



Xi'an Jiaotong-Liverpool University

西交利物浦大學

# MEC208 Instrumentation and Control System

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# Lecture 12

# Today's outline

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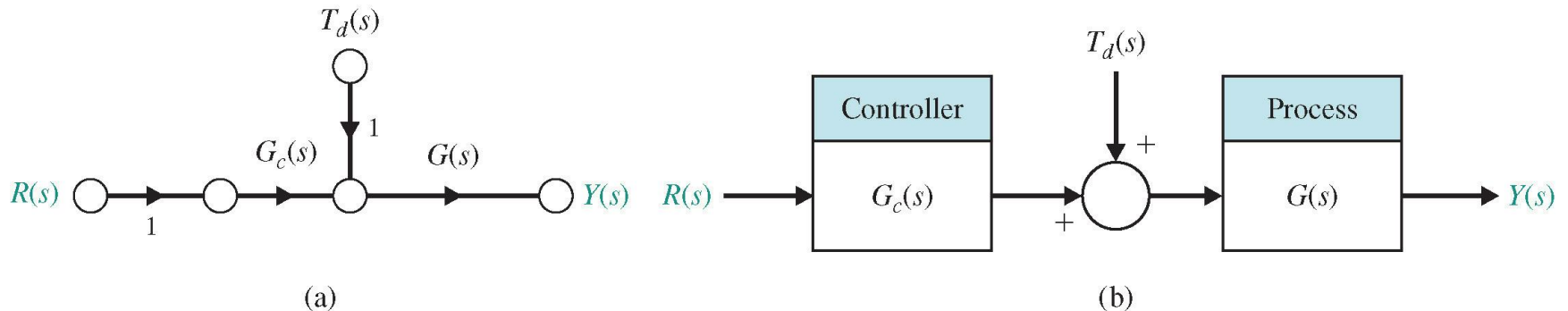
## Feedback Control System Characteristics

- ☐ Error Signal Analysis
- ☐ Sensitivity of Control System to Parameter Variations
- ☐ Disturbance Rejection and Measurement Noise Attenuation
- ☐ Control of the Transient Response and Steady-state Error
- ☐ “Cost” of Feedback

# Open-loop (OL) Control System

An open-loop control system operates **without feedback** and directly generates the output in response to an input signal.

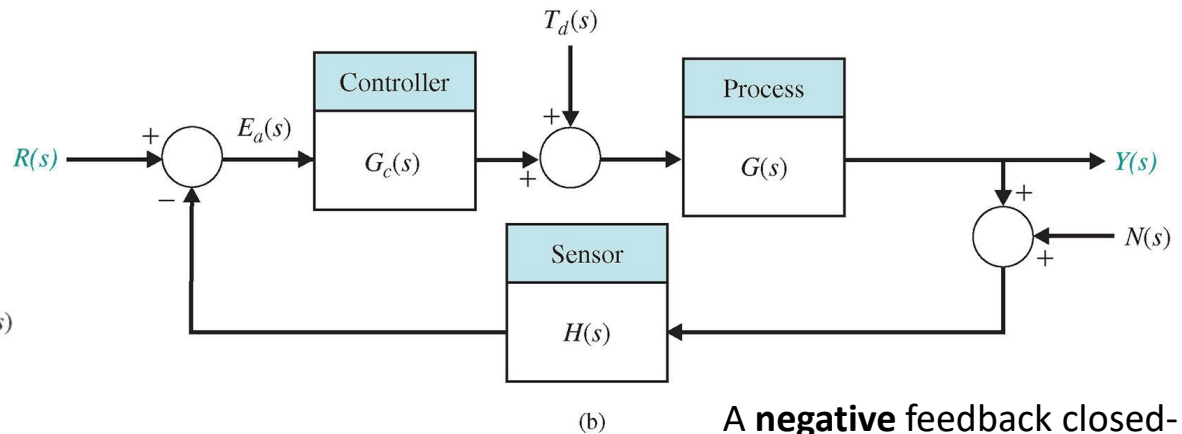
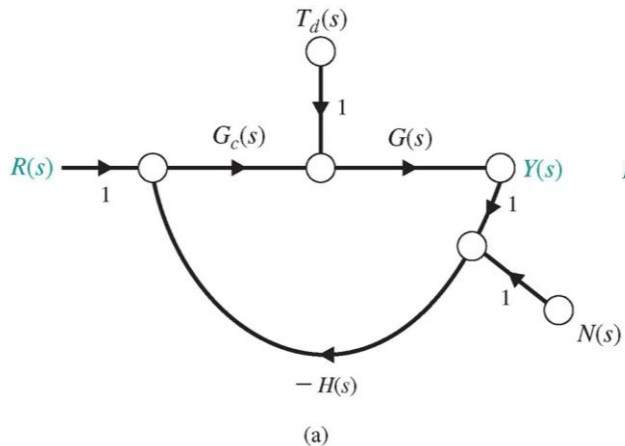
- Disturbance,  $T_d(s)$ , directly influences the output  $Y(s)$ . In the absence of feedback, the control system **may be** highly sensitive to disturbances and to accuracy and variations of  $G(s)$  parameters.



