

## CS341: Operating System

# Scheduling Algorithms

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## Outline

- Scheduling Problem Specification
  - $\alpha$  (Mac Envt) |  $\beta$  (Job Envt) |  $\gamma$  (Opt Criteria)
- Algorithm for
  - $1 \mid C_{\max}, 1 \mid \sum C_i, 1 \mid \sum w_i C_i, 1 \mid \sum T_i P/Q \mid ptmn \mid C_{\max}$
  - $1 \mid \text{prec} \mid \sum w_i C_i, (\text{NPC}), P_m \mid C_{\max} (\text{NPC})$
- Algorithm for  $P_m \mid \text{tree}, p_j = 1 \mid C_{\max}$
- PTAS for  $P_m \mid C_{\max} (\text{NPC}), P_m \mid \text{prec}, p_j = 1 \mid C_{\max}$
- Others Scheduling
  - Real Time, Multiprocessor,
  - Distributed and Power Aware

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# Recap

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## Classification of Scheduling Problems

Classes of scheduling problems can be specified in terms of the three-field classification

$\alpha \mid \beta \mid \gamma$

where

- $\alpha$  specifies the **machine environment**,
- $\beta$  specifies the **job characteristics**, and
- $\gamma$  describes the **objective function(s)**.

## Machine Environment

- **1** single machine
- **P** parallel identical machines
- **Q** uniform machines
- **R** unrelated machines
  - MPM multipurpose machines, J job-shop,
  - F flow-shop O open-shop

If the number of machines is fixed to **m** we write **Pm**, **Qm**, **Rm**, **MPMm**, **Jm**, **Fm**, **Om**.

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## Example: Machine Environment

	M1	M2	M3
P1	5	5	5
P2	8	8	8
P3	6	6	6

Identical

	M1	M2	M3
P1	5	4	6
P2	9	8	4
P3	3	18	4

Unrelated

	M1	M2	M3
P1	5	5/1.5	5/2
P2	9	9/1.5	9/2
P3	9	9/1.5	9/2

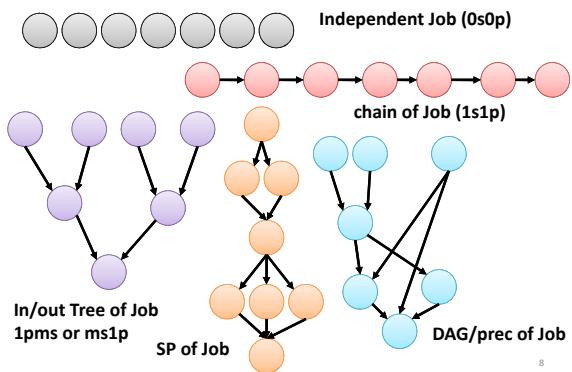
Uniform

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## Job Characteristics

- pmtn preemption
- $r_j$  release times /arrival time
- $d_j$  deadlines
- $p_j = 1$  or  $p_j = p$  or  $p_j \in \{1,2\}$   
restricted processing times

## Job Precedence Examples



## Objective Functions

- $C_{max}$  and  $L_{max}$  symbolize the bottleneck objective functions with
  - $-f_j(C_j) = C_j$  (makespan)
  - $-f_j(C_j) = C_j - d_j$  (maximum lateness)
- Common sum objective functions are:
  - $-\sum C_j$  (mean flow-time)
  - $-\sum \omega_j C_j$  (weighted flow-time)

## Objective Functions

- Number of Late Job
  - $-\sum U_j$  (number of late jobs) and  $\sum \omega_j U_j$  (weighted number of late jobs) where  $U_j = 1$  if  $C_j > d_j$  and  $U_j = 0$  otherwise.
- Tardiness
  - $-\sum T_j$  (sum of tardiness) and  $\sum \omega_j T_j$  (weighted sum of tardiness)
  - Tardiness of job  $j$  is given by  $T_j = \max \{ 0, C_j - d_j \}$ .

