# A Test-suite of Non-Convex Constrained Optimization Problems from the Real-World and Some Baseline Results

Abhishek Kumar<sup>a</sup>, Guohua Wu<sup>b</sup>, Mostafa Z. Ali<sup>c</sup>, Rammohan Mallipeddi<sup>d</sup>, Ponnuthurai Nagaratnam Suganthan<sup>e,\*</sup>, Swagatam Das<sup>f</sup>

<sup>a</sup>Department of Electrical Engineering, Indian Institute of Technology (BHU), Varanasi, Varanasi, 221005, India.
 <sup>b</sup>School of Traffic and Transportation Engineering, Central South University, Changsha 410075, China.
 <sup>c</sup>School of Computer Information Systems, Jordan University of Science & Technology, Jordan 22110.
 <sup>d</sup>School of Electronics Engineering, Kyungpook National University, Daegu 41566, Republic of Korea.
 <sup>e</sup>School of Electrical Electronic Engineering, Nanyang Technological University, Singapore 639798.
 <sup>f</sup>Electronics and Communication Sciences Unit, Indian Statistical Institute, Kolkata, India.

#### **Abstract**

Real-world optimization problems have been comparatively difficult for solving because of their complex objective function with a substantial number of constraints. To deal with these problems, several metaheuristics and/or several constraint handling approaches have been suggested. To validate effectiveness and strength, a newly designed approach must be benchmarked on some complex real-world problems. Many real-world test problems have been suggested in the literature. While a list of standard problems is needed for benchmarking new algorithms in an efficient and unbiased manner. In this study, a set of 57 real-world constrained optimization problems is discussed and a benchmark suite is proposed that can be utilized to validate the constrained optimization algorithms. Reported problems have been singled out from a wide range of problems possible in various research fields. Three state-of-the-art constrained optimization methods are applied to analyze hardness of these problems. The experimental outcomes reveal that the selected problems are challenging to these algorithms.

Keywords: Real-world optimization problem; Metaheuristics; Constraint handling technique; Benchmark suite.

#### 1. Introduction

12

13

Optimization is a numerical process used to determine the decision variables for minimizing or maximizing the objective function value while satisfying the constraints of decision-space. In most of the real-world applications, problems contain non-linear objective function and constraints with multiple local optimum, and low feasible region [1]. Solving these problems using classical algorithms is hard and tedious due to many local optimum and low feasible region.

Since the last three decades, Swarm-based Algorithms (SAs) and Evolutionary Algorithms (EAs) have drawn attention and have become a common choice as an optimization algorithm in real-world applications. One of the main advantages of these algorithms over classical numerical algorithms is that they only require evaluation of the objective function and constraints (if available) to get a piece of information about the problem. Therefore, these algorithms can deal with non-linear problems with discrete decision-space. In addition, the stochastic behaviors of search agents provide global search capability in them.

To validate the effectiveness of new algorithms, it is essential that the performance is evaluated on a good set of benchmark problems and is also compared with popular existing algorithms. Benchmark problems can be categorized into two groups: test problems or functions and real-world problems. Artificial problems are called test functions and behavior of optimization algorithms are generally evaluated on these test problems. Several benchmark suites of

Email address: epnsugan@ntu.edu.sg (Ponnuthurai Nagaratnam Suganthan)

<sup>\*</sup>Corresponding author

test problems have been proposed for the validation of unconstrained and constrained algorithms. For unconstrained algorithms, a diverse set of test problems with different levels of difficulty are proposed in [2, 3, 4, 5]. Similarly, several collections of test problems are proposed for constrained algorithms in [6, 7, 8].

On the other hand, optimization problems originate from real-world applications are called real-world problems. For unconstrained algorithm, a set of real-world problems is reviewed and compiled in [9]. However, majority of real-world optimization problems are constrained type where presence of constraints and low feasible region may degrade the robustness and effectiveness of any optimization algorithm. Moreover, most of algorithms are normally designed for unconstrained optimization problems. Accordingly, an added mechanism called constraint handling technique (CHT) is required to handle the constraints of the real-worldproblems. Several CHTs have been suggested in the literature. Historically, the most common practice to handleconstraints has been by penalizing the fitness value of infeasible solutions. But, penalty functions used to penalize have numerous limitations. Due to limitations of the penalty factor, several CHTs have been proposed in the last two decades. Some popular CHTs among them are superiority of feasible [10], self-adaptive penalty function [11], epsilon constraint handling [12], stochastic ranking [13] and ensemble of CHTs [14].

In addition, the role of search methods in constrained algorithms needs to be analyzed on constrained optimization problems. In recent years, this research topic has become popular among researchers. Newly developed algorithms have been benchmarked on several engineering optimization problems (for example, see [15], [16], and [17]). However, in most of these studies, the chosen problems and algorithms have been complementary to each other i.e. algorithms perform well on selected problems, while may not perform well on other sets of problems. Therefore, the evaluation of algorithms needs to be done on a variety of real-world problems in a systematic manner.

The above reasons motivate us to construct a benchmark suite containing real-world optimization problems for constrained optimization algorithms. In this paper, 57 real-world constrained problems selected from different real-world applications are reviewed to create a benchmark suite. Three recently proposed state-of-the-art constrained algorithms viz. IUDE [18],  $\epsilon$ MAgES [19], and iLSHADE $_{\epsilon}$  [20], are chosen to demonstrate the difficulty level of these real-world optimization problems.

### 2. Real-world Constrained Optimization Problems

The real-world constrained optimization problems can be represented as follows.

Minimize, 
$$f(\bar{x})$$
,  $\bar{x} = (x_1, x_2, ..., x_n)$  (1)  
Subject to:  $g_i(\bar{x}) \le 0$ ,  $i = 1, ..., n$   
 $h_j(\bar{x}) = 0$ ,  $j = n + 1, ..., m$ 

Generally, an equality constraint can be transformed into two inequality constraints using following equation.

$$|h_j(\bar{x})| - \epsilon \le 0, \ j = n + 1, ..., m$$
 (2)

where  $\epsilon$  is set to a small value (near to zero).

#### 2.1. Industrial Chemical Process Problems

Chemical engineering practice involves several non-linear constrained optimization problems [21]. Design relations of process equipment and equations of mass and heat balance introduce non-linearities in the problems. Many chemical process problems have been proposed which are highly complex and non-linear due to many non-linear inequality and equality constraints. The following problems are considered in this work.

## 51 2.1.1. Heat Exchanger Network Design (case 1) [22]

The optimal shape of the heat exchanger structure is considered in this problem. In three hot currents, one cold current is heated to reduce the comprehensive area of heat exchange structure. The mathematical model can be described as following way.

Minimize:

$$f(\bar{x}) = 35x_1^{0.6} + 35x_2^{0.6} \tag{3}$$

# subject to:

$$h_1(\bar{x}) = 200x_1x_4 - x_3 = 0,$$

$$h_2(\bar{x}) = 200x_2x_6 - x_5 = 0,$$

$$h_3(\bar{x}) = x_3 - 10000(x_7 - 100) = 0,$$

$$h_4(\bar{x}) = x_5 - 10000(300 - x_7) = 0,$$

$$h_5(\bar{x}) = x_3 - 10000(600 - x_8) = 0,$$

$$h_6(\bar{x}) = x_5 - 10000(900 - x_9) = 0,$$

$$h_7(\bar{x}) = x_4 \ln(x_8 - 100) - x_4 \ln(600 - x_7) - x_8 + x_7 + 500 = 0,$$

$$h_8(\bar{x}) = x_6 \ln(x_9 - x_7) - x_6 \ln(600) - x_9 + x_7 + 600 = 0$$

#### with bounds:

$$0 \le x_1 \le 10, 0 \le x_2 \le 200, 0 \le x_3 \le 100, 0 \le x_4 \le 200,$$
  
 $1000 \le x_5 \le 2000000, 0 \le x_6 \le 600, 100 \le x_7 \le 600, 100 \le x_8 \le 600,$   
 $100 \le x_9 \le 900.$ 

56 2.1.2. Heat Exchanger Network Design (case 2) [23]

This is the second case of heat exchange network design problem. In this case, three nonlinear equality constraints and six linear equality constraints with a nonlinear objective function are involved in the problem. Moreover, seven additional linear inequality constraints are included due to bounds on the temperatures.

Minimize:

$$f(\bar{x}) = \left(\frac{x_1}{120x_4}\right)^{0.6} + \left(\frac{x_2}{80x_5}\right)^{0.6} + \left(\frac{x_3}{40x_6}\right)^{0.6} \tag{4}$$

### subject to:

$$h_{1}(\bar{x}) = x_{1} - 10^{4}(x_{7} - 100) = 0,$$

$$h_{2}(\bar{x}) = x_{2} - 10^{4}(x_{8} - x_{7}) = 0,$$

$$h_{3}(\bar{x}) = x_{3} - 10^{4}(500 - x_{8}) = 0,$$

$$h_{4}(\bar{x}) = x_{1} - 10^{4}(300 - x_{9}) = 0,$$

$$h_{5}(\bar{x}) = x_{2} - 10^{4}(400 - x_{10}) = 0,$$

$$h_{6}(\bar{x}) = x_{3} - 10^{4}(600 - x_{11}) = 0,$$

$$h_{7}(\bar{x}) = x_{4}\ln(x_{9} - 100) - x_{4}\ln(300 - x_{7}) - x_{9} - x_{7} + 400 = 0,$$

$$h_{8}(\bar{x}) = x_{5}\ln(x_{10} - x_{7}) - x_{5}\ln(400 - x_{8}) - x_{10} + x_{7} - x_{8} + 400 = 0,$$

$$h_{9}(\bar{x}) = x_{6}\ln(x_{11} - x_{8}) - x_{6}\ln(100) - x_{11} + x_{8} + 100 = 0,$$

$$10^4 \le x_1 \le 81.9 \times 10^4, 10^4 \le x_2 \le 113.1 \times 10^4, 10^4 \le x_3 \le 205 \times 10^4,$$
  
 $0 \le x_4, x_5, x_6 \le 5.074 \times 10^{-2}, 100 \le x_7 \le 200, 100 \le x_8, x_9, x_{10} \le 300$   
 $100 \le x_{11} \le 400.$ 

61 2.1.3. Haverly's Pooling Problem [23]

Haverly's Pooling problem is linear objective non-linear constrained optimization problem and has the following form.

Maximize:

$$f(\bar{x}) = 9x_1 + 15x_2 - 6x_3 - 16x_4 - 10(x_5 + x_6) \tag{5}$$

#### subject to:

$$h_1(\bar{x}) = x_7 + x_8 - x_4 - x_3 = 0,$$
  
 $h_2(\bar{x}) = x_1 - x_5 - x_7 = 0,$ 

$$h_3(\bar{x}) = x_2 - x_6 - x_8 = 0,$$

$$n_3(n)$$
  $n_2$   $n_0$   $n_8$   $o$ ,

$$h_4(\bar{x}) = x_9x_7 + x_9x_8 - 3x_3 - x_4 = 0,$$

$$g_1(\bar{x}) = x_9 x_7 + 2x_5 - 2.5 x_1 \le 0,$$

$$g_2(\bar{x}) = x_9 x_8 + 2x_6 - 1.5 x_2 \le 0,$$

### with bounds:

$$0 \le x_1, x_3, x_4, x_5, x_6, x_8 \le 100, 0 \le x_2, x_7, x_9 \le 200.$$

2.1.4. Blending-Pooling-Separation problem [24]

This problem contains a feed mixture having three-component that are utilized to separate out into two multi-component outputs by employing separators and splitting /blending /pooling. The operating cost of each separator depends linearly on the flow-rate of the separator and the constraints based on mass balances relation around the individual separators, splitters, and mixers.

70 Minimize:

$$f(\bar{x}) = 0.9979 + 0.00432x_5 + 0.01517x_{13} \tag{6}$$

$$h_1(\bar{x}) = x_4 + x_3 + x_2 + x_1 = 300,$$

$$h_2(\bar{x}) = x_6 - x_8 - x_7 = 0,$$

$$h_3(\bar{x}) = x_9 - x_{11} - x_{10} - x_{12} = 0,$$

$$h_4(\bar{x}) = x_{14} - x_{16} - x_{17} - x_{15} = 0,$$

$$h_5(\bar{x}) = x_{18} - x_{20} - x_{19} = 0,$$

$$h_6(\bar{x}) = x_5 x_{21} - x_6 x_{22} - x_9 x_{23} = 0,$$

$$h_7(\bar{x}) = x_5 x_{24} - x_6 x_{25} - x_9 x_{26} = 0,$$

$$h_8(\bar{x}) = x_5 x_{27} - x_6 x_{28} - x_9 x_{29} = 0,$$

$$h_9(\bar{x}) = x_{13}x_{30} - x_{14}x_{31} - x_{18}x_{32} = 0,$$

$$h_{10}(\bar{x}) = x_{13}x_{33} - x_{14}x_{34} - x_{18}x_{35} = 0,$$

$$h_{11}(\bar{x}) = x_{13}x_{36} - x_{14}x_{37} - x_{18}x_{35} = 0,$$

$$h_{12}(\bar{x}) = 0.333x_1 + x_{15}x_{31} - x_5x_{21} = 0,$$

$$h_{13}(\bar{x}) = 0.333x_1 + x_{15}x_{34} - x_5x_{24} = 0,$$

$$h_{14}(\bar{x}) = 0.333x_1 + x_{15}x_{37} - x_5x_{27} = 0,$$

$$h_{15}(\bar{x}) = 0.333x_2 + x_{10}x_{23} - x_{13}x_{30} = 0,$$

$$h_{16}(\bar{x}) = 0.333x_2 + x_{10}x_{26} - x_{13}x_{33} = 0,$$

$$h_{17}(\bar{x}) = 0.333x_2 + x_{10}x_{29} - x_{13}x_{36} = 0,$$

$$h_{18}(\bar{x}) = 0.333x_3 + x_7x_{22} + x_{11}x_{23} + x_{16}x_{31} + x_{19}x_{32} = 30,$$

$$h_{19}(\bar{x}) = 0.333x_3 + x_7x_{25} + x_{11}x_{26} + x_{16}x_{34} + x_{19}x_{35} = 50,$$

$$h_{20}(\bar{x}) = 0.333x_3 + x_7x_{28} + x_{11}x_{29} + x_{16}x_{37} + x_{19}x_{38} = 30,$$

$$h_{21}(\bar{x}) = x_{21} + x_{24} + x_{27} = 1,$$

$$h_{22}(\bar{x}) = x_{22} + x_{25} + x_{28} = 1,$$

$$h_{23}(\bar{x}) = x_{23} + x_{26} + x_{29} = 1,$$

$$h_{24}(\bar{x}) = x_{30} + x_{33} + x_{36} = 1,$$

$$h_{25}(\bar{x}) = x_{31} + x_{34} + x_{37} = 1,$$

$$h_{26}(\bar{x}) = x_{32} + x_{35} + x_{38} = 1,$$

$$h_{27}(\bar{x}) = x_{25} = 0,$$

$$h_{28}(\bar{x}) = x_{28} = 0,$$

$$h_{29}(\bar{x}) = x_{23} = 0,$$

$$h_{30}(\bar{x}) = x_{37} = 0,$$

$$h_{31}(\bar{x}) = x_{32} = 0,$$

$$h_{32}(\bar{x}) = x_{35} = 0,$$

#### with bounds:

$$0 \le x_1, x_3, x_8, x_9, x_5, x_6, x_{14}, x_{18}, x_{10}, x_{16}, x_{13}, x_{20} \le 90,$$

$$0 \le x_2, x_4, x_7, x_{11}, x_{12}, x_{15}, x_{17}, x_{19} \le 150,$$

$$0 \le x_{21}, x_{23}, x_{24}, x_{25}, x_{27}, x_{28} \le 1,$$

$$0 \le x_{22}, x_{32}, x_{34}, x_{35}, x_{37}, x_{38} \le 1.2,$$

$$0 \le x_{26}, x_{29}, x_{30}, x_{31}, x_{33}, x_{36} \le 0.5.$$

## 2.1.5. Propane, Isobutane, n-Butane Nonsharp Separation [25]

This test problem contains a three-componentfeed mixture that is required toseparate products into two three-componentproducts. The problem defined as a non-linear constrained optimization problem and has a following form.

