

# Chapter 13

## Black holes

# Black holes

- 13.1 Newtonian picture
- 13.2 General relativistic picture
- 13.3 The search for black holes
- 13.4 Testing General Relativity in the strong-field regime

# 13.1 Newtonian picture

- ✓ Escape velocity

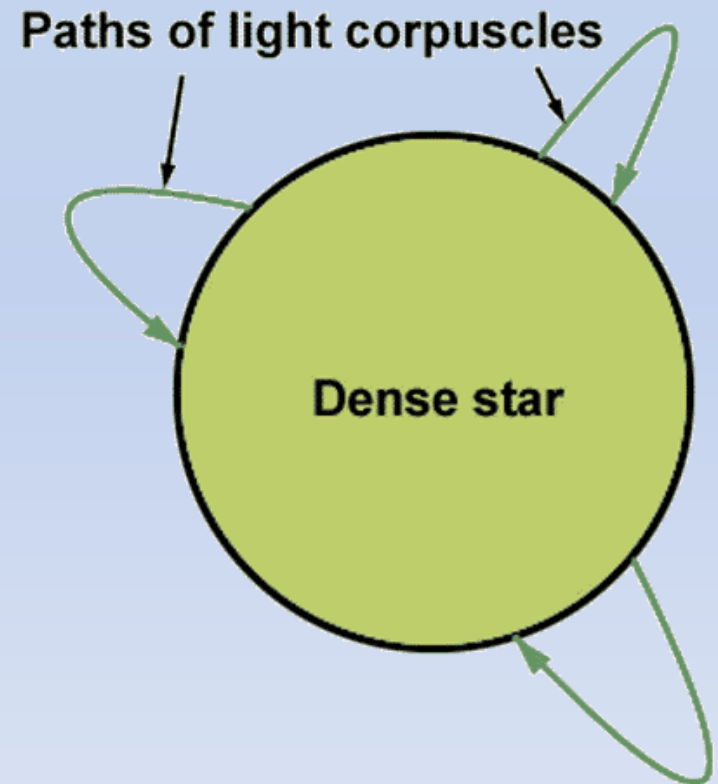
$$v_{esc} = \sqrt{\frac{2GM}{R}}$$

- ✓ For a massive and small star,  $v_{esc}$  is very large.

- ✓ If  $v_{esc} = c$

$$R = \frac{2GM}{c^2}$$

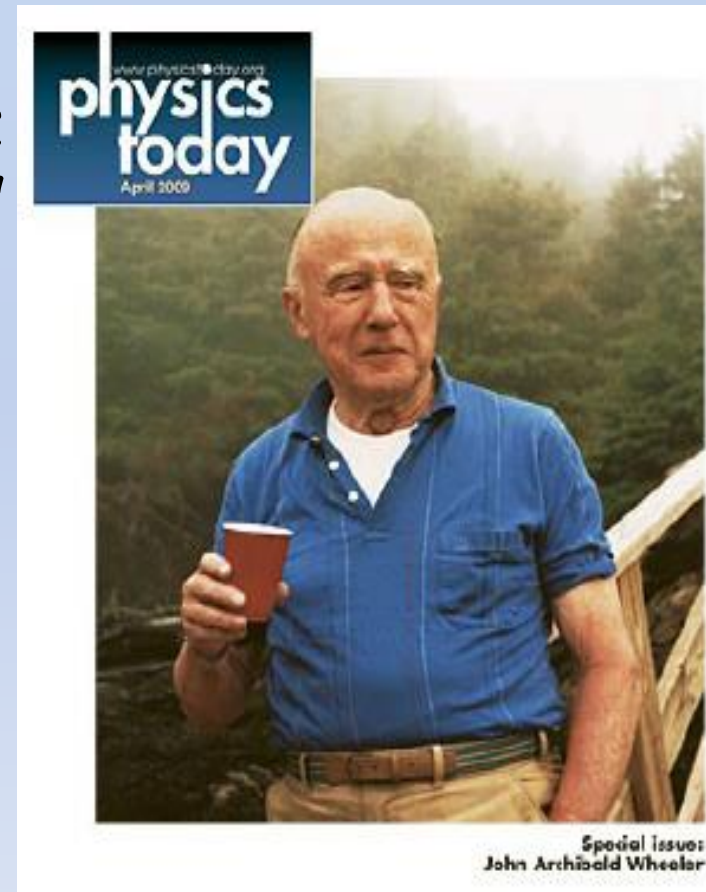
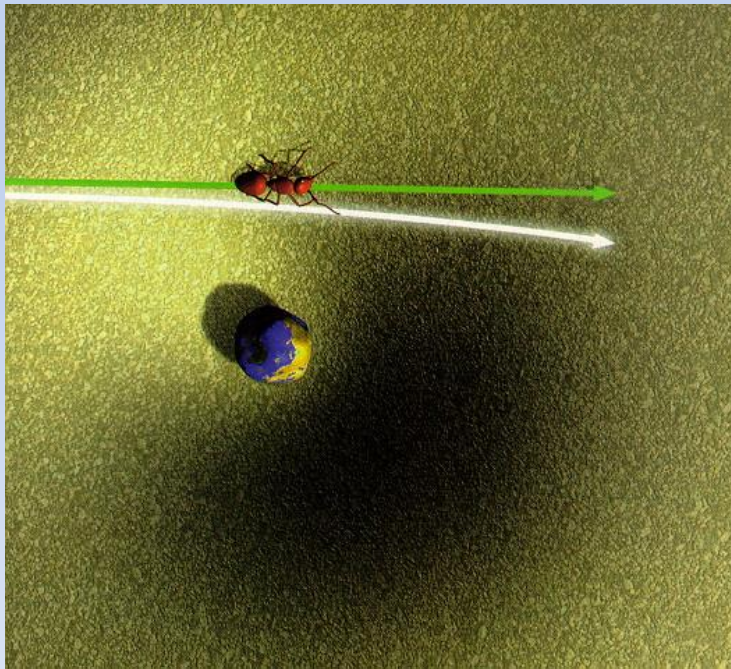
- ✓ Not even light can escape.



# 13.2 General relativistic picture

John Wheeler (1911-2008):

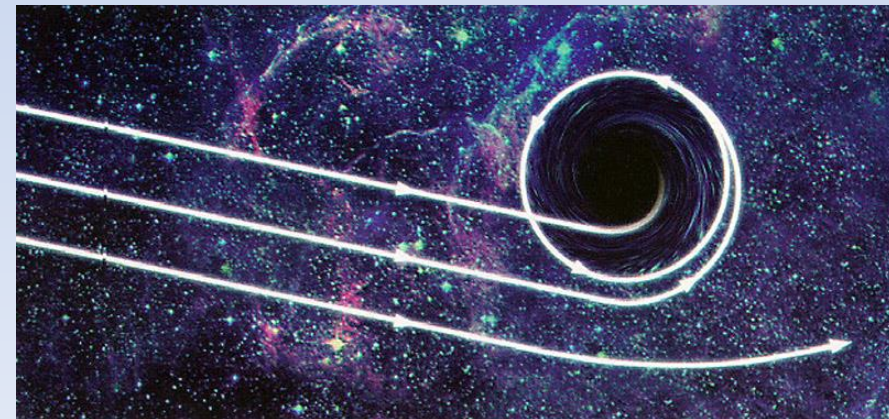
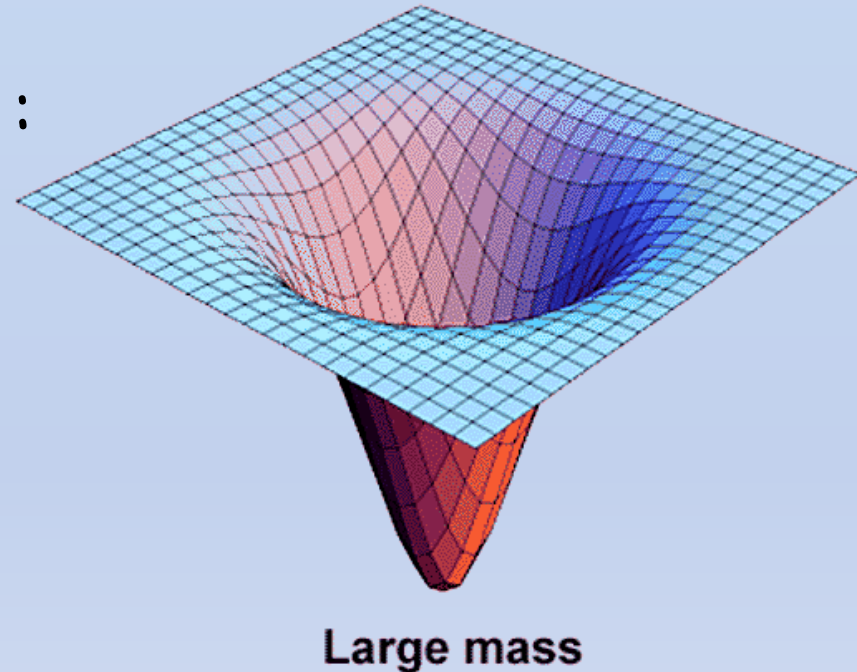
"Spacetime tells matter how to move;  
matter tells spacetime how to curve."



Wheeler coined the term "black hole"

## 13.2 General relativistic picture

- ✓ Remaining core mass  $> 3 M_{\odot}$  : contract beyond nuclear density, i.e., not even neutron degenerate pressure can withstand gravity.
- ✓ Severe curvature of space-time
- ✓ Even light cannot escape.  
→ black hole





## 13.2 General relativistic picture

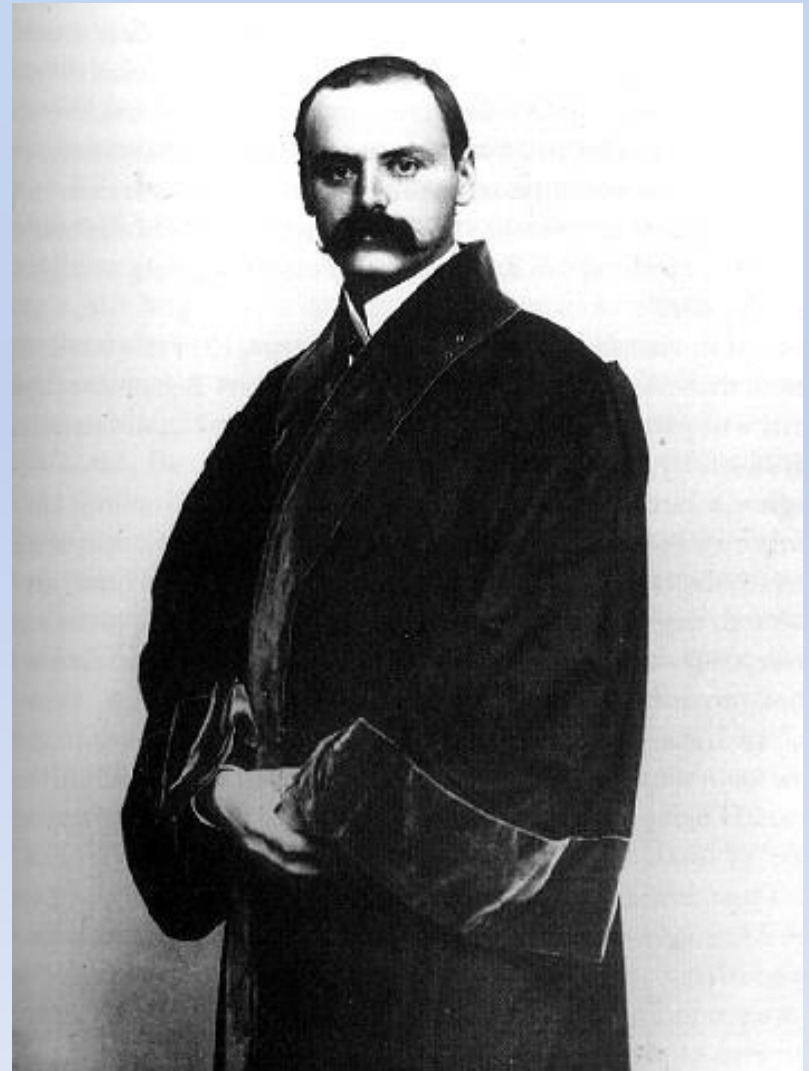
- ✓ Karl Schwarzschild was the first to calculate the radius of non-rotating black hole in vacuum.

- ✓ Core contracts to

$$R < R_s \equiv 2GM/c^2$$

same as the Newtonian result!

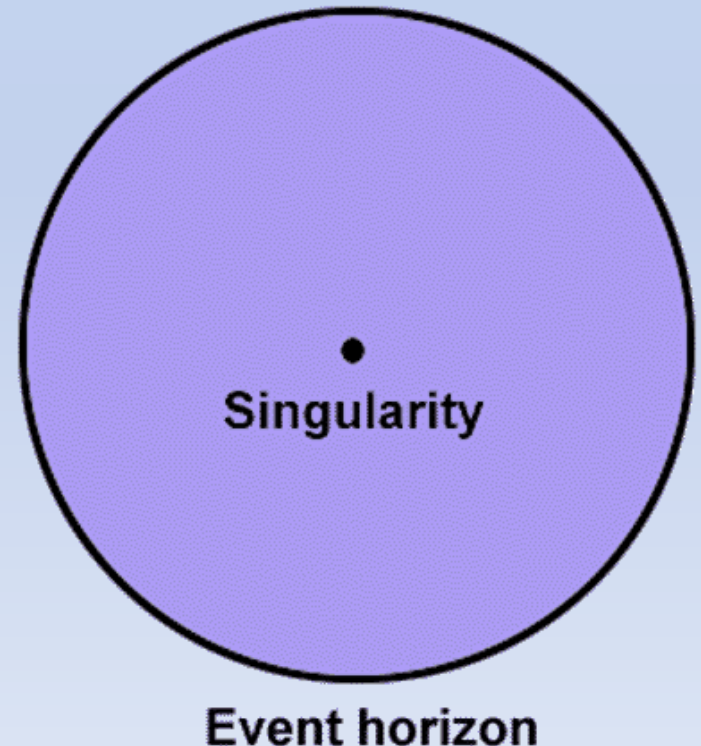
- ✓ From inside the Schwarzschild radius  $R_s$ , not even light can escape.



# 13.2 General relativistic picture

## *Schwarzschild black hole*

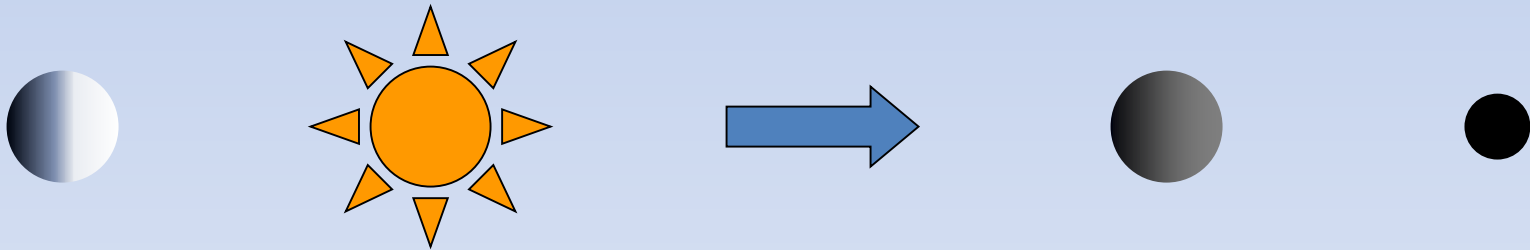
- ✓ The surface with  $r = R_S$  is called the **event horizon**.
- ✓  $R_S$  marks the boundary inside which *nothing* (even light) can escape.
- ✓ For example,
  - 1-solar-mass star:  $R_S = 3$  km
  - 10-solar-mass star:  $R_S = 30$  km



## 13.2 General relativistic picture

*What will a distant observer see?*

- ✓ No difference in gravitational force: just like the gravity of a star with the same mass
- ✓ A dark region



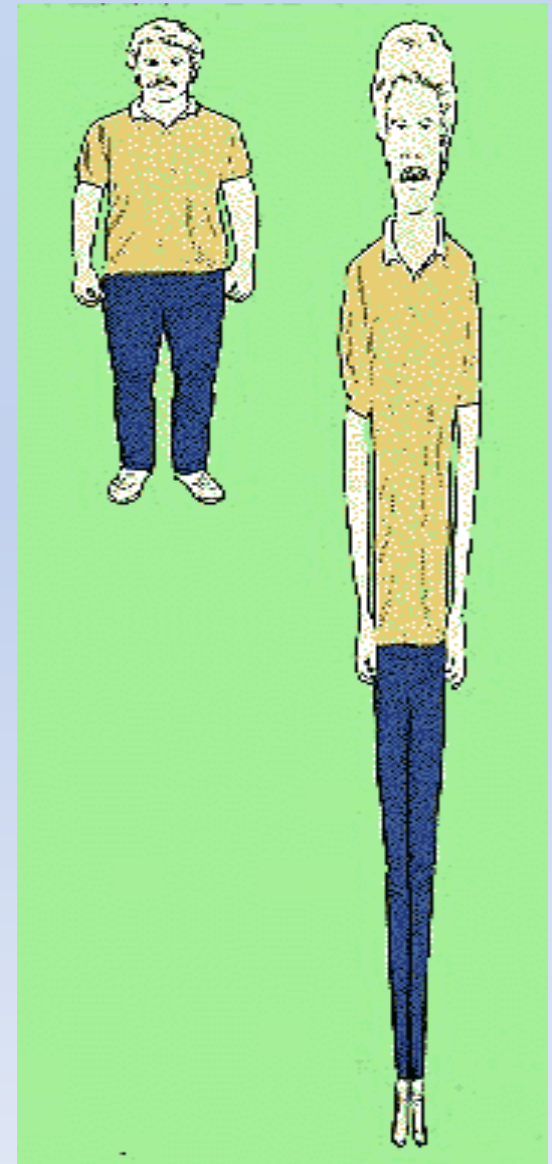
Don't worry! We won't fall into a black hole!