# Closed-loop (CL) Control System

A closed-loop control system uses a set of measurement from the system and compares them with the **desired output reference(s)** to generate an **error signal(s)** that is used by the controller to manipulate the plant input(s).

- The introduction of feedback to improve the control system may be necessary, depending on the application requirement;
- Feedback control exists beyond physical systems; it can be found in biological and physiological systems (i.e., heart rate control).

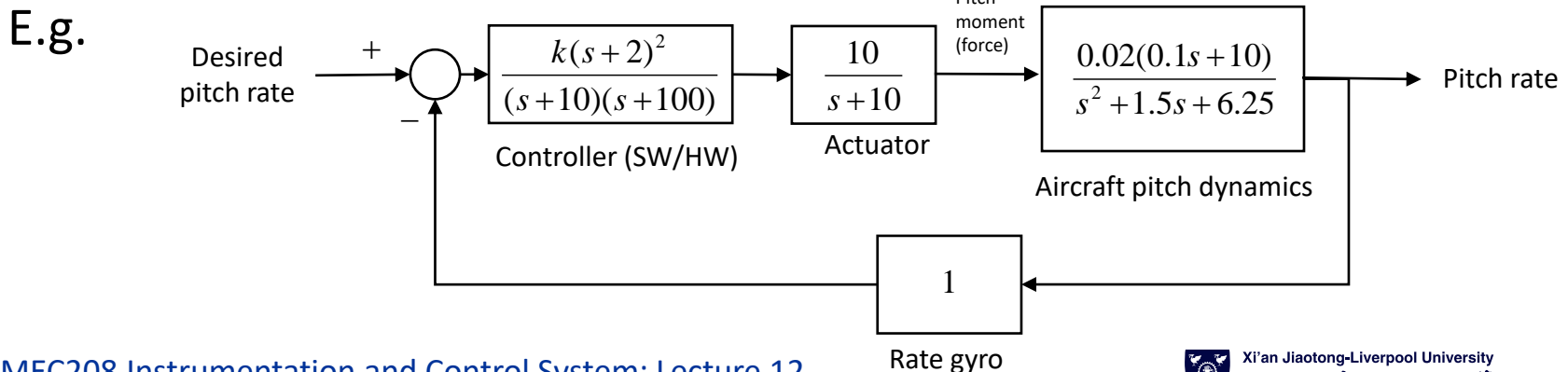
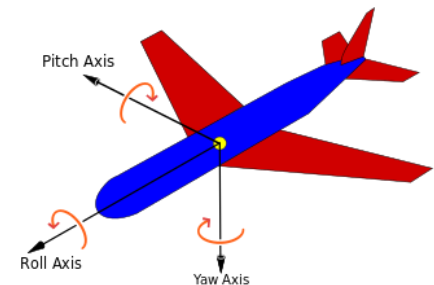


A **negative** feedback closed-loop control system

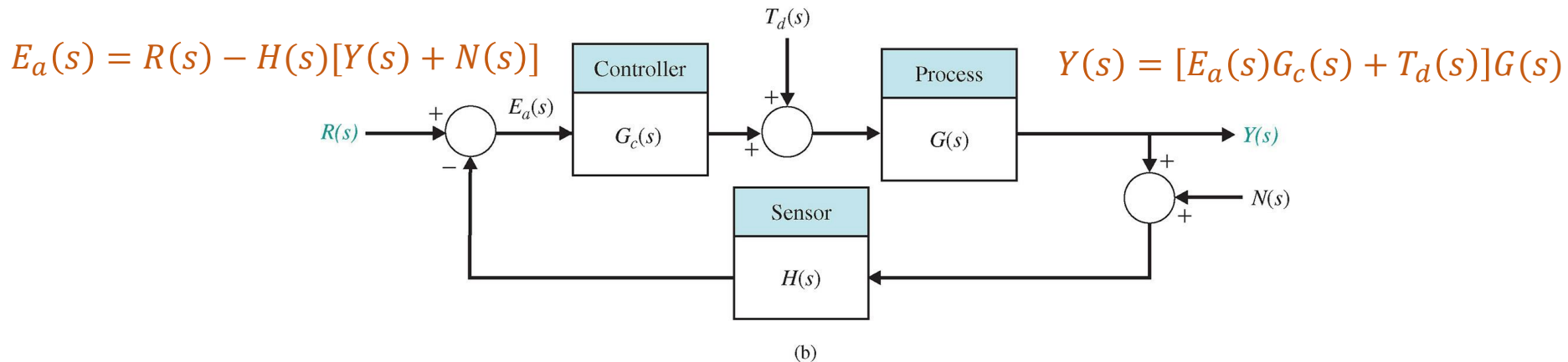
# Why do we need closed-loop control: “Advantages”

