# Groupoid Model of HoTT in Lean 4

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## 0.1 Natural Models

In this section we describe the categorical semantics of HoTT via Natural Models. This will not be a detailed account of the syntax of HoTT, but will be a detailed account of what is needed to interpret such syntax. It will follow [Awo17], but with a more compact description of identity types using the technology of polynomial endofunctors, and a universe of small types.

Notation. We will have two universe sizes - one small and one large. We denote the category of small sets as **set** and the large sets as **Set**. For example, we could take the small sets **set** to be those in **Set** bounded in cardinality by some inaccessible cardinal.

## 0.1.1 Types

Let  $\mathbb{C}$  be a locally small category, i.e. a category whose class of objects is a **Set** and whose hom-classes are from **set**. We write  $\mathbf{Psh}(\mathbb{C})$  for the category of (large) presheaves over  $\mathbb{C}$ ,

$$\mathbf{Psh}(\mathbb{C}) =_{\mathrm{def}} [\mathbb{C}^{\mathrm{op}}, \mathbf{Set}]$$

**Definition 0.1.1.** Following Awodey [Awo17], we say that a map  $\operatorname{tp}:\operatorname{\mathsf{Tm}}\to\operatorname{\mathsf{Ty}}$  is presentable when any fiber of a representable is representable. In other words, given any  $\Gamma\in\mathbb{C}$  and a map  $A:\operatorname{y}(\Gamma)\to\operatorname{\mathsf{Ty}}$ , there is some representable  $\Gamma\cdot A\in\mathbb{C}$  and maps  $\operatorname{\mathsf{disp}}_A:\Gamma\cdot A\to\Gamma$  and  $\operatorname{\mathsf{var}}_A:\operatorname{y}(\Gamma\cdot A)\to\operatorname{\mathsf{Tm}}$  forming a pullback

$$\begin{array}{ccc} \mathbf{y}(\Gamma \cdot A) & \xrightarrow{\operatorname{var}_A} & \operatorname{Tm} \\ \mathbf{y}(\operatorname{disp}_A) & & & \downarrow \operatorname{tp} \\ \mathbf{y}(\Gamma) & \xrightarrow{A} & \operatorname{Ty} \end{array}$$

The Natural Model associated to a presentable map  $tp: Tm \to Ty$  consists of

- contexts as objects  $\Gamma, \Delta, \dots \in \mathbb{C}$ ,
- a type in context  $y(\Gamma)$  as a map  $A: y(\Gamma) \to \mathsf{Ty}$ ,
- a term of type A in context  $\Gamma$  as a map  $a: y(\Gamma) \to Tm$  such that



commutes,

• an operation called "context extension" which given a context  $\Gamma$  and a type  $A\colon \mathsf{y}(\Gamma)\to \mathsf{T}\mathsf{y}$  produces a context  $\Gamma\cdot A$  which fits into a pullback diagram below.

$$\begin{array}{ccc} \mathbf{y}(\Gamma.A) & \longrightarrow \mathbf{Tm} \\ \downarrow & & \downarrow \\ \mathbf{y}(\Gamma) & \longrightarrow_A \mathbf{Ty} \end{array}$$

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**Remark.** Sometimes, we first construct a presheaf X over  $\Gamma$  and observe that it can be classified by a map into Ty. We write

$$\begin{array}{c} X \longrightarrow \mathsf{Tm} \\ \downarrow \\ \mathsf{y}(\Gamma) \longrightarrow \mathsf{Ty} \end{array}$$

to express this situation, i.e.  $X \cong y(\Gamma \cdot \lceil X \rceil)$ .

## 0.1.2 Pi types

**Definition 0.1.2.** We will use  $P_{\sf tp}$  to denote the polynomial endofunctor (0.3.1) associated with our presentable map  $\sf tp$ . Then an interpretation of  $\Pi$  types consists of a pullback square

$$\begin{array}{ccc} P_{\mathrm{tp}}\mathrm{Tm} & \xrightarrow{\lambda} & \mathrm{Tm} \\ \\ P_{\mathrm{tp}}\mathrm{tp} & & & \downarrow \mathrm{tp} \\ \\ P_{\mathrm{tp}}\mathrm{Ty} & \xrightarrow{\Pi} & \mathrm{Ty} \end{array} \tag{0.1.1}$$

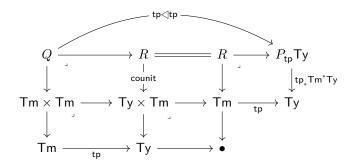
# 0.1.3 Sigma types

#### Definition 0.1.3.

An interpretation of  $\Sigma$  types consists of a pullback square

$$\begin{array}{c} Q \xrightarrow{\mathrm{pair}} \mathrm{Tm} \\ \underset{\mathrm{tp} \triangleleft \mathrm{tp}}{\bigvee} & \underset{\Sigma}{\downarrow} \mathrm{tp} \end{array} \tag{0.1.2}$$

where the composition of polynomials  $\mathsf{tp} \lhd \mathsf{tp} : Q \to P_\mathsf{tp} \mathsf{Ty}$  is given by



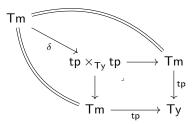
Here,  $counit : tp^*tp_*Tm^*Ty \to Tm^*Ty$  is the counit of the adjunction  $tp^* \dashv tp_*$  at  $Tm^*Ty \in \mathbf{Psh}(\mathbf{grpd})/Tm$ .

## 0.1.4 Identity types

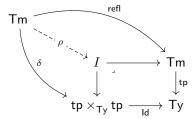
**Definition 0.1.4.** To interpret the formation and introduction rules for identity types we require a commutative square (this need not be pullback)

$$\begin{array}{ccc} \operatorname{Tm} & \xrightarrow{\operatorname{refl}} & \operatorname{Tm} \\ \downarrow \downarrow & & \downarrow \operatorname{tp} \\ \operatorname{tp} \times_{\operatorname{Ty}} \operatorname{tp} & \xrightarrow{\operatorname{Id}} & \operatorname{Ty} \end{array} \tag{0.1.3}$$

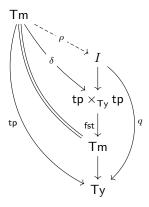
where  $\delta$  is the diagonal:



Then let I be the pullback. We get a comparison map  $\rho$ 



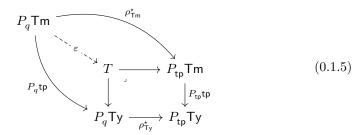
Then view  $\rho: \mathsf{tp} \to q$  as a map in the slice over  $\mathsf{Ty}.$ 



Now (by 0.3.6) applying  $P_-: (\mathbf{Psh}(\mathbb{C})/\mathsf{Ty})^\mathrm{op} \to [\mathbf{Psh}(\mathbb{C}), \mathbf{Psh}(\mathbb{C})]$  to  $\rho: \mathsf{tp} \to q$  gives us a naturality square (this also need not be pullback).

$$\begin{split} P_q \mathsf{Tm} & \xrightarrow{\rho_{\mathsf{Tm}}^{\star}} P_{\mathsf{tp}} \mathsf{Tm} \\ P_q \mathsf{tp} & & & \downarrow P_{\mathsf{tp}} \mathsf{tp} \\ P_q \mathsf{Ty} & \xrightarrow{\rho_{\mathsf{Ty}}^{\star}} P_{\mathsf{tp}} \mathsf{Tm} \end{split} \tag{0.1.4}$$

Taking the pullback T and the comparison map  $\varepsilon$  we have



Finally, we require a section  $\mathsf{J}:T\to P_q\mathsf{Tm}$  of  $\varepsilon,$  to interpret the identity elimination rule.

## 0.1.5 A type of small types

We now wish to formulate a condition that allows us to have a type of small types, written U, not just *judgement* expressing that something is a type. With this notation, the judgements that we would like to derive is

$$\mathsf{U}\colon \mathsf{Ty} \qquad \frac{a\colon \mathsf{U}}{\mathsf{El}(a)\colon \mathsf{Ty}}$$

**Definition 0.1.5.** In the Natural Model, a universe U is postulated by a map

$$\pi\colon\mathsf{E}\to\mathsf{U}$$

In the Natural Model:

• There is a pullback diagram of the form

$$\begin{array}{ccc}
\mathsf{U} & \longrightarrow \mathsf{Tm} \\
\downarrow & & \downarrow \\
1 & \xrightarrow{\mathsf{TH}^n} \mathsf{Ty}
\end{array} (0.1.6)$$

• There is an inclusion of U into Ty

$$\mathsf{EI} \colon \mathsf{U} \rightarrowtail \mathsf{Ty}$$

•  $\pi: \mathsf{E} \to \mathsf{U}$  is obtained as pullback of  $\mathsf{tp}$ ; There is a pullback diagram

$$E \rightarrowtail \mathsf{Tm} \qquad \qquad (0.1.7)$$

$$\downarrow \qquad \qquad \downarrow$$

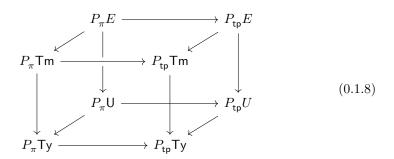
$$\mathsf{U} \rightarrowtail \mathsf{Ty}$$

With the notation above, we get

Both squares above are pullback squares.

## 0.1.6 Stability of the universe under type formers

Take the pullback diagram 0.1.7. That is a morphism in the category of polynomials. By 0.3.7 we have a cartesian natural transformation  $P_{\pi} \to P_{\rm tp}$  induced by the pullback 0.1.7. This cartesian natural transformation induces the cube diagram below; all of the squares in the cube are pullback squares.



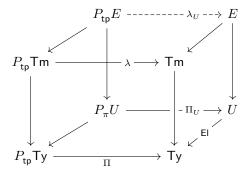
We will use the compositions  $P_{\pi}U \to P_{\mathsf{tp}}\mathsf{Ty}$  and  $P_{\pi}E \to P_{\mathsf{tp}}\mathsf{Tm}$  below.

**Definition 0.1.6.** We will say that universe U is closed under formation of Π-types when we have some map  $\Pi_U: P_\pi \mathsf{U} \to \mathsf{U}$  making the following square commute

$$\begin{array}{ccc} P_{\pi}\mathsf{U} & \longrightarrow P_{\mathsf{tp}}\mathsf{Ty} & & & & \\ \Pi_{\mathsf{U}} & & & & \Pi_{\mathsf{U}} \\ \downarrow & & & \downarrow & \\ \mathsf{U} & \longrightarrow \mathsf{Ty} & & & \end{array} \tag{0.1.9}$$

Note that this is merely propositional when El is a monomorphism.

From the universal property of pullbacks we can define  $\lambda_U P_{\pi} \mathsf{E} \to \mathsf{E}$ .



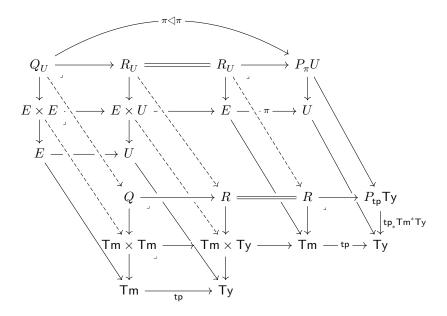
The top and bottom squares in the cube above are not pullbacks, but we know three of the vertical faces are pullback squares. By the pullback pasting lemma it follows that the back square involving  $\Pi_U$  and  $\lambda_U$  is also a pullback square. This concludes the construction of the  $\Pi$  type former for the universe U. The only data we needed to supply was the lift  $\Pi_U$  of  $\Pi\colon P_\mathsf{tp}\mathsf{Ty}\to\mathsf{Ty}$  to the universe U.

