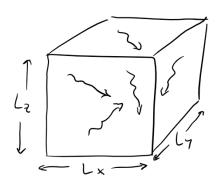
## **Lecture 13 – Photon gas (blackbody radiation)**



PREVIOUSLY: we analyzed statistical mechanics of Einstein solid & ideal gas using quantum mechanics

TODAY: Statistical mechanics of a thermal photon gas

Consider a cavity of volume  $V = L_x L_y L_z$  at temperature T that contains electromagnetic radiation due to vibrations of atoms in cavity (e.g. an oven, a star)

Review of electromagnetic (EM) waves:

Classically, EM waves are solutions to wave equation  $\nabla^2 \mathbf{E} = \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2}$  from Maxwell's equations.

The electric field of an EM wave has the form

$$\mathbf{E} = \mathbf{E}_0 e^{i\mathbf{k}\cdot\mathbf{r} - i\omega t}$$

The wavevector  ${\bf k}$  gives the EM waves propagation direction and wavelength ( $k=2\pi/\lambda$ ) and  $\omega$  is the oscillation angular frequency. EM wave can have two transverse polarizations  $\perp$  to  ${\bf k}$  given by  ${\bf E}$ . The wave equation gives the following relation between  ${\bf k}$  and  $\omega$ :

$$\left|\mathbf{k}\right|^2 = k^2 = \frac{\omega^2}{c^2}$$

In a cavity, only certain wavenumbers k are allowed. Each allowed EM wave is called a mode.

Quantum mechanics shows that an EM wave of frequency  $\omega$  has energy quantized according to

$$\varepsilon_{s} = \hbar\omega \left(s + \frac{1}{2}\right)$$

where  $s = 0,1,2\cdots$  is the number of quanta, or <u>photons</u>, in that oscillation. The zero-point energy  $\frac{1}{2}\hbar\omega$  is conventionally dropped.

The partition function for one EM wave (or mode) is:

$$Z = \sum_{s=0}^{\infty} e^{-\beta \varepsilon_s} = \sum_{s=0}^{\infty} e^{-\beta \hbar \omega s} = \frac{1}{1 - e^{-\beta \hbar \omega}}$$

using 
$$\sum_{s=0}^{\infty} x^s = \frac{1}{1-x}$$
, true when  $|x| < 1$ .

As we showed before from the Einstein model, the average energy *U* is:

$$U = \langle \varepsilon \rangle = -\frac{\partial}{\partial \beta} \ln Z = +\frac{\partial}{\partial \beta} \ln \left( 1 - e^{-\beta \hbar \omega} \right)$$
$$= \frac{\hbar \omega e^{-\beta \hbar \omega}}{1 - e^{-\beta \hbar \omega}} = \frac{\hbar \omega}{e^{\beta \hbar \omega} - 1} = \hbar \omega \langle s \rangle$$

omitting the  $\frac{1}{2}\hbar\omega$ .  $\langle s \rangle = \frac{1}{e^{\beta\hbar\omega} - 1}$  is the average number of photons in an EM mode at temperature T.

At high temperatures  $\hbar\omega \ll k_{\rm\scriptscriptstyle B}T$ ,  $\langle s \rangle \approx k_{\rm\scriptscriptstyle B}T/\hbar\omega$ , and  $U \approx k_{\rm\scriptscriptstyle B}T$ , as expected from equipartition.

This is for a <u>single</u> EM mode of frequency  $\omega$ . For  $U_{tot}$  we need to sum up over <u>all</u> possible  $\omega$ . Not all  $\omega$  are allowed; the cavity imposes boundary conditions, such that  $\mathbf{E}_{\parallel}|_{\text{walls}} = 0$ .

