### Lecture 19 – Phase transformations

PREVIOUSLY: In Lect. 11 we discussed the Gibbs free energy,  $G(T,p) \equiv U + pV - TS = H - TS$ , which is useful for situations maintained at constant T and p (e.g. chemical reactions).

We also found a relationship between G and the chemical potential  $\mu$ :

$$G = \sum_{i=1}^{n} N_{i} \mu_{i}(T, p)$$
 and  $dG = -SdT + Vdp + \sum_{i=1}^{n} \mu_{i} dN_{i}$ 

**TODAY: Phase transformations** 

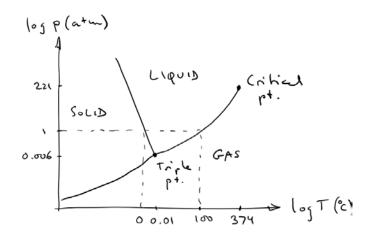
Phase – a macroscopic region of a system in which physical properties are homogeneous

Ex: phases of matter: gas vs. liquid vs. solid superfluid/superconducting vs. normal phase ferromagnetic vs. paramagnetic phase crystallographic phases in solids (e.g. ice I, II, ...) alloy phases (e.g. Fe + C, steel) ...

Different phases will be favored depending on certain external parameters (e.g. for gas/liquid/solid – T and p; for ferromagnet/paramagnet – B field and T; for two-component alloy – T and % of component of alloy)

KEY CONCEPT: Phase diagram – plot of phases of system vs. parameters they depend on

Ex: phase diagram of H<sub>2</sub>O and terminology



<u>Coexistence curves</u> – boundaries where 2 phases coexist, i.e. at equilibrium

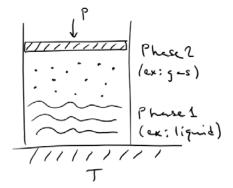
<u>Triple point</u> – condition where all 3 phases coexist, i.e. at equilibrium

<u>Critical point</u> – condition beyond which gas and liquid phases are indistinguishable (i.e. density of gas and liquid are the same)

KEY CONCEPT: Phase coexistence curve and the Clausius-Clapeyron equation

Let's look at behavior along a phase boundary, where two phases 1 & 2 are in equilibrium. For gas/liquid/solid phases, p and T are the parameters so G = G(T,p) is the appropriate thermodynamic potential and G is minimized.

Phase boundary is equivalent to:

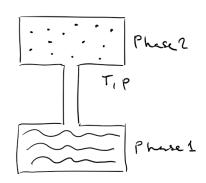


$$G = \sum_{i=1}^{2} N_i \mu_i = \underbrace{N_1 \mu_1}_{G_1} + \underbrace{N_2 \mu_2}_{G_2} \text{ with } N_1 + N_2 = N_{tot} \text{ fixed}$$

At constant *p* and *T* equilibrium is given by:

$$dG = 0 = -S dT + V d\rho + \sum_{i=1}^{n} \mu_{i} dN_{i}$$
$$= \mu_{1} dN_{1} + \mu_{2} \underbrace{dN_{2}}_{-dN_{1}} = (\mu_{1} - \mu_{2}) dN_{1}$$

Therefore,  $\mu_1(T,p) = \mu_2(T,p)$  along the coexistence curve.



Makes sense. Along phase boundary, phase 1 and 2 are in:

thermal equilibrium  $T_1 = T_2$ mechanical equilibrium  $p_1 = p_2$ diffusive equilibrium  $\mu_1 = \mu_2$ 

i.e. gas molecules can join liquid phase and vice versa

Note: we can also think about this using chemical equilibrium. A phase transformation is similar to a chemical reaction between two species:

$$phase_1 \rightleftharpoons phase_2$$

or, written as an equation

$$-1 \cdot phase_1 + 1 \cdot phase_2 = 0$$

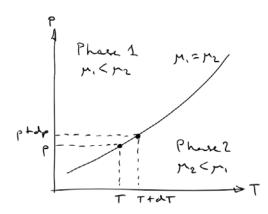
which gives the same equilibrium condition:

$$-\mu_1 + \mu_2 = 0$$

Note that on the coexistence curve

$$G = G_1 + G_2 = N_1 \mu_1 + N_2 \mu_2 = (N_1 + N_2) \mu_1 = N_{tot} \mu_1$$

G is <u>independent</u> of  $N_1$  or  $N_2$ , so <u>any amount</u> of phase 1 or 2 can coexist, so long as the total number  $N_{tot}$  of particles is fixed.