**Definition 0.1.7.** We will say that universe U is closed under formation of Σ-types when we have some map  $\Sigma_U: P_\pi \mathsf{U} \to \mathsf{U}$  making the following square commute

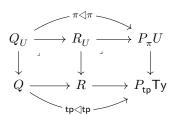
$$\begin{array}{ccc} P_{\pi} \mathsf{U} & \longrightarrow P_{\mathsf{tp}} \mathsf{Ty} & & & \\ & \mathsf{I} & & & \mathsf{I} \\ & \mathsf{\Sigma}_{\mathsf{U}} & & & \mathsf{I} \\ & \mathsf{V} & & \longrightarrow \mathsf{Ty} & & \\ \end{array} \tag{0.1.10}$$

Again, this is merely propositional when El is a monomorphism.

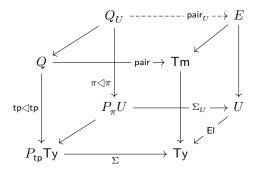
Now consider the polynomial composition  $\pi \triangleleft \pi$ .



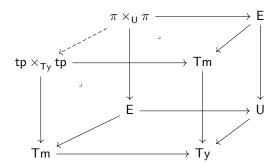
Using pullback pasting, we see that the horizontal faces of the left cuboid and the right cube are all pullbacks. Hence we have a pullback



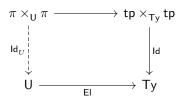
which is the left side of the following cube



As was the case for  $\Pi$ , pullback pasting along the vertical faces shows that the back face involving  $\Sigma_U$  and  $\mathsf{pair}_U$  is also a pullback square. This concludes the construction of the  $\Sigma$  type former for the universe U. Again, the only data we needed to supply was the lift  $\Sigma_U$  of  $\Sigma\colon P_\mathsf{tp}\mathsf{Ty}\to\mathsf{Ty}$  to the universe U.

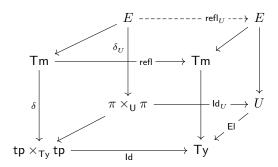


**Definition 0.1.8.** We will say that universe U is closed under formation of Id-types when we have some map  $Id_U: \pi \times_U \pi \to U$  making the following square commute

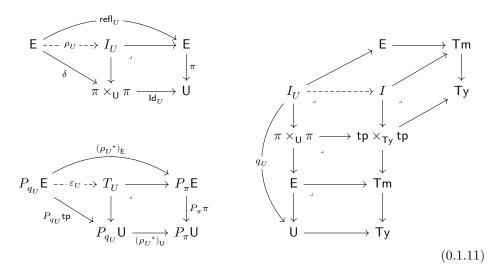


Again, this is merely propositional when El is a monomorphism.

From this we can obtain  $\mathsf{refl}_U$  since  $\mathsf{E}$  is a pulback.



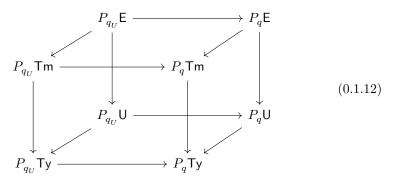
We must ensure that the left face commutes - which we can prove using the universal property of  $\mathsf{tp} \times_{\mathsf{Ty}} \mathsf{tp}$ . It remains to construct  $\mathsf{J}_U$ , a section of  $\varepsilon_U$ , given below



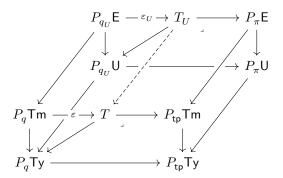
Now the above give us the following pullback factorization of 0.1.7

$$\pi \Longrightarrow \mathsf{tp}$$

If we apply 0.3.7 to the lower pullback square, i.e.  $\kappa_q$  then we will get a cartesian natural tranformation  $P_{\kappa_U}:P_{q_U}\to P_q$ . Like in 0.1.8, evaluating this at the pullback square 0.1.7 induces the cube below with pullbacks on every face.

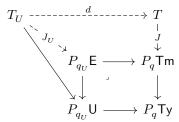


Now we consider the diagrams for both  $\varepsilon$  and  $\varepsilon_U$ 



The right face is the composed diagonal pullback square from 0.1.8. The left face of the outer cube is the composed diagonal pullback square from 0.1.12. The front face of the outer cube is the naturality square for  $\rho^{\star}$  from 0.1.5 and similarly the back face is the naturality square for  $\rho_{U}^{\star}$  from 0.1.11.

Since the left face is a pullback square, to make  $J_U:T_U\to P_{q_U}\mathsf{E}$  it suffices to consider



It follows from uniqueness of maps into pullbakes that  $J_U$  so defined is a section of  $\varepsilon_U$ . This concludes the construction of the ld type former for the universe U. Again, the only data we needed to supply was the lift  $\operatorname{Id}_U$  of  $\operatorname{Id}$  to the universe U.

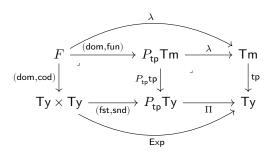
## 0.1.7 Binary products and Exponentials

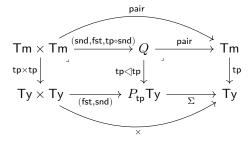
It is convenient to specialize  $\Sigma$  and  $\Pi$  types to their non-dependent counterparts. In the natural model we can construct these by considering first the map

$$(\mathsf{fst},\mathsf{snd}):\mathsf{Ty}\times\mathsf{Ty}\to P_\mathsf{tp}\mathsf{Ty}$$

which we can visualize in

Then, respectively, the pullback of the diagrams 0.1.1 and 0.1.2 for interpreting  $\Pi$  and  $\Sigma$  rules along this map give us pullback diagrams for interpreting function types and product types.

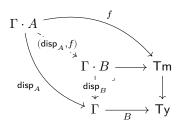




By the universal property of pullbacks and 0.3.2 We can write a map  $\Gamma \to F$  as a triple (A,B,f) such that  $A,B:\Gamma \to \mathsf{Ty}$  and

$$\begin{array}{ccc} \Gamma \cdot A & \stackrel{f}{\longrightarrow} \operatorname{Tm} \\ \operatorname{disp}_A & & \operatorname{tp} \\ \Gamma & \stackrel{B}{\longrightarrow} \operatorname{Ty} \end{array}$$

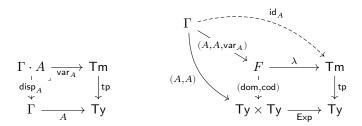
This gives us four equivalent ways we can view a function. Namely, as  $f: \Gamma \cdot A \to \mathsf{Tm}$  in the above diagram,  $\lambda \circ f: \Gamma \to \mathsf{Tm}$ , as  $(A,B,f): \Gamma \to F$ , or as a map between the displays  $\mathsf{disp}_A \to \mathsf{disp}_B$ 



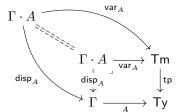
For the formalization, we need not prove that the pullback of  $\mathsf{tp} \lhd \mathsf{tp}$  is  $\mathsf{tp} \times \mathsf{tp}$ . Rather, we can also use the universal property of pullbacks and 0.3.2 to classify a map into the pullback (whatever it may be) as a pair  $(\alpha, \beta)$ , where  $\alpha, \beta : \Gamma \to \mathsf{Tm}$ . This could then be adapted to a proof that the pullback is what the diagram claims it to be.

Naturally, there are the same constructions bounded by the universe, which will exist when  $\Pi_U$  and  $\Sigma_U$  exist.

The identity function  $\operatorname{id}_A:\Gamma\to\operatorname{Tm}$  of type  $\operatorname{Exp}\circ(A,A):\Gamma\to\operatorname{Ty}$  can be defined by the following



Viewed as a map between the display maps, this is simply the identity  $\Gamma \cdot A \to \Gamma \cdot A$ .



Composition is also simplest when viewed as an operation on maps between fibers. Given  $f: \mathsf{disp}_A \to \mathsf{disp}_B$  and  $g: \mathsf{disp}_B \to \mathsf{disp}_C$ , the composition is  $g \circ f: \mathsf{disp}_A \to \mathsf{disp}_C$ .

#### 0.1.8 Univalence

For two types  $AB: \Gamma \to \mathsf{Ty}$  and two functions  $f,g:A\to B$  we can define internally a *homotopy* from f to g as

$$f \sim g := \prod_{a:A} \operatorname{Id}(f \, a, g \, a)$$

We define the types of left and right inverses of  $f: A \to B$  as

$$\mathsf{BigLinv} f := \Sigma_{g:B \to A} \, g \circ f \sim \mathsf{id}_A$$

$$\mathsf{BigRinv} f := \Sigma_{g:B \to A} \, f \circ g \sim \mathsf{id}_B$$

and the property of being an equivalence

$$lsBigEquiv f := BigLinv f \times BigRinv f$$

We could do the same for two small types  $A, B : \Gamma \to \mathsf{U}$ 

$$\mathsf{IsEquiv} f := \mathsf{Linv} f \times \mathsf{Rinv} f$$

$$\mathsf{Equiv} A\, B := \Sigma_{f:A o B} \mathsf{IsEquiv} f$$

Again, internally we can define a function

$$IdToEquiv A B : Id(A, B) \rightarrow Equiv A B$$

which uses J to transport along the proof of equality to produce an equivalence. **Definition 0.1.9.** Univalence for universe U states that IdToEquiv itself is an equivalence

Note that this statement is large, i.e. not a type in the universe U.

$$U \cdot U \cdot Id \xrightarrow{IdToEquiv} U \cdot U \cdot Equiv$$

$$U \cdot U$$

# 0.1.9 Extensional identity types and UIP

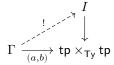
In this section we outline variations on the identity type in the natural model. We will describe these as additional structure on Id, as opposed to introducing different identity types. The first option is fully extensional identity types, i.e. those satisfying equality reflection and uniqueness of identity proofs (UIP). Equality reflection says that if one can construct a term satisfying  $\operatorname{Id}(a,b)$  then we have that definitionally  $a \equiv b$ , i.e. they are equal morphisms in the natural model. This amounts to requiring that 0.1.3 is a pullback, i.e.  $\rho$  is an isomorphism

$$\begin{array}{ccc} \mathsf{Tm} & \xrightarrow{\mathsf{refl}} & \mathsf{Tm} \\ \delta & & & \downarrow^{\mathsf{tp}} \\ \mathsf{tp} \times_{\mathsf{Ty}} \mathsf{tp} & \xrightarrow{\mathsf{Id}} & \mathsf{Ty} \end{array}$$

Note that this means  $\rho^*$  is an isomorphism, from which it follows that 0.1.4 is also a pullback, i.e.  $\varepsilon$  is an isomorphism.

$$\begin{split} P_q \mathsf{Tm} & \xrightarrow{\rho_{\mathsf{Tm}}^{\star}} P_{\mathsf{tp}} \mathsf{Tm} \\ P_q \mathsf{tp} & & & \downarrow P_{\mathsf{tp}} \mathsf{tp} \\ P_q \mathsf{Ty} & \xrightarrow{\rho_{\mathsf{Ty}}^{\star}} P_{\mathsf{tp}} \mathsf{Tm} \end{split}$$

If we were to only require UIP then this is asking that  $I \to \mathsf{tp} \times_{\mathsf{Ty}} \mathsf{tp}$  is a strict proposition, meaning for any  $(a,b) : \Gamma \to \mathsf{tp} \times_{\mathsf{Ty}} \mathsf{tp}$  there it at most one lift



One might wonder what other variations we could come up with by tweaking the pullback conditions. In fact, only requiring that  $\rho$  has a section is equivalent to requiring that  $\rho$  is an isomorphism. So this just the extensional case again.

If we require instead that  $\varepsilon$  is an isomorphism then this is giving an  $\eta$ -rule for J, from which we can prove equality reflection and UIP [Hof95]. So this just the extensional case again.

# 0.2 The Groupoid Model

In this section we construct a natural model in **Psh(grpd)** the presheaf category indexed by the category **grpd** of (small) groupoids. We will build the classifier for display maps in the style of Hofmann and Streicher [HS98] and Awodey [Awo23]. To interpret the type constructors, we will make use of the weak factorization system on **grpd** - which comes from restricting the "classical Quillen model structure" on **cat** [Joy] to **grpd**.

