





LLM Interpretability

(Mechanistic interpretability)

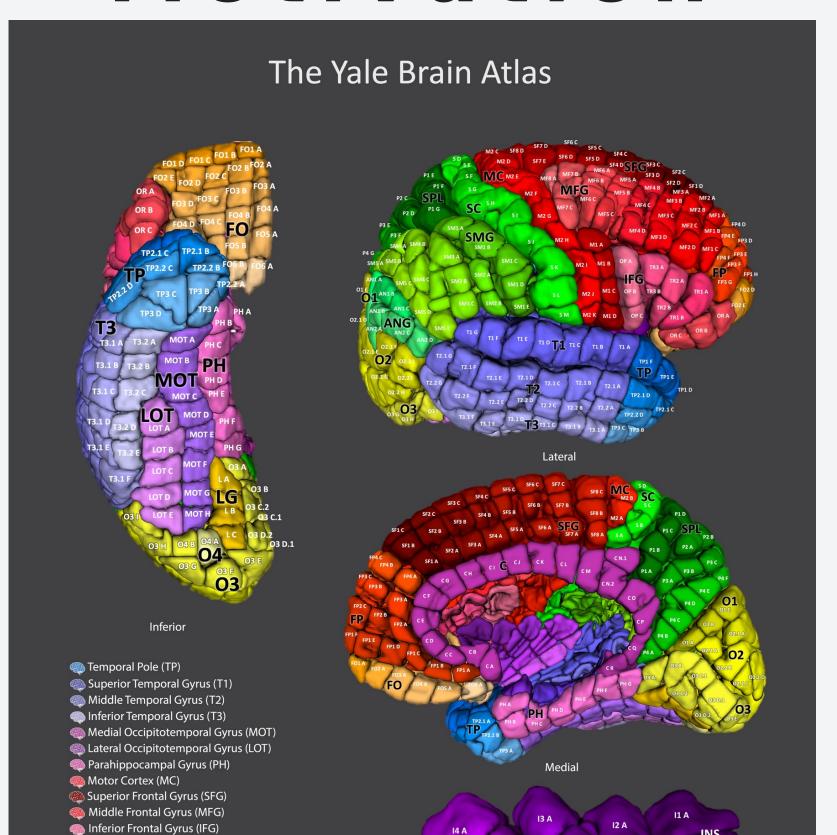
(reproducing and extending "Scaling Monosemanticity" by Anthropic)

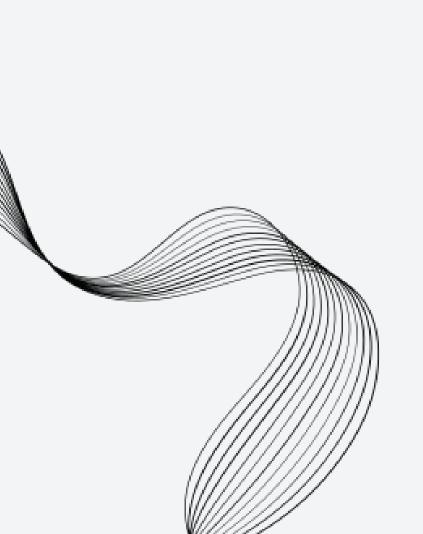
Drejc Pesjak
Under the mentorship of Jan Rupnik