## $P_m || C_{max}$

- 2 || Cmax can be easily mapped to 2 set partition problem
  - Pseudo Polynomial Time algorithm
- $m \geq 3$ ,  $m=3$  mapped to 3 partition problem
  - NP Complete Problem
- Approximation Algorithms
  - Grahams List scheduling
  - Longest Task First

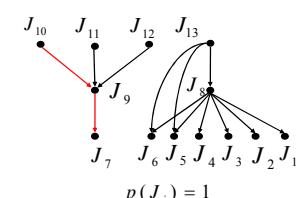
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## Precedence constraints (prec)

Before certain jobs are allowed to start processing, one or more jobs first have to be completed.

### Definition

- Successor
- Predecessor
- Immediate successor
- Immediate predecessor
- Transitive Reduction



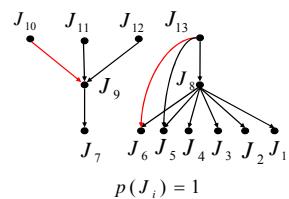
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$$p(J_i) = 1$$

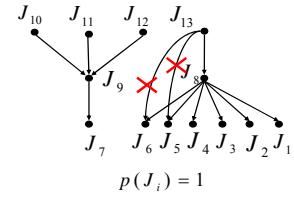
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## Precedence constraints (prec)

One or more job have to be completed before another job is allowed to start processing. *Prec : Arbitrary acyclic graph*

### Definition

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$$p(J_i) = 1$$

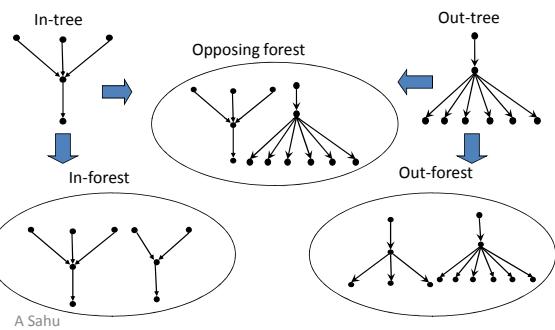
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## Special precedence constraints

- In-tree (Out-tree)
- In-forest (Out-forest)
- Opposing forest
- *Interval orders*
- *Series-parallel orders*
- *Level orders*

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## Special precedence constraints



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## Multiprocessor Scheduling

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## Approximation for: $Pm \mid prec, pi=1 \mid C_{max}$ and $Pm \mid \mid C_{max}$

- CP Algorithms: Introduction to Algorithms, Cormen Leiserson Rivest (CLR) , 3<sup>rd</sup> Ed, Page 779-783
- Algorithm Design, Eva Tardos, Page 600-605,

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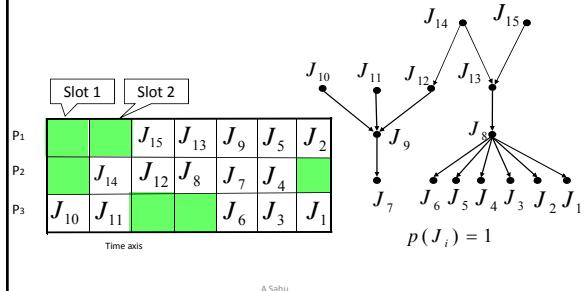
## Pm | prec, $p_j = 1$ | $C_{max}$

- Processor Environment
  - $m$  identical processors are in the system.
- Job characteristics
  - Precedence constraints : precedence graph;
  - Preemption is not allowed;
  - The release time of all the jobs is 0.
- Objective function
  - $C_{max}$  : the time the last job finishes execution.
  - If  $c_j$  denotes the finishing time of  $J_j$  in a schedule  $S$ ,
$$C_{max} = \max_{1 \leq j \leq n} c_j$$

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## Example: Gantt Chart

A Gantt chart indicates the time each job spends in execution, as well as the processor on which it executes of some Schedule



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## Pm | prec, $p_j = 1$ | $C_{max}$

### Theorem 1

Pm | prec,  $p_j = 1$  |  $C_{max}$  is NP-complete.

