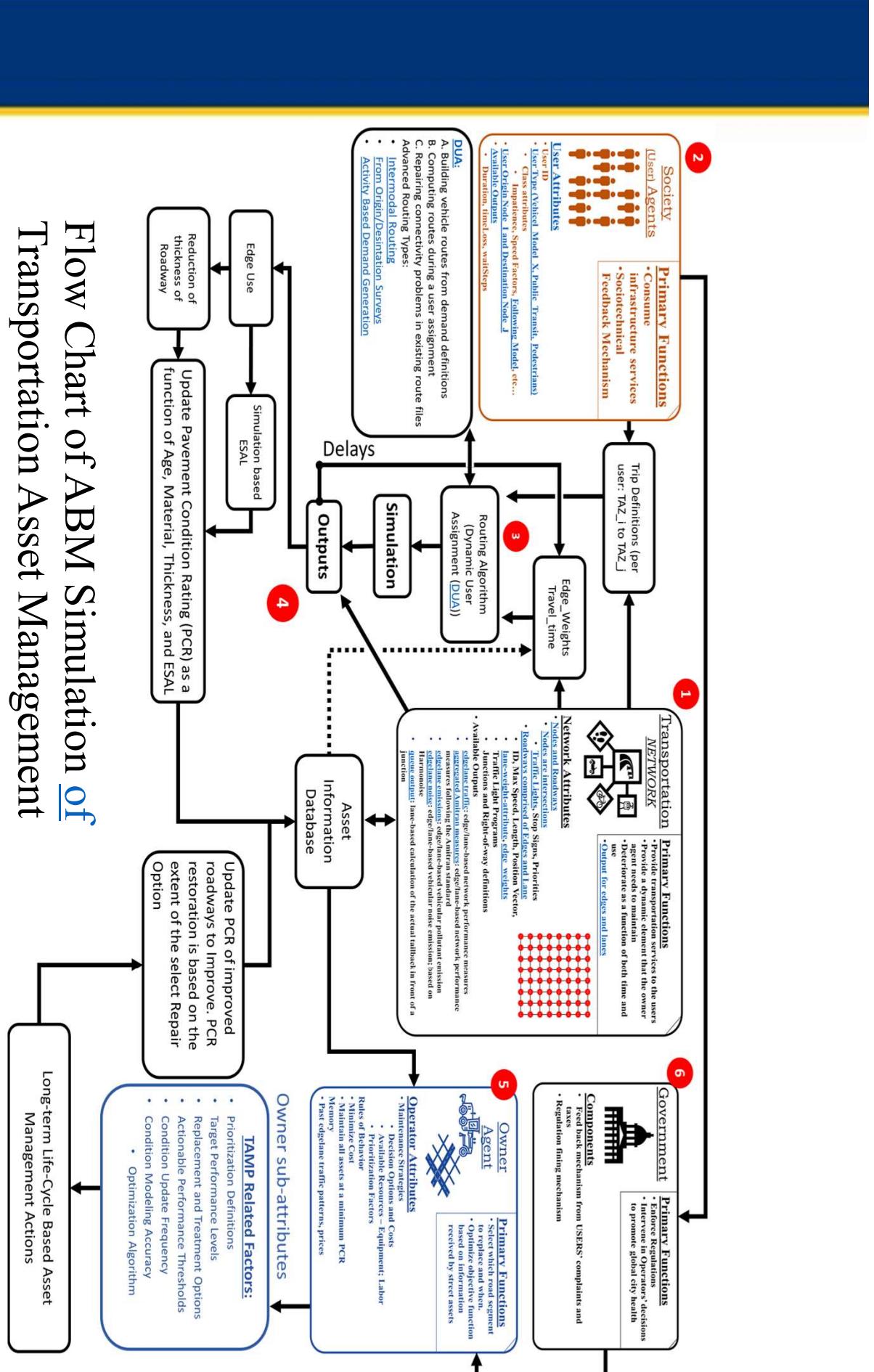
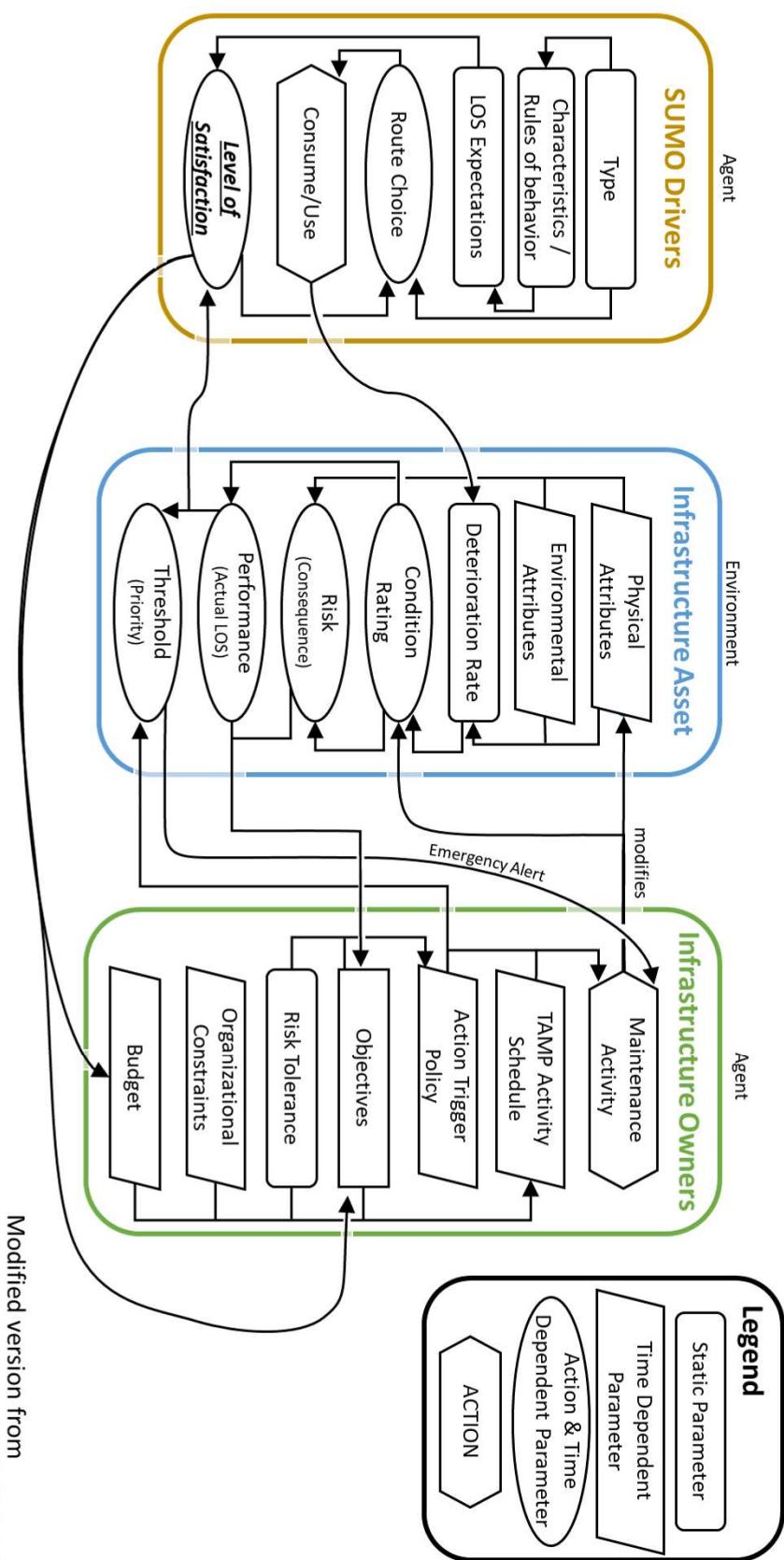


# PhD Final Model

2/14/2018

Ben Cohen

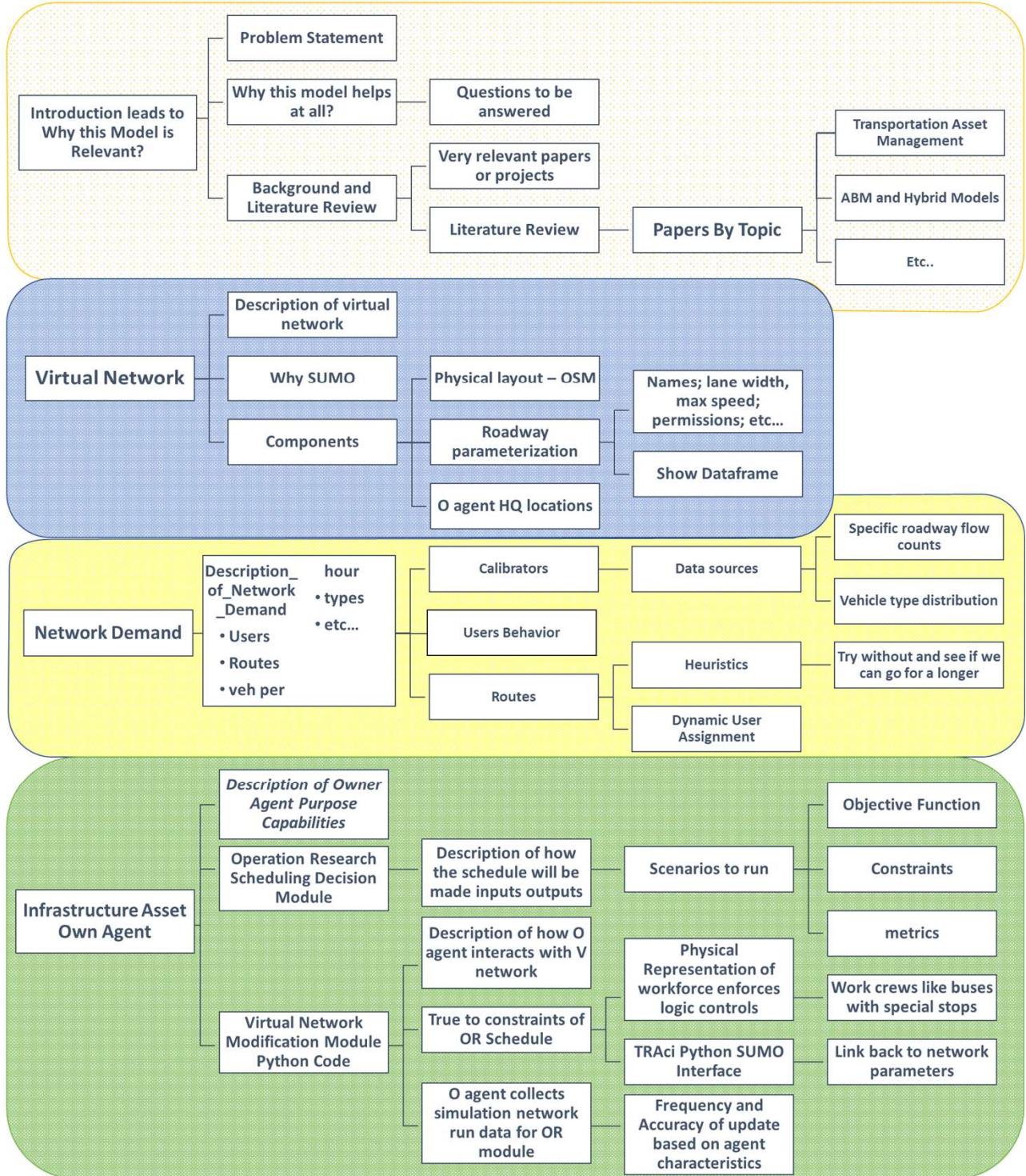




# Tree Structure

## Hybrid ABM of Infrastructure AM

### Introduction and Background Info



## INTRO

- Problem statement

Can we *model* the current state of TAM

- at the Local Municipalities (City Ownership)
- [via a Hybrid ABM]

• to Examine Potential Impacts to Livability,  
Sustainability, and Resilience

• Due to different Agency Type Scenarios:

- (1) Worst First vs.
- (2) Single Asset Optimization vs.
- (3) Network Optimization vs.
- (4) Multi-Objective Sociotechnical Optimization

...

## Surface Transportation Funding Bills - MAP-21 and the FAST Acts

### Transportation Planning [1201 & 1202]

- MAP-21 made a number of reforms to the metropolitan and statewide transportation planning processes, including incorporating performance goals, measures, and targets into the process of identifying needed transportation improvements and project selection. The FAST Act includes provisions to support and enhance these reforms. Public involvement remains a hallmark of the planning process.

### National Highway Performance Program [1106]

- The FAST Act provides an estimated average of \$23.3 billion per year for the NHPP, which will **support** the **condition** and **performance** of the National Highway System (NHS)
- Enable the construction of new facilities on the NHS
- Ensure that investments of Federal-aid funds in highway construction are directed to support progress toward achieving performance targets established in a State's asset management plan for the NHS.
  - The FAST Act also makes the following changes to NHPP eligibilities:
    - It allows States to use NHPP funds for reconstruction, resurfacing, restoration, rehabilitation, or preservation of a non-NHS bridge if the bridge is on a Federal-aid highway.
    - At a State's request, the Secretary now may use a State's NHPP apportionment to pay the subsidy and administrative costs for TIFIA credit assistance for an eligible project.
    - It provides specific NHPP eligibility for vehicle-to-infrastructure (V2I) communication equipment.

# Recap – Current State of Affairs of in Transportation Asset Management

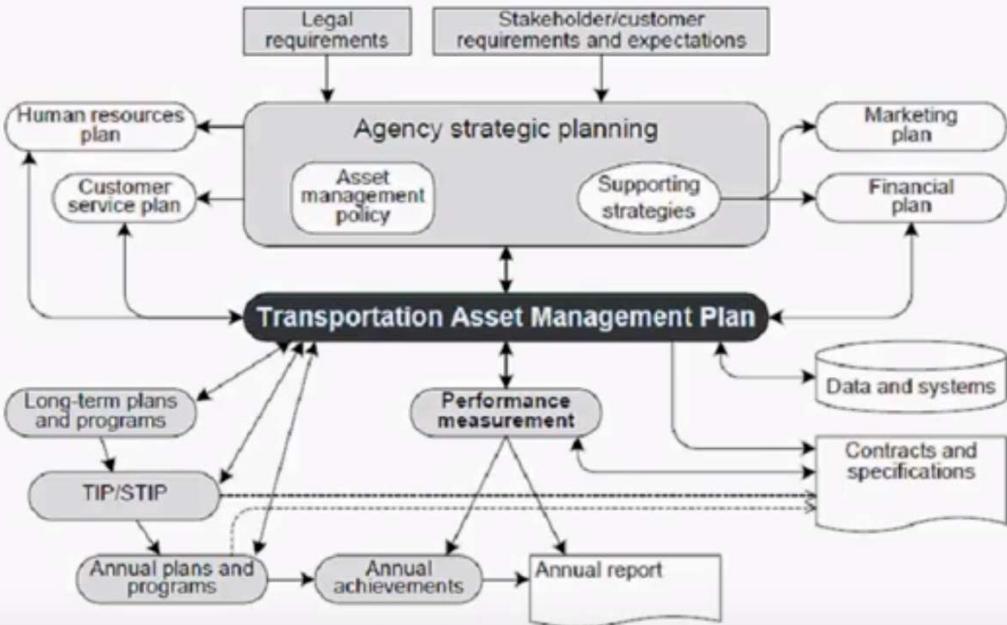
1. While the **current** transportation infrastructure asset management plans analyze **assets as part of an inventory**, they **fall short in providing adequate** overall network level performance.
2. The **limited available transportation funds** are used to address critical short term concerns, while long-term cost savings and performance enhancement measures are pushed aside.
3. The “**worst first**” prioritization methodology can lead to unintended changes and greater future expenditures:
4. The transportation network has many links (**interdependencies**) with other engineered and “natural” systems. This creates a **high level of complexity** within the network that is difficult to predict its future state.
5. Natural and man-made events, such as flooding or new development, can create **additional stresses** to the system. There is a demand that is not currently being met, at the local level, to be able to simulate these events and develop strategies to mitigate their effects.
6. States are likely to begin to put pressure on local municipalities to **comply** with the data collection and reporting standards required under MAP-21 and the FAST act. It is important to explore how these new requirements can be beneficial to the old way of business for the cash strapped local DOTs.

## National Highway Performance Program [1106]

- The FAST Act provides an estimated average of \$23.3 billion per year for the NHPP, which will **support** the **condition** and **performance** of the National Highway System (NHS)
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- **Ensure** that investments of Federal-aid funds in highway construction are directed to support progress toward achieving performance targets established in a State’s **asset management plan** for the NHS.
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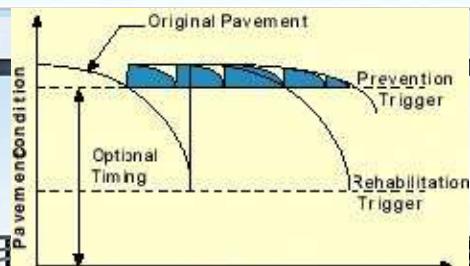
# Asset Management – FHWA Style

## ASSET MANAGEMENT PLAN CONTEXT



### This is how we do business:

- Preserve our assets and minimize their whole life cost
- Operate in a financially sustainable manner
- Provide a framework to improve performance on a long-term basis



U.S. Department of Transportation  
Federal Highway Administration

"The goal of infrastructure asset management is to meet a required level of service, in the most cost effective manner, through the management of assets for present and future customers." - NAMS

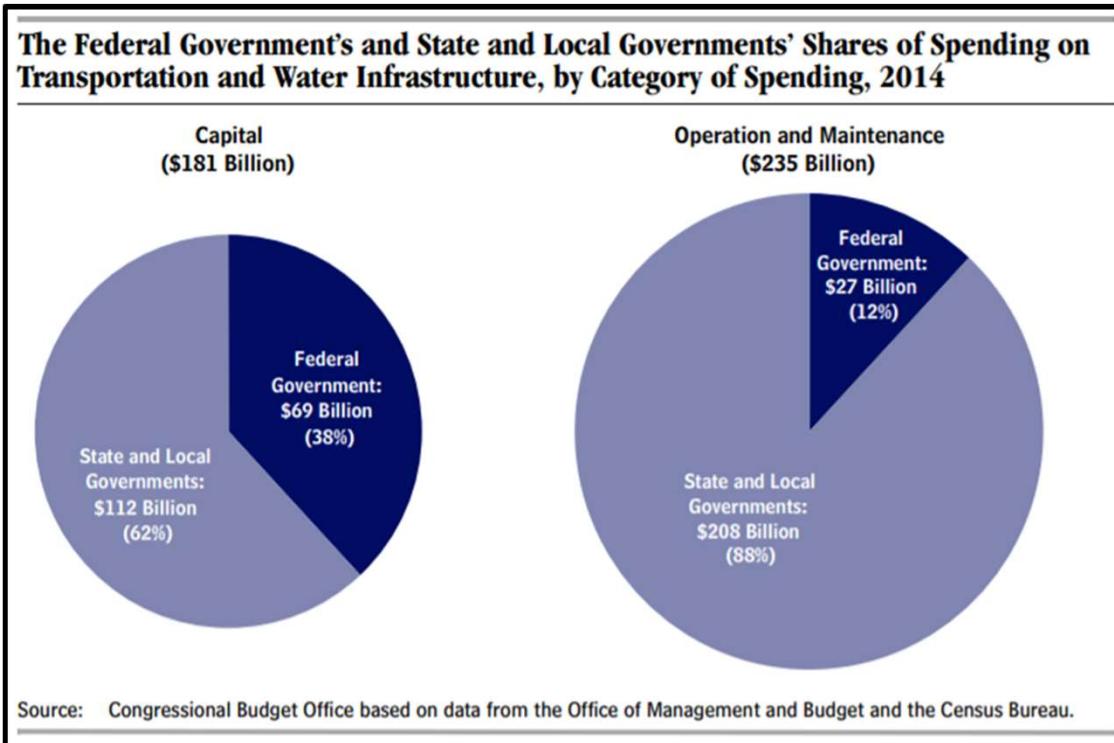
The systematic approach to the integrated management of the highway infrastructure to deliver agreed service levels at minimum whole-life value