Motivation

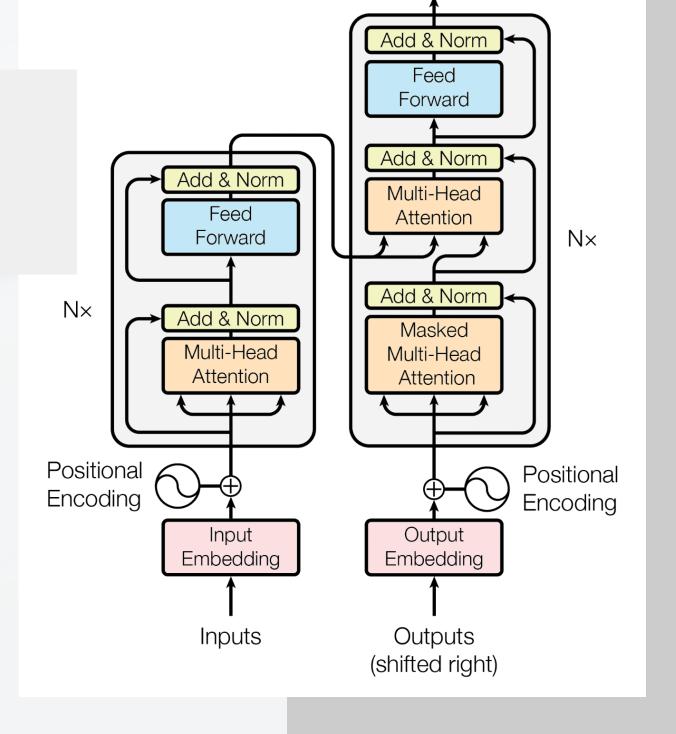




Large Language Models



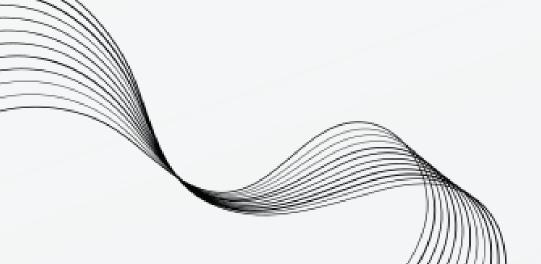
LLaMa 3.2 3B
Huggingface
4-bit quantized
16th layer