- Ullman (1976)  
3SAT  $\leq$  Pm | prec,  $p_j = 1$  |  $C_{max}$
- Lenstra and Rinooy Kan (1978)  
k-clique  $\leq$  Pm | prec,  $p_j = 1$  |  $C_{max}$

Corollary 1.1

The problem of determining the existence of a schedule with  $C_{max} \leq 3$  for the problem

Pm | prec,  $p_j = 1$  |  $C_{max}$  is NP-complete.

**Proof:** out of Syllabus

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## Pm | prec, $p_j = 1$ | $C_{max}$

Mayr (1985)

### Theorem 2

Pm |  $p_j = 1$ , SP |  $C_{max}$  is NP-complete.  
SP: Series - parallel

### Theorem 3

Pm |  $p_j = 1$ , OF |  $C_{max}$  is NP-complete.

OF: Opposing - forest

**Proof:** out of Syllabus

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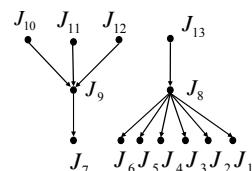
## PTAS : Pm | prec, $p_j = 1$ | $C_{max}$

- PTAS : Polynomial Time Approximation Scheme
- Approximation List scheduling policies
  - Graham's list algorithm
  - HLF algorithm
  - MSF algorithm

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## List scheduling policies

- Set up a priority list  $L$  of jobs.
- When a processor is idle, assign the first ready job to the processor and remove it from the list  $L$ .



$J_{11}$	$J_9$	$J_8$	$J_6$	$J_3$
$J_{10}$	$J_{13}$	$J_7$	$J_5$	$J_2$
$J_{12}$			$J_4$	$J_1$

$$L = (J_9, J_8, J_7, J_6, J_5, J_{11}, J_{10}, J_{12}, J_{13}, J_4, J_3, J_2, J_1)$$

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## Graham's list algorithm

- Graham first analyzed the performance of the simplest list scheduling algorithm.
- List scheduling algorithm with an arbitrary job list is called Graham's list algorithm.
- Approximation ratio for

$$P_m \mid \text{prec}, p_j = 1 \mid C_{\max}$$

$$\text{App Ratio } \delta = 2 - 1/m$$

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## $P_m \mid \mid C_{\max}$ Minimum makespan scheduling

- Given
  - Processing times for n jobs  $p_1, p_2, \dots, p_n$
  - And an integer m (number of processor)
- Find an assignment of the jobs to m identical machines
- So that the completion time, also called the makespan, is minimized.

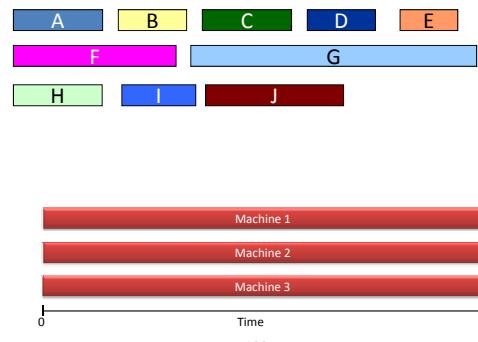
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## Minimum makespan scheduling: Arbitrary List

- Algorithm
  - 1. Order the jobs arbitrarily.
  - 2. Schedule jobs on machines in this order, scheduling the next job on the machine that has been assigned the least amount of work so far.
- Achieves an approximation guarantee of 2

