

# Relational Model

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CS 377: Database Systems

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# ER Model: Recap

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# Recap: Conceptual Models

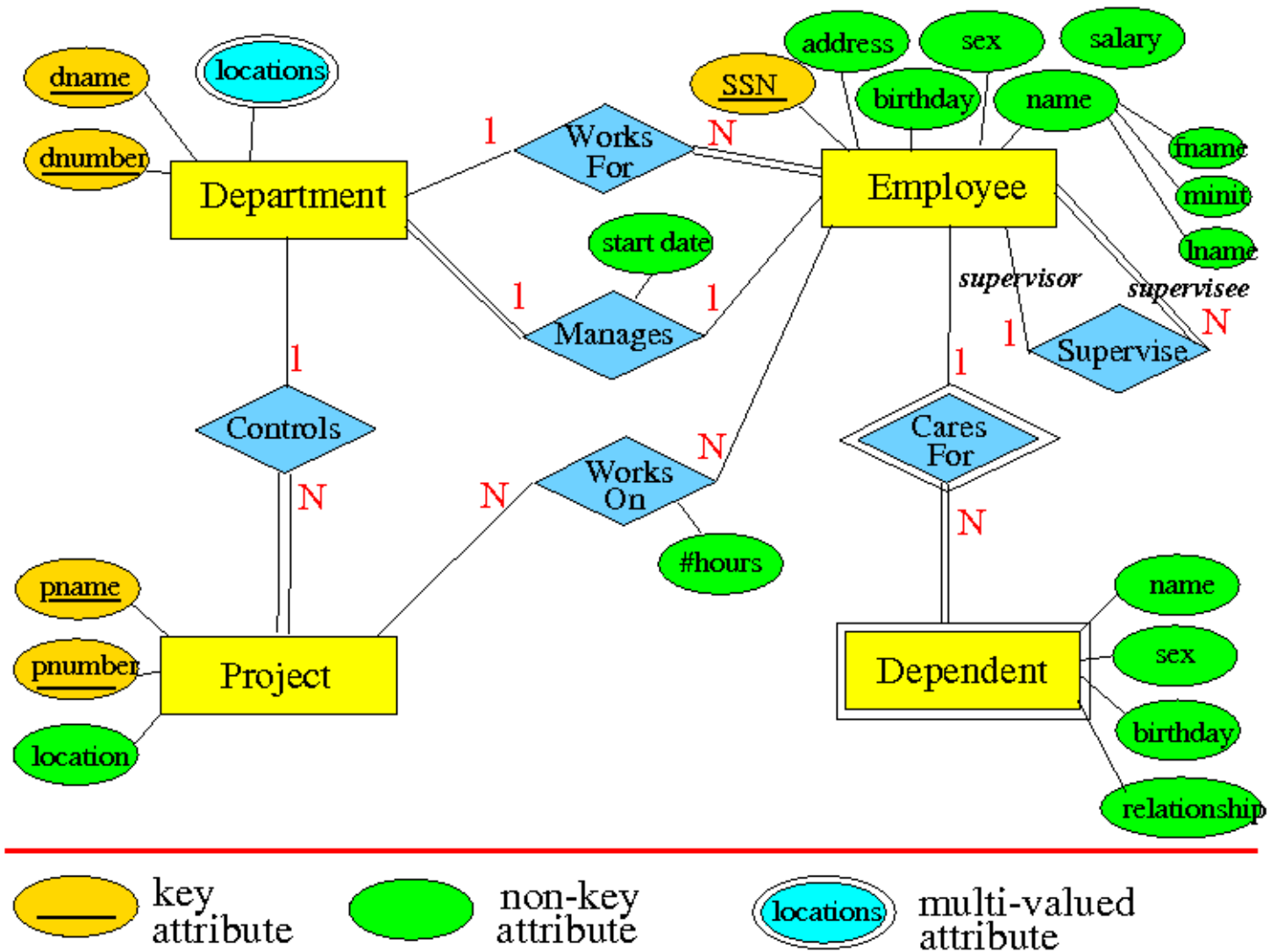
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- A high-level description of the database
- Sufficiently precise that technical people can understand it
- But, not so precise that non-technical people can participate in the process

This is where ER models fit in

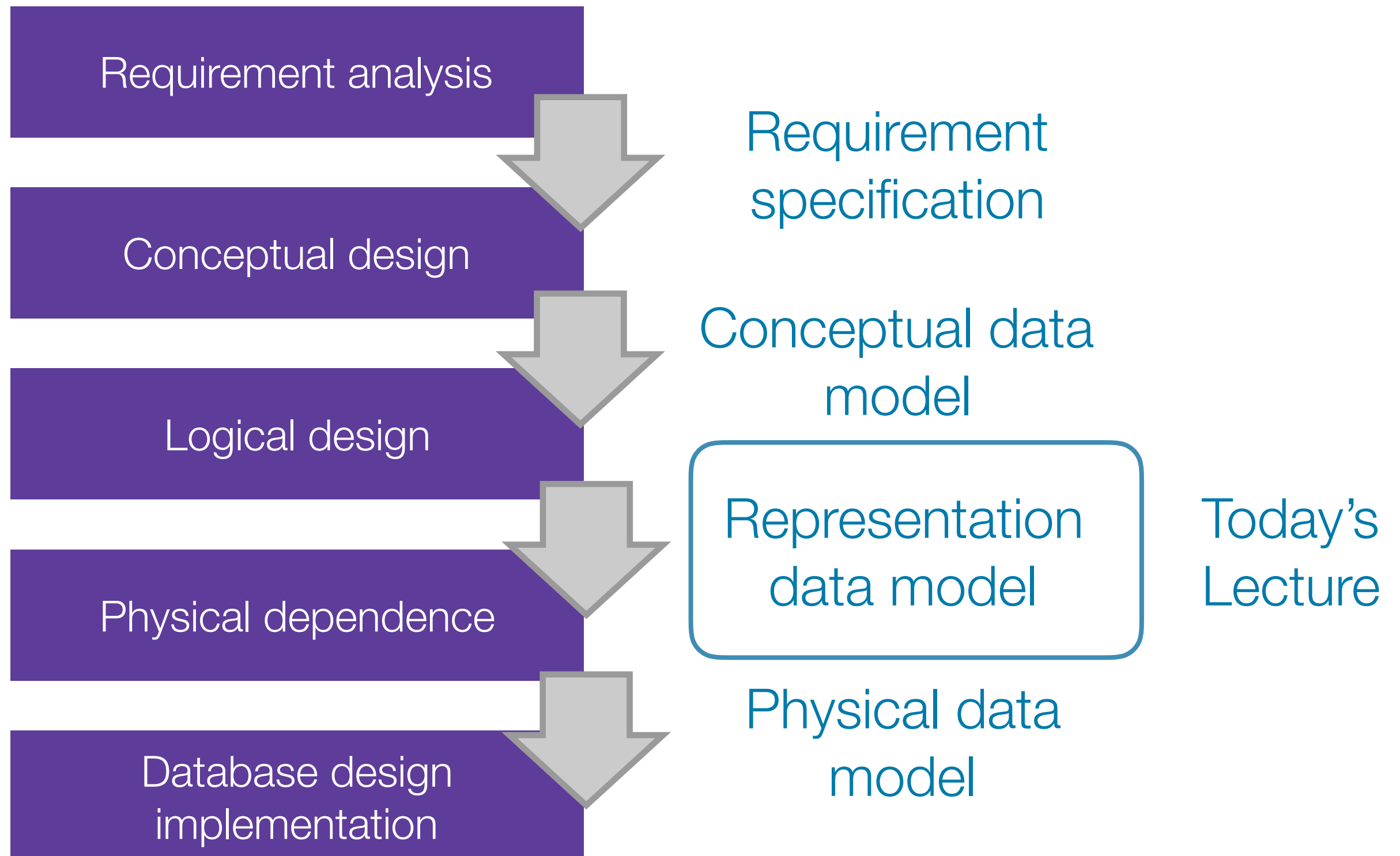
# Recap: ER Model

- Entities
- Attributes
- Relationships
- Degree
- Cardinality
- Participation



# Recap: Building a Database System

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# Today's Lecture

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1. Relational Model
2. ER to Relational Mapping
  - Example: Company Database
  - Exercise: Football

# Relational Model

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- First formal database model
- Introduced by Ted Codd in 1970
- Conceptual basis of relational databases
  - Simple and based on the mathematical relations
  - Declarative method for specifying data and queries
- Previous models include hierarchical and network models

# Relational Model: Relation

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Data is stored in **tables (relations)**

## PRODUCT

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...



