphi \in \mathcal{L}$ such that $\ \varphi\  = A$ (if such a formula exists).

In the examples above, it is because  $\text{flower} \sqcap \exists \text{in.hat}$  denotes exactly  $\{f_2\}$  that we can say “the flower in the hat” to refer to  $f_2$ . This perspective provides a general framework into which many existing GRE approaches fit: Traditional attribute selection (Dale & Reiter, 1995) corresponds to building DL formulas that are conjunctions of atoms; relational REs as in Dale and Haddock (1991) are formulas of  $\mathcal{EL}$ ; and so on. We will further pursue the idea of organizing GRE approaches with respect to the variant of DL they use in Section 5.

For the rest of this paper, we assume that we are generating a singular RE, i.e., the target set  $A$  will be a singleton. In this case, we will only be able to generate a formula that denotes exactly  $A = \{a\}$  (i.e., a RE that uniquely refers to  $a$ ) if there is no other individual  $b$  to which  $a$  is similar; otherwise, any formula that is satisfied by  $a$  is also satisfied by  $b$ . Conversely, if we know that  $a$  is not similar to any other individual, then there is a formula that is satisfied by  $a$  and not by anything else; this formula can serve as a unique singular RE. In other words, we can reduce the  $\mathcal{L}$ -GRE problem for a given model to the problem of computing the  $\mathcal{L}$ -similarity sets of this model. Notice that this use of similarity sets can be seen as a generalization of van Deemter’s (van Deemter, 2002) “satellite sets” to relational descriptions.

In the rest of this section, we will present algorithms that compute the similarity sets of a given model for  $\mathcal{ALC}$  and  $\mathcal{EL}$ , together with characteristic formulas that denote them. In the  $\mathcal{ALC}$  case, we adapt a standard algorithm from the literature for computing *simulation classes*; we will then further adapt this algorithm for  $\mathcal{EL}$ . In effect, both algorithms compute REs for all individuals in some model at the same time – very efficiently and without any danger of infinite regress.

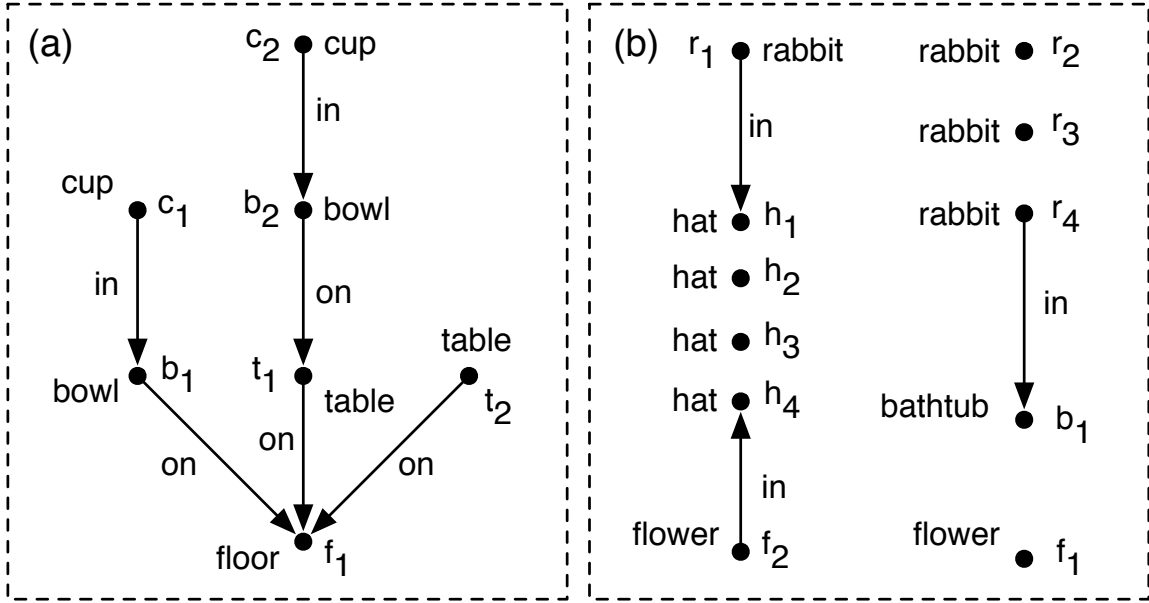


Figure 1: (a) The Dale and Haddock (1991) scenario; (b) the Stone and Webber (1998) scenario.