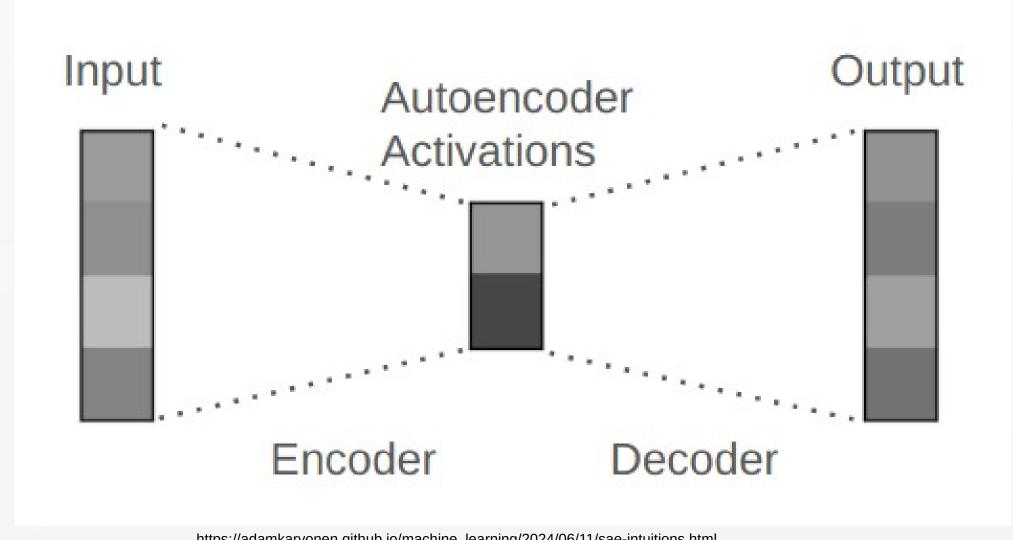
Output Probabilities

Softmax

Linear



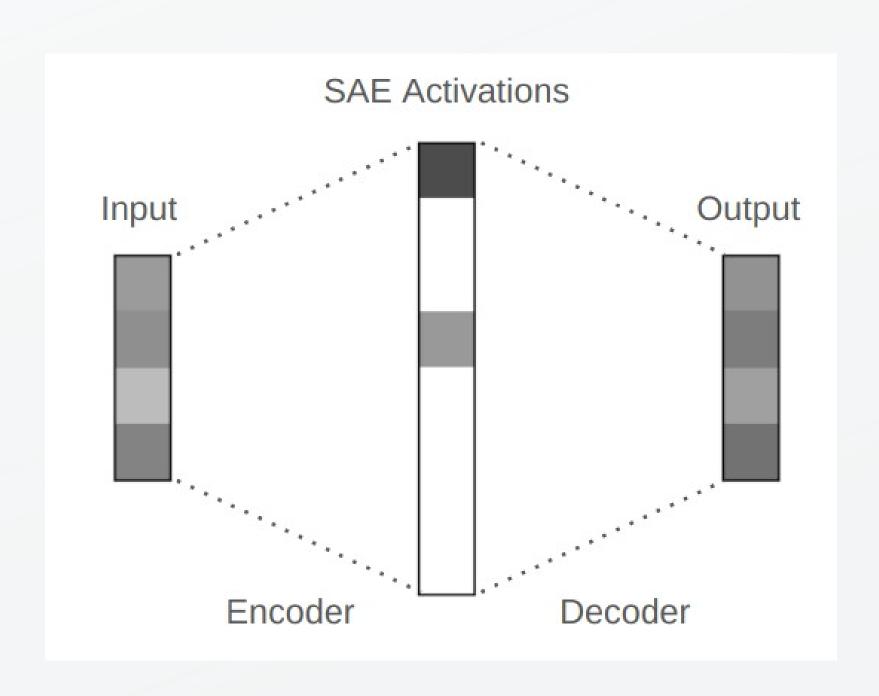
Autoencoder



https://adamkarvonen.github.io/machine_learning/2024/06/11/sae-intuitions.html

Sparse autoencoder (overcomplete)

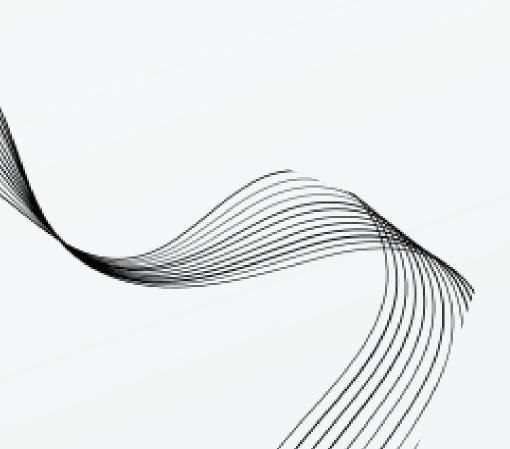




Sparse autoencoder (overcomplete)

```
class SparseAutoencoder(nn.Module):
    def __init__(self, input_dim, hidden_dim):
        super(SparseAutoencoder, self).__init__()
        # Encoder
        self.encoder = nn.Linear(input_dim, hidden_dim)
        # Decoder
        self.decoder = nn.Linear(hidden_dim, input_dim)

def forward(self, x):
    encoded = torch.relu(self.encoder(x))
    decoded = self.decoder(encoded)
    return decoded, encoded
```



Sparse autoencoder (overcomplete)

```
# Set up loss function and optimizer
criterion = nn.MSELoss().to(device)
optimizer = optim.Adam(model.parameters(), lr=0.0001)
l1 lambda = 0.01 # Regularization strength for sparsity
for epoch in range(num epochs):
    total loss = 0
    for i, batch in enumerate(data loader):
                                                            \mathcal{L} = \mathbb{E}_{\mathbf{x}} \left[ \|\mathbf{x} - \hat{\mathbf{x}}\|_2^2 + \lambda \sum_i f_i(\mathbf{x}) \cdot \|\mathbf{W}_{\cdot,i}^{dec}\|_2 
ight]
         print("Batch number: ", i)
         # Forward pass
         batch = batch.to(device)
         outputs, encoded = model(batch)
         mse loss = criterion(outputs, batch)
         # Add L1 regularization for sparsity
         decoder weight norms = torch.norm(model.decoder.weight, p=2, dim=0)
         l1 terms = encoded * decoder weight norms.unsqueeze(0)
         l1 loss per sample = torch.sum(l1 terms, dim=1)
         l1 loss = torch.mean(l1 loss per sample)
         loss = mse loss + l1 lambda * l1 loss
         # Backward pass and optimization
         optimizer.zero grad()
         loss.backward()
         optimizer.step()
```

Training SAE

Kaggle



- Pytorch lightning and Ray tune hyperparameter tuning
- Kaggle free 30h/week P100 gpu
- Ran about 100 tests

• Best model:

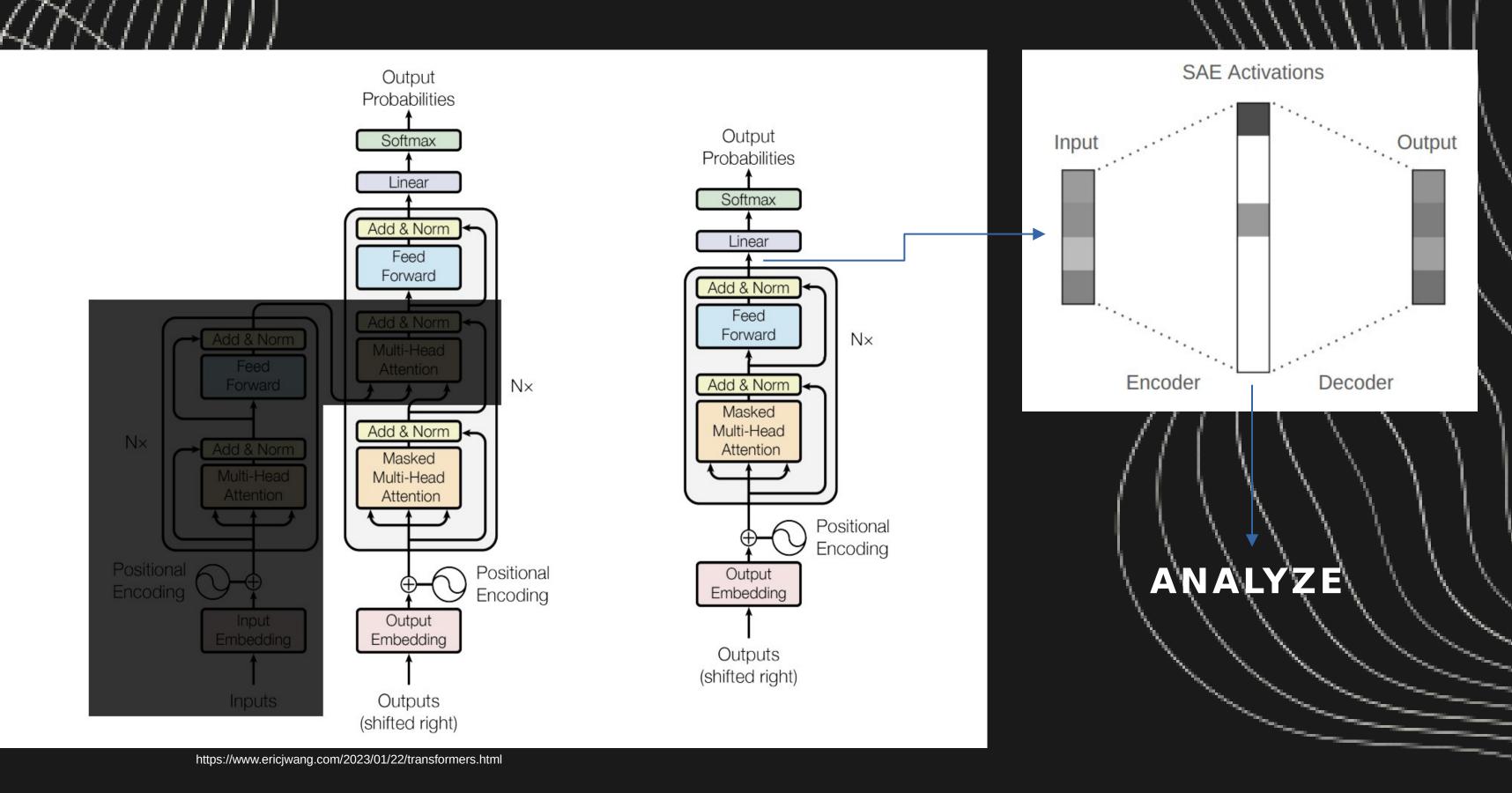
'input_dim': 3072,

'hidden_dim': 65536,

'l1_lambda': 0.00597965,

'lr': 2.5011e-05





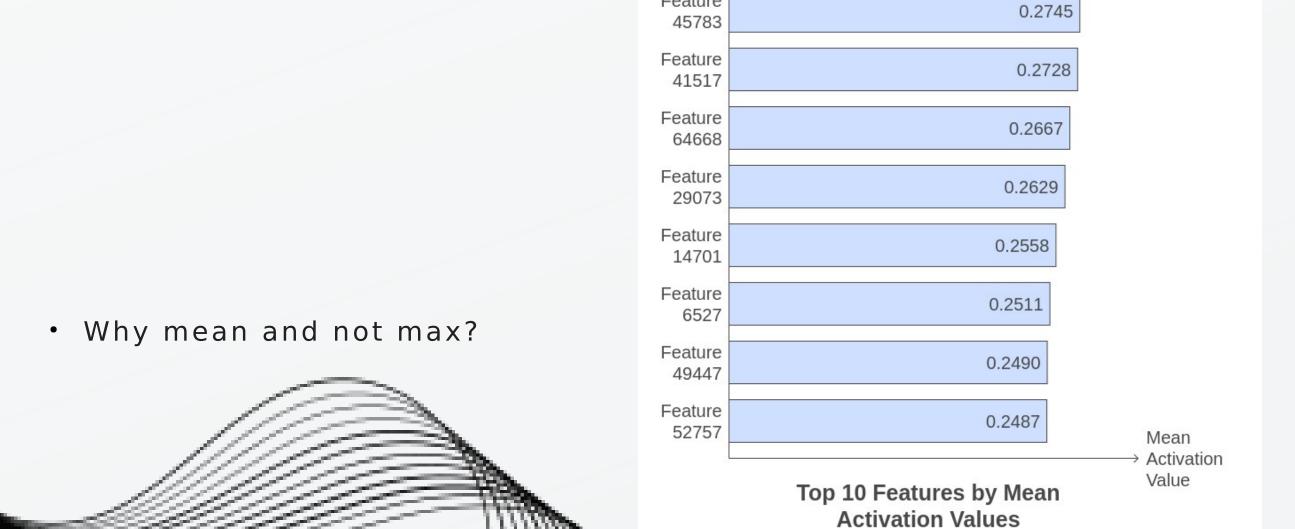
Analysis - interpretability Prompt search

- program on <u>aerobic capacity and muscle strength</u> of adults with hearing loss. Twenty-three adults with hearing loss were <u>separated into 2 groups</u>. Thirteen <u>subjects</u>
- the effect of a traditional dance training program on aerobic capacity and muscle strength of adults with hearing loss. <u>Twenty-three adults with hearing loss</u> were separated into
- been examined comprehensively. <u>Peritoneal lavage was performed in 351 patients</u> before curative resection of a gastric carcinoma between 1987 and



- Tokenized input prompts
- Processed through the LLM model
- Representations extracted from the 16th layer
- Passed through the SAE encoder
- Output: latent sparse vectors

Analysis - interpretability Feature retrieval



Features

0.2996

0.2853

Feature

Feature

Feature

57660

32026

Analysis - interpretability TopK examples



Analysis - interpretability Automatic feature explanation

- ChatGPT-4o (max input length 32k tokens)
- LLaMa 3.2 3B (Ollama) works just as fine
- Input = prompt + topK examples
- Output:

Feature Index [45783]

Dominant Tokens: 'patients', '(', 'Fifty', ';', 'into'

Patterns: Activates in medical or clinical study contexts, often quantifying patients or describing study methodologies.