- Decrease sensitivity of the system to parameter variations
- Improve disturbance rejection
- Improve measurement noise attenuation
- Reduce or eliminate steady-state error of the system
- Define/produce the desired transient response of the system

[https://en.wikipedia.org/wiki/Flight\\_dynamic\\_s\\_\(fixed-wing\\_aircraft\)](https://en.wikipedia.org/wiki/Flight_dynamic_s_(fixed-wing_aircraft))



# Error Signal Analysis



**Tracking error definition:**  $E(s) = R(s) - Y(s)$

To facilitate our discussion, unity feedback system is assumed, i.e.,  $H(s) = 1$ .

The output can be obtained from the block diagram:

$$Y(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}R(s) + \frac{G(s)}{1 + G_c(s)G(s)}T_d(s) - \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}N(s)$$

Therefore:  $E(s) = R(s) - Y(s) = \frac{1}{1 + G_c(s)G(s)}R(s) - \frac{G(s)}{1 + G_c(s)G(s)}T_d(s) + \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}N(s)$

$$E(s) = \frac{1}{1 + L(s)}R(s) - \frac{G(s)}{1 + L(s)}T_d(s) + \frac{L(s)}{1 + L(s)}N(s)$$

where **loop gain**  $L(s) = G_c(s)G(s)H(s) = G_c(s)G(s)$

# Sensitivity Functions

$$Y(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}R(s) + \frac{G(s)}{1 + G_c(s)G(s)}T_d(s) - \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}N(s)$$

$$E(s) = \frac{1}{1 + L(s)}R(s) - \frac{G(s)}{1 + L(s)}T_d(s) + \frac{L(s)}{1 + L(s)}N(s)$$

Define (Closed loop characteristic function):  $F(s) = 1 + L(s)$

**Sensitivity Function**  
(i.e., towards  $R$ )

$$S(s) = \frac{1}{F(s)} = \frac{1}{1 + L(s)}$$

**Complementary Sensitivity Function**  
(i.e., towards  $N$ )

$$C(s) = \frac{L(s)}{1 + L(s)}$$

**NOTE:  $S(s) + C(s) = 1$**

$$E(s) = S(s)R(s) - S(s)G(s)T_d(s) + C(s)N(s)$$



# Sensitivity towards Parameter Variations

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A plant/process, represented by  $G(s)$ , is subject to a changing environment, aging, uncertainty in the exact values of the process parameters, and other factors that affect the process.

- In an OL control system, all these errors and changes result in a changing and inaccurate output;
- However, a CL system senses the change in the output due to the process changes and attempts to correct the output.

A primary advantage of a CL feedback control system is its ability to reduce the system's sensitivity to parameter variation.

# How to Reduce Sensitivity towards Param. Var.?

To analyze influences of  $G(s)$  param. variation on tracking error under CL control, we first assume  $T_d(s) = N(s) = 0$

Suppose the process (or plant) undergoes a change such that the true plant model is  $G(s) + \Delta G(s)$ , we then consider the tracking error  $E(s)$  due to  $\Delta G(s)$ .

$$E(s) + \Delta E(s) = \frac{1}{1 + G_c(s)[G(s) + \Delta G(s)]} R(s)$$

Then the change in the tracking error is:

$$\Delta E(s) = \frac{-G_c(s)\Delta G(s)}{[1 + G_c(s)G(s) + G_c(s)\Delta G(s)](1 + G_c(s)G(s))} R(s)$$

Since usually  $G_c(s)G(s) \gg G_c(s)\Delta G(s)$  for all complex frequencies of interest, we have

$$\Delta E(s) = \frac{-G_c(s)\Delta G(s)}{[1 + L(s)]^2} R(s)$$

Therefore, the change in tracking error is reduced by the factor  $1 + L(s)$ .