In phase 1:  $\mu_1(T,p) < \mu_2(T,p)$ (favors increasing  $N_1$  over  $N_2$ )

In phase 2:  $\mu_1(T,p) > \mu_2(T,p)$ (favors increasing  $N_1$  over  $N_2$ )

Can we relate the coexistence curve to the properties of the phases on either side?

At some p and T on the phase boundary  $\mu_1(T,p) = \mu_2(T,p)$ . Let's make a small change dT and find dp so that we <u>stay</u> on the coexistence curve:

$$\mu_{1}(T+dT,p+dp) \approx \mu_{1}(T,p) + \underbrace{\left(\frac{\partial \mu_{1}}{\partial T}\right)_{p} dT + \left(\frac{\partial \mu_{1}}{\partial p}\right)_{T} dp}_{d \mu_{1}}$$

and the same for phase 2.

If we stay on the coexistence curve  $\mu_1(T+dT,p+dp)=\mu_2(T+dT,p+dp)$  and  $d\mu_1=d\mu_2$ 

### Question 1: Relate the partial derivatives of $\mu_i$ to thermodynamic properties of its phase i

We found previously that  $dG = -SdT + Vdp + \sum_{i=1}^{n} \mu_i dN_i$  so

$$-S = \left(\frac{\partial G}{\partial T}\right)_{p,N} \text{ and } V = \left(\frac{\partial G}{\partial p}\right)_{V,N}$$

Since the free energy of phase 1 is  $G_1 = N_1 \mu_1$  this means that

$$\left(\frac{\partial \mu_{1}}{\partial T}\right)_{p} = \frac{1}{N_{1}} \left(\frac{\partial G_{1}}{\partial T}\right)_{p,N_{1}} = -\frac{S_{1}}{N_{1}} \equiv -s_{1}$$

$$\left(\frac{\partial \mu_1}{\partial p}\right)_T = \frac{1}{N_1} \left(\frac{\partial G_1}{\partial p}\right)_{T,N_1} = \frac{V_1}{N_1} \equiv V_1$$

and similarly for phase 2. Here  $s_1$  is the "entropy per particle", and  $v_1$  is the "volume per particle" (or the inverse of the number density) for phase 1.

So,  $d\mu_1 = -s_1 dT + v_1 dp = -s_2 dT + v_2 dp = d\mu_2$  and

$$\left. \frac{dp}{dT} \right|_{\text{coex. line}} = \frac{s_2 - s_1}{v_2 - v_1} = \frac{\Delta s}{\Delta v}$$

which is called the Clausius-Clapeyron equation

This equation tells us that the slope of the coexistence curve at some point p, T is related to the change in entropy  $\Delta s$  of the system when one molecule is transferred from phase 1 to 2 (or vice versa) and the change in its volume  $\Delta v$  when one molecule is transferred from phase 1 to 2 (or vice versa).

Ex 1: H<sub>2</sub>O phase diagram

At the <u>liquid-gas</u> boundary:

$$\left. \frac{dp}{dT} \right|_{\ell \leftrightarrow g} = \frac{s_g - s_\ell}{v_g - v_\ell} > 0$$

Makes sense:  $v_g \gg v_\ell$  (the density of a gas is much less than that of a liquid), and  $s_g > s_\ell$  (a gas molecule has many more accessible states than a liquid molecule).

## Question 2: What does the coexistence curve for solid and liquid H<sub>2</sub>O say about their entropies and volumes per molecule?

The solid-liquid phase boundary for H<sub>2</sub>O has a negative slope:

$$\left. \frac{dp}{dT} \right|_{s \leftrightarrow \ell} = \frac{s_{\ell} - s_{s}}{v_{\ell} - v_{s}} < 0$$

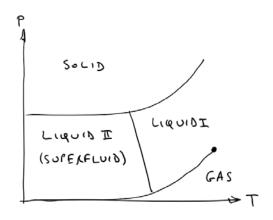
 $s_{\ell} > s_s$  (ice is more ordered than liquid water, so its entropy must be less), but  $v_{\ell} < v_s$  (ice is actually less dense than liquid water! Remember that ice floats)