# Relational Model: Schema

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**Relational schema  $R(A_1, A_2, \dots, A_n)$ :** made of of a relation name  $R$  and a set of attributes  $A_1, A_2, \dots, A_n$

Product(name, category, price, manufacturer)

## PRODUCT

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...

# Relational Model: Attribute

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**Attribute** is a column header in the table

## PRODUCT

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...

# Relational Model: Tuple

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**Tuple** or **row** or **record** is a single entry in the table having the attributes specified by the schema

## PRODUCT

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...

# Relational Model: Instance

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**Instance of a relation** is a set of tuples or records

## PRODUCT

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...

# Relation Definitions

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- **Domain:** set of atomic values that are assigned to an attribute (e.g., name: string, category: string, price: real)
  - In practice, the domain is added for each attribute of the relational schema
- **Degree of a relation:** number of attributes in the relation schema
  - this is different than the degree in ER model!

# Database: Schema & Instance

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- **Database schema:** a collection of relation schemas
- **Instance of a database:** a collection of relation instances
- Schemas are stable over long periods of time while instance changes constantly with data inserts, updates, and deletions

Can view schemas as types while instances as values in a programming language

# Relational Model Notation

Notation	Description
$R(A_1, A_2, \dots, A_n)$	Relation schema R of degree n
$Q, R, S$	Relation names
$q, r, s$	Relations
$t, u, v$	Tuples
$t(a_1, a_2, \dots, a_n)$	tuple t of a relation
$t[A_i]$	the value of the attribute $A_i$ in the tuple t
$t[A_i, A_j, A_k]$	value of the attributes $A_i, A_j, A_k$ in the tuple t

# Relational Model Constraints

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- Restrictions on actual values in a database
- **Inherent model-based constraints** or **implicit constraints**: inherent in the data model (e.g., no duplicate tuples)
- **Schema-based constraints** or **explicit constraints**: can be directly expressed in schemas of the data model
- **Application-based / semantic constraints**, or **business rules**: cannot be directly expressed in schemas and can only be enforced and expressed in the application program



# Schema-based Constraints: Domain Constraints

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- Value of attribute *A*: atomic value from the domain of *A*
- Typical data types associated with domains

## PRODUCT

Should be numeric, not string

Name	Category	Price	Manufacturer
iPad	Tablet	\$399.00	Apple
Surface	Tablet	\$299.00	Microsoft
Kindle	eReader	\$79.00	Amazon
...	...	...	...

# Schema-based Constraints: Key Constraints

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- No two tuples can have the same combination of values for all their attributes
- **Superkey**: set of attributes in a relation  $R$  such that no 2 different tuples will have the same values for that set of attributes

$$\forall t_1, t_2 \in R : t_1[SK] \neq t_2[SK]$$


# Schema-based Constraints: Key Constraints

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- **Key**: minimal set of attributes in relation R such that no 2 tuples have the same values (i.e., key is a minimal superkey)
- **Candidate key**: any key

**PERSON**

key(s)



PID	SSN	Name	Address
52032	111-12-2345	John Doe	123 My Street
12345	444-23-1234	Jane Smith	555 South Street
79823	555-67-8910	Tom Thumb	224 First Street
...	...	...	...

# Schema-based Constraints: Key Constraints

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- **Primary key:** key chosen to be used to identify tuples in a relation
  - Once chosen, you must use that primary key throughout the database
  - Other candidate keys are unique keys
  - Every relation schema must have a primary key
- **Foreign key:** set of attributes inside some relation  $R1$  that is a primary key of another relation  $R2$

# Example: Primary & Foreign Key

**PERSON**  primary key

PID	SSN	Name	Address
52032	111-12-2345	John Doe	123 My Street
12345	444-23-1234	Jane Smith	555 South Street
79823	555-67-8910	Tom Thumb	224 First Street
...	...	...	...

**PURCHASE**  primary key  foreign key

TID	PID	Product	Price
123456778	52032	iPad Air 2	\$399.00
123470901	52032	Kindle	\$79.00
234096701	79823	Surface	\$499.00
...	...	...	...

# Schema-based Constraints: Entity Integrity

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- Primary key values cannot have NULL values
- Primary key is used to identify a tuple
- NULL value means not applicable or not available which hinders the ability to identify a tuple

## PERSON

PID	SSN	Name	Address
52032	111-12-2345	John Doe	123 My Street
NULL	444-23-1234	Jane Smith	555 South Street
...	...	...	...

↑  
Not allowed!

# Schema-based Constraints: Referential Integrity

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- A tuple in one relation ( $t_1$  in  $R_1$ ) that refers to another relation ( $t_2$  in  $R_2$ ) must refer to an existing tuple in that relation ( $t_2$  must exist):  $t_1[FK] = t_2[PK]$
- $R_1$  is the referencing relation and  $R_2$  is the referenced relation

## PURCHASE

tuple must exist in PERSON table

TID	PID	Product	Price
123456778	52032	iPad Air 2	\$399.00
123470901	52032	Kindle	\$79.00
234096701	79823	Surface	\$499.00
...	...	...	...

# Relational Model Virtues

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- Physical & logical independence
- Declarative
- Simple, elegant and clean: everything is a relation

Why did it take so long?  
Doubted it could be done efficiently



# ER Model vs Relational Model

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## ER model (conceptual model)

- Several concepts: entities, relationships, attributes
- Well-suited for capturing application requirements
- Not well-suited for computer implementation

## Relational model (implementation model)

- Single concept: relation (not same as mathematical concept!)
- Everything is represented with a collection of tables
- Well-suited for efficient manipulations on computers