**Recognize that the revenue available for asset management is a significant constraint!**

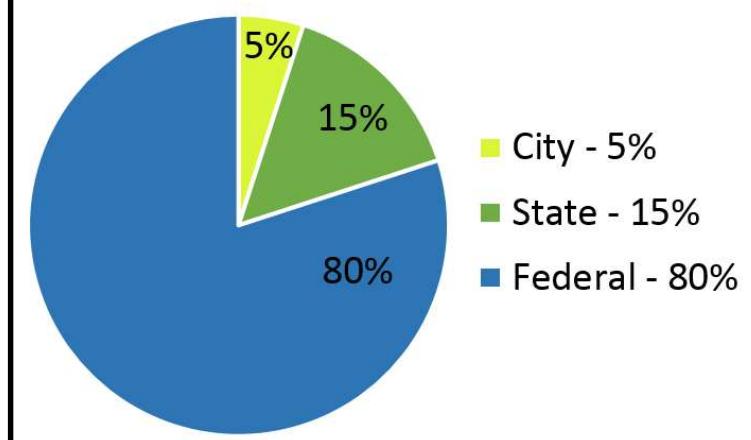
# Challenge:

## Fed Government can do more to encourage Maintenance

- Operations and Maintenance responsibility is assigned to State and Local Gov's  
- ***unless emergency retrofit or renewal is required.***
- The Federal Government has been changing the language included in Federal Transportation Bills since the 1980's, but unfortunately there is still a wide gap in how funds are allocated between new vs preservation (FHWA 2015; PEW, 2015)



**Breakdown of Funding Sources for Replacement Bridge**



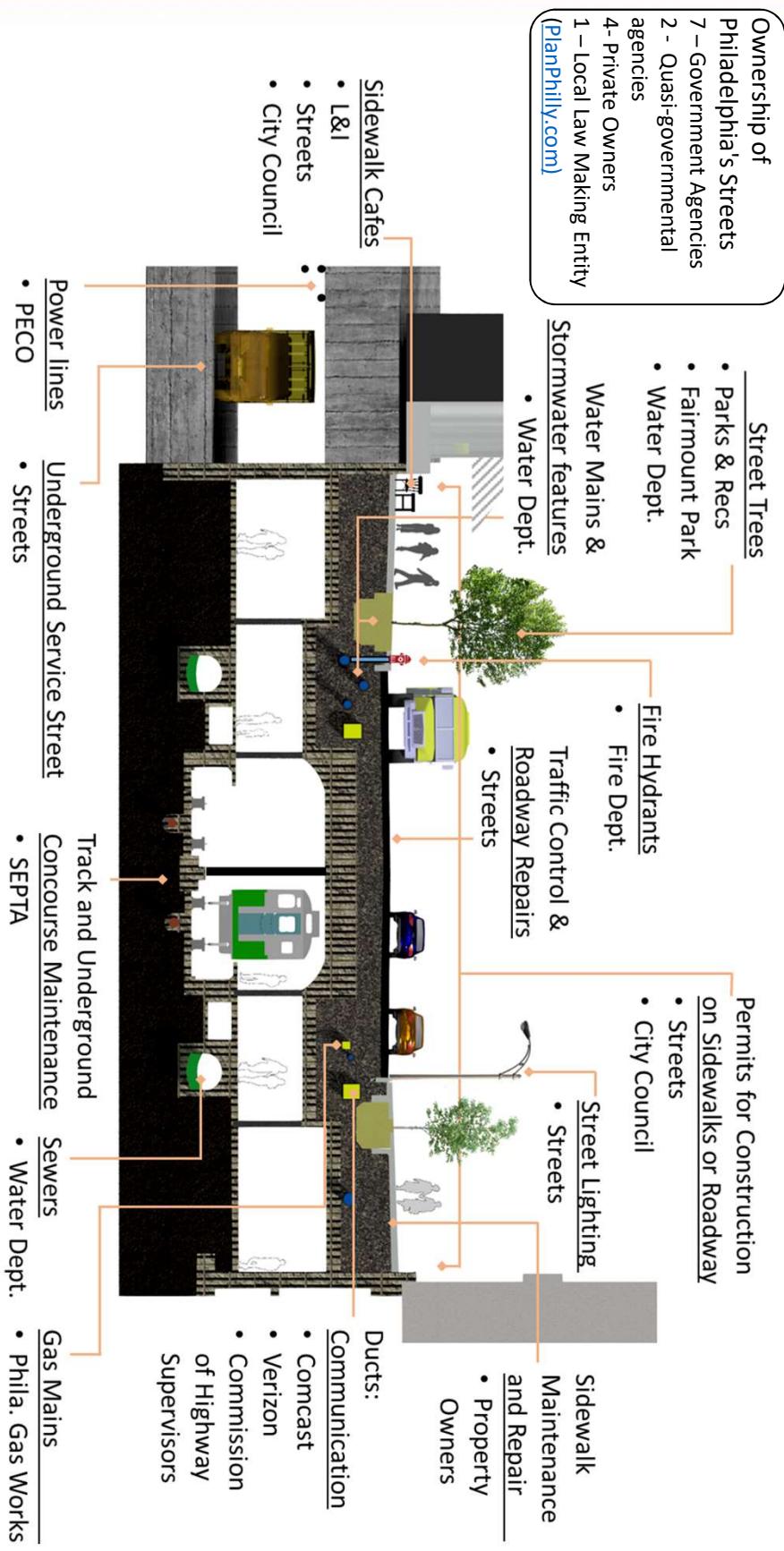
# Different Ownership Across the Spring Garden Street Viaduct

Viaduct – State Segment Restored 2015



# Challenge: Complete Care of a City Street

## Cross-section of East Market Street with Assets and Responsible Steward



# A Way Forward

- Data driven policies and actions

- Pros:

- Transparency
    - Lower Life Cycle Costs
    - Performance Driven Outcomes
    - ...

- Cons:

- Large Initial Investments
    - Agency Resistance to Change
    - Sociotechnical Concerns
      - Socially connected issues never have an optimal solution for everyone.
        - How are priorities established?
        - How do the trade-offs effect the multi-domain metrics?
      - Political Election Cycles << Asset Life-Span

TAMP SUB\_TREE

# Life-Cycle Phases

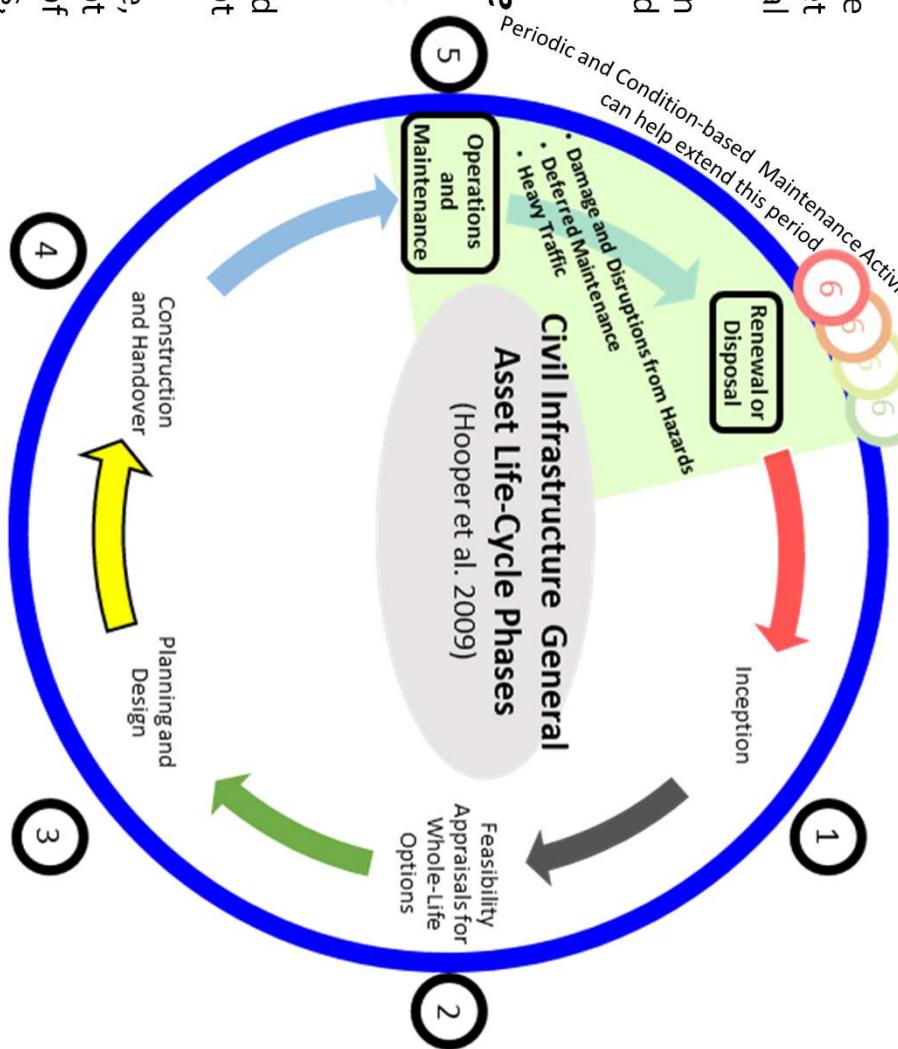
**The goal of AM is to maximize the utility of an asset:**

- Requires longer initial phases to ensure that the foreseeable future needs asset are not negatively impacted by an initial short term cost minimization approach
- This can result in a significant increase in the length of the operations and maintenance phase

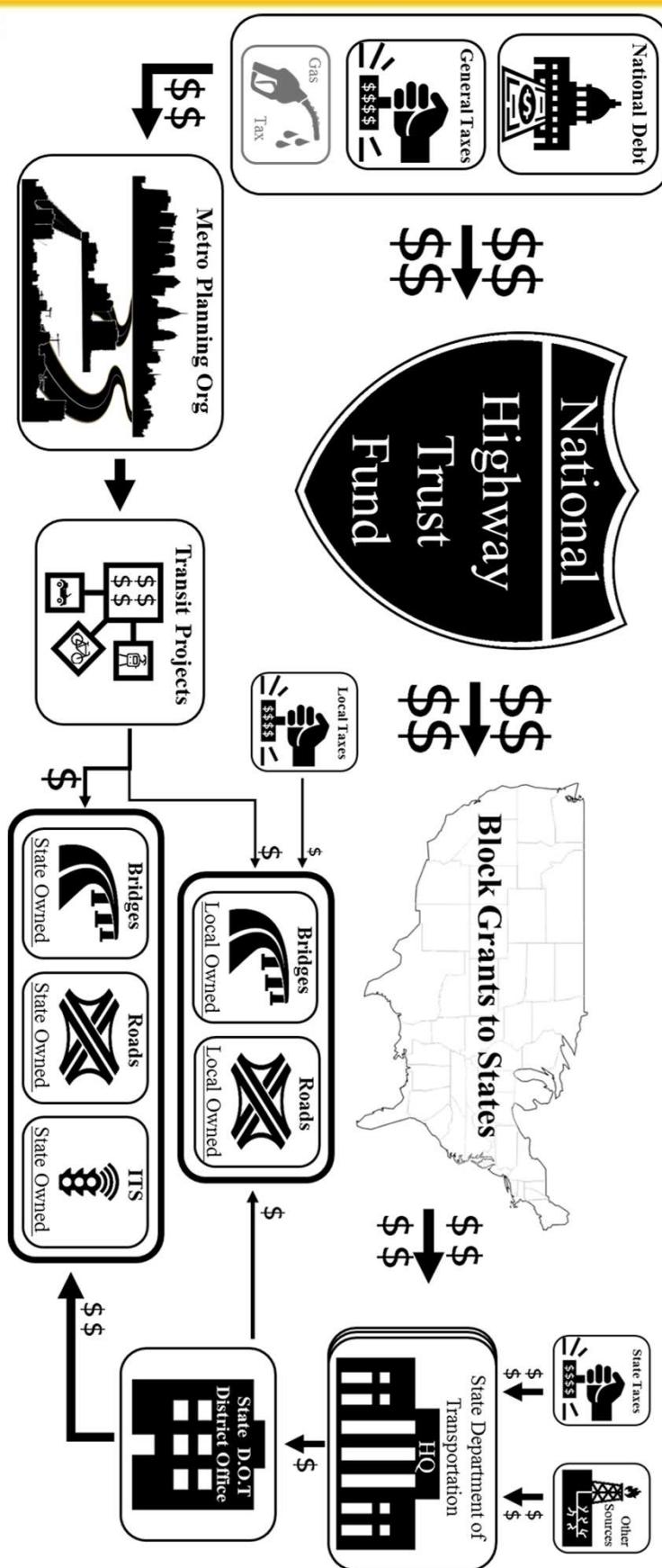
## ***Ad-hoc planning and maintenance***

- leaves owners without a clear understanding of the future resources that each asset might require
- Under this management style:
  - Proper funds can not be allocated beforehand, because the owner is not aware of the asset's potential needs
  - Assets deteriorate at a higher rate, because funds are typically not available or willing to be spent of preventative maintenance activities, which can elongate an asset's life-span and save on costly large repairs and renewal activities.

**Key Element #1: The whole-life/life cycle of an asset is considered**  
Proper life-cycle planning can help control the length of each phase.

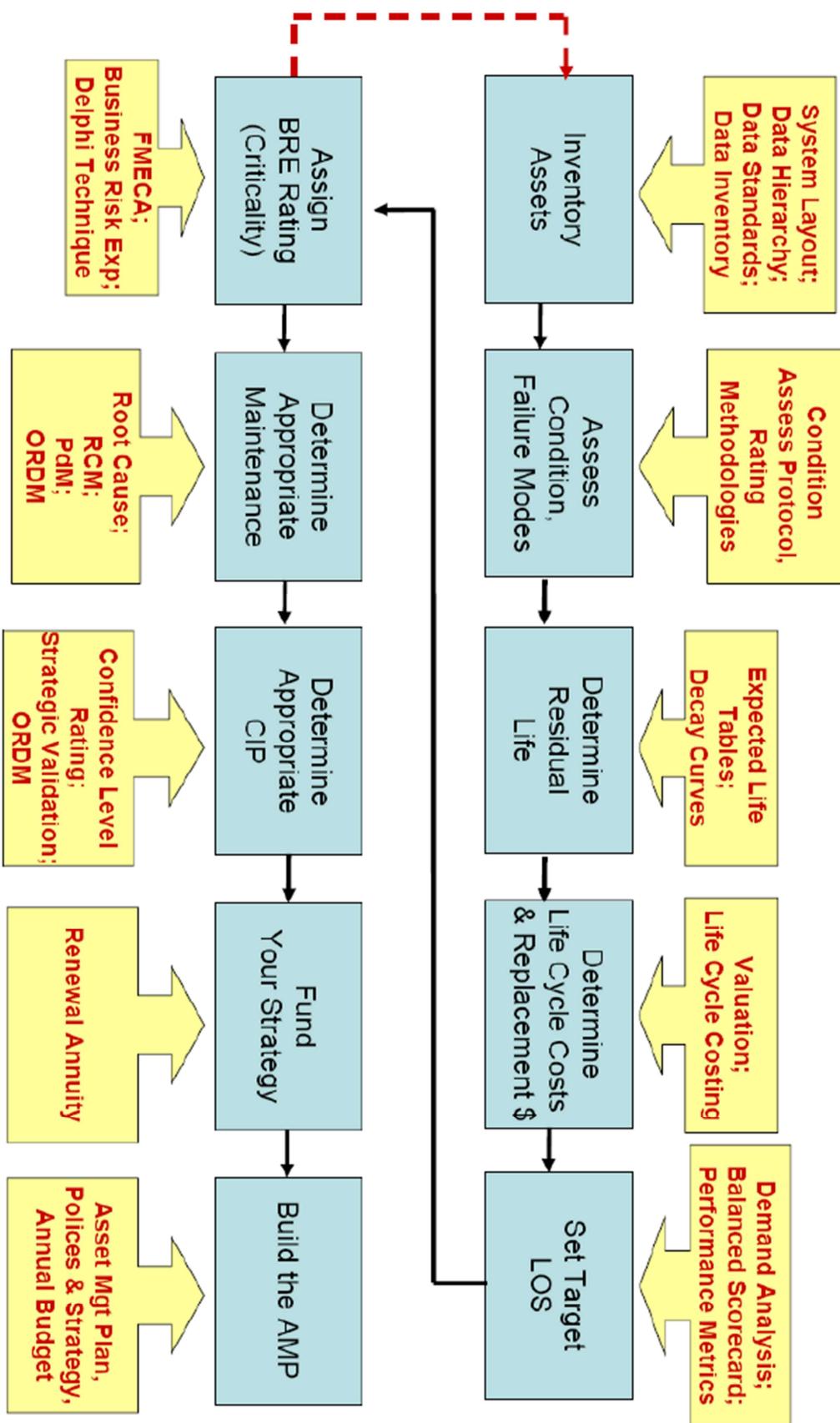


# How Does the Money Flow into State and Local Bridges?



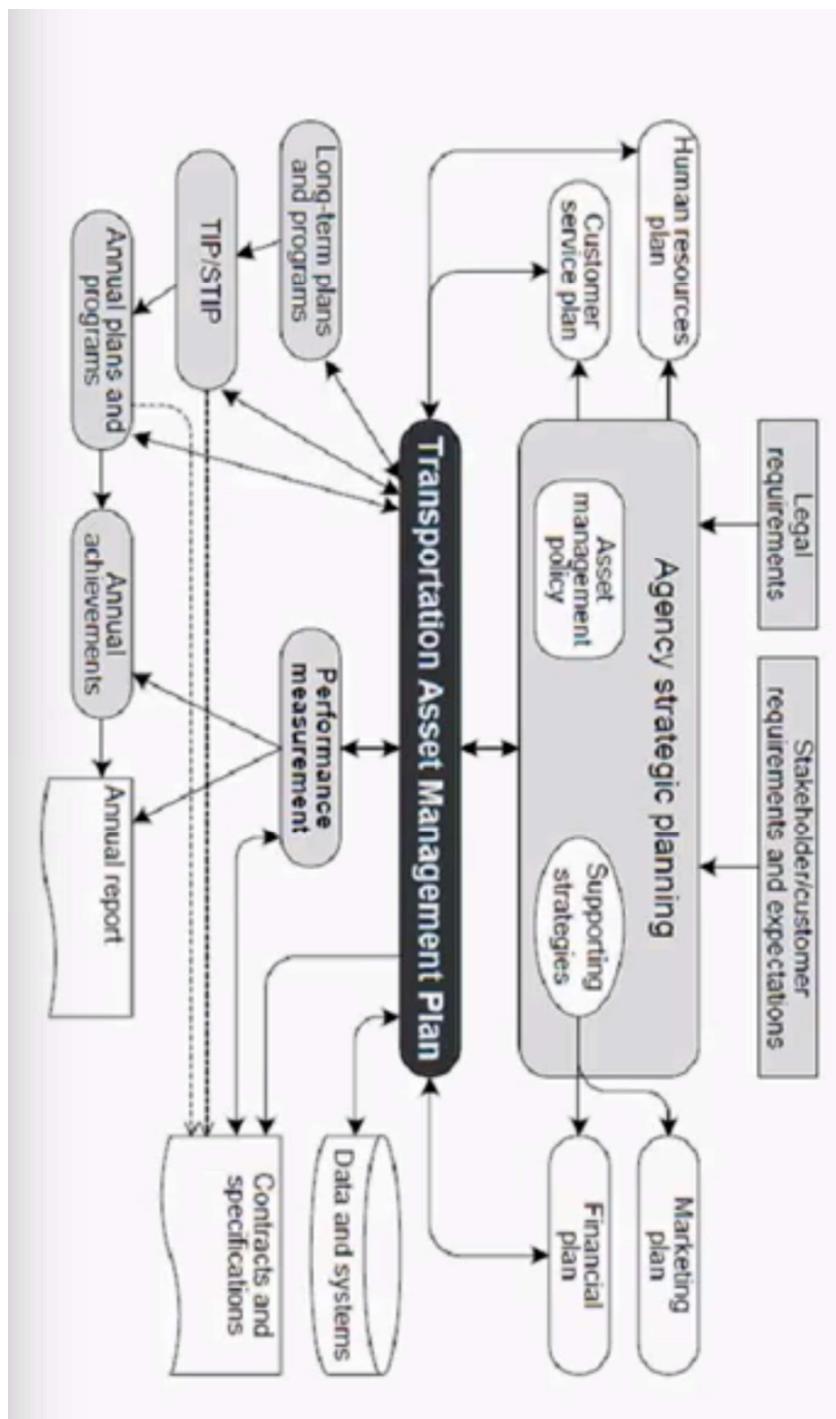
# International Infrastructure Management Manual

