## CSCE 790-002: Deep Reinforcement Learning and Search Homework 1

Due: 09/09/2020

### Installation

We will be using the conda package management system.

To get started, download Anaconda from https://docs.anaconda.com/anaconda/install/.

A list of all the packages needed for the virtual environment is in spec-file.txt. After downloading Anaconda, duplicate the virtual environment with:

conda create --name <your\_environment\_name> --file spec-file.txt

Then, activate your environment conda activate <your\_environment\_name>

When you are finished using the environment you can deactivate it with: conda deactivate

You can always activate it again with conda activate <your\_environment\_name>

# Running the Code

You can run the code with the command python run\_assignment\_1.py --map maps/map1.txt. You should see a visualization of the AI Farm environment. The code will output the  $\Delta$  from Algorithm 2 at every step and output DONE when value iteration has converged.

There are switches that you can use

- --discount, to change the discount (default=1.0)
- --no\_text, to omit text shown on the figure
- --no\_val, to omit the state-value shown on the figure
- --no\_policy, to omit the policy shown on the figure
- --rand\_right, to change the probability that the wind blows you to the right (default=0.0)
- --wait, the number of seconds to wait after every iteration so that you can visualize your algorithm (default=0.0)

i.e. python run\_assignment\_1.py --map maps/map1.txt --no\_text --rand\_right 0.1 --wait 0.5

## **Helper Functions**

In your implementations, you are given an Environment object env. You will need the env.get\_actions() function that returns a list of all possible actions. You will also need

env.state\_action\_dynamics(state, action), which returns, in this order, the expected return r(s, a), all possible next states given the current state and action, their probabilities.

Keep in mind, while the notation for value iteration update,  $V(s) \leftarrow \max_a(r(s, a) + \gamma \sum_{s'} p(s'|s, a)V(s'))$ , sums over all possible states,

env.state\_action\_dynamics(state, action) omits all states that have a state-transition probability of zero. This significantly reduces the number of elements in the summation.

### Part 1: Value Iteration and the Optimal Policy

#### Part 1.1: Value Iteration

Value iteration can be used to find, or approximate, the optimal value function. In this exercise, we will be finding the optimal value function, exactly.

Implement value\_iteration\_step in assignments\_code/assignment1.py.

Upon running python run\_assignment\_1.py --map maps/map1.txt, Algorithm 1 will automatically begin running with the value  $\theta$  set to 0. It will automatically call your code, Algorithm 2.

Vary the environment dynamics by setting --rand\_right to 0.1 and 0.5. The resulting state-value function and policy should be the same as shown in the slides on Markov Decision Processes.

### Algorithm 1 Value Iteration

```
1: procedure Value Iteration(S, V, \theta, \gamma)
2: \Delta \leftarrow \inf
3: while \Delta > \theta do
4: \Delta, V = \text{Value\_Iteration\_Step}(S, V)
5: end while
6: return V \triangleright Approximation of v_*
7: end procedure
```

### Algorithm 2 Value Iteration Step

```
1: procedure Value_Iteration_Step(\mathcal{S}, \overline{V, a\gamma})
2:
         \Delta \leftarrow 0
         for s \in \mathcal{S} do
3:
              v \leftarrow V(s)
4:
              V(s) \leftarrow \max_{a}(r(s, a) + \gamma \sum_{s'} p(s'|s, a)V(s'))
5:
              \Delta \leftarrow \max(\Delta, |v - V(s)|)
6:
         end for
7:
         return \Delta, V
8:
9: end procedure
```

### Part 1.1: Obtaining the Optimal Policy

After finding the optimal value function  $v_*$ , we can then use it to find the optimal policy using 1. Implement get\_action in assignments\_code/assignment1.py so that it implements 1.

$$\pi^*(s) = \underset{a}{\operatorname{argmax}}(r(s, a) + \gamma \sum_{s'} p(s'|s, a) v_*(s')) \tag{1}$$

#### Part 1: What to Turn In

Turn in your implementation of assignments\_code/assignment1.py.

# Part 2: Comparison to Sutton and Barto's Notation

You will notice that the state-value assignment step in Algorithm 2 is written differently than in the value iteration algorithm on page 83 of *Reinforcement Learning: An Introduction* (http://incompleteideas.net/book/RLbook2020.pdf):

$$V(s) \leftarrow \max_{a} (r(s, a) + \gamma \sum_{s'} p(s'|s, a)V(s'))$$
(2)

$$V(s) \leftarrow \max_{a} \sum_{s',r} p(s',r|s,a)(r + \gamma V(s')) \tag{3}$$

Show that these two are equivalent by rearranging 3 so that it looks like 2. Use the definitions provided in the lecture slides on Markov Decision Processes. Show your work.

#### Part 2: What to Turn In

Turn in a PDF of your work.