# ER-to-Relational Mapping: Step 1

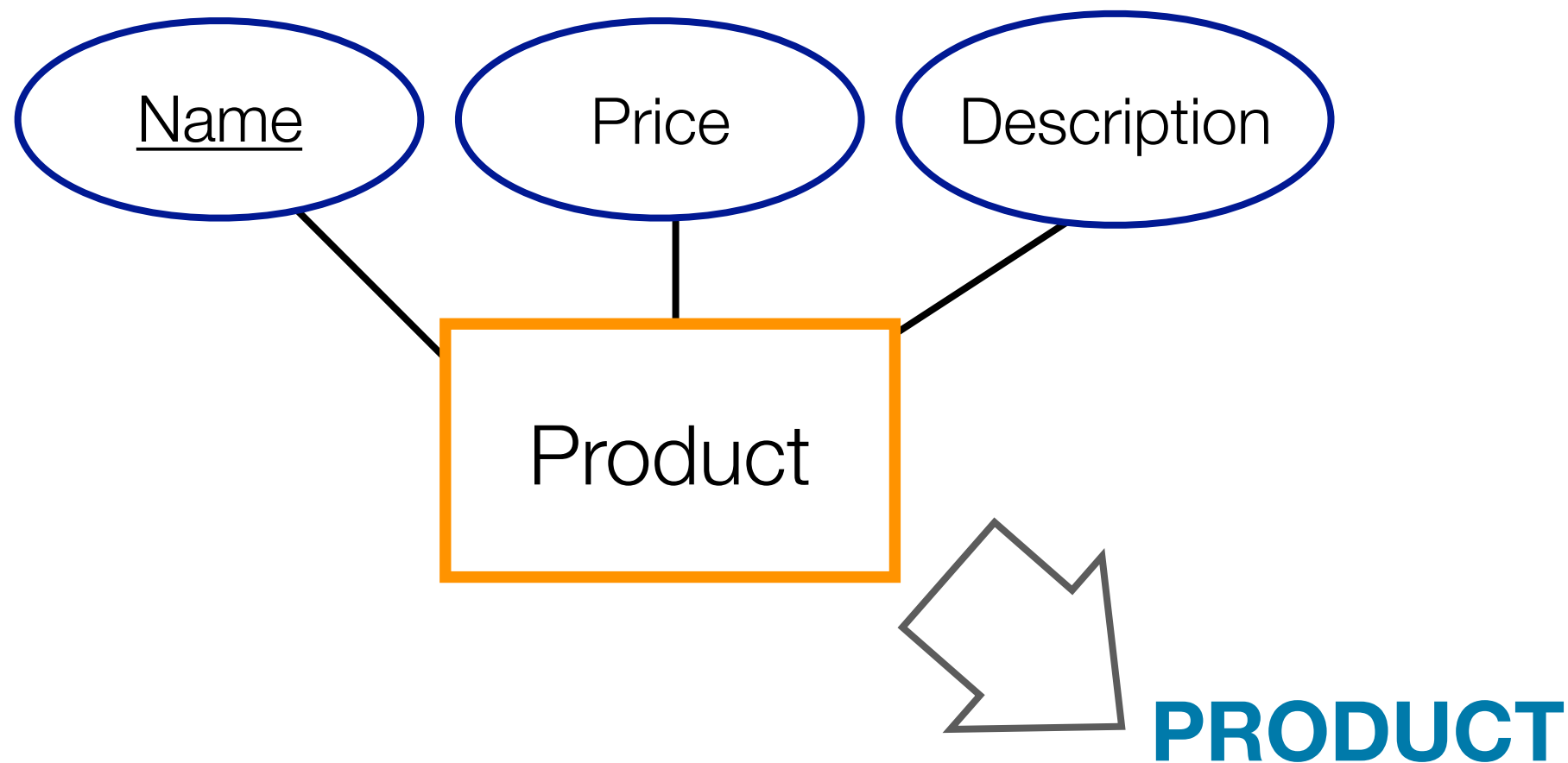
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## Convert Entities to Relations

- Basic case: entity set  $E \rightarrow$  relation with attributes of  $E$
- Special case: weak entity & multi-valued attributes

# Basic Case: Entity to Relation

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**PRODUCT**

<u>Name</u>	Price	Description
...	...	...

# Special Case: Multi-valued Attribute

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- Naive storing of multi-valued attributes:
  - Variable-length records causes inefficient in storage
  - Multiple tuples leads to lots of redundancy

## STORE

<u>Number</u>	Name	{Locations}
1	Apple	Cumberland Mall
1	Apple	Lenox Square
2	Macys	Lenox Square
2	Macys	Cumberland Mall
...	...	...

# Special Case: Multi-valued Attribute

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- Naive storing of multi-valued attributes:
  - Variable-length records causes inefficient in storage
  - Multiple tuples leads to lots of redundancy
- Use the key concept
  - Convert multi-valued attribute to new relation X
  - Add foreign key to that relation

# Special Case: Multi-valued Attribute

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## STORE\_LOC

<u>locID</u>	Location
1	Lenox Square
2	Cumberland Mall
...	...

## STORE

foreign key: referential integrity

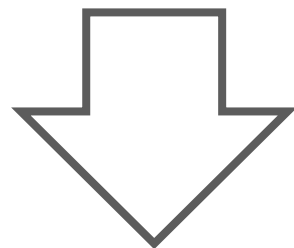
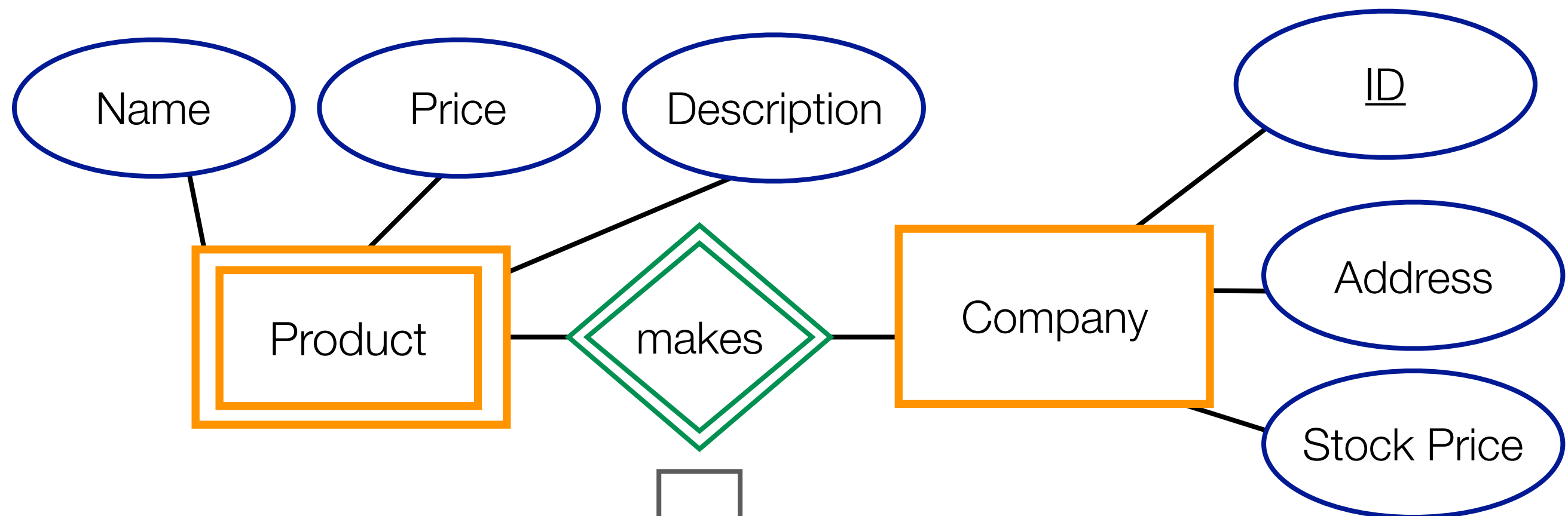
<u>sNumber</u>	Name	locID
1	Apple	1
1	Apple	2
2	Macys	1
2	Macys	2
...	...	...

# Special Case: Weak Entity

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- Weak entity does not have a key  $\rightarrow$  relation violation
- Borrow key from the other entity in the identifying relationship (E) and add it to the weak entity (W)
- Result: key of weak entity consists of the key of the related entity and some identifying attribute of the weak entity

# Special Case: Weak Entity



## PRODUCT

<u>Name</u>	<u>cID</u>	Price	Manufacturer
...	...	...	...

foreign key

weak entity key



# ER-to-Relational Mapping: Step 2

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## Map Relationships to Relations

- Basic case: relationship  $R \rightarrow$  relation with attributes being keys of related entity sets and attributes of  $R$
- Special case: expansion, merging, & n-ary relationship types

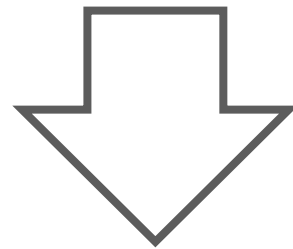
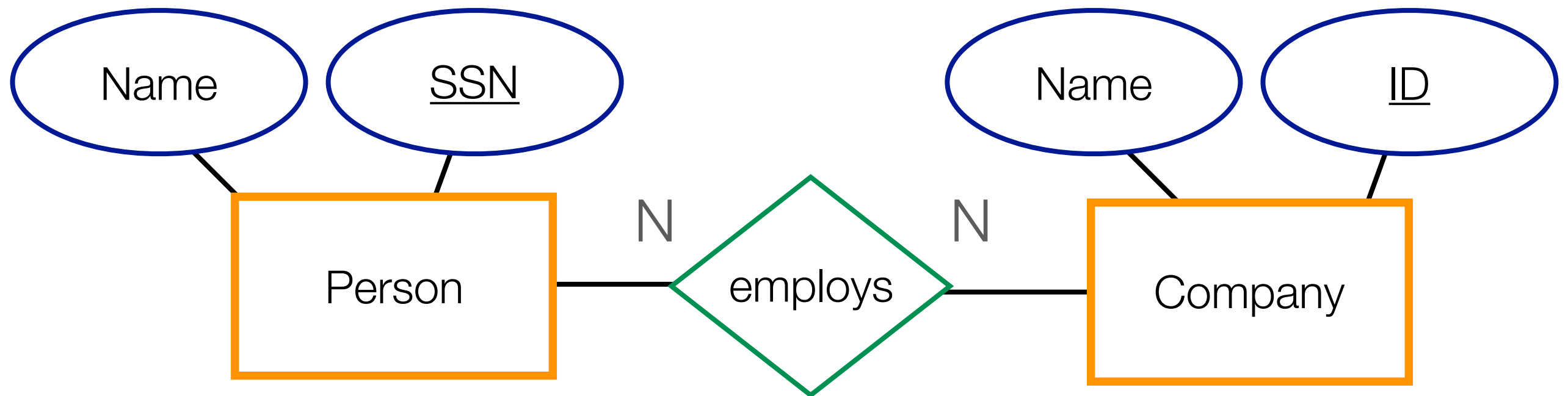
# Basic Case: Relationship to Entity

