Break-Down: DETAILED

- Personnel, Business Conduct and Recordkeeping and Reporting Requirements-- 9%
- 2. Markets, Market Participants, Exchanges, and Self Regulatory Organizations 8%
- 3. Types and Characteristics of Securities and Investments -- 20%
- 4. Trading Practices and Prohibited Acts -- 50%
- 5. Display, Execution, and Trading Systems -- 13%