

# Tracking and diameter estimation of retinal vessels using Gaussian process and Radon transform

Masoud Elhami Asl,<sup>a</sup> Navid Alemi Koohbanani,<sup>a</sup> Alejandro F. Frangi,<sup>b</sup> and Ali Gooya<sup>b,\*</sup>

<sup>a</sup>Tarbiat Modares University, Faculty of Electrical and Computer Engineering, Tehran, Iran

<sup>b</sup>University of Sheffield, Centre for Computational Imaging and Simulation Technologies in Biomedicine, Department of Electronic and Electrical Engineering, Sheffield, United Kingdom

**Abstract.** Extraction of blood vessels in retinal images is an important step for computer-aided diagnosis of ophthalmic pathologies. We propose an approach for blood vessel tracking and diameter estimation. We hypothesize that the curvature and the diameter of blood vessels are Gaussian processes (GPs). Local Radon transform, which is robust against noise, is subsequently used to compute the features and train the GPs. By learning the kernelized covariance matrix from training data, vessel direction and its diameter are estimated. In order to detect bifurcations, multiple GPs are used and the difference between their corresponding predicted directions is quantified. The combination of Radon features and GP results in a good performance in the presence of noise. The proposed method successfully deals with typically difficult cases such as bifurcations and central arterial reflex, and also tracks thin vessels with high accuracy. Experiments are conducted on the publicly available DRIVE, STARE, CHASEDB1, and high-resolution fundus databases evaluating sensitivity, specificity, and Matthew's correlation coefficient (MCC). Experimental results on these datasets show that the proposed method reaches an average sensitivity of 75.67%, specificity of 97.46%, and MCC of 72.18% which is comparable to the state-of-the-art. © 2017 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JMI.4.XX.XXXXXX]

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## 1 Introduction

Analysis of vascular structures in retinal images can be important for diagnosis of several pathologies related to diabetes, cardiovascular disorders, and hypertension.<sup>1,2</sup> For instance, diabetic retinopathy can be detected early by examining morphological variations in vasculatures, preventing vision loss and blindness.<sup>3–5</sup> To quantify these complications, accurate retinal vessel detection and diameter estimation are often required.<sup>6</sup> Generally, semiautomatic or automatic vessel segmentation tools are preferred over manual delineation, because the latter is difficult and user-dependent. However, due to imaging imperfections and noise, accurate delineation imposes a special challenge on nonmanual methods, particularly when thin vessels are of concern.<sup>7</sup>

A wide range of vessel extraction methods from medical images has been proposed in the literature. Interested readers are referred to Patton et al.,<sup>8</sup> Lesage et al.,<sup>9</sup> Mookiah et al.,<sup>10</sup> and Fraz et al.<sup>11</sup> for detailed reviews. However, each method only excels in a few particular quality aspects such as computational load, robustness to variation of region of interest, and imaging modality. The performance of vessel segmentation methods can usually be improved by a preprocessing step.<sup>12</sup> The aim of this stage is to intensify valuable information and eliminate noise, which could otherwise adversely affect the final outcome. Different methods have been proposed for this purpose.<sup>13,14</sup> Even though a preprocessing step could improve segmentation, it can lead to loss of important features, particularly at edges and narrow vessels. Therefore, despite some

relative successes, vessel extraction still remains an active research area.

Sun<sup>15</sup> classified blood vessel detection strategies into two major categories: tracking- and scanning (pixel-based)-based approaches. In scanning methods, a number of features are computed for every pixel, and based on these features, each pixel is individually classified as a vessel or nonvessel sample. Since each pixel is classified independently, a disadvantage of such methods is that gaps can appear in the segmented vessels, leading to an unconnected vessel network.<sup>16</sup> In contrast, tracking approaches track a single vessel at a time, rather than detecting the entire vascular network. Tracking consists of following the vessel centerlines guided by local information, usually searching for a path that best matches a given vessel intensity model profile.<sup>17</sup> Compared to pixel-based methods, the main problem with tracking algorithms is the complexity of dealing with bifurcations.<sup>8</sup> Furthermore, tracking approaches need initial seed points, which can be selected manually or automatically. The main advantage of the tracking approaches is the guaranteed connectedness in the acquired vessel segments.<sup>15</sup> In addition, tracking approaches can provide accurate vessel specific widths and other structural information that is often unavailable using other methods.<sup>11</sup> Owing to these properties, a probabilistic vessel tracking approach is proposed that is able to handle thin vessels and detect bifurcations.

Aylward and Bullitt<sup>18</sup> proposed an algorithm for blood vessel tracking based on eigenvalue and eigenvector decomposition of the image Hessian matrix. Due to the fact that Hessian matrix is a second-order derivative descriptor for the local structure of the image, the algorithm's efficacy is adversely and highly

\*Address all correspondence to: Ali Gooya, E-mail: [a.gooya@sheffield.ac.uk](mailto:a.gooya@sheffield.ac.uk)

influenced by the background noise, in particular when thin vessels are considered. To alleviate this, Xu et al.<sup>19</sup> combined Aylward's method with the recursive geometrical tracking approach proposed by Sun.<sup>15</sup> In contrast, Tavakoli et al.<sup>20</sup> and Pourreza et al.<sup>21</sup> proposed a tracking algorithm which uses Radon transform to compute local line integrals of the image, thus not relying on the Hessian. As a result their proposed method performs relatively well in the presence of noise. Zhang and Couloigner<sup>22</sup> proposed an algorithm to increase the sensitivity of the Radon transform-based approaches when handling thick tubular objects. However, in these methods, the vessel trajectory is detected by searching for peak projection values in Radon space, which can be difficult and unreliable. In addition, these methods do not utilize any prior knowledge about the vascular structures (such as continuity and constraint of the curvature of the vessels).

Chutatape et al.,<sup>23</sup> on the basis of given training samples, designed a second-order derivative Gaussian matched filter to position the centerline points and estimated the width of the cross-sectional profile. Yin et al.<sup>16</sup> proposed a probabilistic tracking method that used vessel sectional intensity profile for detection. In their approach, a vessel's edge points and new directions were updated using a maximum *a posteriori* criterion, considering the local gray level statistics and the vessel's continuity properties. However, due to using a Gaussian model to approximate the vessel's cross-sectional intensity profile, the performance of their method is suboptimal when the vessel's sectional intensity deviates from a Gaussian pattern. Other methods for vessel tracking in the literature include Refs. 24–26. The majority of these methods lack the required efficiency when dealing with noisy images and small vessels. Our method is particularly designed to address these complications.

For robust tracking of blood vessels, a prior knowledge of the structures of vessels can be very useful. Continuity of centerline, curvature, and diameter of tubular vessel segments has been exploited by Sun.<sup>15</sup> Gooya et al.,<sup>27</sup> on the other hand, proposed a level set-based shape regularization scheme for vessel segmentation that improved the continuity of the extracted structures, preventing gaps, however, the technique was computationally expensive. Aylward and Bullitt<sup>18</sup> used the inner product of tangents to centerlines to compare and update the vessel direction for effective tracking. Thus, the use of prior information has been exploited in different ways to extract vascular structures.

The recent highlighted papers in this area are as follows: Azzopardi et al.<sup>28</sup> proposed a filter based on a combination of shifted filter responses that is sensitive to vessels. Continuing the previous work, Strisciuglio et al.<sup>29</sup> selected the filters in an automatic process that opts for the best-performing ones. Orlando et al.<sup>30</sup> utilized a conditional random field model and fully connected pairwise potentials to extract retinal vasculature. Deep neural networks have recently entered the retinal image segmentation field by Liskowski and Krawiec.<sup>31</sup> After applying a preprocessing step, they use several deep learning architectures to segment vessels. Second-order locally adaptive derivatives have been used in several papers for extracting vessel structures; Zhang et al.<sup>32</sup> proposed a simpler version of this method by avoiding a computation of full Laplacian in vessel enhancements (geometric diffusions), which is much easier to understand and reproduce.

In this study, a new approach is proposed to track blood vessel centerlines and their diameters. For a single fragment of a vessel having no bifurcations, the curvature and the diameter

often vary smoothly in such a way that the new direction and diameter can be statistically predicted from past values. We exploit these properties as prior information and hypothesize that the curvature and the diameter of blood vessels are Gaussian processes (GPs). To gain further noise robustness, we train the GPs by computing the local features, which are line integral descriptors, using Radon transform. Furthermore, in order to determine bifurcations, multiple GPs are deployed for estimation of the directions. The increased difference between estimated directions from each of these GPs is used to detect bifurcations. Combining GPs with Radon features results in a significantly improved performance in dealing with thin and noisy vessels.

The rest of this paper is organized as follows. A brief review of GP regression is presented in Sec. 2, and this is followed by the proposed method for vessel tracking and diameter measurement in Sec. 3. Experimental results and comparison to the state-of-the-art are described and discussed in Sec. 4, and finally, some concluding remarks are drawn in Sec. 5.

