


## Dispersion

A while ago, we discussed a simple toy "harmonic binding" model for a frequency-dependent dielectric constant. Let's examine in more detail the physics of dispersion, generalizing this model slightly.

Previous model:

incident wave   $\vec{E} = E_0 e^{-i\omega t}$

$$m \left( \ddot{\vec{x}} + \gamma \dot{\vec{x}} + \omega_0^2 \vec{x} \right) = -e \vec{E}(t)$$

Annotations for the equation:

- $m$ : electron mass
- $\ddot{\vec{x}}$ : electron acceleration
- $\gamma \dot{\vec{x}}$ : damping constant
- $\omega_0^2 \vec{x}$ : natural frequency, harmonic appx
- $\vec{E}(t)$ : assume vibration amplitude is small so that  $E \approx$  spatially constant.

heavy fixed nucleus

- assume  $M = m_0$
- neglect magnetic forces (nonrelativistic appx)
- assume  $\vec{E}(t) = \vec{E}_\omega e^{-i\omega t}$ . Then  $\vec{x}(t) = \vec{x}_\omega e^{-i\omega t}$

Then the contribution of this electron to the dipole moment

13

$$\vec{p}_\omega = -e \vec{x}_\omega = \frac{e^2}{m} (\omega_0^2 - \omega^2 - i\omega\gamma)^{-1} \vec{E}_\omega$$

If there are  $N$  atoms/unit volume with  $Z$   $e^-$ /atom,  
 and there are  $f_i$   $e^-$ /atom with binding/natural frequency  $\omega_i$  and damping constant  $\gamma_i$ , then

$$\epsilon(\omega) = \epsilon_0(1 + \chi_e) = \epsilon_0 + \frac{\vec{P}_{\text{tot}}}{\vec{E}_{\text{net}}}$$

and making the appx  $\vec{E}_{\text{net}} \approx \vec{E}_{\text{applied}}$  for simplicity  
 (this can be corrected if needed, but fine for dilute systems)

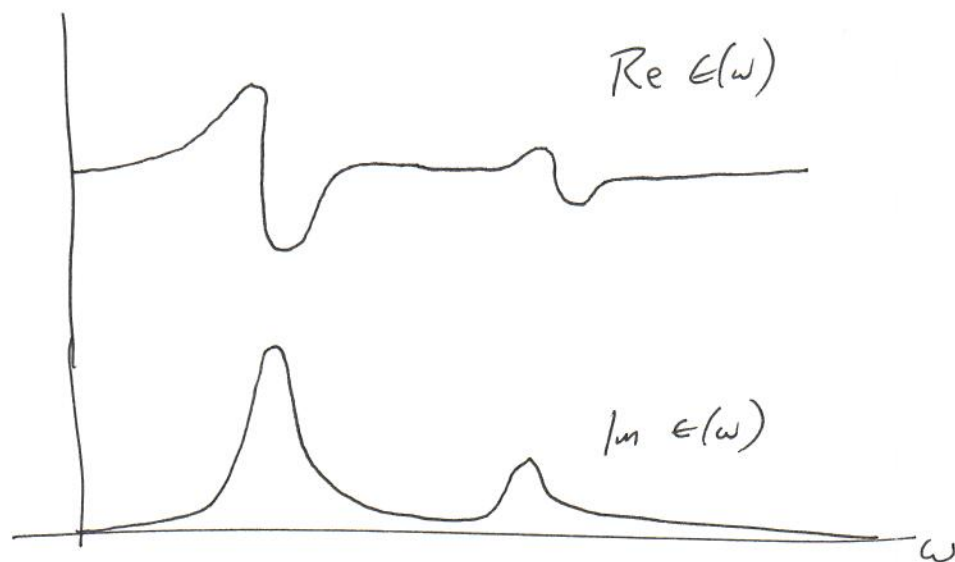
we find

$$\frac{\epsilon(\omega)}{\epsilon_0} = 1 + \frac{\vec{P}_{\text{tot}, \omega}}{\vec{E}_\omega \epsilon_0} = 1 + \frac{N e^2}{\epsilon_0 m} \sum_i f_i (\omega_i^2 - \omega^2 - i\omega \gamma_i)^{-1}$$

$$\text{with } \sum_i f_i = Z$$

Neglect  $\gamma_i$  for a moment. Then  $\frac{1}{\omega_i^2 - \omega^2} < 0$  for  $\omega > \omega_i$  and  $\frac{1}{\omega_i^2 - \omega^2} > 0$  for  $\omega < \omega_i$ . Therefore, at low frequencies, below all  $\omega_i$  (if there is a gap, so that the smallest  $\omega_i$  is  $> 0$ ), we have  $\epsilon(\omega)/\epsilon_0 > 1$ .