Summary: Highlights patient-focused data or study details in medical literature.

Context: Found in detailed descriptions of clinical trials or patient demographics.

Title: Clinical Study Patients

Analysis - interpretability Automatic feature explanation

- 1. Feature 32026: Scientific Study Purpose
- 2. Feature 57660: Academic References
- 3. Feature 45783: Clinical Study Patients
- 4. Feature 41517: Experiment Validation
- 5. Feature 64668: Action and Roles
- 6. Feature 29073: Conversational Context
- 7. Feature 14701: Quantitative Demographics
- 8. Feature 6527: Population Studies
- 9. Feature 49447: Technical Problem-Solving
- 10. Feature 52757: Medical Study Terms

Analysis - interpretability Influence (steering)

- Starting prompt:
 - I am a
- Zero Boost:
 - I am a little confused about the meaning of the word 'sociology' in the title of this book. I have read the book and I am not sure what the word 's
- 30x Boost on Feature 45783:
 - I am a 20 year old female who has been diagnosed with a rare disease called SLE (systemic lupus erythematosus) and have been diagnosed with 3 cases of pulmonary
 - Adjusting the "personality" of LLM
 - Without training/finetuning/RLHF or prompting
 - Make it nice/friendly, without biases, truthful...

Use case - deception



During inference monitor the "lying" feature

Next steps

- Bigger and better
 - LLM, SAE, dataset
 - TopK SAE

Everest Cantu



THANK YOU

https://github.com/DrejcPesjak/scaling-monosemanticity-llama