### 3.1 Computing similarity sets

It can be shown that for  $\mathcal{ALC}$ , the similarity sets of a finite model coincide exactly with the *simulation classes* of this model. Simulation classes have been studied extensively in the literature (see e.g., Blackburn, de Rijke, and Venema (2001); Kurtonina and de Rijke (1998)), and there are several efficient algorithms for computing  $\mathcal{ALC}$ -simulation classes (Hopcroft, 1971; Paige & Tarjan, 1987; Dovier, Piazza, & Policriti, 2004). However, these algorithms will only compute the simulation classes themselves. Here we extend the Hopcroft (1971) algorithm such that it computes, along with each set, also a formula that denotes exactly this set. We can then use these formulas as representations of the referring expressions.

The pseudocode for our  $\mathcal{ALC}$  algorithm is shown as Algorithm 1 (with  $\mathcal{L} = \mathcal{ALC}$ ) and Algorithm 2. Given a model  $\mathcal{M} = (\Delta, \|\cdot\|)$ , the algorithm computes a set  $RE$  of  $\mathcal{ALC}$  formulas such that  $\{\|\varphi\| \mid \varphi \in RE\}$  is the set of  $\mathcal{ALC}$ -similarity sets of  $\mathcal{M}$ . The algorithm starts with  $RE = \{\top\}$  (where  $\|\top\| = \Delta$ ), and successively refines  $RE$  by making its elements denote smaller and smaller sets. It maintains the invariant that at the start and end of every iteration,  $\{\|\varphi\| \mid \varphi \in RE\}$  is always a partition of  $\Delta$ . The algorithm iterates over all propositional and relational symbols in prop and rel to construct new formulas until either all formulas in  $RE$  denote singletons (i.e., there is only one individual that satisfies them), or no progress has been made in the previous iteration. In each iteration, it calls the procedure  $\text{add}_{\mathcal{ALC}}(\varphi, RE)$ , which intersects  $\varphi$  with any formula  $\psi \in RE$  which does not denote a singleton and which is not equivalent to  $\varphi$  and to  $\neg\varphi$ . In this case, it replaces  $\psi$  in  $RE$  by  $\psi \sqcap \varphi$  and  $\psi \sqcap \neg\varphi$ .

The  $\mathcal{ALC}$  algorithm computes the  $\mathcal{ALC}$ -similarity sets of the model in time  $O(n^3)$ , where  $n$  is the number of individuals in the domain. However, it will freely introduce negations in the case distinctions, which can make the resulting formula hard to realize (see also Section 4.3). This is why we also present an algorithm for the  $\mathcal{EL}$ -similarity sets;  $\mathcal{EL}$  corresponds to positive relational REs, which are generally much easier to realize.

---

**Algorithm 1:** Computing the  $\mathcal{L}$ -similarity sets
 

---

**Input:** A model  $\mathcal{M} = (\Delta, \|\cdot\|)$   
**Output:** A set  $RE$  of formulas such that  $\{\|\varphi\| \mid \varphi \in RE\}$  is the set of  $\mathcal{L}$ -similarity sets of  $\mathcal{M}$ .

```

1   $RE \leftarrow \{\top\}$ 
2  for  $p \in \text{prop}$  do
3       $\text{add}_{\mathcal{L}}(p, RE)$ 
4  while exists some  $\varphi \in RE, \|\varphi\|^{\mathcal{M}} > 1$  do
5      for  $\varphi \in RE, R \in \text{rel}$  do
6           $\text{add}_{\mathcal{L}}(\exists R.\varphi, RE)$ 
7      if made no changes to  $RE$  then
8          exit
    
```

---

We obtain the  $\mathcal{EL}$  algorithm by replacing the call to  $\text{add}_{\mathcal{ALC}}$  in Algorithm 1 by a call to  $\text{add}_{\mathcal{EL}}$ , which is defined in Algorithm 3. As before, the algorithm maintains a set  $RE = \{\varphi_1, \dots, \varphi_n\}$  of formulas (this time of  $\mathcal{EL}$ ) such that  $\|\varphi_1\| \cup \dots \cup \|\varphi_n\| = \Delta$ , and which it refines iteratively. However, where the  $\mathcal{ALC}$  algorithm maintains the invariant that  $\|\varphi_1\|, \dots, \|\varphi_n\|$  is a partition of  $\Delta$ , we weaken this invariant to the requirement that there are no  $m \geq 2$  pairwise different indices  $1 \leq i_1, \dots, i_m \leq n$  such that  $\|\varphi_{i_1}\| = \|\varphi_{i_2}\| \cup \dots \cup \|\varphi_{i_m}\|$ . We call  $\varphi_{i_1}$  *subsumed* if such a decomposition exists.

