# UMD CS Computer Graphics Distribution Ray Tracing

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# Outline

### Objective

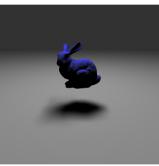
Develop a Cook-style ray tracer that provides more appropriate sampling strategies with an accelerated intersection data structure.

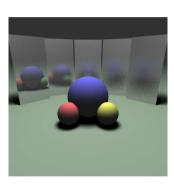
Outcomes for this section of course

- ► Instancing of renderable objects
- Spatial data structures for accelerating ray tracers
- Loading more complicated objects
- ▶ Develop better sampling mechanisms for ray tracing effects

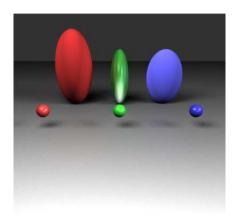
# Examples





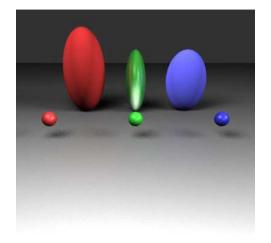


### Object instancing



- Conserve memory footprint reuse object geometry
- Create interesting scenes Manipulate and transform objects

### Focus on ellipsoid spheres



#### Another example to consider:



What is the blue dragon's local coordinate system? (*Hint: You can assume Cartesian Coordinates are as I'd draw them on the board*)

### Rotate object about X axis by -90 degrees



### Rotate object about X axis by -90 degrees



### Rotate object about X axis by -90 degrees



Translate in +Y



Translate in +Y



Translate in +Y



Translate in +Y





## How about other dragons?

- ► Perform additional transformations to create other instances of dragons
- ► Scale, translate, rotate

# Object Instancing Requirements

- ▶ New class in renderable object hierarchy *InstancedObject*
- Support for matrix transformations of object geometry

# Object Instancing Requirements

### class InstancedObject

- ▶ New class understands instancing and transformations
- ▶ Maintains a pointer to the base renderable object that was loaded only once (!!)
- Contains a matrix that describes how to transform the base object geometry
- Works seamlessly with other objects and ray tracer codebase

# Object Instancing Requirements

### class InstancedObject

- New class understands instancing and transformations
- Maintains a pointer to the base renderable object that was loaded only once (!!)
- Contains a matrix that describes how to transform the base object geometry
- Works seamlessly with other objects and ray tracer codebase
- ▶ Will need a new list of *special* objects so that the base models are only loaded once!



We will focus on 3D transformations:

- ▶ Scale  $s_x$ ,  $s_y$ ,  $s_z$  change the size of an object
- ightharpoonup Rotate-Z heta rotate about the Z axis
- ightharpoonup Rotate-Y  $\theta$  rotate about the Y axis
- ightharpoonup Rotate-X  $\theta$  rotate about the X axis

$$Scale(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{bmatrix}$$

#### Rotations

$$\mathsf{Rotate-X}(\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & cos\theta & -sin\theta \\ 0 & sin\theta & cos\theta \end{bmatrix}$$

$$\mathsf{Rotate-Y}(\theta) = \begin{bmatrix} cos\theta & 0 & sin\theta \\ 0 & 1 & 0 \\ -sin\theta & 0 & cos\theta \end{bmatrix}$$

$$\mathsf{Rotate-Z}(\theta) = \begin{bmatrix} cos\theta & -sin\theta & 0 \\ sin\theta & cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

What properties do these matrices all have?



#### Rotations

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What properties do these matrices all have?

- Rows are orthogonal to each other
- Columns are orthogonal to each other
- ▶ Represent the basis vectors of some rotation



# **Arbitrary Rotations**

General form for all rotations:

$$R_{uvw} = \left[ \begin{array}{ccc} x_u & y_u & z_u \\ x_v & y_v & z_v \\ x_w & y_w & z_w \end{array} \right]$$

These are the components of a set of orthogonal (basis) vectors!

