

CS341: Operating System

Process Scheduling

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Outline

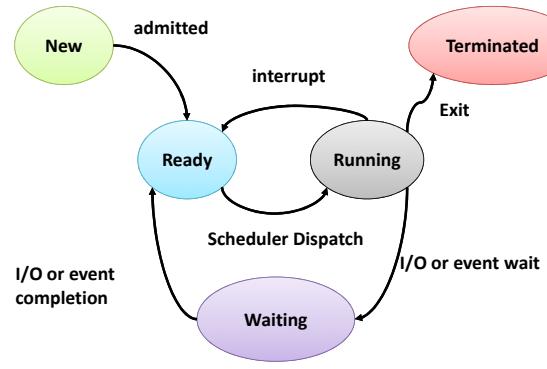
- Process Concepts Recap
 - Process States, PCB
 - Context Switch
 - **Job Queue, Ready Queue, De**
- Scheduling
 - **System Oriented**
 - Theoretical Analysis

2

Recap

3

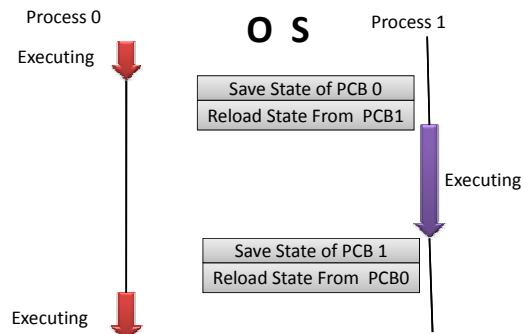
Process State: State Diagram



PCB: Info. related to Process

- Process Info.
 - Process state, PC, Registers
- Other info
 - CPU scheduling info. priorities, scheduling queue pointers
 - Mem-mngt info: memory allocated to the process
 - Acc. info. – CPU used, time since start, time limits
 - I/O status info. – I/O dev allocated to process, list of open files

CPU Switch From Process to Process



Context Switch

- Context-switch time is overhead; the system does no useful work while switching
 - The more complex the OS and the PCB → the longer the context switch
- Time dependent on hardware support
 - Some hardware provides multiple sets of registers per CPU → multiple contexts loaded at once

Many time Context switch code written Manually in ALP.
Compiler Generated code may not be efficient

Process Scheduling

- **Process scheduler** selects among available processes for next execution on CPU
- Maintains **scheduling queues** of processes
 - **Job queue** – set of all processes in the system
 - **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
 - **Device queues** – set of processes waiting for an I/O device
 - Processes **migrate among the various queues**

Next...

Process Scheduling

9

10

Scheduling Evaluation Metrics

- CPU utilization
 - Percentage of time the CPU is not idle
- Throughput
 - Completed processes per time unit
- Turnaround time
 - Submission to completion

Scheduling Evaluation Metrics

- Waiting time
 - time spent on the ready queue
- Response time
 - response latency
 - “response time” most important for interactive jobs (I/O bound)
- Predictability
 - variance in any of these measures

**The right evaluation metric
depends on
the context**

Scheduling Algorithm Optimization Criteria

- Maximize
 - CPU utilization
 - Throughput
- Minimize
 - Turnaround time
 - Waiting time
 - Response time

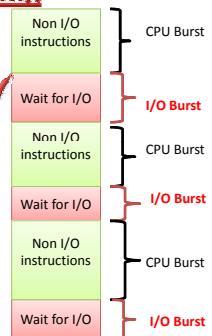
"The perfect CPU scheduler"

- Minimize latency
 - Response or job completion time
- Maximize throughput
 - Maximize jobs / time.
- Maximize utilization: keep I/O devices busy.
 - Recurring theme with OS scheduling
- Fairness: everyone makes progress, no one starves

Max CPU Util. obtained with Multiprogramming

- CPU-I/O Burst Cycle – Process execution consists of a **cycle** of CPU execution and I/O wait
- **CPU burst followed by I/O burst**

To Maximize CPU Utilization
When process request form I/O
CPU switches to other process



Assumption

- Task/Process/Job used interchangably
- Let all tasks in memory
- Let all tasks don't do any IPC
- All task are independent
- All tasks don't require any other resource other then CPU

Five Popular Scheduling

- First Come First Serve
- Shortest Job First
- Shortest Remaining Time First
 - SJF-I
- Round-Robin Scheduler
- Priority Scheduler
- Priority-I
- Multi-Level Priority Queue
- Feed Back Priority Queue

Flow Time of a Job : Turn Around Time

- $F_i = C_i - A_i$
 - C_i = completion time, A_i = arrival time
 - Flow time depended on both C_i and A_i , If $A_i=0$, depends on C_i
 - In uniprocessor: $F_i=W_i$ the waiting time + CC_i Compute time
- Average Flow time = $F' = \sum F_i$
 - In uniprocessor : Minimize Avg Flow Time = Minimize Avg Waiting time
 - $\text{Min}(F') = \text{Min}(\sum W_i)$ as CPU is completely busy for all the time
- Minimize Weighted Flow time: Priority Version ¹⁹

FCFS Scheduling

Process	Burst Time/ Execution Time
P1	24
P2	3
P3	3

- Assume: processes arrive in the order: P_1, P_2, P_3
The Gantt's Chart/Gantt Chart for schedule is:



- Waiting time for $P_1 = 0$; $P_2 = 24$; $P_3 = 27$
- Average waiting time: $(0 + 24 + 27)/3 = 17$