## 5 Minimize:

$$f(\bar{x}) = c_{11} + (c_{21} + c_{31}x_{24} + c_{41}x_{28} + c_{51}x_{33} + c_{61}x_{34})x_5 + c_{12} + (c_{22} + c_{32}x_{26} + c_{42}x_{31} + c_{52}x_{38} + c_{62}x_{39})x_{13},$$

$$(7)$$

where,

С	i = 1	i = 2
$c_{1i}$	0.23947	0.75835
$c_{2i}$	-0.0139904	-0.0661588
$c_{3i}$	0.0093514	0.0338147
$c_{4i}$	0.0077308	0.0373349
$c_{5i}$	-0.0005719	0.0016371
C <sub>6i</sub>	0.0042656	0.0288996

$$h_1(\bar{x}) = x_4 + x_3 + x_2 + x_1 = 300,$$

$$h_2(\bar{x}) = x_6 - x_8 - x_7 = 0,$$

$$h_3(\bar{x}) = x_9 - x_{12} - x_{10} - x_{11} = 0,$$

$$h_4(\bar{x}) = x_{14} - x_{17} - x_{15} - x_{16} = 0,$$

$$h_5(\bar{x}) = x_{18} - x_{20} - x_{19} = 0,$$

$$h_6(\bar{x}) = x_6 x_{21} - x_{24} x_{25} = 0,$$

$$h_7(\bar{x}) = x_{14}x_{22} - x_{26}x_{27} = 0,$$

$$h_8(\bar{x}) = x_9 x_{23} - x_{28} x_{29} = 0,$$

$$h_9(\bar{x}) = x_{18}x_{30} - x_{31}x_{32} = 0,$$

$$h_{10}(\bar{x}) = x_{25} - x_5 x_{33} = 0,$$

$$h_{11}(\bar{x}) = x_{29} - x_5 x_{34} = 0,$$

$$h_{12}(\bar{x}) = x_{35} - x_5 x_{36} = 0,$$

$$h_{13}(\bar{x}) = x_{37} - x_{13}x_{38} = 0,$$

$$h_{14}(\bar{x}) = x_{27} - x_{13}x_{39} = 0,$$

$$h_{15}(\bar{x}) = x_{32} - x_{13}x_{40} = 0,$$

$$h_{16}(\bar{x}) = x_{25} - x_6 x_{21} - x_9 x_{41} = 0,$$

$$h_{17}(\bar{x}) = x_{29} - x_6 x_{42} - x_9 x_{23} = 0,$$

$$h_{18}(\bar{x}) = x_{35} - x_6 x_{43} - x_9 x_{44} = 0,$$

$$h_{19}(\bar{x}) = x_{37} - x_{14}x_{45} - x_{18}x_{46} = 0,$$

$$h_{20}(\bar{x}) = x_{27} - x_{14}x_{22} - x_{18}x_{47} = 0,$$

$$h_{21}(\bar{x}) = x_{32} - x_{14}x_{48} - x_{18}x_{30} = 0,$$

$$h_{22}(\bar{x}) = 0.333x_1 + x_{15}x_{45} - x_{25} = 0,$$

$$h_{23}(\bar{x}) = 0.333x_1 + x_{15}x_{22} - x_{29} = 0,$$

$$h_{24}(\bar{x}) = 0.333x_1 + x_{15}x_{48} - x_{35} = 0,$$

$$h_{25}(\bar{x}) = 0.333x_2 + x_{10}x_{41} - x_{37} = 0,$$

$$h_{26}(\bar{x}) = 0.333x_2 + x_{10}x_{23} - x_{27} = 0,$$

$$h_{27}(\bar{x}) = 0.333x_2 + x_{10}x_{44} - x_{32} = 0,$$

$$h_{28}(\bar{x}) = 0.333x_3 + x_7x_{21} + x_{11}x_{41} + x_{16}x_{45} + x_{19}x_{46} = 30,$$

$$h_{29}(\bar{x}) = 0.333x_3 + x_7x_{42} + x_{11}x_{23} + x_{16}x_{22} + x_{19}x_{47} = 50,$$

$$\begin{aligned} h_{30}(\bar{x}) &= 0.333x_3 + x_7x_{43} + x_{11}x_{44} + x_{16}x_{48} + x_{19}x_{30} = 30, \\ h_{31}(\bar{x}) &= x_{33} + x_{34} + x_{36} = 1, \\ h_{32}(\bar{x}) &= x_{21} + x_{42} + x_{43} = 1, \\ h_{33}(\bar{x}) &= x_{41} + x_{23} + x_{44} = 1, \\ h_{34}(\bar{x}) &= x_{38} + x_{39} + x_{40} = 1, \\ h_{35}(\bar{x}) &= x_{45} + x_{22} + x_{48} = 1, \\ h_{36}(\bar{x}) &= x_{46} + x_{47} + x_{30} = 1, \\ h_{37}(\bar{x}) &= x_{43} = 0, \end{aligned}$$

# with bounds:

 $h_{38}(\bar{x}) = x_{46} = 0,$ 

$$0 \le x_1, ..., x_{20} \le 150; 0 \le x_{25}, x_{27}, x_{32}, x_{35}, x_{37}, x_{29} \le 30;$$
  

$$0 \le x_{21}, x_{22}, x_{23}, x_{30}, x_{33}, x_{34}, x_{36}, x_{38}, x_{39}, x_{40}, x_{42}, x_{43}, x_{44}, x_{45}, \le 1,$$
  

$$0 \le x_{46}, x_{47}, x_{48} \le 1,$$
  

$$0.85 \le x_{24}, x_{26}, x_{28}, x_{31} \le 1$$

76 2.1.6. Optimal Operation of Alkylation Unit [26]

The main aim of this problem is to maximize the octane number of olefin feed in the presence of acid. The objective function is defined as an alkylating product. The problem is formulated as follows.

#### 79 Maximize:

$$f(\bar{x}) = 0.035x_1x_6 + 1.715x_1 + 10.0x_2 + 4.0565x_3 - 0.063x_3x_5$$
(8)

#### subject to:

$$\begin{split} g_1(\bar{x}) &= 0.0059553571x_6^2x_1 + 0.88392857x_3 - 0.1175625x_6x_1 - x_1 \leq 0, \\ g_2(\bar{x}) &= 1.1088x_1 + 0.1303533x_1x_6 - 0.0066033x_1x_6^2 - x_3 \leq 0, \\ g_3(\bar{x}) &= 6.66173269x_6^2 - 56.596669x_4 + 172.39878x_5 - 10000 - 191.20592x_6 \leq 0, \\ g_4(\bar{x}) &= 1.08702x_6 - 0.03762x_6^2 + 0.32175x_4 + 56.85075 - x_5 \leq 0, \\ g_5(\bar{x}) &= 0.006198x_7x_4x_3 + 2462.3121x_2 - 25.125634x_2x_4 - x_3x_4 \leq 0, \\ g_6(\bar{x}) &= 161.18996x_3x_4 + 5000.0x_2x_4 - 489510.0x_2 - x_3x_4x_7 \leq 0, \\ g_7(\bar{x}) &= 0.33x_7 + 44.333333 - x_5 \leq 0, \\ g_8(\bar{x}) &= 0.022556x_5 - 1.0 - 0.007595x_7 \leq 0, \\ g_9(\bar{x}) &= 0.00061x_3 - 1.0 - 0.0005x_1 \leq 0, \\ g_{10}(\bar{x}) &= 0.819672x_1 - x_3 + 0.819672 \leq 0, \\ g_{11}(\bar{x}) &= 24500.0x_2 - 250.0x_2x_4 - x_3x_4 \leq 0, \\ g_{12}(\bar{x}) &= 1020.4082x_4x_2 + 1.2244898x_3x_4 - 100000x_2 \leq 0, \\ g_{13}(\bar{x}) &= 6.25x_1x_6 + 6.25x_1 - 7.625x_3 - 100000 \leq 0, \\ g_{14}(\bar{x}) &= 1.22x_3 - x_6x_1 - x_1 + 1.0 \leq 0. \end{split}$$

$$1000 \le x_1 \le 2000, \ 0 \le x_2 \le 100$$
  
 $2000 \le x_3 \le 4000, \ 0 \le x_4 \le 100$   
 $0 \le x_5 \le 100, \ 0 \le x_6 \le 20$   
 $0 \le x_7 \le 200.$ 

80 2.1.7. Reactor Network Design [27]

A reactor network design problem is solved to design a sequence of two CSTR reactors. The main aim of this problem is to optimize the concentration of the product. This problem is formulated as follows.

Maximize:

$$f(\bar{x}) = x_4 \tag{9}$$

## subject to:

$$h_1(\bar{x}) = k_1 x_5 x_2 + x_1 - 1 = 0,$$

$$h_2(\bar{x}) = k_3 x_5 x_3 + x_3 + x_1 - 1 = 0,$$

$$h_3(\bar{x}) = k_2 x_6 x_2 - x_1 + x_2 = 0,$$

$$h_4(\bar{x}) = k_4 x_6 x_4 + x_2 - x_1 + x_4 - x_3 + = 0,$$

$$g_1(\bar{x}) = x_5^{0.5} + x_6^{0.5} \le 4$$

### with bounds:

$$0 \le x_4, x_3, x_2, x_1 \le 1$$
,

$$0.00001 \le x_6, x_5 \le 16.$$

- where,  $k_3 = 0.0391908$ ,  $k_4 = 0.9k_3$ ,  $k_1 = 0.09755988$ , and  $k_2 = 0.99k_1$ ,.
- 2.2. Process design and synthesis problems [28, 29]
- Process design and synthesis problems in chemical engineering can be defined as a mixed-integer nonlinear constrained optimization problem.
- 88 2.2.1. Process synthesis problem
- This problem incorporates a non-linear constraint and is defined as follows.
- 90 Minimize:

$$f(\bar{x}) = x_2 + 2x_1 \tag{10}$$

## subject to:

$$g_1(\bar{x}) = -x_1^2 - x_2 + 1.25 \le 0,$$

$$g_2(\bar{x}) = x_1 + x_2 \le 1.6.$$

## with bounds:

$$0 \le x_1 \le 1.6$$

$$x_2 \in \{0.1\}$$

- 1 2.2.2. Process synthesis and design problem
- This problem incorporates a non-linear constraint and is represented as follows.
- 93 Minimize:

$$f(\bar{x}) = -x_3 + x_2 + 2x_1 \tag{11}$$

## subject to:

$$h_1(\bar{x}) = -2 \exp(-x_2) + x_1 = 0,$$

$$g_1(\bar{x}) = x_2 - x_1 + x_3 \le 0.$$

$$0.5 \le x_1, x_2 \le 1.4,$$

$$x_3 \in \{0, 1\}.$$

94 2.2.3. Process flow sheeting problem

This problem can be formulated as a non-convex constrained optimization problem, which is expressed as follows.

96

97 Minimize:

$$f(\bar{x}) = -0.7x_3 + 0.8 + 5(0.5 - x_1)^2 \tag{12}$$

subject to:

$$g_1(\bar{x}) = -exp(x_1 - 0.2) - x_2 \le 0,$$

$$g_2(\bar{x}) = x_2 + 1.1x_3 \le -1.0,$$

$$g_3(\bar{x}) = x_1 - x_3 \le 0.2.$$

with bounds:

$$-2.22554 \le x_2 \le -1$$
,  $0.2 \le x_1 \le 1$ ,  $x_3 \in \{0, 1\}$ .

98 2.2.4. Two-reactor problem

The essential purpose of this problem is to choose one among two reactors to optimize the production cost.

Minimize:

$$f(\bar{x}) = 7.5x_7 + 5.5x_8 + 7x_5 + 6x_6 + 5(x_1 + x_2) \tag{13}$$

subject to:

$$h_1(\bar{x}) = x_7 + x_8 - 1 = 0,$$

$$h_2(\bar{x}) = x_3 - 0.9(1 - \exp(0.5x_5))x_1 = 0,$$

$$h_3(\bar{x}) = x_4 - 0.8(1 - \exp(0.4x_6))x_2 = 0,$$

$$h_4(\bar{x}) = x_3 + x_4 - 10 = 0,$$

$$h_5(\bar{x}) = x_3 x_7 + x_4 x_8 - 10 = 0,$$

$$g_1(\bar{x}) = x_5 - 10x_7 \le 0,$$

$$g_2(\bar{x}) = x_6 - 10x_8 \le 0,$$

$$g_3(\bar{x}) = x_1 - 20x_7 \le 0,$$

$$g_4(\bar{x}) = x_2 - 20x_8 \le 0$$

$$0 \le x_6, x_5, x_4, x_3, x_2, x_1 \le 100$$

$$x_8,x_7\in\{0,1\}.$$

2.2.5. Process synthesis problem

This problem provides seven degrees of freedom due to non-linearities in all real variables and binary variables.

#### 103 Minimize:

$$f(\bar{x}) = (1 - x_4)^2 + (1 - x_5)^2 + (1 - x_6)^2 - \ln(1 + x_7) + (1 - x_1)^2 + (2 - x_2)^2 + (3 - x_3)^2$$
(14)

### subject to:

$$g_1(\bar{x}) = x_1 + x_2 + x_3 + x_4 + x_5 + x_6 - 5 \le 0,$$

$$g_2(\bar{x}) = x_6^3 + x_1^2 + x_2^2 + x_3^2 = 5.5 \le 0,$$

$$g_3(\bar{x}) = x_1 + x_4 - 1.2 \le 0,$$

$$g_4(\bar{x}) = x_2 + x_5 - 1.8 \le 0,$$

$$g_5(\bar{x}) = x_3 + x_6 - 2.5 \le 0,$$

$$g_5(\bar{x}) = x_3 + x_6 - 2.5 \le 0,$$
  
 $g_6(\bar{x}) = x_1 + x_7 - 1.2 \le 0,$ 

$$g_7(\bar{x}) = x_5^2 + x_2^2 - 1.64 \le 0,$$

$$g_8(\bar{x}) = x_5^2 + x_2^2 - 1.04 \le 0,$$
  
 $g_8(\bar{x}) = x_6^2 + x_3^2 - 4.25 \le 0,$ 

$$g_9(\bar{x}) = x_5^2 + x_3^2 - 4.64 \le 0,$$

### with bounds:

$$0 \le x_2, x_3, x_1 \le 100,$$
  
 $x_7, x_6, x_5, x_4 \in \{0, 1\}.$ 

### 2.2.6. Process design problem

It is a minimization problem which can be formulated as following way.