Solutions are standing waves of the form:

$$E_{x} = E_{x0}e^{-i\omega t}\cos\left(\frac{n_{x}\pi}{L_{x}}x\right)\sin\left(\frac{n_{y}\pi}{L_{y}}y\right)\sin\left(\frac{n_{z}\pi}{L_{z}}z\right)$$

$$E_{y} = E_{y0}e^{-i\omega t}\sin\left(\frac{n_{x}\pi}{L_{x}}x\right)\cos\left(\frac{n_{y}\pi}{L_{y}}y\right)\sin\left(\frac{n_{z}\pi}{L_{z}}z\right)$$

$$E_{z} = E_{z0}e^{-i\omega t}\sin\left(\frac{n_{x}\pi}{L_{x}}x\right)\sin\left(\frac{n_{y}\pi}{L_{y}}y\right)\cos\left(\frac{n_{z}\pi}{L_{z}}z\right)$$

with  $n_{x,y,z} = 1,2,3\cdots$ , i.e. standing waves with  $k_x = \frac{n_x \pi}{L_y}$ ,  $k_y = \frac{n_y \pi}{L_y}$ , and  $k_z = \frac{n_z \pi}{L_z}$ .

Each mode of oscillation is given by set of integers  $\{n_x, n_y, n_z\}$ . So,

$$\omega_n = c\sqrt{k_x^2 + k_y^2 + k_z^2} = \pi c\sqrt{\frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} + \frac{n_z^2}{L_z^2}}$$

i.e. only certain energies  $\hbar\omega_n$  are allowed (using the shorthand  $n=\{n_x,n_y,n_z\}$ ):

$$Z_{tot} = \prod_{\text{modes } n} \left( 1 - e^{-\beta \hbar \omega_n} \right)^{-1}$$

$$U_{tot} = \sum_{\text{modes } n} \frac{\hbar \omega_n}{e^{\beta \hbar \omega_n} - 1} = \sum_{\text{modes } n} \hbar \omega_n \left\langle s_n \right\rangle$$

(where 
$$\sum_{\text{modes }n} = \sum_{n_{\nu}=1}^{\infty} \sum_{n_{\nu}=1}^{\infty} \sum_{n_{\nu}=1}^{\infty}$$
 )

(Note: this is a difference with the Einstein model. In the Einstein model, we assumed all the oscillators are <u>identical</u>. Here the oscillators are all different  $\hbar\omega_n$  due to the different modes.)

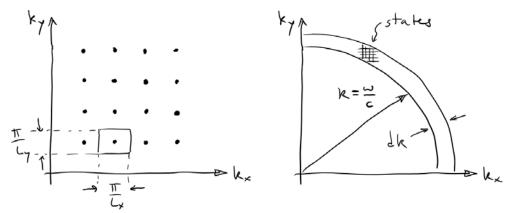
#### **KEY CONCEPT: density of states**

There is no analytic expression for this sum. We use approximation from Lect. 4, turning the sum into an integral over angular frequency  $\omega$  (basically equivalent to energy since  $\varepsilon = \hbar \omega$ ):

$$\sum_{\text{modes } n} \to \int_{0}^{\infty} d\omega \, D(\omega)$$

The sum enumerates modes. In the integral we need the number of modes that have angular frequency in the range  $\omega$  to  $\omega+d\omega$ . This is related to the <u>density of states</u>  $D(\omega)$ , which we first encountered in Lect. 4

Each mode can be represented as a point on a lattice in k-space



Each mode occupies  $\frac{\pi}{L_x} \frac{\pi}{L_y} \frac{\pi}{L_z} = \frac{\pi^3}{V}$  volume in *k*-space\*

States of constant  $\omega$  lie on a spherical shell in k-space with radius  $k = \omega / c$ 

#### Question 1: Write down an expression for the density of states of the photon gas $D(\omega)$

# of modes with frequency between 
$$\omega$$
 and  $\omega + d\omega$   $\approx$   $\frac{\text{volume of shell in } k\text{-space with radius } k = \omega / c}{\text{volume in } k\text{-space per state}}$ 

$$D(\omega)d\omega \approx 2\frac{4\pi k^2 dk/8}{\pi^3/V} = \frac{V}{\pi^2}k^2 dk = \frac{V}{\pi^2}\frac{\omega^2 d\omega}{c^3}$$

\*We also need to account for 2 polarization states of each mode

Note that this is equivalent to writing:

$$2 \times \sum_{n_x=1}^{\infty} \sum_{n_y=1}^{\infty} \sum_{n_z=1}^{\infty} \rightarrow 2 \times \int_{0}^{\infty} dn_x \int_{0}^{\infty} dn_y \int_{0}^{\infty} dn_z = 2 \frac{L_x L_y L_z}{\pi^3} \int_{0}^{\infty} dk_x \int_{0}^{\infty} dk_y \int_{0}^{\infty} dk_z = 2 \frac{V}{\pi^3} \frac{4\pi}{8} \int_{0}^{\infty} dk k^2$$