For large  $L(s)$ , we have  $1 + L(s) \approx L(s)$ , then

$$\Delta E(s) \approx -\frac{1}{L(s)} \frac{\Delta G(s)}{G(s)} R(s)$$

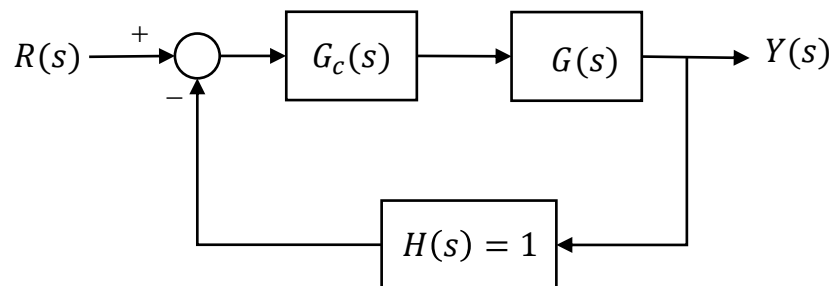
← Large  $L(s)$  implies smaller sensitivity.

# Definition of System Sensitivity

By definition:  $S = \frac{\partial T/T}{\partial G/G}$ , where system transfer function  $T(s) = \frac{Y(s)}{R(s)}$

In the limit, for small incremental changes:

$$S = \frac{\partial T/T}{\partial G/G} = \frac{\partial \ln T}{\partial \ln G}$$



**System sensitivity** is the ratio of the change in the system transfer function  $T(s)$  to the change of a process transfer function  $G(s)$  (or parameter) for a small incremental change.

Sensitivity for OL system: 1

Sensitivity for CL system: since  $T(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}$

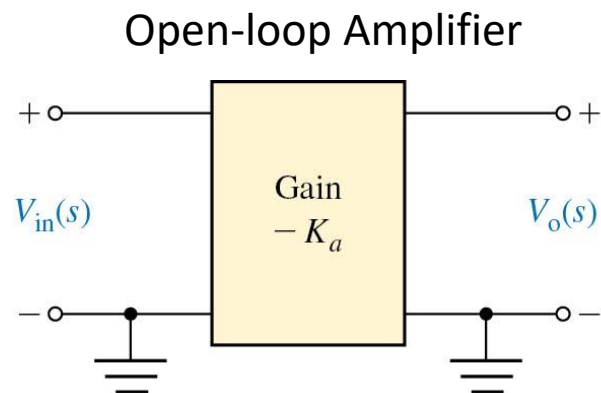
$$S_G^T = \frac{\partial T \cdot G}{\partial G \cdot T} = \frac{G_c}{[1 + G_c G]^2} \cdot \frac{1 + G_c G}{G_c} \rightarrow S_G^T = \frac{1}{1 + G_c G}$$

To determine the influence of process **parameter  $\alpha$  (of  $G(s)$ )**, use chain rule:

$$S_\alpha^T = S_G^T S_\alpha^G$$

# Example 12.1: Feedback Amplifier

$$S = \frac{\partial T / T}{\partial G / G}$$

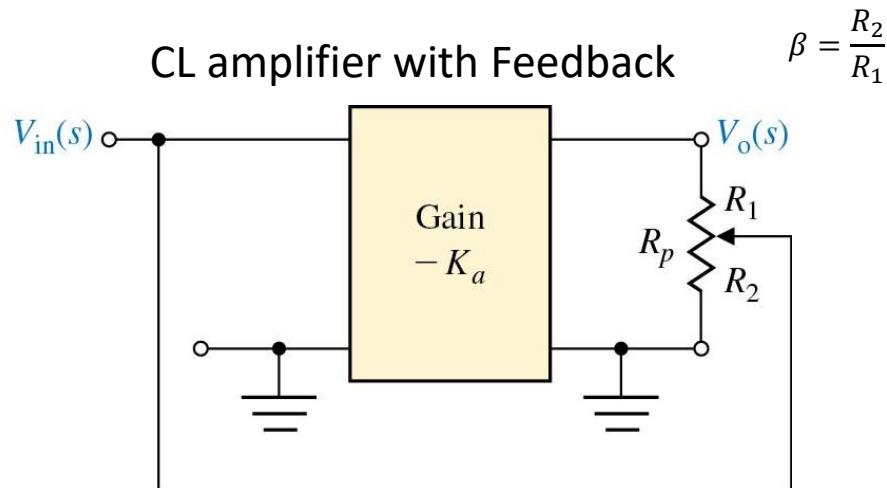


$$V_o = -K_a V_{in}$$

$$T = \frac{V_o}{V_{in}} = -K_a$$

Sensitivity to the changes in the amplifier gain is:

$$S_{K_a}^T = 1$$



Assume that the transfer function is

$$T = \frac{V_o}{V_{in}} = \frac{-K_a}{1 + K_a \beta}$$

Through chain rule, we know that:

$$S_{K_a}^T = S_G^T S_{K_a}^G = \frac{1}{1 + K_a \beta}$$

For  $K_a = 10^4$  and  $\beta = 0.1$ :

$$S_{K_a}^T = \frac{1}{1 + 10^3} = 0.001$$

# Disturbance Rejection

Feedback control reduces the negative effect of disturbance signals:

- A disturbance signal is an unwanted input signal that affects the output signal.
- Many control systems are subject to extraneous disturbance signals that cause the system to provide an inaccurate output.
  - Electronic amplifiers have inherent noise generated within the integrated circuits or transistors;
  - Radar antennas are subject to wind gusts;
  - Many systems generate unwanted distortion signals due to nonlinear elements.
- The benefit of feedback systems is that the effect of distortion, noise, and unwanted disturbances can be effectively reduced.