(Note: water is unusual in that it expands upon freezing. For most materials  $v_{\ell} > v_{s}$  and

$$\left. \frac{dp}{dT} \right|_{s \leftrightarrow \ell} > 0$$
)

At the <u>critical point</u> the phases are indistinguishable so  $\Delta s = 0$  and  $\Delta v = 0$ 

$$\frac{dp}{dT}\Big|_{\text{crit. pt.}}$$
 is singular and coexistence line ends



Ex 2: superfluid <sup>4</sup>He

The phase boundary between solid and superfluid  $^4$ He is almost horizontal, so  $s_{\text{ril}} \approx s_{\text{s}}$ 

In most materials, the solid is more ordered so  $s_{\ell} > s_s$ , but the superfluid phase is a Bose-Einstein condensate (roughly) which is a low entropy state.

KEY CONCEPT: First and second order phase transitions

For some phase transitions,  $\Delta s = 0$  identically. There are two classes of phase transitions:

First order*	Second order (now called "continuous")
S (V) change discontinuously across transition	S (V) change continuously across transition
Ex: gas/liquid/solid transitions except at	Ex: ferromagnet/paramagnet transitions,
critical point	transitions at critical point

(\* "First order" because S, V are first derivatives of G)

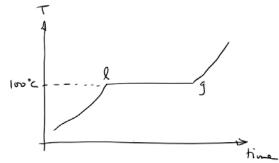
#### **KEY CONCEPT: Latent heat**

For a first order phase transition, crossing phase boundary requires a change in the entropy  $\Delta s$ . At constant T, this corresponds to a <u>latent heat</u>  $L \equiv Q = T\Delta s$ . (There is no latent heat for a second order phase transition because  $\Delta s = 0$ ).

Ex: for liquid-to-gas transition, L is the heat that must be <u>added</u> to transfer one molecule reversibly from liquid to gas phase.

When you bring water to a boil, just below 100°C heat is put into  $H_2O$  not to change T, but solely to change its phase

Conversely, for gas-to-liquid transition *L* is released.



On the coexistence line  $\mu_1 = \mu_2$  so

$$\frac{G_1}{N_1} = \frac{H_1 - TS_1}{N_1} = h_1 - TS_1 = h_2 - TS_2 = \frac{H_2 - TS_2}{N_2} = \frac{G_2}{N_2}$$

(where lowercase letters mean "per molecule")

$$h_2 - h_1 = T(s_2 - s_1) = T\Delta s = L$$

So the latent heat is equal to the enthalpy difference per molecule between phases.

Usually we can get this from heat capacity at constant pressure (recall Lect. 9):

$$C_{p} = \left(\frac{dQ}{dT}\right)_{p} = T\left(\frac{\partial S}{\partial T}\right)_{p} = \left(\frac{\partial U}{\partial T}\right)_{p} + p\left(\frac{\partial V}{\partial T}\right)_{p} = \left(\frac{\partial}{\partial T}(U + pV)\right)_{p} = \left(\frac{\partial H}{\partial T}\right)_{p}$$

So, 
$$H = \int C_p(T)dT$$
 and  $\Delta H = \int (C_{p2} - C_{p1})dT$ 

We can rewrite the Clausius-Clapeyron equation in terms of the latent heat

$$\left. \frac{dp}{dT} \right|_{\text{coex. line}} = \frac{L}{T\Delta v}$$

**KEY CONCEPT: Vapor-pressure equation** 

Consider the liquid/gas transition:  $v_q \gg v_\ell$ , so  $\Delta v \approx v_q$ 

Let's also assume that the gas is ideal and that L is constant, independent of T.

# Question 3: Using the ideal gas law and Clausius-Clapeyron equation, derive an expression for the liquid-gas coexistence line p(T)

From the ideal gas law  $v_g = \frac{V}{N} = \frac{1}{n} = \frac{k_B T}{p}$ , therefore

$$\left. \frac{dp}{dT} \right|_{\ell \leftrightarrow g} = \frac{L}{T \nu_g} = \frac{L}{k_B T^2} p$$

Integrating both sides:

$$\int \frac{dp}{p} = \int dT \frac{L}{k_{\rm B} T^2}$$

which has solution  $\ln p = -\frac{L}{k_B T} + const.$  or:

$$p(T) = p_0 e^{-L/k_B T}$$

This is called the vapor pressure equation

For water, this simple model works well for >8 orders of magnitude!