#### 0.2.1 Classifying display maps

*Notation.* We denote the category of small categories as **cat** and the large categories as **Cat**. We denote the category of small groupoids as **grpd**.

We are primarily working in the category of large presheaves indexed by the (large, locally small) category of small groupoids, which we will denote by

$$\mathbf{Psh}(\mathbf{grpd}) = [\mathbf{grpd}^{\mathrm{op}}, \mathbf{Set}]$$

In this section,  $\mathsf{Tm}$  and  $\mathsf{Ty}$  and so on will refer to the natural model semantics in this specific model.

**Definition 0.2.1** (Pointed). We will take the category of pointed small categories  $\mathbf{cat}_{\bullet}$  to have objects as pairs  $(\mathbb{C} \in \mathbf{cat}, c \in \mathbb{C})$  and morphisms as pairs

$$(F: \mathbb{C}_1 \to \mathbb{C}_0, \phi: Fc_1 \to c_0) \colon (\mathbb{C}_1, c_1) \to (\mathbb{C}_0, c_0)$$

Then the category of pointed small groupoids  $\mathbf{grpd}_{\bullet}$  will be the full subcategory of objects  $(\Gamma, c)$  with  $\Gamma$  a groupoid.

**Definition 0.2.2** (The display map classifier). We would like to define a natural transformation in  $\mathbf{Psh}(\mathbf{grpd})$ 

$$\mathsf{tp}\colon \mathsf{Tm}\to \mathsf{Ty}$$

with representable fibers.

Consider the functor that forgets the point

$$U \colon \mathbf{grpd}_{\bullet} \to \mathbf{grpd}$$
 in Cat.

If we apply the Yoneda embedding  $y \colon \mathbf{Cat} \to \mathbf{Psh}(\mathbf{Cat})$  to U we obtain

$$U \circ : [-, \mathbf{grpd}] \to [-, \mathbf{grpd}]$$
 in  $\mathbf{Psh}(\mathbf{Cat})$ .

Since any small groupoid is also a large category  $i: \mathbf{grpd} \hookrightarrow \mathbf{Cat}$ , we can restrict  $\mathbf{Cat}$  indexed presheaves to be  $\mathbf{grpd}$  indexed presheaves (this the nerve in  $i_! \dashv \mathsf{res}$ ). We define  $\mathsf{tp}: \mathsf{Tm} \to \mathsf{Ty}$  as the image of  $U \circ \mathsf{under}$  this restriction.

$$\begin{array}{ccc} \mathbf{Cat} & \stackrel{\mathsf{y}}{\longrightarrow} \mathbf{Psh}(\mathbf{Cat}) & \stackrel{\mathsf{res}}{\longrightarrow} \mathbf{Psh}(\mathbf{grpd}) \\ \\ \mathbf{grpd} & \longmapsto [-,\mathbf{grpd}] & \longmapsto \mathsf{Ty} \end{array}$$

Note that Tm and Ty are not representable in Psh(grpd).

 $Remark\ 0.2.3.$  By Yoneda we can identify maps with representable domain into the type classifier

$$A: \mathsf{y}\Gamma \to \mathsf{T}\mathsf{y} \qquad \text{in} \quad \mathbf{Psh}(\mathbf{grpd})$$

with functors

$$A:\Gamma \to \mathbf{grpd}$$
 in Cat

**Definition 0.2.4** (Grothendieck construction). From  $\mathbb C$  a small category and  $F: \mathbb C \to \mathbf{cat}$  a functor, we construct a small category  $\int F$ . For any c in  $\mathbb C$  we refer to Fc as the fiber over c. The objects of  $\int F$  consist of pairs  $(c \in \mathbb C, x \in Fc)$ , and morphisms between (c,x) and (d,y) are pairs  $(f:c\to d,\phi:Ffx\to y)$ . This makes the following pullback in  $\mathbf{Cat}$ 

 $(c,x) \longmapsto (Fc,x)$ 

$$\begin{array}{cccc} (c,x) & & \int F & \longrightarrow \mathbf{cat}_{\bullet} & & (C,c) \\ & & \downarrow & & \downarrow & & \downarrow \\ c & & \mathbb{C} & \longrightarrow \mathbf{cat} & & C \\ \end{array}$$

**Definition 0.2.5** (Grothendieck construction for groupoids). Let  $\Gamma$  be a groupoid and  $A \colon \Gamma \to \mathbf{grpd}$  a functor, we can compose F with the inclusion  $i \colon \mathbf{grpd} \hookrightarrow \mathbf{Cat}$  and form the Grothendieck construction which we denote as

$$\Gamma \cdot A := \int i \circ A \qquad \operatorname{disp}_A \colon \Gamma \cdot A \to \Gamma$$

This is also a small groupoid since the underlying morphisms are pairs of morphisms from groupoids  $\Gamma$  and Ax for  $x \in \Gamma$ . Furthermore the pullback factors through (pointed) groupoids.

$$\begin{array}{cccc} \Gamma \cdot A & \longrightarrow \mathbf{grpd}_{\bullet} & \longrightarrow \mathbf{cat}_{\bullet} \\ & \downarrow & & \downarrow \\ \Gamma & \longrightarrow_{A} & \mathbf{grpd} & \longrightarrow \mathbf{cat} \end{array}$$

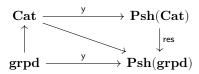
Corollary 0.2.6 (The display map classifier is presentable). For any small groupoid  $\Gamma$  and  $A: y\Gamma \to Ty$ , the pullback of tp along A can be given by the representable map  $ydisp_A$ .

$$\begin{array}{ccc} \mathbf{y}\Gamma \cdot A & \longrightarrow & \mathsf{Tm} \\ \mathbf{y}\mathsf{disp}_A & & \mathsf{tp} \\ \mathbf{y}\Gamma & \xrightarrow{A} & \mathsf{Ty} \end{array}$$

*Proof.* Consider the pullback in Cat

$$\begin{array}{ccc}
\Gamma \cdot A & \longrightarrow \mathbf{grpd}_{\bullet} \\
\downarrow & & \downarrow \\
\Gamma & \xrightarrow{A} \mathbf{grpd}
\end{array}$$

We send this square along res o y in the following



The Yoneda embedding  $y : \mathbf{Cat} \to \mathbf{Psh}(\mathbf{Cat})$  preserves pullbacks, as does res since it is a right adjoint (with left Kan extension  $\iota_1 \dashv \mathsf{res}_\iota$ ).

## 0.2.2 Groupoid fibrations

**Definition 0.2.7** (Fibration). Let  $p: \mathbb{C}_1 \to \mathbb{C}_0$  be a functor. We say p is a split Grothendieck fibration if we have a dependent function lift a f satisfying the following: for any object a in  $\mathbb{C}_1$  and morphism  $f: p \ a \to y$  in the base  $\mathbb{C}_0$  we have lift a  $f: a \to b$  in  $\mathbb{C}_1$  such that  $p(\text{lift } a \ f) = f$  and moreover lift a  $g \circ f = \text{lift } b$   $g \circ \text{lift } a$  f

$$\begin{array}{ccc}
a & \xrightarrow{\text{lift } a f} & b \\
\downarrow & & \uparrow & \downarrow \\
x & \xrightarrow{f} & y
\end{array}$$

In particular, we are interested in split Grothendieck fibrations of groupoids, which are the same as *isofibrations* (replace all the morphisms with isomorphisms in the definition).

Unless specified otherwise, by a *fibration* we will mean a split Grothendieck fibration of groupoids. Let us denote the category of fibrations over a groupoid  $\Gamma$  as  $\mathsf{Fib}_{\Gamma}$ , which is a full subcategory of the slice  $\mathsf{grpd}/\Gamma$ . We will decorate an arrow with  $\twoheadrightarrow$  to indicate it is a fibration.

Note that  $\mathsf{disp}_A \colon \Gamma \cdot A \to \Gamma$  is a fibration, since for any  $(x \in \Gamma, a \in Ax)$  and  $f \colon x \to y$  in  $\Gamma$  we have a morphism  $(f, \mathsf{id}_{Afa}) \colon (x, a) \to (y, Afa)$  lifting f. Furthermore

Proposition 0.2.8. There is an adjoint equivalence

$$[\Gamma,\operatorname{\mathbf{grpd}}] \xrightarrow[\text{fiber}]{\operatorname{\mathsf{disp}}} \operatorname{\mathsf{Fib}}_{\Gamma}$$

where for each fibration  $\delta: \Delta \to \Gamma$  and each object  $x \in \Gamma$ 

$$fiber_{\delta} x = full subcategory \{ a \in \Delta \mid \delta a = x \}$$

It follows that all fibrations are pullbacks of the classifier  $U: \mathbf{grpd}_{\bullet} \to \mathbf{grpd}$ , when viewed as morphisms in  $\mathbf{Cat}$ .

Pullback of fibrations along groupoid functors is not strictly coherent, in the sense that for  $\tau:\Xi\to\Delta$  and  $\sigma:\Delta\to\Gamma$  and a fibration  $p\in\mathsf{Fib}_\Gamma$  we only have an isomorphism

$$\tau^*\sigma^*p \cong (\sigma \circ \tau)^*p$$

rather than equality.

In order to interpret reindexing/substitution strictly, it is convenient to work with classifiers  $[\Gamma, \mathbf{grpd}]$  instead of fibrations.

**Proposition 0.2.9** (Strictly coherent pullback). Let  $\sigma: \Delta \to \Gamma$  be a functor between groupoids. Since display maps are pullbacks of the classifier  $U: \mathbf{grpd}_{\bullet} \to \mathbf{grpd}$  we have the pasting diagram

This gives us a functor  $\circ \sigma : [\Gamma, \mathbf{grpd}] \to [\Delta, \mathbf{grpd}]$  which is our strict version of pullback.

Corollary 0.2.10 (Fibrations are stable under pullback).

$$\begin{array}{ccc} [\Gamma, \mathbf{grpd}] & \longleftarrow & \mathsf{Fib}_{\Gamma} \\ & & & & \downarrow_{\sigma^*} \\ [\Delta, \mathbf{grpd}] & \longleftarrow & \mathsf{Fib}_{\Delta} \end{array}$$

We can deduce a corresponding fact about fibrations: since fibrations are closed under isomorphism, and since any pullback in  $\operatorname{\mathbf{grpd}}$  of a fibration p is isomorphic to the display map  $\operatorname{\mathsf{disp}}_{\operatorname{fiberpeq}}$ , any pullback of a fibration is a fibration.

A strict interpretation of type theory would require  $\Sigma$  and  $\Pi$ -formers to be stable under pullback (Beck-Chevalley). Thus we again define these as operations on classifiers  $[\Gamma, \mathbf{grpd}]$ .

**Definition 0.2.11** ( $\Sigma$ -former operation). Then given  $A:\Gamma\to\operatorname{\mathbf{grpd}}$  and  $B:\Gamma\cdot A\to\operatorname{\mathbf{grpd}}$  we define  $\Sigma_AB:\Gamma\to\operatorname{\mathbf{grpd}}$  such that  $\Sigma_AB$  acts on objects by forming fiberwise Grothendieck constructions

$$\Sigma_A B(x) := A(x) \cdot B \circ x_A$$

where  $x_A:A(x)\to\Gamma\cdot A$  takes  $f:a_0\to a_1$  to  $(\mathsf{id}_x,f):(x,a_0)\to(x,a_1)$ 

 $\Sigma_A B$  acts on morphism  $f: x \to y$  in  $\Gamma$  and  $(a \in A(x), b \in B(x,a))$  by

$$\Sigma_A B f(a,b) := (A f a, B(f, \mathsf{id}_{A f a}) b)$$

and for morphism  $(\alpha: a_0 \to a_1 \in A(x), \beta: B(\mathsf{id}_x, \alpha) \ b_0 \to b_1 \in B(x, a_1))$  in  $\Sigma_A B x$ 

$$\Sigma_A B f(\alpha, \beta) := (A f \alpha, B(f, \mathsf{id}_{A f a_1}) \beta)$$

Let us also define the natural transformation  $\mathsf{fst}: \Sigma_A B \to A$  by

$$\mathsf{fst}_x : (a,b) \mapsto a$$

**Proposition 0.2.12** (Fibrations are closed under composition). The corresponding fact about fibrations is that the composition of two fibrations is a fibration.