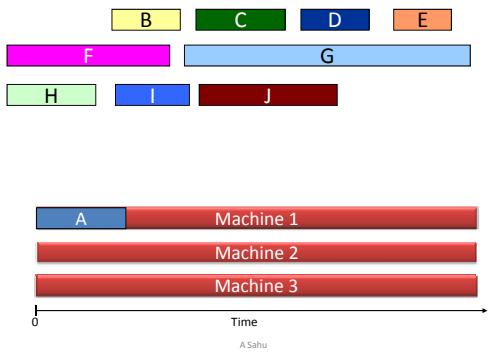
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## Load Balancing: List Scheduling



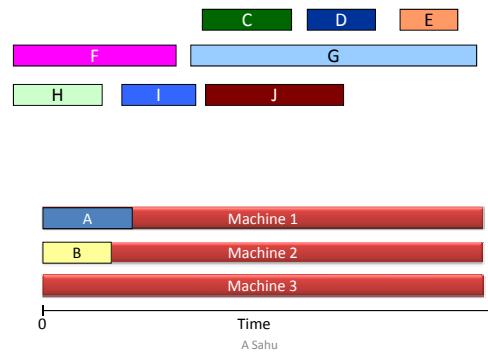
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## Load Balancing: List Scheduling

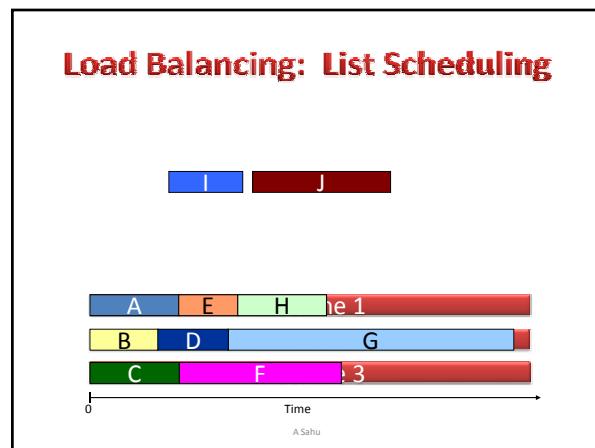
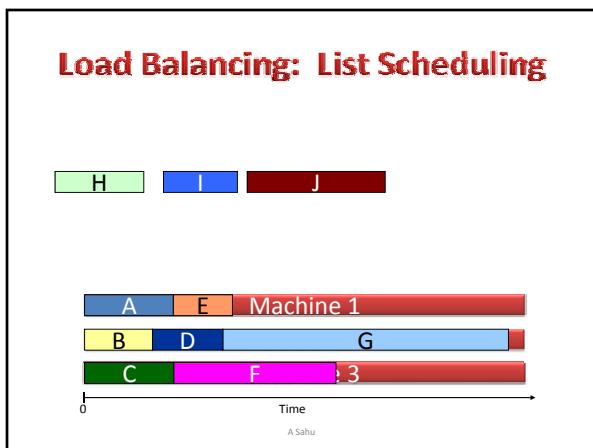
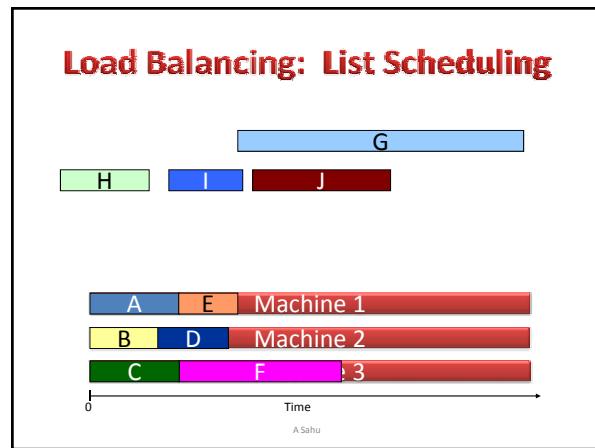
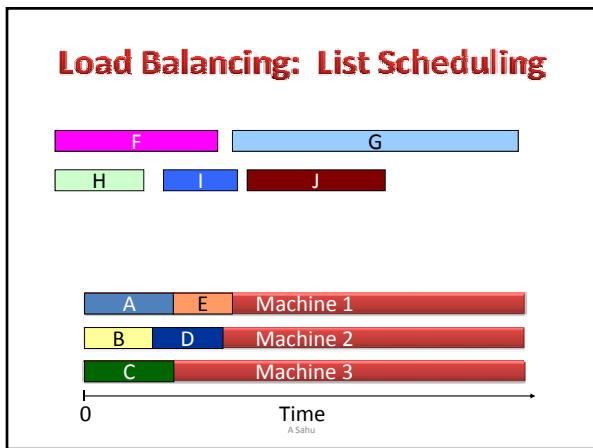
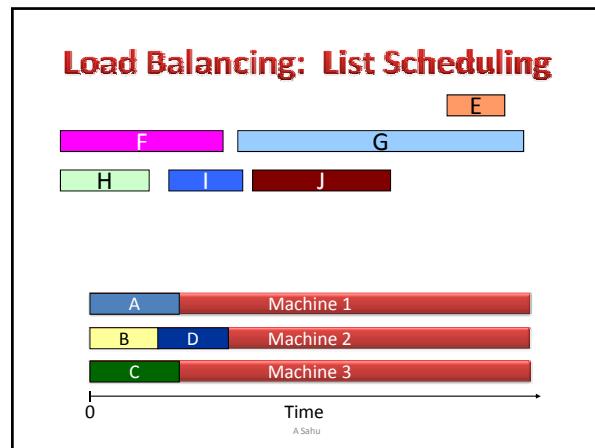
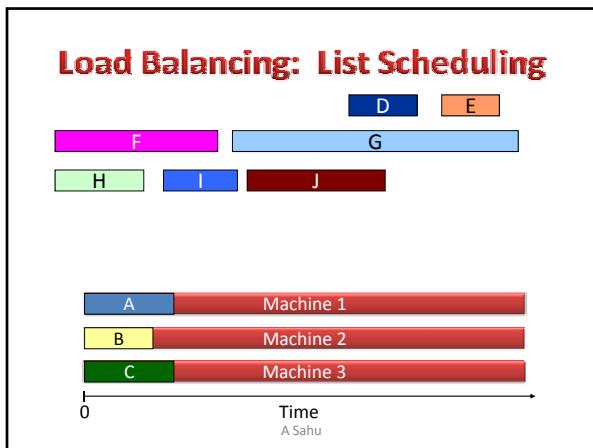


