

# A QUESTION ON TRACES

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In this note, we investigate a problem posed by [Maxime Ramzi](#) about topological Hochschild homology. At the time of writing, this problem is listed as problem 2 under trace methods on Maxime's website under the list of mathematical questions. I learned of this question during the most recent Arbeitstagung at Bonn, when it was asked during one of the lunches, and decided to work on it the following week- what follows is the solution I came up with at that time. Without further ado, here is the precise statement of the question:

**Question 1.** For a ring spectrum  $R$ , we can consider the hs trace  $K(\text{End}(R)) \rightarrow \text{THH}(R)$ . Is it true that every element in the image of  $K_0(\text{End}(R)) \rightarrow \text{THH}_0(R)$  is in the image of  $\pi_0(R) \rightarrow \text{THH}_0(R)$ ?

We answer this question in the negative. In fact, we prove:

**Theorem 1.** *There exists an  $\mathbb{E}_\infty$ -ring  $R$  such that the image of the map  $K_0(R) \rightarrow \text{THH}_0(R)$  is not contained in the image of  $\pi_0(R) \rightarrow \text{THH}_0(R)$ . In fact,  $R$  can be constructed out of the affine line with doubled origin.*

To be precise, recall that there is a functor from discrete rings to  $\mathbb{E}_\infty$ -rings taking any ring  $R$  to the Eilenberg-MacLane spectrum represented by  $R$ . This functor glues to a (contravariant) functor from schemes to  $\mathbb{E}_\infty$ -rings, which takes a scheme  $X$  to a ring, call it  $R_X$ , with homotopy groups  $\pi_n(R_X) = H^{-n}(X, \mathcal{O}_X)$ . The ring referenced in the theorem is this ring  $R_X$  for  $X$  the affine line with doubled origin (say, for simplicity, over  $\mathbb{Q}$ ). For the remainder of this note, let's fix  $Y$  the affine line with doubled origin so that we can speak of  $R_Y$ .

To prove the theorem, we will use the following lemma:

**Lemma 1.** *Applying any spectra-valued localizing invariant (such as  $K$ -theory or  $\text{THH}$ ) to the pullback square of  $\mathbb{E}_\infty$ -rings*

$$\begin{array}{ccc} R_Y & \longrightarrow & \mathbb{Q}[t] \\ \downarrow & \lrcorner & \downarrow \\ \mathbb{Q}[t] & \longrightarrow & \mathbb{Q}[t, t^{-1}] \end{array}$$

*produces a cartesian square of spectra.*

Let's first prove the theorem assuming this lemma:

*Proof of Theorem 1 assuming Lemma 1.* By naturality of the Dennis trace map and using Lemma 1 for  $K$  and  $\text{THH}$ , we get a commutative diagram with exact rows:

$$\begin{array}{ccccccccc}
K_1(\mathbb{Q}[t])^{\oplus 2} & \longrightarrow & K_1(\mathbb{Q}[t, t^{-1}]) & \longrightarrow & K_0(R_Y) & \longrightarrow & K_0(\mathbb{Q}[t])^{\oplus 2} & \longrightarrow & K_0(\mathbb{Q}[t, t^{-1}]) \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
\mathrm{THH}_1(\mathbb{Q}[t])^{\oplus 2} & \longrightarrow & \mathrm{THH}_1(\mathbb{Q}[t, t^{-1}]) & \longrightarrow & \mathrm{THH}_0(R_Y) & \longrightarrow & \mathrm{THH}_0(\mathbb{Q}[t])^{\oplus 2} & \longrightarrow & \mathrm{THH}_0(\mathbb{Q}[t, t^{-1}]).
\end{array}$$

