Semiconductor Fundamentals – (III)

- 2.5 Boltzmann approximation & E_F, n, p
- 2.6 Carrier drift and diffusion

Gary Chun Zhao, PhD

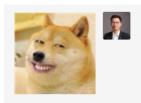
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Semiconductor Fundamentals – (III)

2.5 Boltzmann approximation & E_F, n, p

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Last lecture:

- Negative charges:
 - Conduction electrons (density = n) mobile
 - > Ionized acceptor atoms (density = N_A^-) immobile
- Positive charges:
 - Holes (density = p) mobile
 - ► Ionized donor atoms (density = N_D^+) immobile
- The net charge density (C/cm³) in a semiconductor is $\rho = q(p n + N_D^+ N_A^-)$
- Law of Mass Action: $n \times p = n_i^2$

How to deduce the relationship between E_F and n/p?

2.5 Boltzmann approximation & E_F , n, p

Fermi function and Fermi level





Boltzmann Approximation

Electron and Hole Concentrations

Thermal Equilibrium

- No external forces are applied:
 - electric field = 0, magnetic field = 0
 - mechanical stress = 0
 - > no light
- Dynamic situation in which every process is balanced by its inverse process
 - Electron-hole pair (EHP) generation rate = EHP recombination rate
- Thermal agitation → electrons and holes exchange energy with the crystal lattice and each other
 - → Every energy state in the conduction band and valence band has a certain probability of being occupied by an electron

Statistical Thermodynamics: Fermi energy

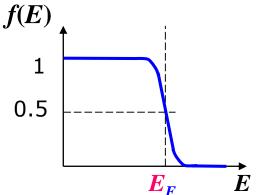
- The Fermi energy, E_F , is the energy associated with a particle, which is in thermal equilibrium with the system of interest. The energy is strictly associated with the particle and does not consist even in part of heat or work. This same quantity is called the electrochemical potential, m, in most thermodynamics texts.
 - http://hyperphysics.phyastr.gsu.edu/Hbase/solids/fermi.html#c2
 - http://hyperphysics.phyastr.gsu.edu/Hbase/solids/fermi.html#c1



Fermi function and Fermi level

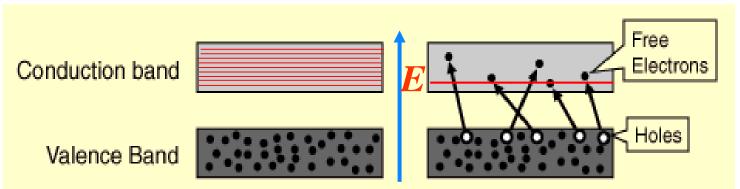
 Probability that a state at energy level, E, is occupied by one electron is,

$$f(E) = \frac{1}{1 + \exp(\frac{E - E_F}{kT})}$$



- f(E): Fermi-Dirac function
- An increase in E will reduce f(E)
- E_F --- Fermi-level
 - When $E = E_F$, $f(E = E_F) = 0.5$.



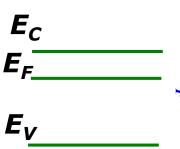


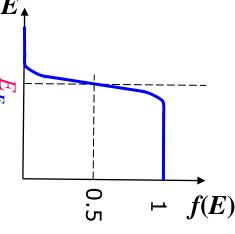
textbook P.66

Fermi function and Fermi level

Probability that a state at energy level, E, is occupied by one electron is,

$$f(E) = \frac{1}{1 + \exp(\frac{E - E_F}{kT})}$$





- f(E): Fermi-Dirac function
- An increase in E will reduce f(E)
- E_F --- Fermi-level
 - When $E = E_F$, $f(E = E_F) = 0.5$.
- 1. Simplify Fermi-Dirac function: Boltzmann Approximation
- 2. What is the states' density? (Density of States)

2.5 Boltzmann approximation & E_F , n, p

Fermi function and Fermi level

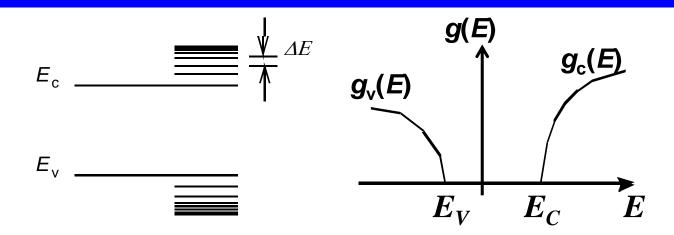
Density of States



Boltzmann Approximation

Electron and Hole Concentrations

Density of States



 $g(E)\Delta E = \text{number of states per cm}^3$ in the energy range between $E = \text{and } E + \Delta E$

Near the band edges:

$$g_c(E) = \frac{m_n^* \sqrt{2m_n^* (E - E_c)}}{\pi^2 \hbar^3}$$
 $E \ge E_c$

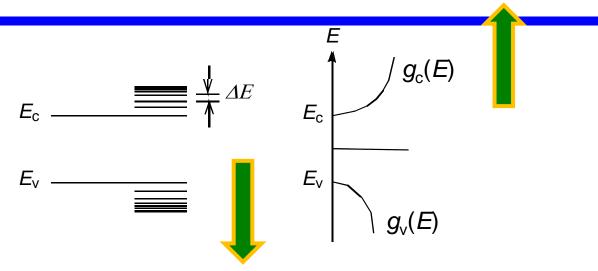
density of states in the conduction band

$$g_{v}(E) = \frac{m_{p}^{*}\sqrt{2m_{p}^{*}(E_{v}-E)}}{\pi^{2}\hbar^{3}}$$

$$E \leq E_v$$

density of states in the valence band

Density of States



 $g(E)dE = \text{number of states per cm}^3$ in the energy range between E and E+dE

Near the band edges:

$$g_c(E) = \frac{m_n^* \sqrt{2m_n^* (E - E_c)}}{\pi^2 \hbar^3} \quad E \ge E_c$$

$$g_{v}(E) = \frac{m_{p}^{*}\sqrt{2m_{p}^{*}(E_{v} - E)}}{\pi^{2}\hbar^{3}} \qquad E \leq E_{v}$$