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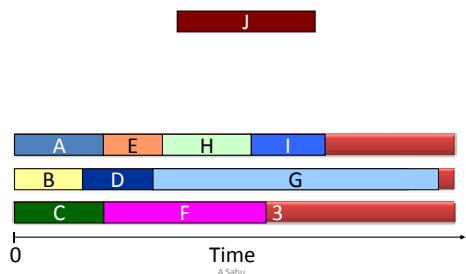
## Load Balancing: List Scheduling



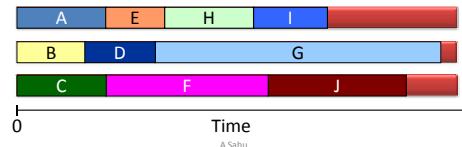
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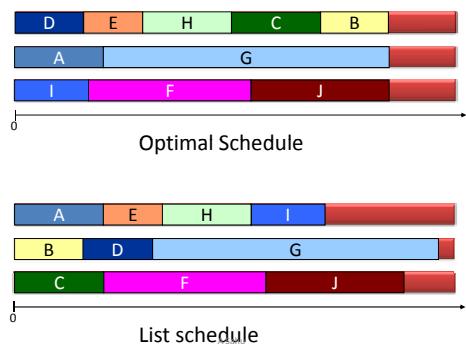
## Load Balancing: List Scheduling



## Load Balancing: List Scheduling



## Load Balancing: List Scheduling



## List Scheduling is "2-approximation" (Graham, 1966)

**Algorithm:** List scheduling  
**Basic idea:** In a list of jobs, schedule the next one as soon as a machine is free



Good or bad ?