To analyze rejection of disturbance, assume  $R(s) = N(s) = 0$ .

$$E(s) = -S(s)G(s)T_d(s) = -\frac{G(s)}{1 + L(s)}T_d(s)$$

For a fixed  $G(s)$  and a given  $T_d(s)$ , as the loop gain  $L(s)$  increases, the effect of  $T_d(s)$  on the tracking error decreases. **For good disturbance rejection, we require a large loop gain over the frequencies of interest associated with the expected disturbance signals.**

# Measurement Noise Attenuation

A noise signal that is prevalent in many systems is the noise generated by the **measurement sensor**.

To analyze attenuation of measurement noise, assume  $R(s) = T_d(s) = 0$ .

$$E(s) = C(s)N(s) = \frac{L(s)}{1 + L(s)} N(s)$$

As the loop gain  $L(s)$  decreases, the effect of  $N(s)$  on the tracking error decreases. **For effective measurement noise attenuation, we need a small loop gain over the frequencies associated with the expected noise signals.**

## How to realize disturbance rejection and measurement noise attenuation at the same time?

- In practice, disturbances are often at low frequencies, while measurement noise signals are often at high frequencies.
- **Therefore, the controller should be of high gain at low frequencies and low gain at high frequencies.**

# Control of Transient Response

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One of the most important characteristics of control systems is their **transient response**, which is a function of time.

Another purpose of control systems is to provide a desired satisfactory transient response:

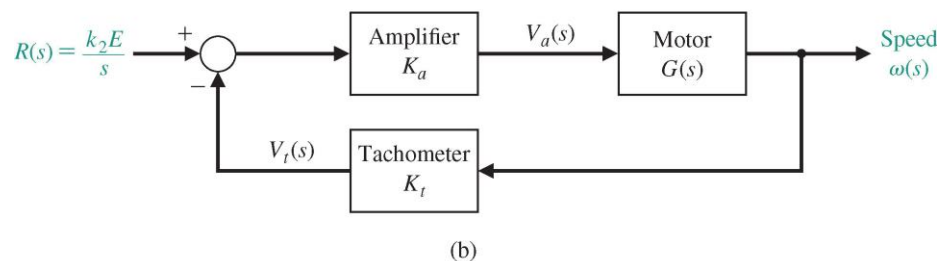
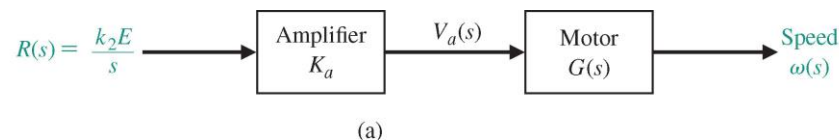
- If an OL control system does not provide a satisfactory transient response, then the process,  $G(s)$ , may need to be replaced with a more suitable process;
- By contrast, a CL system can often be adjusted to yield the desired response by adjusting the **feedback loop parameters (e.g., controller and feedback path parameters)**.

A feedback control system is valuable because it provides the engineer with the ability to **adjust/manipulate** the transient response.

# Example 12.2: Speed Control System

A speed control system, is often used in industrial processes to move materials and products, in one of the two modes:

- (a) OL control system;
- (b) CL control with feedback.



Open-loop:  $\frac{\omega(s)}{V_a(s)} = G(s) = \frac{K_1}{\tau_1 s + 1}$   $V_a(s) = \frac{k_2 E}{s} K_a$

$\omega(s) = G(s)V_a(s)$  →  $\omega(t) = K_1(k_2 E)(1 - e^{-t/\tau_1})K_a$

Closed-loop:  $\frac{\omega(s)}{R(s)} = \frac{K_a G(s)}{1 + K_a K_t G(s)} = \frac{K_a K_1}{\tau_1 s + 1 + K_a K_t K_1} = \frac{K_a K_1 / \tau_1}{s + (1 + K_a K_t K_1) / \tau_1}$