We can compare the two fibrations

$$\operatorname{\mathsf{disp}}_B \circ \operatorname{\mathsf{disp}}_A \qquad \text{and} \qquad \operatorname{\mathsf{disp}}_{\Sigma_A(B)}$$

An object in the composition would look like ((x,a),b) for  $x \in \Gamma$ ,  $a \in A(x)$  and  $b \in B(x,a)$ , whereas an object in  $\Gamma \cdot \Sigma_A(B)$  would instead be (x,(a,b)).

**Proposition 0.2.13** (Strict Beck-Chevalley for  $\Sigma$ ). Let  $\sigma : \Delta \to \Gamma$ ,  $A : \Gamma \to \operatorname{\mathbf{grpd}}$  and  $B : \Gamma \cdot A \to \operatorname{\mathbf{grpd}}$ . Then

$$(\Sigma_A B) \circ \sigma = \Sigma_{A \circ \sigma} (B \circ \sigma_A)$$

where  $\sigma_A$  is uniquely determined by the pullback in

*Proof.* By checking pointwise at  $x \in \Delta$ , this boils down to showing

$$(\sigma x)_A = \sigma_A \circ x_{A \circ \sigma} : A(\sigma x) \to \Gamma \cdot A$$

$$A(\sigma x) \xrightarrow[]{(\sigma x)_A} \Delta \cdot A\sigma \xrightarrow{\sigma_A} \Gamma.A \xrightarrow{B} \mathbf{grpd}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

which holds because of the universal property of pullback.

**Definition 0.2.14** ( $\Pi$ -former operation). Given  $A:\Gamma\to\operatorname{\mathbf{grpd}}$  and  $B:\Gamma\cdot A\to\operatorname{\mathbf{grpd}}$  we will define  $\Pi_AB:\Gamma\to\operatorname{\mathbf{grpd}}$  such that for any  $C:\Gamma\to\operatorname{\mathbf{grpd}}$  we have an isomorphism

$$[\Gamma \cdot A, \mathbf{grpd}](\mathsf{disp}_A \circ C, B) \cong [\Gamma, \mathbf{grpd}](C, \Pi_A B)$$

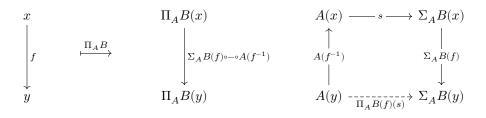
natural in both B and C.

*Proof.*  $\Pi_A B$  acts on objects by taking fiberwise sections

$$\Pi_A B(x) := \{ s \in [A(x), \Sigma_A B(x)] \, | \, \mathsf{fst}_x \circ s = \mathsf{id}_{A(x)} \}$$

Where we have taken the full subcategory of the functor category  $[A(x), \Sigma_A B(x)]$ . This is a groupoid since any natural transformation of functors into groupoids are natural isomorphisms.

 $\Pi_A B$  acts on morphisms via conjugation



Note that conjugation is functorial and invertible.

Corollary 0.2.15 (Fibrations are closed under pushforward). Stated in terms of fibrations, we have

$$\begin{array}{ccc}
\Xi & \Gamma_! \sigma_* \tau \\
\downarrow^{\tau} & \downarrow^{\sigma_* \tau} \\
\Delta & \xrightarrow{\sigma} & \Gamma
\end{array}$$

with the universal property of pushforward

$$\mathsf{Fib}_{\Delta}(\sigma^*\rho,\tau) \cong \mathsf{Fib}_{\Gamma}(\rho,\sigma_*\tau)$$

natural in both  $\tau$  and  $\rho$ .

**Proposition 0.2.16** (Strict Beck-Chevalley for  $\Pi$ ). Let  $\sigma : \Delta \to \Gamma$ ,  $A : \Gamma \to \operatorname{\mathbf{grpd}}$  and  $B : \Gamma \cdot A \to \operatorname{\mathbf{grpd}}$ . Then

$$(\Pi_A B) \circ \sigma = \Pi_{A \circ \sigma} (B \circ \sigma_A)$$

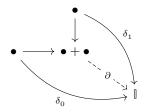
where  $\sigma_A$  is uniquely determined by the pullback in

*Proof.* By checking pointwise, this boils down to Beck-Chevalley for  $\Sigma$ .

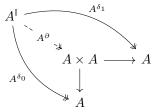
**Proposition 0.2.17** (All objects are fibrant). Let  $\bullet$  denote the terminal groupoid, namely that with a single object and morphism. Then the unique map  $\Gamma \to \bullet$  is a fibration.

**Definition 0.2.18** (Interval). Let the interval groupoid  $\mathbb{I}$  be the small groupoid with two objects and a single non-identity isomorphism. There are two distinct morphisms  $\delta_0, \delta_1 : \bullet \to \mathbb{I}$  and a natural isomorphism  $i : \delta_0 \Rightarrow \delta_1$ . Note that  $\delta_0$  and  $\delta_1$  both form adjoint equivalences with the unique map  $! : \mathbb{I} \to \bullet$ .

Denote by  $\bullet + \bullet$  the small groupoid with two objects and only identity morphisms. Then let  $\partial : \bullet + \bullet \to \mathbb{I}$  be the unique map factoring  $\delta_0$  and  $\delta_1$ .



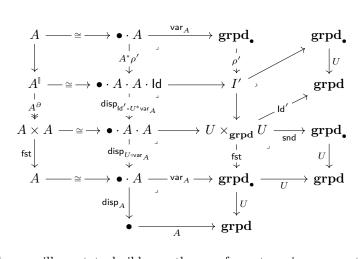
**Proposition 0.2.19** (Path object fibration). Let A be a small groupoid. Recall that  $\operatorname{\mathbf{grpd}}$  is Cartesian closed, so we can take the image of the above diagram under the functor  $A^-$ .



Then the indicated morphisms are fibrations, and  $A^{\delta_0}$ ,  $A^{\delta_1}$  form adjoint equivalences with  $A^!:A\to A^{\mathbb{I}}$ .

We can use this to justify the interpretation of the identity type later, where we will have the strictified versions (as in strictly stable under substitution) of the

above



In general, we will want to build a pathspace for a type in any context, which requires us to pull back the interval along the context, and rebuild the required fibration by exponentiation in the slice.

## 0.2.3 Classifying type dependency

**Proposition 0.2.20** ( $P_{\sf tp}$  classifies type dependency). Specialized to  $\sf tp: Tm \to Ty$  in Psh(grpd), the characterizing property of polynomial endofunctors 0.3.2 says that a map from a representable  $\Gamma \to P_{\sf tp} X$  corresponds to the data of

$$A:\Gamma \to \mathsf{Ty} \qquad and \qquad B:\Gamma \cdot A \to X$$

The special case of when X is also Ty gives us a classifier for dependent types; by Yoneda the above corresponds to the data in Cat of

$$A:\Gamma \to \mathbf{grpd}$$
 and  $B:\Gamma \cdot A \to \mathbf{grpd}$ 

Furthermore, precomposition by a substitution  $\sigma: \Delta \to \Gamma$  acts on such a pair by

$$\begin{array}{c|c}
\Delta \\
\sigma \downarrow \\
\Gamma \xrightarrow{(A \circ \sigma, B \circ \mathsf{tp}^* \sigma)}
\end{array}$$

$$\begin{array}{c}
(A \circ \sigma, B \circ \mathsf{tp}^* \sigma) \\
\downarrow \\
P_{\mathsf{tp}} X$$

where  $tp^*\sigma$  is given by

$$\begin{array}{ccc} \Delta \cdot A \circ \sigma \xrightarrow{\mathsf{tp}^* \sigma} \Gamma \cdot A \longrightarrow \mathbf{grpd}_{\bullet} \\ \downarrow & \downarrow & \downarrow \\ \Delta \xrightarrow{\sigma} \Gamma \xrightarrow{A} \mathbf{grpd} \end{array}$$

# 0.2.4 Pi and Sigma structure

**Lemma 0.2.21.**  $X \in \mathbf{Psh}(\mathbf{grpd})$  be a presheaf. Let F be an operation that takes a groupoid  $\Gamma$ , a functor  $A : \Gamma \to \mathbf{grpd}$  and  $B : \Gamma \cdot A \to X$  and returns a natural transformation  $F_AB : \Gamma \to X$ .

Then using Yoneda to define  $\tilde{F}: P_{\mathsf{tp}}X \to X$  pointwise as

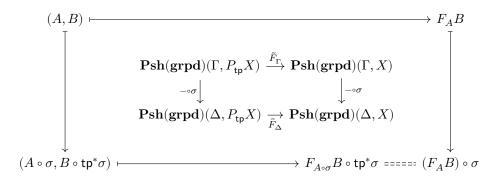
$$\begin{split} \tilde{F}_{\Gamma}: \mathbf{Psh}(\mathbf{grpd})(\Gamma, P_{\mathsf{tp}}X) \to \mathbf{Psh}(\mathbf{grpd})(\Gamma, X) \\ (A, B) \mapsto F_A B \end{split}$$

gives us a natural transformation if and only if F satisfies the strict Beck-Chevalley condition

$$(F_AB)\circ\sigma=F_{A\circ\sigma}(B\circ\operatorname{tp}^*\sigma)$$

for every  $\sigma: \Delta \to \Gamma$  in **grpd**.

Proof. Using 0.2.20



**Definition 0.2.22** (Interpretation of Π types). We define the natural transformation  $\Pi: P_{\mathsf{tp}}\mathsf{Ty} \to \mathsf{Ty}$  as that which is induced (0.2.21) by the Π-former operation (0.2.14).

Then we define the natural transformation  $\lambda: P_{\mathsf{tp}}\mathsf{Ty} \to \mathsf{Ty}$  as the natural transformation induced by the following operation: given  $A: \Gamma \to \mathsf{grpd}$  and  $\beta: \Gamma \cdot A \to \mathsf{grpd}_{\bullet}$ ,  $\lambda_A \beta: \Gamma \to \mathsf{grpd}_{\bullet}$  will be the functor such that on objects  $x \in \Gamma$ 

$$\lambda_{A}\beta\left(x\right):=\left(\Pi_{A}B\left(x\right),a\mapsto\left(a,b(x,a)\right)\right)$$

where  $B := U \circ \beta : \Gamma \cdot A \to \mathbf{grpd}$  and b(x,a) is the point in  $\beta(x,a)$ . On morphisms  $f : x \to y$  in  $\Gamma$  we have

$$\lambda_{A}\beta\left(f\right):=\left(\Pi_{A}B\left(f\right),\eta\right)$$

where  $\eta:\Pi_A B\,f\,s_x\to s_y$  is a natural isomorphism between functors  $A_y\to \Sigma_A By$  given on objects  $a\in A_y$  by

$$\eta_a := (\mathsf{id}_a, \mathsf{id}_{b(y,a)})$$

These combine to give us a pullback square

$$\begin{array}{ccc} P_{\mathsf{tp}}\mathsf{Tm} & \xrightarrow{\quad \lambda \quad} \mathsf{Tm} \\ & & & \downarrow^{\mathsf{tp}} \\ P_{\mathsf{tp}}\mathsf{Ty} & \xrightarrow{\quad \Pi \quad} \mathsf{Ty} \end{array}$$

*Proof.* We should check that the  $\lambda$  operation satisfied Beck-Chevalley. This follows from the  $\Pi$  satisfying Beck-Chevalley and extensionality results for functors.