So, with basis vectors being orthogonal,

$$\vec{n} \cdot \vec{n} = \vec{v} \cdot \vec{v} = \vec{w} \cdot \vec{w} = 1$$

$$\vec{u} \cdot \vec{v} = \vec{v} \cdot \vec{w} = \vec{w} \cdot \vec{u} = 0$$



# Arbitrary Rotation Matrices - Meaning

So, what does that mean. Start by multiplying  $\vec{u}$  by  $R_{uvw}$ . What happens?

$$R_{uvw}\vec{u} = \begin{bmatrix} x_u & y_u & z_u \\ x_v & y_v & z_v \\ x_w & y_w & z_w \end{bmatrix} \begin{bmatrix} x_u \\ y_u \\ z_u \end{bmatrix} = \begin{bmatrix} x_u x_u + y_u y_u + z_u z_u \\ x_v x_u + y_v y_u + z_v z_u \\ x_w x_u + y_w y_u + z_w z_u \end{bmatrix}$$

Well, for starters,  $R_{uvw}\vec{u}$  is really the dot product between the rows and  $\vec{u}$ :

$$R_{uvw}\vec{u} = \left[ egin{array}{c} \vec{u} \cdot \vec{u} \ \vec{v} \cdot \vec{u} \ \vec{w} \cdot \vec{u} \end{array} 
ight] = \left[ egin{array}{c} 1 \ 0 \ 0 \end{array} 
ight] = \vec{x}$$

Similarly,  $R_{uvw}\vec{v} = \vec{y}$  and  $R_{uvw}\vec{w} = \vec{z}!$ 



# Arbitrary Rotation Matrices - Meaning

#### Thus,

▶  $R_{uvw}$  takes the basis  $\vec{u}\vec{v}\vec{w}$  to the Cartesian coordinate system via a rotation operation.

How do you go back from the Cartesian coordinate system to the  $\vec{u}\vec{v}\vec{w}$  basis?

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#### Thus,

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How do you go back from the Cartesian coordinate system to the  $\vec{u}\vec{v}\vec{w}$  basis?

By the inverse of  $R_{uvw}$ 

# Arbitrary Rotations - From XYZ to UVW

To go from the Cartesian coordinate system to the uvw system, we use  $R_{uvw}^{-1}$ 

Inverse of  $R_{uvw}$  is  $R_{uvw}^T$ , or the transpose of  $R_{uvw}$ 

- ▶ If  $R_{uvw}$  is a rotation matrix with orthogonal rows, the  $R_{uvw}^T$  is a rotation matrix with orthogonal columns
- Inverse of an orthogonal matrix is always its transpose
- ▶ And,  $R_{uvw}^T$  is in fact  $R_{uvw}^{-1}$

Thus,

$$R_{uvw}^T \vec{x} = \begin{bmatrix} x_u & x_v & x_w \\ y_u & y_v & y_w \\ z_u & z_v & z_w \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} x_u \\ y_u \\ z_u \end{bmatrix}$$

Similarly,  $R_{uvw}^T \vec{y} = \vec{v}$  and  $R_{uvw}^T \vec{z} = \vec{w}!$ 



# Rotating about Arbitrary Axis

You now have enough foundation to rotate an object about an arbitrary axis not just the Cartesian axis!

- 1. You must create an orthonormal basis about the arbitrary axis (you know how to do that!)
- 2. Rotate the basis to the Cartesian coordinate system,  $R_{uvw}$
- 3. Apply your rotation about the Z-axis
- 4. Rotate back to the the original basis frame from the Cartesian coordinate system,  $R_{uvw}^{T}$

$$M = \begin{bmatrix} x_u & x_v & x_w \\ y_u & y_v & y_w \\ z_u & z_v & z_w \end{bmatrix} \begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_u & y_u & z_u \\ x_v & y_v & z_v \\ x_w & y_w & z_w \end{bmatrix}$$



## Affine Transformations and Translation

Thus far, all discussion has been with transforms that have the form:

$$x^{'} = a_{11}x + a_{12}y$$

$$y' = a_{21}x + a_{22}y$$

These transforms can only scale and rotate objects and cannot move them!

What we need is to be able to perform

$$x' = x + x_t$$

$$y^{'}=y+y_{t}$$

However, it is not possible to add that translation to a 2x2 matrix!