2.5 Boltzmann approximation & E_F , n, p

Fermi function and Fermi level

Density of States

Boltzmann Approximation



Electron and Hole Concentrations

Boltzmann Approximation

$$f(E) = \frac{1}{1 + \exp(\frac{E - E_F}{kT})}$$

If
$$E - E_F > 3kT$$
, $f(E) \cong e^{-(E - E_F)/kT}$

E_C • E

because of $exp[(E-E_F)/(kT)] >> 1$

E.,

If
$$E_F - E > 3kT$$
, $f(E) \cong 1 - e^{(E - E_F)/kT}$

E_{C_____}

E_____

Probability that a **state** is **empty**:

$$1 - f(E) \cong e^{(E - E_F)/kT} = e^{-(E_F - E)/kT}$$

Probability that a state is occupied by a hole

2.5 Boltzmann approximation & E_F , n, p

Fermi function and Fermi level

Density of States

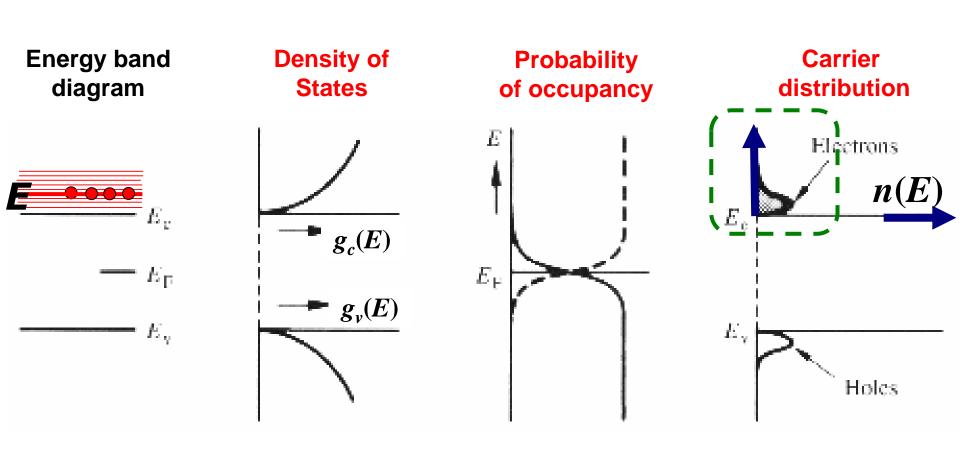
Boltzmann Approximation



Electron and Hole Concentrations

Equilibrium Distribution of Electrons

• Obtain n(E) by multiplying $g_c(E)$ and f(E)



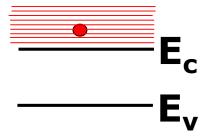
Equilibrium Electron Concentrations

Integrate n(E) over all the energies in the conduction band to obtain n:

on one conduction band to obtain
$$n$$
:
$$n = \int_{E_c}^{\text{topof conductionband}} g_c(E) f(E) dE$$

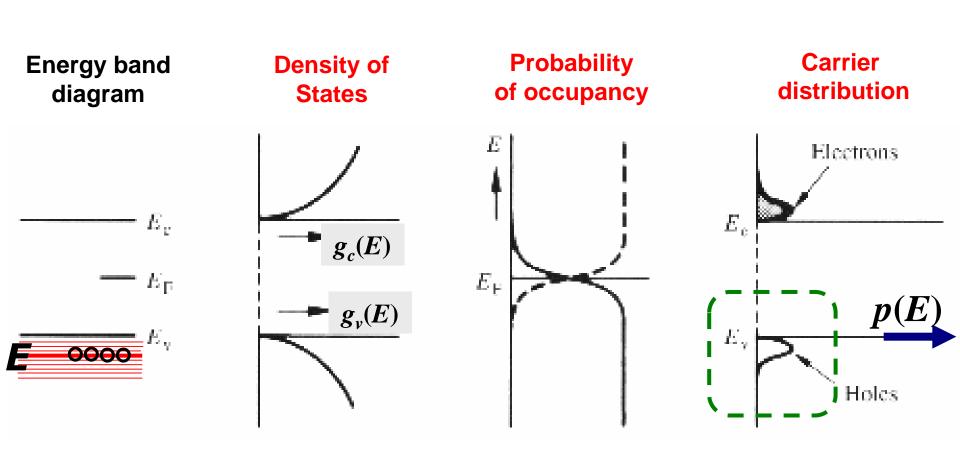
 By using the Boltzmann approximation, and extending the integration limit to ∞, we obtain

$$n = N_c e^{-(E_c - E_F)/kT}$$
 where $N_c = 2 \left(\frac{2\pi m_n^* kT}{h^2}\right)^{3/2}$



Equilibrium Distribution of Holes

• Obtain p(E) by multiplying $g_v(E)$ and 1-f(E)



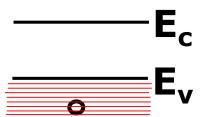
Equilibrium Hole Concentrations

Integrate p(E) over all the energies in the

valence band to obtain
$$p$$
:
$$p = \int_{-\infty}^{E_{\nu}} g_{\nu}(E) [1 - f(E)] dE$$
of valenceband

By using the Boltzmann approximation, and extending the integration limit to -∞, we obtain

$$p = N_{v}e^{-(E_{F}-E_{v})/kT}$$
 where $N_{v} = 2\left(\frac{2\pi m_{p}^{*}kT}{h^{2}}\right)^{3/2}$