Because it maintains a weaker invariant, the set  $RE$  may contain more formulas at the same time in the  $\mathcal{EL}$  algorithm than in the  $\mathcal{ALC}$  algorithm. Given that  $\Delta$  has an exponential number of subsets, there is a risk that the  $\mathcal{EL}$  algorithm might have worst-case exponential runtime (although we are not aware of such worst-case examples). We leave a more careful complexity analysis for future work.

We presented both algorithms as first refining  $RE$  according to propositional symbols, and then by relational expressions of increasing depth. But actually, propositional symbols can be encoded using new relational symbols (e.g., we could represent that  $f_1$  is a flower in Fig. 1 as a relation labeled *flower* from  $f_1$  to an additional dummy element  $d$ ). In this way, we don't need to distinguish between propositions and relations, and any arbitrary preference ordering of properties can be used.

### 3.2 Some examples

Let's try our algorithms on some examples. We first run the  $\mathcal{EL}$  algorithm on the model shown in Fig. 1a, which is taken from Dale and Haddock (1991). The algorithm starts with  $RE = \{\top\}$ . In the first loop, it adds the formulas *floor*, *bowl*, *cup*, and *table*, and then removes  $\top$  because it is now subsumed. Not all of these formulas denote singletons; for instance,  $\|\text{cup}\|$  contains two individuals. So we iterate over the relations to refine our formulas. After the first iteration over the relations, we have  $RE = \{\text{floor}, \text{bowl} \sqcap \exists \text{on.floor}, \text{bowl} \sqcap \exists \text{on.table}, \text{cup}, \text{table}\}$ . Notice that *bowl* has become subsumed, but we haven't distinguished the cups and tables further.

Now we can use the split between the bowls to distinguish the cups in the second iteration. The result of this is  $RE = \{\text{floor}, \text{bowl} \sqcap \exists \text{on.floor}, \text{bowl} \sqcap \exists \text{on.table}, \text{cup} \sqcap \exists \text{in}(\text{bowl} \sqcap \exists \text{on.floor}), \text{cup} \sqcap \exists \text{in}(\text{bowl} \sqcap \exists \text{on.table}), \text{table}\}$ . At this point, all formulas except *table* denote singletons, and further iterations don't allow us to refine *table*; so the algorithm terminates. Each formula with a singleton

**Algorithm 2:**  $\text{add}_{\mathcal{ALC}}(\varphi, RE)$ 


---

```

1 for  $\psi \in RE$  with  $\|\psi\| > 1$  do
2   if  $\|\psi \sqcap \varphi\| \neq \emptyset$  and  $\|\psi \sqcap \neg\varphi\| \neq \emptyset$  then
3     add  $\psi \sqcap \varphi$  and  $\psi \sqcap \neg\varphi$  to  $RE$ ;
4     remove  $\psi$  from  $RE$ ;

```

---

**Algorithm 3:**  $\text{add}_{\mathcal{EL}}(\varphi, RE)$ 


---

```

1 for  $\psi \in RE$  with  $\|\psi\| > 1$  do
2   if  $\psi \sqcap \varphi$  is not subsumed in  $RE$  and  $\|\psi \sqcap \varphi\| \neq \emptyset$  and  $\|\psi \sqcap \varphi\| \neq \|\psi\|$  then
3     add  $\psi \sqcap \varphi$  to  $RE$ 
4     remove subsumed formulas from  $RE$ 

```

---

extension  $\{a\}$  is a unique description of  $a$ ; for instance,  $\text{cup} \sqcap \exists \text{in.}(\text{bowl} \sqcap \exists \text{on.table})$  is only satisfied by  $c_2$ , so we may refer to  $c_2$  as “the cup in the bowl on the table”. Notice that the algorithm didn’t focus on any particular individual; it simultaneously generated REs for all individuals except for the two tables (which are similar to each other).