#### 06 Minimize:

$$f(\bar{x}) = 5.357854x_1^2 + 40792.141 - 37.29329x_4 + 0.835689x_4x_3$$
 (15)

### subject to:

$$g_1(\bar{x}) = -92 + a_3 x_4 x_2 + a_1 + a_2 x_4 x_3 - a_4 x_4 x_3 \le 0,$$
  

$$g_2(\bar{x}) = -110 + a_7 x_4 x_2 + a_5 + a_6 x_5 x_3 + a_8 x_1^2 \le 0,$$
  

$$g_3(\bar{x}) = a_9 + a_{11} x_4 x_1 + a_{10} x_4 x_3 - 25 + a_{12} x_1 x_2 \le 0$$

## with bounds:

$$27 \le x_3, x_1, x_2 \le 45,$$
  
 $y_1 \in \{78, 79, ..., 102\},$   
 $y_2 \in \{33, 34, ...., 45\}.$ 

where, parameters  $a_1$  to  $a_{12}$  are constant and values of these constants are shown in Table 5

Table 1: Constants for process design problem.

$a_1 = 85.334407$	$a_5 = 80.51249$	$a_9 = 9.300961$
$a_2 = 0.0056858$	$a_6 = 0.0071317$	$a_{10} = 0.0047026$
$a_3 = 0.0006262$	$a_7 = 0.0029955$	$a_{11} = 0.0012547$
$a_4 = 0.0022053$	$a_8 = 0.0021813$	$a_{12} = 0.0019085$

## 2.2.7. Multi-product batch plant

This is a multi-product batch plant problem with M serial processing stages, where fixed amounts  $Q_i$  from N products must be produced. The problem is formulated as follows.

### Minimize:

109

110

$$f(\bar{x}) = \sum_{i=1}^{M} \alpha_j N_j V_j^{\beta_j} \tag{16}$$

#### subject to:

$$g_1(\bar{x}) = S_{ij}B_i - V_j \le 0,$$

$$g_2(\bar{x}) = -H + \sum_{i=1}^N \frac{Q_i T_{Li}}{B_i} \le 0,$$

$$g_3(\bar{x})=t_{ij}-N_jT_{Li}\leq 0,$$

### with bounds:

$$1 \leq N_i \leq N_i^u$$
,

$$V_i^l \le V_j \le V_i^u$$
,

$$T_{Li}^l \le T_{Li} \le T_{Li}^u,$$

$$B_i^l \leq B_i \leq B_i^u$$
.

where, N = 2, M = 3,  $\alpha_j = 250$ , H = 6000,  $\beta_j = 0.6$ ,  $N_j^u = 3$ ,  $V_j^l = 250$ , and  $V_j^u = 2500$ . The value of other parameters are calculated by

$$T_{Li}^{l} = \max\left(\frac{t_{ij}}{N_{j}^{u}}\right),\tag{17}$$

$$T_{Li}^{u} = \max\left(t_{ij}\right),\tag{18}$$

$$B_j^l = \frac{Q_i^* T_{Li}}{H},\tag{19}$$

$$B_j^u = \min\left(Q_i, \min_j\left(\frac{V_j^u}{S_{ij}}\right)\right) \tag{20}$$

Parameters  $S_{ij}$  and  $t_{ij}$  are given in Table 2

Table 2: Values of  $S_{ij}$  and  $t_{ij}$ .

_				.,	• ,	
		$S_{ij}$			$t_{ij}$	
Г	2	3	4	8	20	8
	4	6	3	16	4	4

115

### 118 2.3. Mechanical Design Problems

In this section, several mechanical element design problems are considered. A brief description of different mechanical design problems is provided in the following subsections.

### 2.3.1. Weight Minimization of a Speed Reducer [30]

It involves the design of a speed reducer for small aircraft engine. The resulting optimization problem has the following form.

#### 124 Minimize:

122

123

$$f(\bar{x}) = 0.7854x_2^2x_1(14.9334x_3 - 43.0934 + 3.3333x_3^2) + 0.7854(x_5x_7^2 + x_4x_6^2) - 1.508x_1(x_7^2 + x_6^2) + 7.477(x_7^3 + x_6^3)$$
(21)

## subject to:

$$\begin{split} g_1(\bar{x}) &= -x_1 x_2^2 x_3 + 27 \leq 0, \\ g_2(\bar{x}) &= -x_1 x_2^2 x_3^2 + 397.5 \leq 0, \\ g_3(\bar{x}) &= -x_2 x_6^4 x_3 x_4^{-3} + 1.93 \leq 0, \\ g_4(\bar{x}) &= -x_2 x_7^4 x_3 x_5^{-3} + 1.93 \leq 0, \\ g_5(\bar{x}) &= 10 x_6^{-3} \sqrt{16.91 \times 10^6 + (745 x_4 x_2^{-1} x_3^{-1})^2} - 1100 \leq 0, \\ g_6(\bar{x}) &= 10 x_7^{-3} \sqrt{157.5 \times 10^6 + (745 x_5 x_2^{-1} x_3^{-1})^2} - 850 \leq 0, \\ g_7(\bar{x}) &= x_2 x_3 - 40 \leq 0, \\ g_8(\bar{x}) &= -x_1 x_2^{-1} + 5 \leq 0, \\ g_9(\bar{x}) &= x_1 x_2^{-1} - 12 \leq 0, \\ g_{10}(\bar{x}) &= 1.5 x_6 - x_4 + 1.9 \leq 0, \\ g_{11}(\bar{x}) &= 1.1 x_7 - x_5 + 1.9 \leq 0, \end{split}$$

### with bounds:

$$0.7 \le x_2 \le 0.8, 17 \le x_3 \le 28, 2.6 \le x_1 \le 3.6,$$
  
 $5 \le x_7 \le 5.5, 7.3 \le x_5, x_4 \le 8.3, 2.9 \le x_6 \le 3.9.$ 

#### 2.3.2. Optimal Design of Industrial refrigeration System [31]

The mathematical model of this problem is described in [31] and [30]. This problem can be formulated as non-linear inequality constrained optimization problem and has the following form:

# 128 Minimize:

127

$$f(\bar{x}) = 63098.88x_2x_4x_{12} + 5441.5x_2^2x_{12} + 115055.5x_2^{1.664}x_6 + 6172.27x_2^2x_6$$

$$+63098.88x_1x_3x_{11} + 5441.5x_1^2x_{11} + 115055.5x_1^{1.664}x_5 + 6172.27x_1^2x_5$$

$$+140.53x_1x_{11} + 281.29x_3x_{111} + 70.26x_1^2 + 281.29x_1x_3 + 281.29x_3^2$$

$$+14437x_8^{1.8812}x_{12}^{0.3424}x_{10}x_{14}^{-1}x_1^2x_7x_9^{-1} + 20470.2x_7^{2.893}x_{11}^{0.316}x_1^2$$
(22)

$$g_1(\bar{x}) = 1.524x_7^{-1} \le 1,$$

$$g_2(\bar{x}) = 1.524x_8^{-1} \le 1,$$

$$g_3(\bar{x}) = 0.07789x_1 - 2x_7^{-1}x_9 - 1 \le 0,$$

$$g_4(\bar{x}) = 7.05305x_9^{-1}x_1^2x_{10}x_8^{-1}x_2^{-1}x_{14}^{-1} - 1 \le 0,$$

$$g_5(\bar{x}) = 0.0833x_{13}^{-1}x_{14} - 1 \le 0,$$

$$g_6(\bar{x}) = 47.136x_2^{0.333}x_{10}^{-1}x_{12} - 1.333x_8x_{13}^{2.1195} + 62.08x_{13}^{2.1195}x_{12}^{-1}x_8^{0.2}x_{10}^{-1} - 1 \leq 0,$$

$$g_7(\bar{x}) = 0.04771 x_{10} x_8^{1.8812} x_{12}^{0.3424} - 1 \le 0,$$

$$g_8(\bar{x}) = 0.0488x_9x_7^{1.893}x_{11}^{0.316} - 1 \le 0,$$

$$g_9(\bar{x}) = 0.0099x_1x_3^{-1} - 1 \le 0,$$

$$g_{10}(\bar{x}) = 0.0193x_2x_4^{-1} - 1 \le 0,$$

$$g_{11}(\bar{x}) = 0.0298x_1x_5^{-1} - 1 \le 0,$$

$$g_{12}(\bar{x}) = 0.056x_2x_6^{-1} - 1 \le 0,$$

$$g_{13}(\bar{x}) = 2x_0^{-1} - 1 \le 0,$$

$$g_{14}(\bar{x}) = 2x_{10}^{-1} - 1 \le 0,$$

$$g_{15}(\bar{x}) = x_{12}x_{11}^{-1} - 1 \le 0,$$

#### with bounds:

$$0.001 \le x_i \le 5, \ i = 1, ..., 14.$$

2.3.3. Tension/compression spring design [32]

The main objective of this problem is to optimize the weight of tension or compression spring. This problem contains four constraints and three variables are utilized to calculate the weight: the diameter of the wire  $(x_1)$ , the mean of the diameter of coil  $(x_2)$ , and the number of active coils  $(x_3)$ . This problem is defined using following way.

Minimize:

129

130

131

132

133

$$f(\bar{x}) = x_1^2 x_2 (2 + x_3) \tag{23}$$

subject to:

$$g_1(\bar{x}) = 1 - \frac{x_2^3 x_3}{71785 x_1^4} \le 0$$

$$g_2(\bar{x}) = \frac{4x_2^2 - x_1x_2}{12566(x_2x_1^3 - \frac{4}{1})} + \frac{1}{5108x_1^2} - 1 \le 0$$

$$g_3(\bar{x}) = 1 - \frac{140.45x_1}{x_2^2 x_3} \le 0$$

$$g_4(\bar{x}) = \frac{x_1 + x_2}{1.5} - 1 \le 0$$

$$0.05 \le x_1 \le 2.00$$

$$0.25 \le x_2 \le 1.30$$

$$2.00 \le x_3 \le 15.0$$

## 2.3.4. Pressure vessel design [32]

The main objective of this problem is to optimize the welding cost, material, and forming of a vessel. This problem contains four constraints which are needed to be satisfied, and four variables are used to calculate the objective function: shell thickness  $(z_1)$ , head thickness  $(z_2)$ , inner radius  $(x_3)$ , and length of the vessel without including the head  $(x_4)$ . This problem can be stated as

#### Minimize:

135

136

137

139

$$f(\bar{x}) = 1.7781z_2x_3^2 + 0.6224z_1x_3x_4 + 3.1661z_1^2x_4 + 19.84z_1^2x_3$$
(24)

#### subject to:

$$g_1(\bar{x}) = 0.00954x_3 \le z_2$$

$$g_2(\bar{x}) = 0.0193x_3 \le z_1$$

$$g_3(\bar{x}) = x_4 \le 240$$
,

$$g_4(\bar{x}) = -\pi x_3^2 x_4 - \frac{4}{3}\pi x_3^3 \le -1296000.$$

#### where:

$$z_1 = 0.0625x_1$$

$$z_2 = 0.0625x_2$$
.

#### with bounds:

$$10 \le x_4, x_3 \le 200$$

$$1 \le x_2, x_1 \le 99$$
 (integer variables).

### 2.3.5. Welded beam design [32]

The main of this problem is to design a welded beam with minimum cost. This problem contains five constraints, and four variables are used to develop a welded beam. The mathematical description of this problem can be defined as follows.

#### Minimize:

142

$$f(\bar{x}) = 0.04811x_3x_4(x_2 + 14) + 1.10471x_1^2x_2 \tag{25}$$

#### subject to:

$$g_1(\bar{x}) = x_1 - x_4 \le 0,$$

$$g_2(\bar{x}) = \delta(\bar{x}) - \delta_{max} \le 0,$$

$$g_3(\bar{x}) = P \le P_c(\bar{x})$$

$$g_4(\bar{x}) = \tau_{max} \ge \tau(\bar{x}),$$

$$g_5(\bar{x}) = \sigma(\bar{x}) - \sigma_{max} \le 0,$$

where,

$$\tau = \sqrt{\tau'^2 + \tau''^2 + 2\tau'\tau''\frac{x_2}{2R}}, \; \tau'' = \frac{RM}{J}, \; \tau' = \frac{P}{\sqrt{2}x_2x_1}, \; M = p\left(\frac{x_2}{2} + L\right),$$

$$R = \sqrt{\frac{x_2^2}{4} + \left(\frac{x_1 + x_3}{2}\right)^2}, \ J = 2\left(\left(\frac{x_2^2}{4} + \left(\frac{x_1 + x_3}{2}\right)^2\right)\sqrt{2}x_1x_2\right),$$

$$\sigma(\bar{x}) = \frac{6PL}{x_4 x_2^2}, \ \delta(\bar{x}) = \frac{6PL^3}{E x_2^2 X_4}, \ P_c(\bar{x}) = \frac{4.013 E x_3 x_4^3}{6L^2} \left(1 - \frac{x_3}{2L} \sqrt{\frac{E}{4G}}\right)$$

$$L = 14 \text{ in}, P = 6000 \text{ lb}, E = 30.10^6 \text{ psi}, \sigma_{max} = 30,000 \text{ psi},$$

$$\tau_{max} = 13,600 \text{ psi}, G = 12.10^6 \text{ psi}, \delta_{max} = 0.25 \text{ in},.$$

#### with bounds:

$$0.1 \le x_3, x_2 \le 10$$

$$0.1 \le x_4 \le 2$$

$$0.125 \le x_1 \le 2$$

### 2.3.6. Three-bar truss design problem [33]

This optimization problem is taken from civil engineering, which has a problematic constrained space. The main of this problem is to minimize the weight of the bar structures. The constraints of this problem are based on the stress constraints of each bar. The resultant problem is a non-linear objective function with three non-linear constraints. The mathematical description of this problem is given below.

#### Minimize:

145

146

147

148

150

$$f(\bar{x}) = l(x_2 + 2\sqrt{2}x_1) \tag{26}$$

### subject to:

$$g_1(\bar{x}) = \frac{x_2}{2x_2x_1 + \sqrt{2}x_1^2} P - \sigma \le 0,$$

$$g_2(\bar{x}) = \frac{x_2 + \sqrt{2}x_1}{2x_2x_1 + \sqrt{2}x_1^2} P - \sigma \le 0,$$

$$g_3(\bar{x}) = \frac{1}{x_1+\sqrt{2}x_2}P - \sigma \leq 0.$$

#### with bounds:

$$0 \le x_1, x_2 \le 1$$
.

## 2.3.7. Multiple disk clutch brake design problem [34]

The main objective of this problem is to minimize the mass of multiple disk clutch brake. In this problem, five integer decision variables are used which are inner radius  $(x_1)$ , outer radius  $(x_2)$ , disk thickness  $(x_3)$ , force of actuators  $(x_4)$ , and number of frictional surfaces  $(x_5)$ . This problem contains nine non-linear constraints. The problem can be defined as follows.