## 13.2 General relativistic picture

### *Tidal force*

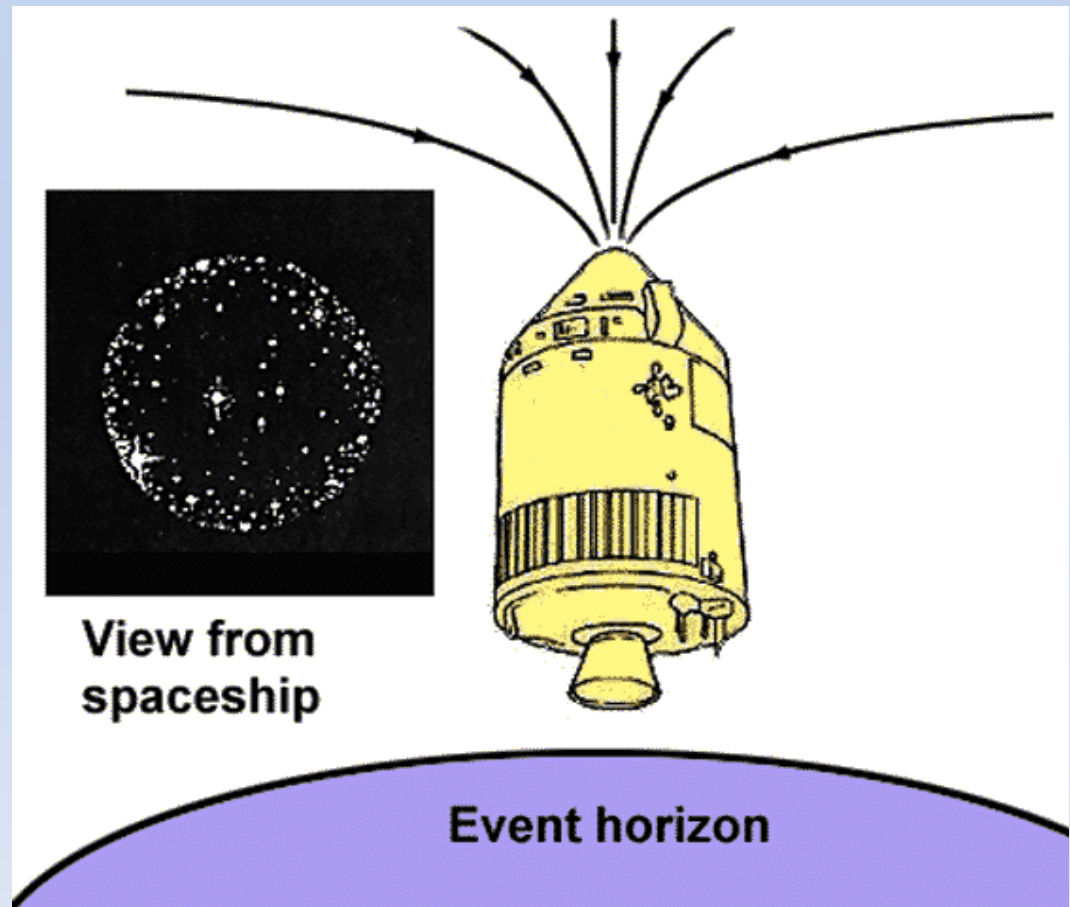
- ✓ Stretched in the direction towards the black hole (i.e., gravity stronger at the feet than the head), compressed in the perpendicular direction.
- ✓ may destroyed by the extreme tidal force !  
(imagine being turned to spaghetti, and then torn apart...)



# 13.2 General relativistic picture

## *Bending of the path of light*

- ✓ Light is also affected by the gravity. Their path is bent.
- ✓ The unfortunate astronaut sees distant starlight converges to a small cone overhead



## 13.2 General relativistic picture

### *Gravitational time dilation*

- ✓ Because of curvature of space-time, the clocks falling into a black hole run slower

$$\Delta t = \Delta t_0 \left( 1 - \frac{2GM}{rc^2} \right)^{-1/2}$$

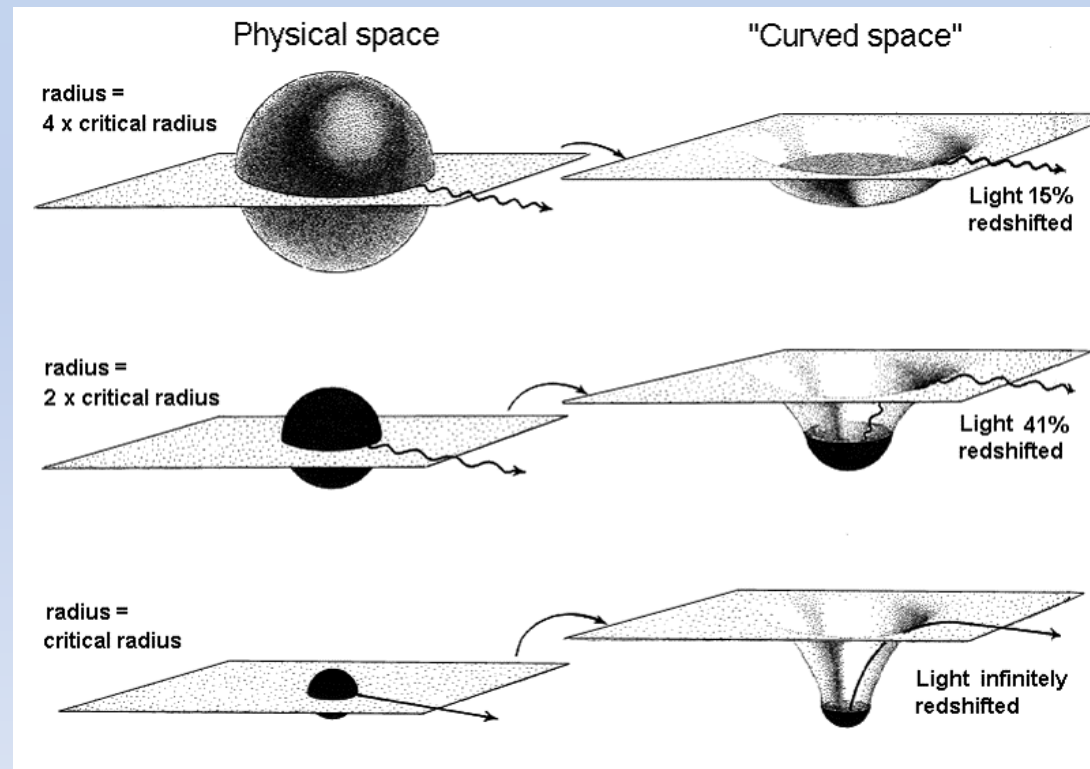
← what happens if  $r = R_s = 2GM / c^2$  ?

- ✓ The effect increases to infinity when the space shuttle falls through the event horizon.
- ✓ For  $r < R_s$ , i.e.,  $2GM / (rc^2) > 1$ , no event inside the event horizon could be **observed by** a distant observer!

# 13.2 General relativistic picture

## *Gravitational redshift*

- ✓ Light escape from a black hole is **redshifted**
- ✓ If  $r \leq R_S$ : **infinitely redshifted!**
- ✓ A light pulse would lose all its energy when it is climbing out of the potential well.



# 13.2 General relativistic picture

## *Gravitational redshift*

- ✓ Frequency is  $1/\Delta t$ , the gravitational redshift:

$$f = f_0 \left( 1 - \frac{2GM}{rc^2} \right)^{1/2}$$

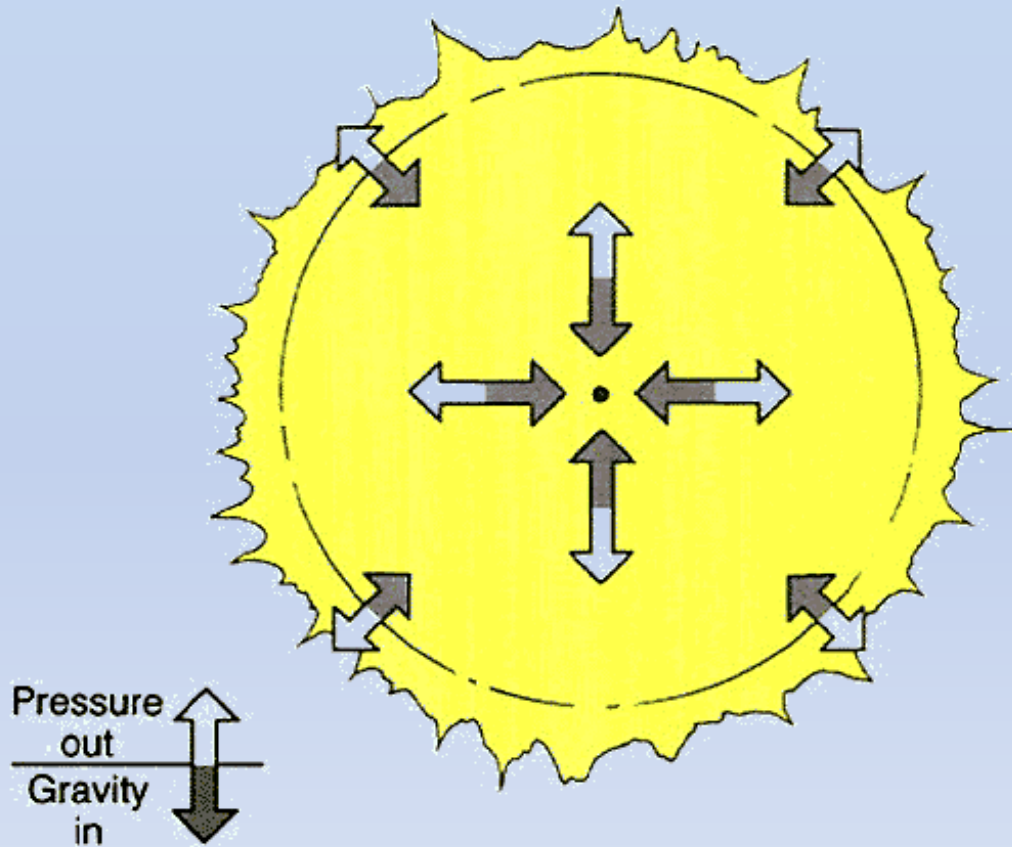
what happens if  $r = R_s = 2GM / c^2$  ?

- ✓ Note if the pulse is emitted far from the event horizon, i.e.,  $r \gg R_s$ , weak-field limit applies, i.e.,

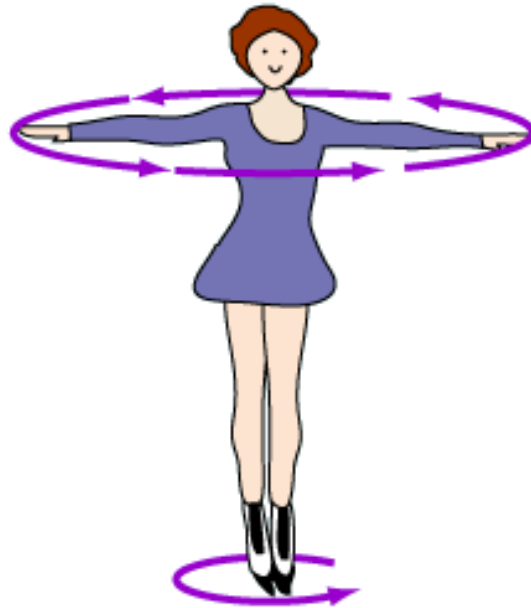
$$f = f_0 \left( 1 - \frac{GM}{rc^2} \right) = f_0 \left( 1 - \frac{\Delta\phi}{c^2} \right)$$

Before further discussion, remind me again  
how a star could form a **black hole**?





- ✓ Towards the end of life of a massive star
- ✓ remaining core of 2-3 solar masses:
- ✓ core contracted and becomes black hole
- ✓ Now recall that stars are rotating



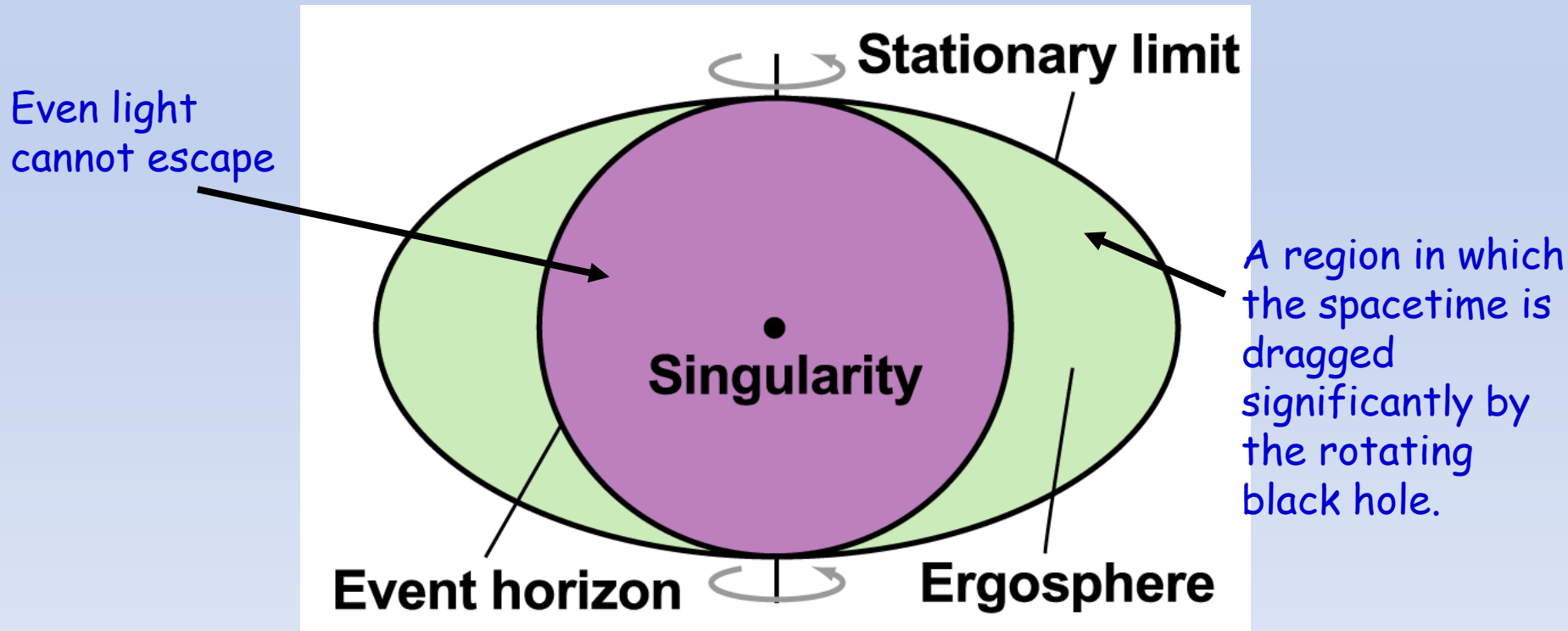


Roy Kerr (1934 -)

# 13.2 General relativistic picture

## *Kerr black hole*

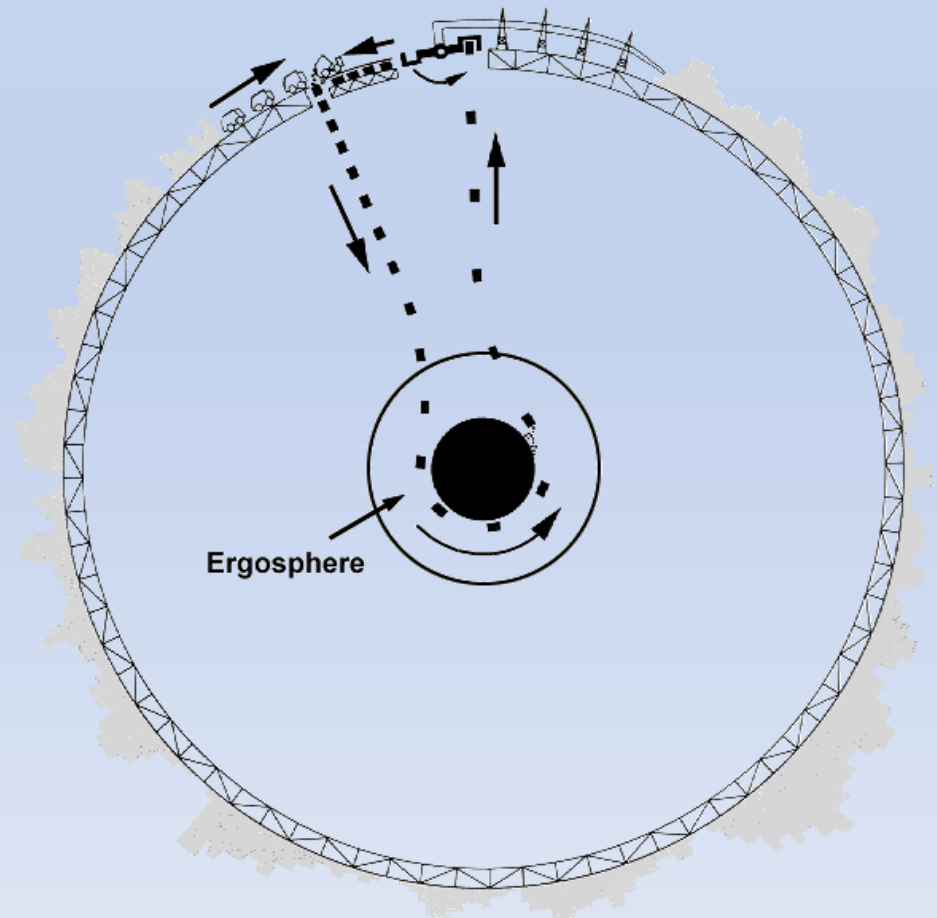
- ✓ black holes with *angular momentum*



# 13.2 General relativistic picture

## *The Penrose process*

- ✓ Roger Penrose: let's throw some garbage to a rotating black hole! (paraphrased)
- ✓ It is possible for the infalling matter to be split into two parts. The outgoing part gets some energy from the rotation of the BH.