## 2 Gaussian Process

A GP is a supervised learning method, which addresses the problem of learning input-output mappings from training data. GP provides a principal, practical, and probabilistic approach to learn these relations using kernels.<sup>33</sup> In GP, having observed  $N$  input vectors  $\mathbf{x}_1, \dots, \mathbf{x}_N$ , and their corresponding output variables  $t_1, \dots, t_N$ , we wish to make a prediction for new input  $\mathbf{x}_{N+1}$  that we have not seen in the training dataset.<sup>34</sup>

For linear regression of values of  $t$ , we define the model predicted values using  $y(\mathbf{x}) = \mathbf{w}^T \boldsymbol{\phi}(\mathbf{x})$ , a linear combination of  $M$  fixed basis functions given by the elements of the vector  $\boldsymbol{\phi}(\mathbf{x})$ , where  $\mathbf{w}$  is an  $M$ -dimensional weight vector. The relationship between the observed and predicted variables is modeled as  $t_n = y_n + \varepsilon_n$ , where  $y_n = y(\mathbf{x}_n)$  and  $\varepsilon_n$  is a Gaussian noise variable. If the values of  $y_1, \dots, y_N$  become jointly Gaussian distributed, the function  $y(\mathbf{x})$  is said to be a GP.

Thus, we are interested in the joint Gaussian distribution of the function values  $y(\mathbf{x}_1), \dots, y(\mathbf{x}_N)$ , which is denoted by the vector  $\mathbf{y}$  given by

$$\mathbf{y} = \boldsymbol{\Phi} \mathbf{w}, \quad (1)$$

where  $\boldsymbol{\Phi}$  is the design matrix. In practice, since we do not have any prior knowledge about the mean of  $y(\mathbf{x})$ , it is set to zero. This assumption is equal to choosing the mean of the prior over the weight values, i.e.,  $p(\mathbf{w}|\alpha)$ , to be zero in the basis function viewpoint.

Under these hypotheses, the joint distribution of the target values  $\mathbf{t}_N = (t_1, \dots, t_N)^T$  conditioned on the values of  $\mathbf{y}_N = (y_1, \dots, y_N)^T$  is given by an isotropic Gaussian

$$p(\mathbf{t}_N|\mathbf{y}_N) = \mathcal{N}(\mathbf{t}_N|\mathbf{y}_N, \beta^{-1} \mathbf{I}_N), \quad (2)$$

where  $\mathbf{I}_N$  denotes the  $N \times N$  identity matrix, and  $\beta$  is the precision of the random noise.

According to the definition of GP, the marginal distribution  $p(\mathbf{y}_N)$  is a Gaussian distribution of zero mean and its covariance is defined by a Gram matrix  $\mathbf{K}$

$$p(\mathbf{y}_N) = \mathcal{N}(\mathbf{y}_N|\mathbf{0}, \mathbf{K}). \quad (3)$$

The kernel function, which determines  $\mathbf{K}$ , is typically defined to show the property that for close enough points of

$\mathbf{x}_n$  and  $\mathbf{x}_m$ , the corresponding values  $y(\mathbf{x}_n)$  and  $y(\mathbf{x}_m)$  are more correlated than the points with considerably different values.

Integrating over  $\mathbf{y}$  extracts the marginal distribution  $p(\mathbf{t}_N)$ , conditioned on the input values  $\mathbf{x}_1, \dots, \mathbf{x}_N$ , which is given by

$$\begin{aligned} p(\mathbf{t}_N) &= \int p(\mathbf{t}_N, \mathbf{y}_N) d\mathbf{y}_N = \int p(\mathbf{t}_N | \mathbf{y}_N) p(\mathbf{y}_N) d\mathbf{y}_N \\ &= \mathcal{N}(\mathbf{t}_N | \mathbf{0}, \mathbf{C}_N), \end{aligned} \quad (4)$$

where the elements of covariance matrix  $\mathbf{C}_N$  are

$$C(\mathbf{x}_n, \mathbf{x}_m) = k(\mathbf{x}_n, \mathbf{x}_m) + \beta^{-1} \delta_{nm}. \quad (5)$$

Thus far, a model for the joint Gaussian distribution over sets of data points is built using a GP viewpoint. Given a set of training data, the main goal in regression is to make predictions of the target variables. In other words, in order to predict the target values, the predictive distribution  $p(t_{N+1} | \mathbf{t}_N)$  should be evaluated. According to Eq. (4), the joint distribution over  $\mathbf{t}_{N+1} = (t_1, \dots, t_{N+1})^T$  is given as

$$p(\mathbf{t}_{N+1}) = \mathcal{N}(\mathbf{t}_{N+1} | \mathbf{0}, \mathbf{C}_{N+1}), \quad (6)$$

where the elements of covariance matrix  $\mathbf{C}_{N+1}$  are given by Eq. (5). It can be shown that the conditional distribution  $p(t_{N+1} | \mathbf{t}_N)$  is a Gaussian distribution with the mean and covariance given as

$$m(\mathbf{x}_{N+1}) = \mathbf{k}^T \mathbf{C}_N^{-1} \mathbf{t}_N, \quad (7)$$

$$\sigma^2(\mathbf{x}_{N+1}) = c - \mathbf{k}^T \mathbf{C}_N^{-1} \mathbf{k}, \quad (8)$$

where  $\mathbf{C}_N$  is a covariance matrix with elements given by Eq. (5),  $\mathbf{k}$  is a vector with elements  $k(x_n, x_{N+1})$  for  $n = 1, \dots, N$ , and the scalar  $c = k(\mathbf{x}_{N+1}, \mathbf{x}_{N+1}) + \beta^{(-1)}$ . These equations are the key results that define a GP regression.

The prediction values in GP are strongly controlled by covariance function.<sup>35</sup> From a practical point of view, instead of defining a fixed covariance function, a parametric family of functions is used where their parameters are estimated from the data. Typical techniques to train the hyperparameters are based on the evaluation of the likelihood function  $p(\mathbf{t}_N | \theta)$ , where the hyperparameters of the GP are denoted by  $\theta$ . By estimating  $\theta$  and maximizing the log likelihood function, the hyperparameters' value can be obtained.

### 3 Proposed Method

In what follows, first, we describe our blood vessel centerline tracking method using GP regression and Radon transform. Next, we extend the method to detect the bifurcations and track the diameters using multiple GPs. For better illustration, the proposed method is divided into three main steps: developing a probabilistic algorithm for tracking the centerline in a simple vessel which is the base algorithm, generalizing the basic algorithm to detect bifurcations and extracting the whole vessel tree, and estimating the diameters of the vessel lumen through an approach similar to the basic algorithm.

#### 3.1 Vessel Centerline Tracking Method

In order to track blood vessel centerlines, we assume that in a single vessel fragment with no bifurcations, the curvature varies

smoothly and has a Gaussian distribution. Therefore, we hypothesize that the curvature of blood vessels, by differentiating their positive and negative values, is a GP with a zero mean given by

$$p(\mathbf{t}_N) = \mathcal{N}(\mathbf{t}_N | \mathbf{0}, \mathbf{C}_N), \quad (9)$$

where  $\mathbf{t}_N = (t_1, \dots, t_N)^T$  indicates the curvature along the vessel. As shown in Fig. 1, curvature has a direct relationship with the directional variation, and the deduction is that the directional variation along a vessel is a zero mean GP. According to Fig. 1, as the curvature increases, the corresponding directional variation also increases. An advantage of using directional variation, however, is that the positive and negative curvature values can be easily discerned. In this paper, we consider clockwise and anticlockwise directions as having positive and negative signs, respectively.

The first step to track the centerlines is feature extraction. These features are extracted by Radon transform and used as an input ( $\mathbf{x}_N$ ) to the GP. The Radon transform in two dimensions (2-D) is given by integrating along lines having different distance ( $\rho$ ) and angle ( $\theta$ ) values from the origin. In a 2-D Euclidean space, the Radon transform of a function  $g(x, y)$  is defined as

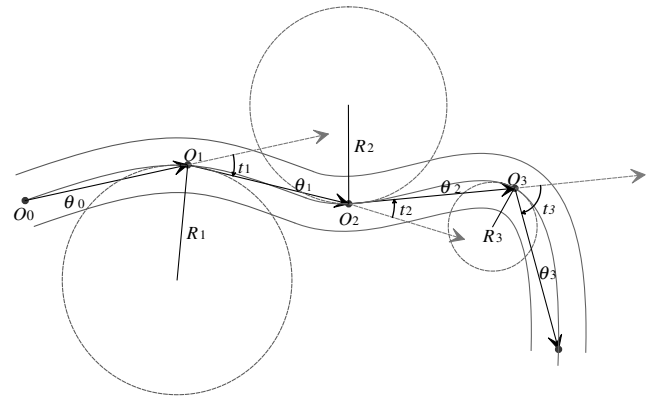
$$R(\rho, \theta) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} g(x, y) \delta(\rho - x \cos \theta - y \sin \theta) dx dy, \quad (10)$$

where  $\delta(r)$  is the Dirac function whose value is infinite at zero and zero in other arguments. Owing to cancelation of noise by the process of integration, Radon transform is robust to the presence of noise.<sup>36</sup> To extract features for vessel centerline tracking, a Radon transform is computed with the following settings:

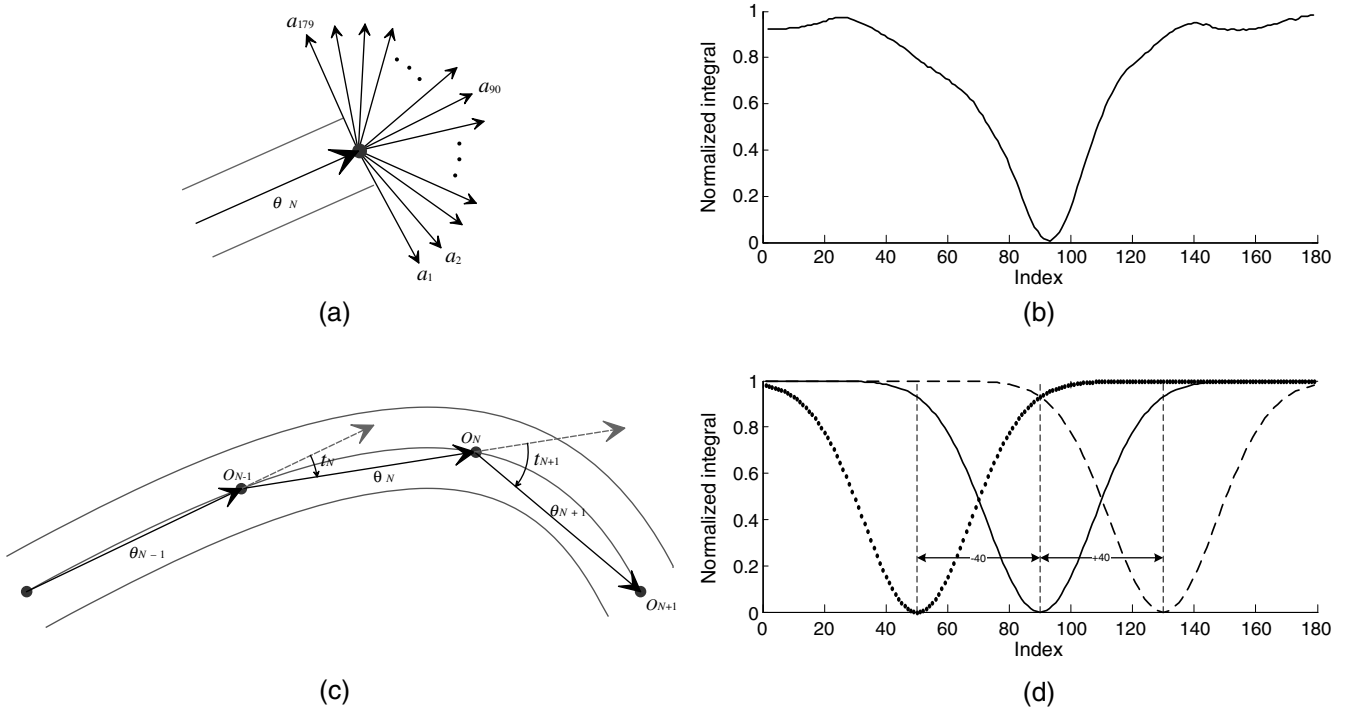
$$\rho = 0, \quad (11)$$

$$\begin{aligned} \theta &= \theta_N - 89 \text{ deg}, \dots, \theta_N - 1 \text{ deg}, \theta_N, \theta_N \\ &+ 1 \text{ deg}, \dots, \theta_N + 89 \text{ deg}, \end{aligned} \quad (12)$$

where  $\theta_N$  is the vessel direction in the previous ( $N$ 'th) step (which for starting the process is selected manually).



**Fig. 1** The assumption to track blood vessel is that the curvature (the reciprocal of the local radius  $R_n$ ) along the vessel can be represented as a zero mean GP. Since the curvature has a direct relationship with directional variation ( $t_i$ ), we can assume that directional variation is a zero mean GP too.



**Fig. 2** Radon features and tracking of a simple vessel: (a)  $a_i$  shows the result of Radon transform in each direction. (b) A real extracted feature vector. (c) Schematic of blood centerline tracking algorithm,  $O_i$ ,  $\theta_i$ , and  $t_i$  represent the centerline points, vessel local directions, and its variation, respectively. (d) Samples of synthetically generated training data with their corresponding target directional variations at  $-40$  deg,  $0$  deg, and  $40$  deg, respectively.

Figure 2(a) schematically shows our Radon transform-based feature extraction algorithm. Before using Radon transform, weights are defined for each pixel on the basis of distance from the centerline point  $O_N$ . Since the closest pixels to  $O_N$  have more valuable information, the corresponding weights are higher (weights close to 1). Based on a cubic interpolation, the weighted line integrals are computed and form a vector containing 179 elements. Figure 2(b) shows an example of the extracted features. For this example, we assume that vessels are darker than the background (for retinal images), hence it is obvious that the middle elements of the extracted vector have smaller values compared to marginal ones.

In each step, our goal is to make a prediction of the directional variation  $t_{N+1}$  for a new input vector  $\mathbf{x}_{N+1}$  by evaluating the predictive distribution  $p(t_{N+1}|\mathbf{t}_N, \mathbf{x}_1, \dots, \mathbf{x}_N, \mathbf{x}_{N+1})$ . Using Eq. (9), the distribution over target values is directly given by

$$p(t_{N+1}) = \mathcal{N}(t_{N+1}|\mathbf{0}, \mathbf{C}_{N+1}). \quad (13)$$

Consequently, as mentioned in Sec. 2,  $p(t_{N+1}|\mathbf{t}_N)$  will be a Gaussian distribution whose mean and covariance are given by Eqs. (7) and (8), respectively. That is

$$p(t_{N+1}|\mathbf{t}_N) = \mathcal{N}_{t_{N+1}}[m(\mathbf{x}_{N+1}), \sigma^2(\mathbf{x}_{N+1})]. \quad (14)$$

Since  $p(t_{N+1}|\mathbf{t}_N)$  has a Gaussian distribution, the most probable value for  $t_{N+1}$  is a Gaussian distribution's mean  $m(\mathbf{x}_{N+1})$ . Therefore,

$$t_{N+1} = m(\mathbf{x}_{N+1}) \quad (15)$$

and the new vessel direction ( $\theta_{N+1}$ ) is calculated by

$$\theta_{N+1} = \theta_N + t_{N+1}, \quad (16)$$

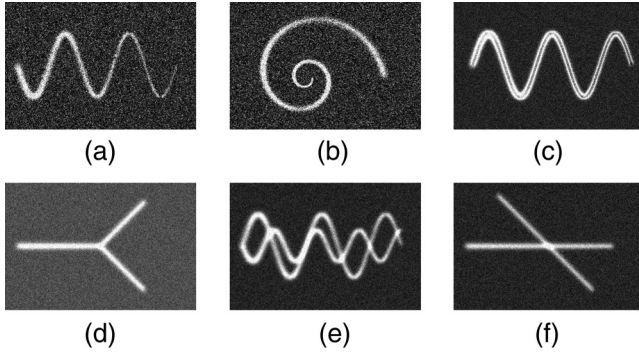
where  $\theta_N$  is the vessel's previous direction. For instance,  $m(\mathbf{x}_{N+1}) = 0$  means no change in the local direction (zero curvature), and  $m(\mathbf{x}) \gg 0$  means high curvature (high directional variation) in a vessel.

In order to find a new centerline point, we move forward a step in the new vessel direction ( $\theta_{N+1}$ ). The step length has an inverse relationship with  $t_{N+1}$ , i.e., when the curvature increases, the step length decreases, and vice versa. More specifically, we use the following relation to obtain the step length,  $d$ , at each iteration:

$$d = \frac{1}{\pi} \left( \frac{\pi}{2} - t_{N+1} \right). \quad (17)$$

To generate training data, shown in Figs. 2(d), 4(b), and 5(d), synthetic images are designed to simulate vascular structure. Vessels with various curvatures and diameters and different kinds of bifurcations are modeled for both uniformly dark vessels and those having reflections around their centerlines which frequently occur in retinal images, caused by light reflection from vessel surfaces that are parallel to the incident light.<sup>37</sup> By moving along the centerline in the synthetic images and computing Radon transform, two sets of training data are generated for tracking both the directional variation and diameter of the lumen. To further enrich the training data, some noise was also added to the synthetic images before computing the Radon features. Examples of these synthetic images are shown in Fig. 3. Each training data has a target value corresponding to the directional variation. For instance, in Fig. 2(d), the corresponding target value for the dotted, solid, and dashed lines





**Fig. 3** Examples of synthetic images to generate training data are shown: (a, b) simple vessel, (c) vessel with central vessel reflex, (d) bifurcation, and (e, f) crossover.

are  $-40$  deg,  $0$  deg, and  $+40$  deg, respectively, showing directional variation for each one.

In summary, our vessel centerline tracking method can be explained as follows [see Fig. 2(c)]. First, a seed point is selected and its corresponding feature vector is computed using the Radon transform. Then, a target directional variation is computed using Eq. (7). Finally, by adding this variation to the previous direction, a new vessel direction is calculated. Moving a step forward along the new direction, this process continues until the end of the vessel is reached.