## Affine Transformations and Translation

To achieve what we want, we will use  $3\times3$  matrices (for 2D transformations) and represent point (x, y) as  $[xy1]^T$ .

$$\begin{bmatrix} a_{11} & a_{12} & x_t \\ a_{21} & a_{22} & y_t \\ 0 & 0 & 1 \end{bmatrix}$$

All vectors must now have a 1 in the last place!

$$\begin{bmatrix} x_t \\ y_t \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & x_t \\ a_{21} & a_{22} & y_t \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11}x + a_{12}y + x_t \\ a_{21}x + a_{22}y + y_t \\ 1 \end{bmatrix}$$

These are called affine transformations using homogeneous coordinates!



# Affine Transformations

#### Some issues:

- ► Transformations were for points!
- ▶ What about offsets, displacements, or directions?

For locations, last coordinate will be 1.

$$\left[\begin{array}{c} x \\ y \\ 1 \end{array}\right]$$

For directions, last coordinate will be 0.

$$\left[\begin{array}{c} x \\ y \\ 0 \end{array}\right]$$

## Affine Transformations in 3D

Works fine in 3D:

$$\left[egin{array}{cccc} 1 & 0 & 0 & x_t \ 0 & 1 & 0 & y_t \ 0 & 0 & 1 & z_t \ 0 & 0 & 0 & 1 \end{array}
ight] \left[egin{array}{c} x \ y \ z \ 1 \end{array}
ight] = \left[egin{array}{c} x + x_t \ y + y_t \ z + z_t \ 1 \end{array}
ight]$$

# Homogeneous Transform

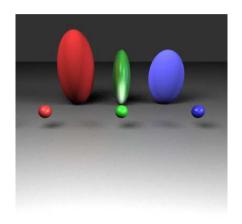
This allows us to define a 4x4 Matrix that holds a rotation and a translation (with rotation happening first):

$$\begin{bmatrix} 1 & 0 & 0 & x_t \\ 0 & 1 & 0 & y_t \\ 0 & 0 & 1 & z_t \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} a_{11} & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} & a_{23} & 0 \\ a_{31} & a_{32} & a_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & x_t \\ a_{21} & a_{22} & a_{23} & y_t \\ a_{31} & a_{32} & a_{33} & z_t \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

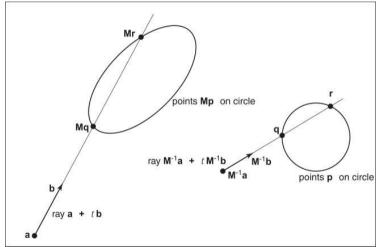
# **Instancing Overview**

#### Benefits of Instancing Objects

- Achieves object complexity by manipulating base objects
- Saves memory footprint by re-using objects as necessary
- Forces your code to deal with transformations



# **Instancing Overview**



# Instancing Algorithm

```
InstanceObject::intersect( Ray r, t_{min}, t_{max}, hitRecord rec )

Ray r' \leftarrow \mathbf{M}^{-1}r.origin + t\mathbf{M}^{-1}r.direction

if (baseObjectPtr\rightarrowintersect( r', t_{min}, t_{max}, rec )) then rec.\vec{n} \leftarrow (\mathbf{M}^{-1})^T rec.\vec{n}

return true;
else

return false;
end if
```

### Instancing

#### Take note that

- Only requires adding a new class (InstancedObject) to handle the manipulations of the Rays
- ▶ Object pointers to the actual instanced objects are maintained (and likely reused amonst several InstancedObjects)

#### Take special note that

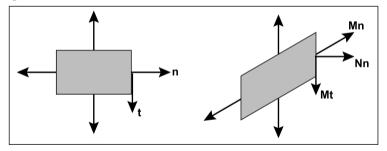
- ▶ The normal is transformed too!!
- ► Why?



▶ What happens when you transform objects in the scene?

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- ▶ Does it make sense to transform their normal vectors? What happens?

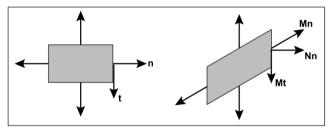
- ▶ What happens when you transform objects in the scene?
- ▶ Does it make sense to transform their normal vectors? What happens?
- ▶ Tranforming normal vectors with the transformation matrices:



► What's wrong?