# 13.2 General relativistic picture

## *No hair theorem*

- ✓ A black hole is completely characterized by 3 parameters: the *mass*, *angular momentum*, and *charge*.
- ✓ Mass is the *only* property of a Schwarzschild black hole
- ✓ Rotating black hole (Kerr black hole) with angular momentum
- ✓ a black hole with charge should NOT be common

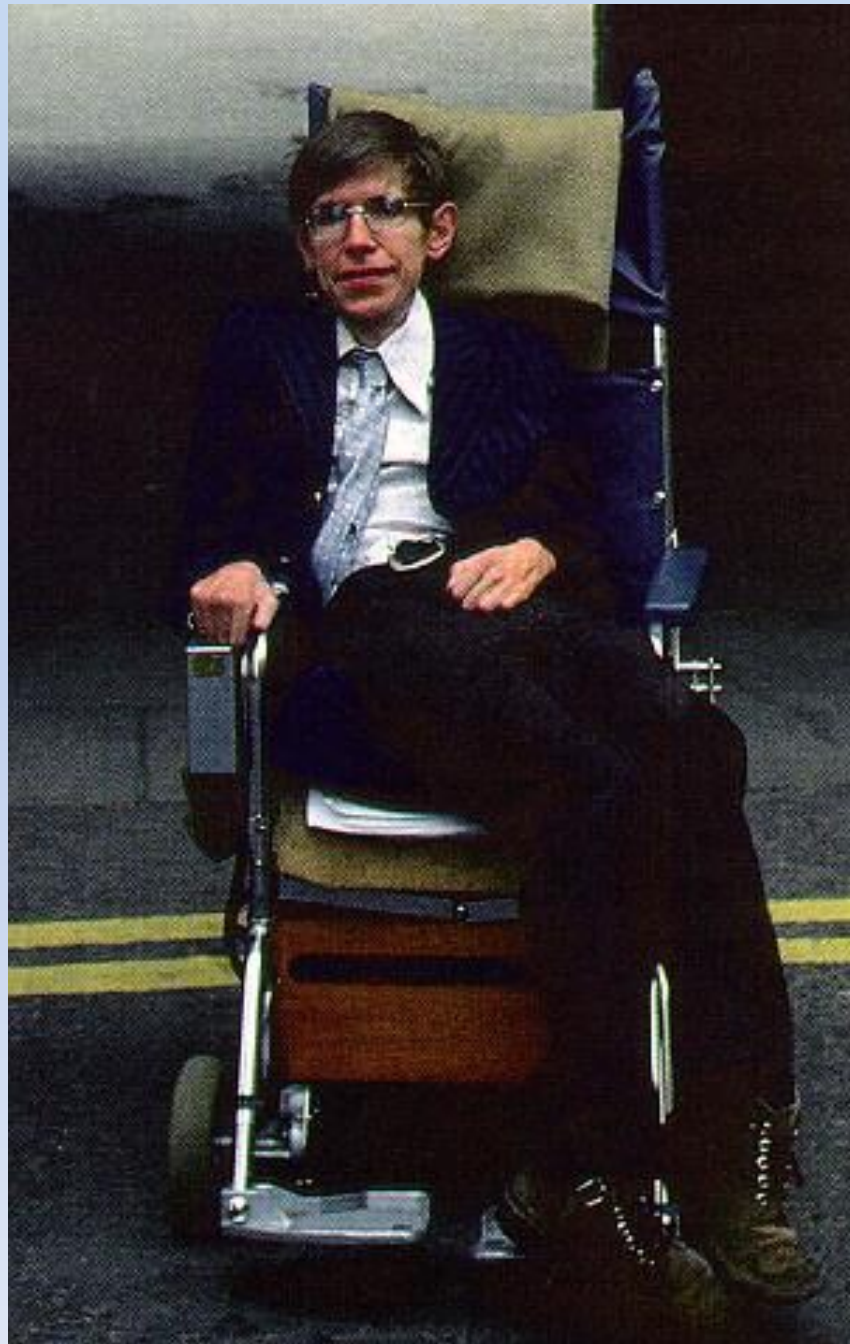




## 13.2 General relativistic picture

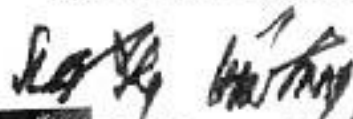
- ✓ Significant warping of space-time
- ✓ everything swallowed by the singularity
- ✓ NO route from black holes to other parts of the universe
- ✓ Quantum effect becomes important at the singularity

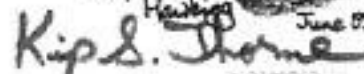
Stephen Hawking  
(1942 - 2018)



Whereas Stephen Hawking  
has such a large investment in  
General Relativity and Black  
Holes and desires an insurance  
policy, and whereas Kip Thorne likes  
to live dangerously without an  
insurance policy,

Therefore be it resolved that  
Stephen Hawking bets 1 year's  
subscription to "Penthouse" as against  
Kip Thorne's wager of a 4-year  
subscription to "Private Eye", that  
Cygnus X-1 does not contain a  
black hole of mass above the  
Chandrasekhar Limit.

 Stephen Hawking

 Kip S. Thorne



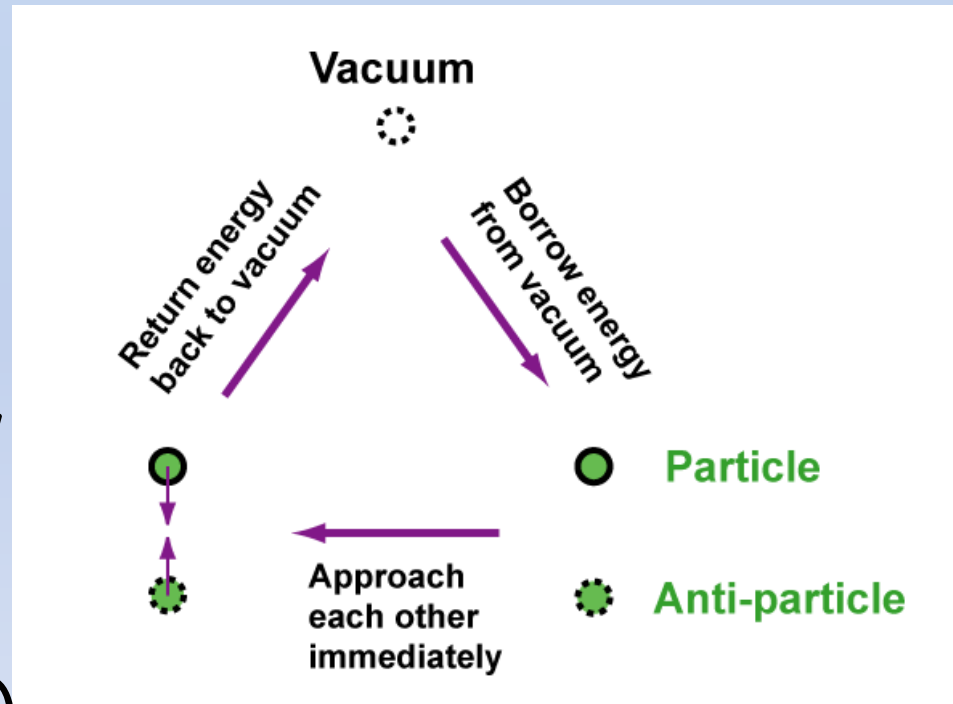
Witnessed this tenth  
day of December 1974  
Frankman Amazythas Werner J.



# 13.2 General relativistic picture

## *Hawking radiation*

- ✓ Virtual particle pairs:  
Quantum mechanics allows energy to be “borrowed” from the vacuum, creating a pair of particles:  
“Particle-antiparticle pair”
- ✓ Under usual conditions, the pair can exist only temporarily (hence virtual). They will be together again and annihilate each other nearly immediately.

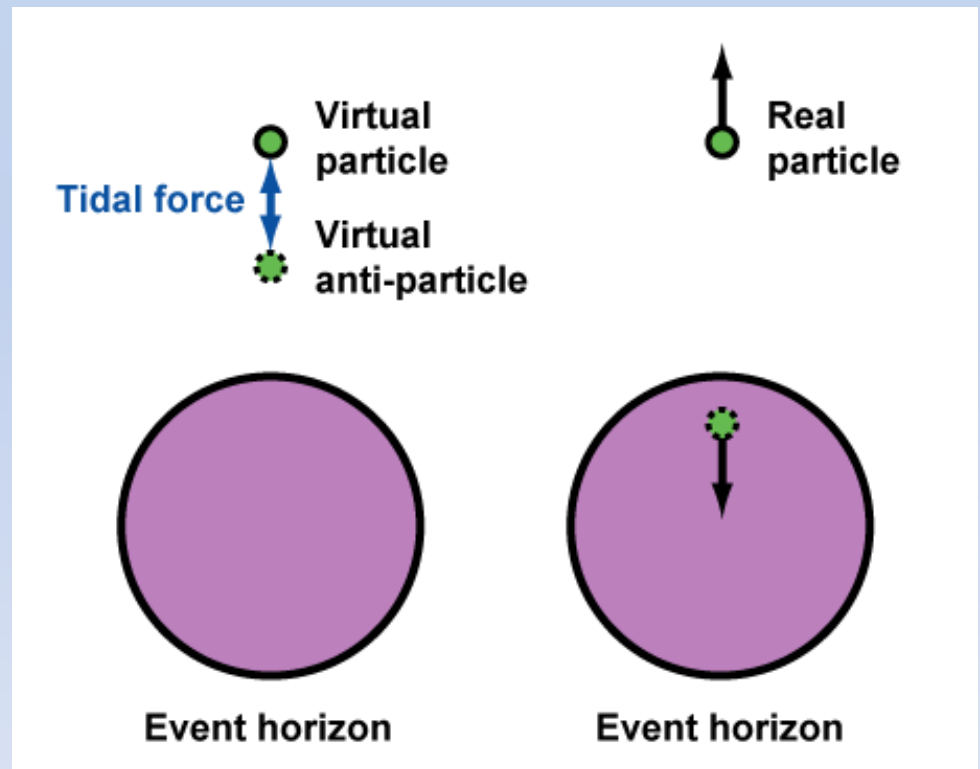


Example: Positron (正电子), which carries positive charge, is the antiparticle of electron.

# 13.2 General relativistic picture

## *Hawking radiation*

- ✓ Strong tidal force → a virtual pair becomes a real pair
- ✓ One particle falls into the black hole.
- ✓ The other escapes
- ✓ Hawking radiation: emitting all kinds of particles



## 13.3 The search for black holes

- ✓ Given the velocity and mass of the matter rotating around a region
- ✓ the mass of central object can be deduced
- ✓ Large mass in small volume, may be a black hole



## 13.3 The search for black holes

- ✓ Sgr A\*: a compact radio source at the centre of the Milky Way Galaxy
- ✓ Over 3 million solar masses in a small region
- ✓ Believe to host a supermassive black hole
- ✓ How do we know?

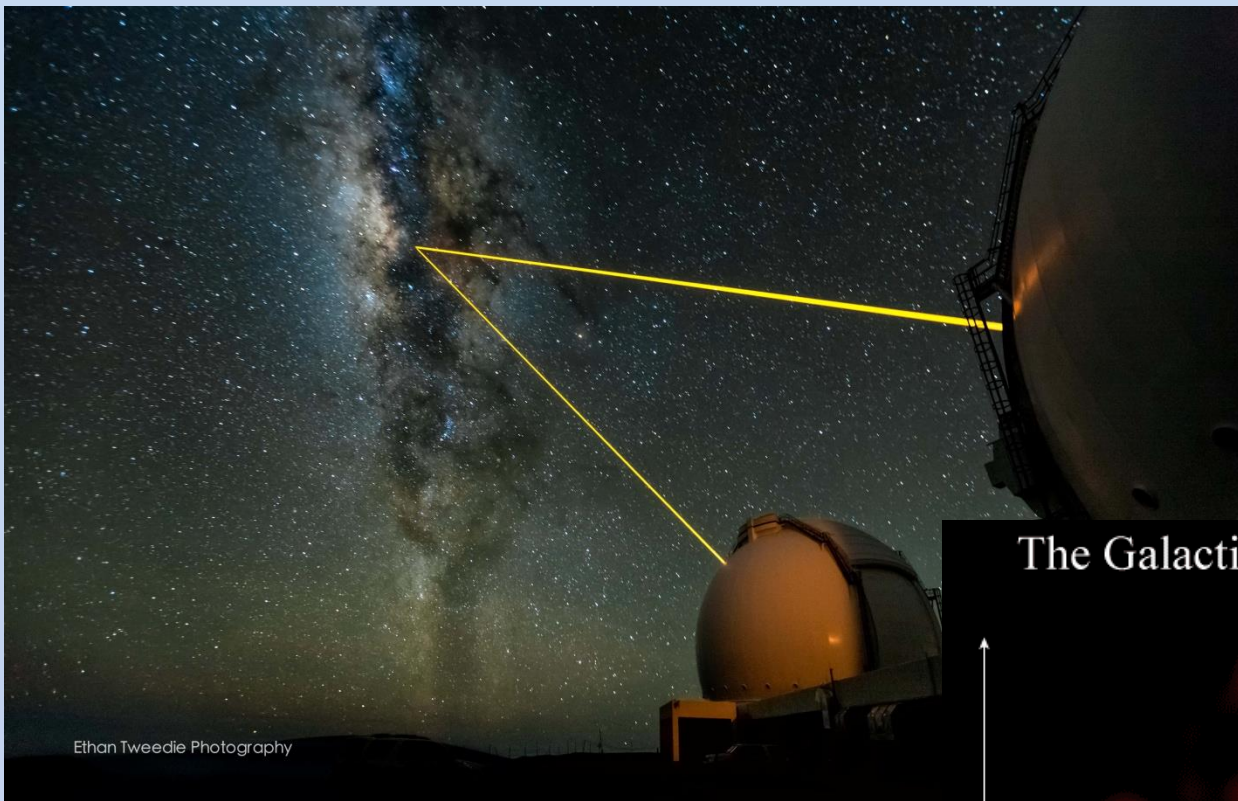
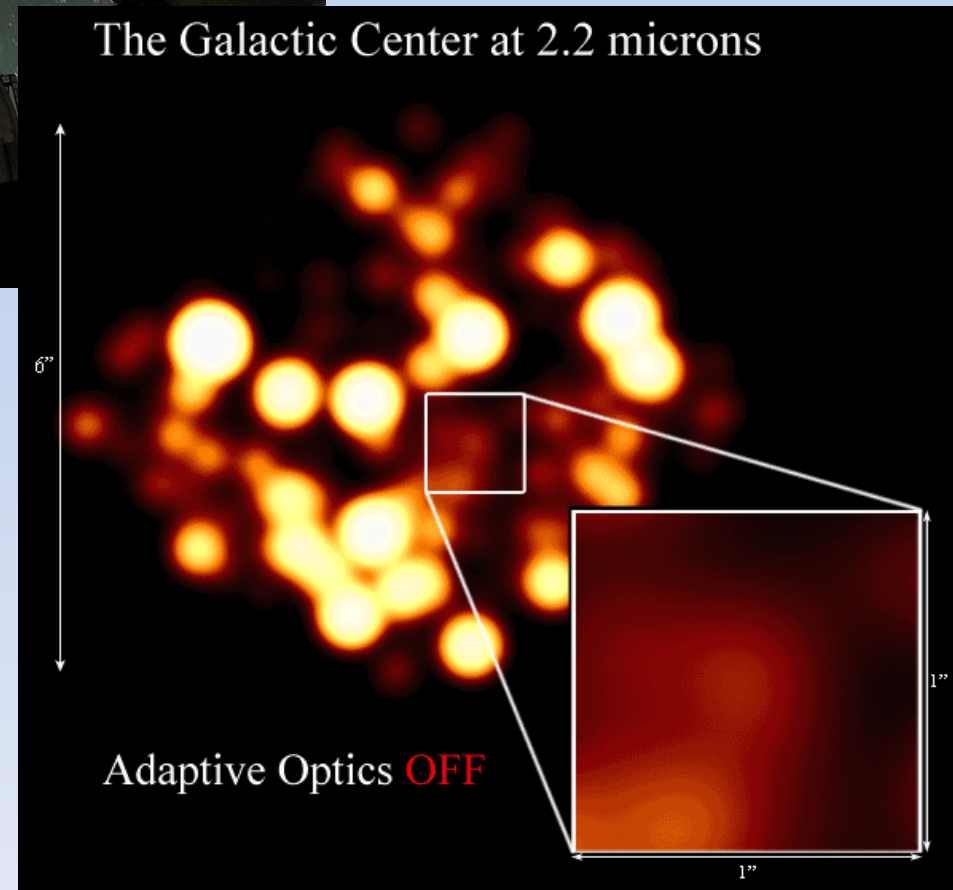
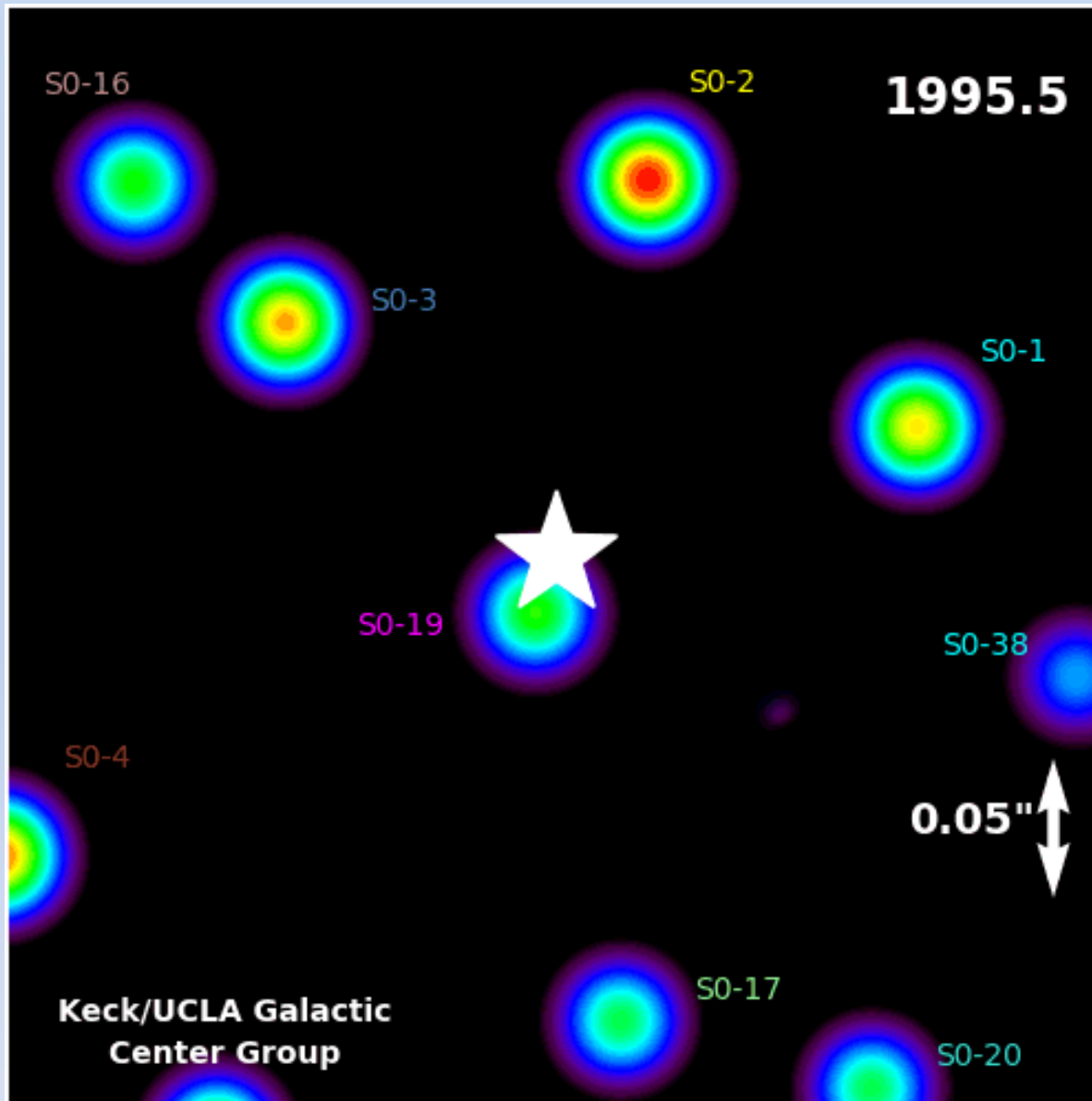