The  $\mathcal{EL}$  algorithm has a harder time with the example in Fig. 1b (Stone & Webber, 1998). While it will correctly identify  $r_1$  as “the rabbit in the hat” and  $f_2$  as “the flower in the hat”, it will not be able to compute a RE for  $f_1$  because  $f_1$  is  $\mathcal{EL}$ -similar to  $f_2$ . Indeed, the algorithm terminates with  $RE$  containing both flower and flower  $\sqcap \exists \text{in.hat}$ . This is a typical pattern for asymmetrical cases of similarity in  $\mathcal{EL}$ : If there are two formulas  $\varphi_1$  and  $\varphi_2$  in the output set with  $\|\varphi_1\| \subseteq \|\varphi_2\|$ , then there is generally some individual  $b \in \|\varphi_2\| - \|\varphi_1\|$  such that all individuals in  $\|\varphi_1\|$  are similar to  $b$ , but not vice versa. By contrast, the  $\mathcal{ALC}$  algorithm can exploit the greater expressivity of  $\mathcal{ALC}$  to split flower into the two new formulas flower  $\sqcap \exists \text{in.hat}$  and flower  $\sqcap \neg \exists \text{in.hat}$ , generating a unique RE for  $f_1$  as well.

## 4. Discussion

We will now describe two experiments evaluating the quality of the  $\mathcal{EL}$  algorithm’s output and the efficiency of both of our algorithms, and we discuss the interface between our algorithms and realization.

### 4.1 Evaluation: Output quality

To compare the descriptions generated by our algorithm to those humans produce, we use a corpus of human-generated referring expressions collected and made available by Jette Viethen and Robert Dale.<sup>1</sup> They asked human subjects to describe one of 16 filing cabinet drawers. The drawers had different colors and were arranged in a four-by-four grid (see Fig. 2). The human subjects used four non-relational properties (the drawer’s color, its column and row number, and whether it is in a corner) and five relational properties (above, below, next to, left of, right of). Of the 118 referring expressions obtained in the experiment, only 15 use relations.

---

1. <http://www.ics.mq.edu.au/~jviethen/drawers>

1 blue	2 orange	3 pink	4 yellow
8 blue	7 blue	6 yellow	5 pink
9 orange	10 blue	11 yellow	12 orange
16 yellow	15 pink	14 orange	13 pink

Figure 2: A schematic view of the filing cabinets.

Viethen and Dale (2006) describe the data in more detail and present results of evaluating the Full Brevity algorithm, the Incremental Algorithm (both by Dale and Reiter (1995)), and the Relational Algorithm (Dale & Haddock, 1991) on this corpus. The Incremental Algorithm is dependent on a predefined ordering in which properties are added to the description. Viethen and Dale, therefore, try all possible orderings and evaluate what percentage of descriptions an algorithm can generate with any of them. The Full Brevity and the Relational Algorithms choose properties based on their discriminatory power and only use the orderings as tie breakers. Viethen and Dale found that the Incremental Algorithm is capable of generating 98 of the 103 non-relational descriptions. However, the Relational Algorithm was unable to generate even a single one of the human-generated relational descriptions.