### 3.1.1 Estimation of the Kernel hyperparameter

The update process given in Eq. (16) requires evaluating a covariance matrix whose elements, in this paper, are defined using a radial basis function given by

$$k(\mathbf{x}_n, \mathbf{x}_m) = \exp(-\alpha \|\mathbf{x}_n - \mathbf{x}_m\|^2), \quad (18)$$

where  $\alpha$  is a hyperparameter controlling the degree of the correlation between the data points. We learn the latter from the training data by maximizing the likelihood function  $p(\mathbf{t}_N|\alpha)$  with regard to  $\alpha$ . In practice, we use a gradient descent approach, where we update the values of  $\alpha$  by moving along the gradient direction until convergence. Using the definition of a standard multivariate Gaussian distribution, the log likelihood function can be written as

$$\ln p(\mathbf{t}|\alpha) = -\frac{1}{2} \ln |\mathbf{C}_N| - \frac{1}{2} \mathbf{t}^T \mathbf{C}_N^{-1} \mathbf{t} - \frac{N}{2} \ln(2\pi). \quad (19)$$

Therefore, the gradient of the log likelihood function with respect to the parameter  $\alpha$  is calculated as

$$\frac{\partial}{\partial \alpha} \ln p(\mathbf{t}|\alpha) = -\frac{1}{2} \text{tr} \left( \mathbf{C}_N^{-1} \frac{\partial \mathbf{C}_N}{\partial \alpha} \right) + \frac{1}{2} \mathbf{t}^T \mathbf{C}_N^{-1} \frac{\partial \mathbf{C}_N}{\partial \alpha} \mathbf{C}_N^{-1} \mathbf{t}. \quad (20)$$

Furthermore, with respect to the predefined kernel [Eq. (18)],  $\frac{\partial \mathbf{C}_N}{\partial \alpha}$  elements are evaluated using

$$\begin{aligned} \frac{\partial C(\mathbf{x}_n, \mathbf{x}_m)}{\partial \alpha} &= -\|\mathbf{x}_n - \mathbf{x}_m\|^2 \exp(-\alpha \|\mathbf{x}_n - \mathbf{x}_m\|^2) \\ &= -\|\mathbf{x}_n - \mathbf{x}_m\|^2 k(\mathbf{x}_n, \mathbf{x}_m). \end{aligned} \quad (21)$$

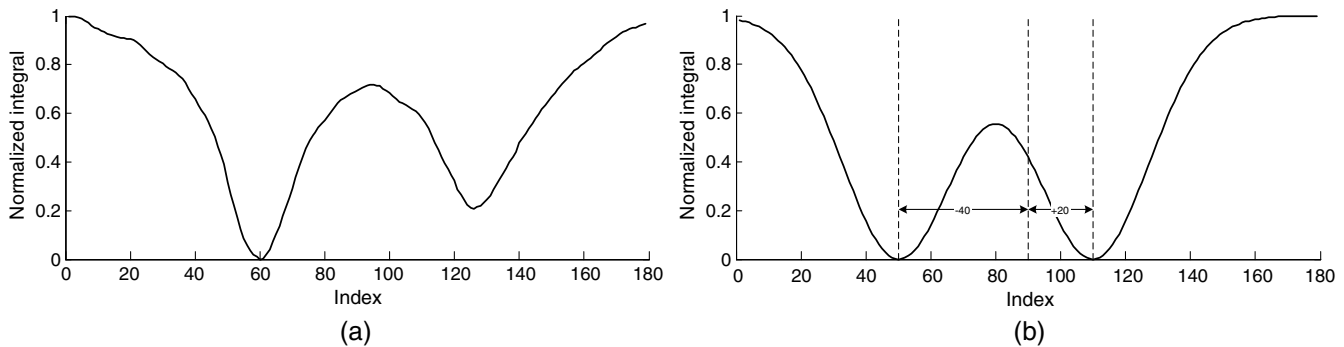
By following Eqs. (20) and (21), a good convergence is often achieved after 110 gradient iterations, taking less than 1 min.

### 3.2 Bifurcation Detection

Thus far, tracking of only simple vessels with no bifurcations was described. To obtain a more comprehensive description of the entire vascular tree, bifurcations must be detected and used to initiate further tracking. Note that, facing a bifurcation, the proposed algorithm in Sec. 3.1 will track the path with the smaller directional change, because it is hypothesized that curvature in a blood vessel has a zero mean Gaussian distribution. Therefore, using only one GP, a branch with a larger deviation angle can be dismissed. To address this problem, we will, therefore, use multiple GP's to enable tracking through both branches.

A different set of Radon transform-based features are used to detect the branching points. As shown in Fig. 4(a), in the case of a bifurcation, the obtained feature vector may indicate two local minimums that correspond to the existing branches. Therefore, in order to track both, two directions are predicted in each step. To achieve this, we use a simple approach where two independent GPs are implemented to track the smaller and larger deviation angles, respectively.

In the  $N$ 'th step, let  $\mathbf{t}_{N,1}$  and  $\mathbf{t}_{N,2}$  be targets of the two independent GPs. We assume that  $\mathbf{t}_{N,1}$  and  $\mathbf{t}_{N,2}$  indicate the left and right branches, respectively, thus  $\mathbf{t}_{N,1} \leq \mathbf{t}_{N,2}$ . For example in Fig. 4(b), we have  $\mathbf{t}_{N,1} = -40$  and  $\mathbf{t}_{N,2} = +20$ . In order to train GPs, bifurcation training data should also be added to



**Fig. 4** Extracted feature in a bifurcation: (a) a real extracted feature vector. (b) A synthetic feature vector with the corresponding target values at  $\mathbf{t}_{N,1} = -40$  and  $\mathbf{t}_{N,2} = +20$ .

the previous data. Hence, for each training data,  $\mathbf{t}_{N,1}$  and  $\mathbf{t}_{N,2}$ , take different or the same values to represent a bifurcation or simple vessel points, respectively. For example, in Fig. 2(d) for the dotted profile, we define  $\mathbf{t}_{N,1} = \mathbf{t}_{N,2} = -40$ , whereas for the bifurcation shown in Fig. 4(b), the corresponding targets are set as  $\mathbf{t}_{N,1} = -40$  and  $\mathbf{t}_{N,2} = +20$ .

Having the training data, the kernel parameter is first estimated (see Sec. 3.1.1). Next, at each step, two Gaussian distributions, i.e.,  $p(t_{N+1,1}|\mathbf{t}_{N,1})$  and  $p(t_{N+1,2}|\mathbf{t}_{N,2})$  are used to estimate the new target values at the means given by

$$t_{N+1,1} = m_1(\mathbf{x}_{N+1}) = \mathbf{k}^T \mathbf{C}_N^{-1} \mathbf{t}_{N,1}, \quad (22)$$

$$t_{N+1,2} = m_2(\mathbf{x}_{N+1}) = \mathbf{k}^T \mathbf{C}_N^{-1} \mathbf{t}_{N,2}, \quad (23)$$

where  $\mathbf{t}_{N,1}$  and  $\mathbf{t}_{N,2}$  denote the left- and right-hand side branch values, respectively.

The difference between  $t_{N+1,1}$  and  $t_{N+1,2}$  directions is considered to be an indicator of a branching point. More specifically, if this difference becomes larger than 30 deg, we consider the current position as a bifurcation point. This threshold has been selected based on cross-validation experiments, minimizing the detection error on training data with known bifurcation points. Finally, when the difference between the estimated directional variations becomes less than the specified threshold, the smaller value is chosen for moving along the vessel centerline.

### 3.3 Diameter Estimation

In addition to the vessel centerline, diameter values are also tracked using an independent GP. Here, we assume that the diameter varies smoothly around its initial value following a nonzero mean Gaussian distribution given by

$$p(\mathbf{r}_N) = \mathcal{N}(\mathbf{r}_N | \mathbf{r}_0, \mathbf{J}_N), \quad (24)$$

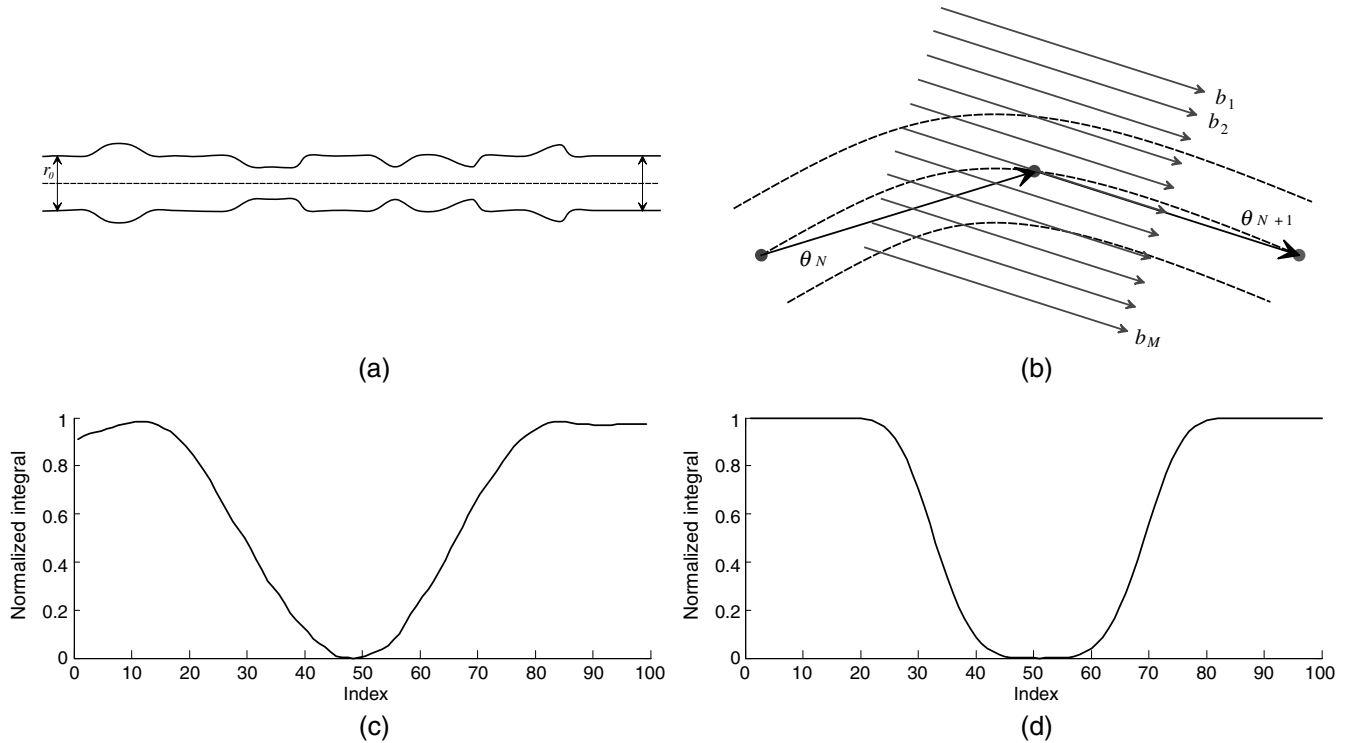
where  $\mathbf{r}_0$  and  $\mathbf{J}_N$  represent the vessel's initial diameter and covariance matrix, respectively.