Image: Ethan Tweedie

Image: UCLA Galactic Center Group

observing the galactic  
centre by using adaptive  
optics





Two stars have completed at least 1 orbit in  
the last 2 decades

## 13.3 The search for black holes

- ✓ Within the next few years, the region is expected to be resolved in sub-mm wavelength by using VLBI (Very Long Baseline Interferometry)

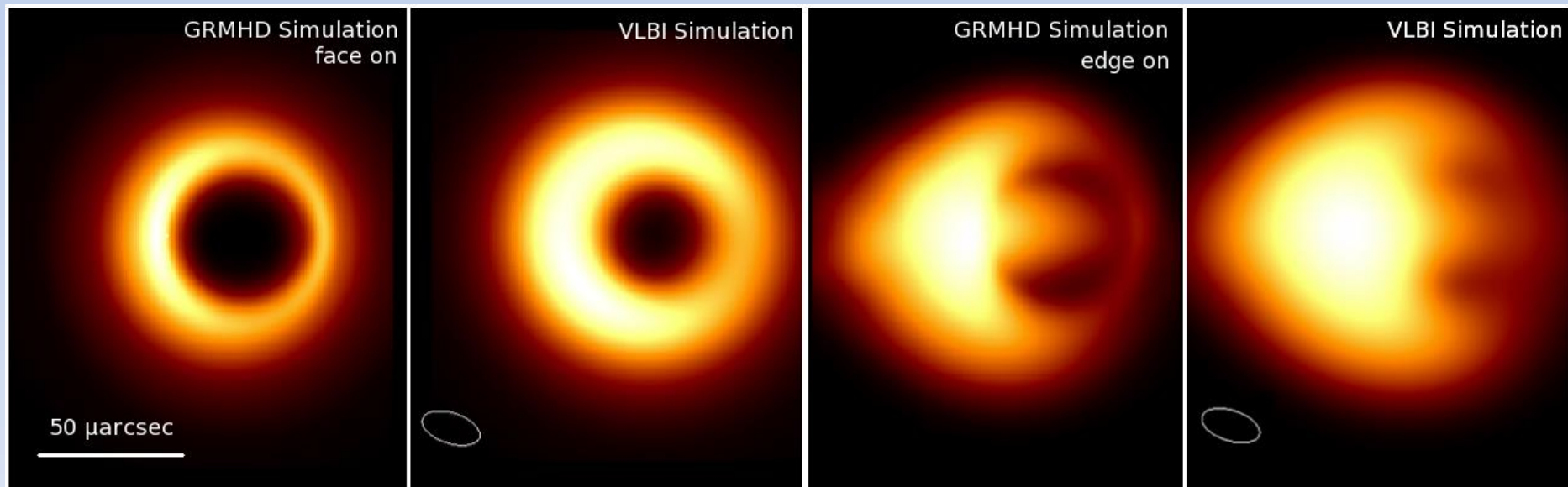


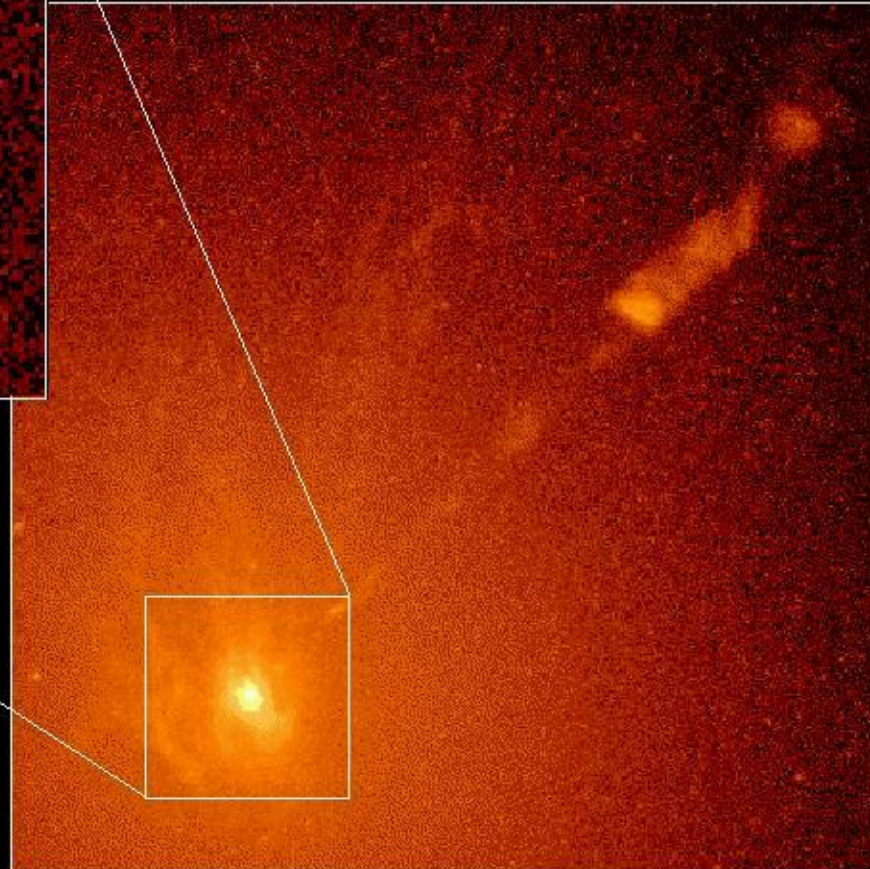
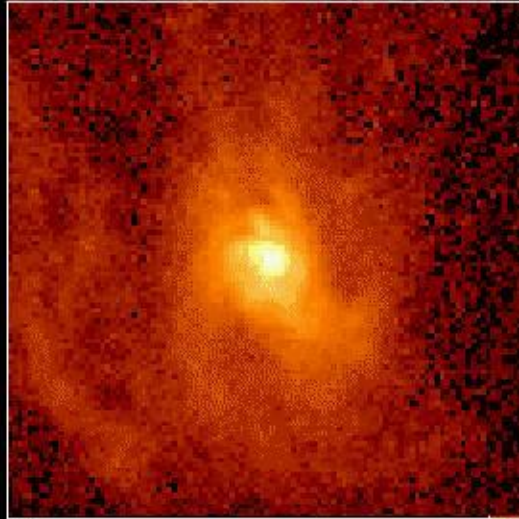
Image: Moschibrodzka et al 2009

## 13.3 The search for black holes

- ✓ M87 at Virgo cluster: Super-massive black holes may be at the centre of galaxies
- ✓ Gas rotating at  $\sim 500$  km/s
- ✓ 3 billions  $M_{\odot}$  confined in a space no larger than our solar system
- ✓ 2<sup>nd</sup> largest black hole in terms of angular size on the sky
- ✓ may be black hole power source at center?



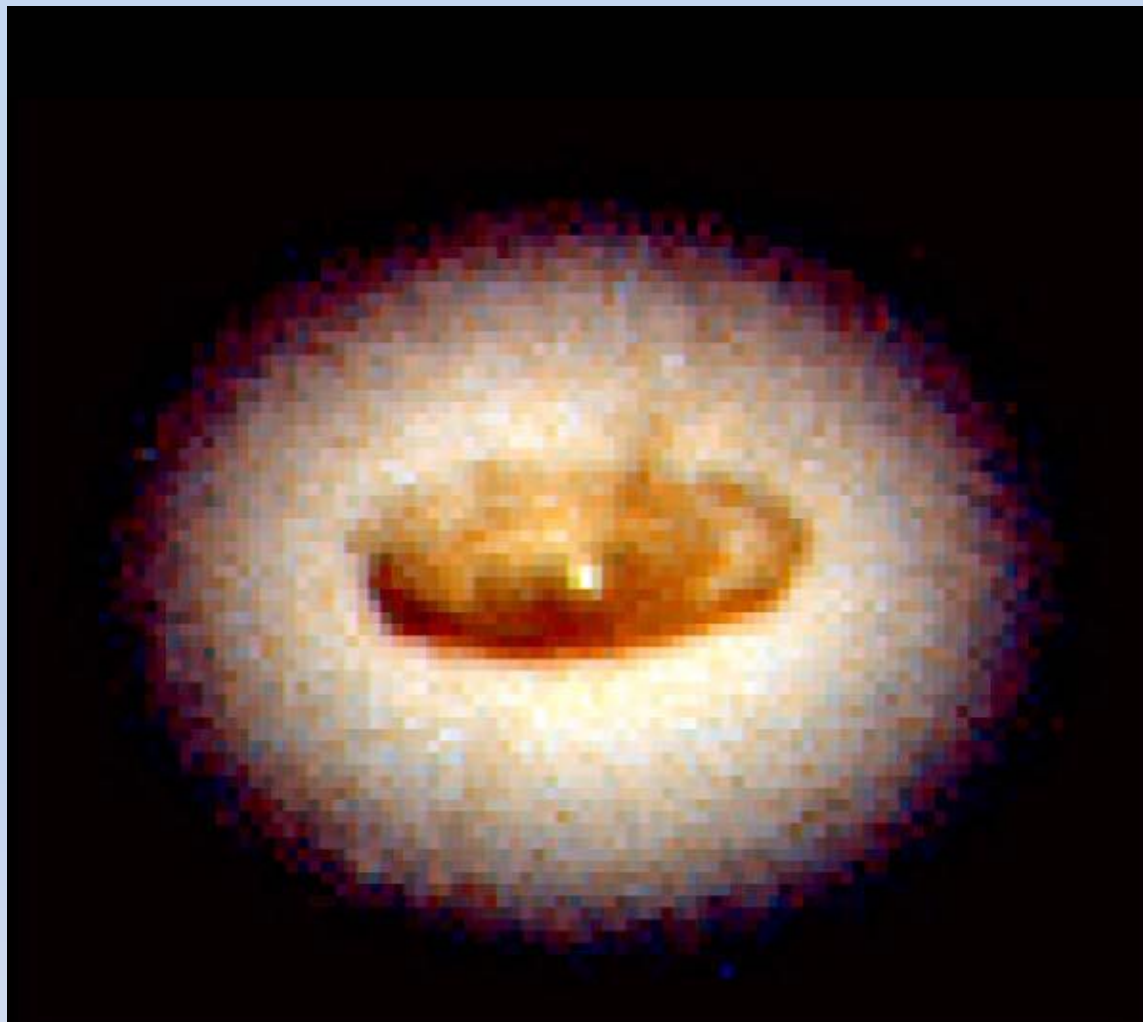
## Gas Disk in Nucleus of Active Galaxy M87