## Minimize:

152

153

155

156

$$f(\bar{x}) = \pi(x_2^2 - x_1^2)x_3(x_5 + 1)\rho \tag{27}$$

$$g_1(\bar{x}) = -p_{max} + p_{rz} \le 0,$$

$$g_2(\bar{x}) = p_{rz}V_{sr} - V_{sr,max}p_{max} \le 0,$$

$$g_3(\bar{x}) = \triangle R + x_1 - x_2 \le 0,$$

$$g_4(\bar{x}) = -L_{max} + (x_5+1)(x_3+\delta) \leq 0,$$

$$g_5(\bar{x}) = sM_s - M_h \le 0,$$

$$g_6(\bar{x}) = T \ge 0$$

$$g_7(\bar{x}) = -V_{sr,max} + V_{sr} \le 0,$$

$$g_7(\bar{x}) = T - T_{max} \le 0,$$

where.

$$M_h = \frac{2}{3}\mu x_4 x_5 \frac{x_2^3 - x_1^3}{x_2^2 - x_1^2} \text{ N.mm},$$

$$\omega = \frac{\pi n}{30} \text{ rad/s},$$

$$A = \pi (x_2^2 - x_1^2) \text{ mm}^2,$$

$$p_{rz} = \frac{x_4}{A} \text{ N/mm}^2,$$

$$V_{sr} = \frac{\pi R_{sr} n}{30} \text{ mm/s},$$

$$R_{sr} = \frac{2}{3} \frac{x_2^3 - x_1^3}{x_2^2 x_1^2} \text{ mm},$$

$$T = \frac{I_z \omega}{M_h + M_f},$$

$$\Delta R = 20 \text{ mm}, L_{max} = 30 \text{ mm}, \mu = 0.6,$$

$$V_{sr,max} = 10 \text{ m/s}, \delta = 0.5 \text{ mm}, s = 1.5,$$

$$T_{max} = 15 \text{ s}, n = 250 \text{ rpm}, I_z = 55 \text{ Kg.m}^2,$$

$$M_s = 40 \text{ Nm}, M_f = 3 \text{ Nm}.$$

### with bounds:

$$60 \le x_1 \le 80, \ 90 \le x_2 \le 110, \ 1 \le x_3 \le 3,$$
  
 $0 \le x_4 \le 1000, \ 2 \le x_5 \le 9.$ 

2.3.8. Planetary gear train design optimization problem [17]

The main objective of this problem is to minimize the maximum errors in the gear ratio, which is used in automobiles. To minimize the maximum error, the total number of gear-teeth is calculated for an automatic planetary transmission system. This problem contains six integer variables and 11 constraints of different geometric and assembly restrictions. The problem can be defined as follows.

#### Minimize:

157

158

159

161

162

$$f(\bar{x}) = \max_{k} |i_k - i_{0k}|, \ k = \{1, 2, ..., R\},\tag{28}$$

where.

$$i_1 = \frac{N_6}{N_4}, \ i_{01} = 3.11, \ i_2 = \frac{N_6(N_1N_3 + N_2N_4)}{N_1N_3(N_6 - N_4)}, \ i_{0R} = -3.11,$$
 
$$I_R = -\frac{N_2N_6}{N_1N_3}, \ i_{02} = 1.84, \ \bar{x} = \{p, N_6, N_5, N_4, N_3, N_2, N_1, m_2, m_1\}$$

$$\begin{split} g_1(\bar{x}) &= m_3(N_6+2.5) - D_{max} \le 0, \\ g_2(\bar{x}) &= m_1(N_1+N_2) + m_1(N_2+2) - D_{max} \le 0, \\ g_3(\bar{x}) &= m_3(N_4+N_5) + m_3(N_5+2) - D_{max} \le 0, \\ g_4(\bar{x}) &= |m_1(N_1+N_2) - m_3(N_6-N_3)| - m_1 - m_3 \le 0, \end{split}$$

$$\begin{split} g_5(\bar{x}) &= -(N_1 + N_2)\sin\left(\pi/p\right) + N_2 + 2 + \delta_{22} \le 0, \\ g_6(\bar{x}) &= -(N_6 - N_3)\sin(\pi/p) + N_3 + 2 + \delta_{33} \le 0, \\ g_7(\bar{x}) &= -(N_4 + N_5)\sin(\pi/p) + N_5 + 2 + \delta_{55} \le 0, \\ g_8(\bar{x}) &= (N_3 + N_5 + 2 + \delta_{35})^2 - (N_6 - N_3)^2 - (N_4 + N_5)^2 \\ &+ 2(N_6 - N_3)(N_4 + N_5)\cos\left(\frac{2\pi}{p} - \beta\right) \le 0, \\ g_9(\bar{x}) &= N_4 - N_6 + 2N_5 + 2\delta_{56} + 4 \le 0, \\ g_{10}(\bar{x}) &= 2N_3 - N_6 + N_4 + 2\delta_{34} + 4 \le 0, \\ h_1(\bar{x}) &= \frac{N_6 - N_4}{p} = \text{integer}, \end{split}$$

where,

$$\delta_{22} = \delta_{33} = \delta_{55} = \delta_{35} = \delta_{56} = 0.5.$$

$$\beta = \frac{\cos^{-1}\left((N_4 + N_5)^2 + (N_6 - N_3)^2 - (N_3 + N_5)^2\right)}{2(N_6 - N_3)(N_4 + N_5)}, \ D_{max} = 220,$$

#### with bounds:

$$\begin{split} p &= (3,4,5)\,,\\ m_1 &= (1.75,2.0,2.25,2.5,2.75,3.0)\,,\\ m_3 &= (1.75,2.0,2.25,2.5,2.75,3.0)\,,\\ 17 &\leq N_1 \leq 96, \qquad 14 \leq N_2 \leq 54,14 \leq N_3 \leq 51\\ 17 &\leq N_4 \leq 46, \qquad 14 \leq N_5 \leq 51,48 \leq N_6 \leq 124,\\ \text{and } N_i &= \text{integer}. \end{split}$$

#### 2.3.9. Step-cone pulley problem [35]

The main objective of this problem is to minimize weight of 4 step-cone pulley using five variables in which four variables are the diameters of each step of the pulley and the last one is the width of the pulley. This problem contains 11 non-linear constraints to assure that the transmit power must be at 0.75 hp. The mathematical formulation of this problem can be defined as follows.

## Minimize:

163

164

166

167

168

$$f(\bar{x}) = \rho \omega \left[ d_1^2 \left\{ 11 + \left( \frac{N_1}{N} \right)^2 \right\} + d_2^2 \left\{ 1 + \left( \frac{N_2}{N} \right)^2 \right\} + d_3^2 \left\{ 1 + \left( \frac{N_3}{N} \right)^2 \right\} + d_4^2 \left\{ 1 + \left( \frac{N_4}{N} \right)^2 \right\} \right]$$
(29)

$$h_1(\bar{x}) = C_1 - C_2 = 0,$$

$$h_2(\bar{x}) = C_1 - C_3 = 0,$$

$$h_3(\bar{x}) = C_1 - C_4 = 0,$$

$$g_{i=1,2,3,4}(\bar{x}) = -R_i \le 2,$$

$$g_{i=5,6,7,8}(\bar{x}) = (0.75 \times 745.6998) - P_i \le 0$$

where.

$$C_{i} = \frac{\pi d_{i}}{2} \left( 1 + \frac{N_{i}}{N} \right) + \frac{\left( \frac{N_{i}}{N} - 1 \right)^{2}}{4a} + 2a, \ i = (1, 2, 3, 4),$$

$$R_{i} = \exp\left( \mu \left\{ \pi - 2\sin^{-1} \left\{ \left( \frac{N_{i}}{N} - 1 \right) \frac{d_{i}}{2a} \right\} \right\} \right), \ i = (1, 2, 3, 4)$$

$$P_{i} = st\omega \left( 1 - R_{i} \right) \frac{\pi d_{i} N_{i}}{60}, \ i = (1, 2, 3, 4)$$

$$t = 8 \text{ mm}, \ s = 1.75 \text{ MPa}, \ \mu = 0.35, \ \rho = 7200 \text{ kg/m}^{3}, \ a = 3 \text{ mm}.$$

9 2.3.10. Robot gripper problem [36]

In this problem, the difference between the minimum and maximum force generated by the robot gripper is used as an objective function. This problem contains seven design variables and six non-linear design constraints associated with the robot. Mathematically, this problem is defined as follows.

173 Minimize:

$$f(\bar{x}) = -\min_{z} F_k(x, z) + \max_{z} F_k(x, z)$$
(30)

subject to:

$$\begin{split} g_1(\bar{x}) &= -Y_{min} + y(\bar{x}, Z_{max}) \leq 0, \\ g_2(\bar{x}) &= -y(x, Z_{max}) \leq 0, \\ g_3(\bar{x}) &= Y_{max} - y(\bar{x}, 0) \leq 0, \\ g_4(\bar{x}) &= y(\bar{x}, 0) - Y_G \leq 0, \\ g_5(\bar{x}) &= l^2 + e^2 - (a + b)^2 \leq 0, \\ g_6(\bar{x}) &= b^2 - (a - e)^2 - (l - Z_{max})^2 \leq 0, \\ g_7(\bar{x}) &= Z_{max} - l \leq 0 \end{split}$$

where.

$$\alpha = \cos^{-1}\left(\frac{a^2 + g^2 - b^2}{2ag}\right) + \phi, \ g = \sqrt{e^2 + (z - l)^2},$$
 
$$\beta = \cos^{-1}\left(\frac{b^2 + g^2 - a^2}{2bg}\right) - \phi, \ \phi = \tan^{-1}\left(\frac{e}{l - z}\right),$$
 
$$y(x, z) = 2(f + e + c\sin(\beta + \delta)), \ F_k = \frac{Pb\sin(\alpha + \beta)}{2c\cos(\alpha)}, \ Y_{min} = 50,$$
 
$$Y_{max} = 100, \ Y_G = 150, \ Z_{max} = 100, \ P = 100.$$

$$0 \le e \le 50$$
,  $100 \le c \le 200$ ,  $10 \le f$ ,  $a, b \le 150$ ,  $1 \le \delta \le 3.14$ ,  $100 \le l \le 300$ .

2.3.11. Hydro-static thrust bearing design problem [37]

The main objective of this design problem is to optimize bearing power loss using four design variables. These design variables are oil viscosity  $\mu$ , bearing radius R, flow rate Q, and recess radius  $R_o$ . This problem contains seven non-linear constraints associated with inlet oil pressure, load-carrying capacity, oil film thickness, and inlet oil pressure. The problem is defined as follows.

Minimize:

174

176

177

$$f(\bar{x}) = \frac{QP_0}{0.7} + E_f \tag{31}$$

subject to:

$$\begin{split} g_1(\bar{x}) &= 1000 - P_0 \leq 0, \\ g_2(\bar{x}) &= W - 101000 \leq 0, \\ g_3(\bar{x}) &= 5000 - \frac{W}{\pi (R^2 - R_0^2)} \leq 0, \\ g_4(\bar{x}) &= 50 - P_0 \leq 0, \\ g_5(\bar{x}) &= 0.001 - \frac{0.0307}{386.4 P_0} \left(\frac{Q}{2\pi Rh}\right) \leq 0, \\ g_6(\bar{x}) &= R - R_0 \leq 0, \\ g_7(\bar{x}) &= h - 0.001 \leq 0, \end{split}$$

where,

$$\begin{split} W &= \frac{\pi P_0}{2} \frac{R^2 - R_0^2}{\ln\left(\frac{R}{R_0}\right)}, \ P_0 = \frac{6\mu Q}{\pi h^3} \ln\left(\frac{R}{R_0}\right), \\ E_f &= 9336Q \times 0.0307 \times 0.5 \triangle T, \ \triangle T = 2(10^P - 559.7), \\ P &= \frac{\log_{10} \log_{10}\left(8.122 \times 10^6 \mu + 0.8\right) + 3.55}{10.04}, \\ h &= \left(\frac{2\pi \times 750}{60}\right)^2 \frac{2\pi \mu}{E_f} \left(\frac{R^4}{4} - \frac{R_0^4}{4}\right) \end{split}$$

with bounds:

$$1 \le R \le 16, \ 1 \le R_0 \le 16,$$
  
 $1 \times 10^{-6} \le \mu \le 16 \times 10^{-6}, \ 1 \le Q \le 16.$ 

#### 2.3.12. Four-stage gear box problem

In this problem, the minimization of gearbox weight is considered as an objective where 22 design variables are used. These design variables are discrete in nature which include positions of the gear, positions of pinion, blank thickness, and number of teeth. This problem contains 86 non-linear design constraints associated with the pitch, kinematics, contact ratio, strength of the gears, assembly of gears, and size of gears. The feasible search-space of this problem is in ratio less than 0.0001 with many local solutions. The problem is defined as

Minimize:

180

181

182

183

$$f(\bar{x}) = \left(\frac{\pi}{1000}\right) \sum_{i=1}^{4} \frac{b_i c_i^2 \left(N_{pi}^2 + N_{gi}^2\right)}{\left(N_{pi} + N_{gi}\right)^2}, \text{ where, } i = (1, 2, 3, 4)$$
(32)