The process of diameter tracking remains similar to before with exceptions due to some minor alterations due to the nonzero mean property of the GP. More specifically, Eq. (7) is reformulated as follows:

$$m(\mathbf{z}_{N+1}) = a + \mathbf{k}^T \mathbf{J}_N^{-1} (\mathbf{r} - a). \quad (25)$$

$a$  and  $\mathbf{z}_{N+1}$  denote the mean of the GP and the feature vector in the  $N+1$ 'th step, respectively.  $\mathbf{J}_N$  and  $\mathbf{k}$  are the kernelized covariance and similarity vector defined as earlier [see Eq. (18)].

Note that in this section, we use a different set of Radon features which are more appropriate for thickness detection. Namely, in each step after estimating vessel direction, we let  $\alpha = \theta_{N+1}$ , and vary  $\rho$  to generate diameter sensitive features as shown in Fig. 5(b). In this way, the line integrals are computed along the vessel direction, resulting in more robustness to random intensity variations across the vessel lumen. An example of such an extracted feature is shown in Fig. 5(c). A brief review of the proposed method is given in Algorithm 1.



**Fig. 5** Feature extraction method for diameter estimation: (a) we assume that vessel diameter changes smoothly along the initial diameter, (b) setting  $\alpha = \theta_{N+1}$ , we compute Radon transform for various values of  $\rho$ , (c) an example of extracted profile for diameter estimation using a real image data, and (d) an example of synthetic training data generated for diameter estimation.

**Algorithm 1** Blood vessel tracking algorithm.

**Input:** Seed point and vessel initial diameter

**Output:** Vessel direction and diameter

1. Compute the vessel direction-related features using Radon transform ( $\rho = 0$ )
2. Estimate the kernel's hyperparameter value
3. Generate the covariance matrix used to estimate the directional variation
4. Calculate  $t_1$  and  $t_2$  based on Eqs. (22) and (23)
5. **if**  $(t_1 - t_2 > 30)$  **then**
6.   {Bifurcation:}
7.   Estimate new vessel directions for each branch
8. **else**
9.   {Simple Vessel}
10.   Select minimum of  $t_1$  or  $t_2$  as directional variation
11.   Estimate new direction
12. **end if**
13. Compute diameter-related features using Radon transform ( $\theta = \theta_{N+1}$ )
14. Generate the covariance matrix used to estimate the vessel diameter
15. Estimate the vessel diameter ( $r_{N+1}$ ) using Eq. (25)
16. **return**  $\theta_{N+1}$  and  $r_{N+1}$

## 4 Experimental Results

In this section, we briefly introduce the criteria that are used to quantify the performance of the proposed algorithm and review the characteristics of the data sets. Next, using various noisy images, the robustness of the methods is investigated and compared with other state-of-the-art techniques.

### 4.1 Performance Criteria

The performance is evaluated based on classification of pixels into positive (vessel) and negative (background) groups. At the final stage of the tracking step, the centerline and diameter tracking results are used to construct a binary image, segmenting the vascular network from the background. Pixels in the neighborhood of each centerline point with radius  $d$  are considered as vessel, where  $d$  indicates the corresponding diameter. By comparing the segmentation result to the reference labeled data, we are able to quantify segmentation quality. We evaluate sensitivity, specificity, and Matthew's correlation coefficient (MCC).

Sensitivity (SN), measured by the ratio of the number of correctly classified vessel pixels to the total number of vessel pixels in the image field of view  $[TP/(TP + FN)]$ , reflects the ability of the algorithm to detect the vessel pixels. Specificity (SP) is the ability to detect nonvessel pixels and is measured by the ratio of

the number of correctly classified background pixels to the total number of background pixels  $[TN/(TN + FP)]$ .

The MCC is often used to measure the quality of a binary classification system when the size of samples in the two classes varies substantially. In retinal fundus images, around 10% of the pixels belong to the vessels; therefore, the MCC can be used to evaluate the algorithm's performance. The MCC is defined as

$$MCC = \frac{TP/N - S \times P}{\sqrt{P \times S \times (1 - S) \times (1 - P)}}, \quad (26)$$

where  $N = TP + TN + FP + FN$  is the total number of pixels of the image,  $S = (TP + FN)/N$ , and  $P = (TP + FP)/N$ . MCC returns a value between  $-1$  and  $+1$ , where  $+1$  indicates a perfect prediction,  $0$  indicates a random prediction, and  $-1$  indicates a completely wrong prediction.

### 4.2 Databases

The DRIVE, STARE, CHASEDB1, and high-resolution fundus (HRF) databases are common databases used in this research to evaluate the performance of the proposed methods. The DRIVE database<sup>38</sup> consists of 40 color retinal images divided into training and test sets, and obtained from a diabetic retinopathy screening program in The Netherlands. Each image has a size of  $565 \times 584$  pixels with eight bits per color channel. For the test images, two manual segmentations are available, set A and set B. 12.7% and 12.3% of pixels were marked as vessels in sets A and B, respectively. The performance is evaluated on the test set using set A, which is the ground truth.

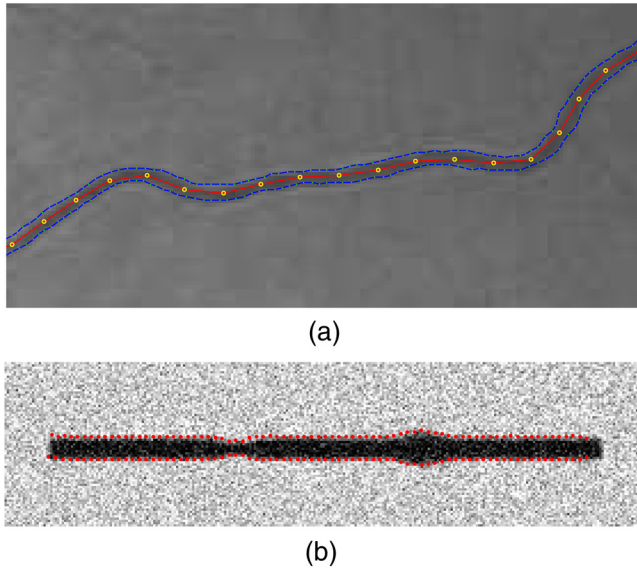
The STARE database<sup>39</sup> consists of 20 color retinal images with sizes of  $700 \times 605$  pixels and eight bits per color channel each, and are available in the PPM format. The database contains two sets of manual segmentations acquired by two different observers. The first observer segmented 10.4% of the pixels as vessels and the second one segmented 14.9%. Performance is evaluated using the first observer as the ground truth.

The CHASEDB1 dataset<sup>40</sup> consists of 28 color retinal fundus images with sizes of  $999 \times 960$  pixels, acquired from both the left and right eyes of 14 child subjects enrolled in the program Child Heart And Health Study in England. The data set contains two groups of manually segmented images provided by two observers. The public HRF image dataset<sup>41</sup> contains 45 images with the size of  $3504 \times 2336$  pixels, divided into healthy, glaucoma, and diabetic retinopathy groups. Ground truth segmentation for these images has been made by a group of experts. For these datasets, we use green channel images as they provide a maximal contrast between vessels and the background.<sup>42</sup>

### 4.3 Qualitative Sample Results

The method described in Sec. 3.1 is able to track locally linear vessels without bifurcations. Figure 6 shows results obtained from this algorithm when applied to real and synthetic data. The method is able to successfully track narrow and low contrasted vessels as well as considerable diameter variations, simulating stenoses and vasodilations in a synthetic vessel [see Fig. 6(b)].