As  $\omega$  increases, we accrue more and more negative terms until eventually  $\epsilon(\omega)/\epsilon_0 < 1$ .



- Near resonances,  $\text{Re } \epsilon$  crosses zero and  $\text{Im } \epsilon$  spikes.
- "Normal dispersion":  $\text{Re}(\epsilon)$  increases with  $\omega$
- "Anomalous dispersion":  $\text{Re}(\epsilon)$  decreases with  $\omega$  — happens near resonances.

### Dispersion Relation for EM waves

$$\omega = \bar{c}(\omega)k = \frac{1}{\sqrt{\mu_0 \epsilon(\omega)}} k \quad \text{or} \quad k = \omega \sqrt{\mu_0 \epsilon(\omega)} \quad \left( \begin{array}{l} \text{this is why} \\ \text{we are talking} \\ \text{about } \epsilon(\omega)! \end{array} \right)$$

$$\begin{aligned} \text{let } k &= \beta + i\alpha/2. \quad \text{Then } \frac{1}{2}(k^2 + k^{*2}) = \beta^2 - \alpha^2/4 \\ &= \frac{1}{2}(\omega^2 \mu_0)(\epsilon + \epsilon^*) \\ &= \frac{\omega^2}{c^2} \text{Re}(\epsilon/\epsilon_0) \\ \text{and } \frac{1}{2}(k^2 - k^{*2}) &= \alpha\beta = \frac{\omega^2}{c^2} \text{Im}(\epsilon/\epsilon_0) \end{aligned}$$

$$\text{for small } \alpha, \quad \alpha \approx \frac{\text{Im } \epsilon}{\text{Re } \epsilon} \times \beta$$

$$\text{and } \beta \approx \sqrt{\text{Re } \epsilon / \epsilon_0} \, \omega / c$$

In general,  $\alpha = \frac{\omega}{c} \sqrt{2} \sqrt{|\epsilon| - \text{Re } \epsilon}$  is nonzero either if  $\text{Im } \epsilon \neq 0$  ~ absorption  
or  $\text{Re } \epsilon < 0$ .  
2  
reflection

So the wave behaves as

$$e^{i(kz - \omega t)} = e^{i(\beta z - \omega t)} e^{-\alpha z/2}$$

for small  $\alpha$   $\left\{ \begin{array}{l} \text{with phase velocity } \omega/\beta \approx \frac{c}{\sqrt{\text{Re } \epsilon/\epsilon_0}} \\ \text{and attenuation scale } z/\alpha \approx \frac{2 \text{Re } \epsilon}{\beta \text{Im } \epsilon} \end{array} \right.$   $\leftarrow$  frequency dependence is what is sometimes referred to as dispersion

If there are free electrons, we can model them as a term with vanishing resonant frequency,  $\omega_i \rightarrow 0$ .

Then  $\epsilon(\omega)$  is singular as  $\omega \rightarrow 0$ .

$$\epsilon(\omega) = \underbrace{\epsilon_b(\omega)}_{\substack{\uparrow \\ \text{contribution} \\ \text{of all} \\ \text{bound } e^-}} + i \underbrace{\frac{N e^2 f_0}{m \omega (\gamma_0 - i\omega)}}_{\substack{\leftarrow \text{free } e^-/\text{atom} \\ \equiv \epsilon_{\text{free}}}}$$

The Ampere-Maxwell equation for a material with  $\epsilon = \epsilon_b$  is

$$\vec{\nabla} \times \vec{B}_\omega = -i\omega \mu_0 \epsilon_b \vec{E}_\omega + \mu_0 \vec{J}_\omega$$