Now, we know that  $K_1(\mathbb{Q}[t]) \simeq K_1(\mathbb{Q}) \simeq \mathbb{Q}^\times$ , and  $K_1(\mathbb{Q}[t, t^{-1}]) \simeq \mathbb{Q}[t, t^{-1}]^\times \simeq \mathbb{Q}^\times \times \mathbb{Z}$ . Since we assumed everything above was rational, THH is equivalent to the Hochschild homology over  $\mathbb{Q}$ , so by HKR,  $\mathrm{THH}_1(\mathbb{Q}[t]) \simeq \mathbb{Q}[t]dt$ , and  $\mathrm{THH}_1(\mathbb{Q}[t, t^{-1}]) \simeq \mathbb{Q}[t, t^{-1}]dt$ . Using the long exact sequence, we find that  $\mathrm{THH}_0(R_Y) \simeq \mathbb{Q}[t] \oplus \mathbb{Q}[t, t^{-1}]/\mathbb{Q}[t]$ , and the image of  $\pi_0(R_Y) \rightarrow \mathrm{THH}_0(R_Y)$  is the  $\mathbb{Q}[t]$  summand. Now, we look at  $t^{-1} \in K_1(\mathbb{Q}[t, t^{-1}])$ , and noting this comes from  $H_1^{gfp}(\mathrm{GL}_1(\mathbb{Q}[t, t^{-1}])) \rightarrow \mathrm{HH}(\mathbb{Q}[t, t^{-1}]/\mathbb{Q})$ , we can determine this map explicitly:  $t^{-1}$  corresponds to the element  $[t^{-1} : t]$ , which maps to  $t^{-1}dt$  under the HKR isomorphism. In particular, the image of  $t^{-1}$  under  $K_1(\mathbb{Q}[t, t^{-1}]) \rightarrow \mathrm{THH}_1(\mathbb{Q}[t, t^{-1}]) \rightarrow \mathrm{THH}_0(R_Y)$  is  $t^{-1}dt \in \mathbb{Q}[t, t^{-1}]/\mathbb{Q}[t]dt$ , which is not in the image of the map  $\pi_0(R_Y) \rightarrow \mathrm{THH}_0(R_Y)$ , yielding the desired contradiction by considering the image of  $t^{-1}$  in  $K_0(R_Y)$ .  $\square$

Now, for the proof of Lemma 1. We have to pass to the categorical level. We first recall that there is a (in fact fpqc) sheaf of (stably symmetric monoidal)  $\infty$ -categories on schemes taking a scheme  $X$  to (derived) quasicoherent sheaves on  $X$ ,  $\mathcal{D}(\mathrm{QCoh}(X))$ , glued from  $R \mapsto \mathcal{D}(R)$ . In particular, since localizations of schemes map to localizations on the categorical level, we get that any pushout square along open immersions (corresponding to gluing schemes) maps to a pullback square under a localizing invariant. Thus, to prove Theorem 1, it suffices to show that quasicoherent sheaves over  $Y$  are equivalent to modules over  $R_Y$ . This follows from a general claim:

**Lemma 2.** *Suppose  $X$  is a scheme with a cover by finitely many affine opens with affine intersection such that any (underived) quasicoherent sheaf on  $X$  with vanishing global sections is equivalent to zero. Then we have an equivalence of stably symmetric monoidal  $\infty$ -categories  $\mathcal{D}(\mathrm{QCoh}(X)) \simeq R_X - \mathrm{Mod}$ .*

To fix some notation in the proof,  $\mathrm{hom}$  will refer to the mapping spectrum, and  $\mathrm{Hom}$  to the mapping space.

*Proof.* We recover this from the Schwede-Shipley Theorem (see Higher Algebra 7.1.2.7 for the precise statement used). The hypotheses on  $X$  ensure that taking cohomology commutes with filtered colimits so that the unit of  $\mathcal{D}(\mathrm{QCoh}(X))$  is compact, which reduces us to the claim that the unit generates  $\mathcal{D}(\mathrm{QCoh}(X))$ . Fix our chosen finite affine cover  $U_1, \dots, U_n$  of  $X$ . For this, take any  $M \in \mathcal{D}(\mathrm{QCoh}(X))$  such that  $\mathrm{hom}(R_X, M) \simeq 0$ . By the assumption on  $X$ , we can put a  $t$ -structure on  $\mathcal{D}(\mathrm{QCoh}(X))$  by gluing the  $t$ -structures on the derived categories for the  $U_i$ . This  $t$ -structure allows us to identify the heart with the usual category of quasicoherent sheaves on  $X$ . Now, if  $M$  is not equivalent to zero, we can find some  $n$  so that  $\tau_{\geq n}M \neq 0$ , and up to shifts, we may assume  $n = 0$ . Now, the unit is connective by definition of the  $t$ -structure, so  $\mathrm{Hom}(R_X, M) \simeq \mathrm{Hom}(R_X, \tau_{\geq 0}M)$ . Up to possibly shifting  $M$  again, we may assume that  $\pi_0^\heartsuit M \neq 0$ . Now, we can examine the cofiber sequence  $\pi_0^\heartsuit M \rightarrow \tau_{\geq 0}M \rightarrow \tau_{\geq 1}M$ . By hypothesis,  $\pi_0^\heartsuit M \neq 0$ , implying  $\pi_0 \mathrm{hom}(R_X, \pi_0^\heartsuit M) \neq 0$ , so either  $\pi_0 \mathrm{hom}(R_X, \tau_{\geq 0}M) \neq 0$  or