Figure 7 shows the performance of the same algorithm when applied to simulated and real vessels having bifurcations. As shown in Figs. 7(a) and 7(c), in addition to the missing branches, the bifurcation points are erroneously displaced in both cases. This error can be explained due to the use of a single GP,

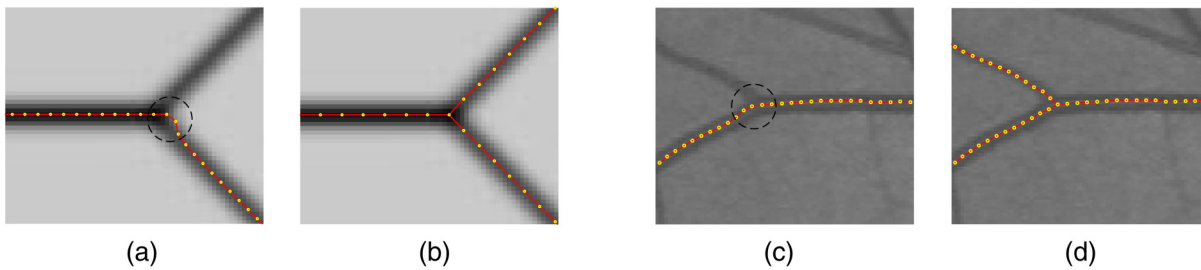


**Fig. 6** Examples of vessel tracking and diameter estimation are shown: (a) tracking a simple vessel fragment (extracted from DRIVE data sets) with no bifurcation and (b) tracking diameter variability in a synthetic vessel fragment.

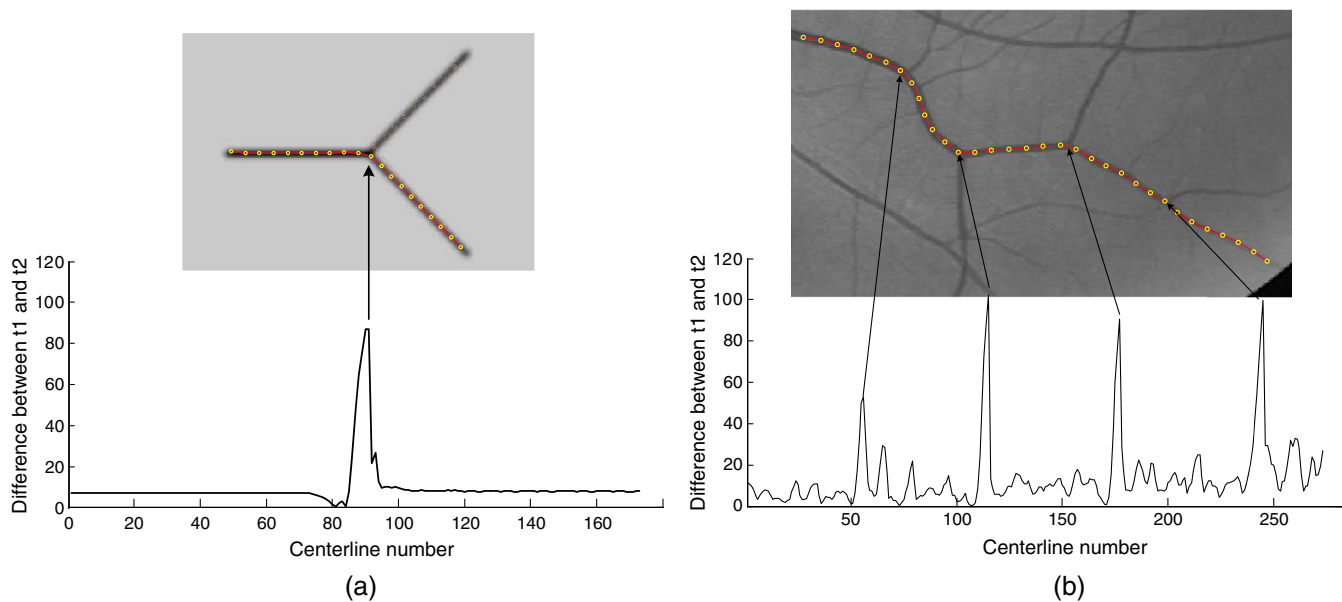
which allows for tracking only one branch at a time, and the intensity information from the missing branch disturbs the process and causes deviations of the predicted centerline in the vicinity of the bifurcation. By using two GPs, as shown in Figs. 7(b) and 7(d), we can both resolve the localization problem and effectively detect the bifurcations.

By moving forward along the vessel centerlines without bifurcations, a negligible difference between  $t_1$  and  $t_2$  is detected and by approaching bifurcations, the difference increases. This is demonstrated using simulated and real images in Figs. 8(a) and 8(b), respectively. As seen, the difference between  $t_1$  and  $t_2$  also shows the angle in the given bifurcation. In this paper, we apply a simple thresholding on  $|t_1 - t_2|$  to detect bifurcations. To determine its value, we run cross validation experiments using 20 DRIVE data sets, where the threshold value is optimized to minimize the detection error. This process results in 30 deg as the optimal threshold. The example results in using multiple GPs for tracking narrow vessels in noisy images, and vessels with reflections on the centerlines are shown in Fig. 9.

It is worth noting that there are crossover points in retinal images that are required to be detected. The algorithm is able to track the main branches when two branches are perpendicular. However, approaching a crossover where the branches are not

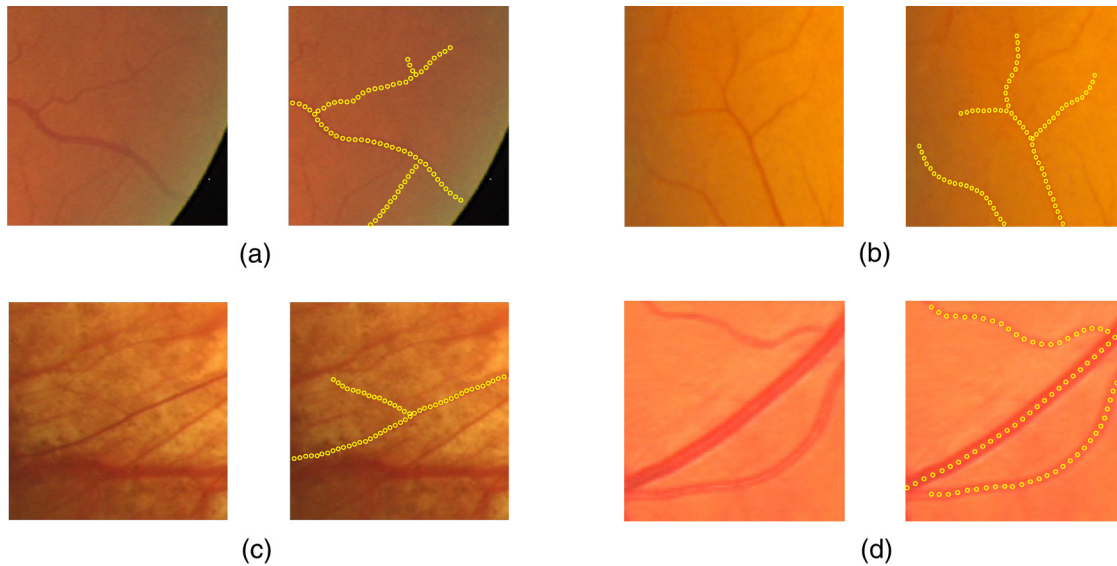


**Fig. 7** Performance of the proposed algorithm in bifurcation. (a, c) Centerline deviation in bifurcations using a single GP and (b, d) bifurcation detection using two independent GPs.



**Fig. 8** Approaching the bifurcations, the difference between  $t_1$  and  $t_2$  (the predicted directional changes) increases. Examples of (a) phantom and (b) retinal images.





**Fig. 9** Sample vessel tracking and bifurcations detection in retinal images. The original images (left) and their corresponding results (right) in: (a, b) narrow vessels, (c) noisy images, and (d) vessels with reflections.

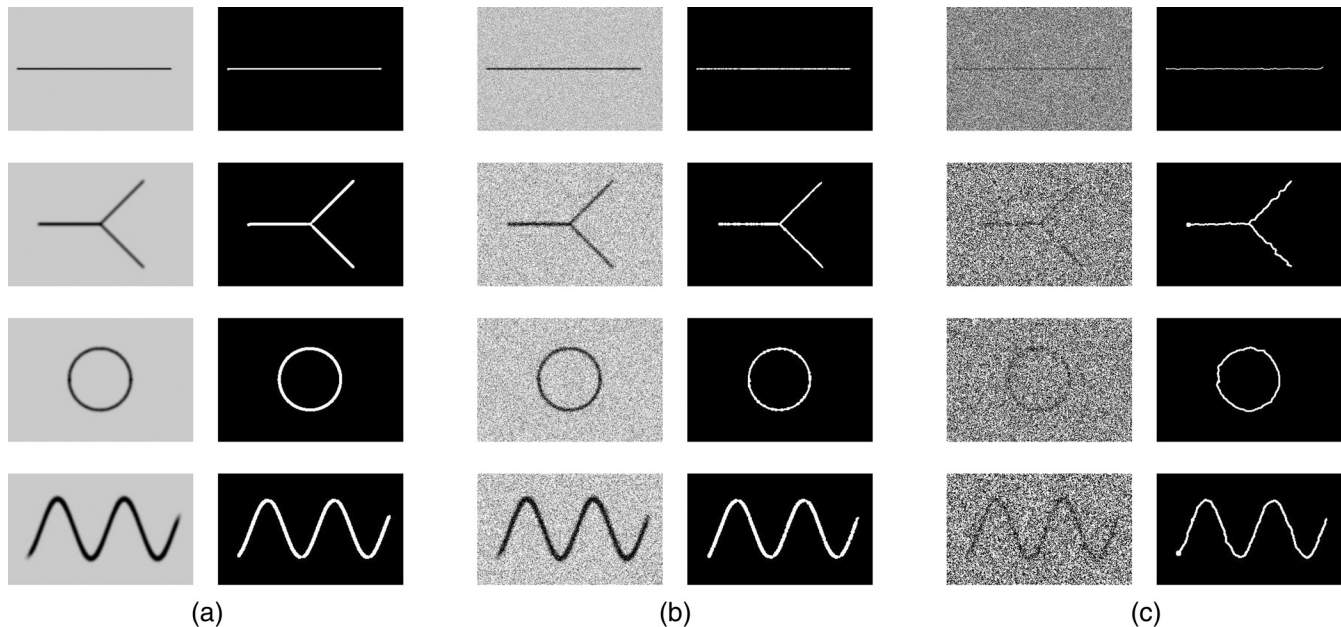
perpendicular, the algorithm behaves the same as bifurcations and tracks both branches. In the final step, for generating a binary image, the algorithm eliminates the overlapped points.