Note: we could have used periodic boundary conditions for the cavity instead, in which the solutions would have been traveling waves with

$$k_x = \frac{n_x \pi}{L_x}$$
,  $k_y = \frac{n_y \pi}{L_y}$ , and  $k_z = \frac{n_z \pi}{L_z}$  and  $n_{x,y,z} = 0, \pm 1, \pm 2 \cdots$ 

In this case, each mode takes a volume in k-space of  $(2\pi)^3/V$ , but we would have to integrate over a complete shell (instead of 1/8 of a shell) because  $k_{x,y,z}$  can now be negative. We get the same answer – factor of 2<sup>3</sup> for volume per mode cancels with 8× larger shell volume.

KEY CONCEPTS: Planck radiation law, blackbody Putting it all together,

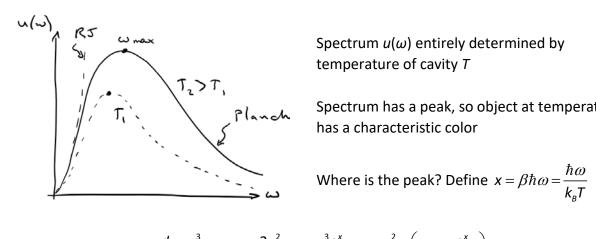
$$U_{\text{tot}} = \sum_{n} \frac{\hbar \omega_{n}}{e^{\beta \hbar \omega_{n}} - 1} \approx \int_{0}^{\infty} d\omega \, D(\omega) \frac{\hbar \omega}{e^{\beta \hbar \omega} - 1} = \int_{0}^{\infty} d\omega \frac{V}{\pi^{2}} \frac{\omega^{2}}{c^{3}} \frac{\hbar \omega}{e^{\beta \hbar \omega} - 1}$$

Considering the energy density u = U/V instead

$$u_{tot} = \int_{0}^{\infty} d\omega \frac{\hbar \omega^{3}}{\underline{\pi^{2} c^{3}}} \frac{1}{e^{\beta \hbar \omega} - 1}$$

$$u(\omega)$$

 $u(\omega)$  is the energy density in a frequency band  $\omega$ ,  $\omega + d\omega$  – the <u>Planck radiation law</u>.



Spectrum  $u(\omega)$  entirely determined by

Spectrum has a peak, so object at temperature T

$$\frac{d}{dx}\frac{x^3}{e^x - 1} = 0 = \frac{3x^2}{e^x - 1} - \frac{x^3e^x}{(e^x - 1)^2} = \frac{x^2}{e^x - 1} \left(3 - \frac{xe^x}{e^x - 1}\right)$$

Gives trivial solutions x = 0,  $\infty$ , and a nontrivial solution:

$$3 = \frac{xe^x}{e^x - 1}$$
 or  $3(1 - e^{-x}) = x$ 

which is solved numerically by  $x_{max} = 2.82$ , so

$$\hbar\omega_{\text{max}} = 2.82k_{\text{B}}T$$

also called Wien's displacement law

<u>Blackbody</u> – idealized object that absorbs all EM radiation (at all  $\omega$  or  $\lambda$ ). In thermal equilibrium at temperature T, a blackbody emits radiation according to the Planck radiation law  $u(\omega)$ .

Ex: Cosmic microwave background (CMB)

Early universe was filled with hot ionized gas interacting strongly with EM radiation – photon gas at thermal equilibrium at  $T \approx 3000$  K ( $\lambda_{peak} \sim \mu m$ ). Universe expanded (can think of this as isentropic expansion) and photon  $\lambda$  was stretched or Doppler-shifted ~1000×. Today, remnant looks like blackbody at T = 2.73 K ( $\lambda_{peak} \sim mm$ ), although photon gas is no longer at equilibrium.

CMB is as close to an ideal blackbody as you can find in nature. Most objects (e.g. celestial bodies) show deviations from  $u(\omega)$ , but Planck radiation law is a good first approximation.