Hubble Space Telescope  
Wide Field Planetary Camera 2



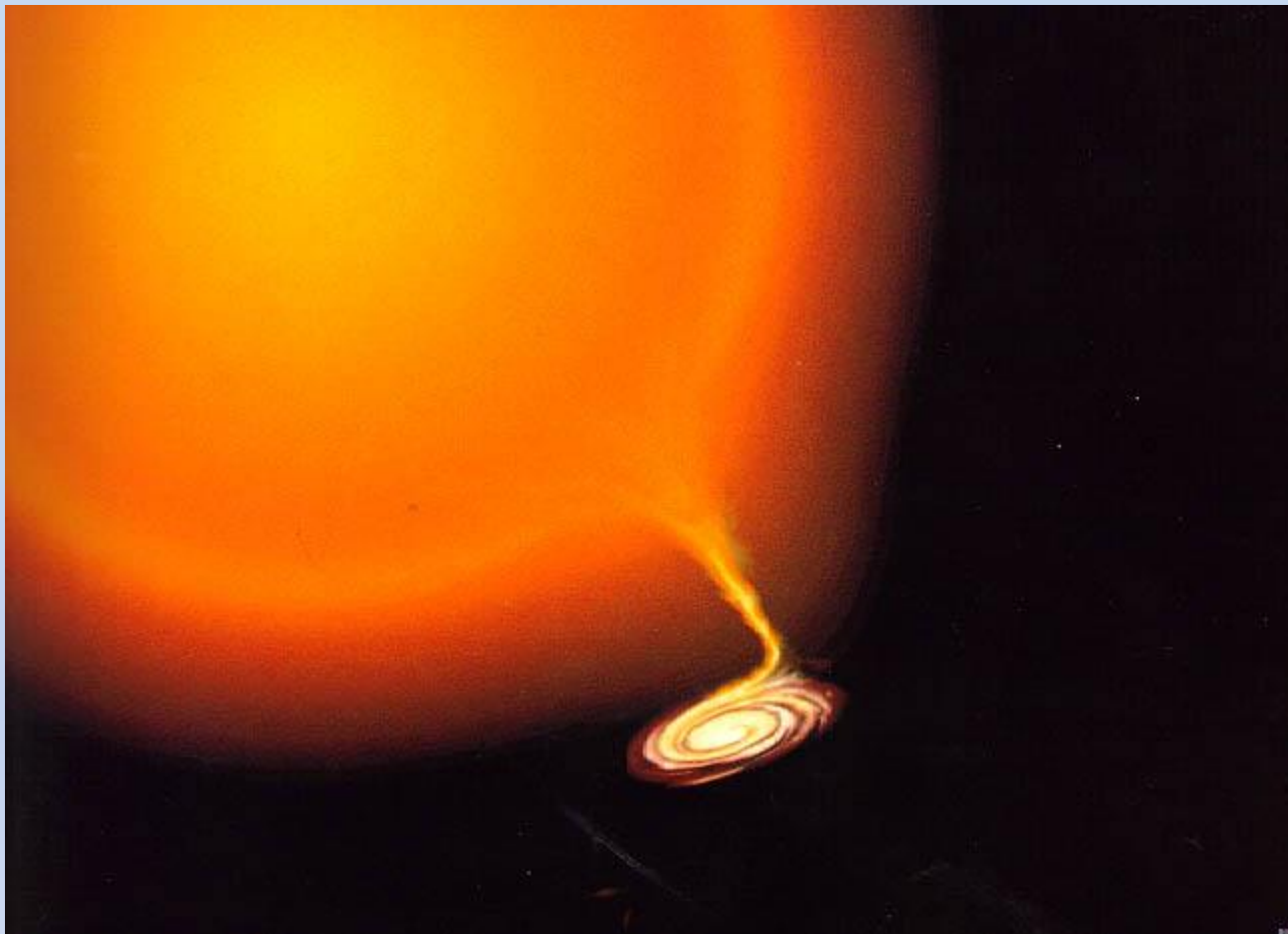




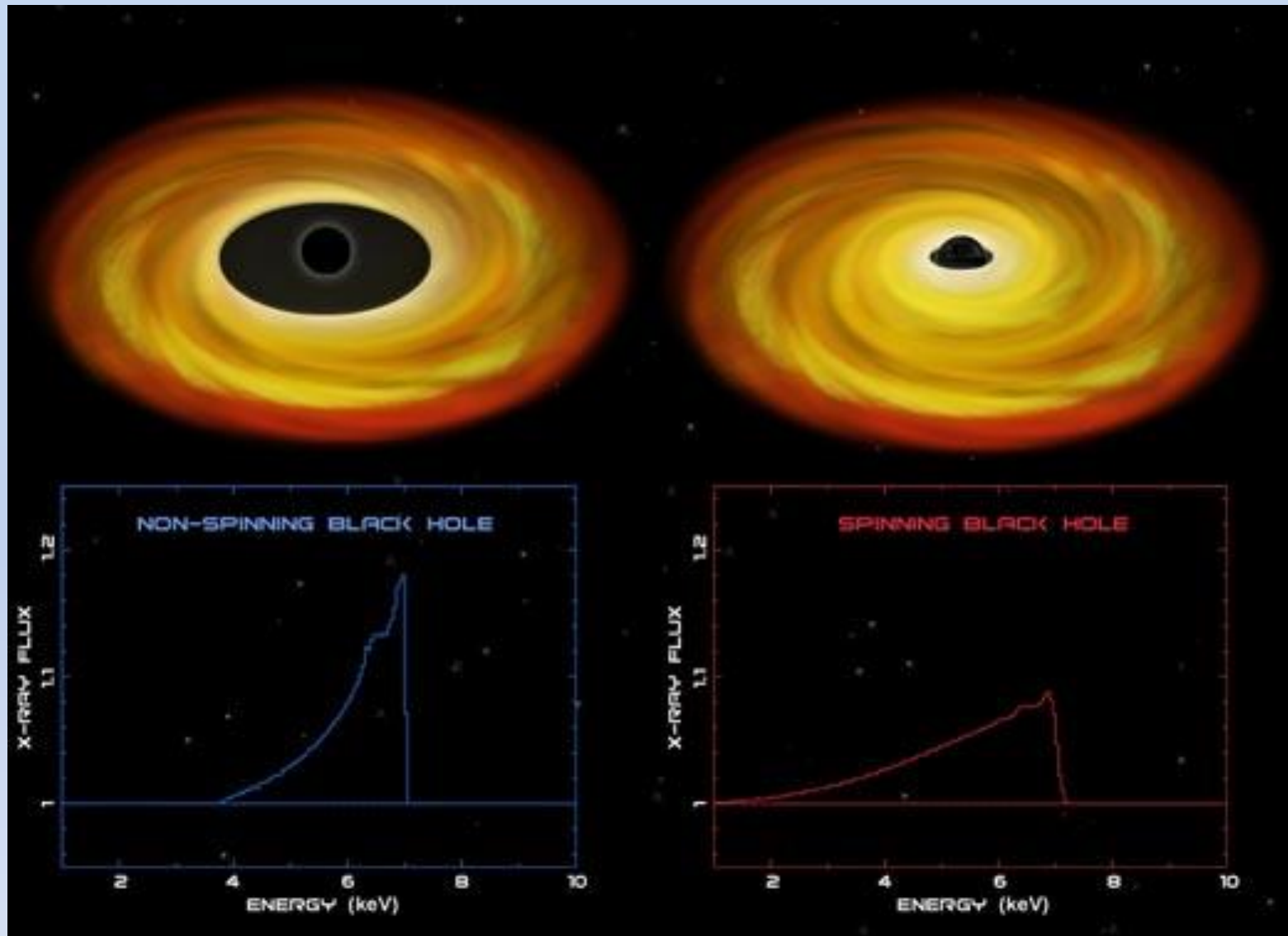
**Core of Galaxy NGC4261**

**HST • WFPC2**

PRC95-47 • ST ScI OPO • December 4, 1995  
H. Ford and L. Ferrarese (JHU), NASA



- ✓ For stellar-mass black hole, we can observe close binary systems,
- ✓ X-rays emitted from the accreted matter
- ✓ Mass of the dark companion can be estimated

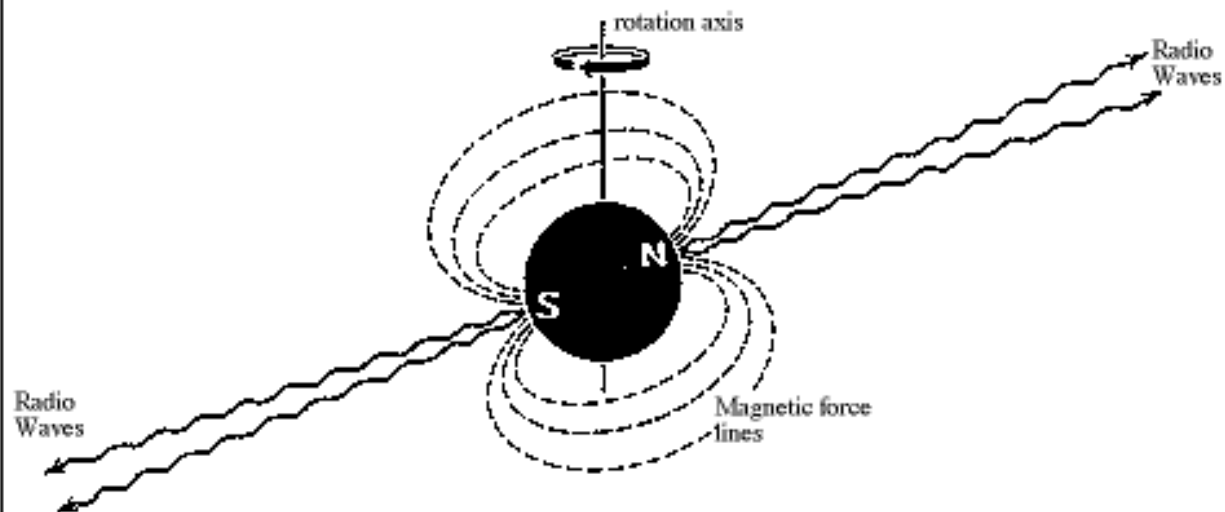


The X-ray spectrum could also allow us to constrain the parameters of the black hole

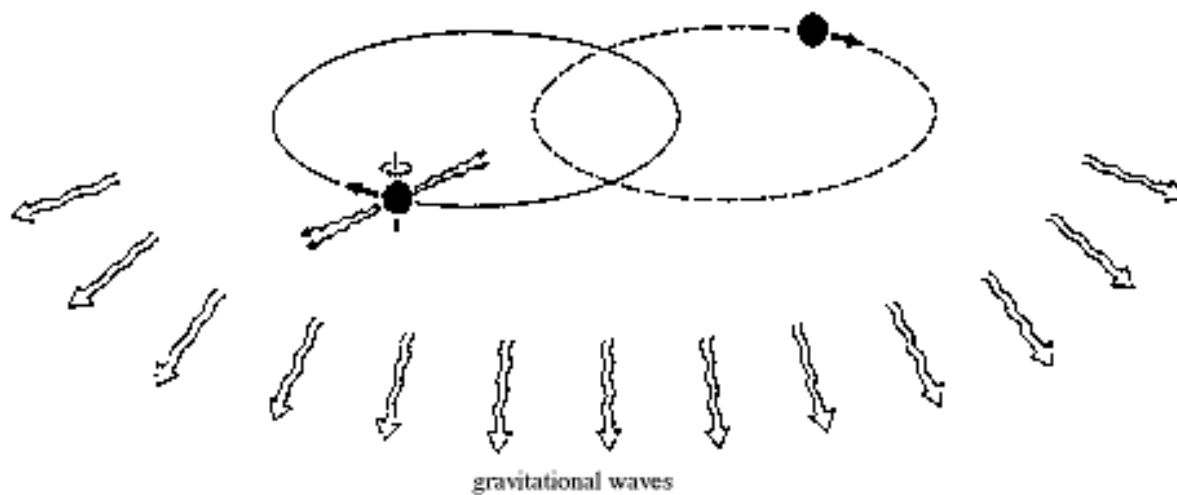
## 13.4 Testing General Relativity in the strong-field regime

- ✓ Almost all tests of GR are in the weak-field limit.
- ✓ Is GR correct in location of extremely strong gravity?
- ✓ Space-time distortion is significant around black holes, and to a lower extent, neutron stars. They allow us to test whether GR still works in the strong field limit.
- ✓ It all changes due to the discovery of a binary pulsar system B1913+16.

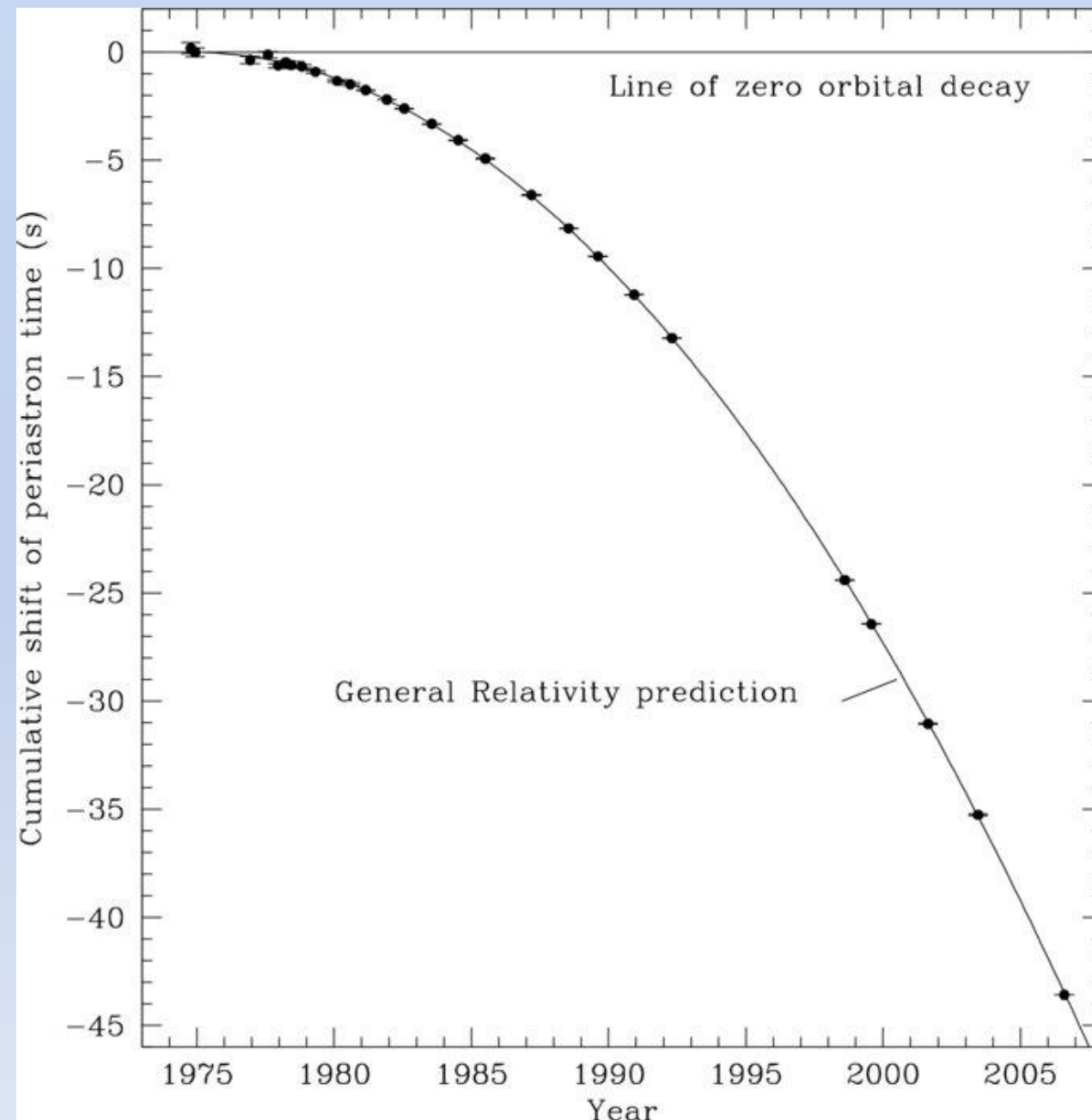
## Pulsar



## Binary pulsar



The orbit shrinks slowly over time.

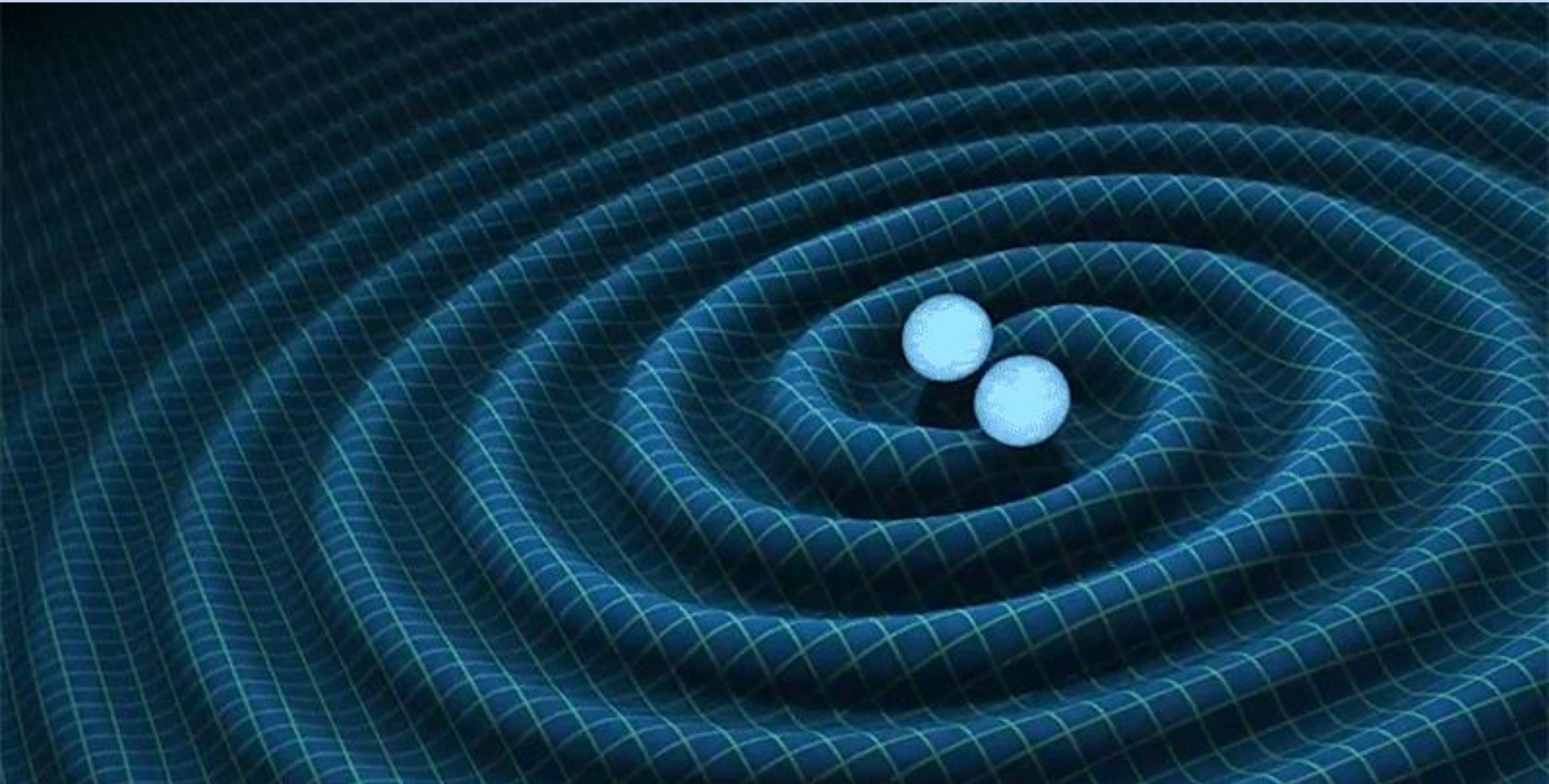


Observation of the orbital decay of the binary pulsar provides strong evidence for the existence of gravitational wave.