#### 4.4 Quantitative Results

The proposed algorithm is robust against the imaging noise owing to using the Radon transform and application of GP. In this section, we quantify its robustness by segmenting a few sets of synthetic images degraded with variable degrees of Gaussian noise. The maximum and minimum levels of intensities in these images are set to be zero and one. For visual

examination, some of these phantom images along with the acquired segmentations are shown in Fig. 10. The quantitative results are also shown in Table 1. Despite adding a strong Gaussian noise with a variance of 0.5, the algorithm segments the images with an average sensitivity of 62.81%. Furthermore, the obtained segmentation matches the target vessels to a large extent.

Table 1 also shows the capability of the algorithm in tracking the vessels until their end, even in the presence of excessive noise. In general, sensitivity decreases as the variance of noise increases, which can be associated with the poor accuracy in the estimated diameters. As the integration region for diameter



**Fig. 10** Phantom images affected by various levels of Gaussian noise (left) used to evaluate the robustness of the proposed algorithm, and their corresponding results (right). Variances in each row are (a) 0, (b) 0.05, and (c) 0.5, respectively.

**Table 1** Results obtained from segmentation of images in Fig. 10 by adding different values of Gaussian noise. lin, bif, cir, and sin indicate linear, bifurcation, circle, and sinuous phantom, respectively.

Noise variance	Image	SN	SP	MCC
0	lin	0.9989	0.9999	0.9989
	bif	0.9632	0.9993	0.9760
	cir	0.9996	0.9962	0.9406
	sin	0.9262	0.9970	0.9411
	<b>Avg</b>	0.9720	0.9981	0.9641
0.01	lin	0.9970	0.9992	0.9880
	bif	0.8979	0.9994	0.9355
	cir	0.9947	0.9970	0.9642
	sin	0.9097	0.9977	0.9357
	<b>Avg</b>	0.9498	0.9983	0.9558
0.05	lin	0.9357	0.9999	0.9669
	bif	0.9139	0.9997	0.9507
	cir	0.9139	0.9992	0.9417
	sin	0.9121	0.9970	0.9330
	<b>Avg</b>	0.9189	0.9989	0.9481
0.1	lin	0.8224	0.9999	0.9017
	bif	0.8207	0.9996	0.8972
	cir	0.8372	0.9997	0.9074
	sin	0.8359	0.9983	0.8965
	<b>Avg</b>	0.8291	0.9993	0.9007
0.5	lin	0.6211	0.9999	0.7813
	bif	0.6391	0.9991	0.7754
	cir	0.6463	0.9995	0.7893
	sin	0.6061	0.9996	0.7626
	<b>Avg</b>	0.6281	0.9995	0.7772

estimation is far smaller than that for direction estimation, features obtained for the former can be noisy, leading to the degraded performance, hence a reduction in the sensitivity.

#### 4.5 Comparison with Other State-of-the-Art Techniques

The proposed method has been compared to the state-of-the-art based on the values of sensitivity, specificity, and MCC measures, reported in the literature. Tables 2 and 3 show the results obtained for each of the test images in the DRIVE and STARE databases, respectively. It can be noticed that the proposed

**Table 2** Segmentation performance of the proposed method on the DRIVE database.

Image no.	SN	SP	MCC
1	0.7521	0.9718	0.7559
2	0.7369	0.9823	0.7723
3	0.7169	0.9780	0.7495
4	0.7316	0.9790	0.7624
5	0.7300	0.9793	0.7591
6	0.6735	0.9798	0.6996
7	0.7769	0.9711	0.7598
8	0.7762	0.9667	0.7410
9	0.7181	0.9709	0.7014
10	0.8042	0.9636	0.7590
11	0.7550	0.9678	0.7155
12	0.7822	0.9621	0.7470
13	0.7112	0.9759	0.7341
14	0.7662	0.9723	0.7239
15	0.7635	0.9722	0.7562
16	0.7394	0.9705	0.7417
17	0.7486	0.9702	0.7387
18	0.7051	0.9789	0.7404
19	0.7622	0.9741	0.7596
20	0.7054	0.9768	0.7393
Average	0.7428	0.9732	0.7428
Standard deviation	0.0320	0.0056	0.0199

**Table 3** Segmentation performance of the proposed method on the STARE database.

Image no.	SN	SP	MCC
1	0.7100	0.9719	0.7049
2	0.7241	0.9822	0.7421
3	0.7317	0.9623	0.6134
4	0.7688	0.9807	0.7851
5	0.7046	0.9830	0.7583
6	0.6933	0.9823	0.7401
7	0.7323	0.9740	0.7304

**Table 3** (Continued).

Image no.	SN	SP	MCC
8	0.7104	0.9725	0.7262
9	0.7461	0.9627	0.7077
10	0.7308	0.9636	0.6930
11	0.7456	0.9718	0.7074
12	0.7700	0.9711	0.7503
13	0.7549	0.9697	0.7341
14	0.7427	0.9766	0.7453
15	0.7395	0.9725	0.7391
16	0.7490	0.9726	0.7575
17	0.7248	0.9719	0.7273
18	0.7838	0.9576	0.7182
19	0.7682	0.9545	0.6972
20	0.8067	0.9583	0.7178
Average	0.7419	0.9706	0.7248
Standard deviation	0.0282	0.0084	0.0348

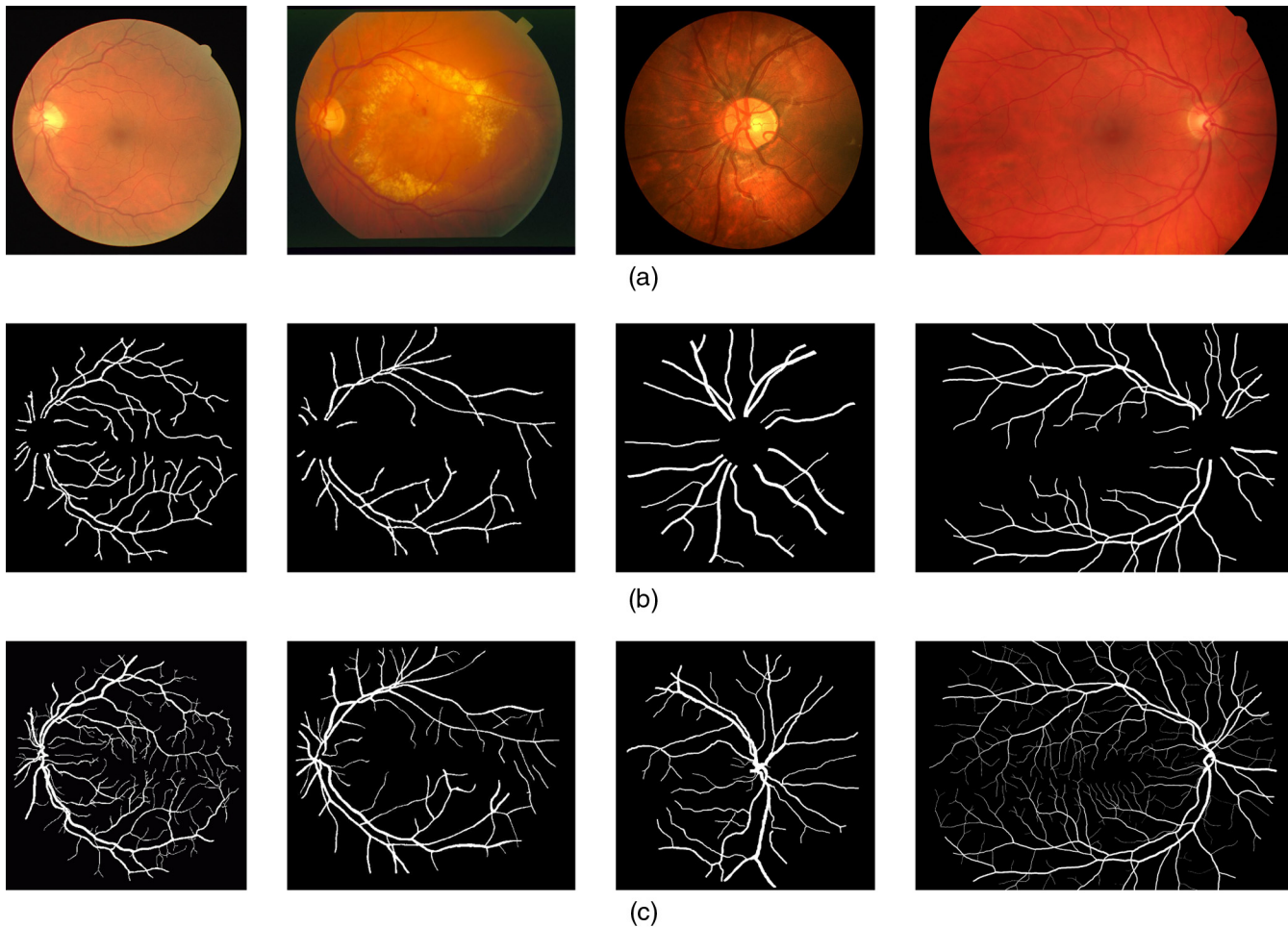
method reaches an average sensitivity of 74.28% and 74.19%, specificity of 97.32% and 97.06%, and MCC of 74.28% and 72.48% on the DRIVE and STARE databases, respectively. Table 4 reports the results achieved on the new published CHASEDB1 and HRF databases. Results are increased in terms of specificity. An average sensitivity of 75.35% and 77.15%, specificity of 97.67% and 97.57%, and MCC of 70.62% and 72.09% are achieved on the CHASEDB1 and HRF databases, respectively. Table 4 compares the performance of the proposed algorithm with other state-of-the-art techniques on the DRIVE, STARE, CHASEDB1, and HRF databases. Overall, MCC is improved on average on the CHASEDB1 dataset, when compared to Refs. 41 and 30.