## Infrastructure Asset Management Flow Chart



# Other TAMP Strategies

## ASSET MANAGEMENT PLAN CONTEXT



# Key Elements of Infrastructure Asset Management

1. Taking a life-cycle approach
  - The whole-life/life cycle of an asset is considered
2. Developing cost-effective management strategies for the long-term
  - Optimization - maximizing benefits by balancing competing demands
  - resource allocation - allocation of resources based on asset needs
3. Providing a defined level of service and monitoring performance
  - Customer Focus - explicit consideration of customer expectations
4. Understanding and meeting the impact of growth through demand management and infrastructure investment
5. Managing risks associated with asset failures
6. Sustainable use of physical resources
7. Continuous improvement in asset management practices



# SUMO Road Network Levels of Service

- Average Travel Speed - hourly
  - Asset & Network – Table B-4
- Signalized Intersections – Table B-6
- ADA Compliant – binary
- State of Repair
  - Average for network
  - Current after period\_i and rate of change from period\_i -1 and period\_i

# SUMO Road Network Performance Measures

- **3.7 Use of Performance Measures**

- Providing Feedback for Use in Resource Allocation
  - Structured Feedback Process
  - Quarterly Management Reviews
  - Public Feedback
  - Activity Adjustments Based on an Analysis of Trends
- Tradeoff Analysis

## 3.8 Setting Performance Targets

- Anticipated funding level through the forecast horizon (Idaho, Michigan, Minnesota, Montana, Ohio, New York, and Washington);
- Public involvement (Michigan and Montana) and customer-based market research (Minnesota);
- Existing condition (Montana), historical performance trend (Minnesota), limited information on performance compared with other states (Minnesota), and the implications of different proposed condition levels (Idaho);
- Input from the DOT director, the transportation commission or board, governor's office, or state legislature (Michigan with Ohio);
- Policy goals/guidance and statewide priorities such as "preservation first" (Florida, New York, and Iowa);
- Discussion with the construction industry (Michigan);
- Life-cycle costs (if a model is available), marginal value of additional investment (100-percent targets are not always advisable) and tradeoff considerations (Minnesota); and
- Priorities by route classification (Iowa)

Figure 6. Florida DOT Measurable Objective  
Pavement Condition

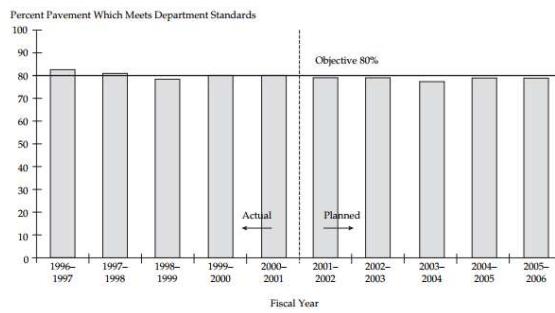
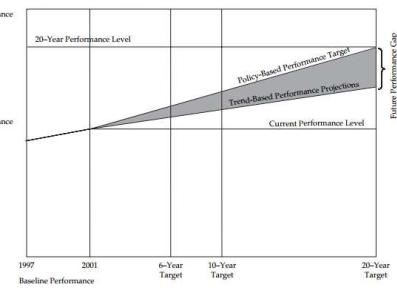


Figure 5. Minnesota DOT's Performance Target Levels



# The “How” of TAMP Development

TAMP Section	Development Topics
3. Performance Gap Identifications	If performance targets do not exist, what approach should we use to develop them?
	How will we incorporate expected revenues into the target setting process?
	What information would we like to provide decision makers?
	Who should be involved in the target setting process?
	Are we confident in the future traffic projections needed to model future asset conditions? If not, how can we improve them in the short and/or long term?
	Are we going to separate the analysis by functional class? For example are we going to develop separate targets for the Interstate system, and the rest of the NHS?
	How will we address the relationship between routine maintenance and capital activities/funding?
	How will we address the relationship between asset management activities and system expansion activities/funding?

# The “How” of TAMP Development

TAMP Section	Development Topics
4. Lifecycle Cost	How will we incorporate lifecycle costs into the TAMP? Considerations
	Are they reflected in the management systems that we will use for the analysis?
	How do we manage our assets from inception to disposal, including construction, maintenance, preservation, rehabilitation, improvement, reconstruction, etc.?

# The “How” of TAMP Development

TAMP Section	Development Topics
5. Risk Management Analysis	What types of programmatic risks will be addressed in the TAMP?
	What is the best way to determine their likelihood and consequences and to identify risk mitigation strategies? (For example, by convening a risk assessment workshop with representatives from throughout the agency.)
	What types of system risks should be considered? (For example, performance failure, weather events, etc.)
	How should we assess system risks? How should the results be presented? (For example, in a statewide map identifying high/med/low risk corridors.)
	How will we incorporate the results of the system risk assessment into the TAMP? (For example, will our investment strategies vary depending on the level of risk? Will the risk mitigation strategies be combined with or compete against our other asset management strategies?)

# The “How” of TAMP Development

TAMP Section	Development Topics
6. Financial Plan	Which portions of the transportation budget will be included in the financial plan?
	How will the anticipated level of these funds be determined?
	Will we allocate funds between asset management and other goal areas such as expansion, or will we focus solely on the allocation of asset management funds between assets?
	What is the value of our assets today? How does it depreciate through the years included in the TAMP.
	Should we consider potential new sources of revenue in the TAMP? If so, which ones?

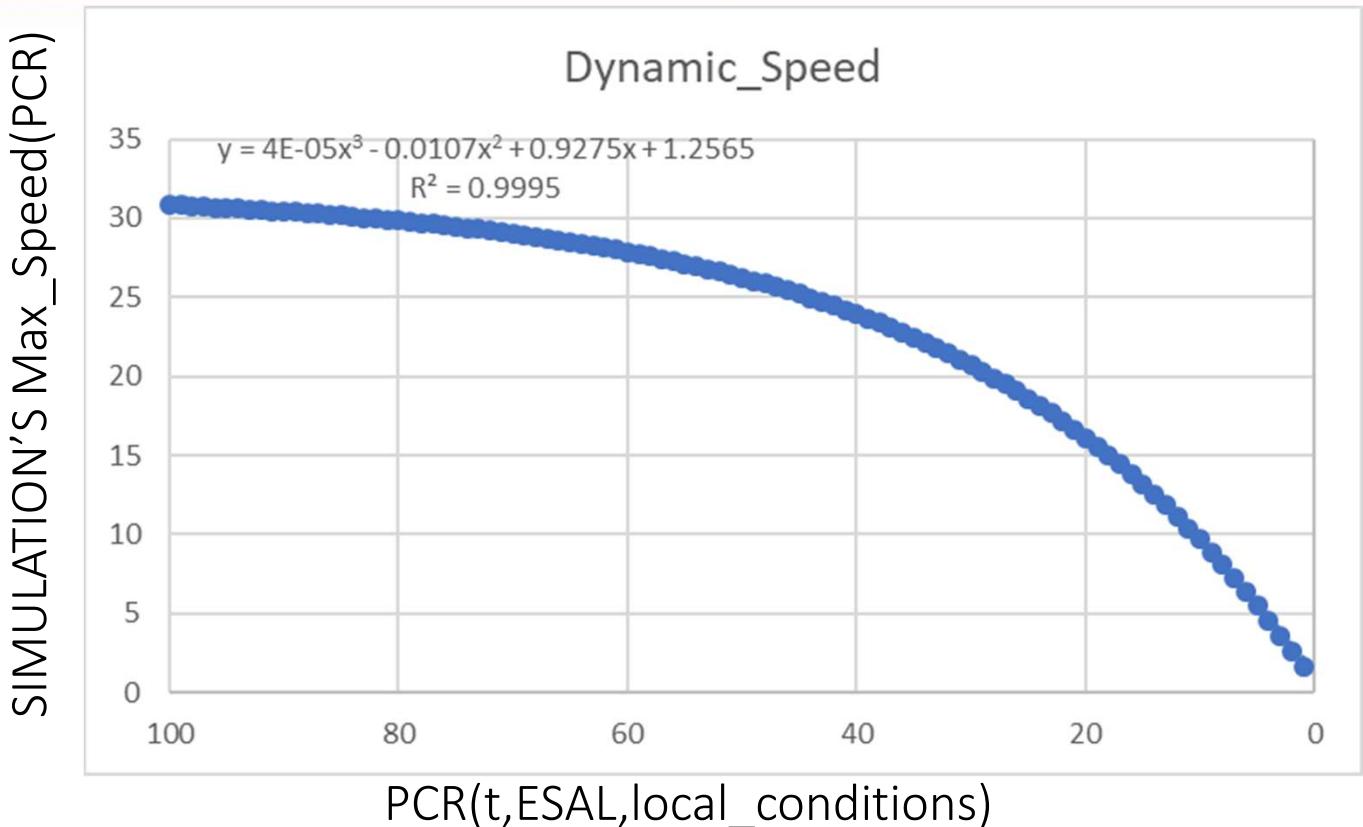
# The “How” of TAMP Development

TAMP Section	Development Topics
7. Investment Strategies	Will the TAMP include a list of specific projects? If so, which ones?
	Other than specific projects, what types of investment strategy information will we provide? (Examples include: a description of key strategies, average unit costs, typical timing for when each strategy should be considered; and/or a description of how asset management projects are prioritized and programmed.)
	Which of these items already exist? How should we develop the ones that don't?
	How will we incorporate the results of the risk assessment into our strategies?
	How will we incorporate life cycle cost considerations into our strategies?

# The “How” of TAMP Development

TAMP Section	Development Topics
8. Asset Management Process Enhancements	Will we be conducting the Asset Management self assessment survey as part of the TAMP development process?
	If so, who needs to take the survey? How will we facilitate the survey process?
	If not, how will we identify our asset management improvement priorities?
	What information will be provided in the TAMP? (Examples include a summary of the entire survey, a list of the survey items showing the biggest gaps, a list of priority actions categorized as short term and long term, a list of high priority assets to add to the TAMP, etc.)

# How Condition related to Simulated Speed Limits



Each roadway calculates and updates its “Posted Speed Limit” via [SUMO’s Traci Python Interface](#). Due to the difference in driving behavior, some vehicles may still drive a defined percentage above the limit [\[speedFactor\]](#). [Link to Vehicle Parameters]

Link to traci\_Python Git\_Hub Repository



# Using TraCI

- **Protocol specification** <http://sumo.dlr.de/wiki/TraCI>
- Please see the [TraCI Protocol Specification](#) (including [Basic Flow](#), [Messages](#), [Data Types](#)).
- **TraCI Commands**
- [Control-related commands](#): perform a simulation step, close the connection, reload the simulation.
- For the following APIs, the ID is equal to the ID defined in [SUMO](#)'s input files. Here, you find their [general structure](#).
- Value Retrieval
  - [Induction Loop Value Retrieval](#) retrieve information about induction loops
  - [Lane Area Detector Value Retrieval](#) retrieve information about lane area detectors
  - [Multi-Entry-Exit Detectors Value Retrieval](#) retrieve information about multi-entry/multi-exit detectors
  - [Traffic Lights Value Retrieval](#) retrieve information about traffic lights
  - [Lane Value Retrieval](#) retrieve information about lanes
  - [Vehicle Value Retrieval](#) retrieve information about vehicles
  - [Person Value Retrieval](#) retrieve information about persons
  - [Vehicle Type Value Retrieval](#) retrieve information about vehicle types
  - [Route Value Retrieval](#) retrieve information about routes
  - [Pol Value Retrieval](#) retrieve information about points-of-interest
  - [Polygon Value Retrieval](#) retrieve information about polygons
  - [Junction Value Retrieval](#) retrieve information about junctions
  - [Edge Value Retrieval](#) retrieve information about edges
  - [Simulation Value Retrieval](#) retrieve information about the simulation
  - [GUI Value Retrieval](#) retrieve information about the simulation visualization
- State Changing
  - [Change Lane State](#) change a lane's state
  - [Change Traffic Lights State](#) change a traffic lights' state
  - [Change Vehicle State](#) change a vehicle's state
  - [Change Person State](#) change a persons's state
  - [Change Vehicle Type State](#) change a vehicle type's state
  - [Change Route State](#) change a route's state
  - [Change Pol State](#) change a point-of-interest's state (or add/remove one)
  - [Change Polygon State](#) change a polygon's state (or add/remove one)
  - [Change Edge State](#) change an edge's state
  - [Change Simulation State](#) change the simulation
  - [Change GUI State](#) change the simulation visualization
- Subscriptions
  - [TraCI/Object Variable Subscription](#)
  - [TraCI/Object Context Subscription](#)
- Accessing [Generic Parameters](#)

# Theatrical Capacity of Roadways

Click for new presentation

TAMP SUB\_TREE

# SUMO\_SUB\_TREE

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# SUMOwiki - Definition of Vehicles, Vehicle Types, and Routes

[http://sumo.dlr.de/wiki/Definition\\_of\\_Vehicles,\\_Vehicle\\_Types,\\_and\\_Routes#Speed\\_Distributions](http://sumo.dlr.de/wiki/Definition_of_Vehicles,_Vehicle_Types,_and_Routes#Speed_Distributions)

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# Limit States and Performance Criteria for Whole Life-Cycle Management

Infrastructure Life-Cycle Performance Management			
Performance Criteria	Asset Management	Limit State Return Period	Resilience
Utility and Functionality Everyday	Serviceability and Durability 5 -20 Years	Life Safety and Stability of Failure 50-750 Years	In the case of extremely rare catastrophic events >1000 Years
Operational Management	Maintenance Management	Multi-hazard Risk Reduction and Management	Disaster Response Planning and Emergency Management
Minimize Disruptions Maximize Reliability Relative Importance for Network, GDP, National Security, other Operational efficiency, Safety and Security Robust and predictable revenue	Effective & Economical : Inspection Maintenance Repair Rehabilitation Assurance of Lifecycle Revenue for Effective Preservation	Assurance of Life-Safety, Control of Failure Mode, & Repairable Damage: Quick recovery of normal operations following any hazard (days-months)	Minimize Casualties and Sustain an Acceptable Level of Function for: <b>Society, Economy, Ecology, Government and Critical Infrastructures</b> Resourceful and Adaptive Society, Economy, Public Health and Emergency Response Adaptable and flexible lifeline systems Protected escape and evacuation capacity Non-Fragility of Interdependent Infrastructures
<i>Throughout entire lifecycle</i>			

# How Will This Model Attack the Problem?

- Sociotechnical concerns
  - Measuring direct feed back from “Users”
  - Checking for unforeseen consequences.
  - ...
- Engineering Optimization
  - Principles of Operations Research
    - Linear Programming with objective functions and constraints that reflect the different agencies
- Traffic Engineering
  - Deterioration and Performance Modeling
  - Performance Metrics

# Questions to be answered by Scenarios

1. What are the impacts of **New Development** to the immediate surrounding roads and the overall performance of the network?

- **Set up:** Increase demand in a local area
- **Assessment** – Changes In: User Travel Times and User Experienced Level of Service (ELOS); Asset and Network Performance; Asset Life-Cycle Costs (LCC); Maintenance Activities

2. How should assets be prioritized for resilience retrofitting to reduce the impact from extreme weather events? (**Flooding**)

- **Set up:** Remove roadways that are within the 500 year flood plane;
- **Assessment** – Changes in traffic patterns; Identify, with multi-objective criteria, critical assets which should be prioritized to ensure maximize network flow and connectivity;

3. How can the scheduling of maintenance and construction activities impact the immediate surrounding roads and the overall performance of the network?

- **Set up: Construction Delays** – Active road work = road/lane closure; Milled road surface = reduced condition levels to impact max travel speed
- **Assessment:** Under different scheduling methodologies (ad-hoc; asset focused optimization; network focused optimization; socio-technical optimization) – what are the different impacts to Travel-Times and ELOS; Asset and Network Performance; Asset Life-Cycle Costs; Maintenance Activities

4. How does the variability of future funding effect the management decisions and LCCs?

- **Set up:** During long term planning routine increased or decreased the **variability** of the next year's **budget**. Budget amount and variability will be based on the financing mechanisms available to the owner
- **Assessment:** Are there changes in spending patterns? Are their any significant efficiency gains between different financing structures?

5. Is there a significant impact from data collection and utilization methods to the network's performance, ELOS, and owner operational behavior?

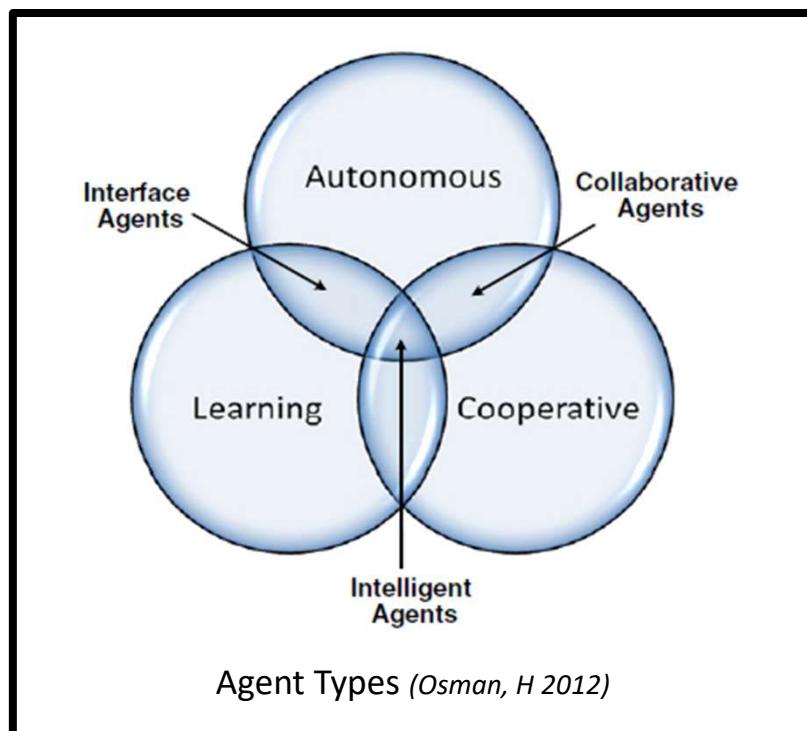
- **Set up:** Conduct a sensitivity analysis of the owner agent's **data accuracy** (possibility of reporting no change in condition), **resolution** (how many attributes of each asset are collected; linked to model sophistication), **and update frequency** (how often is the data refreshed), and **predication model sophistication** (regional models, simple linear regression model (local data))
- **Assessment:** Are there significant changes to the network's performance, ELOS, and owner operational behavior?