Intrinsic Carrier Concentration

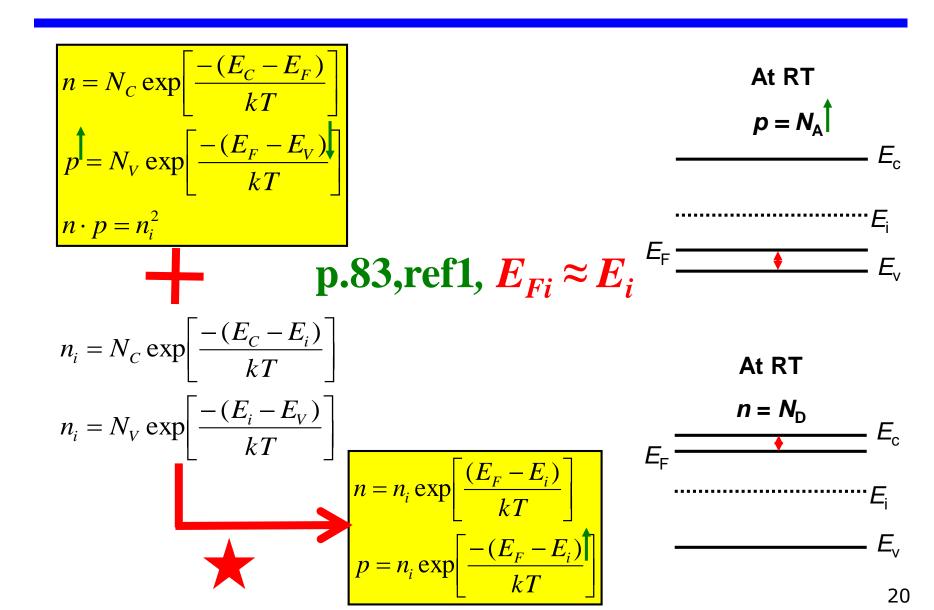
$$np = \left(N_c e^{-(E_c - E_F)/kT}\right) \left(N_v e^{-(E_F - E_v)/kT}\right)$$

$$= N_c N_v e^{-(E_c - E_v)/kT} = N_c N_v e^{-E_g/kT}$$

$$= n_i^2 \quad \text{Law of Mass Action}$$

$$n_i = \sqrt{N_c N_v} e^{-E_g/2kT}$$

Electron and hole concentrations

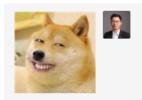


HW3: Energy-band diagram

Question: Where is E_F for $n = 10^{17}$ cm⁻³?

Semiconductor Fundamentals – (III)

- 2.5 Boltzmann approximation & E_F, n, p
- 2.6 Carrier drift and diffusion



2.6 Carrier drift and diffusion

Carrier scattering



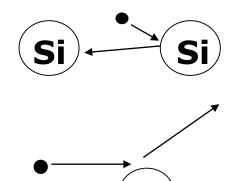
- Carrier drift:
 - Carrier mobility
 - Conductivity & Resistivity
 - Energy band model

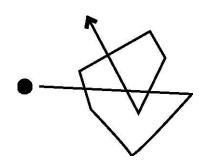
Carrier diffusion

Reading: Chapter 2.6

Thermal Motion

- In thermal equilibrium, carriers are not sitting still:
 - undergo collisions with vibrating Si atoms (Brownian motion)
 - electrostatically interact with charged dopants and with each other
- Characteristic time constant of thermal motion
 - mean free time between collisions:
 - $\succ au_c \equiv \text{collision time [s]}$
 - In between collisions, carriers acquire high velocity: v_{th} ≡ thermal velocity [cm/s]
 - ...but get nowhere! (on average)
- Characteristic length of thermal motion:
 - $\lambda \equiv$ mean free path [cm], $\lambda = v_{th} \tau_{c}$





Carrier Scattering

- random motion 5
- Mobile electrons and atoms in the Si lattice are always in random thermal motion.
 - Average velocity of thermal motion for electrons in Si:
 ~10⁷ cm/s @ 300K
 - Electrons make frequent "collisions" with the vibrating atoms
 - "Lattice Scattering" or "Phonon Scattering"



- deflection by ionized impurity atoms
- deflection due to <u>Coulombic</u> force between carriers
- The average current in any direction is zero, if no electric field is applied.

Effective Mass

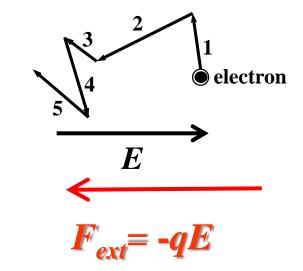
- Under an externally applied force, F_{ext}, the movement of electrons (or holes) is influenced by the positively charged protons and by negatively charged electron in the lattice. So, the movement in the crystal is different from that in vacuum.
- The total force F_{total}

$$F_{total} = F_{ext} + F_{int} = ma$$

where a is the acceleration, F_{int} is the internal force. We can write

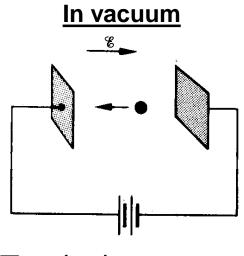
$$F_{ext} = m^* a$$

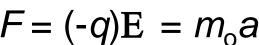
where m^* is called **effective mass**.



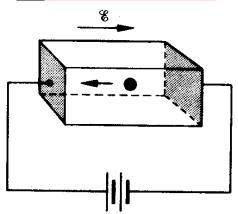
Notation: m_n^* for electrons, m_p^* for holes,

Electrons as Moving Particles





In semiconductor



$$F = (-q)E = m_0 a$$
 $F_{\text{ext}} = (-q)E = m_n *a$

where m_n^* is the electron effective mass.

If τ_{cn} is electron mean free time between collisions,

$$|a| = dv/dt \approx v_e/\tau_{cn}$$

 $|a| = qE/m_n^*$

$$\Rightarrow v_e = \frac{q \tau_{cn} E}{m_n^*}, \quad v_h = \frac{q \tau_{cp} E}{m_p^*}$$

average drift velocity

2.6 Carrier drift and diffusion

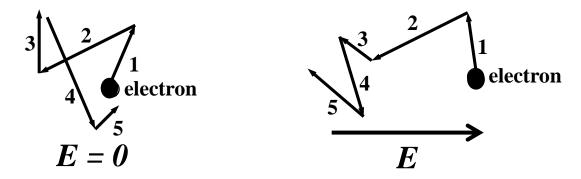
Carrier scattering

- Carrier drift:
 - Carrier mobility
 - Conductivity & Resistivity
 - Energy band model
- Carrier diffusion