The square commutes and is a pullback if and only it pointwise commutes and pointwise gives pullbacks, i.e. for each groupoid  $\Gamma$ 

where we have used 0.2.20. That this commutes follows from the definitions of  $\Pi$  and  $\lambda$ .

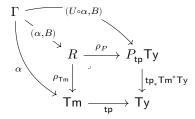
To show it is pullback it suffices to note that for any  $f:\Gamma\to\operatorname{\mathbf{grpd}}_{\bullet}$  and  $(A,B):\Gamma\to P_{\operatorname{tp}}\operatorname{\mathsf{Ty}}$  such that  $U\circ f=\Pi_AB$ , there exists a unique  $(A,\beta):\Gamma\to P_{\operatorname{\mathsf{tp}}}\operatorname{\mathsf{Tm}}$  such that  $U\circ\beta=B$  and  $\lambda_A\beta=f$ . Indeed  $\beta$  is fully determined by the above conditions to be

$$\beta: \Gamma \cdot A \to \mathbf{grpd}_{ullet}$$
  
 $(x, a) \mapsto (B(x, a), f x a)$ 

**Lemma 0.2.23.** This is a specialization of 0.3.3. Use R to denote the fiber product

$$\begin{array}{c} R \xrightarrow{\rho_P} P_{\mathsf{tp}} \mathsf{Ty} \\ \text{$\mathsf{tp}^*$} \mathsf{tp}_* \mathsf{Tm}^* \mathsf{Ty} = \rho_{\mathsf{Tm}} \downarrow & & \downarrow \mathsf{tp}_* \mathsf{Tm}^* \mathsf{Ty} \\ \mathsf{Tm} \xrightarrow{\quad \mathsf{tp} \quad \mathsf{Ty} \quad} & \mathsf{Ty} \end{array}$$

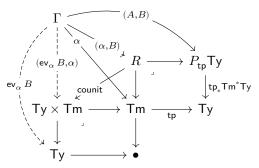
By the universal property of pullbacks, The data of a map from a representable  $\varepsilon:\Gamma\to R$  corresponds to the data of  $\alpha:\Gamma\to \mathsf{Tm}$  and  $(U\circ\alpha,B):\Gamma\to P_{\mathsf{tp}}\mathsf{Ty}$ . Then by 0.2.20 this corresponds to the data of  $\alpha:\Gamma\to \mathsf{Tm}$  and  $B:\Gamma\cdot U\circ\alpha\to \mathsf{Ty}$ .



Precomposition by a substitution  $\sigma: \Delta \to \Gamma$  then acts on such a pair by

$$\begin{array}{c|c} \Delta & \\ \sigma \downarrow & & \\ \hline \Gamma & \xrightarrow[(\alpha,B)]{} R \end{array}$$

**Definition 0.2.24** (Evaluation). Define the operation of evaluation  $\operatorname{ev}_{\alpha} B$  to take  $\alpha:\Gamma\to\operatorname{\mathbf{grpd}}_{\bullet}$  and  $B:\Gamma\cdot U\circ\alpha\to\operatorname{\mathbf{grpd}}$  and return  $\operatorname{ev}_{\alpha} B:\Gamma\to\operatorname{\mathbf{grpd}}$ , described below.



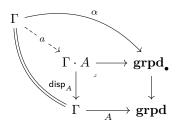
where we write  $A := U \circ \alpha$  and treat a map  $\Gamma \to \mathbf{grpd}$  as the same as a map  $\Gamma \to \mathsf{Ty}$ . More concisely, evaluation is a natural transformation  $\mathsf{ev} : R \to \mathsf{Ty}$ , given by

$$\mathsf{ev} \, = \pi_\mathsf{Tv} \circ \mathsf{counit}$$

**Lemma 0.2.25.** The functor  $ev_{\alpha}B:\Gamma\to \mathbf{grpd}$  can be computed as

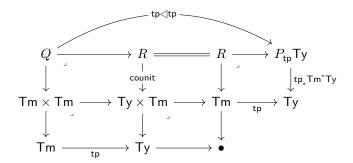
$$\operatorname{ev}_{\alpha} B = B \circ a$$

where



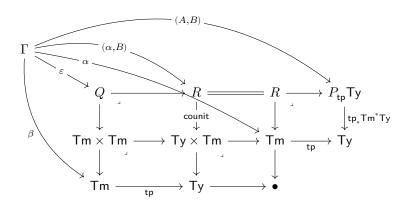
*Proof.* This is a specialization of 0.3.4 with liberal applications of Yoneda.

**Definition 0.2.26** (Classifier for dependent pairs). Recall the following definition of composition of polynomial endofunctors, specialized to our situation



By the universal property of pullbacks, the data of a map with representable domain  $\varepsilon:\Gamma\to Q$  corresponds to the data of a triple of maps  $\alpha,\beta:\Gamma\to \mathsf{Tm}$  and (A,B):

 $\Gamma \to P_{\mathsf{tp}}\mathsf{Ty} \text{ such that } \mathsf{tp} \circ \beta = \pi_{\mathsf{Ty}} \circ \mathsf{counit} \, \circ (\alpha, B) \text{ and } A = \mathsf{tp} \circ \alpha.$ 



This in turn corresponds to three functors  $\alpha, \beta : \Gamma \to \mathbf{grpd}_{\bullet}$  and  $B : \Gamma \cdot U \circ \alpha \to \mathbf{grpd}$ , such that  $U \circ \beta = \mathsf{ev}_{\alpha} B$ . So we will write

$$\varepsilon = (\beta, \alpha, B)$$

Type theoretically  $\alpha = (A, a:A)$  and  $\operatorname{ev}_{\alpha} B = Ba$  and  $\beta = (Ba, b:Ba)$ . Then composing  $\varepsilon$  with  $\operatorname{tp} \triangleleft \operatorname{tp}$  returns  $\gamma$ , which consists of (A,B). It is in this sense that Q classifies pairs of dependent terms, and  $\operatorname{tp} \triangleleft \operatorname{tp}$  extracts the underlying types.

Precomposition with a substitution  $\sigma: \Delta \to \Gamma$  acts on this triple by

$$\begin{array}{c|c} \Delta & \\ \sigma & & \\ \Gamma & & \\ \hline \Gamma & \\ \hline (\beta \circ \sigma, \alpha \circ \sigma, B \circ \operatorname{tp}^* \sigma) \\ \hline \end{array}$$

**Definition 0.2.27** (Interpretation of  $\Sigma$ ). We define the natural transformation

$$\Sigma: P_{\mathsf{tn}}\mathsf{Ty} \to \mathsf{Ty}$$

as that which is induced (0.2.21) by the  $\Sigma$ -former operation (0.2.14).

To define  $\mathsf{pair}: Q \to \mathsf{Tm}$ , let  $\Gamma$  be a groupoid and  $(\beta, \alpha, B): \Gamma \to Q$  (such that  $U \circ \beta = \mathsf{ev}_\alpha \beta$ ). We define a functor  $\mathsf{pair}_\Gamma(\beta, \alpha, B): \Gamma \to \mathsf{grpd}_\bullet$  such that on objects  $x \in \Gamma$ , the functor returns  $(\Sigma_A B \, x, (a_x, b_{a_x}))$ , where (using  $0.2.25 \, U \circ \beta x = \mathsf{ev}_\alpha \, B x = B(x, a_x)$ )

$$\alpha x = (A x, a_x)$$
 and  $\beta x = (B(x, a_x), b_{a_x})$ 

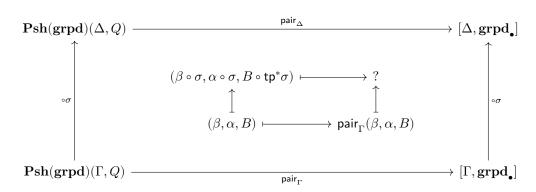
and on morphisms  $f:x\to y$ , the functor returns  $(\Sigma_A B\,f,(\phi_f,\psi_f))$ , where (using 0.2.25  $U\circ\beta f=\operatorname{ev}_\alpha Bf=B(f,\phi_f))$ 

$$\alpha\,f = (A\,f,\phi_f\!\colon A\,f\,a_x \to a_y) \quad \text{ and } \quad \beta\,f = (B(f,\phi_f),\psi_f\!\colon B(f,\phi_f)\,b_{a_x} \to b_{a_y})$$

 $\Sigma$  and pair combine to give us a pullback square

$$\begin{array}{c} Q \xrightarrow{\quad \mathsf{pair} \quad} \mathsf{Tm} \\ \mathsf{tp} \triangleleft \mathsf{tp} \not \qquad \qquad & \downarrow \mathsf{tp} \\ P_\mathsf{tp} \mathsf{Ty} \xrightarrow{\quad \Sigma \quad} \mathsf{Ty} \end{array}$$

*Proof.* To show naturality of pair, suppose  $\sigma: \Delta \to \Gamma$  is a functor between groupoids.



So we check that for any  $x \in \Gamma$ ,

$$\begin{split} & \operatorname{pair}_{\Delta}(\beta \circ \sigma, \alpha \circ \sigma, B \circ \sigma_{A}) \, x \\ &= \left( \Sigma_{A \circ \sigma} B \circ \sigma_{A} \, x, (a_{x}, b_{a_{x}}) \right) \\ &= \left( \left( \Sigma_{A} B \right) \circ \sigma \, x, (a_{x}, b_{a_{x}}) \right) \\ &= \operatorname{pair}_{\Gamma}(\beta, \alpha, B) \circ \sigma \, x \end{split}$$

where

$$\alpha \circ \sigma \, x = (A \circ \sigma x, a_x) \quad \text{and} \quad \beta \circ \sigma \, x = (\operatorname{ev}_\alpha \, B \circ \sigma \, x, b_{a_x})$$

and so on.

It follows from the definition of pair that the square commutes. To show that it is pullback, it suffices to show that for each  $\Gamma$ ,

is a pullback. Since we are in **Set**, it suffices to just show the universal property applied to a point: so for any  $A:\Gamma\to\mathbf{grpd}$ , any  $B:\Gamma\cdot A\to\mathbf{grpd}$ , and any  $p:\Gamma\to\mathbf{grpd}_{\bullet}$ , such that

$$U \circ p = \Sigma_{\Gamma}(A, B)$$

there exists a unique  $(\beta, \alpha, B) : \Gamma \to Q$  such that

$$\mathsf{pair}_{\Gamma}(\beta,\alpha,B) = p \quad \text{and} \quad \mathsf{tp} \lhd \mathsf{tp} \circ (B,\alpha,B) = (A,B)$$

Indeed if we write

$$px = (\Sigma_A Bx, (a_x \in Ax, b_x \in B(x, a_x)))$$

this uniquely determines  $\alpha$  and  $\beta$  as

$$\alpha x = (Ax, a_x)$$
 and  $\beta x = (ev_\alpha Bx, b_x)$ 

## 0.2.5 Identity types

**Definition 0.2.28** (Identity formation and introduction). To define the commutative square in **Psh**(**grpd**)

$$\begin{array}{ccc} \mathsf{Tm} & \xrightarrow{\mathsf{refl}} & \mathsf{Tm} \\ \downarrow & & \downarrow \mathsf{tp} \\ \mathsf{tp} \times_{\mathsf{Ty}} \mathsf{tp} & \xrightarrow{\mathsf{Id}} & \mathsf{Ty} \end{array}$$

We first note that both  $\delta$  and tp in the are in the essential image of the composition from 0.2.2

$$\mathbf{Cat} \xrightarrow{\quad \mathsf{y} \quad} \mathbf{Psh}(\mathbf{Cat}) \xrightarrow{\quad \mathsf{res} \quad} \mathbf{Psh}(\mathbf{grpd})$$

since the composition preserves pullbacks. So we first define in Cat

$$\begin{array}{ccc} \mathbf{grpd}_{\bullet} & \xrightarrow{\mathsf{refl}'} & \mathbf{grpd}_{\bullet} \\ \delta & & \downarrow U & \\ U \times_{\mathbf{grpd}} U & \xrightarrow{\mathsf{ld}'} & \mathbf{grpd} \end{array} \tag{0.2.1}$$

Then obtain Id and refl in Psh(grpd) by applying  $res \circ y$  to this diagram.