If we use Ohm's law,  $\vec{J}_\omega = \sigma_\omega \vec{E}_\omega$ , then

$$\vec{\nabla} \times \vec{B}_\omega = -i\mu_0 \omega \left( \epsilon_b + \frac{i\sigma_\omega}{\omega} \right) \vec{E}_\omega$$

We can identify the conductivity term  $\frac{i\sigma_\omega}{\omega}$  with  $\epsilon_{\text{free}}$  and

$$\sigma = \frac{f_0 N e^2}{m(\gamma_0 - i\omega)}$$

In other words, we can model the dispersive

properties of the material as either a complex dielectric constant  $\epsilon$ , or a complex dielectric constant  $\epsilon_b$  + a freq-dependent conductivity.

- Drude model -



## High frequencies

for  $\omega \gg \max(\omega_i)$ ,  $\epsilon(\omega)/\epsilon_0 \approx 1 - \omega_p^2/\omega^2$

where  $\omega_p^2 = NZe^2/\epsilon_0 m = \text{"plasma frequency."}$

(at high frequencies, all  $e^-$  respond like they're free, as in a plasma.)

Then  $\omega = ck = \frac{k}{\sqrt{\mu_0 \epsilon_0 (\epsilon/\epsilon_0)}} = \frac{ck}{\sqrt{1 - \omega_p^2/\omega^2}}$

or  $\omega^2 = \omega_p^2 + c^2 k^2$  massive relativistic dispersion relation.

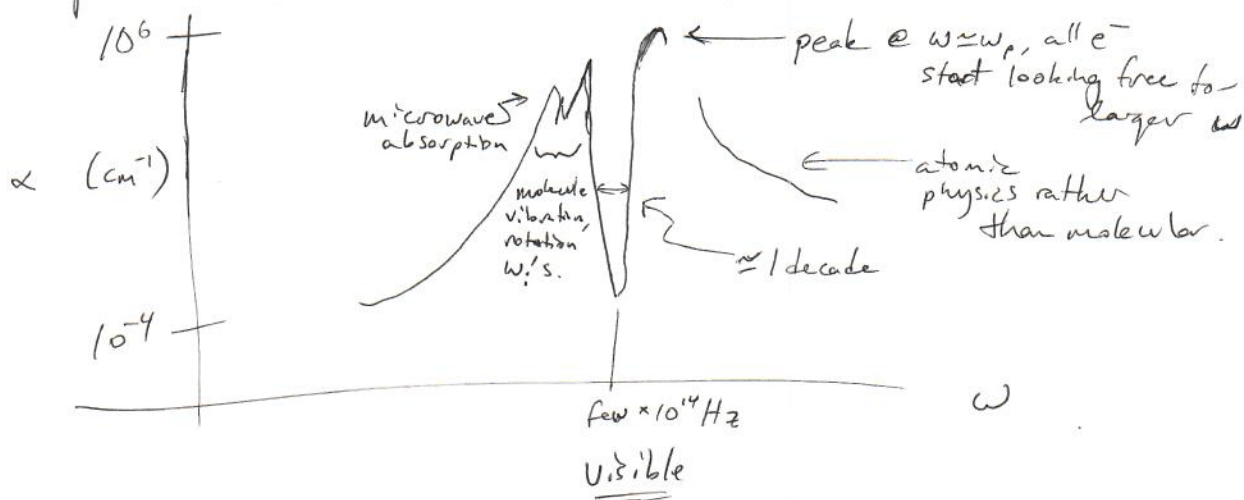
- Only holds in ordinary dielectrics for  $\omega \gg \omega_p$ . ( $\omega_i \sim \alpha^2 m_e \sim 10^{16} \text{ Hz} \gg \omega_p$ )
- In actual plasmas, all  $e^-$  are free and this relation holds even for  $\omega < \omega_p$ . If  $\omega < \omega_p$ ,  $k$  is imaginary ( $\epsilon < 0$ ) and the wave attenuates. Typical attenuation length  $\sim \frac{c}{2\omega_p}$   
"fields expelled by plasma!" For  $NZ \sim 10^{18-22} \text{ e}^-/\text{m}^3$ ,  
 $\omega_p \sim 10^{11-13} \text{ Hz}$ ,  $c/2\omega_p \sim 10 \mu\text{m} - 1 \text{ mm}$ .