id	human-produced description output of the $\mathcal{EL}$ algorithm
2	<i>the orange drawer above the blue drawer</i> <b>orange</b> $\sqcap \exists\text{above}.\text{blue}$ / orange $\sqcap \exists\text{above}.\exists\text{below}.\text{orange}$ $\sqcap \text{blue}$ / orange $\sqcap \exists\text{next}.\text{blue}$ $\sqcap \exists\text{next}.\text{pink}$
4	<i>the yellow drawer on the top of the pink one</i> <b>yellow</b> $\sqcap \exists\text{above}.\text{pink}$ / yellow $\sqcap \text{corner} \sqcap \exists\text{above}.\text{pink}$ / yellow $\sqcap \text{corner} \sqcap \exists\text{above}.\exists\text{next}.\text{yellow}$ $\sqcap \text{pink}$
5	<i>* the pink drawer in the fourth column below the yellow one</i> pink $\sqcap \exists\text{above}.\text{orange}$ / pink $\sqcap \exists\text{below}.\text{yellow}$ / pink $\sqcap \exists\text{next}.\text{yellow}$ $\sqcap \exists\text{above}.\exists\text{next}.\text{yellow}$ $\sqcap \text{orange}$
6	<i>the yellow drawer on top of the yellow drawer (2<math>\times</math>) / * the drawer after the two blue ones in horizontal sequence</i> <b>yellow</b> $\sqcap \exists\text{above}.\text{yellow}$ / yellow $\sqcap \exists\text{below}.\text{pink}$ / yellow $\sqcap \exists\text{next}.\text{blue}$ $\sqcap \exists\text{next}.\text{pink}$
7	<i>the blue drawer below the orange one / * the blue drawer below the orange drawer in the second column</i> blue $\sqcap \exists\text{above}.\text{blue}$ $\sqcap \exists\text{next}.\exists\text{above}.\text{orange}$ $\sqcap \text{blue}$ / <b>blue</b> $\sqcap \exists\text{below}.\text{orange}$ / blue $\sqcap \exists\text{next}.\text{blue}$ $\sqcap \exists\text{next}.\text{yellow}$
10	<i>the blue drawer above the pink drawer (2<math>\times</math>)</i> <b>blue</b> $\sqcap \exists\text{above}.\text{pink}$ / blue $\sqcap \exists\text{above}.\text{pink}$ $\sqcap \exists\text{below}.\text{blue}$ / blue $\sqcap \exists\text{next}.\text{orange}$ $\sqcap \exists\text{next}.\text{yellow}$
11	<i>the yellow drawer next to the orange drawer (2<math>\times</math>)</i> yellow $\sqcap \exists\text{above}.\text{orange}$ / yellow $\sqcap \exists\text{below}.\text{yellow}$ / <b>yellow</b> $\sqcap \exists\text{next}.\text{orange}$
12	<i>the orange drawer below the pink drawer</i> orange $\sqcap \exists\text{above}.\text{pink} \sqcap \text{corner}$ / <b>orange</b> $\sqcap \exists\text{below}.\text{pink}$ / orange $\sqcap \exists\text{next}.\text{yellow}$
14	<i>* the orange drawer below the two yellow drawers (2<math>\times</math>)</i> orange $\sqcap \exists\text{next}.\text{pink} \sqcap \text{corner}$ $\sqcap \exists\text{next}.\text{pink}$ / orange $\sqcap \exists\text{below}.\text{yellow}$ / orange $\sqcap \exists\text{next}.\text{pink} \sqcap \text{corner}$

Figure 3: The relational descriptions from Viethen and Dale (2006), annotated with the drawer id and the outputs of the  $\mathcal{EL}$  algorithm using three different orderings. Notice that four descriptions occurred twice in the corpus. Descriptions that the  $\mathcal{EL}$  algorithm cannot generate with any ordering are marked by \*. Generated descriptions that match one produced by humans are in boldface.

We replicated Viethen and Dale’s experiment for the  $\mathcal{EL}$  algorithm presented above. In the non-relational case, our results are the same as theirs for the Incremental Algorithm: the  $\mathcal{EL}$  algorithm generates 98 of the 103 non-relational descriptions, using four (of the possible) orderings. This is because the two algorithms perform essentially the same computations if there are no relations.

When we add relations, our algorithm is able to generate 10 of the 15 human-produced relational descriptions correctly (in addition to the 98 non-relational descriptions). Fig. 3 gives example outputs of the  $\mathcal{EL}$  algorithm for three different orderings, which together achieve this coverage. Of the five human-produced descriptions that the  $\mathcal{EL}$  algorithm cannot generate, three involve references to sets (*the two blues ones in horizontal sequence/the two yellow drawers*), and two contain so much redundant information that our algorithm cannot reproduce them: Similarly to the Incremental Algorithm, our algorithm allows for some redundancy, but stops once it has found a distinguishing description. It does, however, generate other, simpler descriptions for these referents.

## 4.2 Evaluation: Efficiency

Both the  $\mathcal{EL}$  and the  $\mathcal{ALC}$  algorithms took about 15 milliseconds to compute distinguishing formulas for all 16 individuals in the Viethen and Dale dataset.<sup>2</sup>

In order to get a more comprehensive picture of the algorithms’ efficiency, we ran them on random models with increasing numbers of individuals. Each model had random interpretations for ten different propositional and four relational symbols; each individual had a 10% chance to be in the extension of each propositional symbol, and each pair of individuals had a 10% chance to be related by a relational symbol. The results (averaged over 10 runs for each model size) are shown in Fig. 4. The  $\mathcal{EL}$  algorithm takes about 350 ms on average to generate relational REs for all individuals in the model of size 100, i.e., less than 4 ms on average for each individual. The  $\mathcal{ALC}$  algorithm is even faster, at about 140 ms for the model of size 100. As far as we know, these are by far the fastest published runtimes for any relational GRE algorithm in the literature.