Carrier Drift

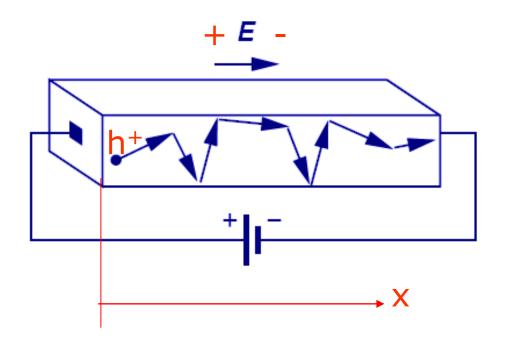
When an electric field (e.g., due to an externally applied voltage) is applied to a semiconductor, mobile charge-carriers will be accelerated by the electrostatic force. This force superimposes on the random motion of electrons:



- Electrons drift in the direction opposite to the E-field
 - → Current flows
- ❖ Because of scattering, electrons in a semiconductor do not achieve constant acceleration. However, they can be viewed as classical particles moving at a constant average drift velocity.

Carrier Drift

- The process in which charged particles move because of an electric field is called drift.
- Charged particles within a semiconductor move with an average velocity proportional to the electric field.
 - The proportionality constant is the carrier <u>mobility</u>.

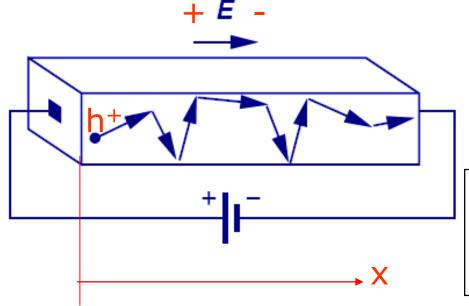


Hole velocity
$$\stackrel{\rightarrow}{v_h}=\mu_p\stackrel{\rightarrow}{E}$$
 Electron velocity $\stackrel{\rightarrow}{v_e}=-\mu_n\stackrel{\rightarrow}{E}$

Carrier Drift

$$v_e = \frac{q \tau_{cn} E}{m_n^*}, \quad v_h = \frac{q \tau_{cp} E}{m_p^*} \implies$$

$$\mu_n = \frac{q \, au_{cn}}{m_n^*}, \quad \mu_p = \frac{q \, au_{cp}}{m_p^*}$$



Hole velocity
$$\stackrel{
ightarrow}{v_{_h}}=\stackrel{
ightarrow}{\mu_{_p}}\stackrel{
ightarrow}{E}$$

Electron velocity
$$\stackrel{\rightarrow}{v_e} = -\mu_{\scriptscriptstyle n}\stackrel{\rightarrow}{E}$$

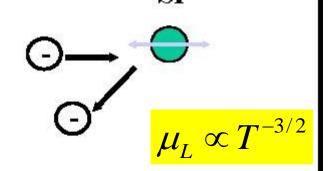
Notation:

 $\mu_p \equiv \text{hole mobility (cm}^2/\text{V}\cdot\text{s})$

 $\mu_n \equiv \text{electron mobility (cm}^2/\text{V}\cdot\text{s})$

$\frac{1/\mu = 1/\mu_L + 1/\mu_I}{Carrier Mobility}$

- Mobile carriers are always in random thermal motion. If no electric field is applied, the average current in any direction is zero.
 - Mobility is reduced by
 - 1) collisions with the vibrating atoms "phonon scattering"



2) deflection by ionized impurity atoms "Coulombic



 $\mu_I \propto T^{+3/2} / N_I$

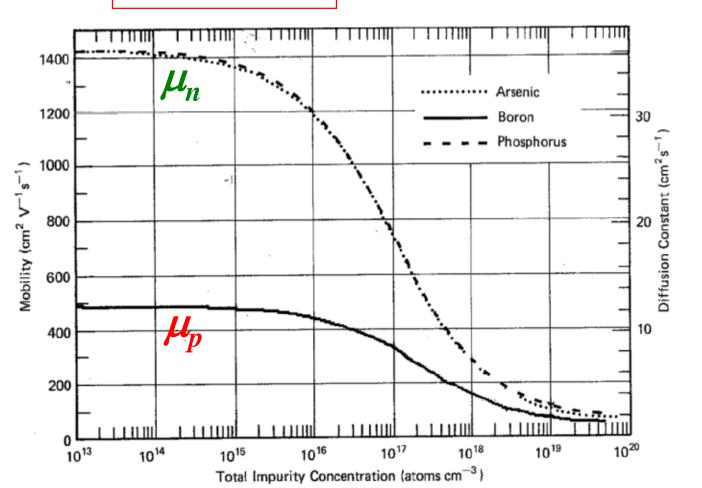


Drift Velocity and Carrier Mobility

Mobile charge-carrier drift velocity is proportional to applied *E*-field:

$$/v/=\mu E$$

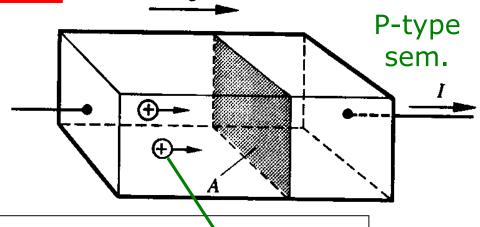
 μ is the **mobility** (Units: cm²/V•s)



Note: Carrier mobility depends on total dopant concentration $(N_D + N_A)$!

Drift Current

- Drift current is proportional to the carrier velocity and carrier concentration:
- **1)** *p*---hole density
- **2)** $q = 1.6 \times 10^{-19} C$
- --- One electron charge
- 3) Charges passing through 'A' per second
- --- The definition of current.