## Recap – Deliverables

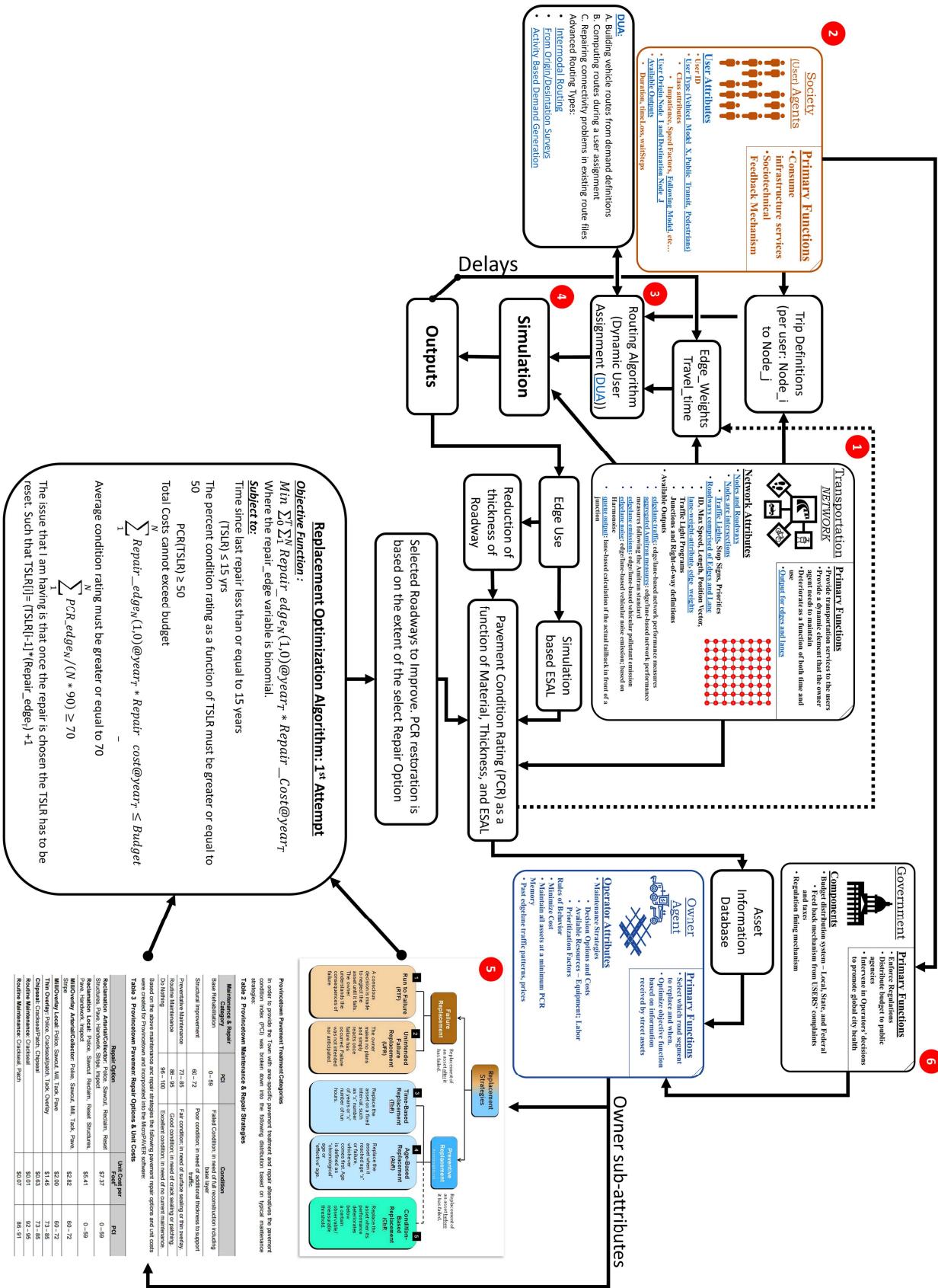
- Create an ABM of the management of transportation assets in a segment of Philadelphia to assess how the different TAMP factors effect the life-cycle cost of the assets, network performance, and users' experiences.
  - The model must have the ability to:
    - Simulate of user behavior – variable driving parameters, rerouting capabilities,
    - Capture users' experience – level of service received from assets per user
    - Simulate the deterioration of roadway conditions and have the conditions effect the users' experience
      - *Max Speed* a function of *condition*
      - *Condition* a function of *equivalent single axle load ESAL* and possibly geographical location if the proper models can be found
      - Closeable lanes to simulate road work
    - Vary starting parameters to mimic **actual condition of existing network** and to simulate **disruptions caused by natural disasters**
    - Simulate **System Level Transportation Asset Management Decision Processes** with Owner Agent Framework
      - Owner agents must be able to develop an action plan based on a variety of inputs and constraints
        - Roadway condition data; traffic jams; accidents; predicted future needs; political pressure; Threshold Triggered Actions
      - Multi-variate system performance metrics to base optimization
        - Individual Asset and Network Cost minimizing; Network Performance Maximization; Maximize User Experience
    - Capture Sociotechnical and Engineering Interdependencies
      - Random disruptions (closures, drop in condition rate, etc. related to non-owner related construction work)
      - Link roadway condition and time lose to user experience
      - Link user experience to political component of prioritization of maintenance work done to an asset

# What is Agent Based Modeling?

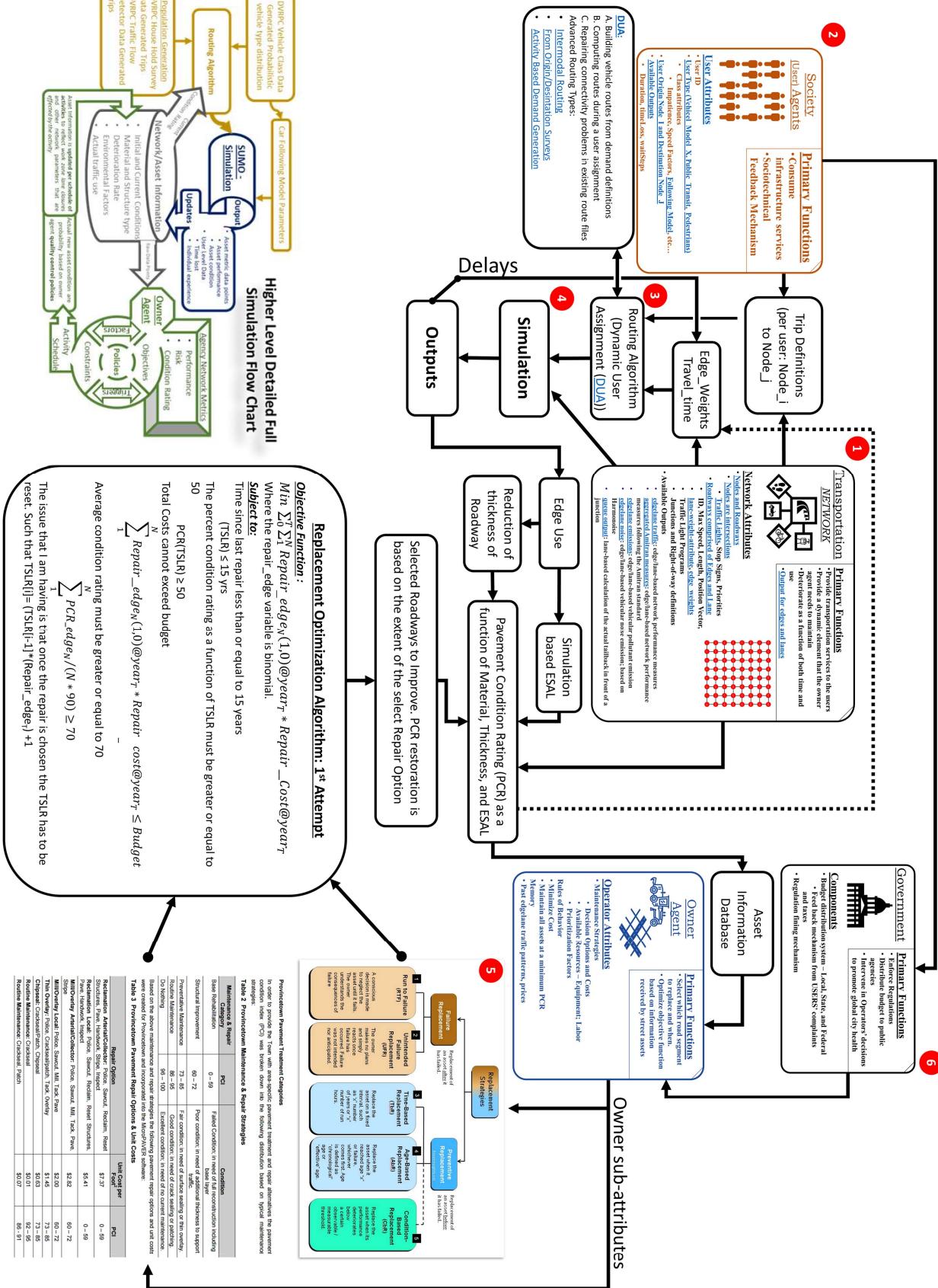
- An agent based model (ABM) utilizes a bottom up approach to define the rules of behavior and interactions for different actors/individuals within a complex adaptable system. The purpose is to simulate the “agents” interactions to observe and study the resulting emergent behavior.
- Agents can be thought as an individual software packet that through its interactions with its environment and other agents
  - Even with simple rules of behavior, complex non-determinate systems can be modeled
- Agents typically have **three basic functionalities**, the ability to operate **autonomously**, **cooperatively**, and **learn** from their encounters. An intelligent agent has all three capabilities (Osman, H. 2012).
  - This model will contain many different dynamic components, but the **owners**, and potentially **elected officials**, will be the only true intelligent agent
    - The other components being the **users** and **infrastructure assets** have dynamic behavior and **respond** to their environment and interactions
      - **User's** operate in a cooperative manner. By sharing re-routing information with the network.
      - **Assets** will deteriorate based on their geographical location and simulated use



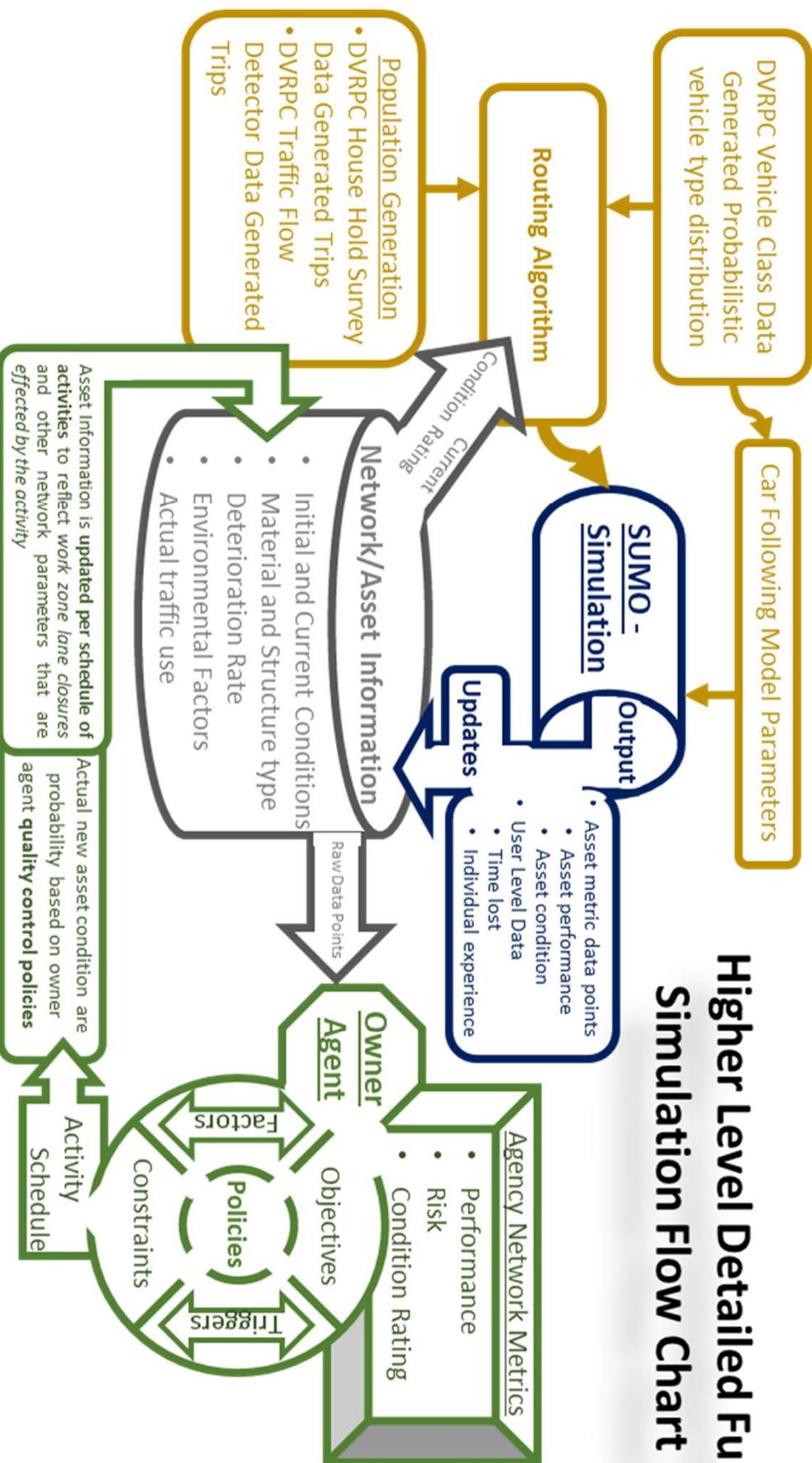
# Explain Model Here



# Explain Model Here



## Higher Level Detailed Full Simulation Flow Chart



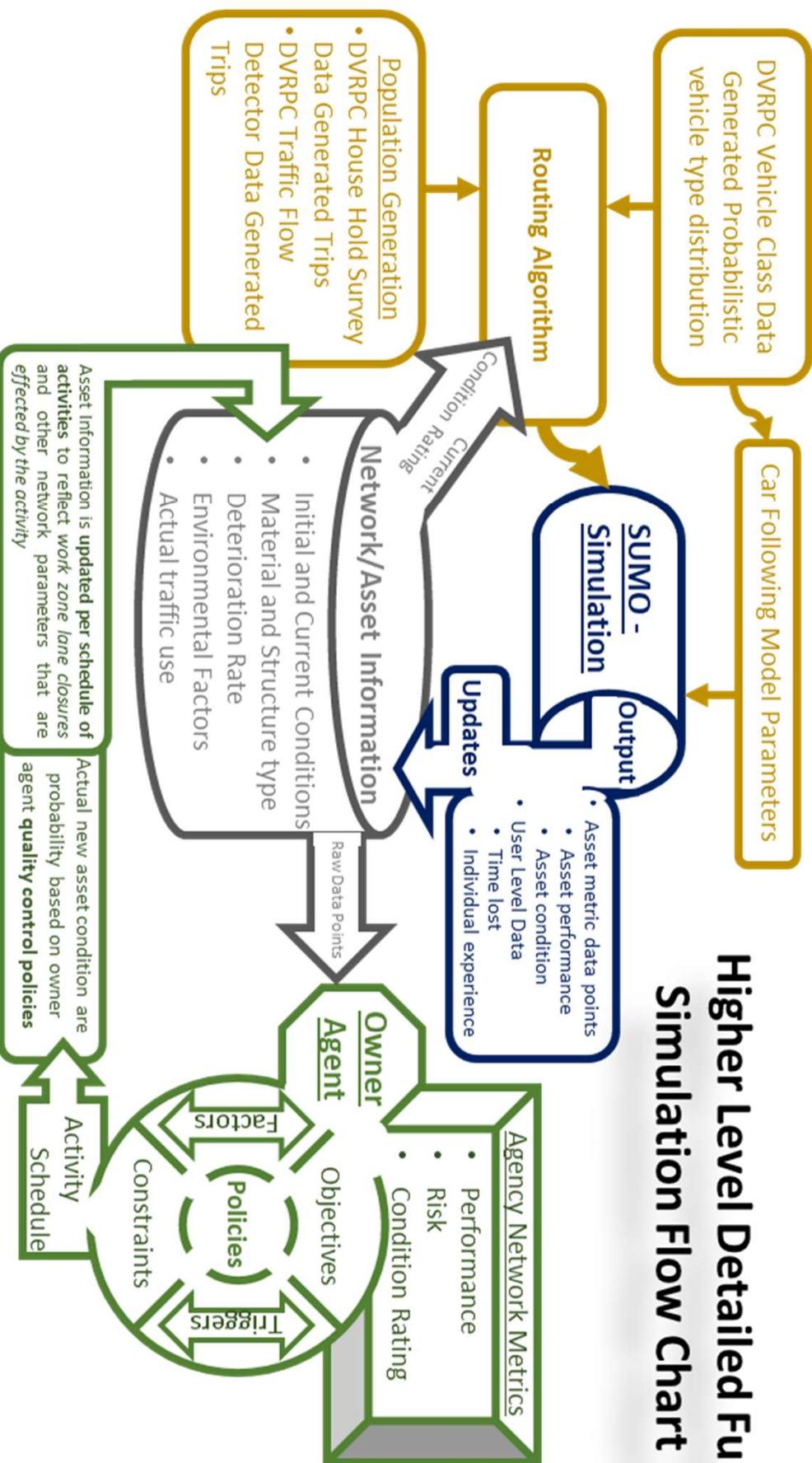
# What does a Complete Model Look Like

- OSM base map of segment of Philadelphia's Fairmount Park
- Traffic – vehicles per hour and vehicle type distributions
  - Based on DVRPC available traffic count data
- Routes – based on heuristics
- Deterioration based on the ESAL of the simulated traffic and random events
  - Need to find a way to incorporate environmental factors for individualized decoration rates
  - Compare predicted damage (no interventions), based input date, to simulated damage. This will be a critical test to see if SUMO is worth the time and effort.
- Owner agent module
  - Creates work plan schedule to meet predefined goals
    - Random; Worst First; Corridor; Isolated Asset Group; Combined Asset Management; Service Provided; Multi-Objective Optimization
    - Constraints: Budget; Politics (geospatial distribution); Organizational
  - During simulation owner carries out schedule work or reacts to emergency events