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Create a new relation (S — R — T)

- New tuples of relationship R stored in this table with foreign keys from the entities S and T
- Pro: always possible
- Con: Increasing the number of relations

# Basic Case: Relationship to Entity



## EMPLOY

<u>SSN</u>	<u>cID</u>
...	...

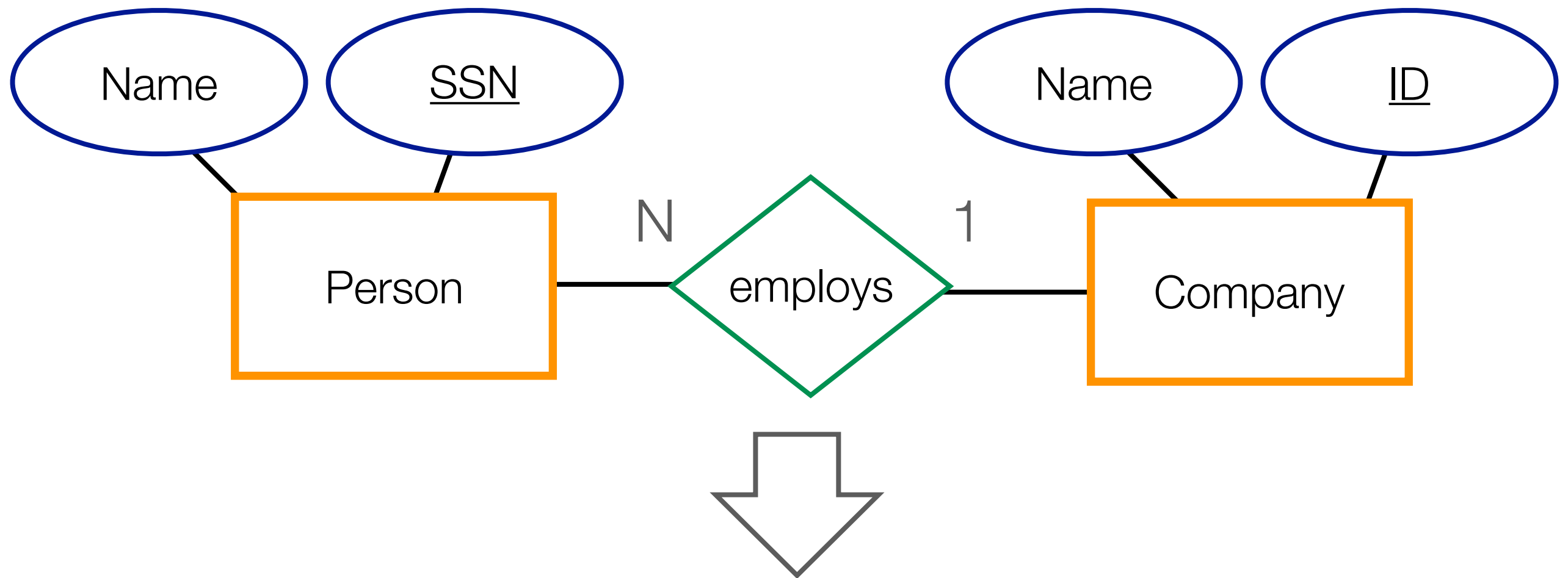
# Special Case: Expansion

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Expand an existing relation (foreign key approach)

- Tuples of relationship are stored inside the table of an existing entity
- Use key of that entity to store tuples of the relationship
- Pro: only makes an existing relation a bit larger
- Con: not always possible

# Special Case: Expansion



## PERSON

<u>SSN</u>	Name	cID
...	...	...

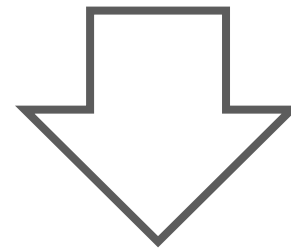
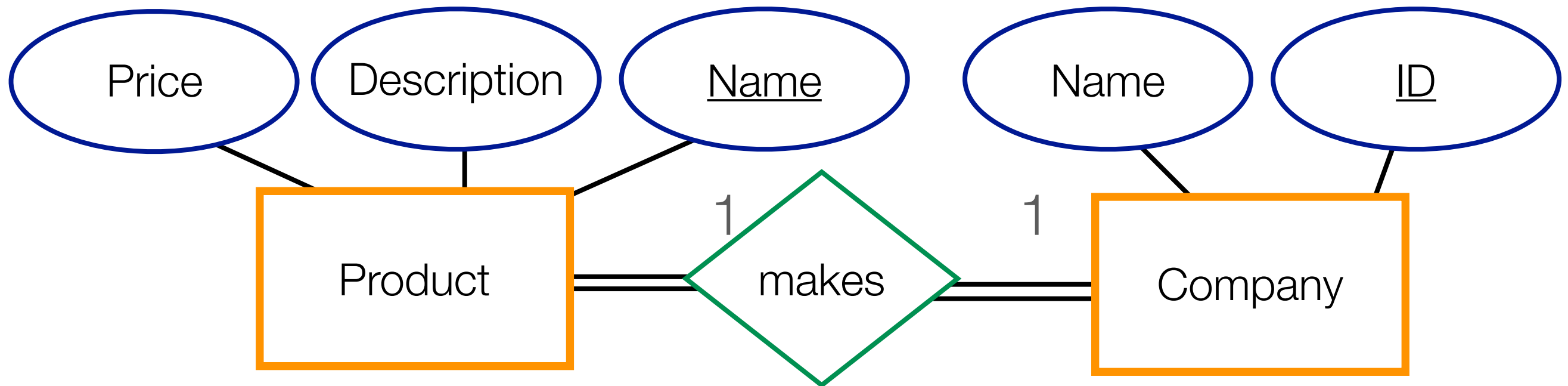
# Special Case: Merging

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Merge two existing relations

- Merge two entity types and relationship into one relation
- Only possible in 1:1 mapping and both have total participation
- Pro: reduction of relations
- Con: rarely used

# Special Case: Merging



## COMPANY\_PROD

<u>pName</u>	cName	cID	Price	Description
...	...	...		