 $v_h t A = volume from which all holes cross plane in time t$

 $p v_h tA = # of holes$ crossing plane in time t

 $q p v_h t A =$ charge crossing plane in time t

 $q p v_h A =$ charge crossing plane per unit time = hole current

 \rightarrow Hole current per unit area (i.e. current density) $J_{p,drift} = q p v_h$

Electrical Conductivity

Negatively charged electron Direction of electron drift

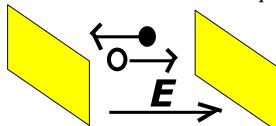
When an electric field is applied, cyrrent/flows due to drift of mobile electrons and holes:

electron current density:

$$J_n = (-q)nv_e = qn\mu_n E$$

hole current density:

$$J_p = (+q)pv_h = qp\mu_p E$$



total current density:
$$J = J_n + J_p = (qn\mu_n + qp\mu_p)E$$

$$J = \sigma E$$



tonductivity

$$\sigma \equiv qn\mu_n + qp\mu_p$$

Units: $(\Omega \cdot cm)^{-1}$

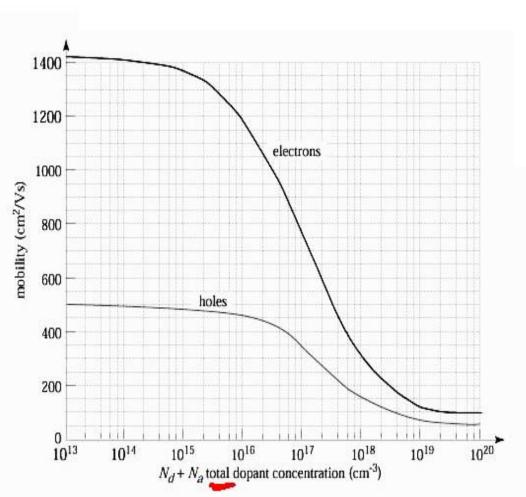
Electrical Resistivity ρ

$$\rho \equiv \frac{1}{\sigma} = \frac{1}{qn\mu_n + qp\mu_p}$$

$$\rho \cong \frac{1}{qn\mu_n}$$
 for n-type material

$$\rho \cong \frac{1}{qp\mu_p}$$
 for p-type material (Units: ohm•cm)

HW4



Estimate the resistivity of a Si sample doped with phosphorus to a concentration of 10¹⁵ cm⁻³ and boron to a concentration of 10¹⁷ cm⁻³.



The electron mobility and hole mobility are 700 cm²/Vs and 350 cm²/Vs, respectively. (Why??)

Example 1

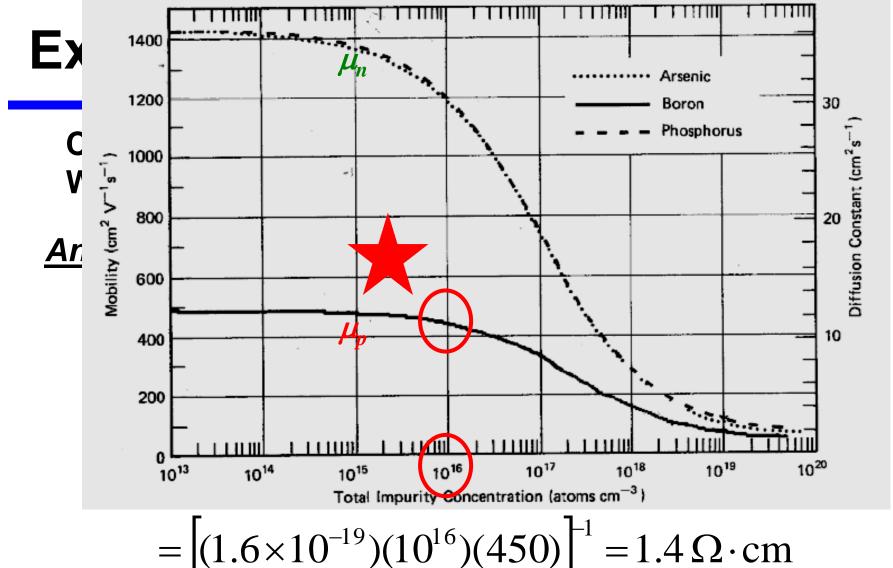
Consider a Si sample doped with 10¹⁶/cm³ Boron. What is its resistivity?

Answer:

$$N_A = 10^{16}/\text{cm}^3$$
, $N_D = 0$ $(N_A >> N_D \rightarrow \text{p-type})$
 $\rightarrow p \approx 10^{16}/\text{cm}^3$ and $n \approx 10^4/\text{cm}^3$

$$\rho = \frac{1}{qn\mu_n + qp\mu_p} \cong \frac{1}{qp\mu_p}$$

$$= \left[(1.6 \times 10^{-19})(10^{16})(450) \right]^{-1} = 1.4 \,\Omega \cdot \text{cm}$$
From μ vs. $(N_A + N_D)$ plot



=
$$\left[(1.6 \times 10^{-19})(10^{16})(450) \right]^{-1} = 1.4 \,\Omega \cdot \text{cm}$$

From μ vs. $(N_A + N_D)$ plot

Example 2

Consider the same Si sample, doped *additionally* with 10¹⁷/cm³ Arsenic. What is its resistivity?

Answer:

$$N_A = 10^{16} \text{/cm}^3$$
, $N_D = 10^{17} \text{/cm}^3$ $(N_D >> N_A \rightarrow \text{n-type})$

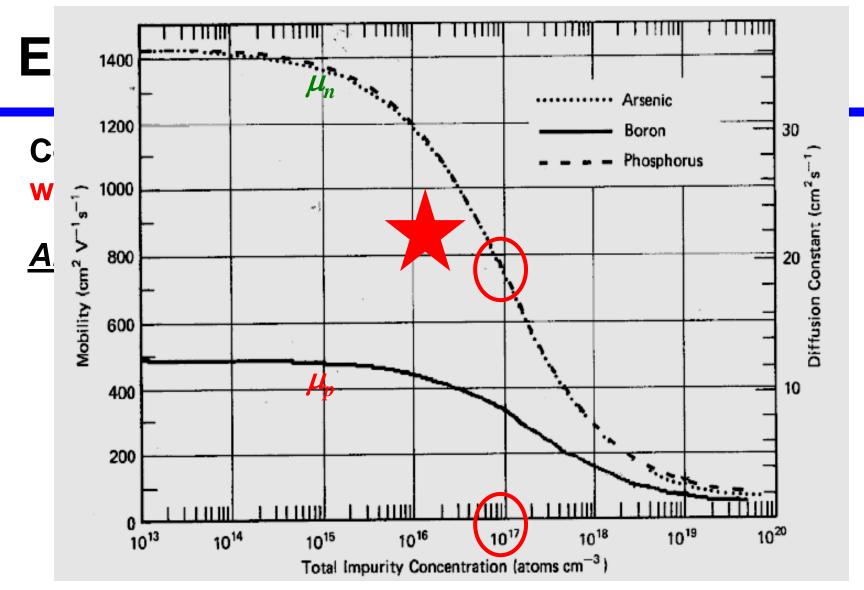
 $\rightarrow n \approx 9 \times 10^{16} / \text{cm}^3$ and $p \approx 1.1 \times 10^3 / \text{cm}^3$