# Model - Limitations

- Trying to simulate a “wicked problem” is inherently inaccurate.
- There is no “right” answer to how much detail and complexity needs to be modeled to create a simulation with meaningful results.
  - I will rely on assumptions and randomness to simulate the interactions between the different systems
    - Human → Human, Human → Engineered, Engineered → Human, Engineered → Engineered, Natural → Engineered
- The output of a single simulation is not meaningful. It will take possible thousands of simulations runs for any discernable emergent behavior patterns that can be used to draw conclusions.
  - A single day can take many hours to simulate, this will limit the amount of scenarios and permutations of individual scenarios.
- Travel patterns within the network are calibrated by traffic count data that is only a snapshot (3 days) in time
- There are many factors that lead to the deterioration of an asset, this research will only account for use, weight of vehicle, sun exposure, and pavement structural composition.
  - I am still searching for a more sophisticated pavement deterioration model.
- Limited amount of historic and current condition data, unknown accuracy of data

## Higher Level Detailed Full Simulation Flow Chart



# Components of the Simulation

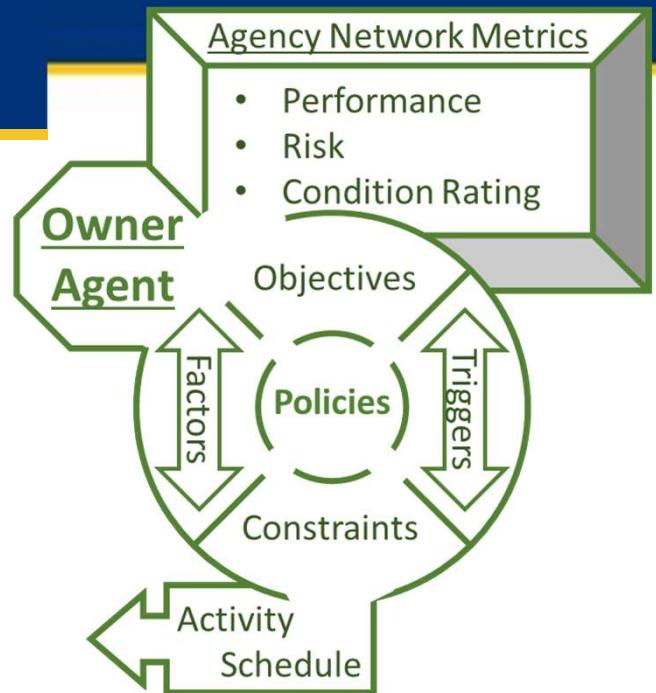
- Graph model of physical network topology – Deterministic and Probabilistic
  - How many lanes are on each street (edge)? Where as the intersections (nodes) between the length of the street? - Deterministic
- Control Systems
  - What are the control parameters at the different intersections – Traffic Lights (phase timing), Stop Signs, Merging, etc. - Deterministic
  - Lane designations and permissions – Turning lanes, Bus Lanes, target lanes at junctions - Deterministic
  - Speed Limits - Deterministic
  - Pavement deterioration rate – Statistical and Probabilistic
    - Based on FHWA Pavement Deterioration Models and simulated use
- Network Use – Statistical and Probabilistic
  - User – [Car Following Model](#) – Vehicle type differences: accelerations, decelerations, deviation from speed limit, driver imperfection, etc - Probabilistic
  - Demand – Trips: Who, From, To, When, How?
    - DVRPC – House Hold Trip Survey Data ~1,700 unique trips - Deterministic
    - DVRPC – Traffic count data - Statistical
    - Validation of new trips and data – Statistical
      - Matching output trends to known traffic patterns - Statistical and Probabilistic
      - Calibrator tool (adds or removes traffic during the simulation to match count data) - Probabilistic
- Simulation – Users + Trips + Network + Control Systems – **Network Performance is Non-Deterministic**
  - How were the different assets used? – Current Pavement Condition Rating
  - How did the network of assets effect the user?
    - User delayed in jam? User involved in an accident? User arrives significantly later at destination than expected?
- Transportation Agency Decision Simulator- Statistical and Probabilistic, but Simulated Emergent Behavior is Non-Deterministic

# Owner Agent – Tentative Rules of Behavior – Detailed

## Rules of behavior

Statistical and Probabilistic, but Simulated Emergent Behavior is Non-Deterministic

- Non-linear programming – Schedule Optimizer
- Objective function variation: short term cost minimization, overall network performance maximization, LCC minimization, Holistic Livability maximization

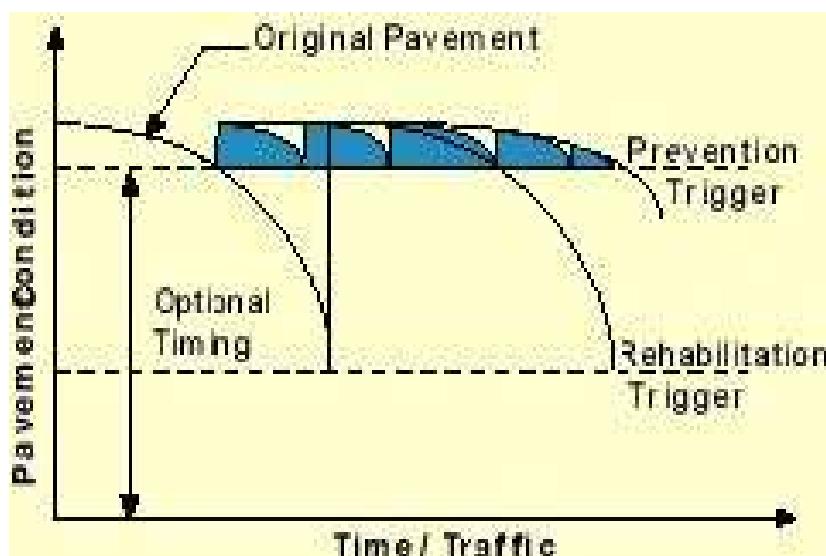


- Subject to:
  - Budget – next year's budget (**Probabilistic**) – soft constraint
  - Number of overlapping activities – (**Deterministic**) – hard constraint – Availability: Labor Force, Equipment, Material In-Stock, Completion time of activity (**Probabilistic**)
  - Available activities - (**Deterministic**) – Work force capabilities
  - Effectiveness of activity – (**Probabilistic**) – Variation in condition increase base on quality control practices
  - Cost of project – (**Heuristics and Probabilistic**) - variations in material cost based on seasonal adjustments and market fluctuation
  - Scope of activities – (**Deterministic**) – soft constraint – Policy driven – example: PWD can not contribute funds to any project that's scope is outside of their jurisdiction, due to their bonding arrangement.
  - Condition data:
    - **Probabilistic** – Data collection practices → probability inspection data is reported correctly
    - **Deterministic** – Data collection practices → condition data update rate
  - Indirect Deterioration costs – **Statistical and Non-Deterministic**:
    - Importance factor: i.e. - Change in vehicle flow rate per year given no maintenance activities and default flow rates.
  - User experience - **Statistical and Non-Deterministic**:
    - Simulated vehicle interactions create differences between the routes expected travel-time and the actual travel time, experience is quantified as the piecewise integral of the negative intensity over the time period above the threshold.

# Owner Agent – Tentative Rules of Behavior

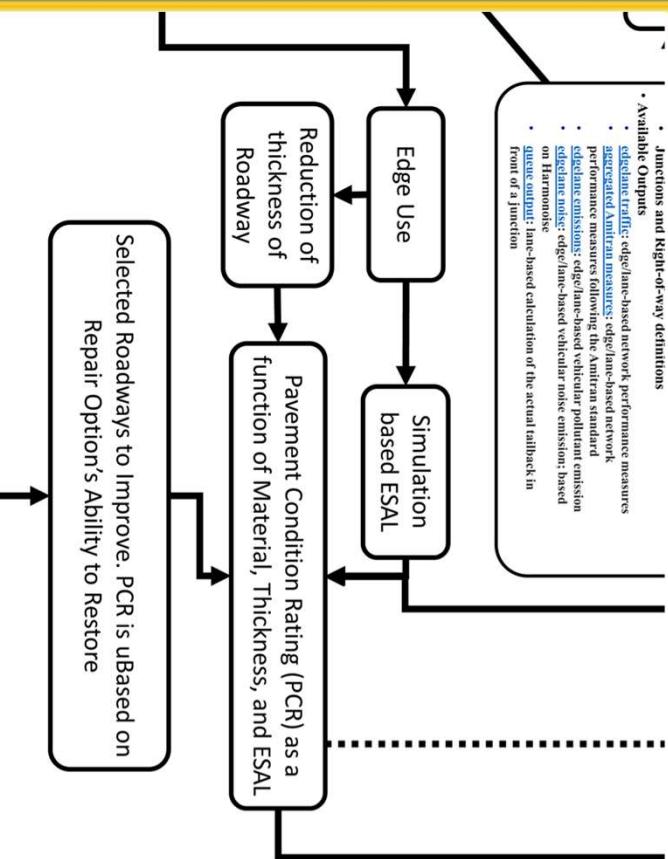
**Owner Agent** – Rules of behavior are Statistical and Probabilistic, but Simulated Emergent Behavior is Non-Deterministic

- Following the FHWA TAMP workplan ([2013](#)) and the new [FAST Act Rules](#)
- Generates a schedule of work orders to be completed during the simulation
  - Components of **Operation Research** optimizing objective function with constraints, based on scenario
- Owner agent receives information about the transportation assets
  - Owner types will differ through their optimization parameters, objectives, and constraints
    - Building and modifying short and long term plans based on **non-deterministic** simulation network performance.
      - Data is processed through different metrics and models to help build or modify agency TAMP
  - Owner agent's make Transportation Asset Management decisions that directly effect the virtual network
- Simulations permits the opportunity to observe the consequences (good or bad) of different management policies and variations in finical and logistical parameters. – **Deterministic and Statistical** model parameters, but **non-deterministic** long term behavior



# Owner Agent Continued

- Junctions and Right-of-way definitions
- Available Outputs
- edge/lane traffic: edge/lane-based network performance measures
- aggregated, unifran measures: edge/lane-based network performance measures following the AASHTO standard
- edge/lane emissions: edge/lane-based vehicular pollutant emission
- edge/lane noise: edge/lane-based vehicular noise emission; based on Harmonic queue output: lane-based calculation of the actual tailback in front of a junction



## Replacement Optimization Algorithm: 1<sup>st</sup> Attempt

### Objective Function:

$$\text{Min } \sum_0^T \sum_1^N \text{Repair\_edge}_N \left( \frac{0}{1} \right) @ \text{year}_T * \text{Repair\_Cost} @ \text{year}_T$$

Where the repair\_edge variable is binomial.

### Subject to:

Time since last repair less than or equal to 15 years

$$(\text{TSLR}) \leq 15 \text{ yrs}$$

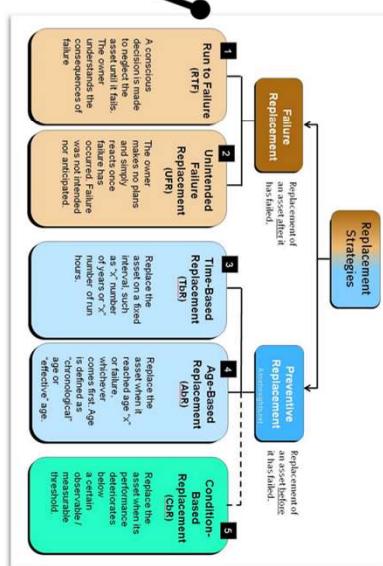
The percent condition rating as a function of -TSLR must be greater or equal to 50

$$\text{PCR}(\text{TSLR}) \geq 50$$

Total Costs cannot exceed budget

$$\sum_1^N \text{Repair\_edge}_N \left( \frac{0}{1} \right) @ \text{year}_T * \text{Repair\_cost} @ \text{year}_T \leq \text{Budget}$$

The issue that I am having is that once the repair is chosen the TSR has to be reset. Such that  $\text{TSLR}[i] = (\text{TSLR}[i-1] * (\text{Repair\_edge}_i)) + 1$



# Linear Programming Example

## Non-Linear Integer Programming for Planning Street Maintenance.

Objective Function:

$$\text{Min } \sum_0^T \sum_1^N \text{Repair\_edge}_N \left( \frac{0}{1} \right) @year_T * \text{Repair\_Cost}@year_T$$

Where the repair\_edge variable is binomial.

Subject to:

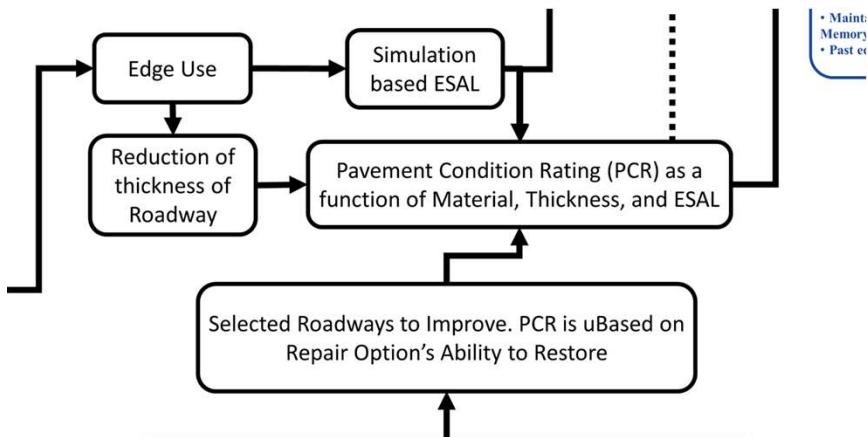
1. Time since last repair less than or equal to 15 years
  - a.  $\text{TSLR} \leq 15 \text{ yrs}$
2. The percent condition rating as a function of TSLR must be greater or equal to 50
  - a.  $\text{PCR}(\text{TSLR}) \geq 50$
3. Total Costs cannot exceed budget

$$a. \sum_1^N \text{Repair\_edge}_N \left( \frac{0}{1} \right) @year_T * \text{Repair\_cost}@year_T \leq \text{Budget}$$

The issue that I am having is that once the repair is chosen the TSLR has to be reset. Such that  $\text{TSLR}[i] = (\text{TSLR}[i-1] * \text{Repair\_edge}_T) + 1$

Once I can solve this problem the Repair\_Edge variable will be a vector related to different types of repair options. I will construct the constraints to be based on the PCR only certain repair options will be available. Below is an example of different repair options, their costs, and ranges for what options should be applied. In the example they use PCI as their condition variable, but it is just a pavement index. I chose the PCR because it takes into account the effects from traffic.

## Owner Agent Continued



## Replacement Optimization Algorithm: 1<sup>st</sup> Attempt

### Objective Function :

$$\text{Min } \sum_0^T \sum_1^N Repair\_edge_N \left( \frac{0}{1} \right) @year_T * Repair\_Cost @year_T$$

Where the repair\_edge variable is binomial.

**Subject to:**

Time since last repair less than or equal to 15 years

(TSLR) ≤ 15 yrs  
current condition rating as a function of TSLR must be greater or equal to 50  
 $\text{PCR}(\text{TSLR}) \geq 50$

Total Costs cannot exceed budget

total costs cannot exceed budget

$$\sum Repair\_edges_N \left( \frac{0}{-} \right) \leq$$

so that I am having is that, once the repair is chosen, the TSLP has to be

The issue that I am having is that once the repair is chosen the TSLR has to be reset. Such that  $TSLR[i] = (TSLR[i-1] * (Repair\_edge_T) + 1$

### **Provincetown Pavement Treatment Categories**

In order to provide the Town with area-specific pavement treatment and repair alternatives the pavement condition index (PCI) was broken down into the following distribution based on typical maintenance strategies:

**Table 2 Provincetown Maintenance & Repair Strategies**

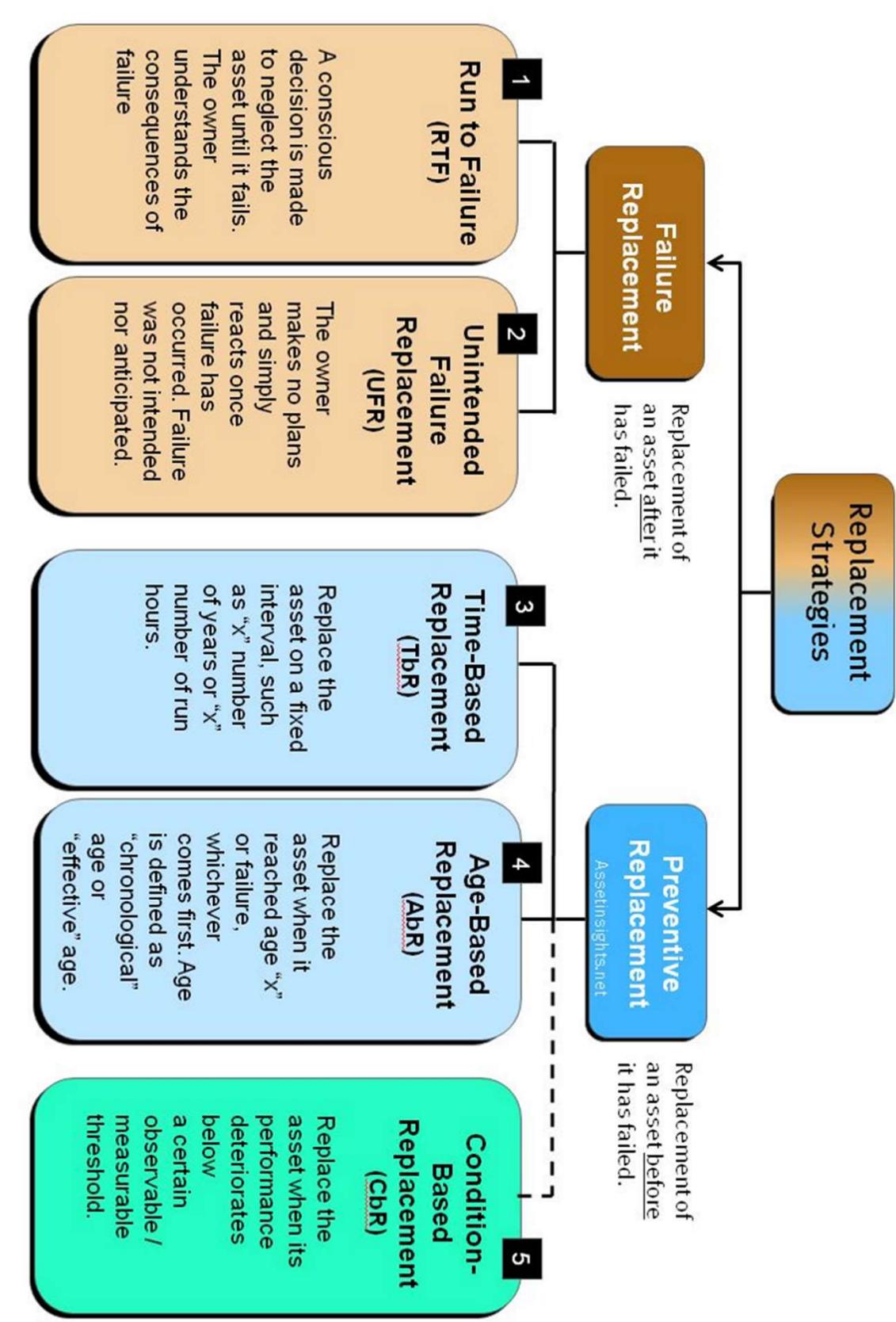
Maintenance & Repair Category	PCI	Condition
Base Rehabilitation	0 – 59	Failed Condition; in need of full reconstruction including base layer
Structural Improvement	60 – 72	Poor condition; in need of additional thickness to support traffic.
Preventative Maintenance	73 – 85	Fair condition; in need of surface sealing or thin overlay.
Routine Maintenance	86 – 95	Good condition; in need of crack sealing or patching.
Do Nothing	96 – 100	Excellent condition; in need of no current maintenance.