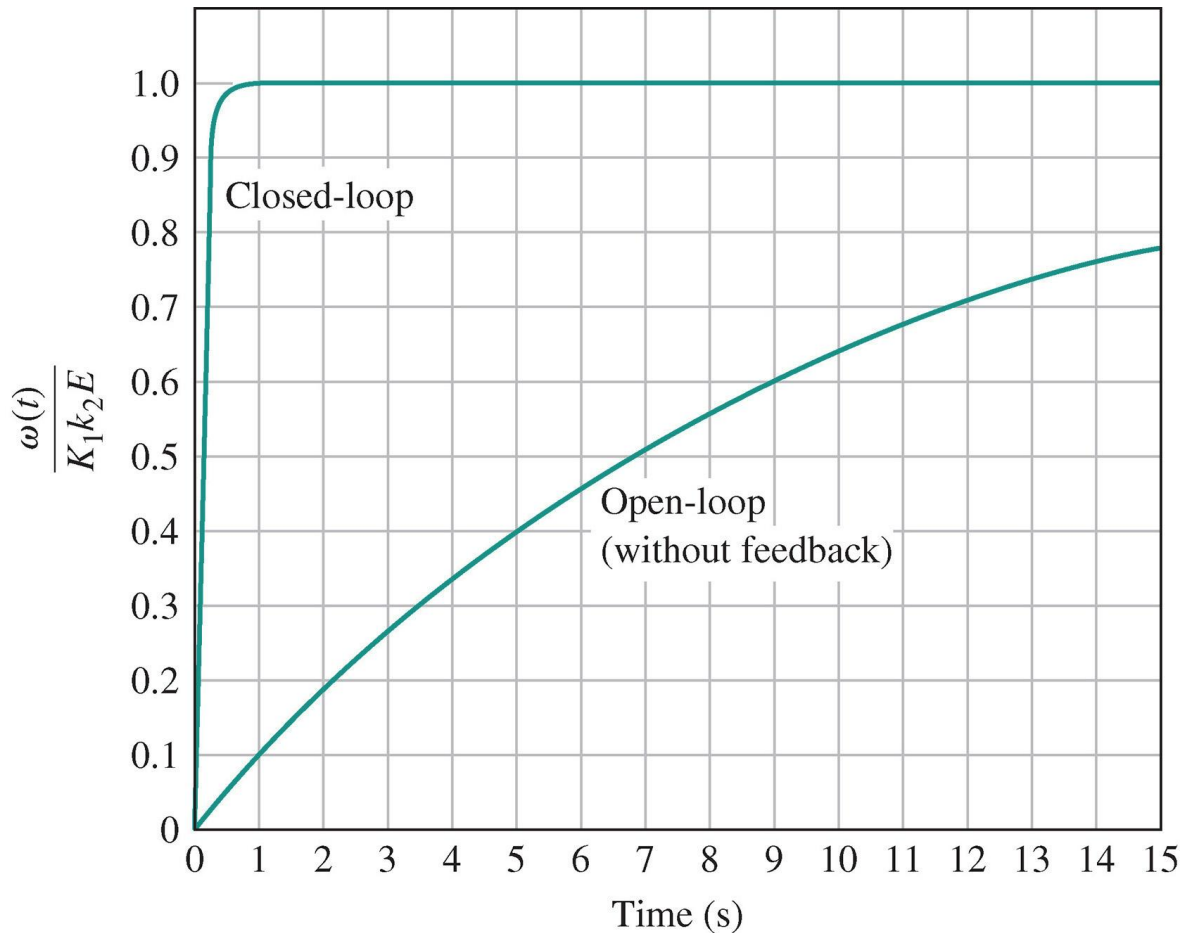
$\omega(t) = \frac{K_a K_1}{1 + K_a K_t K_1} (k_2 E)(1 - e^{-pt})$

$p = (1 + K_a K_t K_1) / \tau_1$



# Transient Response

The response of the open-loop and closed-loop speed control system when  $\tau = 10$  and  $K_1 K_a K_t = 100$ . The time to reach 98% of the final value for the open-loop and closed-loop system is 40 seconds and 0.4 seconds, respectively.



# Steady-state Error (and Output)

The **steady-state error (output)** is the error (output) value after the transient response has decayed, leaving only the continuous response.