# 13.4 Testing General Relativity in the strong-field regime

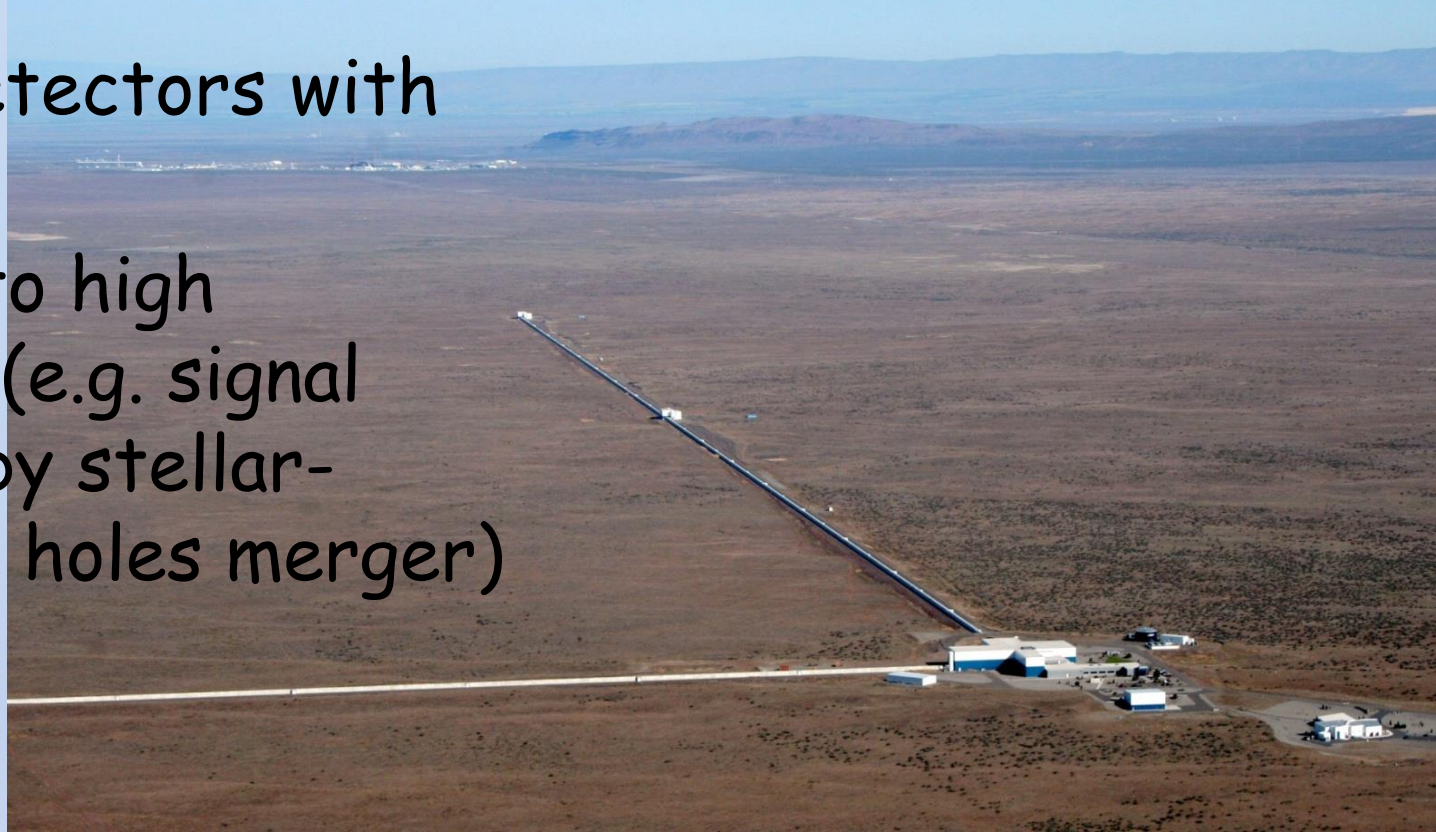
Image credit: LIGO



Gravitational wave: the ripple of the space-time



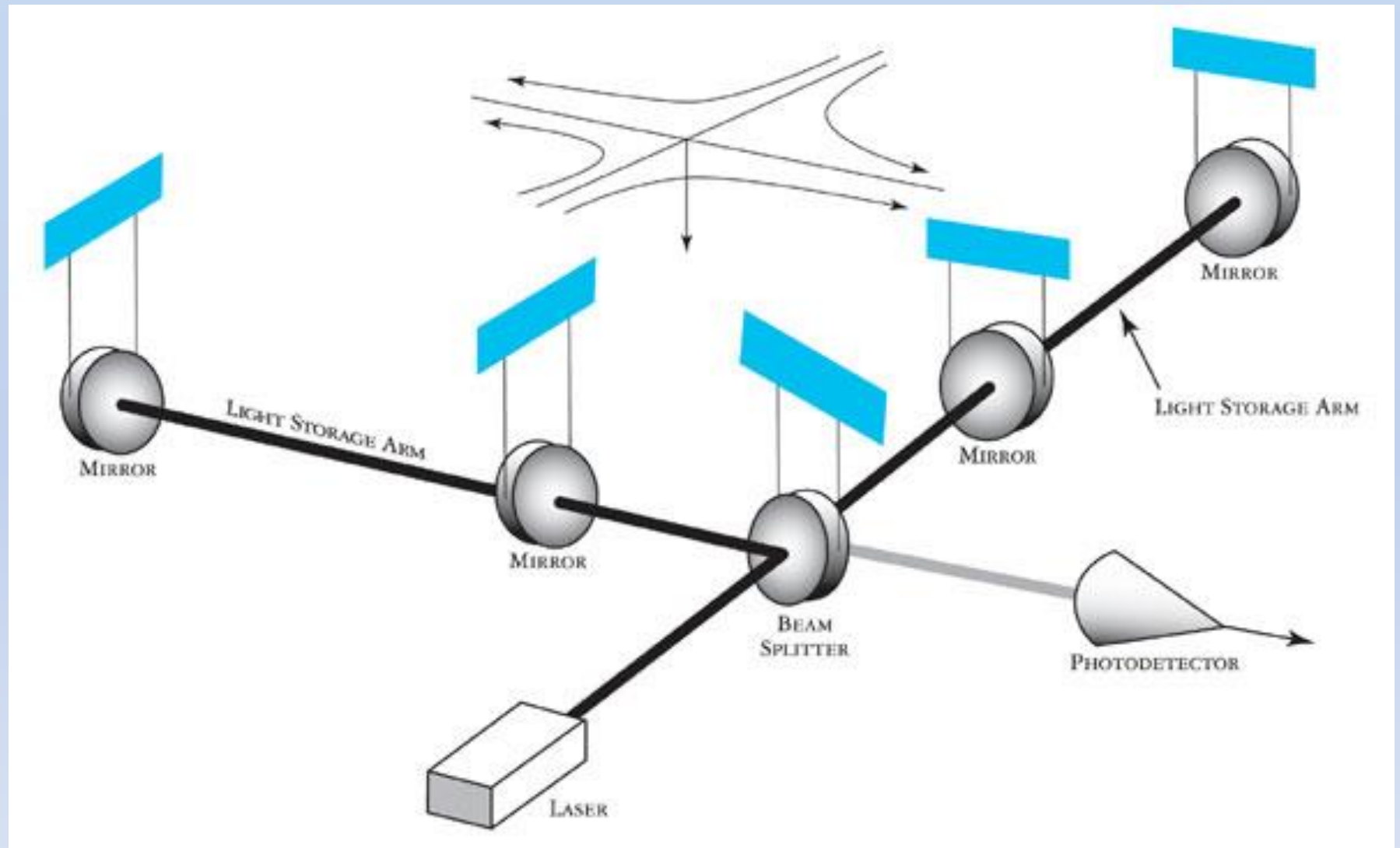
- ✓ L-shape detectors with 4-km arms
- ✓ Sensitive to high frequency (e.g. signal produced by stellar-mass black holes merger)



LIGO at Hanford

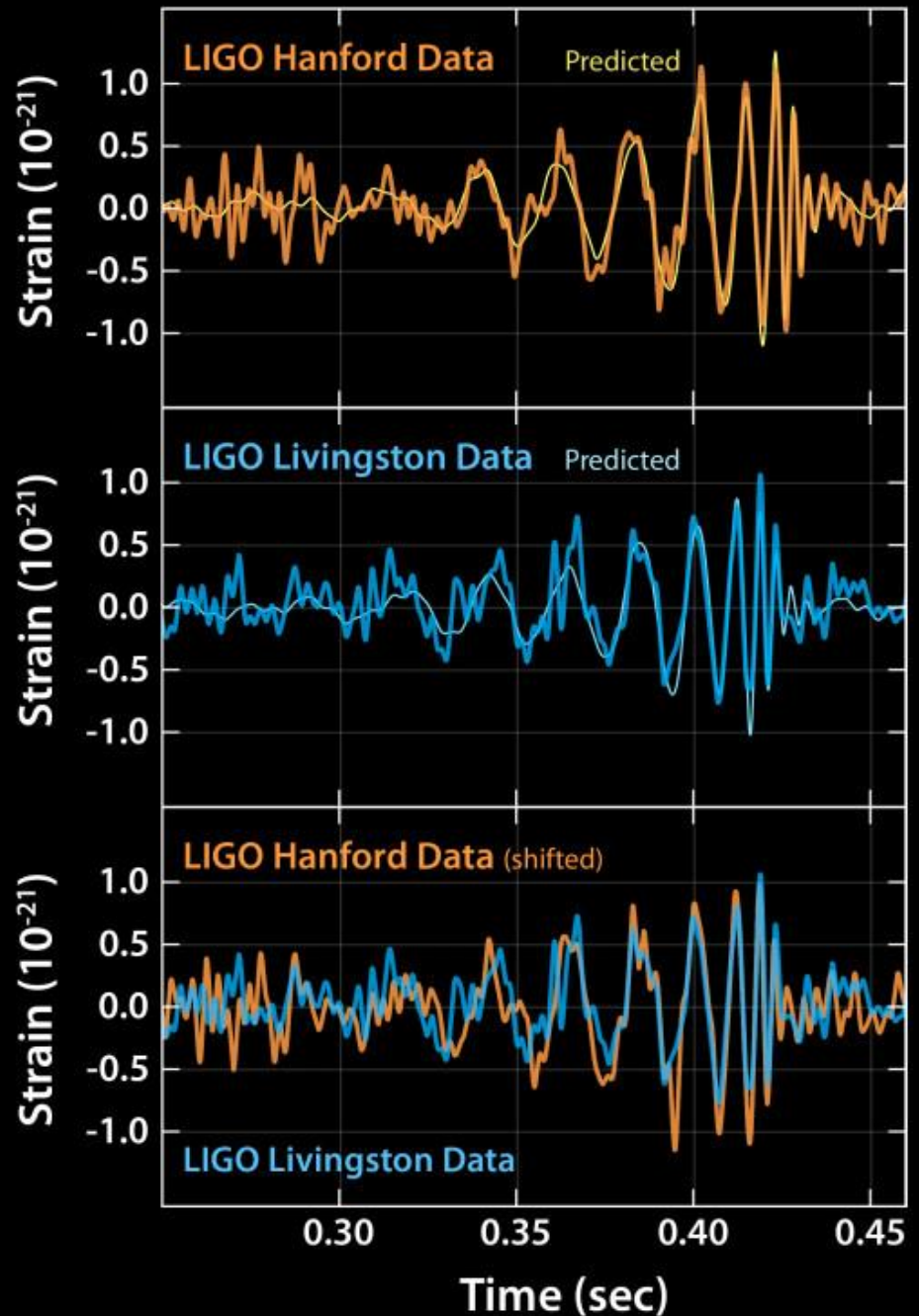


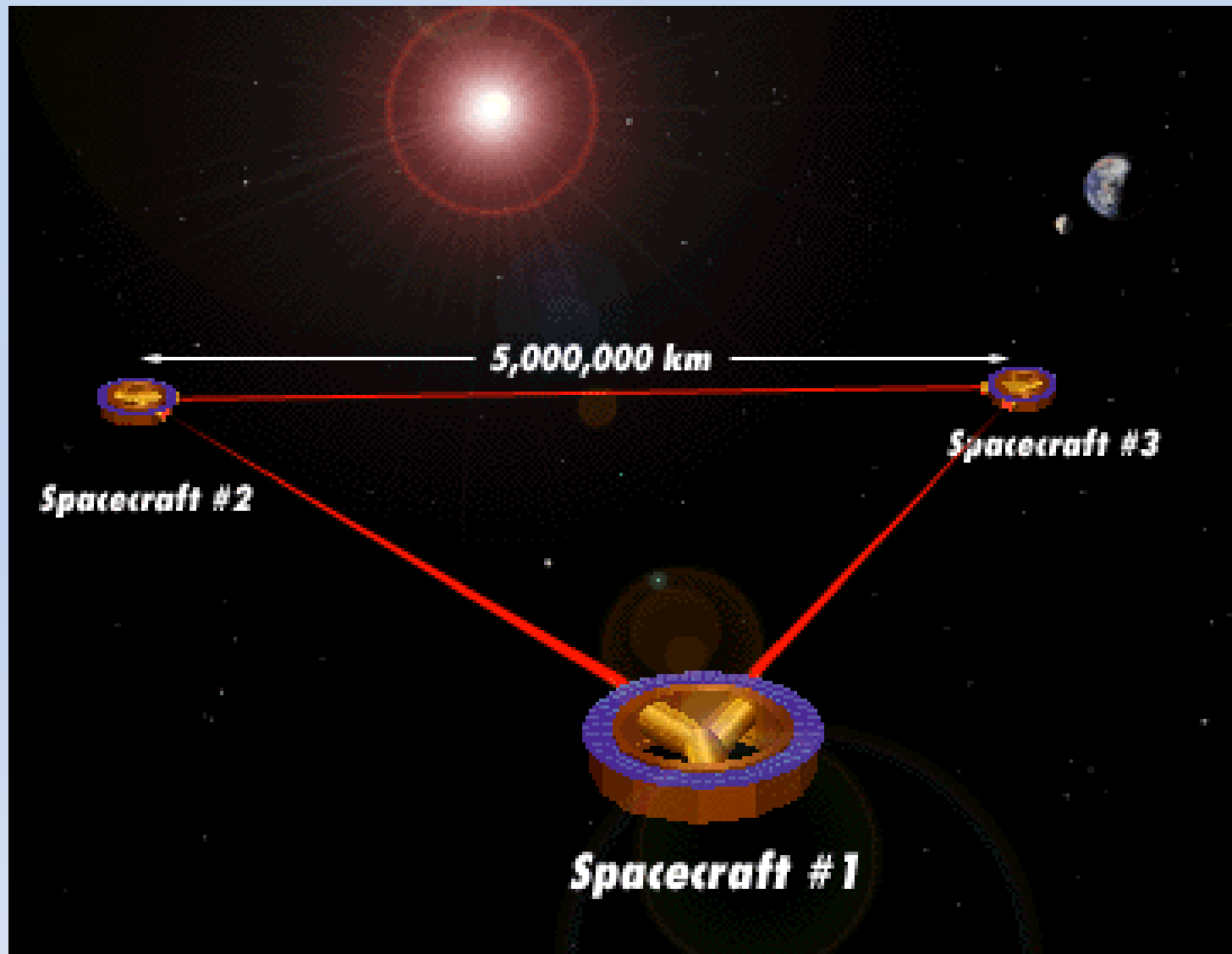
LIGO at Livingston



LIGO's design

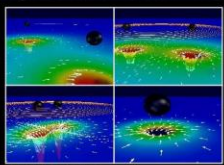
- ✓ First direct detection by LIGO (the Laser Interferometer Gravitational-Wave Observatory) in 2015, announced in 2016/2.
- ✓ The GW was emitted when two black holes merged 1.3 billion years ago.
- ✓ GW from neutron stars merger has since been detected.