Based on the above maintenance and repair strategies the following pavement repair options and unit costs were created for Provincetown and incorporated into the MicroPAVER software:

**Table 3 Provincetown Pavement Repair Options & Unit Costs**

<b>Repair Option</b>	<b>Unit Cost per Foot<sup>2</sup></b>	<b>PCI</b>
<b>Reclamation Arterial/Collector:</b> Police, Sawcut, Reclaim, Reset Structures, Pave, Handwork, Stripe, Inspect	\$7.37	0 – 59
<b>Reclamation Local:</b> Police, Sawcut, Reclaim, Reset Structures, Pave, Handwork, Inspect	\$5.41	0 – 59
<b>Mill/Overlay Arterial/Collector:</b> Police, Sawcut, Mill, Tack, Pave, Stripe	\$2.82	60 – 72
<b>Mill/Overlay Local:</b> Police, Sawcut, Mill, Tack, Pave	\$2.00	60 – 72
<b>Thin Overlay:</b> Police, Crackseal/patch, Tack, Overlay	\$1.45	73 – 85
<b>Chipseal:</b> Crackseal/Patch, Chipseal	\$0.63	73 – 85
<b>Routine Maintenance:</b> Crackseal	\$0.01	92 – 95
<b>Routine Maintenance:</b> Crackseal, Patch	\$0.07	86 - 91

# Owner Agent - Replacement Strategies - Example



# Spy Pond's Recommendations

[Dropbox LINK](#)

**Table 1. Recommended Revisions to PRISM Treatments**

Treatment	Treatment Cost (\$/sq. yd.)			Notes
	Previous	Revised	Revised w/ ADA	
Crack Sealing	0.54	0.72	0.72	used same increase as slurry seal
Slurry Seal	1.50	2.00	2.00	
Microsurfacing	3.65	4.00	4.00	change effect from resetting life to increasing life by 7 years
Hot-in-Place Recycling	4.70	9.40	37.40	used same increase as thin mill
Nova-Chip with Heater Scarification	5.00	N/A	N/A	eliminate treatment
Thin Mill and Inlay	7.00	14.00	42.00	
Cold-in-Place Recycling	3.00	87.50	115.50	
Compete Reconstruction	65.00	130.00	158.00	

## Next Steps

### **SUMO (90% complete)**

- Finalize Traffic [flow calibrators](#) for the AOI network (95%)
  - [Link to DVRPC Traffic Count Excel Data](#) Extracted from [DVRPC Web App](#)
- Incorporated the pavement deterioration function into the SUMO model and have the current condition affect the max speed over the pavement segment. (95%)
- Incorporate a user ELOS function based on user travel time, pavement condition, and accident rate to keep track of user experience (85%)
- Develop Initial or Changes to Existing Conditions for Scenarios and Assess Changes

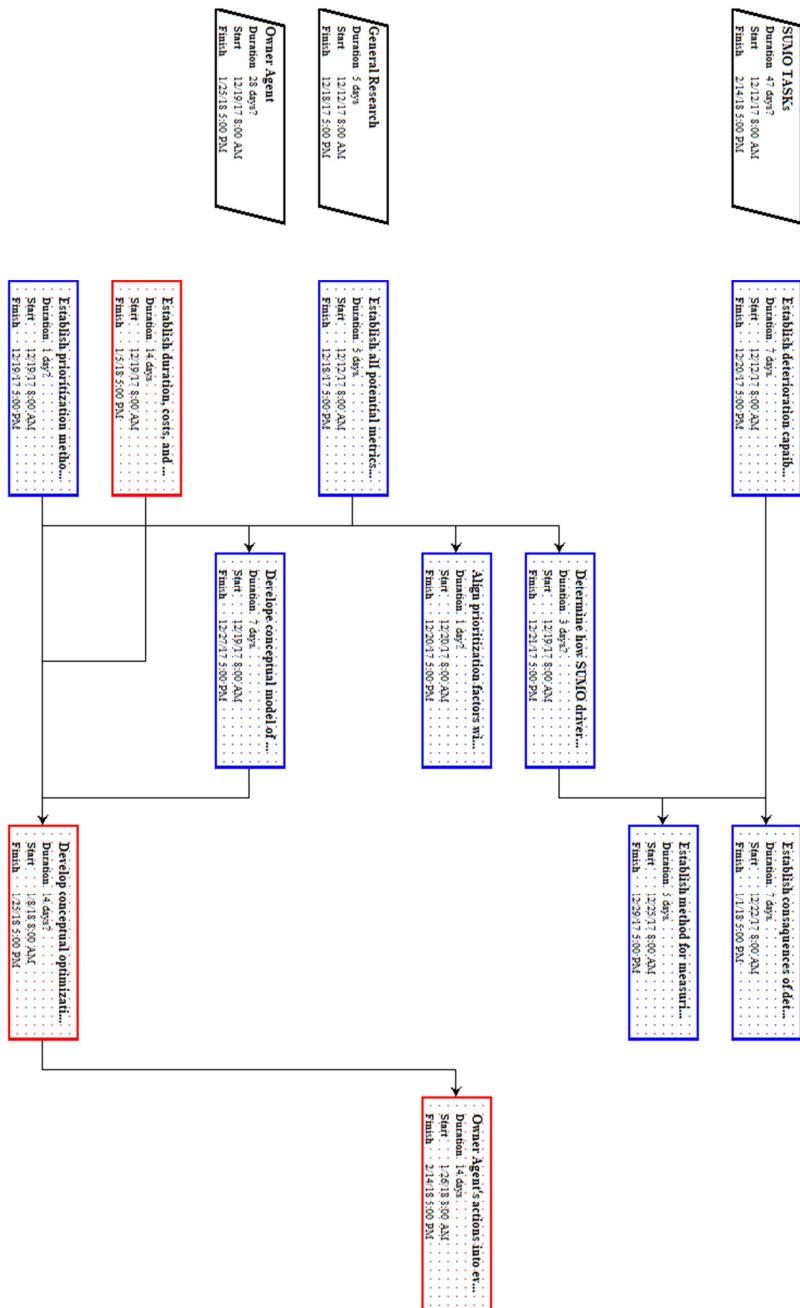
Owner Agent – Next Page

## Next Steps

### **Owner Agent (70%) – [link to PowerPoint with TAMP framework components – 70%](#)**

- Translate [FHWA's TAM Framework](#) into the Owner Agent's operational parameters and functionality
  - Define and translate the different management principles into a standard linear or non-linear programming format.
    - Multi-objective constrained optimization: Short-term operations scheduling and Long-term planning
- Create a transportation asset management framework and code to interface with the SUMO simulation for managing the transportation assets.
  - Identify the critical elements from FHWA's Best Practices and PennDOT's current TAMP
  - Conduct interviews with City Streets Department to further map their pavement management process, their constraints, and objectives
  - Develop decision and finance routines to simulate owner/operator's behavior.
- Incorporate aggregate user experiences to increase political pressure (artificially rising priority) on owner agents to fix bad roads that effect the general public.

# Work Plan



# What does a Complete Model Look Like

- OSM base map of segment of Philadelphia's Fairmount Park
- Traffic – vehicles per hour and vehicle type distributions
  - Based on DVRPC available traffic count data
- Routes – based on heuristics
- Deterioration based on the ESAL of the simulated traffic and random events
  - Need to find a way to incorporate environmental factors for individualized decoration rates
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- Owner agent module
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    - Constraints: Budget; Politics (geospatial distribution); Organizational
  - During simulation owner carries out schedule work or reacts to emergency events

# Traffic Modeling AOI - Belmont Ave in West Fairmount Park



**Open Streets Map of Area**



**DVRPC Traffic Data Points**

# How is the Simulation Validated?

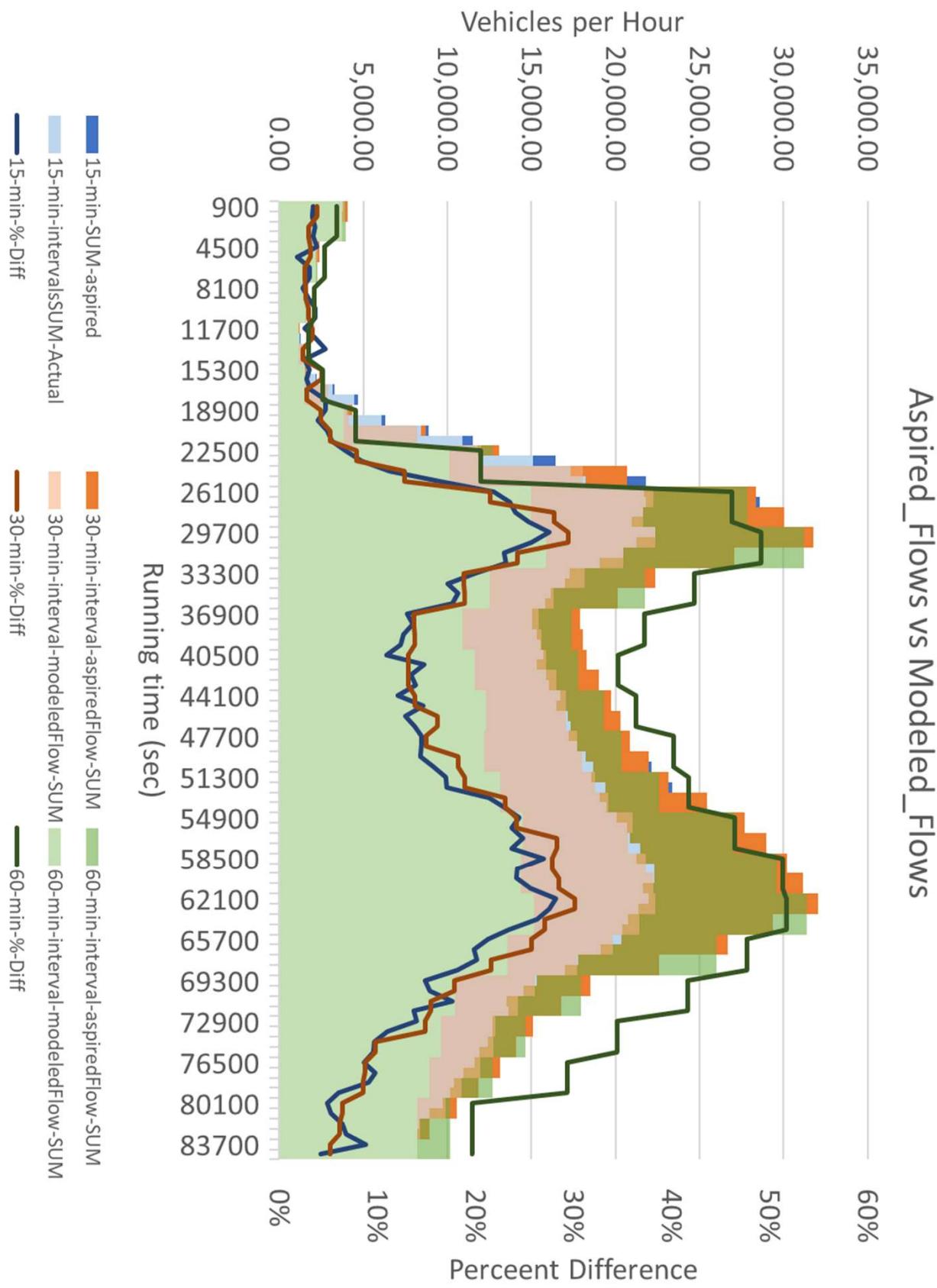
As with other simulations and models the quality and accuracy of the input data plays a significant factor in the accuracy of the output.

- The topology of the network is imported via data from the [OpenStreetMap](#) database.
- Creating realistic demands to flow across the network is a great deal more complicated.
  - **DVRPC's House Hold Trip Survey Data** is used to generate trips within the network.
  - **DVRPC's Traffic Count Data** is used during the simulation to either increase or decrease the traffic volume to match the historic records
    - Number of traffic count locations in the AOI = 38
  - **DVRPC's Vehicle Class Data** is used to determine the vehicle type distribution in the simulation
    - Number of Vehicle Class count locations in the AOI = 3
  - This data is integrated together to create a defined number of vehicles per hour passing over known streets. The traffic simulator's *Calibrator* element reads this information and tries to add or remove vehicles to the network to match the defined flow.
  - Other parameters such as turning permissions and traffic signal timing, can also be adjusted to match defined flows and field measurements.

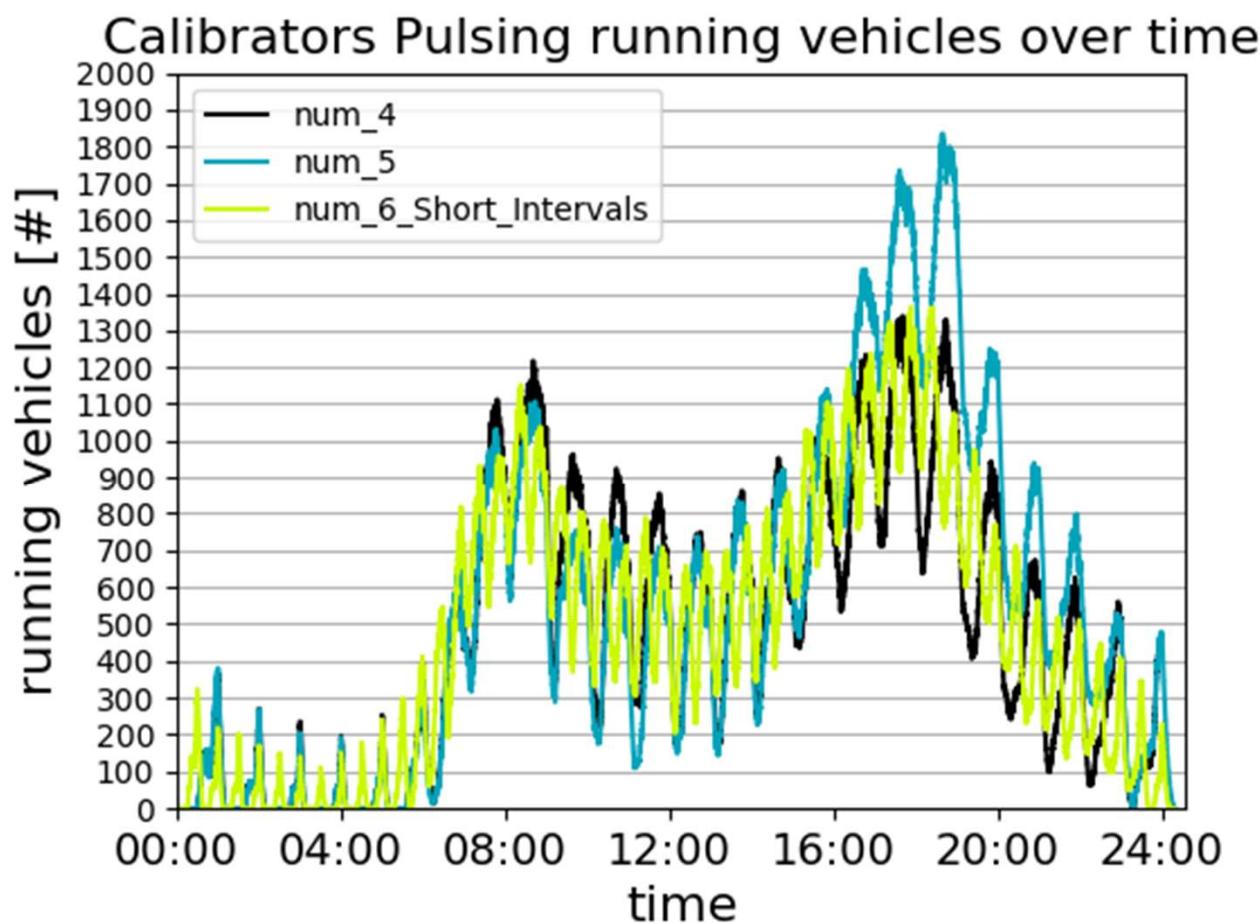
## Potential Impacts

- The research model will not be 100% accuracy.
  - Goal use hard data and validated rules of behavior to validate the simulation with real life
  - Simulation must be run many times for patterns of **emergent behavior** to be established.
  - Running the simulations under **different management principle objects** and **agency constraints** we will be able to analyze how the **small local changes can impact the greater network and performance**
- DVRPC – Has the tools and resources to continue building on their existing Transportation Improvement Models (TIM) to incorporate sociotechnical components to further the mission of improving the Livability, Sustainability, and Resilience of the region.