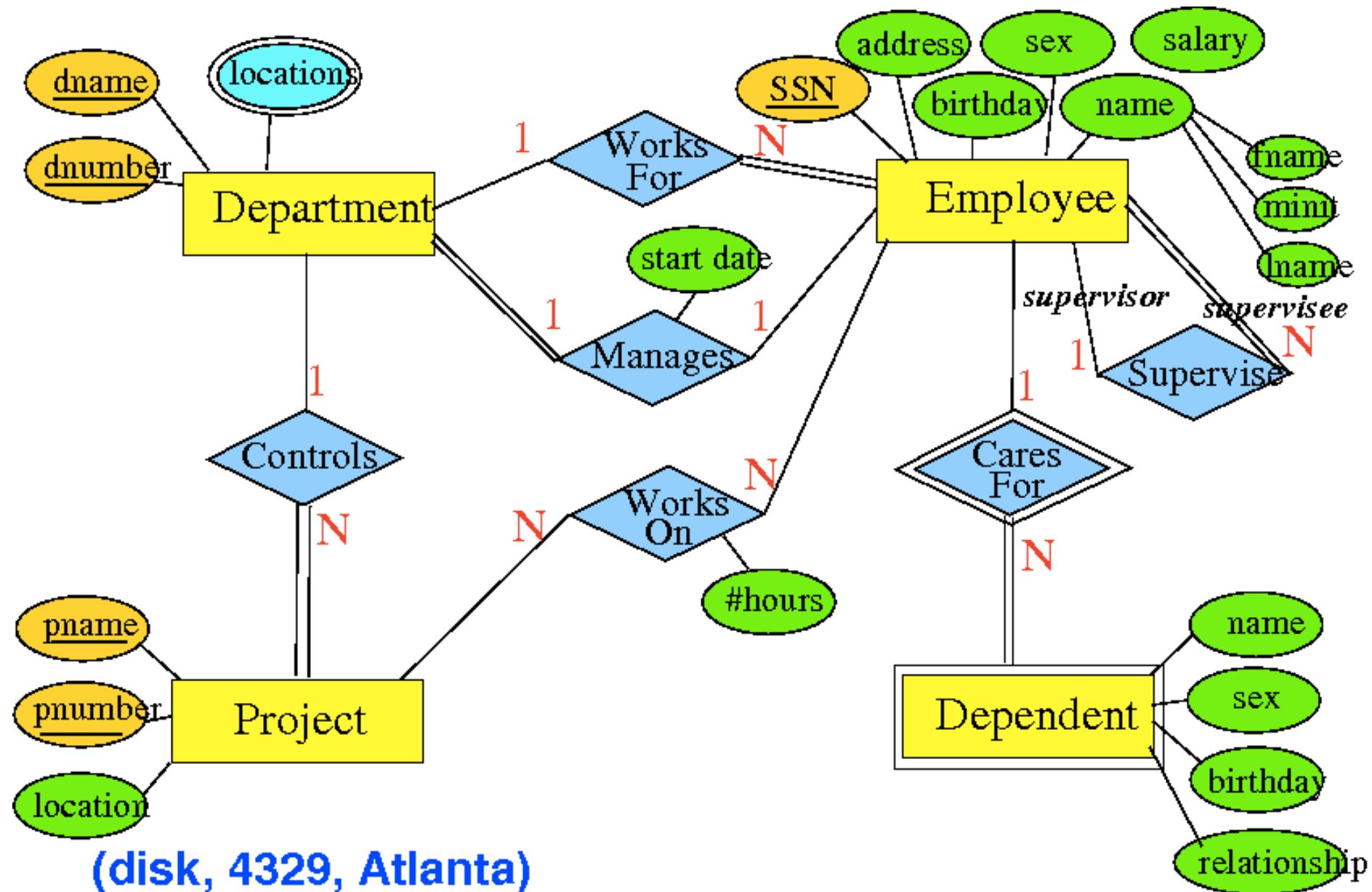
# Relation Mapping Design Principles

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- Relationship R where Entity1: Entity2 = 1:N  $\rightarrow$  expand the relation that represents Entity2
- Relationship R where Entity1: Entity2 = 1:1  $\rightarrow$  expand either Entity1 or Entity2
- Avoid having attributes that can take on NULL values (e.g., expand a relationship where entity is total participation over entity with partial participation)



# Example: Company database



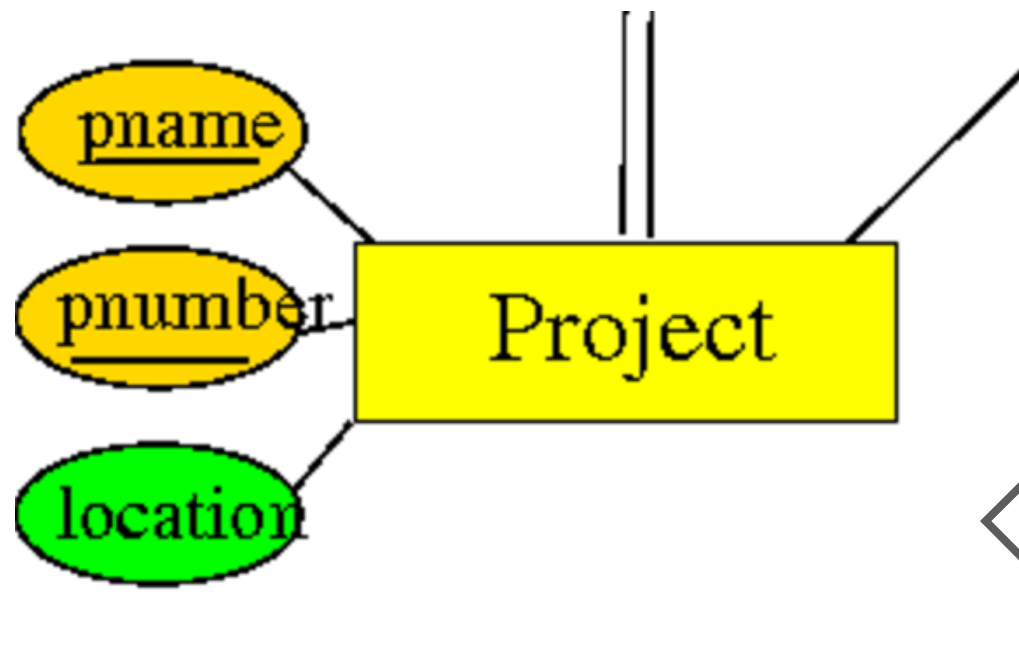
(disk, 4329, Atlanta)  
(CPU, 1562, Boston)  
(Printer, 7862, Denver)

...

Example from Prof Cheung's lectures

# Step 1: Project Entity

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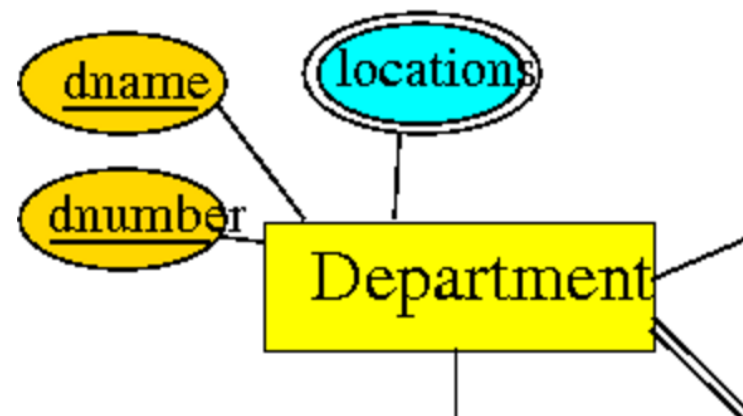


Pick one of the candidate keys to be primary key

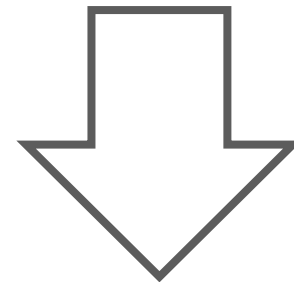
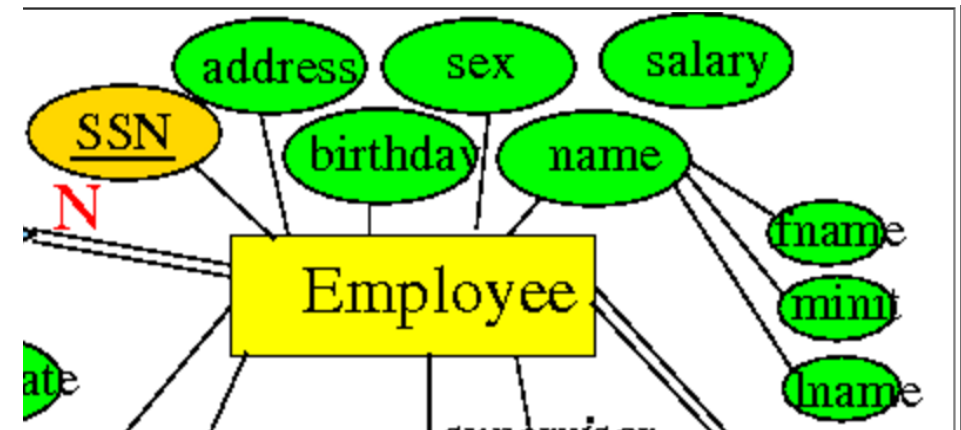
## PROJECT

PName	<u>PNumber</u>	Location
...	...	...