- For metals, there is a population of free  $e^-$ . For  $\omega \gg \gamma_0$ ,  
 $\epsilon(\omega) \approx \epsilon_b(\omega) - \frac{\omega_p^2}{\omega^2} \epsilon_0$

For  $\omega < \omega_p$ ,  $\epsilon(\omega)$  is again  $< 0$  and it behaves a lot like a plasma, expelling fields. ("Reflection")

For larger frequencies such that  $\epsilon(\omega) > 0$ ,  $k$  is real and waves can propagate "ultraviolet transparency" of metals. Determined by the plasma frequency.

There is a beautiful plot in Jackson fig 7.9 of the absorption coeff  $\alpha(\omega)$  in water over 20 decades in  $\omega$ .



## Ionosphere

Now let's look at propagation in a plasma with a background  $B$  field. Assume uniform density electronic plasma, no collisions, small amplitude  $e^-$  motion, and a strong  $B$  (static)

$$m\ddot{\vec{x}} - e\vec{B}_0 \times \dot{\vec{x}} = -e\vec{E}e^{-i\omega t}$$

Take incident waves to be transverse & circularly polarized,  $\vec{E} = (\vec{E}_1 \pm i\vec{E}_2)E$ .

$\vec{E}_1$   $\vec{E}_2$   
basis vecs of linear polarization.  $\vec{E}_2$  90° out of phase w/  $\vec{E}_1 \Rightarrow$  circ. pol.

let  $\vec{B}$  be // to direction of propagation, for simplicity.

Then we can take  $\vec{x} = (\hat{E}_1 \pm i\hat{E}_2)(x)(e^{-i\omega t})$

$$\begin{aligned} (\vec{B}_0 \hat{E}_3) \times (-i\omega \times (\hat{E}_1 \pm i\hat{E}_2)) &= -i\omega \times \vec{B}_0 (\hat{E}_2 \mp i\hat{E}_1) \\ &= \mp \omega \times \vec{B}_0 (\hat{E}_1 \pm i\hat{E}_2) \end{aligned}$$

So we get

$$-m\omega^2 x \pm eB_0 \omega x = -eE$$

$$\Rightarrow x = \frac{eE}{m\omega(\omega \mp \omega_B)}, \quad \omega_B \equiv \frac{eB_0}{m}$$

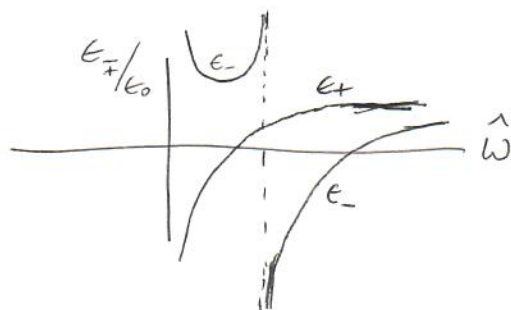
This amplitude of oscillation produces a frequency and helicity - dependent dipole moment and thus dielectric constant,

$$\frac{\epsilon_{\mp}}{\epsilon_0} = 1 - \frac{\omega_p^2}{\omega(\omega \mp \omega_B)} \quad \omega_p^2 \leftarrow NZe^2/\epsilon_0 m$$

For the Earth's ionosphere,  $\omega_p/\omega_B \sim 1$  (Both  $\sim 10^7$  Hz)

Let  $\hat{\omega} \equiv \omega/\omega_B$  and  $\omega_p/\omega_B = 1$ . Then

$$\frac{\epsilon_{\mp}}{\epsilon_0} = 1 - \frac{1}{\hat{\omega}(\hat{\omega} \mp 1)}$$



$$\epsilon_{\mp} = 0 \Leftrightarrow \hat{\omega} = \frac{1}{2} \left( \pm 1 + \sqrt{1 + 4 \frac{\omega_p^2}{\omega_B^2}} \right)$$

There are frequency bands where  $\epsilon_- > 0$  and  $\epsilon_+ > 0$   
or  $\epsilon_+ > 0$  and  $\epsilon_- < 0$

Here the "negative  $\epsilon$ " polarization does not propagate!  
signals reflect off the ionosphere! Sensitive to  $\#e^-/m^3$  via  $\omega_p$