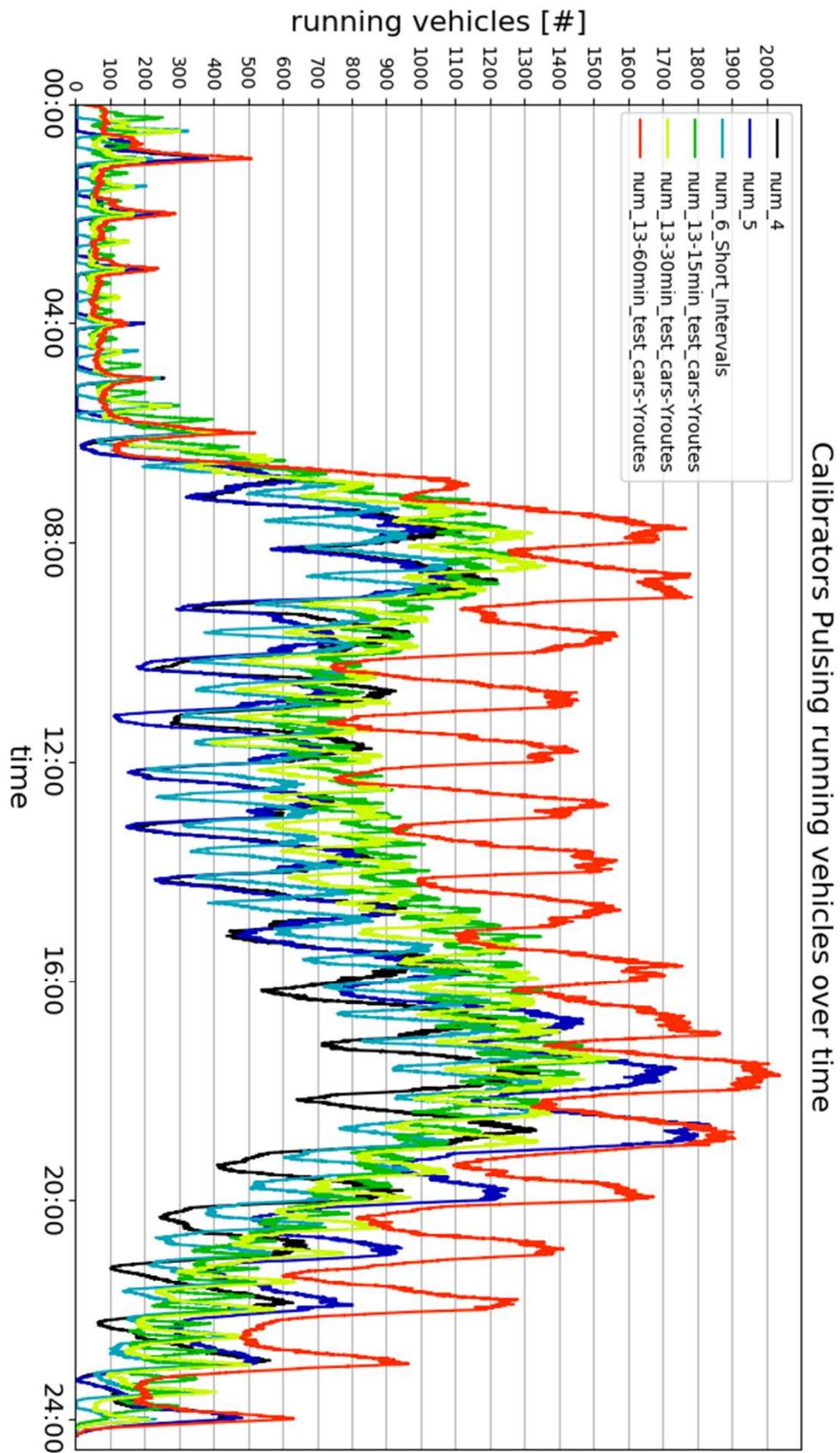
# Calibrating the Model



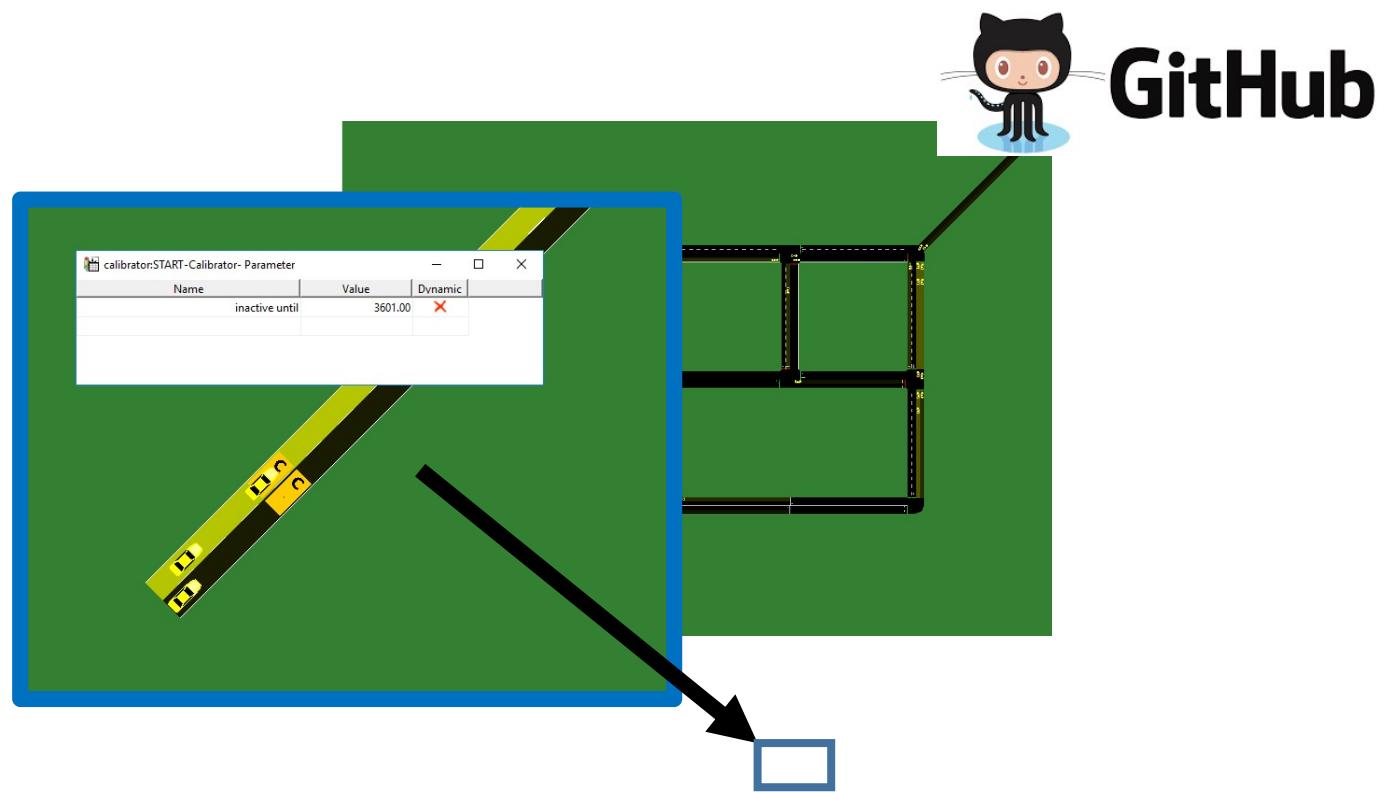
# Calibrators

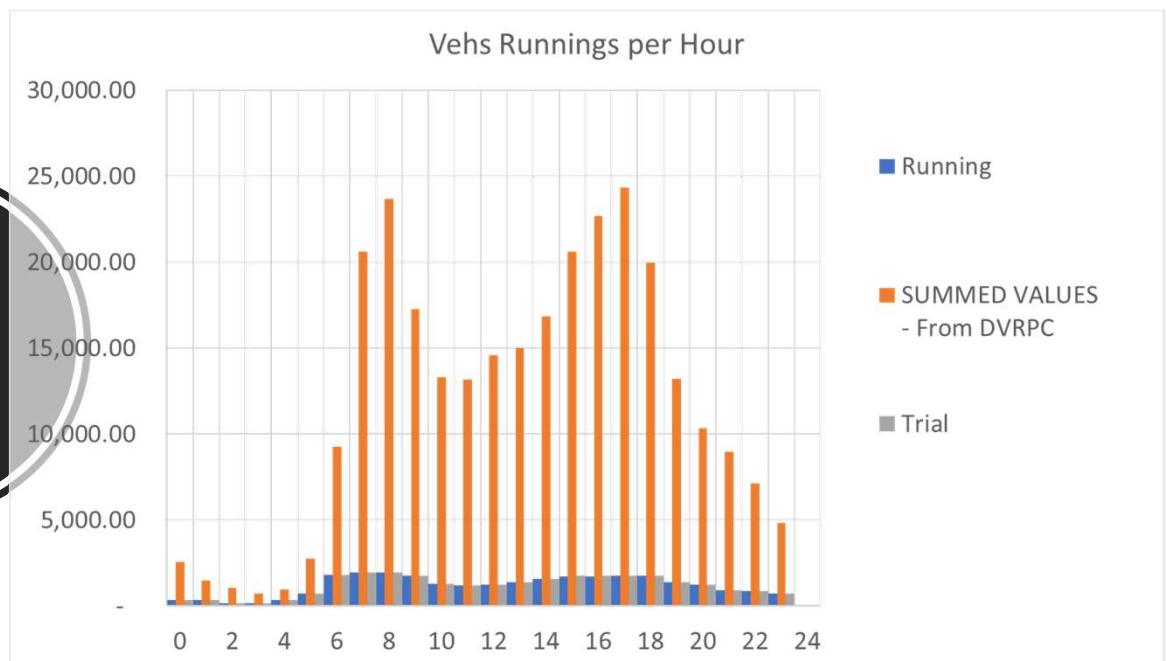


# Calibrators

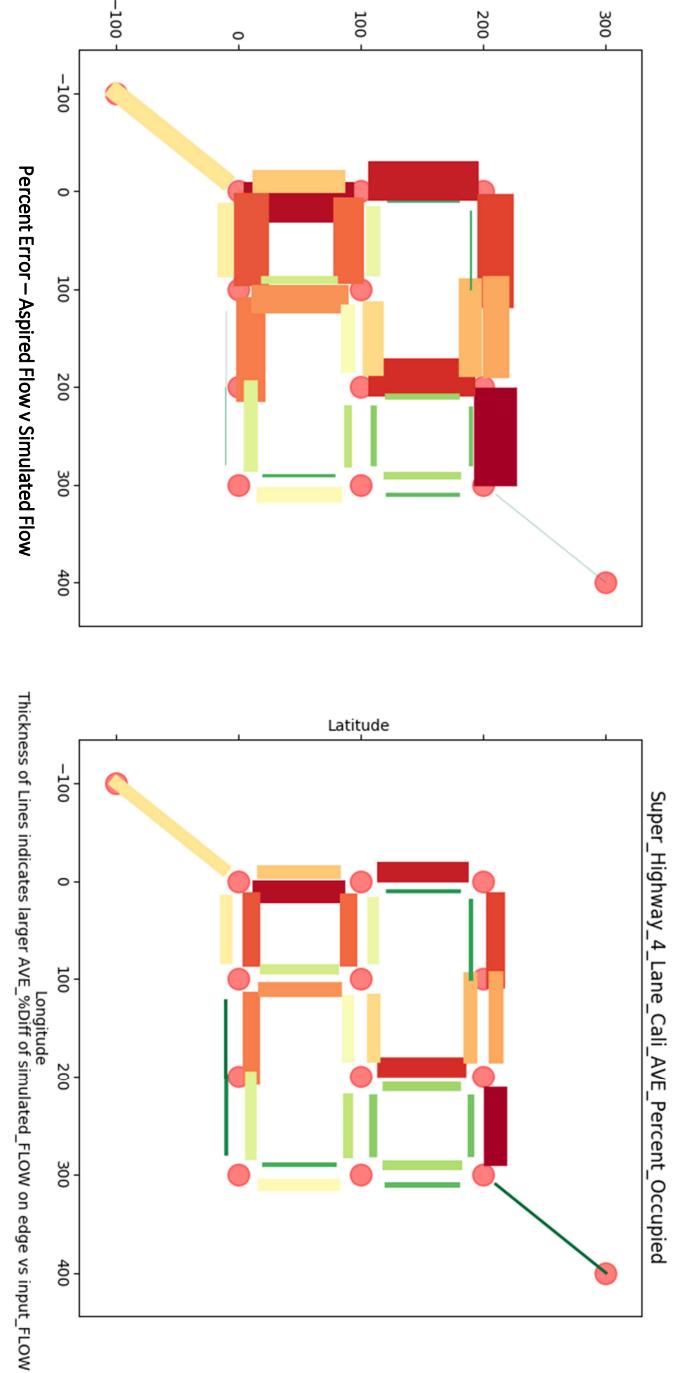


# Test Bed for Calibrators

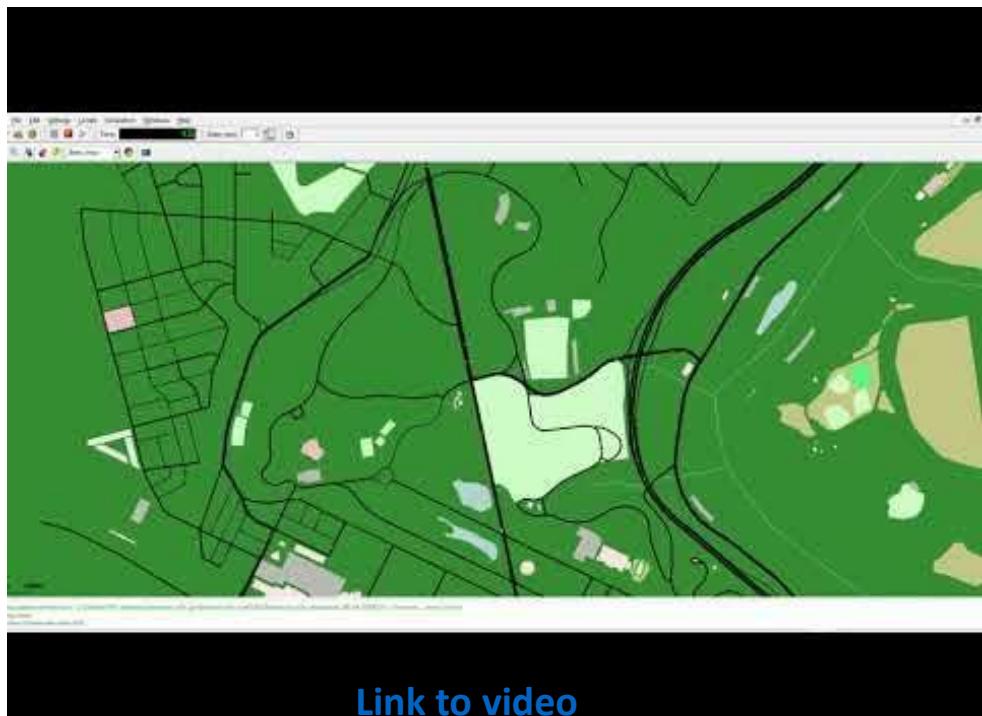




# Calibrator Parameters – Parametric Study



## Modeling Traffic along West Belmont Avenue – Philadelphia, PA



[Link to video](#)

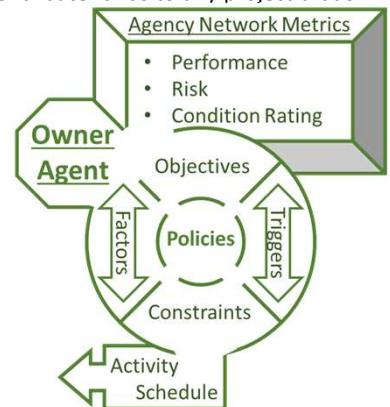
# Owner Agent – Root\_Page

[Link to Transportation Asset Management Sub\\_Tree \\_ Content](#)

# Owner Agent – Tentative Rules of Behavior – Detailed

**Rules of behavior** are Statistical and Probabilistic, but Simulated Emergent Behavior is Non-Deterministic

- Non-linear programming – Schedule Optimizer
- Objective function variation: short term cost minimization, overall network performance maximization, LCC minimization, Holistic Livability maximization
- Subject to:
  - Budget – next year's budget (**Probabilistic**) – soft constraint
  - Number of overlapping activities – (**Deterministic**) – hard constraint – Availability: Labor Force, Equipment, Material In-Stock, Completion time of activity (**Probabilistic**)
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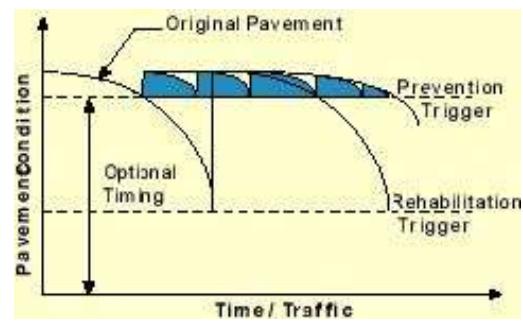


# Transportation Asset Management

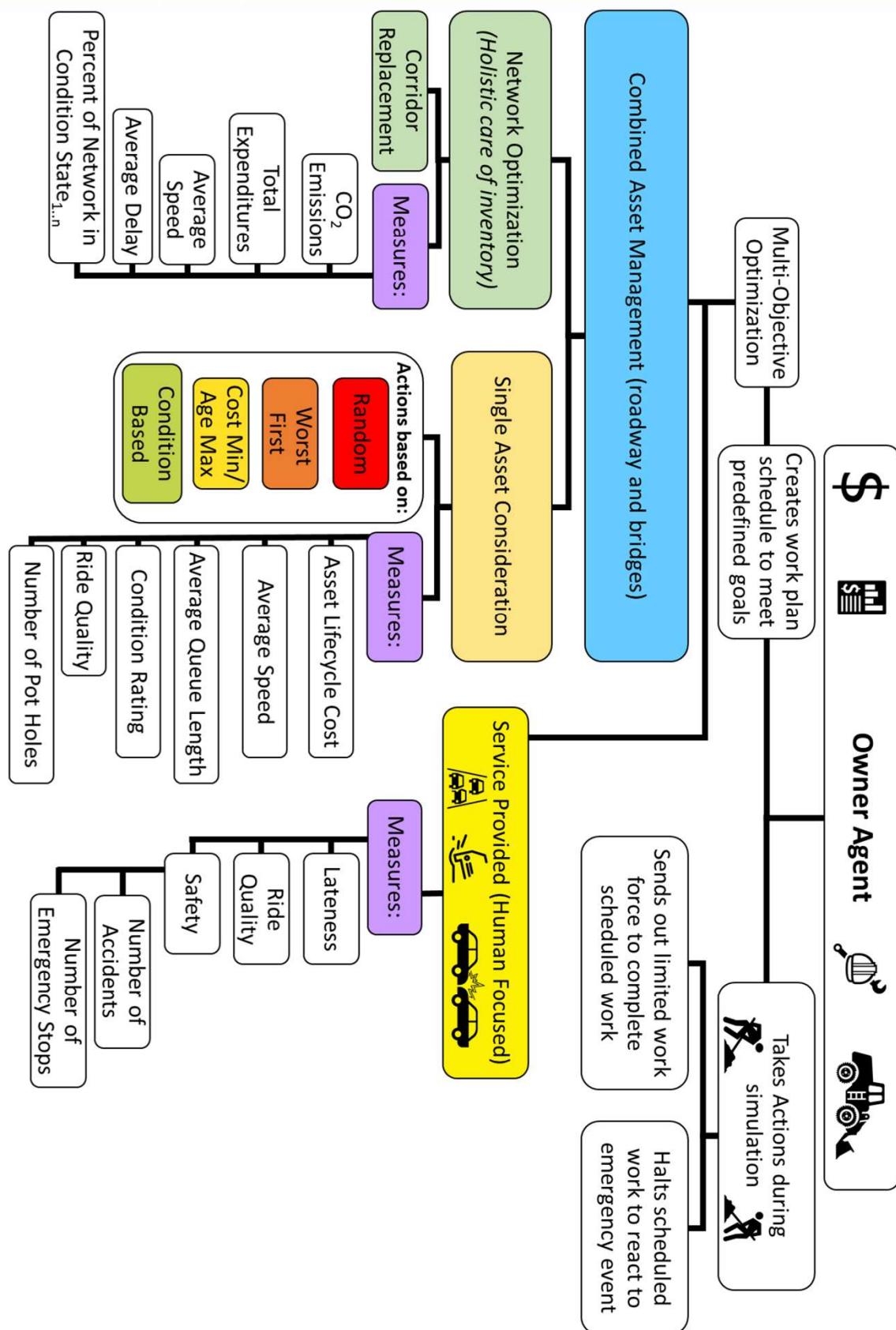
**Owner Agent** – Rules of behavior are Statistical and Probabilistic, but Simulated Emergent Behavior is Non-Deterministic