**Final Value Theorem (only for stable system):**

$$\lim_{t \rightarrow \infty} e(t) = \lim_{s \rightarrow 0} sE(s)$$

Assume a unit step input as a comparable input ( $r(t) = 1, t > 0; R(s) = \frac{1}{s}$ ):

Open-loop:  $E_{OL}(s) = R(s) - Y(s) = (1 - G_c(s)G(s))R(s)$

$$e_{OL}(\infty) = \lim_{s \rightarrow 0} s(1 - G_c(s)G(s)) \left( \frac{1}{s} \right) = 1 - G_c(0)G(0)$$

To calculate **steady-state output** towards input  $R$ , simply use:

Closed-loop (assume  $T_d(s) = N(s) = 0$ , and  $H(s) = 1$ ):

$$E_{CL}(s) = \frac{1}{1 + G_c(s)G(s)} R(s)$$

$$e_{CL}(\infty) = \lim_{s \rightarrow 0} s \frac{1}{1 + G_c(s)G(s)} \frac{1}{s} = \frac{1}{1 + G_c(0)G(0)}$$

$$\begin{aligned} \lim_{t \rightarrow \infty} y(t) &= \lim_{s \rightarrow 0} sY(s) \\ &= \lim_{s \rightarrow 0} sT_{CL}R(s) \end{aligned}$$

Large  $L(0) = G_c(0)G(0)$  will lead to small steady-state error.

# “Cost” of Feedback Control

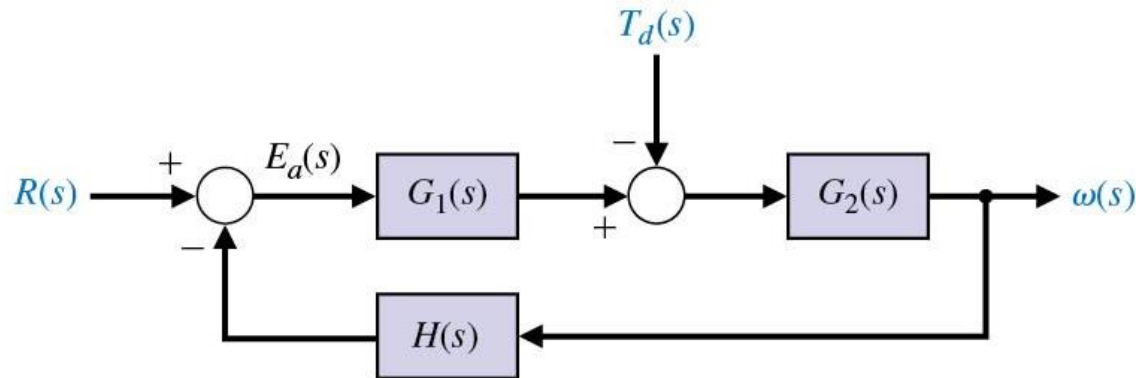
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- **Increased number of components and complexity in the system.**
  - To add feedback, it is necessary to consider several “physical” feedback components, e.g. sensors. The sensor is often the most expensive component in a control system, which may introduce noise, inaccuracy, and robustness issues.
- **Loss of Gain.**
  - Loop gain:  $G_c(s)G(s)$
  - Closed-loop gain:  $\frac{G_c(s)G(s)}{1+G_c(s)G(s)}$
- **Introduction of the possibility of instability.**
  - Even if an open-loop system is stable, the closed-loop system may not be always stable (will be discussed in later chapters).

# Example 12.3 (in-class)

Consider the following system, where  $G_1(s) = \frac{5}{s}$ ,  $G_2(s) = \frac{1}{s(s+p)}$ , and  $H(s) = \frac{1}{10}$ .

Assuming zero disturbance, calculate the sensitivity of the CL system  $T(s) = \frac{\omega}{R}$  to changes in the  $G_2$ 's parameter  $p$ .



**Thought process:** (1) Question asking for  $S_p^T$  (suggest to use  $\frac{\partial T/T}{\partial p/p}$ ); (2) derive  $T_{CL}$ ,  $\frac{\partial T}{\partial p}$ ; (3) find  $S_p^T$ .

(Reminder: calculation steps must be shown/included in the exam)

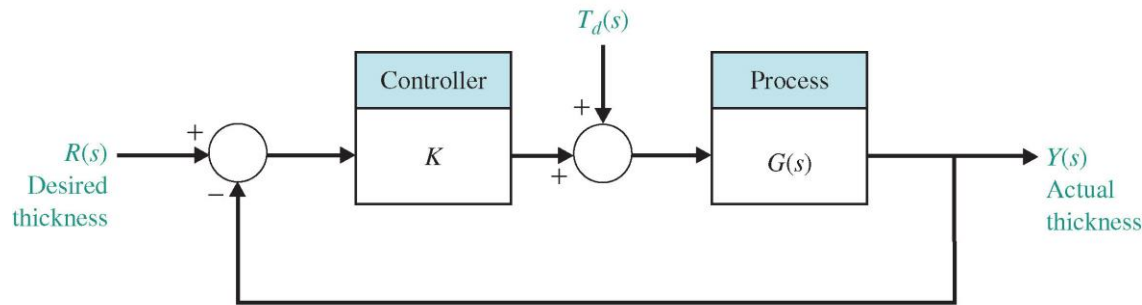
**Final answer:**  $S_p^T = -\frac{2ps^2}{2s^3+2ps^2+1}$

# Example 12.4 (in-class)

Consider the following system, where  $G(s) = \frac{1}{s(s+50)}$ ,

(a) calculate the sensitivity of the CL system (with zero  $T_d$ ) towards the **changes in the controller gain  $K$** ;

(b) with  $K = 900$ , estimate the output's steady-state error towards a unit-step input  $R(s) = \frac{1}{s}$ .