$$\rho = \frac{1}{qn\mu_n + qp\mu_p} \cong \frac{1}{qn\mu_n}$$

=
$$\left[(1.6 \times 10^{-19})(9 \times 10^{16})(700) \right]^{-1} = 0.10 \,\Omega \cdot \text{cm}$$

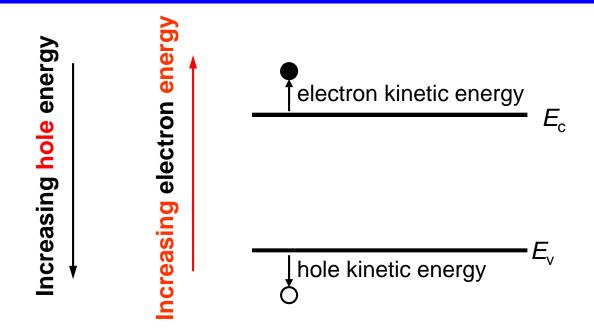
The sample is converted to n-type material by adding more donors than acceptors, and is said to be "compensated".

From μ vs. $(N_A + N_D)$ plot



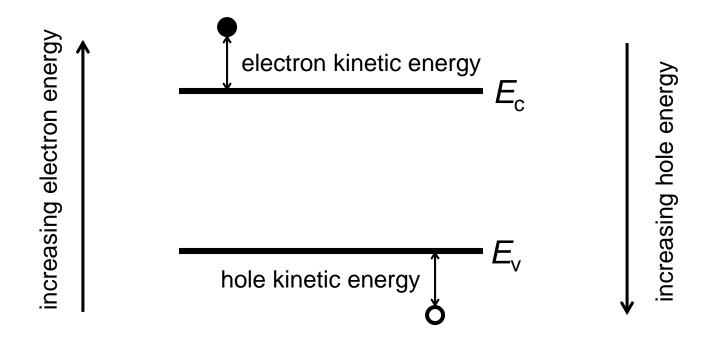
The sample is converted to n-type material by adding more donors than acceptors, and is said to be "compensated".

Electrons and Holes (Band Model)



- Electrons and holes tend to seek lowestenergy positions
 - Electrons tend to fall
 - Holes tend to float up (like bubbles in water)

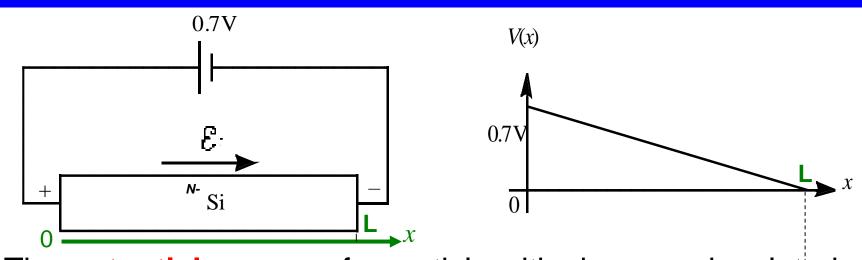
Potential vs. Kinetic Energy



E_{c} represents the electron potential energy:

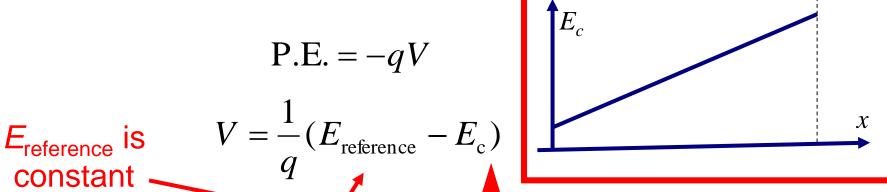
$$P.E. = E_c - E_{reference}$$

Electrostatic Potential, V



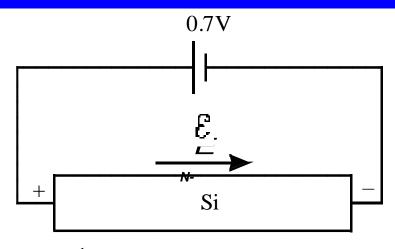
• The potential energy of a particle with charge -q is related

to the electrostatic **potential** V(x):

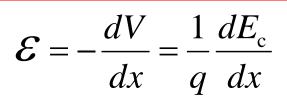


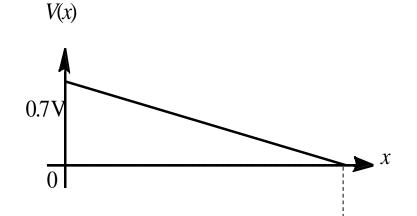
Electric Field, ε

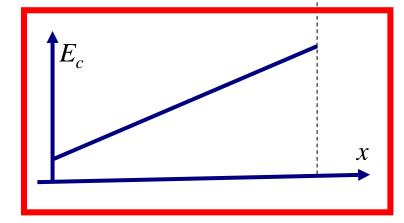
$$\mathcal{E} = -\frac{dV}{dx}$$



$$V = \frac{1}{q} (E_{\text{reference}} - E_{\text{c}})$$

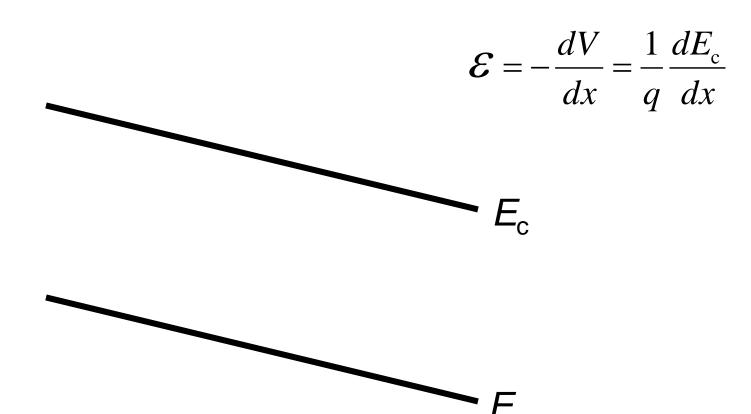






Variation of E_c with position is called "band bending."