## 4.3 Interface to realization

Our GRE algorithms do not guarantee that the formula they compute can actually be realized in language. For example, none of the formulas our algorithms computed in the Viethen and Dale domain contained an atom that would commonly be realized as a noun; the property *drawer* is never used because it applies to all individuals in the domain. This particular problem could easily be worked around in a post-processing step. However, another problem arises from the massive use of negation in the  $\mathcal{ALC}$  algorithm; it will be hard for any realizer to find a reasonable way of expressing a formula like  $\neg\exists R.(\neg P \sqcap \neg Q)$  as a smooth noun phrase. Although we agree with van Deemter (2002) and others that the careful use of negation and disjunction can improve REs, these connectives must not be overused. Thus we consider the formulas computed by the  $\mathcal{EL}$  algorithm “safer” with respect to realization.

Of course, we share the problem of interfacing GRE and realization with every other approach that separates these two modules, i.e., almost the entire GRE literature (notable exceptions are, e.g., Horacek (1997) and SPUD (Stone & Webber, 1998)). In principle, we believe that it is a good idea to handle sentence planning and realization in a single module; for instance, SPUD can use its awareness of the syntactic context to generate succinct REs as in “take the rabbit from the hat”. We

2. Runtimes were measured on a MacBook Pro (Intel Core 2 Duo, 2.16 GHz) running Java 1.6 beta. We allowed the Java VM to warm up, i.e., just-in-time compile all bytecode, before taking the measurements.



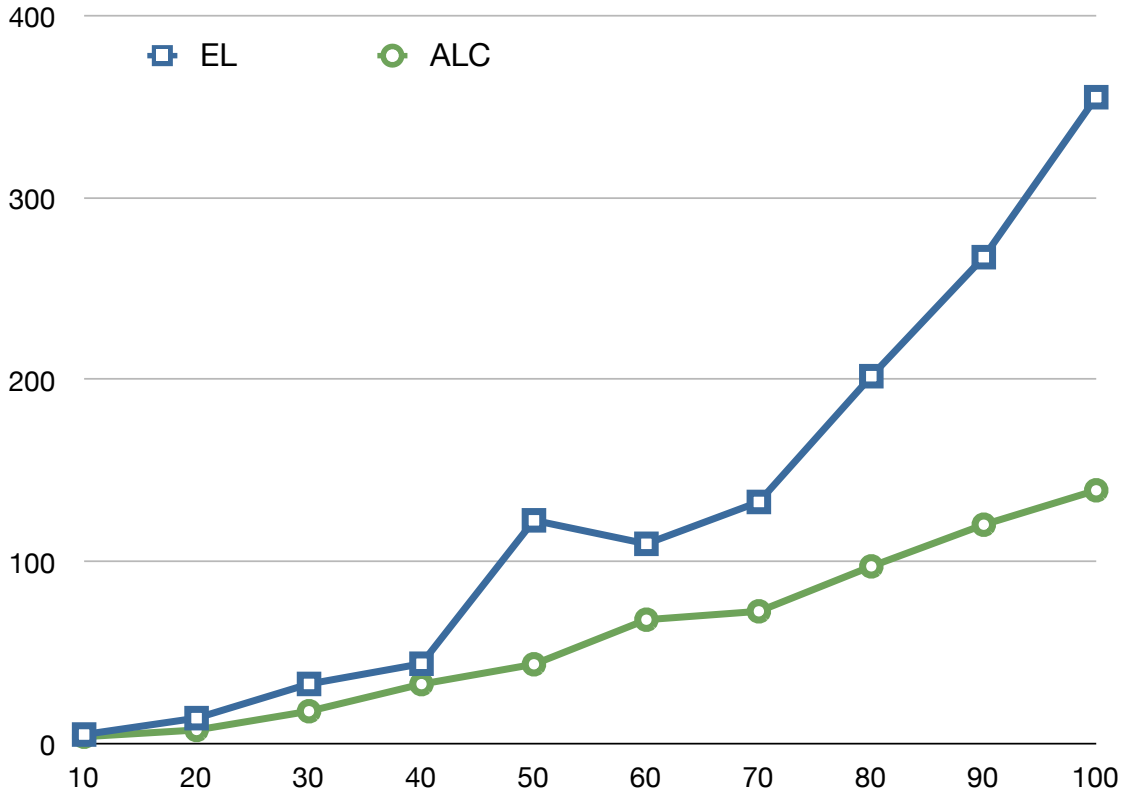


Figure 4: Average runtimes (in ms) of the two algorithms on random models with different numbers of individuals.

hope that the ideas we have explored here for efficient and expressive RE generation can eventually be combined with recent efficient algorithms for integrated sentence planning and realization, such as in Koller and Stone (2007).