$$\begin{split} g_1(\bar{x}) &= \left(\frac{366000}{\pi \omega_1} + \frac{2c_1N_{p1}}{N_{pi} + N_{g1}}\right) \left(\frac{(N_{p1} + N_{g1})^2}{4b_1c_1^2N_{p1}}\right) - \frac{\sigma_NJ_R}{0.0167WK_oK_m} \leq 0, \\ g_2(\bar{x}) &= \left(\frac{366000N_{g1}}{\pi \omega_1N_{p1}} + \frac{2c_2N_{p2}}{N_{p2} + N_{g2}}\right) \left(\frac{(N_{p2} + N_{g2})^2}{4b_2c_2^2N_{p2}}\right) - \frac{\sigma_NJ_R}{0.0167WK_oK_m} \leq 0, \\ g_3(\bar{x}) &= \left(\frac{366000N_{g1}N_{g2}}{\pi \omega_1N_{p1}N_{p2}} + \frac{2c_2N_{p3}}{N_{p3} + N_{g3}}\right) \left(\frac{(N_{p3} + N_{g3})^2}{4b_2c_3^2N_{p3}}\right) - \frac{\sigma_NJ_R}{0.0167WK_oK_m} \leq 0, \\ g_4(\bar{x}) &= \left(\frac{366000N_{g1}N_{g2}N_{g3}}{\pi \omega_1N_{p1}N_{p2}N_{p3}} + \frac{2c_4N_{p4}}{N_{p4} + N_{g4}}\right) \left(\frac{(N_{p4} + N_{g4})^2}{4b_4c_4^2N_{p4}}\right) - \frac{\sigma_NJ_R}{0.0167WK_oK_m} \leq 0, \\ g_5(\bar{x}) &= \left(\frac{366000N_{g1}}{\pi \omega_1} + \frac{2c_1N_{p1}}{N_{p1} + N_{g1}}\right) \left(\frac{(N_{p1} + N_{g1})^3}{4b_1c_1^2N_{p1}N_{p1}^2}\right) - \left(\frac{\sigma_H}{C_p}\right)^2 \left(\frac{\sin(\phi)\cos(\phi)}{0.0334WK_oK_m}\right) \leq 0, \\ g_6(\bar{x}) &= \left(\frac{366000N_{g1}}{\pi \omega_1N_{p1}N_{p2}} + \frac{2c_2N_{p2}}{N_{p2} + N_{g2}}\right) \left(\frac{(N_{p2} + N_{g2})^3}{4b_2c_4^2N_{g2}N_{p2}^2}\right) - \left(\frac{\sigma_H}{C_p}\right)^2 \left(\frac{\sin(\phi)\cos(\phi)}{0.0334WK_oK_m}\right) \leq 0, \\ g_7(\bar{x}) &= \left(\frac{366000N_{g1}N_{g2}N_{g2}}{\pi \omega_1N_{p1}N_{p2}N_{p3}} + \frac{2c_4N_{p4}}{N_{p3} + N_{g4}}\right) \left(\frac{(N_{p3} + N_{g4})^3}{4b_2c_4^2N_{g3}N_{p3}^3}\right) - \left(\frac{\sigma_H}{C_p}\right)^2 \left(\frac{\sin(\phi)\cos(\phi)}{0.0334WK_oK_m}\right) \leq 0, \\ g_8(\bar{x}) &= \left(\frac{366000N_{g1}N_{g2}N_{g3}}{\pi \omega_1N_{p1}N_{p2}N_{p3}} + \frac{2c_4N_{p4}}{N_{p4} + N_{g4}}\right) \left(\frac{(N_{p4} + N_{g4})^3}{4b_2c_4^2N_{g4}N_{p4}^3}\right) - \left(\frac{\sigma_H}{C_p}\right)^2 \left(\frac{\sin(\phi)\cos(\phi)}{0.0334WK_oK_m}\right) \leq 0, \\ g_{9-12}(\bar{x}) &= -N_{pi}\sqrt{\frac{\sin^2(\phi)}{4}} - \frac{1}{N_{pi}} + \frac{1}{N_{pi}} + \frac{1}{N_{pi}} + \frac{1}{N_{pi}}\right)^2 + N_{gi}\sqrt{\frac{\sin^2(\phi)}{4}} + \frac{1}{N_{gi}}\left(\frac{1}{N_{gi}}\right)^2 + \frac{\sin(\phi)\left(N_{pi} + N_{gi}\right)}{2} + CR_{min}\pi\cos(\phi) \leq 0, \\ g_{17-20}(\bar{x}) &= d_{min} - \frac{2c_iN_{gi}}{N_{pi} + N_{gi}} \leq 0, \\ g_{21}(\bar{x}) &= x_{p1} + \frac{(N_{p1} + 2)c_1}{N_{p1} + N_{gi}} - 1 - L_{max} \leq 0, \\ g_{22-24}(\bar{x}) &= -L_{max} + \frac{(N_{p1} + 2)c_1}{N_{p1} + N_{gi}}} \leq 0, \\ g_{22-24}(\bar{x}) &= \left(\frac{(N_{p1} + 2)c_1}{N_{p1} + N_{gi}}}\right) - 1 - C_{p1} + \frac{(N_{p1} + 2)c_1}{N_{g1} + N_{g2}} \leq 0, \\ g_{22-24}(\bar{x}) &= \left(\frac{(N_{p$$

$$\begin{split} g_{29}(\bar{x}) &= y_{p1} + \frac{\left(N_{p1} + 2\right)c_{1}}{N_{p1} + N_{g1}} - L_{max} \leq 0, \\ g_{30-32}(\bar{x}) &= -L_{max} + \left(\frac{c_{i}\left(2 + N_{pi}\right)}{N_{pi} + N_{gi}} + y_{g(i-1)}\right)_{i=2,3,4} \leq 0, \\ g_{33}(\bar{x}) &= \frac{\left(2 + N_{p1}\right)c_{1}}{N_{p1} + N_{g1}} - y_{p1} \leq 0, \\ g_{34-36}(\bar{x}) &= \left(\frac{c_{i}\left(2 + N_{pi}\right)}{N_{pi} + N_{gi}} - y_{g(i-1)}\right)_{i=2,3,4} \leq 0, \\ g_{37-40}(\bar{x}) &= -L_{max} + \frac{c_{i}\left(2 + N_{gi}\right)}{N_{pi} + N_{gi}} + x_{gi} \leq 0, \\ g_{41-44}(\bar{x}) &= -x_{gi} + \left(\frac{\left(N_{gi} + 2\right)c_{i}}{N_{pi} + N_{gi}}\right) \leq 0, \\ g_{45-48}(\bar{x}) &= y_{gi} + \left(\frac{\left(N_{gi} + 2\right)c_{i}}{N_{pi} + N_{gi}}\right) - L_{max} \leq 0, \\ g_{53-56}(\bar{x}) &= \left(b_{i} - 8.255\right)\left(b_{i} - 5.715\right)\left(b_{i} - 12.70\right)\left(-N_{pi} + 0.945c_{i} - N_{gi}\right)\left(-1\right) \leq 0, \\ g_{57-60}(\bar{x}) &= \left(b_{i} - 8.255\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(-N_{pi} + 0.504c_{i} - N_{gi}\right) \leq 0, \\ g_{65-68}(\bar{x}) &= \left(b_{i} - 5.715\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(-N_{pi} + 0.504c_{i} - N_{gi}\right) \leq 0, \\ g_{69-72}(\bar{x}) &= \left(b_{i} - 8.255\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(N_{gi} + N_{pi} - 1.812c_{i}\right)\left(-1\right) \leq 0, \\ g_{73-76}(\bar{x}) &= \left(b_{i} - 8.255\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(-0.945c_{i} + N_{pi} + N_{gi}\right) \leq 0, \\ g_{81-84}(\bar{x}) &= \left(b_{i} - 5.715\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(-0.646c_{i} + N_{pi} + N_{gi}\right) \leq 0, \\ g_{81-84}(\bar{x}) &= \left(b_{i} - 5.715\right)\left(b_{i} - 3.175\right)\left(b_{i} - 12.70\right)\left(-0.646c_{i} + N_{pi} + N_{gi}\right) \leq 0, \\ g_{85} &= \omega_{min} - \frac{\omega_{1}\left(N_{p1}N_{p2}N_{p3}N_{p4}\right)}{\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)} \leq 0, \\ g_{86} &= \frac{\omega_{1}\left(N_{p1}N_{p2}N_{p3}N_{p4}\right)}{\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)} - \omega_{max} \leq 0, \\ g_{86} &= \frac{\omega_{1}\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)}{\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)} - \omega_{max} \leq 0, \\ g_{87} &= \frac{\omega_{1}\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)}{\left(N_{g1}N_{g2}N_{g3}N_{p4}\right)} - \omega_{1}$$

where,

$$\bar{x} = \{N_{p1}, N_{g1}, N_{p2}, N_{g2}....b_1, b_2....x_{p1}, x_{g1}, x_{g2}....y_{p1}, y_{g1}, y_{g2}....y_{g4}\},$$

$$c_i = \sqrt{\left(y_{gi} - y_{pi}\right)^2 + \left(x_{gi} - x_{pi}\right)^2}, \ K_0 = 1.5, \ d_{min} = 25, \ J_R = 0.2, \ \phi = 120^\circ, \ W = 55.9, \ K_M = 1.6, \ CR_{min} = 1.4,$$

$$L_{max} = 127$$
,  $C_p = 464$ ,  $\sigma_H = 3290$ ,  $\omega_{max} = 255$ ,  $\omega_1 = 5000$ ,  $\sigma_N = 2090$ ,  $\omega_{min} = 245$ .

with bounds:

 $b_i \in \{3.175, 12.7, 8.255, 5.715\},\$ 

 $y_{p1}, x_{p1}, y_{gi}, x_{gi} \in \{12.7, 38.1, 25.4, 50.8, 76.2, 63.5, 88.9, 114.3, 101.6\},$ 

 $7 \le N_{gi}, N_{pi} \le 76 \in \text{integer}.$ 

2.3.13. 10-bar truss optimization with frequency constraints [38]

The main aim of this problem is to minimize the weight of the truss structure with satisfying frequency constraints.

The mathematical formulation of this problem can be defined as follows.

Minimize:

190

$$f(\bar{x}) = \sum_{i=1}^{10} L_i(x_i) \rho_i A_i$$
 (33)

subject to:

$$g_1(\bar{x}) = \frac{7}{\omega_1(\bar{x})} - 1 \le 0,$$

$$g_2(\bar{x}) = \frac{15}{\omega_2(\bar{x})} - 1 \le 0,$$

$$g_3(\bar{x}) = \frac{20}{\omega_3(\bar{x})} - 1 \le 0,$$

with bounds:

$$6.45 \times 10^{-5} \le A_i \le 5 \times 10^{-3}, i = 1, 2, ..., 10.$$

where,

191

193

194

196

197

$$\bar{x} = \{A_1, A_2, ..., A_{10}\}, \ \rho = 2770.$$

2.3.14. Rolling element bearing [39]

This problem is formulated to optimize the load-carrying capacity of a rolling element bearing using five design variables and five design parameters. These design variables are pitch diameter  $(D_m)$ , ball diameter  $(D_b)$ , outer and inner raceway curvature coefficients  $(f_o \text{ and } f_i)$  and total number of balls (Z). The design parameters are  $e, \epsilon, \zeta, K_{Dmax}$ , and  $K_{Dmin}$  appeared in only constraints. These all are considered as variables i.e. five design variables and five design parameters. This problem contains nine non-linear constraints based on manufacturing and kinematic factors.

Maximize:

$$f(\bar{x}) = \begin{cases} f_c Z^{2/3} D_b^{1.8} & \text{, if } D_b \le 25.4 \text{ mm} \\ 3.647 f_c Z^{2/3} D_b^{1.4} & \text{, otherwise} \end{cases}$$
 (34)

$$g_1(\bar{x}) = Z - \frac{\phi_0}{2\sin^{-1}(D_b/D_m)} - 1 \le 0,$$

$$g_2(\bar{x}) = K_{Dmin}(D-d) - 2D_b \le 0,$$

$$g_3(\bar{x}) = 2D_b - K_{Dmax}(D - d) \le 0,$$

$$g_4(\bar{x}) = D_b -_w \le 0,$$

$$g_5(\bar{x}) = 0.5(D+d) - D_m \le 0,$$

$$g_6(\bar{x}) = D_m - (0.5 + e)(D + d) \le 0,$$

$$g_7(\bar{x}) = \epsilon D_b - 0.5 (D - D_m - D_b) \le 0,$$

$$g_8(\bar{x}) = 0.515 - f_i \le 0,$$

$$g_9(\bar{x}) = 0.515 - f_0 \le 0,$$

where,

$$f_c = 37.91 \left\{ 1 + \left\{ 1.04 \left( \frac{1 - \gamma}{1 + \gamma} \right)^{1.72} \left( \frac{f_i (2f_0 - 1)}{f_0 (2f_i - 1)} \right)^{0.41} \right\}^{10/3} \right\}^{-0.3}, \ \gamma = \frac{D_b \cos(\alpha)}{D_m}, \ f_i = \frac{r_i}{D_b}, \ f_0 = \frac{r_0}{D_b},$$

$$\phi_0 = 2\pi - 2 \times \cos^{-1} \left( \frac{\{(D - d)/2 - 3(T/4)\}^2 + \{D/2 - (T/4) - D_b\}^2 - \{d/2 + (T/4)\}^2}{2\{(D - d)/2 - 3(T/4)\}\{D/2 - (T/4) - D_b\}} \right)$$

$$T = D - d - 2D_b, \ D = 160, \ d = 90, \ B_w = 30.$$

### with bounds:

$$0.5(D+d) \le D_m \le 0.6(D+d),$$

$$0.15(D-d) \le D_b \le 0.45(D-d),$$

$$4 \le Z \le 50$$
,

$$0.515 \le f_i \le 0.6$$
,

$$0.515 \le f_0 \le 0.6$$
,

$$0.4 \le K_{Dmin} \le 0.5$$
,

$$0.6 \le K_{Dmax} \le 0.7$$
,

$$0.3 \le \epsilon \le 0.4$$
,

$$0.02 \le e \le 0.1$$
,

$$0.6 \le \zeta \le 0.85$$
,

### 2.3.15. Gas Transmission Compressor Design [40]

The mathematical formulation of this problem can be defined as follows.

## 200 Minimize:

$$f(\bar{x}) = 8.61 \times 10^5 x_1^{1/2} x_2 x_3^{-2/3} x_4^{-1/2} + 3.69 \times 10^4 x_3 + 7.72 \times 10^8 x_1^{-1} x_2^{0.219} - 765.43 \times 10^6 x_1^{-1}$$
 (35)

## subject to:

$$x_4 x_2^{-2} + x_2^{-2} - 1 \le 0,$$

$$20 \le x_1 \le 50$$
,

$$1 \le x_2 \le 10,$$

$$20 \le x_3 \le 50$$
,

$$0.1 \le x_4 \le 60.$$

#### 2.3.16. Tension/compression string design problem (case 2) [41]

The main objective of this problem is to optimize the required volume of steel wire used to build the helical compression spring. There are three design variables in this problem which are the outside diameter (D), a number of spring coils (N), and diameter of the spring wire (d). This problem contains eight non-linear inequality constraints and contains a discreate, an integer, and a continuous variable. This problem can be stated as follows.

#### Minimize:

201

202

203

204

206

$$f(\bar{x}) = \frac{\pi^2 x_2 x_3^2 (x_1 + 2)}{4} \tag{36}$$

### subject to:

$$g_1(\bar{x}) = \frac{8000C_f x_2}{\pi x_3^3} - 189000 \le 0,$$
  
$$g_2(\bar{x}) = l_f - 14 \le 0,$$

$$g_3(\bar{x}) = 0.2 - x_3 \le 0,$$

$$g_4(\bar x)=x_2-3\le 0,$$

$$g_5(\bar{x}) = 3 - \frac{x_2}{x_3} \le 0,$$

$$g_6(\bar{x}) = \sigma_p - 6 \le 0,$$

$$g_7(\bar{x}) = \sigma_p + \frac{700}{K} + 1.05(x_1 + 2)x_3 - l_f \le 0,$$

$$g_8(\bar{x}) = 1.25 - \frac{700}{K} \le 0,$$

where,

207

208

209

211

214

215

216

$$C_f = \frac{4\frac{x_2}{x_3} - 1}{4\frac{x_2}{x_2} - 4} + \frac{0.615x_3}{x_2}, \ K = \frac{11.5 \times 10^6 x_3^4}{8x_1 x_2^3}, \ \sigma_p = \frac{300}{K}, \ l_f = \frac{1000}{K} + 1.05(x_1 + 2)x_3.$$

## 2.3.17. Gear Train Design Problem [42]

The main objective of this problem is to minimize the ratio of gears for the arrangement of the compound gear train. The ratio of gear train is described as the ratio of the angular velocities of the output and input shaft. To generate the desired overall ratio of gears, the compound gear train is assembled using two pairs of gearwheels, b - f and d - a. The overall ratio of gears,  $i_{tot}$  is defined by the following equation.

$$i_{tot} = \frac{\omega_o}{\omega_i} = \frac{z_d z_b}{z_a z_f} \tag{37}$$

where, z is the total number of teeth on every gearwheel and variables  $\omega_i$  and  $\omega_o$  represent angular velocities of the input and output shafts, respectively. The main of this problem is to calculate the total number of teeth for every gearwheel to generate an optimum ratio of gears closer to the desired ratio ( $i_{trg} = 1/6.931$ ). For every gearwheel, the maximum required of teeth is 60 and the minimum is 12. The mathematical formulation of this problem is shown as follows.