$\pi_1 \operatorname{hom}(R_X, \tau_{\geq 1} M) \neq 0$ , by the associated long exact sequences. In the former case, we have a contradiction, since  $\pi_0 \operatorname{hom}(R_X, \tau_{\geq 0} M) \simeq \pi_0 \operatorname{hom}(R_X, M)$ , and in the latter case, we similarly reach a contradiction since  $\pi_1 \operatorname{hom}(R_X, \tau_{\geq 1} M) \simeq \pi_1 \operatorname{hom}(R_X, M)$ . Thus, if  $\operatorname{hom}(R_X, M) \simeq 0$ ,  $M \simeq 0$ , i.e.,  $R_X$  generated  $\mathcal{D}(\operatorname{QCoh}(X))$ , and thus by Schwede-Shipley, we get the claimed equivalence.  $\square$

Now, with this in hand, it is easy to show:

*Proof of Lemma 1.* By Lemma 2, it suffices to show that every quasicoherent sheaf on the affine line with doubled origin with vanishing global sections is identically zero. Indeed, then  $\mathcal{D}(\operatorname{QCoh}(Y)) \simeq R_Y - \operatorname{Mod}$ , and thus the pullback square in the statement of lemma 1 maps to a pullback square of stable  $\infty$ -categories upon passing to modules, with all functors being Verdier localizations. So, let  $M$  be a nonzero quasicoherent sheaf on the affine line with doubled origin, and let  $M_1, M_2$  be the restrictions to the two affine lines. Setting up the Cech complex, it looks like  $M_1 \oplus M_2 \rightarrow M_1[t^{-1}]$ , where we have used  $M_2[t^{-1}] \simeq M_1[t^{-1}]$  implicitly. Now, if  $M_1[t^{-1}] \neq 0$ , then since  $M_2[t^{-1}] = M_1[t^{-1}]$ , we can take any  $m_1 \in M_1$  with nonzero image in  $M_1[t^{-1}]$ , and write  $m_1 = m_2 t^{-n}$  for some  $m_2 \in M_2$ . But then  $(t^n m_1, -m_2) \in M_1 \oplus M_2$  is a nonzero element in the kernel of the map in our complex, contributing a nonzero global section to  $M$ . On the other hand, if  $M_1[t^{-1}] = 0$ , then by hypothesis, either  $M_1$  or  $M_2$  is nonzero, but then these contribute nonzero global sections to  $M$  since the Cech complex in this case just becomes  $M_1 \oplus M_2 \rightarrow 0$ , whence the claim.  $\square$

What was left out of the question above is the interpretation of what it means, per Maxime's phrasing "i.e., is the Hattori-Stallings trace of any endomorphism of some perfect  $R$ -module equal to the trace of some endomorphism of  $R$ ?" What we have shown above is that this is not even true for the trace of the identity endomorphism of a perfect  $R$ -module. One may ask what this module is, and we can explicitly describe it. Namely, we note that the picard group of  $Y$  is nontrivial, coming from the line bundle  $L$  glued from the isomorphism  $\mathbb{Q}[t, t^{-1}] \xrightarrow{t} \mathbb{Q}[t, t^{-1}]$ . We have a map from the Picard group to  $K_0$ , which takes a line bundle  $L$  to  $1 - [L]$ . For our line  $L$ , this is witnessed by the complex  $\Sigma L \oplus R_Y$ . This maps to zero in the  $K_0$  of each of the  $\mathbb{Q}[t]$ s, so lives in the span of the  $t^{-1}$  summand of  $K_0(R_Y) \simeq \mathbb{Z} \times \mathbb{Z}$ , giving a perfect  $R_Y$  module such that the trace of the identity endomorphism does not arise as the trace of an endomorphism of  $R_Y$ , as desired.