- Following the FHWA TAMP workplan ([2013](#)) and the new [FAST Act Rules](#)
- Owner agent receives information about the transportation assets
  - Owner types will differ through their optimization parameters, objectives, and constraints
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      - Data is processed through different metrics and models to help build or modify agency TAMP
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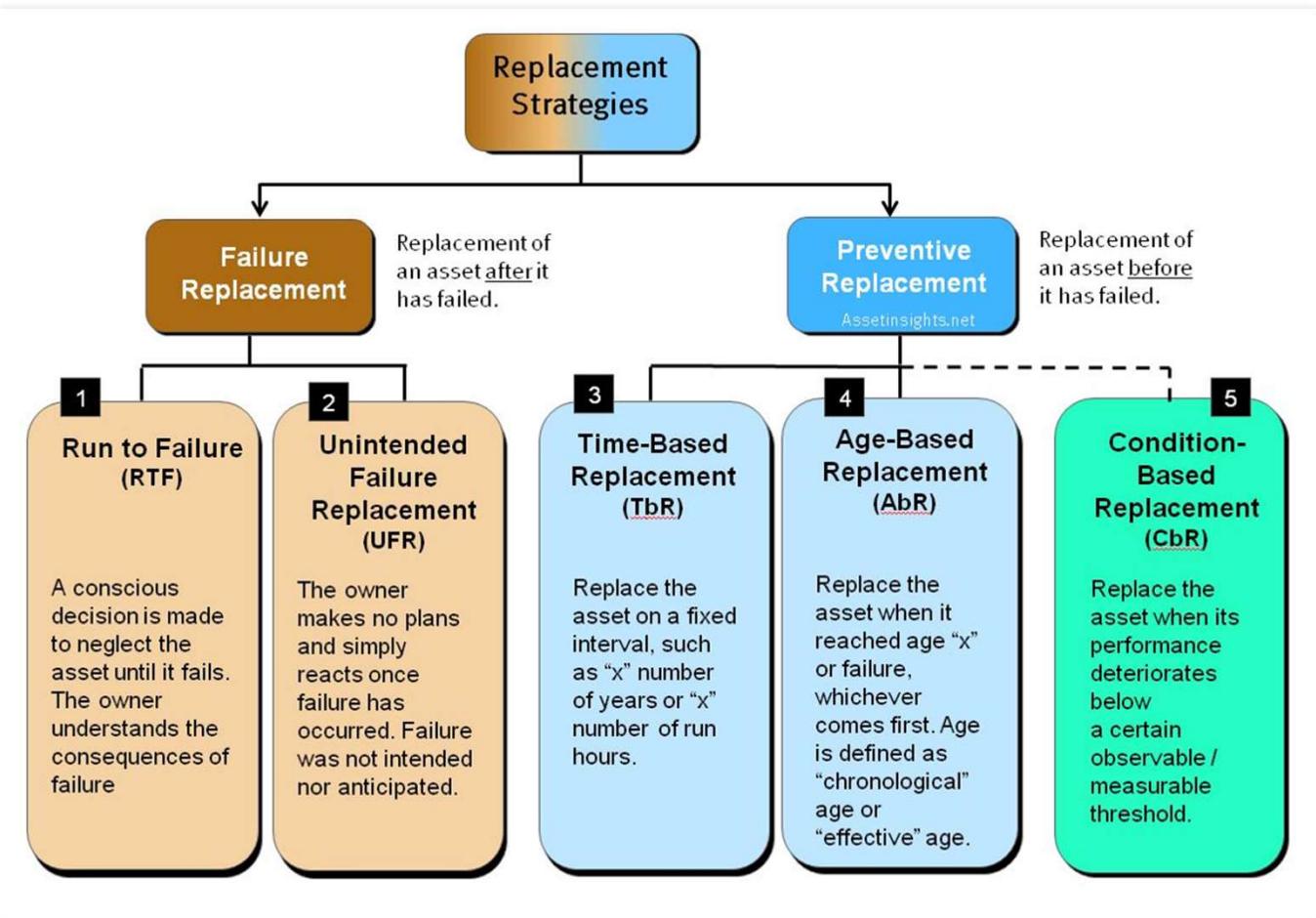
Simulations permits the opportunity to observe the *consequences* (good or bad) of different management policies and variations in financial and logistical parameters. – Deterministic and Statistical model parameters, but non-deterministic long term behavior



# Owner Agent Conceptualization



# Owner Agent - Replacement Strategies - Example



## Why SUMO?

My final model will consist of two parts, a traffic simulator and an owner/operator module.

 The open source software platform, “**Simulation of Urban MObility**” (SUMO), will be utilized to simulate the traffic flows. Building off the existing source code, the simulator will collect and update critical information about the type of road, its age, its use, and its condition rating.

 The owner and operator module will be developed as a standalone Python based module. The goal of the owner and operator module is to mimic actual maintenance and operational decision processes. The framework from the model will be based on FHWA’s transportation asset management plan (TAMP) best practices guidelines.



# SUMO vs PT Visum



## Similarities

- Each can interface with external Python Code during the simulation.
- Can use matrix O/D for demand
- Can import external files to generate points of interest (POIs)
- Uses traffic analysis zones (TAZ) for routing purposes
- Abilities to model multi-modal transportation systems, transportation objects, and user classes

## Differences

- SUMO is an Open Source. Visum is a Professional Software Package.
- Open source lends itself to incorporating new rules and behaviors into simulation easier.
- SUMO tools are natively written in C++ and Python; adaptation of existing tools is easily accomplished.
- SUMO has a wide community of developers creating new tools and improving old tools.
- Visum's add-on Market Place is limited, but contains a more sophisticated traffic engineering modules for modeling the TLS and roadway parameters.

# The Dynamic User Assignment Routing Tool

2

- DUAROUTER imports different demand definitions, computes vehicle routes that may be used by SUMO using shortest path computation; When called iteratively DUAROUTER performs dynamic user assignment (DUA). This is facilitated by the tool duaiterate.py which converges to an equilibrium state (DUE).

Purpose:

- A) Building vehicle routes from demand definitions
- B) Computing routes during a user assignment
- C) Repairing connectivity problems in existing route files

System: portable (Linux/Windows is tested); runs on command line

Input (mandatory):

- A) a road network
- B) a demand definition, User trip definitions

Output: Routes usable for SUMO's simulations

# Generating Traffic Flows

2

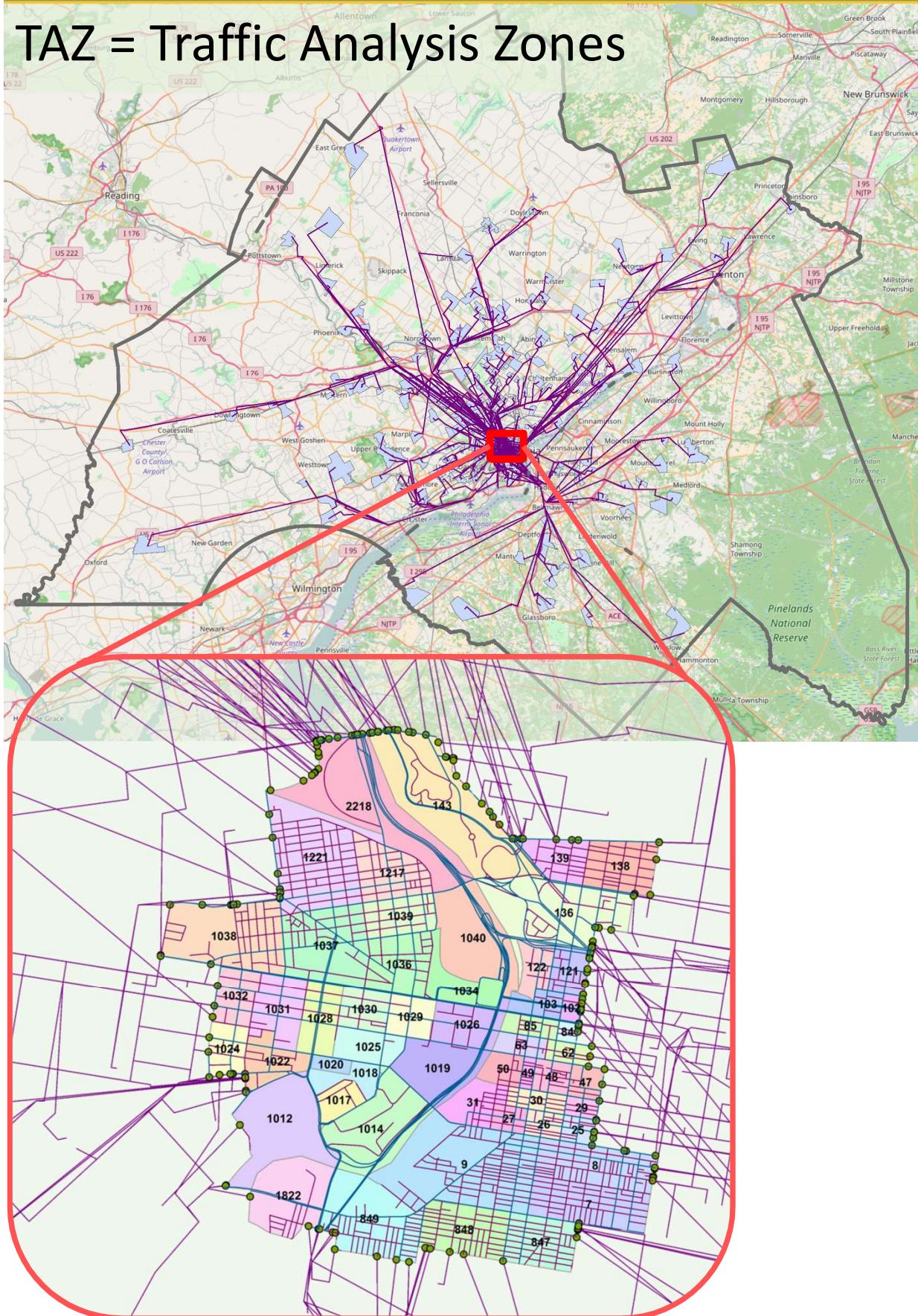
Building a scenario without knowledge of routes, based on traffic count data [--- LINK to SUMO.Wiki Documentation---](#)

- Each road where measurements are given should receive a calibrator and a route probe detector. As soon as the first vehicle has passed the route probe detector, the calibrator will be able to use that vehicles route. For the calibrator to be able to function before the first vehicle, it needs a *fall back* route which just needs to consist of a single road or a series of routes within a distribution.

## 33rd and Market Traffic Count Data

# Mapping TAZs- From DVRPC to the AOI to the edges

**TAZ = Traffic Analysis Zones**

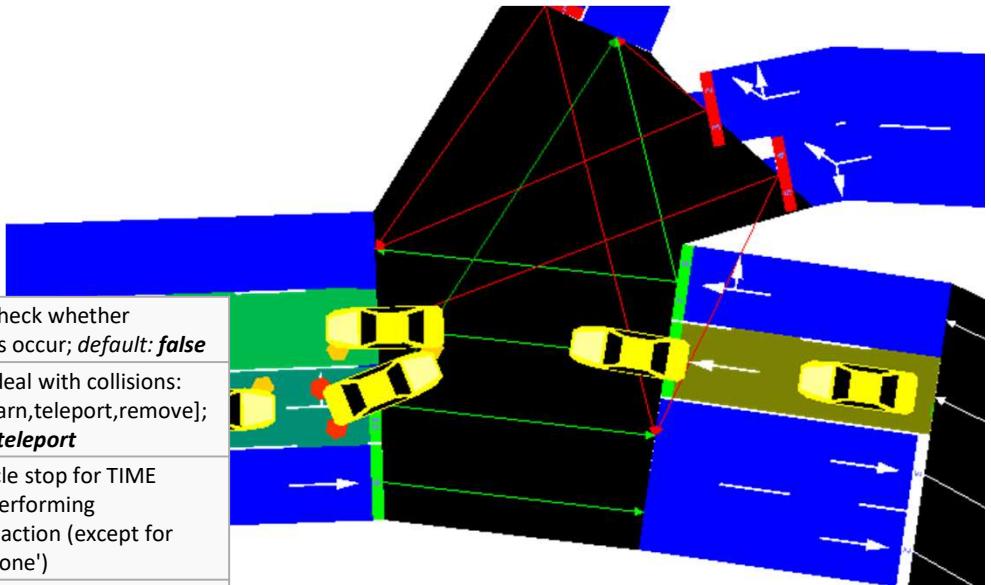


# Accidents Happen...

## SUMO Simulation

### Accident Options

<code>--ignore-accidents &lt;BOOL&gt;</code>	Do not check whether accidents occur; <i>default: false</i>
<code>--collision.action &lt;STRING&gt;</code>	How to deal with collisions: [none,warn,teleport,remove]; <i>default: teleport</i>
<code>--collision.stoptime &lt;TIME&gt;</code>	Let vehicle stop for TIME before performing collision.action (except for action 'none')
<code>--collision.check-junctions &lt;BOOL&gt;</code>	Enables collisions checks on junctions; <i>default: false</i>



# VISSIM STANDARDS PROJECT

June 2013

*Summary  
of Year  
Two  
Findings*



Table 7: Standstill Distance

	Urban	Suburban	Through/ Right	Left Turn	Aggregate
Average (ft.)	9.1	9.2	9.1	9.3	9.1
Max (ft.)	20	20	20	20	20
Min (ft.)	3	3	3	4	3
Standard Deviation (ft.)	3.1	3.3	3.0	3.3	3.2
Number of Samples	274	282	466	90	556

PC, 2013

## Urban Road

The results are presented in Table 8 below.

Table 8: Urban Road Signal Throughput Results

URBAN	Left	Through
Mean (seconds/vehicle/lane)	2.21	3.07
Minimum (seconds/vehicle/lane)	1.25	1.89
Maximum (seconds/vehicle/lane)	3.40	7.17
85th Percentile (seconds/vehicle/lane)	2.67	3.93
15th Percentile (seconds/vehicle/lane)	1.75	2.21
Number of Observations	65	105
Standard Deviation	0.48	1.07
Measurement Locations	3	5

DVRPC, 2012

Table 5: Urban Road Free-Flow Speed

URBAN ROAD
Measurement Locations
15
Sample Size
1,650
Base Speed
25
Mean Speed
-6.4
Median Speed
-7.5
5th Percentile Speed
-13.0
20th Percentile Speed
-11.0
80th Percentile Speed
-3.0
85th Percentile Speed
-1.0
95th Percentile Speed
3.0
Standard Deviation
6.29
speed = m/h

DVRPC, 2012

Table 10: Rolling Stop Results

ROLLING STOP	Seconds	m/h
Measurement Locations	17	
Sample Size	456	
Mean	2.19	4.67
Median	2.00	5.11
5th Percentile	3.90	2.62
20th Percentile	2.70	3.79
80th Percentile	1.45	7.05
85th Percentile	1.33	7.72
95th Percentile	1.08	9.51
Standard Deviation	0.92	0.18

DVRPC, 2012

## Executive Summary

This project developed a general regional network model to estimate/predict time-varying traffic evolution on all highways and major arterials in Philadelphia Metropolitan Region. A case study was conducted for assessing the dynamic traffic impact of road closures on freeways and/or major arterials in the Philadelphia Region; and propose real-time traffic detour plans as a way of using travel demand management (TDM) strategies to mitigate overall impact caused by closures

...The historical O-D demand data was also obtained from DVRPC in the format of VISUM. The O-D matrix is represented by a  $3399 \times 3399$  matrix and in float precision. DVRPC also provided a main O-D pairs profile, which contains 10,000 main O-Ds. O-D connectors are also contained in the TIM2.1 model, the total number of O-D connectors is 11,553,201.

### 2.1 Route choice model

Route choice model is a crucial part to real-time traffic simulation. Under static network setting, the route choice of travelers is usually determined by a user equilibrium (UE) flow pattern (Sheffi 1985). In dynamic context, there are generally two types of UE in the literature. One is the so-called Boston User Equilibrium (BUE) (Friesz et al. 1993), which is an adaption of the static Wardropian UE. It assumes a traveler chooses the shortest route only based on the prevailing traffic condition at the time of his choice decision (Kuwahara & Akamatsu 2001). The other UE type is the so-called Predictive User Equilibrium (PUE). Under this behavioral assumption, travelers choose the shortest route based on "anticipated" travel times, or travel times that they actually experienced from previous days. **The result is a UE in which the actual travel times/costs for travelers from any O-D pair are minimal and identical (Friesz et al. 1993), regardless the routes they take.** In reality, traveler's route choice behavior is likely to be more complicated and unpredicted than BUE and PUE. For example, travelers may not consider all the possible routes but have several pre-trip routes in mind prior to their departure, which are selected from their day-to-day traveling experiences. Moreover, these pre-selected routes may not be user-optimal ones. **In view of this, a hybrid traffic assignment model was purposed to model both equilibrium and disequilibrium traffic conditions (Qian & Zhang 2013).** We adopt the hybrid model in this project with the diversion ratio indicating how reactive travelers are in choosing routes.

### 2.2 Flow evolution model

The flow evolution models describe the dynamic relationship between vehicle density, speed and volume for one road segment. Three models are most adopted by various mesoscopic traffic simulation tools: Point Queue (PQ) (Jin 2015), Spatial Queue (SQ) (Balmer et al. 2004; Breuer 2001) and Cell Transmission Model (CTM) (Daganzo 1995; Daganzo 1999). Though mathematically and practically simple, PQ and SQ are considered to underestimate the network congestion during the simulation (Zhang et al. 2013). CTM as a finite element approximation to the partial differential equation of fluid evolution is proved to best simulate the flow propagation on road segments. A vital issue exists for all dynamic flow evolution models, which is the unrealistic "gridlock" caused by improper routing behavior and misbehave of flow evolution models (Mahmassani et al. 2013). -- In this project, we adopt CTM to simulate the flow propagation on links, and the unrealistic gridlock condition is eliminated by calibrating behavior model parameters and network dynamic features.



- Used by Dr. Pradhan's PhD Student
  - "Dongle" is active, but no support is available
- Educational / Researcher's License
  - \$1,950 – one-time purchase
  - 2 year – technical support
  - Includes Visum, Visim, Viswalk

## Functionality

- **PTV Visum Functions**
  - Network modelling
  - Demand calculations
  - **PrT assignment procedures**
- **PuT assignment procedures and operations**
  - Traffic engineering
- **Create analyses and reports**
  - Interfaces

# Theatrical Capacity of Roadways

Click for new presentation

# Risk of Infrastructure Not Performing

Risk :  $\varphi \psi (\Phi \text{ Hazard} * \Theta \text{ Vulnerability} * \zeta \text{ Exposure})$

$\varphi$  Factor to account for **uncertainty**;  $\psi$  Factor to account for **risk tolerance of culture**

$\Phi$  Factor to account for the attributes of Hazard;  $\Theta, \zeta$  Factors to account for **resilience**;

**Hazard:** Severity, Impact area, Precedence/Return Period, Natural/Manmade, Warning;

Earthquake: collapse, fire, liquefaction, landslide, tsunami;

Hurricane, storm surge, tornado, flood, impact, floating, scour;

Accident, collusion, vehicle/ship impact, vehicle fire, deterioration, corrosion, fatigue;

Radiation, hazardous waste spill, chemical/gas leaks, bio-hazard, explosion, explosive, bomb;

Leaks/ruptures, erosion, sinkhole, climate change, sea-level rise, drought, deluge, epidemic;

**Vulnerability:** **Of socio-technical systems** – Fragility of community (lack of preparedness, demographics, economic losses), organizations (gov, emergency response, emergency management, triage/medical care, shelter, food, school, transportation, communication); **Of engineered and constructed systems** - Fragility or lack of resilience of the built environment and especially the lifelines, cascading of failures due unknown interdependencies; **Of natural systems** - negative long-term impacts on soil, water, plants, wildlife

**Exposure:** **Consequences of failure** - Value at risk (GDP loss, recovery cost – insurance coverage; remaining lifecycle, population at risk, demographics, history, criticality for the rest of the nation (financial, govt, food, medicine and economic revitalization)

# Carrier Goals

Continue to work towards and research topics related to increasing the current and future livability of cities

- Stay involved and connected to Complex Sociotechnical Systems
  - Work in some capacity with City infrastructure systems or City Planning
  - Exploring coordination between strongly interdependent systems, departments, and agencies
    - Can incentives be created in which agencies' actions promote increased citywide LSR and not just focused on asset or specific systems.
- Work directly with transportation infrastructure assets
  - Performance based risk asset management
  - Or hands on design-build work
- Continue working in Education
  - At any level
- Obtain my P.E.

# Appendix

## Python\_Code