The proposed algorithm is able to successfully track tiny vessels. This is due to integration of the local intensities that are used to compute the Radon transformations in conjunction with the smoothness in the centerlines made by GP. However, missing some branches due to blood vessel discontinuity, which is one disadvantage of the tracking approach, adversely affects the sensitivity of the algorithm.

The quantified results can be interpreted as follows: the specificity of the proposed algorithm is relatively high due to using local information around blood vessels and ignoring background pixels and regions far away from the vessel centerline. However, the algorithm suffers from undesirable properties. The hypothesis for diameter estimation is that the corresponding distribution of vessel diameter is Gaussian with a mean at the initial diameter value. Considering the symmetry of Gaussian distribution, the hypothesis assumed is equivalent to equality of the diameter frequencies with smaller and larger values than

**Table 4** Comparison of the proposed algorithm with existing methods using the DRIVE, STARE, CHASEDB1, and HRF databases.

Methodology	Year	DRIVE			STARE			CHASEDB1			HRF		
		SN	SP	MCC	SN	SP	MCC	SN	SP	MCC	SN	SP	MCC
Human observer	—	0.7760	0.9730	0.7601	0.8951	0.9387	0.7225	0.7425	0.9793	0.7475	—	—	—
Martinez-Perez et al. <sup>43</sup>	2007	0.7246	0.9655	—	0.7506	0.9569	—	—	—	—	—	—	—
Al-Diri et al. <sup>44</sup>	2009	0.7282	0.9551	—	0.7521	0.9681	—	—	—	—	—	—	—
Marn et al. <sup>42</sup>	2011	0.7067	0.9801	—	0.6944	0.9819	—	—	—	—	—	—	—
You et al. <sup>45</sup>	2011	0.7410	0.9751	—	0.7260	0.9756	—	—	—	—	—	—	—
Miri and Mahloojifar <sup>46</sup>	2011	0.7352	0.9795	—	—	—	—	—	—	—	—	—	—
Odstrcilik et al. <sup>41</sup>	2013	0.7060	0.9693	—	0.7847	0.9512	—	—	—	—	0.7741	0.9669	—
Zhao et al. <sup>7</sup>	2014	0.7354	0.9789	—	0.7187	0.9767	—	—	—	—	—	—	—
Roychowdhury et al. <sup>47</sup>	2015	0.7390	0.9780	—	0.7320	0.9840	—	—	—	—	—	—	—
Azzopardi et al. <sup>28</sup>	2015	0.7655	0.9704	0.7475	0.7716	0.9701	0.7335	0.7585	0.9587	0.6802	—	—	—
Zhang et al. <sup>32</sup>	2016	0.7743	0.9725	—	0.7791	0.9758	—	0.7626	0.9661	—	0.7978	0.9717	0.7410
Strisciuglio et al. <sup>29</sup>	2016	0.7777	0.9702	0.7525	0.8046	0.9710	0.7536	—	—	—	—	—	—
Annunziata et al. <sup>48</sup>	2016	—	—	—	0.7128	0.9836	—	—	—	—	0.7128	0.9836	—
Orlando et al. <sup>30</sup>	2017	0.7897	0.9684	0.7556	0.7680	0.9738	0.7417	0.7277	0.9712	0.7046	0.7874	0.9584	0.6897
Proposed method	2017	0.7428	0.9732	0.7428	0.7419	0.9706	0.7248	0.7535	0.9767	0.7062	0.7715	0.9757	0.7209



**Fig. 11** Sample segmented results of retinal images from the DRIVE, STARE, CHASEDB1, and HRF databases using the proposed method: (a) the original images, (b) the corresponding obtained segmentations, and (c) the manual segmentations.

the initial diameter. However, since in retinal images vascular diameters decrease when moving away from the optic disk, the frequency of vessels with diameters smaller than the initial diameter is often greater. Thus, the diameters of narrow vessels are often overestimated as larger, lowering the specificity. This limitation happens at bifurcation and crossover points where the vessel width might not be continuous. Nevertheless, in contrary to pixel-based methods, the proposed tracking method provides direct diameter estimations and decomposes the vascular tree into constitutive branches. Sample segmentations obtained using our method are shown in Fig. 11, which shows a reasonable resemblance to the reference segmentations.

The average processing time per image in DRIVE and STARE databases is about 90 s (Ubuntu 14.04 64 bit, used one processor core of Intel Core i7 @ 2.40 GHz and 8 GB RAM). It is worth noting that computational complexity for inverting a covariance matrix is  $\mathcal{O}(n^3)$  and this fact along with the present integration in Radon transform would lead to more computational complexity compared with the other methods.

## 5 Discussion and Conclusion

Considering the outbreak of diabetes, its effect on retinal vessels, and the growing demand for periodical examination of retinal images, the automatic analysis of retinal images is a relevant problem in medical image processing. In this paper, we

presented a new approach to track blood vessel centerlines and their diameters based on GP and Radon transform. We assumed that for a single fragment of a vessel, its curvature and diameter are GPs whose kernel parameters are optimized by maximizing the likelihood of the data.

In order to test the performance of the algorithm, the retinal images in DRIVE, STARE, CHASEDB1, and HRF databases, with ground truth pixel labels, were used. We showed that the proposed method is robust to noise and thus able to track thin structures and central arterial reflex, where the signal quality drops significantly. This property is first due to integration of the local intensities used to compute the Radon transformations. Furthermore, the smoothness in the centerlines is enforced by spatial correlations of the predictions made by GP. The result is an increased specificity level when compared to other methods. The proposed method directly measures the vessel diameters and detects the bifurcation points that can be useful for further postquantitative and compositional analysis.

The proposed method relies on inverting covariance matrices and computing line integrals for Radon transformations, which can be computationally expensive. One possible interesting research direction is the development of a mechanism to make the algorithm computationally more efficient. This can be achieved using methods such as sparse GPs<sup>49,50</sup> or Fourier transforms to compute the Radon features.<sup>51,52</sup>



## Disclosures

No conflicts of interest, financial or otherwise, are declared by the authors.

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**Masoud Elhami Asl** received his BSc degree in biomedical engineering from Sahand University of Technology in 2012 and his MSc degree in biomedical engineering from Tarbiat Modares University in 2015. Subsequently, he joined R&D department of Saadat Co and worked on ECG signal analysis. Currently, he is a visiting researcher in the Norwegian Colour and Visual Computing Laboratory (ColorLab) at NTNU, Norway. His research interest includes machine learning in medical image analysis and biological signal processing.

**Navid Alemi Koohbanani** received his bachelor's degree from the University of Isfahan in 2013 and master's degree from Tarbiat Modares University in 2015. He is now working toward his PhD in the Department of Computer Science, University of Warwick, Coventry. His research interests are medical image analysis and machine learning.

**Alejandro F. Frangi** (Alex) obtained his undergraduate degree in telecommunications engineering from the Technical University of Catalonia in Barcelona in 1996 and his PhD at the Image Sciences Institute of the University Medical Center Utrecht in 2001 on model-based cardiovascular image analysis. He is a professor of biomedical image computing at the University of Sheffield (USFD), Sheffield, United Kingdom. He leads the Center for Computational Imaging and Simulation Technologies in Biomedicine and is the academic coordinator of the MSc Bioengineering: Imaging and Sensing program.

**Ali Gooya** obtained his MSc degree in electrical engineering from Tehran University and his PhD in information science from the University of Tokyo. He then moved to the University of Pennsylvania and worked on tumor image segmentation/registration. Subsequently, he served as an assistant professor in Tarbiat Modares University. In 2014, he was awarded an IIF Marie-Curie Fellowship at the University of Sheffield, where he currently works as a lecturer in medical image computing. His research interest includes probabilistic machine learning, variational Bayesian inference, and graphical models.