One problem that arises in our approach is that both algorithms derive some measure of efficiency from their freedom to build formulas without having to respect any linguistic constraints. It seems straightforward, for instance, to extend Krahmer et al.’s (Krahmer et al., 2003) approach such that it only considers subgraphs that can actually be realized, because their algorithm proceeds by a genuine search for uniquely identifying subgraphs, and will simply take a different branch of the search if some subgraph is useless. This would be harder in our case. Our algorithms don’t search in the same way; if we disallow certain refinements of a partition, we have to allow the algorithms to backtrack and thus jeopardize the worst-case polynomial runtime. Investigating this interplay between efficiency and linguistic constraints is an interesting avenue for future research.

## 5. A unified perspective on GRE

Viewing GRE as a problem of generating DL formulas offers a unified perspective: It is the problem of computing a DL formula with a given extension. Many existing approaches can be subsumed under this view; we have summarized this for some of them in Fig. 5, along with the DL fragment

GRE algorithm	DL variant
Dale and Reiter (1995)	$\mathcal{CL}$
van Deemter (2002)	$\mathcal{PL}$
Dale and Haddock (1991)	$\mathcal{EL}$
Kelleher and Kruijff (2006)	$\mathcal{EL}$
Gardent (2002)	$\mathcal{ELU}_{(-)}$

Figure 5: DL variants used by different GRE algorithms.

they use. We already discussed some of these approaches in Section 3. Furthermore, the non-relational but negative and disjunctive descriptions generated by van Deemter (2002) are simply formulas of  $\mathcal{PL}$ ; and Gardent (2002) generalizes this into generating formulas of  $\mathcal{ELU}_{(-)}$ , i.e.,  $\mathcal{EL}$  plus disjunction and atomic negation. The approach presented here fits well into this landscape, and it completes the picture by showing how to generate REs in  $\mathcal{ALC}$ , which combines all connectives used in any of these previous approaches.

Where our approach breaks new ground is in the way these formulas are computed: It successively refines a decomposition of the domain into subsets. In this way, it is reminiscent of the Incremental Algorithm, which in fact can be seen as a special case of the  $\mathcal{EL}$  algorithm. However, unlike Dale and Haddock (1991) and its successors, such as Kelleher and Kruijff (2006), we do not have to take special precautions to avoid infinite regress. While Dale and Haddock’s algorithm attempts to generate a RE for a single individual, for successive individuals in the model, our algorithms consider all individuals in parallel. It monotonically refines a partition of the model and never needs to backtrack, and therefore is always guaranteed to terminate.

Perhaps closest in spirit to our approach is Krahmer et al.’s graph algorithm (Krahmer et al., 2003), which also computes REs by extending them successively. However, their subgraphs go beyond the expressive power of  $\mathcal{ALC}$  in that they can distinguish between “the dog that bites a dog” and “the dog that bites itself”. The price they pay for this increase in expressive power is an NP-complete worst-case complexity. Interestingly, Krahmer et al. themselves discuss the possibility of seeing their subgraphs as formulas of hybrid logic which are satisfied at the points where the subgraph can be embedded; and hybrid logics can be seen as very expressive description logics (Areces & ten Cate, 2006).

## 6. Conclusion

In this paper, we have explored the idea of viewing the generation of singular REs as the problem of computing a DL formula with a given extension. We have shown how such formulas can be computed efficiently (for  $\mathcal{ALC}$  and  $\mathcal{EL}$ ) by adapting existing algorithms from the literature. The  $\mathcal{EL}$  algorithm is able to generate 95% of the non-relational and 67% of the relational REs from Viethen and Dale (2006). Both algorithms are extremely efficient (350 ms and 140 ms respectively to generate relational REs for all individuals in a random model with 100 individuals); to our knowledge, these are by far the fastest runtimes for relational GRE reported in the literature. We have made our implementation available online at <http://code.google.com/p/crisp-nlg/wiki/DlGre>.

Because they compute referring expressions for all individuals in the domain at once, our algorithms will perform especially strongly in static settings, such as the generation of descriptions for museum exhibits, in which the individuals and their properties don’t change much. However,

even in more dynamic settings, our algorithms have a chance to outperform search algorithms like Dale and Haddock’s in the average case because they can’t get stuck in unproductive branches of the search space. Nevertheless, one interesting question for future research is how to incrementally update simulation classes when the model changes. Similarly, it would be interesting to explore how different linguistic constraints and attribute orderings can be taken into account efficiently, how our algorithms could be integrated with more standard DL T-Box inferences, and how they can be adapted to use inverse relations or to compute REs for sets. In exploring these extensions we will be able to draw on a rich body of literature that has already considered many variants of simulation algorithms addressing similar issues.