How does  $u(\omega)$  behave in regime  $\hbar\omega \ll k_B T$ ?

$$u(\omega) = \frac{\hbar\omega^3}{\pi^2c^3} \frac{1}{e^{\beta\hbar\omega} - 1} \approx \frac{\hbar\omega^3}{\pi^2c^3} \frac{k_BT}{\hbar\omega} = \omega^2 \frac{k_BT}{\pi^2c^3}$$

This is called the <u>Raleigh-Jeans law</u>. This is a classical result (notice  $\hbar$  disappeared from expression). Without QM, predicts  $u(\omega) \propto \omega^2$  (see curve above)

Notice that Raleigh-Jeans law would predict that

$$u_{tot} = \int_{0}^{\infty} d\omega \, u(\omega) \to \infty$$

This was called the <u>ultraviolet catastrophe</u>. RJ model predicted that if we'd open an oven, high energy EM radiation (ultraviolet, X-rays, etc.) would come out!

The failure of the classical model / equipartition theorem lead to idea of photon and QM.

KEY CONCEPT: Stefan-Boltzmann law What is  $u_{tot}$  with correct  $u(\omega)$ ?

### Question 2: Show that the correct $u_{tot}$ scales as $T^{\gamma}$ and find the value of $\gamma$ .

The correct expression for the total energy is

$$u_{tot} = \int_{0}^{\infty} d\omega \frac{\hbar \omega^{3}}{\pi^{2} c^{3}} \frac{1}{e^{\beta \hbar \omega} - 1}$$

Define 
$$x = \beta \hbar \omega = \frac{\hbar \omega}{k_e T}$$
, so  $dx = \frac{\hbar}{k_e T} d\omega$ 

$$u_{\text{tot}} = \frac{\left(k_{\text{B}}T\right)^{4}}{\pi^{2}\left(\hbar c\right)^{3}} \underbrace{\int_{0}^{\infty} dx \frac{x^{3}}{e^{x} - 1}}_{\text{number}} = \alpha T^{4}$$

i.e. the area under curve is proportional to  $T^4$ . Notice the difference with an ideal gas:  $U \sim T$ .

Let's evaluate the integral in x. We will encounter many integrals of the form:

$$\int_{0}^{\infty} dx \frac{x^{n}}{e^{x} - 1} = \int_{0}^{\infty} dx \frac{x^{n} e^{-x}}{1 - e^{-x}} = \int_{0}^{\infty} dx \ x^{n} e^{-x} \sum_{k=0}^{\infty} e^{-kx} = \int_{0}^{\infty} dx \ x^{n} \sum_{k=1}^{\infty} e^{-kx}$$
$$= \sum_{k=1}^{\infty} \int_{0}^{\infty} dx \ x^{n} e^{-kx} = \sum_{k=1}^{\infty} \frac{\Gamma(n+1)}{k^{n+1}} = \Gamma(n+1) \varsigma(n+1)$$

where the Gamma function  $\Gamma(n+1) = n!$  for integers, and

$$\varsigma(n) = \sum_{k=1}^{\infty} \frac{1}{k^n} = 1 + \frac{1}{2^n} + \frac{1}{3^n} + \cdots$$

is called the Riemann zeta function. We can look this up in a table:

$$\varsigma(4) = \frac{\pi^4}{90}$$
 and  $\Gamma(4) = 3! = 6$ 

Putting it all together, we get the Stefan-Boltzmann law of radiation:

$$u_{tot} = \frac{\pi^2}{15} \frac{\left(k_B T\right)^4}{\left(\hbar c\right)^3}$$

# Question 3: Find an expression for the entropy S of the photon gas given $u_{tot} = \alpha T^4$

Hint: use the thermodynamic identity.

The cavity has a fixed volume V so  $dU = TdS - pat \sqrt{V}$ 

$$dS = \frac{dU}{T} = 4\alpha V \frac{T^{3^2} dT}{T} = 4\alpha V T^2 dT$$
$$S = \int dS = \frac{4}{3}\alpha V T^3 + \text{const.}$$

The constant of integration is zero by the third law of thermodynamics, i.e.  $S(T \rightarrow 0) = 0$ . So,

$$S(T,V) = \frac{4}{3}\alpha V T^3$$
 or  $S(U,V) = \frac{4}{3}(\alpha V)^{1/4} U^{3/4}$ 

Notice the difference in dependence compared to an ideal gas:  $S \sim \ln U$