We need to find a N such that normals are correctly transformed!



Need to maintain:

$$\vec{n}^T \vec{t} = 0$$

and need to find N such that

$$\vec{t}_{\mathcal{M}} = \mathbf{M}\vec{t}$$

$$\vec{n}_N = \mathbf{N}\vec{n}$$



Recall that  $\mathbf{M}^{-1}\mathbf{M} = \mathbf{I}$ , so

$$\vec{n}^T \vec{t}$$

$$= \vec{n}^T \mathbf{I} \vec{t}$$

$$= \vec{n}^T \mathbf{M}^{-1} \mathbf{M} \vec{t} = 0$$

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and now, let's try to see this as dot products:

$$(\vec{n}^T \mathbf{M}^{-1})(\mathbf{M}\vec{t}) = 0$$

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$$(\vec{n}^T \mathbf{M}^{-1})(\mathbf{M}\vec{t}) = 0$$

$$(\vec{n}^T \mathbf{M}^{-1}) \vec{t}_M = 0$$

Thus, the left part of this expression is the vector that is perpendicular to  $\vec{t}_M$ :

$$(\vec{n}^T \mathbf{M}^{-1}) \vec{t}_M = 0$$

This must be a row vector so we use the transpose to represent a column vector (our standard vectors) as a row vector:

$$\vec{n}_N^T = \vec{n}^T \mathbf{M}^{-1}$$

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thus.

and so,

$$ec{n}_{\mathcal{N}} = (ec{n}^{\mathsf{T}} \mathbf{M}^{-1})^{\mathsf{T}} \ ec{n}_{\mathcal{N}} = (\mathbf{M}^{-1})^{\mathsf{T}} ec{n}_{\mathcal{N}}$$

 $ec{n}_{\mathcal{N}} = (\mathbf{M}^{-1})^T$ 

$$N = (\mathbf{M}^{-1})^T$$

Make sure that you tranform your normal vectors appropriately, when instancing objects!

$$\mathcal{N} = (\mathbf{M}^{-1})^{\mathcal{T}}$$

## Accelerating the Ray Tracer

#### Objective

Reduce the overall complexity by focusing on being more efficient about which intersection tests to perform.

Efficiency by limiting the intersection tests

▶ What is the complexity of your ray tracers, thus far?

# Accelerating the Ray Tracer

#### Objective

Reduce the overall complexity by focusing on being more efficient about which intersection tests to perform.

Efficiency by limiting the intersection tests

- ▶ What is the complexity of your ray tracers, thus far?
- ► Focus is on complexity of a single intersection test
- $\triangleright$  O(N), in which a ray must be checked with N objects in the scene
- Essentially, linear search.



# Reducing the complexity

- ▶ Need to bring complexity down to sub-linear time
- ▶ We'll start by find a simpler intersection test to perform

#### **Bounding Boxes**

Axis-aligned box that surrounds your objects. Uses the minimum and maximum extents in the three dimensions to form the box around an object. Can be specified with two 3D vectors.

### **Bounding Boxes**

- Different than intersection tests for spheres and triangles
- ► Why?

### **Bounding Boxes**

- Different than intersection tests for spheres and triangles
- ► Why?
- With spheres and triangles (or other objects), intersection test computes where the ray hits the object (i.e.  $\vec{p}(t) = \vec{r}_o + t\vec{r}_d$
- ▶ With bounding boxes, we only need to know **IF** the ray hits the box, not where

## Bounding Box Intersection Tests

Start by examining the 2D version of the bounding box.