**Thought process:** (1) Question asking for  $S_K^{T_{cl}}$  (use either  $\frac{\partial T_{CL}/T_{CL}}{\partial K/K}$  or chain rule  $S_G^{T_{CL}} \times S_K^G$ ); (2) derive  $T_{CL}$ ,  $\frac{\partial T_{CL}}{\partial K}$ ; (3) find  $S_K^{T_{cl}}$ ; (4) derive and calc. steady-state error  $\lim_{s \rightarrow 0} sE$  with  $R(s) = \frac{1}{s}$ .

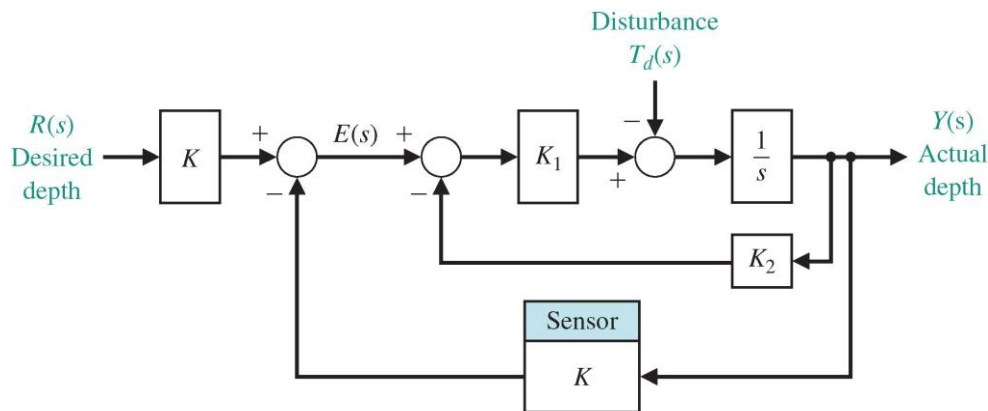
(Reminder: calculation steps must be shown/included in the exam)

**Final answer:** (a)  $S_K^{T_{cl}} = \frac{s(s+50)}{s^2+50s+K}$ , (b) 0.

# Example 12.5 (in-class)

Consider the following system:

- 1) Compute the transfer function  $T(s) = \frac{Y(s)}{R(s)}$ ;
- 2) Determine the sensitivity  $S_{K_1}^T$  and  $S_{K_2}^T$ ;
- 3) Calculate the output's steady-state response due to unit-step input  $R(s) = 1/s$ ;
- 4) Calculate the steady-state error,  $\lim_{s \rightarrow 0} sE$ , due to unit-step disturbance  $T_d(s) = 1/s$ .



**Thought process:** (1) manipulate block diagram to obtain  $\frac{Y}{R}$ ; (2) Calculate  $S_{K_1}^T = \frac{\partial T/T}{\partial K_1/K_1}$  and  $S_{K_2}^T = \frac{\partial T/T}{\partial K_2/K_2}$ ; (3)  $y_{ss} = \lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sY$ ; (3) derive  $T_1 = \frac{Y}{T_d}$ , calc. steady-state error  $\lim_{s \rightarrow 0} sE$

(Reminder: calculation steps must be shown/included in the exam)

**Final answer:**  $T = \frac{KK_1}{s+K_1(K_2+K)}$ ,  $S_{K_1}^T = \frac{s}{s+K_1(K_2+K)}$ ,  $S_{K_2}^T = -\frac{K_1K_2}{s+K_1(K_2+K)}$ ,  $y_{ss} = \frac{K}{K_2+K}$ ,

$$T_1 = -\frac{\frac{1}{s}}{1+\frac{1}{s}K_1(K_2+K)}, \lim_{s \rightarrow 0} sE = \lim_{s \rightarrow 0} s \left( 0 - T_1 \frac{1}{s} \right) = \frac{1}{K_1(K_2+K)}$$

[Note: this example has a -ve  $T_d$  input, different from +ve input in the figure in pg. 8; the sign of  $T_1$  in this exercise should be derived correctly]

# Concluding Remarks

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- **What has been covered:** “Feedback Control System Characteristic”
  - Feedback control system
  - Error signal analysis
  - Parameter variation
  - Disturbance
  - Measurement noise
  - Transients, steady-state error
  - Cost of feedback