In experimenting with the Viethen and Dale data, we found that there is no single ordering that covers all human-produced descriptions, which seems to be in contrast to Dale and Reiter’s (Dale & Reiter, 1995) assumption that there is only one ordering for each given domain. In fact, it is not even the case that each speaker consistently uses just one ordering. An interesting open research question is thus what factors determine which ordering is used. Unfortunately, both in the Viethen and Dale dataset and in the TUNA corpus (van Deemter, van der Sluis, & Gatt, 2006), only a minority of referring expressions is relational, maybe because these domains lend themselves very well to row/column style propositional REs. We are currently collecting REs in a domain in which propositional REs are less preferred.

## Acknowledgments

We are grateful to Hector Geffner (who independently suggested to view GRE as computation of DL formulas), Kees van Deemter, and Emiel Krahmer for interesting discussions. We also thank Jette Viethen and Robert Dale for making their corpus available, and the reviewers for their comments.

## In case we have an appendix.

[section omitted]

## References

- Areces, C., & ten Cate, B. (2006). Hybrid logics. In Blackburn, P., Wolter, F., & van Benthem, J. (Eds.), *Handbook of Modal Logics*. Elsevier.
- Baader, F., McGuinness, D., Nardi, D., & Patel-Schneider, P. (Eds.). (2003). *The Description Logic Handbook: Theory, implementation and applications*. Cambridge University Press.
- Blackburn, P., de Rijke, M., & Venema, Y. (2001). *Modal Logic*. Cambridge University Press.
- Dale, R. (1989). Cooking up referring expressions. In *Proc. of the 27th ACL*.
- Dale, R., & Haddock, N. (1991). Generating referring expressions involving relations. In *Proc. of the 5th EACL*.
- Dale, R., & Reiter, E. (1995). Computational interpretations of the Gricean maxims in the generation of referring expressions. *Cognitive Science*, 19.
- Dovier, A., Piazza, C., & Policriti, A. (2004). An efficient algorithm for computing bisimulation equivalence. *Theoretical Computer Science*, 311(1–3).

- Gardent, C. (2002). Generating minimal definite descriptions. In *Proc. of the 40th ACL*.
- Gardent, C., & Striegnitz, K. (2007). Generating bridging definite descriptions. In Bunt, H., & Muskens, R. (Eds.), *Computing Meaning, Vol. 3*. Springer.
- Hopcroft, J. (1971). An  $n \log(n)$  algorithm for minimizing states in a finite automaton. In Kohave, Z. (Ed.), *Theory of Machines and computations*. Academic Press.
- Horacek, H. (1997). An algorithm for generating referential descriptions with flexible interfaces. In *Proc. of the 35th ACL*.
- Kelleher, J., & Kruijff, G.-J. (2006). Incremental generation of spatial referring expressions in situated dialog. In *Proc. of COLING/ACL*.
- Koller, A., & Stone, M. (2007). Sentence generation as planning. In *Proc. of the 45th ACL*.
- Krahmer, E., van Erk, S., & Verleg, A. (2003). Graph-based generation of referring expressions. *Computational Linguistics*, 29(1).
- Kurtonina, N., & de Rijke, M. (1998). Expressiveness of concept expressions in first-order description logics. *Artificial Intelligence*, 107.
- Paige, R., & Tarjan, R. (1987). Three partition refinement algorithms. *SIAM Journal on Computing*, 16(6).
- Stone, M. (2000). On identifying sets. In *Proc. of the 1st INLG*.
- Stone, M., & Webber, B. (1998). Textual economy through close coupling of syntax and semantics. In *Proc. of the 9th INLG*.
- van Deemter, K. (2002). Generating referring expressions: Boolean extensions of the incremental algorithm. *Computational Linguistics*, 28(1), 37–52.
- van Deemter, K., van der Sluis, I., & Gatt, A. (2006). Building a semantically transparent corpus for the generation of referring expressions. In *Proc. of the 4th INLG*.
- Viethen, J., & Dale, R. (2006). Algorithms for generating referring expressions: Do they do what people do?. In *Proc. of the 4th INLG*.