HW 5: Carrier Drift (Band Diagram Visualization)



Q1: what is the direction of electric field?

Q2: what is the direction of carriers' drift?

2.6 Carrier drift and diffusion

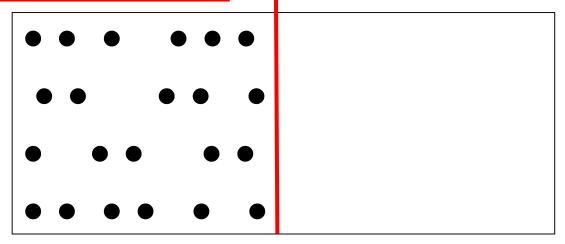
Carrier scattering

- Carrier drift:
 - Carrier mobility
 - Conductivity & Resistivity
 - Energy band model
- Carrier diffusion



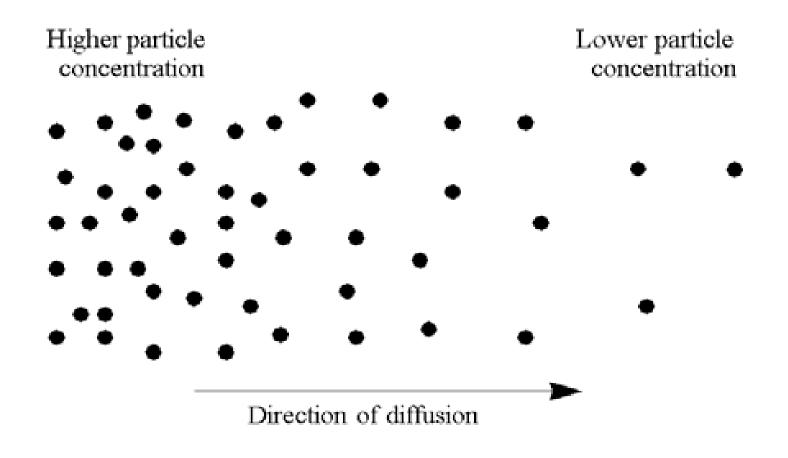
Diffusion

- Diffusion occurs when there exists a concentration gradient
- In the figure below, imagine that we fill the left chamber with a gas at temperate T
- If we suddenly remove the divider, what happens?
- The gas will fill the entire volume of the new chamber.
- How does this occur?



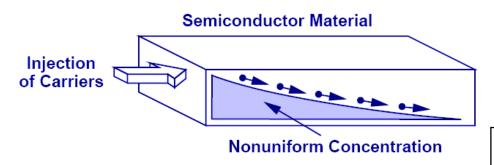
Diffusion

 Particles diffuse from higher concentration to lower concentration locations.



Carrier Diffusion

- Due to thermally induced random motion, mobile particles tend to move from a region of high concentration to a region of low concentration.
 - Analogy: ink droplet in water
- Current flow due to mobile charge diffusion is proportional to the <u>carrier concentration gradient</u>.
 - The proportionality constant is the diffusion constant.



$$J_p = -qD_p \frac{dp}{dx}$$

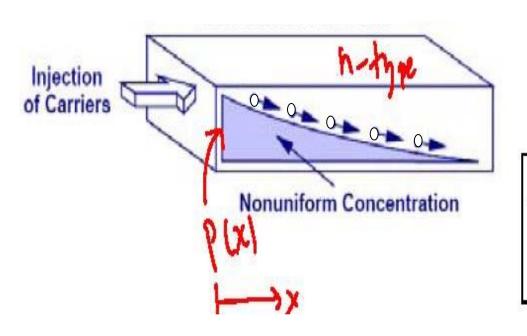
Notation:

 $D_p \equiv \text{hole diffusion constant (cm}^2/\text{s})$

 $D_n \equiv \text{electron diffusion constant (cm}^2/\text{s})$

Carrier Diffusion

- Current flow due to mobile charge diffusion is proportional to the <u>carrier concentration gradient</u>.
 - The proportionality constant is the diffusion constant.



$$J_p = -qD_p \frac{dp}{dx}$$

Notation:

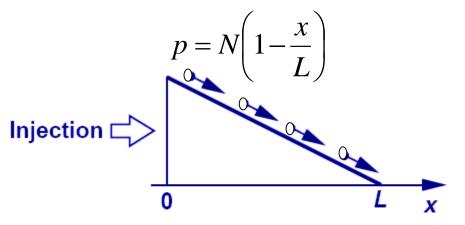
 $D_p = \text{hole diffusion constant (cm}^2/\text{s})$

 $D_n = \text{electron diffusion constant (cm}^2/\text{s})$

Diffusion Examples

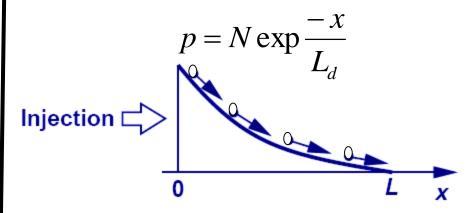
Linear concentration profile Non-linear concentration profile

→ constant diffusion current



$$J_{p,diff} = -qD_{p} \frac{dp}{dx}$$
$$= qD_{p} \frac{N}{L}$$

→ varying diffusion current



$$J_{p,diff} = -qD_{p} \frac{dp}{dx}$$
$$= \frac{qD_{p}N}{L_{d}} \exp \frac{-x}{L_{d}}$$