# Step 1: Employee & Department Entities



...



## EMPLOYEE

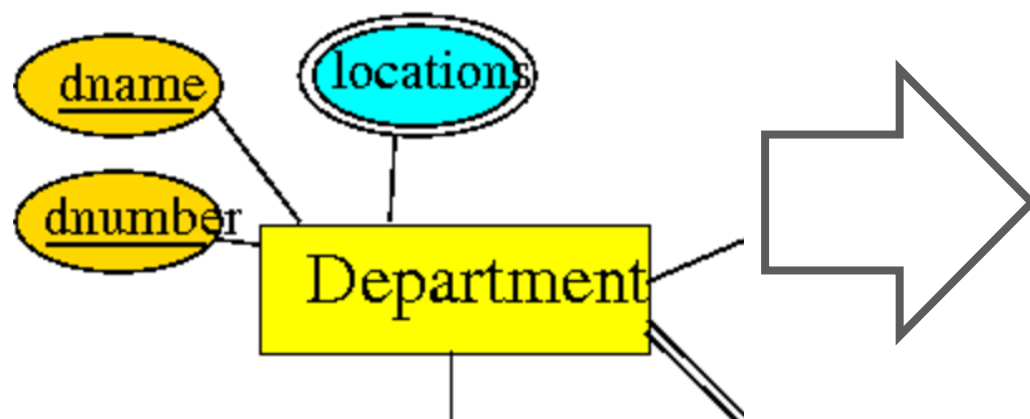
<u>SSN</u>	FName	MI	LName	Sex	Address	BDate	Salary
...	...	...					

## DEPARTMENT

<u>DNumber</u>	DName	{Locations}
...	...	...

Attribute values are  
not ATOMIC!

# Step 1: Department Location



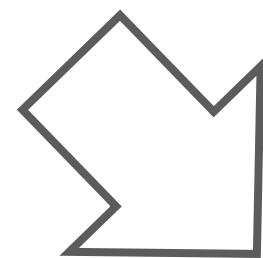
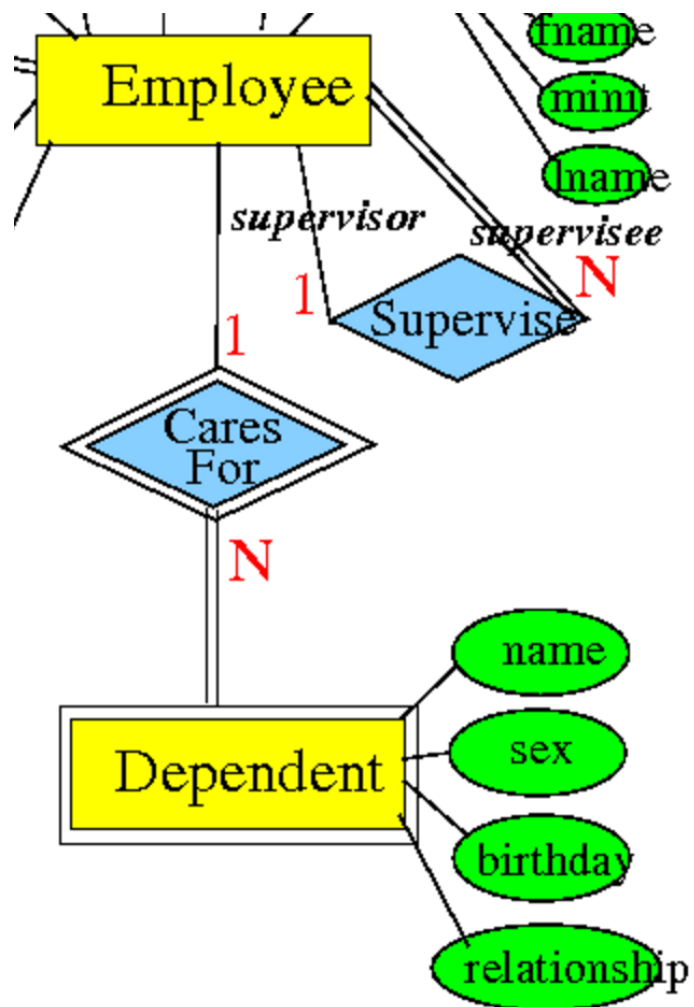
## DEPARTMENT

<u>DName</u>	<u>DNumber</u>
Manufacturing	D1234
Research	D7652
...	...

## DEPT\_LOC

<u>DNumber</u>	<u>Location</u>
D1234	Atlanta
D1234	New York
D1234	Denver
D7652	San Jose
D7652	Austin
...	...

# Step 1: Dependent Entity

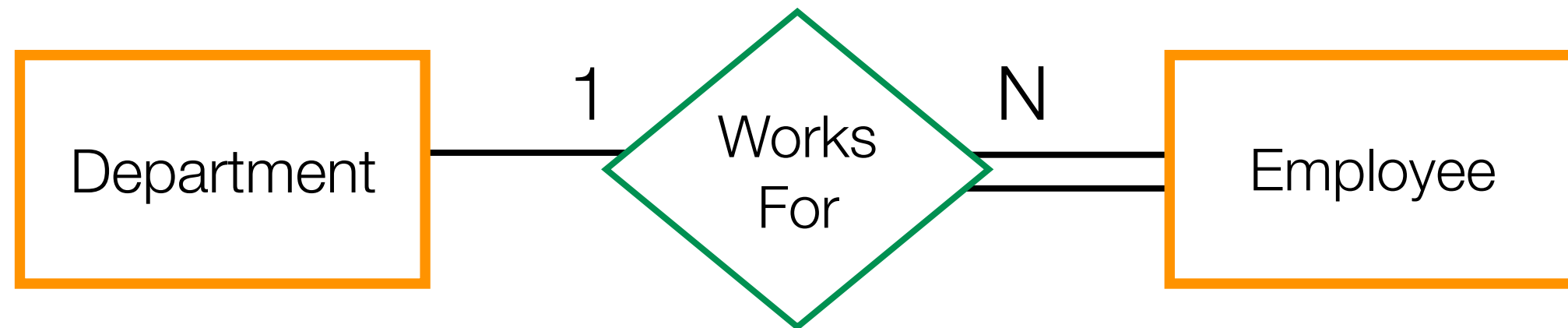


Necessary to identify weak entity

**DEPENDENT**

<u>ESSN</u>	<u>FName</u>	Sex	BDate	Relationship
	...	...	...	