To this end, let  $\mathsf{Id}': U \times_{\mathbf{grpd}} U \to \mathbf{grpd}$  act on objects by taking the set - the discrete groupoid - of isomorphisms

$$(A, a_0, a_1) \mapsto A(a_0, a_1)$$

and on morphisms  $(f, \phi_0, \phi_1) : (A, a_0, a_1) \to (B, b_0, b_1)$  by

$$(f: A \to B, \phi_0: fa_0 \to b_0, \phi_1: fa_1 \to b_1) \mapsto \phi_1 \circ f(-) \circ \phi_0^{-1}$$

Let  $refl' : \mathbf{grpd}_{\bullet} \to \mathbf{grpd}_{\bullet}$  act on objects by

$$(A, a) \mapsto (A(a, a), \mathsf{id}_a)$$

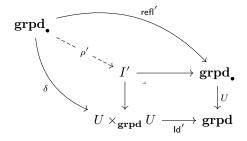
and on morphisms  $(f, \phi) : (A, a) \to (B, b)$  by

$$(f: A \to B, \phi: (A, a) \to (B, b)) \mapsto (\phi \circ f(-) \circ \phi^{-1}, \phi \circ f(\mathsf{id}_a) \circ \phi^{-1} = \mathsf{id}_b)$$

where the second component has to be the identity on the object  $\mathsf{id}_d$ , since B(b,b) is a discrete groupoid. So we need a merely propositional proof that the two maps are equal, which in this case is clear.

*Proof.* Since  $\delta(A, a) = (A, a, a)$ , it follows that the square in 0.2.1 commutes.

**Lemma 0.2.29.** We can then construct the pullback I'



as the groupoid with objects  $(A, a_0, a_1, h)$  where A is a groupoid with  $a_0, a_1 \in A$  and  $h: a_0 \to a_1$ , and morphisms

$$(f,\phi_0,\phi_1,Ah=k):(A,a_0,a_1,h:a_0\to a_1)\to (B,b_0,b_1,k:b_0\to b_1)$$

where  $f: A \to B$ ,  $\phi_i: fa_i \to b_i$  and Ah = k represents a merely propositional proof of equality. Then we can also compute

$$\rho'(A,a) = (A,a,a,\mathsf{id}_a)$$

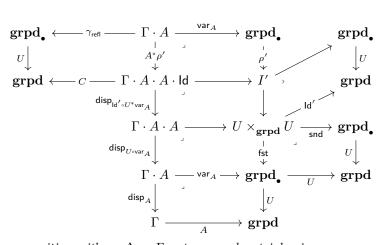
**Lemma 0.2.30.** Specialized to  $q:I\to \mathsf{Ty}$  in  $\mathsf{Psh}(\mathsf{grpd})$ , the characterizing property of polynomial endofunctors 0.3.2 says that a map from a representable  $\varepsilon:\Gamma\to P_qX$  corresponds to the data of

$$A:\Gamma \to \mathsf{Ty} \qquad and \qquad C:\Gamma \cdot A \cdot A \cdot \mathsf{Id} \to X$$

where  $A = q \circ \varepsilon$  and

**Lemma 0.2.31.** The data of a map  $(A, C, \gamma_{refl}) : \Gamma \to T$  corresponds to the data of

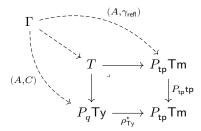
$$\begin{split} A: \Gamma \to \mathbf{grpd} \\ C: \Gamma \cdot A \cdot A \cdot \mathsf{Id} \to \mathbf{grpd} \\ \gamma_{\mathsf{refl}}: \Gamma \cdot A \to \mathbf{grpd}_{\bullet} \\ such \ that \ C \circ A^* \rho' = U \circ \gamma_{\mathsf{refl}} \end{split}$$



Then precomposition with  $\sigma: \Delta \to \Gamma$  acts on such a triple via

$$\begin{array}{c|c} \Delta & \\ \sigma \downarrow & & \\ \hline \Gamma \underset{(A,C,\gamma_{\mathrm{refl}})}{\overset{(A \circ \sigma,C \circ q^*\sigma,\gamma_{\mathrm{refl}} \circ \mathsf{tp}^*\sigma)}{}} T \end{array}$$

Proof.



By the universal property of pullbacks, The data of a map from a respresentable  $\Gamma \to T$  corresponds to the data of  $(A,C):\Gamma \to P_q\mathsf{Ty}$  and  $(A',\gamma_{\mathsf{refl}}):\Gamma \to P_{\mathsf{tp}}\mathsf{Tm}$  such that

$$\rho_{\mathsf{Ty}}^{\star} \circ (A,C) = P_{\mathsf{tp}} \mathsf{tp} \circ (A',\gamma_{\mathsf{refl}})$$

By 0.3.6 and 0.3.2 this says

$$(A, C \circ A^* \rho) = (A', \mathsf{tp} \circ \gamma_{\mathsf{refl}})$$

so the above is equivalent to having  $A=A',C,\gamma_{\mathsf{refl}}$  such that

$$C \circ A^* \rho = \operatorname{tp} \circ \gamma_{\mathsf{refl}} \text{ in } \mathbf{Psh}(\mathbf{grpd})$$

By Yoneda this is equivalent to requiring

$$C \circ A^* \rho' = U \circ \gamma_{\mathsf{refl}}$$
 in  $\mathbf{Cat}$ 

**Proposition 0.2.32.** We can compute  $\varepsilon: P_q\mathsf{Tm} \to T$  via

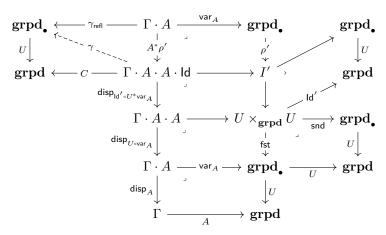
$$\begin{split} \varepsilon_{\Gamma}: &\mathbf{Psh}(\mathbf{grpd})(\Gamma, P_q\mathsf{Tm}) \to \mathbf{Psh}(\mathbf{grpd})(\Gamma, T) \\ &(A, \gamma) \mapsto (A, U \circ \gamma, \gamma \circ A^* \rho') \end{split}$$

*Proof.* This follows from the computation for T 0.2.31, the polynomial action on slice morphisms 0.3.6, and 0.3.2.

**Definition 0.2.33** (Identity elimination). We want to define  $J: T \to P_q\mathsf{Tm}$ 

$$\begin{split} J_{\Gamma}: \mathbf{Psh}(\mathbf{grpd})(\Gamma, T) \to & \mathbf{Psh}(\mathbf{grpd})(\Gamma, P_q \mathsf{Tm}) \\ & (A, C, \gamma_{\mathsf{refl}}) \mapsto (A, \gamma) \end{split}$$

for some  $\gamma: \Gamma \cdot A \cdot A \cdot \mathsf{Id} \to \mathbf{grpd}_{\bullet}$  which we will define below. We first use T 0.2.31 to describe the given data:



Let us name the fibers over the diagonal

$$C_{\mathsf{refl}} := U \circ \gamma_{\mathsf{refl}} = C \circ A^* \rho' : \Gamma \cdot A \to \mathbf{grpd}$$

and its given points

$$\gamma_{\mathsf{refl}} = (C_{\mathsf{refl}}, c_{\mathsf{refl}})$$

(Note that  $c_{\mathsf{refl}}$  is not a functor, but will give us an object per object (x,a), and morphism  $c_{\mathsf{refl}}(f,\phi): C_{\mathsf{refl}}(f,\phi)c_{\mathsf{refl}}(x,a) \to c_{\mathsf{refl}}(y,b)$  per morphism  $(f,\phi)$ .) Then  $\gamma$  will be defined by using C to lift the path

$$(\mathsf{id}_x,\mathsf{id}_{a_0},h,\underline{\phantom{a}}):(x,a_0,a_0,\mathsf{id}_a)\to(x,a_0,a_1,h)\in\Gamma\cdot A\cdot A\cdot\mathsf{Id}$$

that starts on the diagonal, to give us a point in any fiber, using  $c_{\mathsf{refl}}$ . Note that we unfolded  $\Gamma \cdot A \cdot A \cdot \mathsf{Id}$  as the domain of the nested display maps so that  $x \in \Gamma$ ,  $a_0 \in Ax$ ,

$$a_1 \in U \circ \mathrm{var}_A(x,a_0) = U(Ax,a_0) = Ax$$

and

$$h\in Id'\circ U^*\mathrm{var}_A(x,a_0,a_1)=\mathrm{Id}'(Ax,a_0,a_1)=Ax(a_0,a_1)$$

We also check  $(\mathsf{id}_x, \mathsf{id}_{a_0}, h, \_)$  is a path in  $\Gamma \cdot A \cdot A \cdot \mathsf{Id}$  by proving " $\_$ ", the ommitted equality

$$(\operatorname{Id}'\circ U^*\operatorname{var}_A(\operatorname{id}_x,\operatorname{id}_{a_0},h))\operatorname{id}_{a_0}=(\operatorname{Id}'(A\operatorname{id}_x,\operatorname{id}_{a_0},h))\operatorname{id}_{a_0}=h\circ A\operatorname{id}_x\operatorname{id}_{a_0}\circ\operatorname{id}_{a_0}^{-1}=h$$

So we define  $\gamma: \Gamma \cdot A \cdot A \cdot \mathsf{Id} \to \mathbf{grpd}$  on objects by

$$(x,a_0,a_1,h)\mapsto (C(x,a_0,a_1,h),C(\operatorname{id}_x,\operatorname{id}_{a_0},h,\underline{\ \ \ })\,c_{\operatorname{refl}}(x,a_0))$$

noting that from the computation of  $\rho'$  given in 0.2.29 it follows that

$$c_{\mathsf{refl}}(x,a_0) \in C \circ A^*\rho'(x,a_0) = C(x,a_0,a_1,h)$$

Define  $\gamma$  on morphism  $(f,\phi_0,\phi_1,\phi_1\circ Afh\circ\phi_0^{-1}=k):(x,a_0,a_1,h)\to (y,b_0,b_1,k)$  by

$$(f,\phi_0,\phi_1,\underline{\ \ })\mapsto (C(f,\phi_0,\phi_1,\underline{\ \ }),C(\operatorname{id}_y,\operatorname{id}_{b_0},k,\underline{\ \ })\,c_{\operatorname{refl}}(f,\phi_0))$$

We type check  $C(\mathsf{id}_u, \mathsf{id}_{b_0}, k, \_) c_{\mathsf{refl}}(f, \phi_0)$ 

$$\begin{split} C(\mathsf{id}_y, \mathsf{id}_{b_0}, k, \_) c_{\mathsf{refl}}(f, \phi_0) & : \quad C(f, \phi_0, \phi_1, \_) \circ C(\mathsf{id}_x, \mathsf{id}_{a_0}, h, \_) \, c_{\mathsf{refl}}(x, a_0) \\ & = C(f, \phi_0, \phi_1 \circ Afh, \_) \, c_{\mathsf{refl}}(x, a_0) \\ & = C(f, \phi_0, k \circ \phi_0, \_) \, c_{\mathsf{refl}}(x, a_0) \\ & = C(\mathsf{id}_y, \mathsf{id}_{b_0}, k, \_) \circ C(f, \phi_0, \phi_0, \_) \, c_{\mathsf{refl}}(x, a_0) \\ & = C(\mathsf{id}_y, \mathsf{id}_{b_0}, k, \_) \circ C_{\mathsf{refl}}(f, \phi_0) \, c_{\mathsf{refl}}(x, a_0) \\ & \to C(\mathsf{id}_y, \mathsf{id}_{b_0}, k, \_) \, c_{\mathsf{refl}}(y, b_0) \end{split}$$