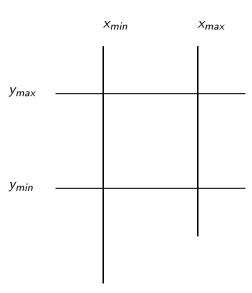
### 2D Bounding Box Definition

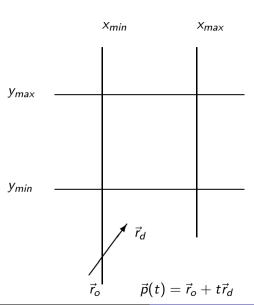
Bounding box is defined by the boundaries:

$$X_{min}, X_{max}, y_{min}, y_{max}$$

A point (x, y) is in the bounding box if

$$(x, y) \in [x_{min}, x_{max}] \times [y_{min}, y_{max}]$$





## Compute intersections of Bounding Box

Recall that our ray is defined by

$$\vec{p}(t) = \vec{r}_o + t\vec{r}_d$$

That's a vector equation, but we can also think of it in terms of the sub-components. For instance,

$$x_{min} = r_{x_o} + tr_{x_d}$$
 $x_{max} = r_{x_o} + tr_{x_d}$ 

$$y_{min} = r_{y_o} + tr_{y_d}$$

$$y_{max} = r_{y_o} + tr_{y_d}$$

## Compute intersections of Bounding Box

$$x_{min} = r_{x_o} + tr_{x_d}$$

$$x_{max} = r_{x_o} + tr_{x_d}$$

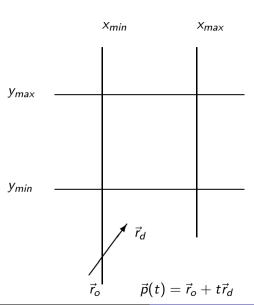
$$y_{min} = r_{y_o} + tr_{y_d}$$

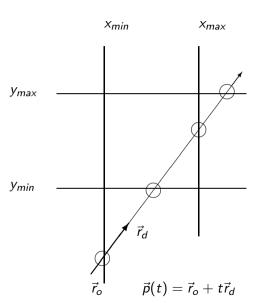
$$y_{max} = r_{y_o} + tr_{y_d}$$

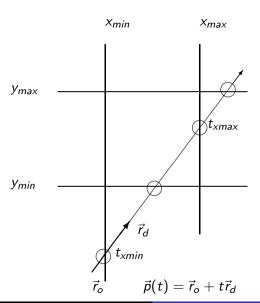
So, solve for the t values associated with each of these parameters:

$$t_{X_{min}} = \frac{X_{min} - r_{X_o}}{r_{X_d}}$$









## **Bounding Box Computation**

```
\begin{split} t_{x_{min}} &= (x_{min} - r_{o_x})/r_{d_x} \\ t_{x_{max}} &= (x_{max} - r_{o_x})/r_{d_x} \\ t_{y_{min}} &= (y_{min} - r_{o_y})/r_{d_y} \\ t_{y_{max}} &= (y_{max} - r_{o_y})/r_{d_y} \\ &\text{if } (t_{x_{min}} > t_{y_{max}}) \text{ or } (t_{y_{min}} > t_{x_{max}}) \text{ then } \\ &\text{return } \text{ false;} \\ &\text{else} \\ &\text{return } \text{ true;} \\ &\text{end if} \end{split}
```

## **Bounding Box Computation**

What happens when  $r_{d_x}$  or  $r_{d_y}$  is negative?

### **Bounding Box Computation**

What happens when  $r_{d_x}$  or  $r_{d_y}$  is negative?

- ▶ The ray is basically coming from a different side
- ▶ Take into account when calculating the t values
- ► For instance, for *x*:

$$\begin{array}{l} \textbf{if} \ r_{d_x} \geq 0 \ \textbf{then} \\ t_{x_{min}} = (x_{min} - r_{o_x})/r_{d_x} \\ t_{x_{max}} = (x_{max} - r_{o_x})/r_{d_x} \\ \textbf{else} \\ t_{x_{min}} = (x_{max} - r_{o_x})/r_{d_x} \\ t_{x_{max}} = (x_{min} - r_{o_x})/r_{d_x} \\ \textbf{end if} \end{array}$$

You'll need to adapt for Y, and Z, of course.



### Changes to the Scene Structure

To support the next assignment, we will need

- ► Transforms
- Mesh objects
- Instanced objects

The XML file will support them as follows:

#### **Transforms**

```
<transform name="xform1">
  <translate > 12  13  14 </translate >
  <rotate axis="X">90 </rotate >
  <rotate axis="Y">120 </rotate >
  <rotate axis="Z">10 </rotate >
  <scale > 2  2 </scale >
  </transform>
```