# Step 2: WorksFor Relationship



Use expansion by adding attribute to Employee because 1:N relationship

## EMPLOYEE

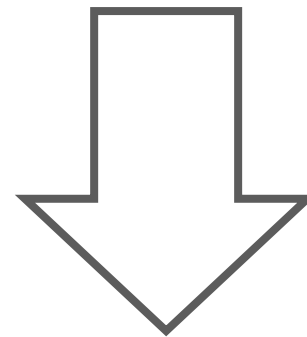
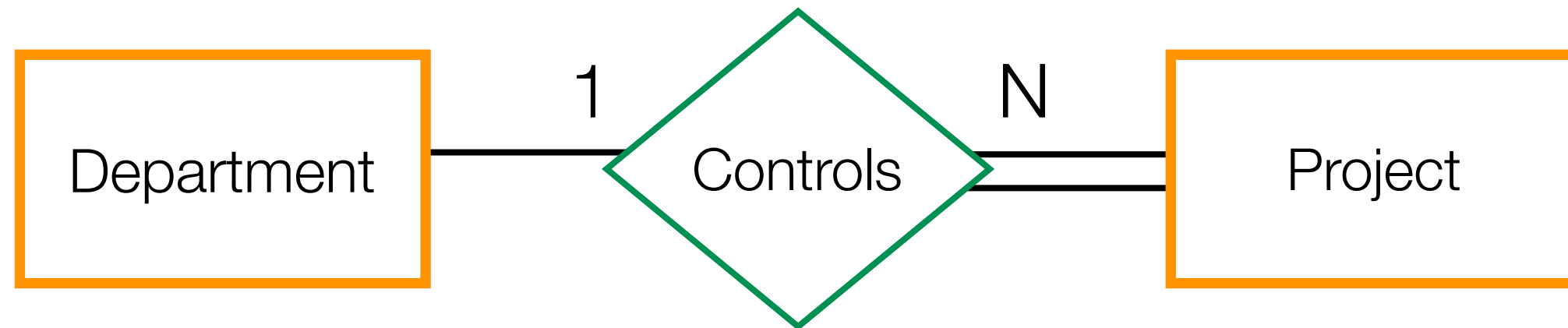
<u>SSN</u>	FName	MI	LName	Sex	Addres	BDate	Salary	DNo
...	...	...						

## DEPARTMENT

DName	<u>DNumber</u>
...	...

# Step 2: Controls-Project

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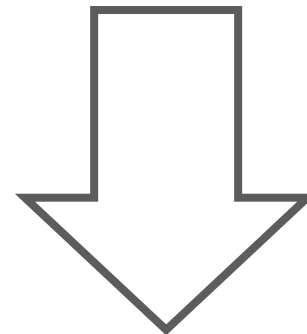
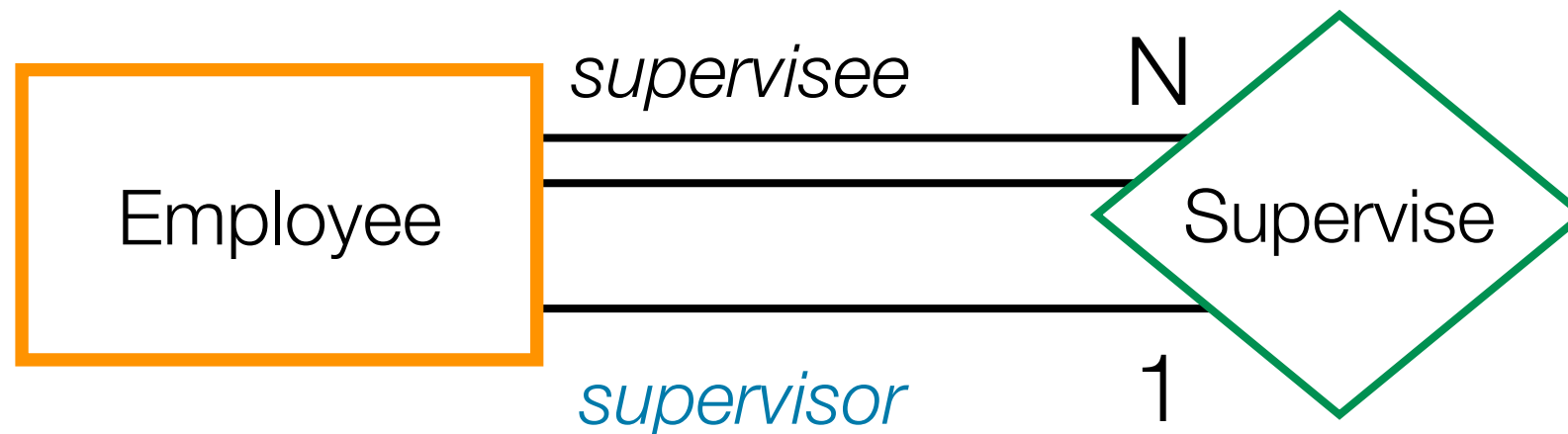
Expand Project  
because of the 1:N  
relationship

## PROJECT

PName	<u>PNumber</u>	Location	DNum
...	...	...	

# Step 2: Supervisor

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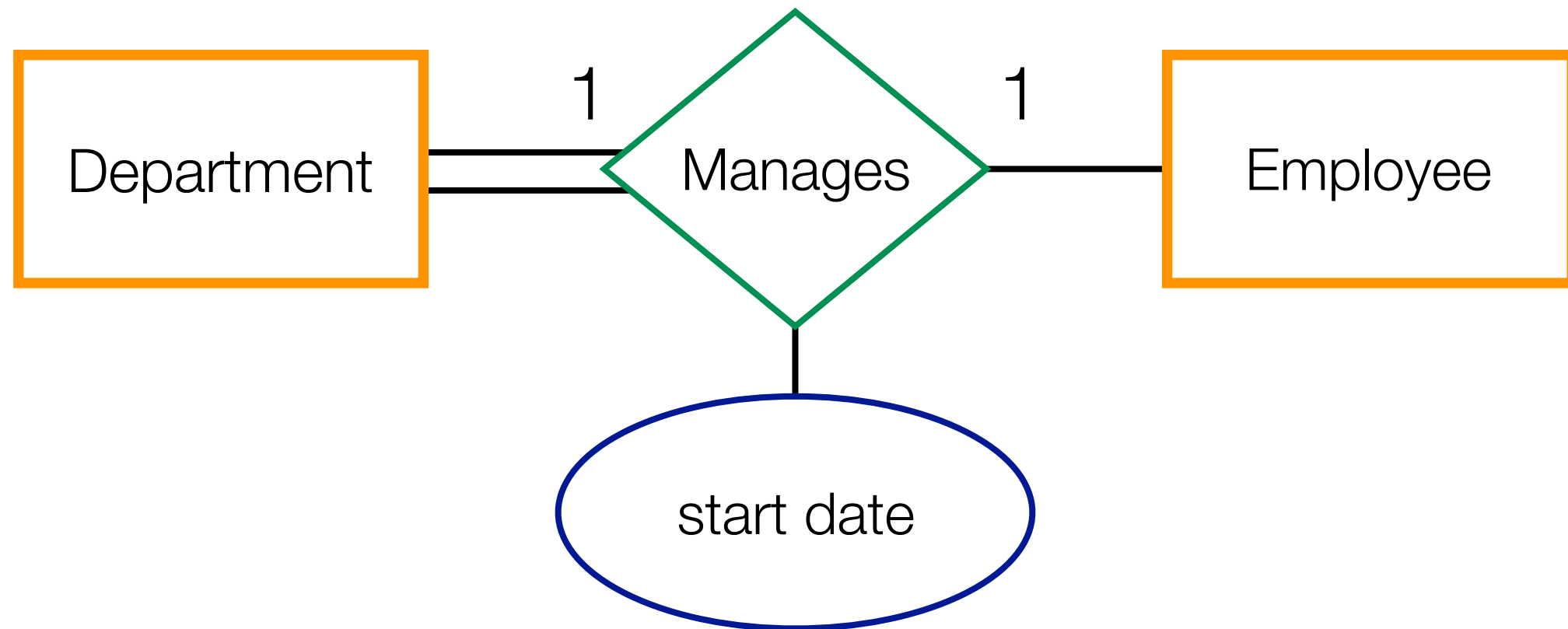
Expand supervisor  
because of the 1:N  
relationship

## EMPLOYEE

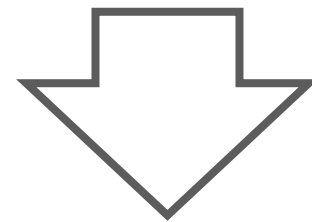
<u>SSN</u>	FName	MI	LName	Sex	Address	BDate	Salary	superSSN	DNo
...	...	...							