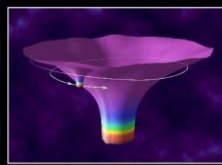


LISA: the Laser Interferometer Space Antenna





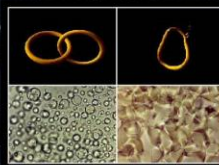
Supermassive  
Black Hole Binaries



Compact Object  
Captures



Galactic White  
Dwarf Binaries



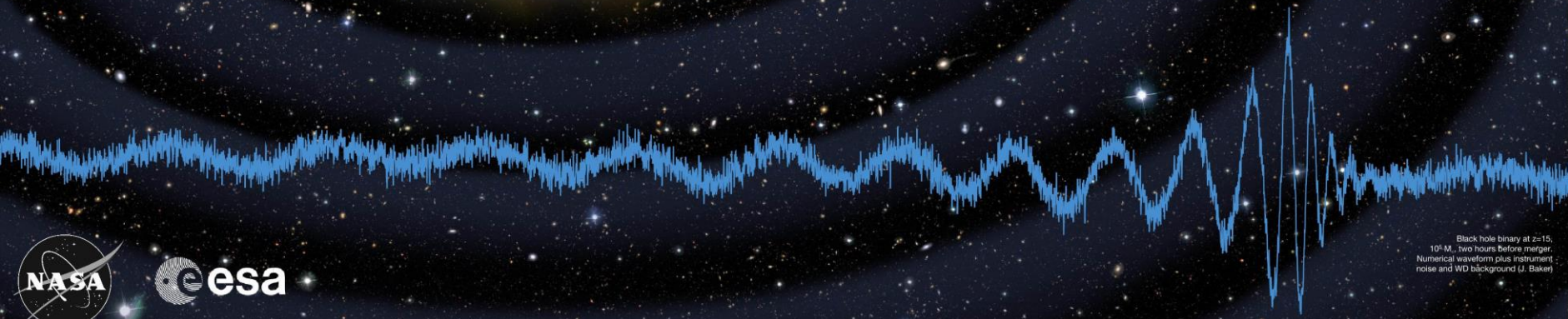
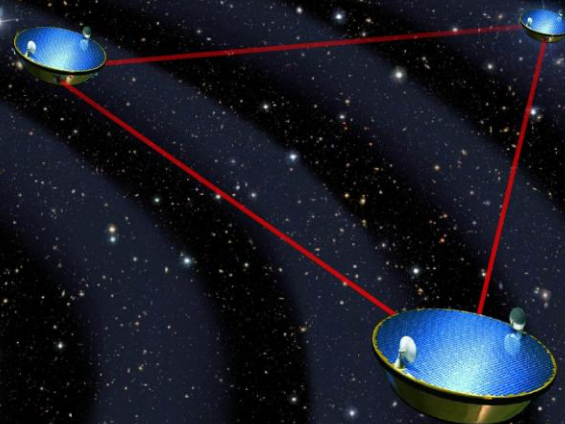
Cosmic Strings and  
Phase Transitions

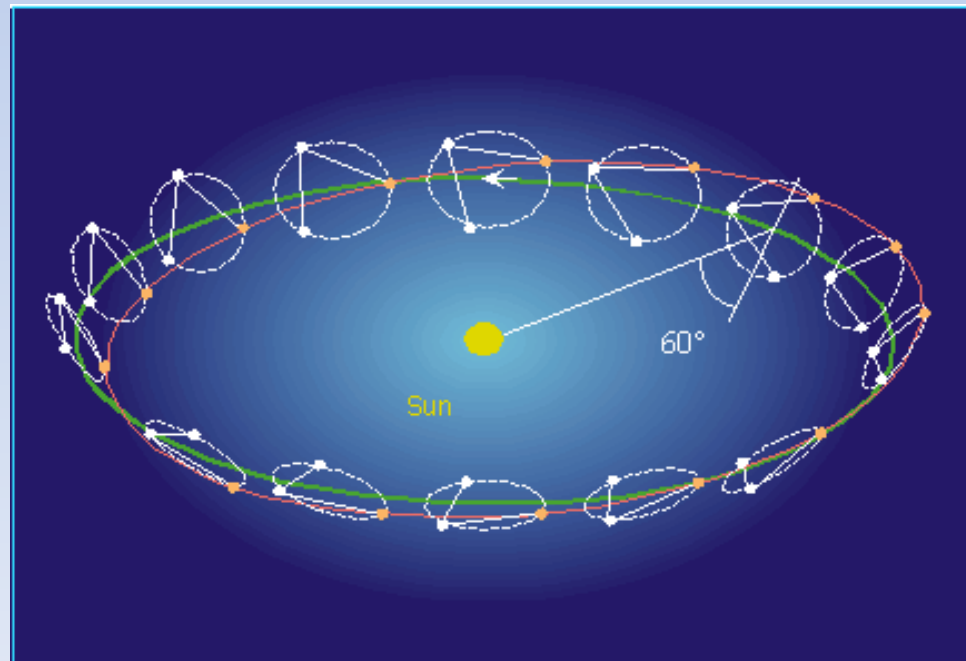
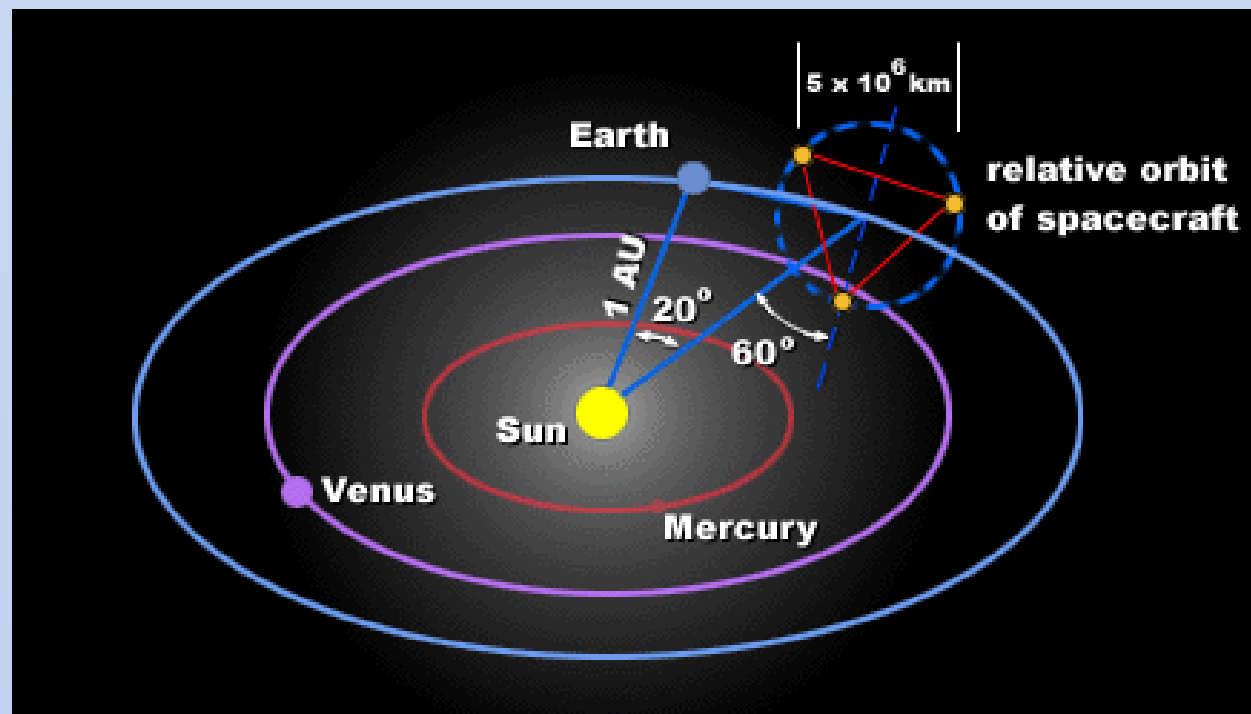
# LISA

Laser Interferometer Space Antenna



*Gravity is talking. LISA will listen.*







## 13.4 Testing General Relativity in the strong-field regime

- ✓ Another way to test GR at strong gravity region is to observe the accretion of matter
- ✓ The source of matter could be a nearby star, ...

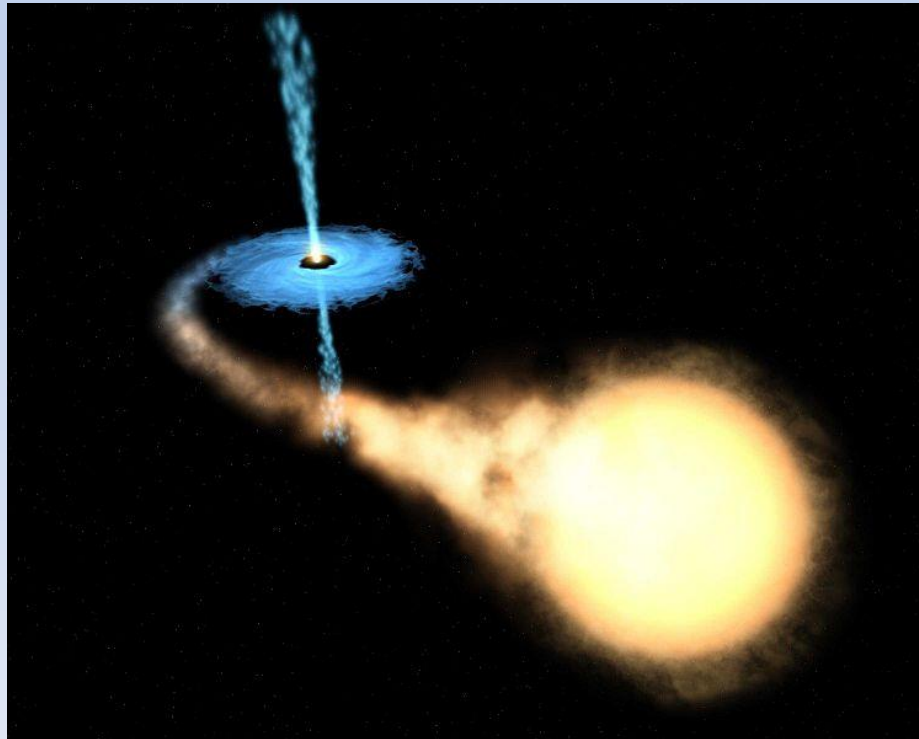
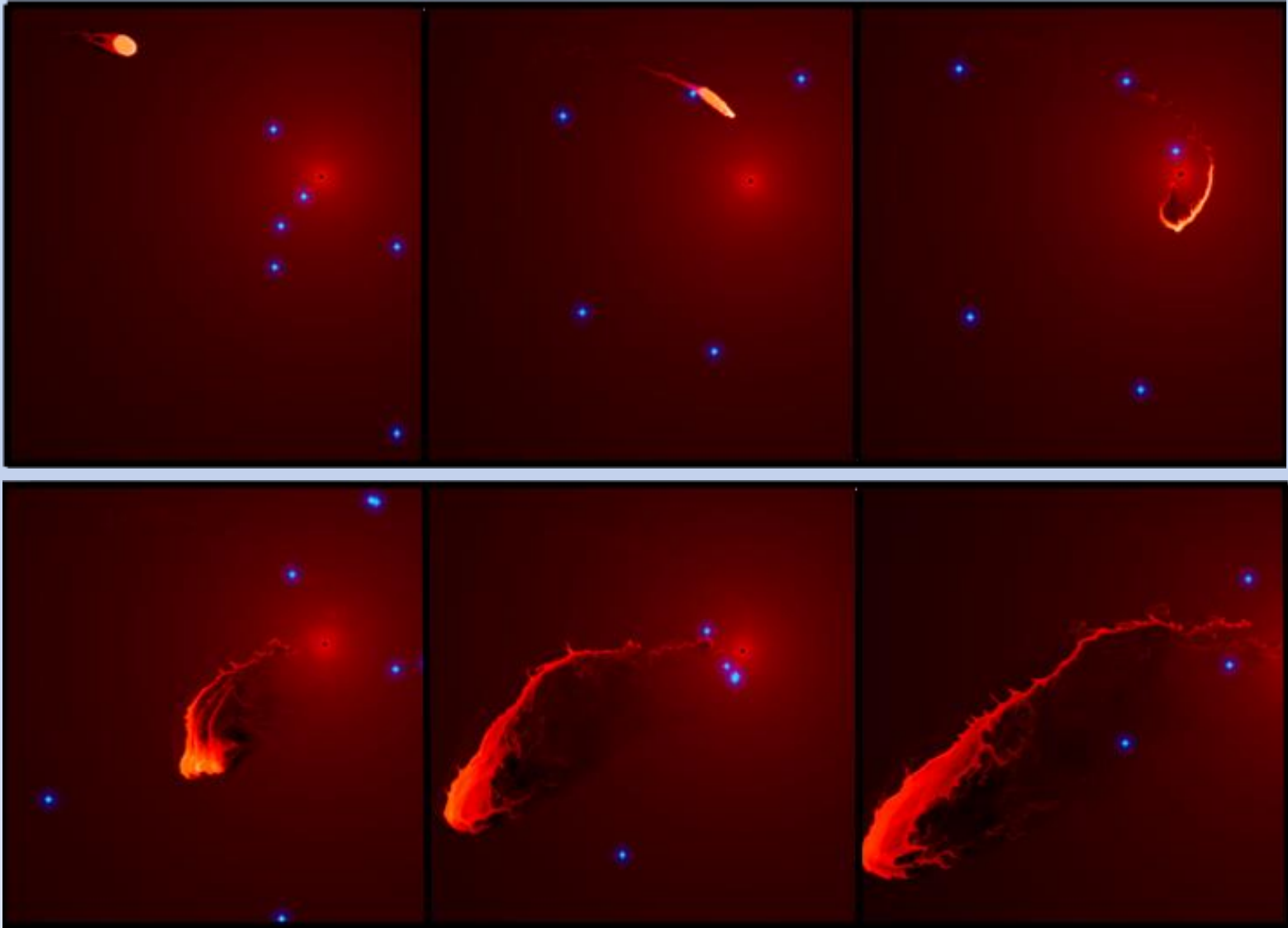


Image:  
NASA/STScI/  
ESA



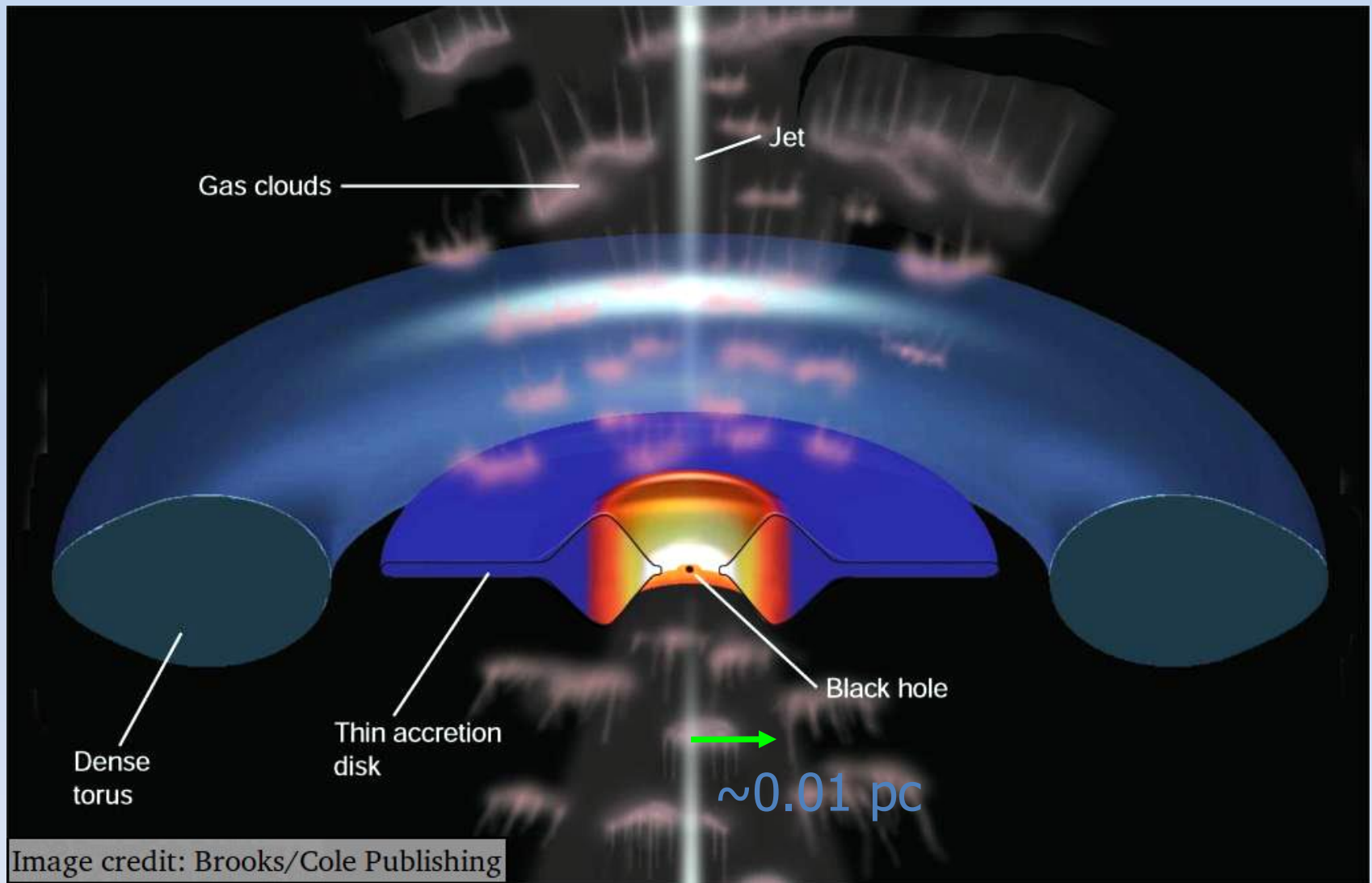
✓ ... or an unfortunate clump of gas

Credit: ESO/MPE/M.Schartmann/L. Calçada



Simulation of the disruption of G2 gas cloud near to Sgr A\*

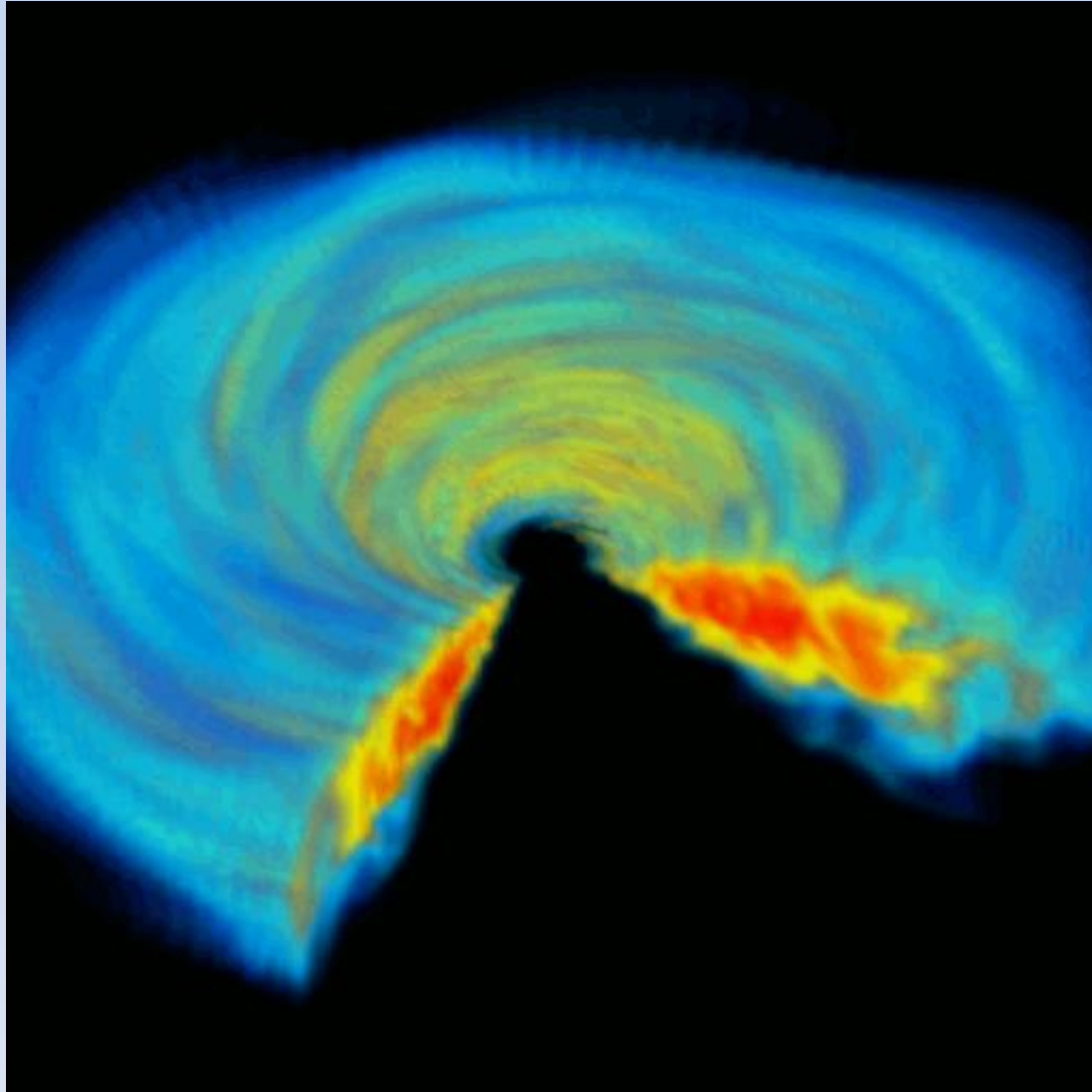
- ✓ Accretion disk is formed around the central object. (e.g. a supermassive black hole)