Total Diffusion Current

Due to the non-uniform distribution of carriers

$$J_n = qD_n \frac{dn}{dx}$$

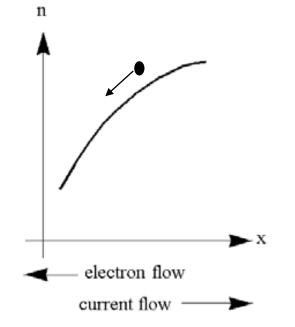
- D_n --- electron diffusion constant
- Driving force: thermal energy, not electric field
- dn/dx--- density gradient
- Total diffusion current

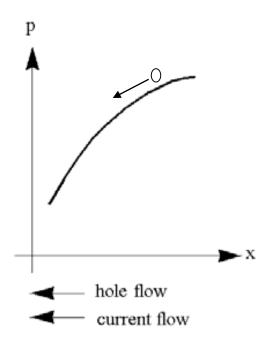
$$\rightarrow$$
 $J = J_n + J_p$

Total Diffusion Current

 Diffusion current within a semiconductor consists of hole and electron components:

$$\begin{split} \boldsymbol{J}_{p,diff} &= -qD_p \, \frac{dp}{dx} \qquad \boldsymbol{J}_{n,diff} = qD_n \, \frac{dn}{dx} \\ \boldsymbol{J}_{tot,diff} &= q(D_n \, \frac{dn}{dx} - D_p \, \frac{dp}{dx}) \end{split}$$





Total current

 The total current flowing in a semiconductor is the sum of drift current and diffusion current:

$$\left|\boldsymbol{J}_{tot} = \boldsymbol{J}_{p,drift} + \boldsymbol{J}_{n,drift} + \boldsymbol{J}_{p,diff} + \boldsymbol{J}_{n,diff}\right|$$

$$J_{p,drift} = qp\mu_{p}E, \qquad J_{n,drift} = qn\mu_{n}E$$

$$J_{p,diff} = -qD_{p}\frac{dp}{dx}, \quad J_{n,diff} = qD_{n}\frac{dn}{dx}$$

Einstein Relation



 The characteristic constants for drift and diffusion are related:

$$\frac{D}{\mu} = \frac{kT}{q} = 26 \text{ mV}$$
at $T = 300 \text{ K}$

- Note that $\frac{kT}{q} \cong 26 \, \mathrm{mV}$ at room temperature (300K)
 - > This is often referred to as the "thermal voltage".

Important Constants

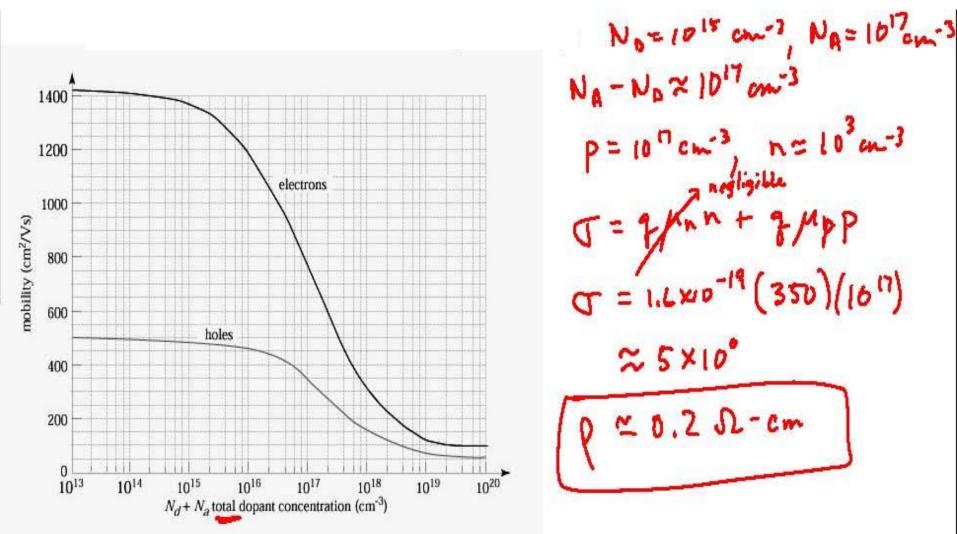
- Electronic charge, $q = 1.6 \times 10^{-19}$ C
- Permittivity of free space, $\varepsilon_0 = 8.854 \times 10^{-14}$ F/cm
- Boltzmann constant, $k = 8.62 \times 10^{-5}$ eV/K
- Planck constant, h = 4.14×10⁻¹⁵ eV•s
- Free electron mass, $m_o = 9.1 \times 10^{-31}$ kg
- Thermal voltage kT/q = 26 mV, at T=300K

HW3: Energy-band diagram

Question: Where is E_F for $n = 10^{17}$ cm⁻³?

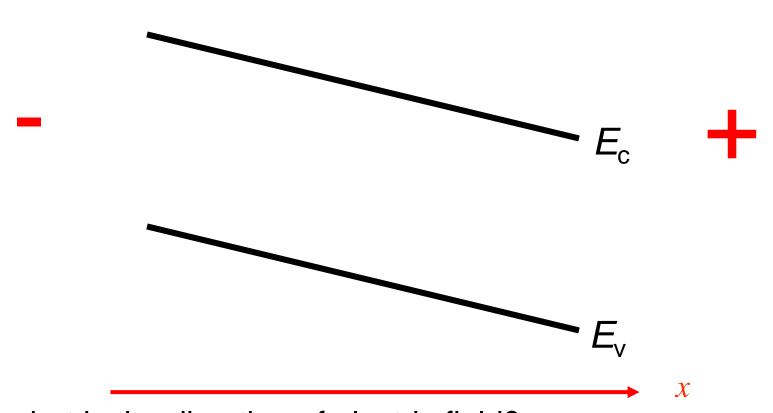
$$n = n_i \exp\left[\frac{(E_F - E_i)}{kT}\right]$$

HW4



The electron mobility and hole mobility are 700 cm²/Vs and 350 cm²/Vs, respectively.

HW5: Carrier Drift (Band Diagram Visualization)



Q1: what is the direction of electric field?

Q2: what is the direction of carriers' drift?