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## List Scheduling is "2-approximation" (Graham, 1966)

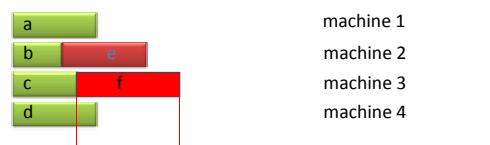
**Algorithm:** List scheduling  
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compare to time OPT of best schedule: how ?

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## List Scheduling is "2-approximation"



compare to time OPT of best schedule: how ?

- (1) job f must be scheduled in the best schedule at some time:  $T - S \leq OPT$ .
- (2) up to time S, all machines were busy all the time, and OPT cannot beat that, and job f was not yet included:  $S < OPT$ .
- (3) both together:  $T = T - S + S < 2 OPT$ .

"2-approximation" (Graham, 1966)

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### LS achieves a perf. ratio $2-1/m$ .

- Proof:

- Let  $T = \sum t_i, i=1,2,\dots,n$ , the sum of all processing times to be accommodated.
- We know that the total processing time available in an optimal schedule on the machines is  $m \cdot OPT(I)$ . So,  $OPT(I) \geq T/m$ .
- Moreover,  $OPT(I) \geq t_k$  for every  $k$ .

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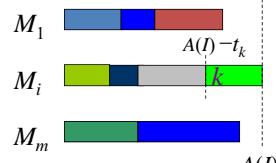
### LS achieves a perf. ratio $2-1/m$ .

- Let  $A(I)$  be the makespan of the schedule produced by LS.
- By definition there must be a job  $k$ , with processing time  $t_k$ , that ends at the makespan time.
- No machine can end its operation before  $A(I) - t_k$ , because then job  $k$  would have been scheduled on that machine, thus reducing the makespan.

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### LS achieves a perf. ratio $2-1/m$ .

So all machines are busy from time 0 through  $A(I) - t_k$ . Consequently,



$$T - t_k \geq m(A(I) - t_k)$$

$$\Rightarrow T + (m-1)t_k \geq mA(I)$$

$$\text{So, } A(I) \leq T/m + t_k (m-1)/m$$

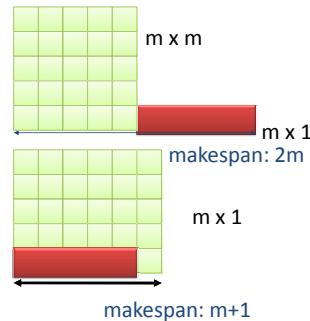
$$\leq OPT(I) + (1-1/m) OPT(I) = (2-1/m) OPT(I)$$

$$A(I) \leq (2-1/m) OPT(I)$$

Prev Slide : As  $m \cdot OPT(I) \geq T$ .  
So,  $OPT(I) \geq T/m$ .  
Also  $OPT(I) \geq t_k$  for every  $k$ .

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### Example: Worst Case



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### List with LPT

- List scheduling can do badly if long jobs at the end of the list spoil an even division of processing times.
- We now assume that the jobs are all given ahead of time, i.e. the LPT rule works only in the off-line situation. Consider the "**Largest Processing Time first**" or LPT rule that works as follows.

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### List with LPT

#### LPT( $I$ )

- 1 sort the jobs in order of decreasing processing times:  $t_1 \geq t_2 \geq \dots \geq t_n$
- 2 execute list scheduling on the sorted list
- 3 return the schedule so obtained.

#### Prove out of Syllabus

- The LPT rule achieves a performance ratio  $3/2$ 
  - Page 605, Algorithms Design Eva Tardos
- The LPT rule achieves a performance ratio  $4/3 - 1/(3m)$ . Ref: Grahams 1969

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