

	ZHEJIANG UNIVERSITY School of Software
Course Title	Introduction to Global Securities Market (国际证券市场导论)
Course #	
Credit Hours	1
Semester	Spring 2021
Prerequisites	
Class Meetings	June 5, 6, 12 & 13, 8:00AM to 11:30AM (Beijing Time, 北京时间) Remote Learning

INSTRUCTOR INFORMATION

Instructor	Edward Li, CFA, FRM
Office Location	Virtual
Office Hours	Available on demand via email or Wechat
Phone Number(s)	N/A
E-Mail Address	ecli_99@yahoo.com

COURSE DESCRIPTION AND OBJECTIVES

Course Description	This course is intended to introduce fundamental concepts and theories related to the global securities market. The course will focus on all stages of the investment cycle including pre-trade, trade, and post-trade. The market structures and major investment vehicles such as equity, bond, mutual funds, ETF, foreign exchange, futures, and options will be discussed. Basic asset valuation methods will be introduced too.
Student Learning Objectives	Upon successful completion of this course students will: <ul style="list-style-type: none"> ● develop a solid understanding of the global financial market structure ● gain domain knowledge of major investment vehicles ● be able to apply the finance knowledge to the financial software development projects (e.g. a better understanding of business requirements from an end-user' perspective) ● be able to leverage the finance knowledge to pursue an internship or full-time job within the financial services industry ● be well-positioned to take advanced courses in the investment management, trading, and research area
Instructional Methods	In addition to lecturing, this course will utilize class discussions, hands-on activities, written assignments, a textbook, handouts, and recommended readings,

	as needed.				
Assessment of Student Learning Objectives	<p>There will be multiple forms of assessment including:</p> <ul style="list-style-type: none"> • Quiz • Written assignments/reports 				
Grade Points	<p>Your course grade will be the total points earned in the following categories:</p> <table> <tr> <td>Class Participation</td><td>20%</td></tr> <tr> <td>Final Exam</td><td>80%</td></tr> </table> <p>The breakdown of the above course grade may be subject to changes/adjustments as needed.</p>	Class Participation	20%	Final Exam	80%
Class Participation	20%				
Final Exam	80%				

COURSE MATERIALS

	Author	Title	Pub. Year	Publisher or Website	Required or Optional Where to buy/log on
Book	(美) 博迪等著, 朱宝宪 等译,	《投资学 (原书第八版)》	2008	机械工业出版社	Required Bookstore or online
Book	贺智华 主编	《海外证券市场》	2002	经济日报出版社	Optional Bookstore or online
Book	(美) 柯杰瑞 (中) 李豫, 王艳平, 李珏峰等著	中国养老保险制度改革借鉴	2012	企业管理出版社	Optional Bookstore or online

COURSE CALENDAR AND SCHEDULE

Class	Day/Date	Topic/Event	Tests, Major Assignments, Discussions & Exams
1	6/5	国际证券市场概述 Overview	<p>主题 1: 经济全球化与人类命运共同体 Theme 1: Economic Globalization and Community with a Shared Future for Mankind</p> <p>讨论: 金融危机和我们 Discussion: Financial Crisis and Us</p>
2	6/5	货币的时间价值 The Time Value of Money	

3	6/5	国际证券市场的分类和交易所简介 Market Types & Stock Exchanges	
4	6/5	股票交易操作 Stock Trading Operations	
5	6/6	股票分析 Analyzing Stocks	
6	6/6	货币市场、期货、共同基金 - 1 Money Markets, Futures and Mutual Funds - 1	
7	6/6	货币市场、期货、共同基金 - 2 Money Markets, Futures and Mutual Funds - 2	
8	6/6	货币市场、期货、共同基金 - 3 Money Markets, Futures and Mutual Funds - 3	
9	6/12	货币市场、期货、共同基金 - 4 Money Markets, Futures and Mutual Funds - 4	主题 2: 老龄化和养老基金 Theme 2: Aging and Pension Funds 讨论: 养老制度之比较 Discussion: Comparison of Pension Systems
10	6/12	债券发行与交易 - 1 Fixed Income - 1	
11	6/12	债券发行与交易 - 2 Fixed Income - 2	
12	6/12	债券发行与交易 - 3 Fixed Income - 3	
13	6/13	外汇交易 & 衍生产品 - 1 Foreign Exchange & Derivatives - 1	
14	6/13	外汇交易 & 衍生产品 - 2 Foreign Exchange & Derivatives - 2	
15/16	6/13	Summary & Final Exam	
<u>COURSE POLICIES</u>			
Attendance and Class Participation	Students are required to attend the class on time and should not leave the class early for the day unless there is an urgent situation and notify the instructor beforehand.		

	<p>The instructor will take the attendance at the beginning or ending of each class.</p> <p>Students should notify the instructor beforehand if they must miss the class due to the family emergency or any other urgent situations out of their control.</p> <p>Missing more than one session out of this 16-session course may cause an automatic reduction of 10 points.</p> <p>In addition to attendance, active participation in class discussions including asking and answering questions will contribute most to the class participation points for this course.</p>
Academic Integrity	<p>Academic integrity and academic honesty are core values of our learning community. Students are expected to be always honest in their academic endeavors. Academic Honesty applies to all work prepared for evaluation by a course instructor. This includes, but is not limited to, homework, projects, in-class and take-home examinations, quizzes, and course report.</p> <p>Students are expected to distinguish their ideas and work from those derived from other sources. Information derived from other sources includes published material, the opinions of others, and computer programs as well as material from Internet sources.</p> <p>While collaboration with other students is encouraged in certain class settings, students must assume that coursework submitted for evaluation must be their own unless otherwise specified by their instructor.</p>
Make-up Quiz/ Exam and Late Homework Policy	<p>Make-up quiz and incompletes will not be given due to the nature of this intensive program. If you must miss the final quiz and mini-case study for the reasons out of your controls, you should plan to re-take this course.</p>

SUPPORT SERVICES AND RESOURCES

The schedule and material in this syllabus may be updated or changed upon the instructional needs of the course and any changes in college schedules.

Created by:	Edward Li, CFA, FRM
Last updated:	June 4, 2021