## Minimize:

$$f(\bar{x}) = (i_{trg} - i_{tot})^2 = \left(\frac{1}{6.931} - \frac{x_1 x_2}{x_3 x_4}\right)^2 \tag{38}$$

$$g_{1-4}(\bar{x}) = 12 - x_i \le 0,$$
  
 $g_{5-8}(\bar{x}) = (60 - \bar{x}) \le 0$ 

### 2.3.18. Himmelblau's Function [43]

Himmelblau proposes this problem which is used as common benchmark problem to analyze the non-linear constrained optimization algorithms. This problem contains six nonlinear constraints and five variables. The description of this problem is shown as follows.

#### Minimize:

220

221

$$f(\bar{x}) = 5.3578547x_3^2 + 0.8356891x_1x_5 + 37.293239x_1 - 40792.141$$
(39)

# subject to:

$$g_1(\bar{x})=-G1\leq 0,$$

$$g_2(\bar{x}) = G1 - 92 \le 0,$$

$$g_3(\bar{x}) = 90 - G2 \le 0,$$

$$g_4(\bar{x}) = G2 - 110 \le 0,$$

$$g_5(\bar{x}) = 20 - G3 \le 0$$
,

$$g_6(\bar{x}) = G3 - 25 \le 0,$$

where,

$$G1 = 85.334407 + 0.0056858x_2x_5 + 0.0006262x_1x_4 - 0.0022053x_3x_5,$$

$$G2 = 80.51249 + 0.0071317_2x_5 + 0.0029955x_1x_2 + 0.0021813x_3^2$$

$$G3 = 9.300961 + 0.0047026x_3x_5 + 0.00125447x_1x_3 + 0.0019085x_3x_4.$$

## with bounds:

$$78 \le x_1 \le 102$$
,

$$33 \le x_2 \le 45$$
,

$$27 \le x_3 \le 45$$
,

$$27 \le x_4 \le 45,$$

$$27 \le x_5 \le 45$$
.

#### 2.3.19. Topology Optimization [44]

The main aim of this problem is to optimize the material layout for a provided set of loads, within given design search-space, and constraints associated with the performance of the system. This problem is based on the power-law approach and mathematically, it can be defined as follows.

### Minimize:

223

224

226

227

$$f(\bar{x}) = \mathbf{U}^T \mathbf{K} \mathbf{U} = \sum_{e=1}^N (x_e)^p u_e^T k_0 u_0$$

$$\tag{40}$$

## subject to:

$$h_1(\bar{x}) = \frac{V(\bar{x})}{V_0} - f = 0,$$

$$h_2(\bar{x}) = \mathbf{K}\mathbf{U} - \mathbf{F} = 0,$$

$$0<\bar{x}_{min}\leq x\leq 1.$$

#### 2.4. Power System Problems

2.4.1. Optimal Sizing of Single Phase Distributed Generation with reactive power support for Phase Balancing at Main Transformer/Grid [45]

Unbalance in practical distribution system is natural phenomenon. The unbalance in distribution system create negative and zero sequence currents leading to inefficient working of rotating machine along with losses in neutral conductors. In balanced system when there is no negative or zero sequence current the neutral current flow is zero. Balanced or assumption of balance in phases of distribution systems the neutral conductor are designed to carry smaller current. Apart from the above said problem due to unbalanced phase currents, one of the major concern are of overloading of main substation transformer. due to unbalance the phase having the maximum loading decides the capacity of the substation transformer. Thus, even if the transformer may be under loaded on the other two phases, it cannot further be loaded to take by the extra load. In present scenario the Distribution Generators (DGs) has been employed in distribution system in good numbers. The DG are of various type and are which of intent in this work is simple phase DGs. A DG in general is suitable generation and therefore no special arrangement are required to switch the DG feeding a phase to another one. The problem of phase balancing can be easily addressed if there are single phase DGs to redistribute the phase currents such that the unbalance is minimized. Single phase DG can be sized to mitigate phase unbalance, thereby reducing non-positive sequence currents at root node. This problem can be formulated as constrained optimization problem, which is as follows.

#### Minimize:

$$f = \left(I_{r,1}^{a} + I_{r,1}^{b} + I_{r,1}^{c}\right)^{2} + \left(I_{m,1}^{a} + I_{m,1}^{b} + I_{m,1}^{c}\right)^{2} + \left(I_{r,1}^{a} - 0.5\left(I_{r,1}^{b} + I_{r,1}^{c}\right) - 0.5\sqrt{3}\left(I_{m,1}^{b} - I_{m,1}^{c}\right)\right)^{2} + \left(I_{m,1}^{a} - 0.5\left(I_{m,1}^{b} + I_{m,1}^{c}\right) + 0.5\sqrt{3}\left(I_{r,1}^{b} - I_{r,1}^{c}\right)\right)^{2},$$

$$(41)$$

where,

$$I_{r,1}^{s} = \sum_{k \in \{a,b,c\}} \sum_{i=1}^{N} \left( G_{1,i}^{sk} V_{r,i}^{k} - B_{1i}^{sk} V_{m,i}^{k} \right)$$

$$I_{m,1}^{s} = \sum_{k \in I_{m,h}} \sum_{c_{k}}^{N} \left( B_{1,i}^{sk} V_{r,i}^{k} + G_{1i}^{sk} V_{m,i}^{k} \right)$$

## subject to:

$$\begin{split} &\sum_{s \in \{a,b,c\}} \sum_{i=1}^{N} \left( G_{k,i}^{js} V_{r,i}^{s} - B_{ki}^{js} V_{m,i}^{s} \right) - \frac{P_{k}^{j} V_{r,k}^{j} + Q_{k}^{j} V_{m,k}^{j}}{(V_{r,k}^{j})^{2} + (V_{m,k}^{j})^{2}} = 0, \\ &\sum_{s \in \{a,b,c\}} \sum_{i=1}^{N} (B_{ki}^{js} V_{r,i}^{s} + G_{ki}^{js} V_{m,i}^{s}) - \frac{P_{k}^{j} V_{m,k}^{j} - Q_{k}^{j} V_{r,k}^{j}}{(V_{r,k}^{j})^{2} + (V_{m,k}^{j})^{2}} = 0, \\ &P_{k}^{j} - P_{dg,k}^{j} + P_{l,k}^{j} = 0, \\ &Q_{k}^{j} - Q_{dg,k}^{j} + Q_{l,k}^{j} = 0, \end{split}$$

$$V_{min} \leq V_{r,k}^{j}, V_{m,k}^{j} \leq V_{max}$$
 $P_{min} \leq P_{k}^{j} \leq P_{max}$ 
 $Q_{min} \leq Q_{k}^{j} \leq Q_{max}$ 
 $P_{dg,min} \leq P_{dg,k}^{j} \leq P_{dg,max}$ 

$$Q_{dg,min} \le Q_{dg,k}^j \le Q_{dg,max}$$

where k = 1, 2, ...N;  $j = \{a, b, c\}$ ;  $P_i^j$  and  $Q_i^j$  represent the active and reactive injected power, respectively, at i-th bus in j-th phase;  $Ybus_{ij}^{st} (= G_{ij}^{st} + 1jB_{ij}^{st})$  is ij-th element of st-th block of admittance matrix;  $V_i^j (= V_{r,i}^j + 1jV_{m,i}^j)$  is bus voltage at i-th bus in j-th phase;  $P_{dg,k}^j$  and  $Q_{dg,k}^j$  represent the active and reactive power generation, respectively, at k-th DG in j-th phase; N represents the total number of buses in system.

#### 2.4.2. Optimal Sizing of Distributed Generation for Active Power Loss Minimization

Optimal sizing of both DG is of significance in improving system performance. The aim of this problem is to decide proper size of DG in the network that will offer minimum power loss. This problem can be formulated as constrained optimization problem, which is as follows.

#### Minimize:

250

251

252

253

254

$$f = \sum_{i=1}^{N} P_i \tag{42}$$

## subject to:

$$\begin{split} &\sum_{i=1}^{N}(G_{k,i}V_{r,i}-B_{ki}V_{m,i})-\frac{P_{k}V_{r,k}+Q_{k}V_{m,k}}{(V_{r,k})^{2}+(V_{m,k})^{2}}=0,\\ &\sum_{i=1}^{N}(B_{ki}V_{r,i}+G_{ki}V_{m,i})-\frac{P_{k}V_{m,k}-Q_{k}V_{r,k}}{(V_{r,k})^{2}+(V_{m,k})^{2}}=0,\\ &P_{k}-P_{dg,k}+P_{l,k}=0,\\ &Q_{k}+Q_{l,k}=0, \end{split}$$

#### with bounds:

$$V_{min} \le V_{r,k}, V_{m,k} \le V_{max}$$
  
 $P_{min} \le P_k \le P_{max}$   
 $Q_{min} \le Q_k \le Q_{max}$   
 $P_{min,dg} \le P_{dg,k} \le P_{max,dg}$ 

where k = 1, 2, ...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_{r,i} + 1jV_{m,i})$  is bus voltage at i-th bus;  $P_{dg,k}$  represents the active power generation of DG at k-th bus; N represents the total number of buses in system.

# 2.4.3. Optimal Sizing of Distributed Generation (DG) and Capacitors for Reactive Power Loss Minimization

System loads such as transformer, induction motors, cables, and transmission lines are usually inductive. These loads consume reactive power and introduce a lagging power factor. Consequently, poor performance and losses are introduced in the system. Shunt capacitors are used to deliver reactive power to improve lagging VAR of the system. Moreover, Distributed Generators (DGs) are better and efficient mean to reduce the active power loss of the system. Further, shunt capacitors (SC) integrate with DG can be utilized to cut down the active and reactive power loss of the distribution network. The optimal sizing of DGs and SCs can be developed as a constrained optimization problem.

#### Minimize

256

258

260

261

$$f = 0.5 \sum_{i=1}^{N} P_i + 0.5 \sum_{i=1}^{N} Q_i$$
 (43)

#### subject to:

$$\sum_{i=1}^{N} (G_{k,i}V_{r,i} - B_{ki}V_{m,i}) - \frac{P_kV_{r,k} + Q_kV_{m,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0,$$

$$\sum_{i=1}^{N} (B_{ki}V_{r,i} + G_{ki}V_{m,i}) - \frac{P_kV_{m,k} - Q_kV_{r,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0,$$

$$P_k - P_{dg,k} + P_{l,k} = 0,$$

$$Q_k - Q_{sc,k} + Q_{l,k} = 0,$$

$$V_{min} \le V_{r,k}, V_{m,k} \le V_{max}$$

$$P_{min} \le P_k \le P_{max}$$

$$Q_{min} \le Q_k \le Q_{max}$$

$$P_{min,dg} \le P_{dg,k} \le P_{max,dg}$$

$$Q_{min,sc} \le Q_{sc,k} \le Q_{max,sc}$$

where k = 1, 2, ...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_{r,i} + 1jV_{m,i})$  is bus voltage at i-th bus;  $P_{dg,k}$  and  $Q_{sc,k}$  represent the active power generation of DG and reactive power support from SC, respectively, at k-th bus; N represents the total number of buses in system.

### 2.4.4. Optimal Power flow (Minimization of Active Power Loss)

The optimal power flow (OPF) stands a commonly developed topic among the researchers. The OPF can be prepared as a single-objective constrained optimization problem of emission, voltage deviation, minimizing fuel cost, transmission loss, etc. with constraints based on line capacity, generator potential, power flow balance and bus voltage to be fulfilled. In this case, minimization of active power losses are considered as an objective function and this problem can be prepared as.

#### Minimize:

267

268

271

$$f = \sum_{i=1}^{N} \left( P_{g,i} - P_{l,i} \right) \tag{44}$$

## subject to:

$$\begin{split} P_{g,i} - P_{l,i} - V_i \sum_{j=1}^{N} V_j \Big( G_{ij} cos(\delta_i - \delta_j) + B_{ij} sin(\delta_i - \delta_j) \Big) &= 0, \\ Q_{g,i} - Q_{l,i} - V_i \sum_{j=1}^{N} V_j \Big( G_{ij} sin(\delta_i - \delta_j) - B_{ij} cos(\delta_i - \delta_j) \Big) &= 0, \end{split}$$

## with bounds:

$$V_{min} \le V_k \le V_{max},$$
  
 $\delta_{min} \le \delta_k \le \delta_{max},$   
 $P_{min} \le P_{g,k} \le P_{max}$   
 $Q_{min} \le Q_{g,k} \le Q_{max}$ 

where k = 1, 2, ...N;  $P_i (= P_{g,i} - P_{l,i})$  and  $Q_i (= Q_{g,i} - Q_{l,i})$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_i \angle \delta_i)$  is bus voltage at i-th bus;  $N_i (= V_i \angle \delta_i)$  represents the total number of buses in system.

2.4.5. Optimal Power flow (Minimization of Fuel Cost)

In this case, the minimization of fuel cost is treated as an objective function. This problem can also be formulated as constrained optimization problem.

Minimize:

282

283

$$f = \sum_{i=1}^{N} \left( a_i + b_i P_{g,i} + c_i P_{g,i}^2 \right) \tag{45}$$

where  $a_i$ ,  $b_i$ , and  $c_i$  are the cost coefficient of *i*-th bus generator, subject to:

$$P_{g,i} - P_{l,i} - V_i \sum_{j=1}^{N} V_j \left( G_{ij} cos(\delta_i - \delta_j) + B_{ij} sin(\delta_i - \delta_j) \right) = 0,$$

$$Q_{g,i} - Q_{l,i} - V_i \sum_{j=1}^{N} V_j \left( G_{ij} sin(\delta_i - \delta_j) - B_{ij} cos(\delta_i - \delta_j) \right) = 0,$$

#### with bounds:

 $V_{min} \leq V_k \leq V_{max}$ 

 $\delta_{min} \leq \delta_k \leq \delta_{max}$ ,

 $P_{min} \le P_{g,k} \le P_{max}$ 

 $Q_{min} \le Q_{g,k} \le Q_{max}$ 

where i = 1, 2, ...N;  $P_i (= P_{g,i} - P_{l,i})$  and  $Q_i (= Q_{g,i} - Q_{l,i})$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_i \angle \delta_i)$  is bus voltage at i-th bus;  $N_{ij} (= V_i \angle \delta_i)$  represents the total number of buses in system.