*Proof.* Functoriality of  $\gamma$  is routine. We show naturality of J. Suppose  $\sigma: \Delta \to \Gamma$ 

is representable

$$\begin{array}{ccc} (A \circ \sigma, C \circ q^*\sigma, \gamma_{\mathsf{refl}} \circ \mathsf{tp}^*\sigma) & \longmapsto & (A \circ \sigma, \gamma_{\Delta}) \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & \\ & & & \\ & & \\ & & & \\ & &$$

$$\begin{split} \mathbf{Psh}(\mathbf{grpd})(\Delta,T) & \xrightarrow{J_{\Delta}} & \mathbf{Psh}(\mathbf{grpd})(\Delta,P_q\mathsf{Tm}) \\ & \xrightarrow{-\circ\sigma} & & \uparrow^{-\circ\sigma} \\ & \mathbf{Psh}(\mathbf{grpd})(\Gamma,T) & \xrightarrow{J_{\Gamma}} & \mathbf{Psh}(\mathbf{grpd})(\Gamma,P_q\mathsf{Tm}) \end{split}$$

$$(A, C, \gamma_{\mathsf{refl}}) \longmapsto (A, \gamma_{\Gamma})$$

So we want to show that on objects  $(x, a_0, a_1, h) \in \Delta \cdot A \circ \sigma \cdot A \circ \sigma \cdot \mathsf{Id}$ 

$$\gamma_{\Delta}\left(x,a_{0},a_{1},h\right)=\gamma_{\Gamma}\circ q^{*}\sigma\left(x,a_{0},a_{1},h\right)$$

Let us denote  $q^*\sigma(x, a_0, a_1, h) = (\sigma x, a_0', a_1', h')$ . Then

$$\begin{split} &\gamma_{\Delta}\left(x, a_0, a_1, h\right) \\ &= (C \circ q^*\sigma\left(x, a_0, a_1, h\right), (C \circ q^*\sigma\left(\mathrm{id}_x, \mathrm{id}_{a_0}, h, \_\right))(c_{\mathsf{refl}}(\mathsf{tp}^*\sigma(x, a_0)))) \\ &= (C\left(\sigma x, a_0', a_1', h'\right), (C\left(\mathrm{id}_{\sigma x}, \mathrm{id}_{a_0'}, h', \_\right))(c_{\mathsf{refl}}\left(\sigma x, a_0'\right))) \\ &= \gamma_{\Gamma}(\sigma x, a_0', a_1', h') \\ &= \gamma_{\Gamma} \circ q^*\sigma\left(x, a_0, a_1, h\right) \end{split}$$

and similarly for morphisms.

**Proposition 0.2.34.**  $J: T \to P_q\mathsf{Tm}$ , as defined above is a section of  $\varepsilon$ .

*Proof.* Let  $(A, C, \gamma_{\mathsf{refl}}) : \Gamma \to T$  be a map from a representable. Then using the definition of J and the computation of  $\varepsilon$  0.2.32

$$\varepsilon_{\Gamma} \circ J_{\Gamma} \left( A, C, \gamma_{\mathsf{refl}} \right) = \varepsilon_{\Gamma} (A, \gamma) = \left( A, U \circ \gamma, \gamma \circ A^* \rho' \right)$$

By definition of  $\gamma$  from J we can see that  $U\circ\gamma=C$ , so it suffices to show that  $\gamma\circ A^*\rho'=\gamma_{\mathsf{refl}}$ . On an object  $(x,a_0)$ 

$$\begin{split} \gamma \circ A^* \rho'(x, a_0) \\ &= \gamma(x, a_0, a_0, \mathsf{id}_{a_0}) \\ &= (C(x, a_0, a_0, \mathsf{id}_{a_0}), C(\mathsf{id}_x, \mathsf{id}_{a_0}, \mathsf{id}_{a_0}) \, c_{\mathsf{refl}}) \\ &= (C_{\mathsf{refl}}(x, a_0), c_{\mathsf{refl}}(x, a_0)) \end{split}$$

### 0.2.6 Universe of Discrete Groupoids

In this section we assume three different universe sizes, which we will distinguish by all lowercase (small), capitalized first letter (large), and all-caps (extra large), respectively. For example, the three categories of sets will be nested as follows

$$\mathbf{set} \hookrightarrow \mathbf{Set} \hookrightarrow \mathbf{SET}$$

We shift all of our previous work up by one universe level, so that we are working in the category  $\mathbf{PSH}(\mathbf{Grpd})$  of extra large presheaves, indexed by the (extra large, locally large) category of large groupoids. We would then have  $\mathsf{Ty} = [-, \mathbf{Grpd}]$  and  $\mathsf{Tm} = [-, \mathbf{Grpd}_a]$ .

**Definition 0.2.35** (Universe of discrete groupoids). Let U be the (large) groupoid of small sets, i.e. let U have **set** as its objects and morphisms between two small sets as all the bijections between them. This gives us  $\lceil U \rceil : \bullet \to Ty$ .

Then we define  $EI: yU \to Ty$  by defining  $EI: U \to \mathbf{Grpd}$  as the inclusion - any small set can be regarded as a large discrete groupoid.

$$\begin{array}{c} \mathsf{U} & \overset{}{\longleftarrow} & \mathbf{grpd} \\ & & \downarrow \\ & & \mathsf{Grpd} \end{array}$$

Then we take  $\pi := \mathsf{disp}_{\mathsf{FI}}$ , giving us

$$\begin{array}{ccc}
E & \longrightarrow & Tm \\
\pi \downarrow & & \downarrow tp \\
U & \longrightarrow & Ty
\end{array}$$

We can compute the groupoid E as that with objects that are pairs (X,x) where  $x \in X \in \mathbf{set}$ , and morphisms

$$E((X, x), (Y, y)) = \{f : X \to Y \mid f x = y\}$$

Then  $\pi: E \to U$  is the forgetful functor  $(X, x) \mapsto X$ .

Showing that this universe is closed under  $\Pi, \Sigma, \mathsf{Id}$  formation depends on how we formalize  $\mathbf{set} \hookrightarrow \mathbf{Set}$ . In both cases we need to check that discreteness is preserved by the type formers, which is straightforward. If we are working with sets and cardinality, i.e. taking  $\mathbf{set} = \mathbf{Set}_{<\lambda} \subset \mathbf{Set}_{<\kappa} = \mathbf{Set}$  for some inaccessible cardinals  $\lambda < \kappa$ , then it is straightforward to check that the type formers do not make "larger" types. If we are working with type theoretic universes with a lift operation ULift:  $\mathbf{set} \to \mathbf{Set}$  then it may *not* be true that ULift commutes with our type formers.

# 0.3 Polynomial Endofunctors

In this section we develop some of the definitions and lemmas related to polynomial endofunctors that we will use in the rest of the notes.

**Definition 0.3.1** (Polynomial endofunctor). Let  $\mathbb{C}$  be a locally Cartesian closed category (in our case, presheaves on the category of contexts). This means for each morphism  $t: B \to A$  we have an adjoint triple

$$\begin{array}{c|c}
\mathbb{C}/B \\
t_! \left( \begin{array}{ccc} \uparrow \\ + t^* & + \\ & \end{array} \right) t_* \\
\mathbb{C}/A
\end{array}$$

where  $t^*$  is pullback, and  $t_1$  is composition with t.

Let  $t: B \to A$  be a morphism in  $\mathbb{C}$ . Then define  $P_t: \mathbb{C} \to \mathbb{C}$  be the composition

$$P_t := A_! \circ t_* \circ B^* \qquad \qquad \mathbb{C} \xrightarrow{B^*} \mathbb{C}/B \xrightarrow{t_*} \mathbb{C}/A \xrightarrow{A_!} \mathbb{C}$$

**Proposition 0.3.2** (Characterising property of Polynomial Endofunctors). The data of a map into the polynomial applied to an object in  $\mathbb{C}$ 

$$\Gamma \longrightarrow P_t Y$$

corresponds to

$$\Gamma \xrightarrow{\phi} P_t Y$$

$$A \xrightarrow{t_* B^* Y}$$

Applying the adjunction  $A_! \dashv A^*$ , this corresponds to

$$lpha:\Gamma o A$$
 and  $B_!t^*lpha ext{-----} \stackrel{ ilde{\phi}}{\longrightarrow} B imes Y$ 

Applying the adjunction  $t^* \dashv t_*$ , this corresponds to

$$\alpha:\Gamma \to A \qquad and \qquad \Gamma \cdot \alpha:=B_!t^*\alpha \xrightarrow{} Y$$

Henceforth we will write

$$(\alpha, \beta): \Gamma \to P_t Y$$

for this map, since it is uniquely determined by this data. Furthermore, precomposition by  $\sigma: \Delta \to \Gamma$ , acts on such a pair by

$$\begin{array}{c|c} \Delta \\ \sigma \\ \hline \end{array} \underbrace{ \begin{array}{c} (\alpha \circ \sigma, \beta \circ t^* \sigma) \\ \hline \\ \Gamma \end{array} }_{(\alpha,\beta)} P_t Y$$

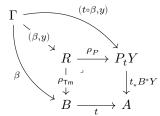
and given a morphism  $f: X \to Y$ , the morphism  $P_t f$  acts on such a pair by

$$\Gamma \xrightarrow[(\alpha,f\circ\beta)]{(\alpha,f\circ\beta)} P_t X \\ \downarrow^{P_t f} \\ P_t Y$$

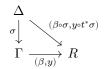
**Lemma 0.3.3.** Use R to denote the fiber product

$$\begin{array}{ccc} R \xrightarrow{\rho_P} P_t Y \\ t^*t_*B^*Y = \rho_{\mathsf{Tm}} & & \downarrow t_*B^*Y \\ B \xrightarrow{t} & A \end{array}$$

By the universal property of pullbacks and 0.3.2, The data of a map  $\Gamma \to R$  corresponds to the data of  $\beta: \Gamma \to B$  and  $(t \circ \beta, y): \Gamma \to P_t Y$ , or just  $\beta: \Gamma \to B$  and  $y: \Gamma \cdot t \circ \beta \to Y$ 



By uniqueness in the universal property of pullbacks and 0.3.2, Precomposition by a map  $\sigma: \Delta \to \Gamma$  acts on such a pair by



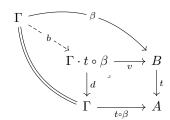
**Lemma 0.3.4** (Evaluation). Suppose  $(\beta, y) : \Gamma \to R$ , as in 0.3.3

$$\beta: \Gamma \to B$$
 and  $y: \Gamma \cdot t \circ \beta \to Y$ 

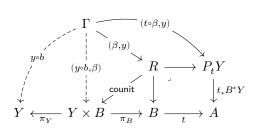
Then the evaluation of y at  $\beta$  can be described in the following two ways

$$y \circ b = \pi_Y \circ \mathsf{counit} \circ (\beta, y)$$

where



and



*Proof.* It suffices to show (counit  $\circ (\beta, y)$ ) =  $(y \circ b, \beta)$  instead.

$$\begin{array}{l} \operatorname{counit} \, \circ \, (\beta,y) \\ = \operatorname{counit} \, \circ \, (v \circ b, y \circ t^* d \circ t^* b) & 1 \\ = \operatorname{counit} \, \circ \, (v, y \circ t^* d) \circ b & ?? \\ = \operatorname{counit} \, \circ \, t^* (t \circ \beta, y) \circ b & 3 \\ = \overline{(t \circ \beta, y)} \circ b & 4 \\ = (y, v) \circ b & 5 \\ = (y \circ b, v \circ b) \\ = (y \circ b, \beta) & 5 \end{array}$$

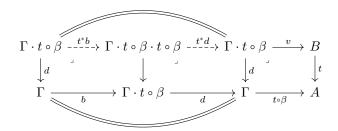


Figure 1:  $t^*d \circ t^*b = \mathsf{id}_{\Gamma \cdot t \circ \beta}$ 

$$\Gamma \\ \downarrow \\ \Gamma \cdot t \circ \beta \xrightarrow{(v \circ b, y \circ t^* d \circ t^* b)} R$$