(not to scale)

$\sim 1000 \text{ pc}$

- ✓ We can exam GR at strong gravity region by comparing observation with simulation.



# Interferometry (干涉测量)

Very Large Array in the USA



Image credit: courtesy of NRAO/AUI

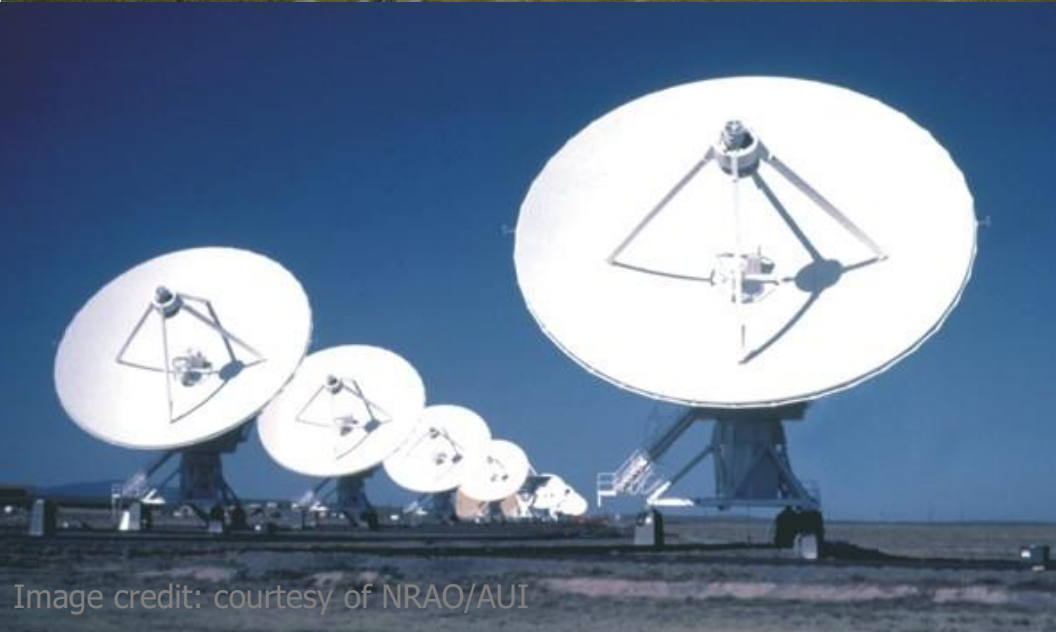
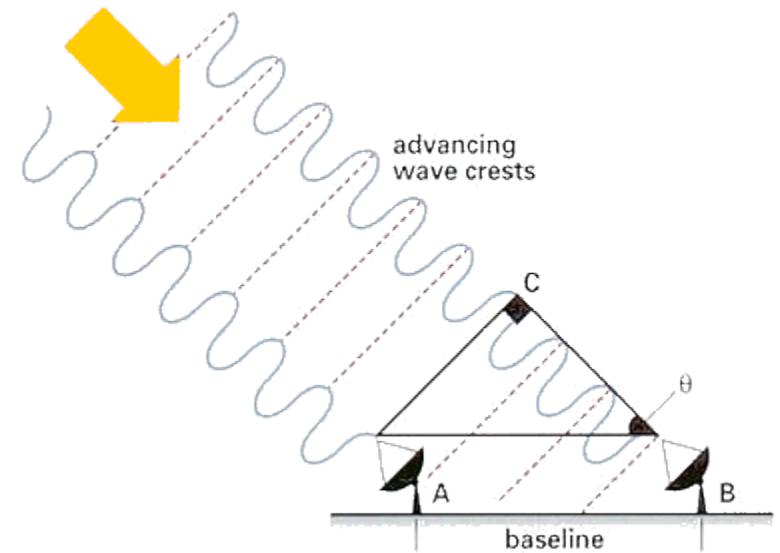


Image credit: courtesy of NRAO/AUI



Greatly enhance the angular resolution



# Very Long Baseline Interferometry (VLBI)

The Event Horizon Telescope (EHT) is expected to resolve the event horizon of the galactic centre black hole.

## Event Horizon Telescope Array

To get a good look at the light show coming from our galaxy's black hole, astronomers will combine the data from telescopes the world over. Here's a sample of the dozen telescopes that may one day be part of the Event Horizon Telescope.

### CSO

The Caltech Submillimeter Observatory  
10.4-meter telescope on Mauna Kea, Hawaii

### CARMA

The Combined Array for Research in Millimeter-Wave Astronomy  
15 antennas near Bishop, Calif.

**ARO/SMT**  
The Arizona Radio Observatory's Submillimeter Telescope  
10-meter telescope near Safford, Ariz.

**IRAM 30M**  
The Institute for Radio Astronomy in the Millimeter range's 30M scope  
30-meter telescope on Pico Veleta, Spain

### JCMT

The James Clerk Maxwell Telescope  
15-meter telescope on Mauna Kea, Hawaii

### SMA

The Submillimeter Array  
8 antennas on Mauna Kea, Hawaii

### ALMA

The Atacama Large Millimeter/sub-millimeter Array  
66 antennas on the Chajnantor plain of Chile

### APEX

Atacama Pathfinder Experiment  
12-meter telescope on the Chajnantor plain of Chile

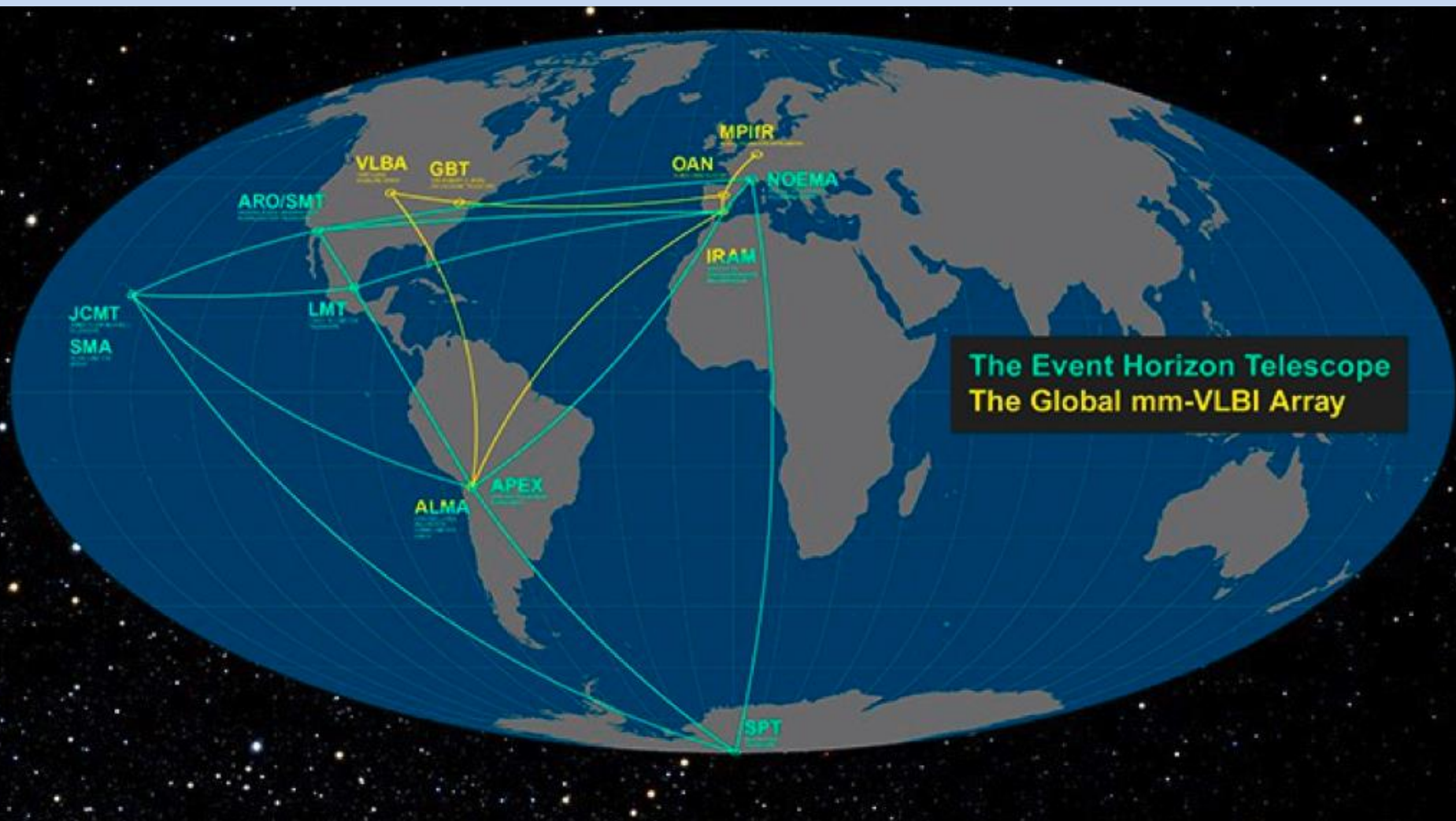
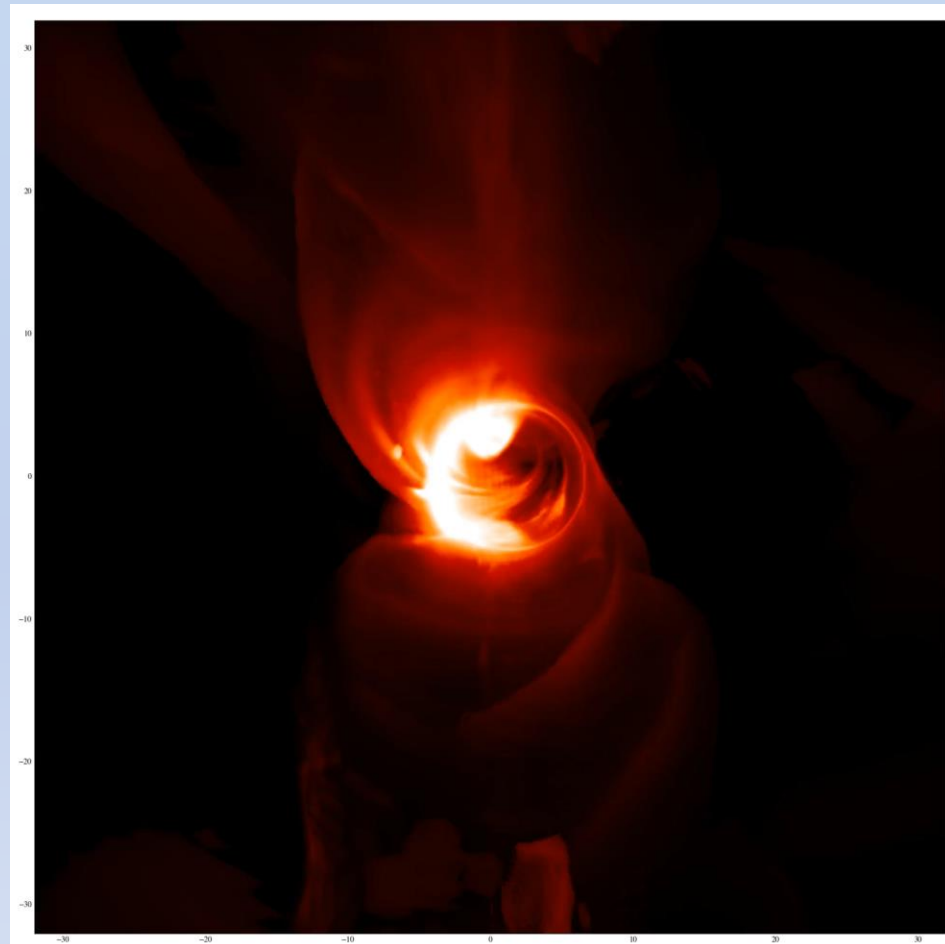
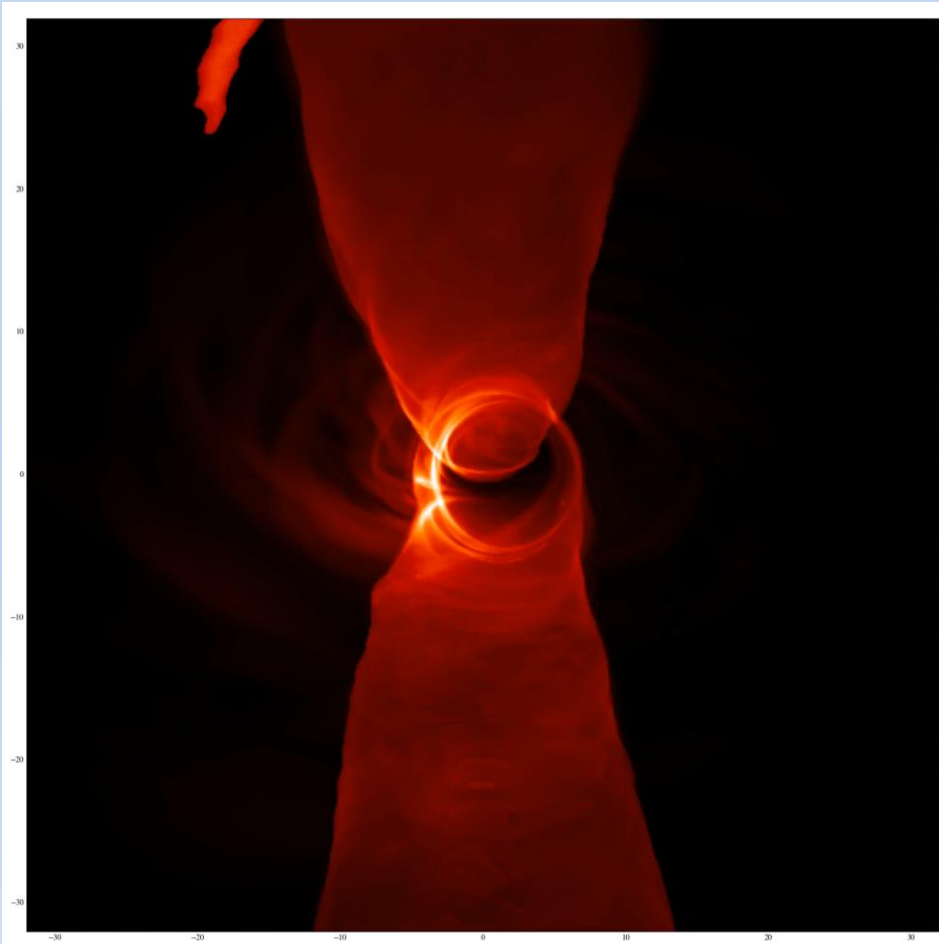


Image credit: ESO/O. Furtak

Image credit: Lia Medeiros, Chi-Kwan Chan,  
Feryal Özel, Dimitrios Psaltis



Mocked observation of what we might see.



# Some final words

There are still lots to discover about black hole. We only covered the classical theory, and a little bit about the effect of quantum mechanics.

In the coming decade, gravitational wave measurements, and also VLBI observations of Sgr A\* and M87, will hopefully allow us to know more about these strange objects!