# Step 2: Manager



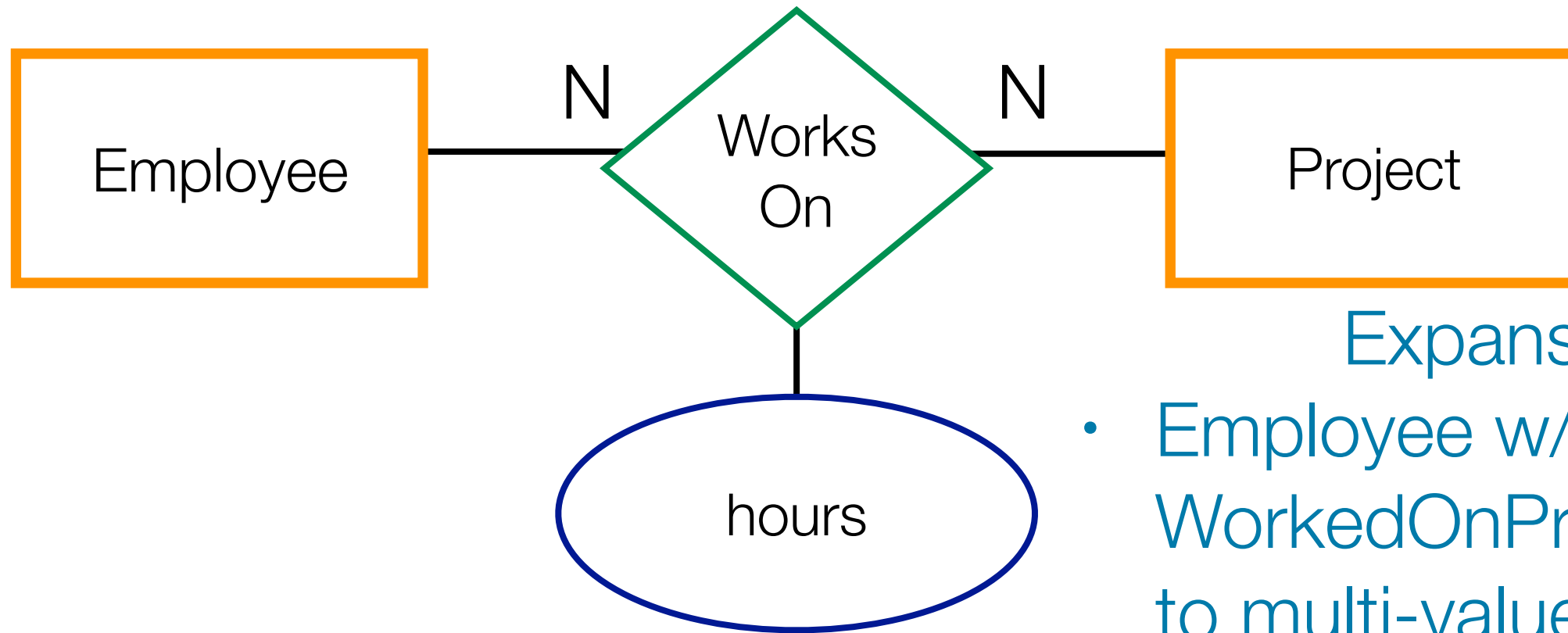
Expand Department  
because of total  
participation



## DEPARTMENT

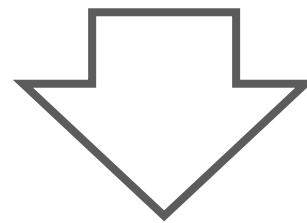
DName	<u>DNumber</u>	mgrSSN	mgrStart
...	...		

# Step 2: Works On



Expansion?

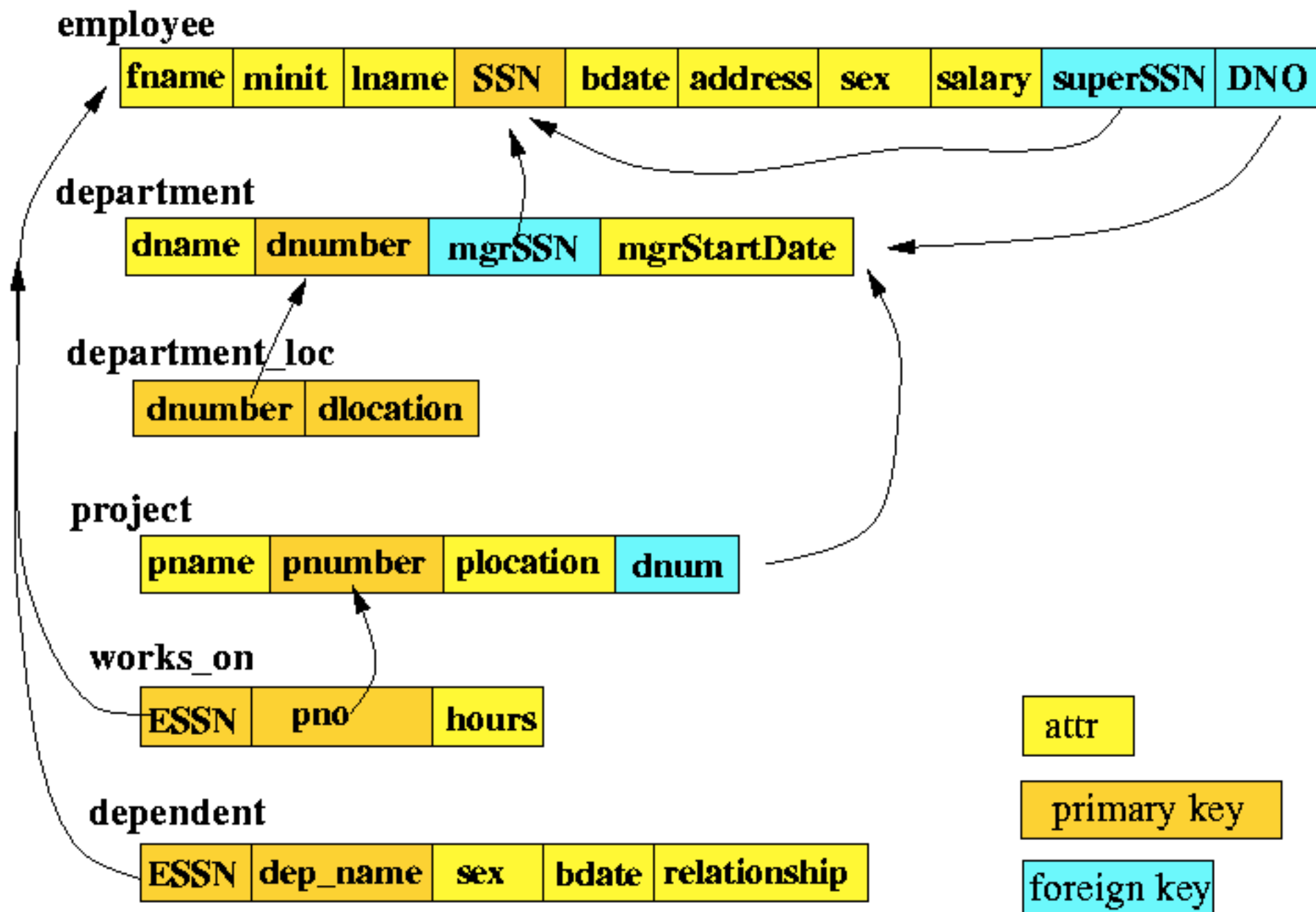
- Employee w/ attribute **WorkedOnProject** leads to multi-valued attribute
- Project w/ attribute **WorkerSSN** also results in multi-valued attribute



**WORKS\_ON**

<u>ESSN</u>	<u>PNO</u>	Hours
...	...	

# Example: Full Relational Model



# Mapping Summary

ER Model	Relational model
Entity type	Entity relation
1:1 or 1:N relationship	Expand (or create R relation)
M:N relationship	Create R relation with two foreign keys
n-ary relationship type	Create R relation with n foreign keys
Simple attribute	Attribute
Composite attribute	Set of simple component attributes
Multivalued attribute	Relation and foreign key
Key attribute	Primary (or secondary) key

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# Exercise: Football ER Model

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# Relational Model: Recap

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- Relational Model
  - Relation, attributes
  - Schema vs instance
  - Relational model constraints
- ER to Relational
  - Entity set, relationship  $\rightarrow$  relation