2.4.6. Optimal Power flow (Minimization of Active Power Loss and Fuel Cost)

Minimization of fuel cost and loss are considered as objective functions in this case. By using weight factor, this problem is converted from multi-objective to a single-objectiveconstrained problem. This problem is formulated as follows

291 Minimize:

290

$$f = \sum_{i=1}^{N} \left( a_i + (b_i + \lambda_p) P_{g,i} + c_i P_{g,i}^2 - \lambda_p P_{l,i} \right)$$
(46)

where  $a_i, b_i$ , and  $c_i$  are the cost coefficient of *i*-th bus generator and  $\lambda_p$  represents the weight factor **subject to :** 

$$P_{g,i} - P_{l,i} - V_i \sum_{j=1}^{N} V_j \left( G_{ij} cos(\delta_i - \delta_j) + B_{ij} sin(\delta_i - \delta_j) \right) = 0,$$

$$Q_{g,i} - Q_{l,i} - V_i \sum_{i=1}^{N} V_j \left( G_{ij} sin(\delta_i - \delta_j) - B_{ij} cos(\delta_i - \delta_j) \right) = 0,$$

$$V_{min} \leq V_k \leq V_{max}$$

$$\delta_{min} \leq \delta_k \leq \delta_{max}$$

$$P_{min} \le P_{g,k} \le P_{max}$$
  
 $Q_{min} \le Q_{g,k} \le Q_{max}$ 

where k = 1, 2, ...N;  $P_i(= P_{g,i} - P_{l,i})$  and  $Q_i(= Q_{g,i} - Q_{l,i})$  represent the active and reactive injected power, respectively, at *i*-th bus;  $Ybus_{ij}(= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i(= V_i \angle \delta_i)$  is bus voltage at *i*-th bus; N represents the total number of buses in system.

#### 2.4.7. Microgrid Power flow (Islanded case)

Since the early 1960s, power flow analysis has been an essential research topic for power engineers. For the operational analysis of islanded microgrid, there is a requirement of a suitable power flow tool. In the case of Droop-Based Islanded Microgrids (DBIMGs), sharing of the reactive and active power between the Distribution Generations (DGs) is controlled by the droop controllers. In general, conventional power flow techniques consider four variables to be unknown, such as active power, reactive power, voltage magnitude, and voltage angle for different buses. In the case of PQ bus, the value of voltage angle and voltage magnitude are unknown while reactive power and active power are known. Contrary, in the case of PV bus, voltage magnitude and reactive power are unknown while voltage angle and active power are known. But, in the case of droop bus, all these variables are unknown. Conventional techniques cannot be applied to the power flow problem of islanded MGs as a frequency is not considered constant. In islanded MGs, the operating frequency is operated as an extra unknown variable of the power flow problem. In order to address this issue, this problem can be formulated as constrained optimization problem, which is as follows.

#### Minimize:

295

297

298

301

302

303

305

$$f = \sum_{i=1}^{N} \left( P_i - \left( V_{r,i} \sum_{j=1}^{N} (G_{ij} V_{r,j} - B_{ij} V_{m,j}) + V_{m,i} \sum_{i=1}^{N} (b_{ij} V_{r,j} + G_{ij} V_{m,j}) \right) \right)^2 + \sum_{i=1}^{N} \left( Q_i - \left( V_{m,i} \sum_{j=1}^{N} (G_{ij} V_{r,j} - B_{ij} V_{m,j}) - V_{r,i} \sum_{j=1}^{N} (b_{ij} V_{r,j} + G_{ij} V_{m,j}) \right) \right)^2$$

$$(47)$$

### subject to:

$$\begin{split} &\sum_{i=1}^{N}(G_{k,i}V_{r,i}-B_{ki}V_{m,i})-\frac{P_{k}V_{r,k}+Q_{k}V_{m,k}}{(V_{r,k})^{2}+(V_{m,k})^{2}}=0,\\ &\sum_{i=1}^{N}(B_{ki}V_{r,i}+G_{ki}V_{m,i})-\frac{P_{k}V_{m,k}-Q_{k}V_{r,k}}{(V_{r,k})^{2}+(V_{m,k})^{2}}=0,\\ &P_{k}-Cp_{k}(w_{k}^{*}-w)+P_{l,k}=0,\\ &Q_{k}-Cq_{k}\left(V_{k}^{*}-\sqrt{(V_{r,k})^{2}+(V_{m,k})^{2}}\right)+Q_{l,k}=0, \end{split}$$

## with bounds:

$$V_{min} \le V_{r,k}, V_{m,k} \le V_{max}$$
  
 $P_{min} \le P_k \le P_{max}$   
 $Q_{min} \le Q_k \le Q_{max}$   
 $w_{min} \le w \le w_{max}$ 

where k = 1, ...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_{r,i} + 1jV_{m,i})$  is bus voltage at i-th bus;  $Cp_k$  and  $Cq_k$  represent the active and reactive power droop parameters of controllers, respectively; w is operating frequency; N represents the total number of buses in system.

#### 2.4.8. Microgrid Power flow (Grid-connected case)

One of the key challenges in the steady-state power systems analysis is the power flow problem (PFP) of grid-connected microgrid. Since the 1950s, different techniques have been utilized to solve PFP of transmission systems. The developments of these techniques have been essentially done by utilizing numerical techniques which are used to solve non-linear simultaneous equations i.e. Newtons based numerical techniques (NNTs) and their variants. During the solving process of PFP, Jacobian Matrix becomes near singular or singular in gird connected microgrids in case of NNTs. Therefore, they cannot provide solutions in this case. In order to address this issue, PFP can be formulated as an alternative constrained optimization problem, which is as follows.

#### Minimize:

313

314

315

317

318

319

322

324

325

326

327

328

329

330

$$f = \sum_{i=2}^{N} \left( P_i - \left( V_{r,i} \sum_{j=1}^{N} (G_{ij} V_{r,j} - B_{ij} V_{m,j}) + V_{m,i} \sum_{i=1}^{N} (b_{ij} V_{r,j} + G_{ij} V_{m,j}) \right) \right)^2 + \sum_{i=1}^{N} \left( Q_i - \left( V_{m,i} \sum_{i=1}^{N} (G_{ij} V_{r,j} - B_{ij} V_{m,j}) - V_{r,i} \sum_{i=1}^{N} (b_{ij} V_{r,j} + G_{ij} V_{m,j}) \right) \right)^2$$

$$(48)$$

## subject to:

$$\sum_{i=2}^{N} (G_{k,i}V_{r,i} - B_{ki}V_{m,i}) - \frac{P_kV_{r,k} + Q_kV_{m,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0,$$

$$\sum_{i=2}^{N} (B_{ki}V_{r,i} + G_{ki}V_{m,i}) - \frac{P_kV_{m,k} - Q_kV_{r,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0,$$

#### with bounds:

$$V_{min} \le V_{r,k}, V_{m,k}...k = 1, 2, ...N \le V_{max}$$

where k = 2,...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij}(=G_{ij}+1jB_{ij})$  is ij-th element of admittance matrix;  $V_i(=V_{r,i}+1jV_{m,i})$  is bus voltage at i-th bus; N represents the total number of buses in system.

#### 2.4.9. Optimal Setting of Droop Controller for Minimization of Active Power Loss in Islanded Microgrids

For the application of an islanded microgrid (IMG), the Distributed Generations (DGs) can distribute the local loads accordingly without crossing acceptable limits of bus voltages and system frequency. Further, the flow of current in lines must be within the bars. In an IMG, several droop control systems have been adopted for power-sharing among the DGs. It is essential to conduct the schemes not entirely stable, but also optimally. In an IMG, tuning of the droop parameters is required to reduce active losses. This problem can be developed as a constrained optimization problem,

## Minimize:

$$f = \sum_{i=1}^{N} P_i \tag{49}$$

$$\begin{split} &\sum_{i=1}^{N} (G_{k,i}V_{r,i} - B_{ki}V_{m,i}) - \frac{P_kV_{r,k} + Q_kV_{m,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0, \\ &\sum_{i=1}^{N} (B_{ki}V_{r,i} + G_{ki}V_{m,i}) - \frac{P_kV_{m,k} - Q_kV_{r,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0, \\ &P_k - Cp_k(w_k^* - w) + P_{l,k} = 0, \\ &Q_k - Cq_k\left(V_k^* - \sqrt{(V_{r,k})^2 + (V_{m,k})^2}\right) + Q_{l,k} = 0, \end{split}$$

#### with bounds:

$$V_{min} \leq V_{r,k}, V_{m,k} \leq V_{max}$$

$$P_{min} \le P_k \le P_{max}$$

$$Q_{min} \leq Q_k \leq Q_{max}$$

$$Cp_{min.k} \leq Cp_k \leq Cp_{max.k}$$

$$Cq_{min,k} \leq Cq_k \leq Cq_{max,k}$$

$$w_{min} \le w \le w_{max}$$

where k = 1, ...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij}$  (=  $G_{ij} + 1jB_{ij}$ ) is ij-th element of admittance matrix;  $V_i$  (=  $V_{r,i} + 1jV_{m,i}$ ) is bus voltage at i-th bus;  $Cp_k$  and  $Cq_k$  represent the active and reactive power droop parameters of controllers, respectively; w is operating frequency; N represents the total number of buses in system.

2.4.10. Optimal Setting of Droop Controller for Minimization of Reactive Power Loss in Islanded Microgrids
The main aim of this problem is to minimize reactive losses by tuning the droop parameters. Mathematical model of this problem can be expressed as a constrained optimization problem.

#### Minimize:

339

341

$$f = \sum_{i=1}^{N} Q_i \tag{50}$$

### subject to:

$$\begin{split} &\sum_{i=1}^{N} (G_{k,i}V_{r,i} - B_{ki}V_{m,i}) - \frac{P_kV_{r,k} + Q_kV_{m,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0, \\ &\sum_{i=1}^{N} (B_{ki}V_{r,i} + G_{ki}V_{m,i}) - \frac{P_kV_{m,k} - Q_kV_{r,k}}{(V_{r,k})^2 + (V_{m,k})^2} = 0, \\ &P_k - Cp_k(w_k^* - w) + P_{l,k} = 0, \\ &Q_k - Cq_k\left(V_k^* - \sqrt{(V_{r,k})^2 + (V_{m,k})^2}\right) + Q_{l,k} = 0, \end{split}$$

## with bounds:

$$V_{min} \leq V_{r,k}, V_{m,k} \leq V_{max}$$

$$P_{min} \leq P_k \leq P_{max}$$

$$Q_{min} \leq Q_k \leq Q_{max}$$

$$Cp_{min.k} \leq Cp_k \leq Cp_{max.k}$$

$$Cq_{min,k} \le Cq_k \le Cq_{max,k}$$

$$w_{min} \le w \le w_{max}$$

where k = 1, ...N;  $P_i$  and  $Q_i$  represent the active and reactive injected power, respectively, at i-th bus;  $Ybus_{ij} (= G_{ij} + 1jB_{ij})$  is ij-th element of admittance matrix;  $V_i (= V_{r,i} + 1jV_{m,i})$  is bus voltage at i-th bus;  $Cp_k$  and  $Cq_k$  represent the active and reactive power droop parameters of controllers, respectively; w is operating frequency; N represents the total number of buses in system.

<sup>344</sup> 2.5. Power Electronics: Synchronous Optimal Pulsewidth Modulation

Synchronous optimal pulse-width modulation (SOPWM) is a rising approach to regulatemedium-voltage (MV) drives. It provides a significant reduction of switching frequency without raising the distortion. Consequently, itreduces the switching losses thatenhances the performance of the inverter. Over a single fundamental period, switching angles are calculatedwhile reducing the distortion of current. SOPWM can be developed as a scalable constrained optimization problem. For different level inverters, the SOPWM problem can be illustrated as follows.

2.5.1. SOPWM for 3-level Invereters [46]

Minimize:

345

347

348

350

351

353

356

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{\sqrt{\sum_{k} k^{-4}}}$$
(51)

where, k = 5, 7, 11, 13.....97,  $N = \lfloor \frac{f_{s,max}}{f.m} \rfloor$ , and  $s(i) = (-1)^{i+1}$  subject to:

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, i = 1, 2, ...N - 1,$$

$$m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

with hounds

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

2.5.2. SOPWM for 5-level Inverters [47]

Minimize

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{2\sqrt{\sum_{k} k^{-4}}}$$
(52)

subject to:

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, i = 1, 2, ...N - 1,$$

$$2m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

with bounds:

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

55 2.5.3. SOPWM for 7-level Inverters [48]

Minimize:

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{3\sqrt{\sum_{k} k^{-4}}}$$
(53)

where, k = 5, 7, 11, 13.....97,  $N = \lfloor \frac{3.f_{s,max}}{f.m} \rfloor$ , and s = [1, -1, 1, 1, 1, -1, -1, -1, 1, 1, 1, -1]. **subject to :** 

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, \ i = 1, 2, ...N - 1,$$

$$3m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

358 2.5.4. SOPWM for 9-level Inverters [49]

Minimize:

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{4\sqrt{\sum_{k} k^{-4}}}$$
(54)

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, i = 1, 2, ...N - 1,$$

$$4m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

with bounds:

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

361 2.5.5. SOPWM for 11-level Inverters [50]

362 Minimize:

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{5\sqrt{\sum_{i,k} k^{-4}}}$$
(55)

where, k = 5, 7, 11, 13.....97,  $N = \lfloor \frac{5.f_{s,max}}{f.m} \rfloor$ , and s = [1, -1, 1, 1, 1, -1, -1, -1, 1, 1, 1, 1]. **subject to :** 

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, \ i = 1, 2, ...N - 1,$$

$$5m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

with bounds:

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

2.5.6. SOPWM for 13-level Inverters [50]

Minimize

365

$$f = \frac{\sqrt{\sum_{k} (k^{-4})(\sum_{i=1}^{N} s(i)cos(k\alpha_{i}))^{2}}}{6\sqrt{\sum_{k} k^{-4}}}$$
(56)

where, k = 5, 7, 11, 13.....97,  $N = \lfloor \frac{6.f_{s,max}}{f.m} \rfloor$ , and s = [1, 1, 1, -1, 1, -1, 1, -1, 1, 1, 1, 1] subject to:

$$\alpha_{i+1} - \alpha_i - 10^{-5} > 0, i = 1, 2, ...N - 1,$$

$$6m - \sum_{i=1}^{N} s(i)cos(\alpha_i) = 0,$$

$$0 < \alpha_i < \frac{\pi}{2}, \ , i = 1, 2, ...N.$$

#### 2.6. Livestock Feed Ration Optimization

In the livestock production industry, feed represents a significant part as it accounts for about 60 80% of the product cost depending on stage and race of the animal [51, 52]. Consequently, it is required to provide the most desirable diet at least cost to prune down the operational cost for obtaining added profit. Moreover, the purpose of the feed mix formulation is to determine relevant ingredients and their cost for reducing feed cost while satisfying constraints based on various nutrient necessities [53, 54]. Two type of cattle case viz. beef cattle and dairy cattle are selected and are introduced in below subsections.

#### 2.6.1. Beef cattle case [55]

In case ofbeef cattle, the primary target is to achieve the allocation  $(x_i)$  of each availablematerial  $Y_i$  with respect to their costs  $(C_i)$ . The constraints are based on the amount of expected nutrients (in Kg) and weight  $(w_i)$ . The formulation of this problem can be expressed as follows.