FCFS

Convoy effect

short process behind long process

21

FCFS Scheduling

Process	Burst Time/ Execution Time
P1	24
P2	3
P3	3

- Assume: processes arrive in the order: P_2, P_3, P_1
The Gantt Chart for the schedule is:



- Waiting time for $P_1 = 6$; $P_2 = 0$; $P_3 = 3$
- Average waiting time: $(6 + 0 + 3)/3 = 3$
- Much better than previous case

Problem: Cook of Restaurant

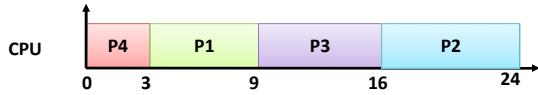
- You work as a short-order cook
 - Customers come in and specify which dish they want
 - Each dish takes a different amount of time to prepare
- Your goal:
 - Minimize average time the customers wait for their food
- What strategy would you use ?
 - Note: most restaurants use FCFS.

Shortest-Job-First (SJF) Scheduling

- Associate with each process the length of its next CPU burst
 - Use these lengths to schedule the process with the shortest time
- **SJF is optimal** – gives minimum average waiting time for a given set of processes
 - **The difficulty is knowing the length of the next CPU request**
 - Could ask the user

Example of SJF

Process	Burst Time
P1	6
P2	8
P3	7
P4	3

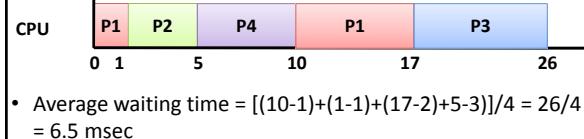


- Average waiting time = $(3 + 16 + 9 + 0) / 4 = 7$

SJF-Preemptive: Shortest-remaining-time-first

- Now we add the concepts of varying arrival times and preemption to the analysis
- Dynamic Decision @Runtime

Process	Arrival Time	Burst Time
P1	0	8
P2	1	4
P3	2	9
P4	3	5



- Average waiting time = $[(10-1)+(1-1)+(17-2)+5-3]/4 = 26/4 = 6.5 \text{ msec}$

Two Processor System

- Minimize Avg Flow Time ≠ Minimize Avg Waiting time
- C_{max} is not equal for both the processor
- Example
 - Six Process: P1=1, p2=1, p3=1, p4=2, p5=2, p6 =3
 - Two Processors : C1 and C2
 - Minimize C_{max} = partition {1,1,1,2,2,3} in to two set S1 and S2 such that their sum is equal or almost equal
 - SOL1: {1,1,1,2}{2,3} SOL2: {1,2,2},{1,1,3}

27

Two Processor System

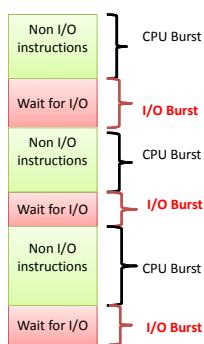
- Two set Partition problem is intractable problem
 - Suppose dividing $\{t_1, t_2, t_3, \dots, t_n\}$ into two set S1 and S2 such that $\text{Sum}\{S1\} \approx \text{Sum}\{S2\}$
- Decision version
 - Pseudo Polynomial solution, Dynamic Programming
- Approach
 - Approximation
 - Karmarkar Approach

http://en.wikipedia.org/wiki/Partition_problem

28

Determining Length of Next CPU Burst

- Can only estimate the length – should be similar to the previous one
 - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging



Prediction: Next CPU Burst

- Can be done by using the length of previous CPU bursts, using exponential averaging
 - t_n is actual CPU Burst of nth CPU Burst
 - t_{n+1} = predicted values of next cpu burst
- $$t_3 = f(t_1, t_2, t_3, t_1, t_2, t_3);$$

$$= \text{may be } f(t_3, t_3);$$
- Another funny Example: http file transfer
BW depends on chunk size C_n , Initial = $1+x$,
 $C_n=(1+x)^n$ where $x < 1$

Prediction: Next CPU Burst

- $\alpha, 0 \leq \alpha \leq 1$

$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \tau_n$$

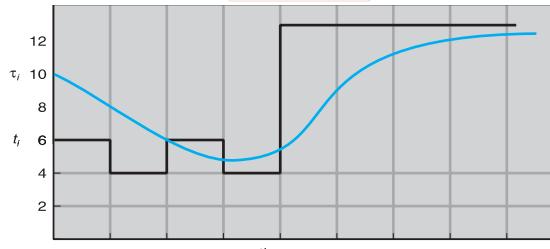
- Commonly, α set to $\frac{1}{2}$
- Preemptive version called **shortest-remaining-time-first**

Examples of Exponential Averaging

- $\alpha = 0 : \tau_{n+1} = \tau_n$, Recent history does not count
 - $\alpha = 1: \tau_{n+1} = \alpha t_n$, Only actual last CPU burst counts
 - If we expand the formula, we get:
- $$\begin{aligned}\tau_{n+1} &= \alpha t_n + (1 - \alpha)\alpha t_{n-1} + \dots \\ &\quad + (1 - \alpha)^j \alpha t_{n-j} + \dots \\ &\quad + (1 - \alpha)^{n+1} \tau_0\end{aligned}$$

- Since both α and $(1 - \alpha)$ are less than or equal to 1, each successive term has less weight than its predecessor

Prediction of the Length of the Next CPU Burst



CPU burst (t_i)	6	4	6	4	13	13	13	...	
"guess" (τ_i)	10	8	6	6	5	9	11	12	...