Figure 2:  $(v, y \circ t^*d) \circ b = (v \circ b, y \circ t^*d \circ t^*b)$ 

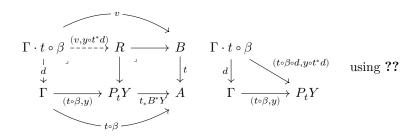


Figure 3:  $t^*(t \circ \beta, y) = (v, y \circ t^*d)$ 

### **Definition 0.3.5.** Suppose

 $C \xrightarrow{\rho} B$ 

Figure 4: counit  $\circ t^*(t \circ \beta, y) = \overline{(t \circ \beta, y)}$ 

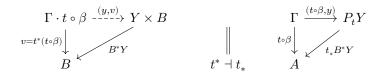


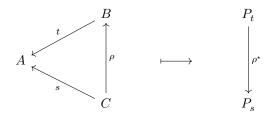
Figure 5:  $\overline{(t \circ \beta, y)} = (y, v)$ 

Then we have a mate  $\mu_1: \rho_! \circ s^* \Rightarrow t^*$ . This is given by the universal property of pullbacks: given  $f: x \to y$  in the slice  $\mathbb{C}/A$  we have

By the calculus of mates we also have a reversed mate between the right adjoints  $\mu^*: t_* \to s_* \circ \rho^*$ . Explicitly  $\mu^*$  is the composition

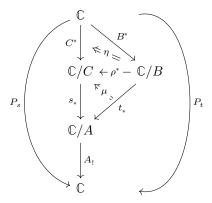
$$t_* \xrightarrow{\mathrm{unit}\, t_*} s_* \rho^* \rho_! s^* t_* \xrightarrow{s_* \rho^* \mu_! t_*} s_* \rho^* t^* t_*^* \xrightarrow{s_* \rho^* \mathrm{counit}} s_* \rho^*$$

**Definition 0.3.6** (Contravariant of  $P_-$  on a slice). Let  $P_-:(\mathbb{C}/A)^{\mathrm{op}}\to [\mathbb{C},\mathbb{C}]$  be defined by taking  $s\mapsto P_s$  on objects and act on a morphism by



where

$$\rho^\star := A_!(s_*\eta \circ \mu B^*): P_t \to P_s$$



where  $\mu = \mu^*$  is the mate from 0.3.5, and  $\eta$  is the natural isomorphism given by pullback pasting.

Pointwise, this natural transformation acts on a pair  $(\alpha,\beta):\Gamma\to P_tX$  by

$$\Gamma \xrightarrow{(\alpha,\beta)} P_t X \\ \underset{(\alpha,\beta \circ \alpha^* \rho)}{\underbrace{ \left( \alpha,\beta \circ \alpha^* \rho \right)}} P_t X$$

where  $\alpha^* \rho$  is defined as

$$\begin{array}{cccc} \Gamma \cdot_s \alpha & \xrightarrow{s^* \alpha} & C \\ \xrightarrow{\alpha^* \rho} & & \downarrow \rho \\ \Gamma \cdot_t \alpha & \xrightarrow{t^* \alpha} & B \\ \downarrow & & \downarrow t \\ \Gamma & \xrightarrow{\alpha} & A \end{array}$$

We prove this now.

*Proof.* Firstly  $\rho_X^\star = A_!(s_*\eta_X\circ\mu_{B^*X})$ , so the first component  $\alpha:\Gamma\to A$  is preserved by  $\rho_X^\star$  and it suffices to show, in  $\mathbb{C}/A$ 

$$\alpha \xrightarrow[(\alpha,\beta) \\ (\alpha,\beta \circ \alpha^*\rho) \xrightarrow{} t_*B^*X$$
 
$$\downarrow s_*\eta_X \circ \mu_{B^*X}$$
 
$$s_*C^*X$$

By the adjunction  $s^* \dashv s_*$ , it suffices to show, in  $\mathbb{C}/C$ 

$$s^*\alpha \xrightarrow{s^*(\alpha,\beta)} s^*t_*B^*X$$

$$\downarrow s_*\eta_X \circ \mu_{B^*X}$$

$$C^*X$$

Now we calculate  $\overline{s_*\eta_X\circ\mu_{B^*X}}=\eta_X\circ\overline{\mu_{B^*X}}.$  So that our goal is to show

$$s^*\alpha \xrightarrow{s^*(\alpha,\beta)} s^*t_*B^*X \xrightarrow{\overline{\mu_{B^*X}}} \rho^*B^*X$$

$$C^*X$$

Since  $\eta_X$  is an isomorphism between two limits of the same diagram, namely  $X \times C \cong C_!C^*X \cong C_!\rho^*B^*X$ , it suffices to show that both  $\overline{\mu_{B^*X}} \circ s^*(\alpha,\beta)$  and  $\overline{(\alpha,\beta \circ \alpha^*\rho)}$  are uniquely determined by the same two maps into X and X.

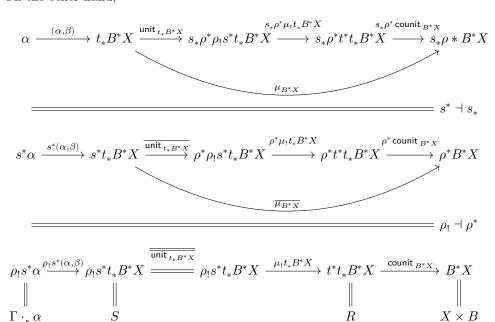
By the characterising property of polynomial endofunctors (0.3.2) we calculate

$$\overline{(\alpha, \beta \circ \alpha^* \rho)} = (\beta \circ \alpha^* \rho, s^* \alpha)$$

$$\alpha \overset{(\alpha,\beta \circ \alpha^* \rho)}{\longrightarrow} s_* C^* X \qquad \qquad s^* \alpha \overset{\overline{(\alpha,\beta \circ \alpha^* \rho)}}{\underset{\beta \circ \alpha^* \rho,s^! \alpha}{\longrightarrow}} C^* X \qquad \qquad C_! s^* \alpha \xrightarrow[\beta \circ \alpha^* \rho]{} X$$

More formally, this means  $\beta \circ \alpha^* \rho : C_! s^* \alpha \to X$  and  $s^* \alpha : C_! s^* \alpha \to C$  are the two maps that uniquely determine the map  $C_! \alpha, \beta \circ \alpha^* \rho : C_! s^* \alpha \to X \times C$ .

On the other hand,



The mate  $\mu_!$  is calculated via the universal map into the pullback R (dotted below).

$$\begin{array}{c|c} \Gamma \cdot_s \alpha & \longrightarrow \Gamma \cdot_t \alpha & \longrightarrow \Gamma \\ \hline s^*(\alpha,\beta) \downarrow & \downarrow & \downarrow (\alpha,\beta) \\ S \xrightarrow{-\mu_! t_* B^* X} R & \longrightarrow P_t X \\ \hline s^* t_* B^* X \downarrow & \downarrow t^* t_* B^* X & \downarrow t_* B^* X \\ \hline C & \xrightarrow{\alpha} B & \xrightarrow{t} A \end{array}$$

Using the characterization of maps into R from 0.3.3 we can calculate

$$\mu_! t_* B^* X \circ s^* (\alpha, \beta) = (\rho \circ s^* \alpha, \beta \circ t^* \alpha^* s)$$

since the first component is simply the map  $\Gamma \cdot_s \alpha \to B$  and the second component is the second component of the map

$$(\alpha \circ \alpha^* s, \beta \circ t^* \alpha^* s) = (\alpha, \beta) \circ \alpha^* s : \Gamma \cdot_s \alpha \to P_t X$$

Then using 0.3.4

$$\overline{\overline{\mu_{B^*X}} \circ s^*(\alpha, \beta)} \tag{0.3.1}$$

$$= \operatorname{counit}_{B^*X} \circ \mu_! t_* B^*X \circ s^*(\alpha, \beta) \tag{0.3.2}$$

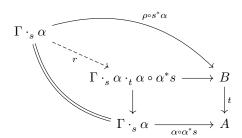
$$= \operatorname{counit}_{B^*X} \circ (\rho \circ s^*\alpha, \beta \circ t^*\alpha^*s) \tag{0.3.3}$$

$$= (\beta \circ t^* \alpha^* s \circ r, \rho \circ s^* \alpha) \tag{0.3.4}$$

$$= (\beta \circ \alpha^* \rho, \rho \circ s^* \alpha) \tag{0.3.5}$$

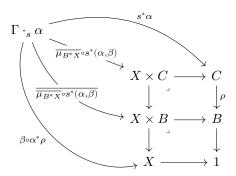
$$: \Gamma \cdot_s \alpha \to X \times B \tag{0.3.6}$$

where



and

Moving back along the adjunction  $\rho_! \dashv \rho^*$  0.3.1 tells us that

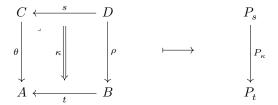


So that, as required,  $\overline{\mu_{B^*X}} \circ s^*(\alpha, \beta)$  and  $\overline{(\alpha, \beta \circ \alpha^* \rho)}$  are uniquely determined by the same two maps into X and C.

**Definition 0.3.7** (Covariant of  $P_{-}$  on a cartesian square). We can also view taking polynomial endofunctors as a covariant functor on the category of arrows with cartesian squares as morphisms

$$P_-:\mathsf{CartArr}(\mathbb{C})\to [\mathbb{C},\mathbb{C}]$$

where the action on a cartesian square is



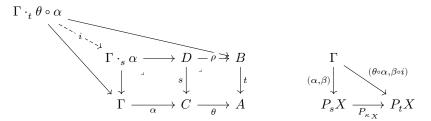
given by the whiskered natural transformations

$$\begin{array}{c|c}
\mathbb{C} & \mathbb{C} \\
\hline
C^* \downarrow & \eta^{-1} \downarrow B^* \\
\mathbb{C}/D \leftarrow \rho^* - \mathbb{C}/B \\
s_* \downarrow & \mu^{*-1} \downarrow t_* \\
\mathbb{C}/C \leftarrow \theta^* & \mathbb{C}/A \\
C_! \downarrow & \mu_! & \downarrow A_! \\
\mathbb{C} & \mathbb{C} & \mathbb{C}
\end{array}$$

Furthermore, the natural transformation  $P_{\kappa}$  is cartesian. meaning each naturality square is a pullback square.

$$\begin{array}{ccc} P_s X \xrightarrow{P_{\kappa Y}} P_t X \\ P_s f \downarrow & & \downarrow P_s f \\ P_s Y \xrightarrow{P_{\kappa Y}} P_t Y \end{array}$$

The natural tranformation  $P_{\kappa}$  computes in the following way



using the fact that  $\Gamma \cdot_s \alpha$  and  $\Gamma \cdot_t \theta \circ \alpha$  are limits of the same diagram.

*Proof.* We can use the computation of  $P_{\kappa X}$  and  $P_s f$  to show that the natural transformation  $P_{\kappa}$  is cartesian. Essentially, the first component of a map  $\Gamma \to P_s X$  is determined by its composition with  $P_s f$  and its second component is determined by its composition with  $P_{\kappa X}$ .

# Corollary 0.3.8. If we have

then the two possible ways of obtaining composing the covariant and contravariant actions of  $P_{-}$  form a (strictly commuting) pullback square in  $[\mathbb{C}, \mathbb{C}]$ .

$$\begin{array}{ccc} P_{q_1} & \xrightarrow{P_{\kappa}} & P_{q_2} \\ & & \downarrow & & \downarrow \rho_{2^{\star}} \\ P_{q'_1} & \xrightarrow{P_{\kappa'}} & P_{q'_2} \end{array}$$

*Proof.* To check that it commutes and is a pullback, it suffices to do this pointwise, for some  $X \in \mathbb{C}$ . Then we simply unfold the computation for each of  $P_{\kappa}$  and  $\rho^{\star}$ .  $\square$ 

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