#### Minimize:

369

370

371

372

374

375

376

377

$$f(\bar{x}) = \sum_{i=1}^{n} x_i C_i \tag{57}$$

$$h_1(\bar{x}) = \sum_{i=1}^n x_i \mathrm{DMI}_i - a = 0,$$

$$g_1(\bar{x}) = b - \sum_{i=1}^n x_i \operatorname{CP}_i \le 0,$$

$$g_2(\bar{x}) = \sum_{i=1}^n x_i \operatorname{CP}_i - c \le 0,$$

$$g_3(\bar{x}) = d - \sum_{i=1}^n x_i \text{TDN}_i \le 0,$$

$$g_4(\bar{x}) = \sum_{i=1}^n x_i \text{TDN}_i - e \le 0,$$

$$g_5(\bar{x}) = f - \sum_{i=0}^n x_i \operatorname{Ca}_i \le 0,$$

$$g_6(\bar{x}) = \sum_{i=0}^n x_i \operatorname{Ca}_i - g \le 0,$$

$$g_7(\bar{x}) = h - \sum_{i=0}^n x_i P_i \le 0,$$

$$g_8(\bar{x}) = \sum_{i=0}^n x_i P_i - j \le 0,$$

$$g_9(\bar{x}) = k - \sum_{i=0}^n x_i \text{Rhage}_i \le 0,$$

$$g_{10}(\bar{x}) = \sum_{i=0}^{n} x_i \operatorname{Rhage}_i - l \le 0,$$

$$g_{11}(\bar{x}) = m - \sum_{i=0}^{n} x_i MC_i \le 0,$$

$$g_{12}(\bar{x}) = \sum_{i=0}^{n} x_i MC_i - o \le 0,$$

$$g_{13}(\bar{x}) = p - \sum_{i=1}^{n} x_i \operatorname{Conc}_i \le 0,$$

$$g_{14}(\bar{x}) = \sum_{i=1}^{n} x_i \operatorname{Conc}_i - q \le 0.$$

where DMI, CP, TDN, Ca, P, and Rhage represent Dry Matter Intake, Crude Protein, Total Digestible Nutrients, Calcium, Phosphorous, and Roughages, repectively, in Kg.

#### 2.6.2. Dairy cattle case [55]

In case of dairy cattle, the principal objective is defined as allocation  $(x_i)$  of chosen materials  $(Y_i)$  multiplied by their costs  $(C_i)$ . The purpose of this problem is to obtain  $x_i$  for reducing the production cost while meeting the problem constraints. The numerical formulation can be expressed as follows.

Minimize:

381

382

383

384

385

$$f(\bar{x}) = \sum_{i=1}^{n} x_i C_i \tag{58}$$

subject to:

$$h_1(\bar{x}) = \sum_{i=1}^n x_i MP_i - r = 0,$$

$$h_2(\bar{x}) = \sum_{i=1}^n x_i \text{Lys}_i - s = 0,$$

$$h_3(\bar{x}) = \sum_{i=1}^n x_i Ca_i - t = 0,$$

$$h_4(\bar{x}) = \sum_{i=1}^n x_i P_i - u = 0,$$

$$h_5(\bar{x}) = \sum_{i=1}^n x_i ME_i - v = 0,$$

$$h_6(\bar{x}) = \sum_{i=1}^n x_i \text{Met}_i - z = 0,$$

where MP, Lys, Ca, P, ME, and Met represent Metabolizable Protein, Lysine, Calcium, Phosphorous, Metabolizable
 Energy, and Methionine, respectively in Kg.

#### 2.7. Benchmark Suite

388

389

391

392

A benchmark suite is created using the above-mentioned real-world constrained problems. A total number of 57 problems is designed from the above-listed problems and is included in the benchmark suite. The details of these problems are reported in Table 3. As shown in Table 3, the number of decision variables vary from 2 to 158, number of equality constraints vary from 0 to 148, and number of equality constraints vary from 0 to 105. The source code of this benchmark suite is available in .

Table 3: Details of the 57 real-world constrained optimization problem. D is the total number of decision variables of the problem, g is the number of inequality constraints and h is the number of equality constraints,  $f(\bar{x}^*)$  is best known feasible objective function value.

quality co	quality constraints and h is the number of equality constraints, $f(\bar{x}^*)$ is best known feasible objective function value.											
Prob	Name	D	g	h	$f(\bar{x}^*)$							
	Industrial Chemical Processes											
RC01	Heat Exchanger Network Design (case 1)	9	0	8	1.8931162966E+02							
RC02	Heat Exchanger Network Design (case 2)	11	0	9	7.0490369540E+03							
RC03	Optimal Operation of Alkylation Unit	7	14	0	-4.5291197395E+03							
RC04	Reactor Network Design (RND)	6	1	4	-3.8826043623E-01							
RC05	Haverly's Pooling Problem	9	2	4	-4.0000560000E+02							
RC06	Blending-Pooling-Separation problem	38	0	32	1.8638304088E+00							
RC07	Propane, Isobutane, n-Butane Nonsharp Separation	48	0	38								
KC07	1 1	46	0	36	2.1158627569E+00							
D 000	Process Synthesis and Design Problems	_			2 0000000000000000000000000000000000000							
RC08	Process synthesis problem	2	2	0	2.0000000000E+00							
RC09	Process synthesis and design problem	3	1	1	2.5576545740E+00							
RC10	Process flow sheeting problem	3	3	0	1.0765430833E+00							
RC11	Two-reactor Problem	7	4	4	9.9238463653E+01							
RC12	Process synthesis problem	7	9	0	2.9248305537E+00							
RC13	Process design Problem	5	3	0	2.6887000000E+04							
RC14	Multi-product batch plant	10	10	0	5.3638942722E+04							
	Mechanical Engineering Problem											
RC15	Weight Minimization of a Speed Reducer	7	11	0	2.9944244658E+03							
RC16	Optimal Design of Industrial refrigeration System	14	15	0	3.2213000814E-02							
RC17	Tension/compression spring design (case 1)	3	3	0	1.2665232788E-02							
RC18	Pressure vessel design	4	4	ő	5.8853327736E+03							
RC19	Welded beam design	4	5	0	1.6702177263E+00							
RC20	Three-bar truss design problem	2	3	0	2.6389584338E+02							
RC20		5	7	0								
	Multiple disk clutch brake design problem	9		1	2.3524245790E-01							
RC22	Planetary gear train design optimization problem		10	1	5.2576870748E-01							
RC23	Step-cone pulley problem	5	8	3	1.6069868725E+01							
RC24	Robot gripper problem	7	7	0	2.5287918415E+00							
RC25	Hydro-static thrust bearing design problem	4	7	0	1.6254428092E+03							
RC26	Four-stage gear box problem	22	86	0	3.5359231973E+01							
RC27	10-bar truss design	10	3	0	5.2445076066E+02							
RC28	Rolling element bearing	10	9	0	1.4614135715E+04							
RC29	Gas Transmission Compressor Design (GTCD)	4	1	0	2.9648954173E+06							
RC30	Tension/compression spring design (case 2)	3	8	0	2.6138840583E+00							
RC31	Gear train design Problem	4	1	1	0.0000000000E+00							
RC32	Himmelblau's Function	5	6	0	-3.0665538672E+04							
RC33	Topology Optimization	30	30	0	2.6393464970E+00							
	Power System Problems											
RC34	Optimal Sizing of Single Phase Distributed Generation with reactive power support for	118	0	108	0.000000000E+00							
	Phase Balancing at Main Transformer/Grid		"									
RC35	Optimal Sizing of Distributed Generation for Active Power Loss Minimization	153	0	148	8.9093896456E-02							
RC36	Optimal Sizing of Distributed Generation (DG) and Capacitors for Reactive Power Loss	158	0	148	7.2066551720E-02							
RCSO	Minimization	136	"	140	7.2000331720E-02							
DC27		126		116	2 10/2051470E 02							
RC37	Optimal Power flow (Minimization of Active Power Loss)	126	0	116	2.1962851478E-02							
RC38	Optimal Power flow (Minimization of Fuel Cost)	126	0	116	2.7766131989E+00							
RC39	Optimal Power flow (Minimization of Active Power Loss and Fuel Cost)	126	0	116	2.8677165770E+00							
RC40	Microgrid Power flow (Islanded case)	76	0	76	0.0000000000E+00							
RC41	Microgrid Power flow (Grid-connected case)	74	0	74	0.000000000E+00							
RC42	Optimal Setting of Droop Controller for Minimization of Active Power Loss in Islanded	86	0	76	8.6241006360E-02							
	Microgrids											
RC43	Optimal Setting of Droop Controller for Minimization of Reactive Power Loss in Is-	86	0	76	8.0420545897E-02							
	landed Microgrids											
RC44	Wind Farm Layout Problem	30	105	0	-6.2607000000E+03							
	Power Electronic Problems		-									
RC45	SOPWM for 3-level Invereters	25	24	1	3.8029250566E-02							
RC46	SOPWM for 5-level Inverters	25	24	1	2.1215000000E-02							
RC47	SOPWM for 7-level Inverters	25	24	1	1.5164538375E-02							
RC48	SOPWM for 9-level Inverters	30	29	1	1.6787535766E-02							
RC49	SOPWM for 11-level Inverters	30	29	1	9.3118741800E-03							
RC50	SOPWM for 13-level Inverters	30	29	1	1.5096451396E-02							
1030	Livestock Feed Ration Optimization	20		1	1.5070 15157015-02							
DC51	<u> </u>	59	14	1	4.5508511497E+03							
RC51	Beef Cattle (case 1)			1								
RC52	Beef Cattle (case 2)	59	14	1	3.3489821493E+03							
RC53	Beef Cattle (case 3)	59	14	1	4.9976069290E+03							
RC54	Beef Cattle (case 4)	59	14	1	4.2405482538E+03							
RC55	Dairy Cattle (case 1)	64	0	6	6.6964145128E+03							
RC56	Dairy Cattle (case 2)	64	0	6	1.4748932529E+04							
RC57	Dairy Cattle (case 3)	64	0	6	3.2132917019E+03							

# 3. Evaluation of Proposed Benchmark suite

In this section, the proposed benchmark suite is evaluated using three state-of-the-art algorithms viz. IUDE [18],  $\epsilon$ MAgES [19], and iLSHADE $_{\epsilon}$  [20].

#### 3.1. Improved Unified Differential Evolution Algorithm

To solve constrained optimization problems, an Improved variant of Unified Differential Evolution (IUDE) is proposed in [18]. This algorithm employs three mutation strategies viz. current-to-pbest, current-to-rand, and rand mutation strategy with binomial crossover operator to generate trial solutions. IUDE has been a dual population-based approach where the current population is divided into two sub-population at each generation. The mutation operation utilizes the ranking-based mutation and parameter self-adaptation procedure of SHADE [56]. The constraint handling technique employed in IUDE is a combined approach proposed in  $C^2$  oDE [57], where a combination of  $\epsilon$ -constraint and feasibility based rule approach is applied. For selection, the traditional one-to-one replacement approach is applied in IUDE.

### 3.2. Matrix Adaptation Evolution Strategy

In [19], a state-of-the-art Evolution Strategy, Matrix Adaptation Evolution Strategy (MA-ES), is proposed to solve real-parameter constrained optimization problems. MA-ES is a computationally efficient version of CMA-ES variant [58]. To handle the constraint,  $\epsilon$ -constraint is incorporated in MA-ES. In addition, a repair step based on gradient approximation is also employed to deal with equality constraints. This algorithm is named as  $\epsilon$ MAgES.

#### 3.3. LSHADE44 with an Improved $\epsilon$ Constraint-handling Method

For solving constrained optimization problems, a differential evolution based variant LSHADE44 is proposed in [20]. Additionally, an improved constrained handling technique, named IEpsilon, is also proposed to deal with complex constraints. In IEpsilon, the  $\epsilon$ -level is adaptively adjusted according to number of feasible solutions in the current population to provide a balance between infeasible regions and feasible regions during the optimization process. Moreover, a new trail vector generation strategy, DE/randr1\*/1, is proposed. This algorithm is named as iLSHADE $_{\epsilon}$ .

#### 3.4. Experimental Setting

397

398

399

400

401

404

405

407

408

409

410

411

412

413

414

415

417

418

420

421

422

423

425

430

432 433 434

All the above-mentioned algorithms have been implemented in MATLAB. Evaluation of the proposed benchmark suite has been done on MATLAB r2017b in a PC having Microsoft Windows 10 operating system with INTEL Core i7 CPU and 8 Gb RAM. The parameter setting of all algorithms is directly taken from their respective papers viz. [18, 19, 20].

To stop the optimization process, a stopping rule based on the number of decision variables is applied in all algorithms. A fixed amount of function evaluations is allotted during the optimization process and after the maximum function evaluations, the optimization process of the algorithms is stopped and result in terms of the best solution is returned. The following criteria are used to decide the maximum function evaluation for each problem of the proposed benchmark suite.

$$Max_{FEs} = \begin{cases} 1 \times 10^5, & \text{if } D \le 10\\ 2 \times 10^5, & \text{if } 10 < D \le 30\\ 4 \times 10^5, & \text{if } 30 < D \le 50\\ 8 \times 10^5, & \text{if } 50 < D \le 150\\ 10^6, & \text{if } 150 < D \end{cases}$$
(59)

where  $Max_{FEs}$  is maximum allowed function evaluations and D is dimension (number of decision variable) of problem. 429

### 3.5. Algorithmic Complexity

The algorithmic complexity of all algorithms is also calculated using the proposed benchmark suite. Following procedures are adopted to calculate the algorithmic complexity.

- T<sub>1</sub> = \frac{\sum\_{i=1}^{57} t\_{1i}}{57}, \text{ where } t\_{1i} \text{ is the computation time required to evaluate function for 100000 times for problem } i.
   T<sub>2</sub> = \frac{\sum\_{i=1}^{57} t\_{2i}}{57}, \text{ where } t\_{2i} \text{ is the computation time required by algorithm for 100000 function evaluations for problem } i.
- 3. The algorithmic complexity is evaluated using  $T_1$ ,  $T_2$ , and  $\frac{T_2-T_1}{T_1}$ .

Complexity of all algorithms is reported in Table 4. It can be seen from Table 4, IUDE has lower complexity and 437 iLSHADE $_{\epsilon}$  has higher computational complexity.

Table 4: Computational Complexity

Algorithm	$T_1$ (sec)	$T_2$ (sec)	$\frac{T_2-T_1}{T_1}$
IUDE	8.57	9.93	0.16
$\epsilon$ MAgES	8.57	12.67	0.48
$iLSHADE_{\epsilon}$	8.57	15.76	0.84

Table 5: Results of Industrial Chemical Processes problems (RC01 -RC07) using IUDE, ∈MAgES, and iLSHADE,

Prob	Algorithm	Best	Median	Mean	Worst	SD	FR	MV	SR
1100		1.91E+02	3.05E+02	2.85E+02	0.00E+00		0	1.48E+05	0
	IUDE					1.99E+02	-		
RC01	€MAgES	1.89E+02	1.90E+02	1.90E+02	1.92E+02	7.96E-01	100	0.00E+00	16
	$iLSHADE_{\epsilon}$	1.89E+02	2.07E+02	1.97E+02	2.03E+02	6.58E+00	32	4.46E-04	4
	IUDE	7.05E+03	7.05E+03	6.93E+03	5.94E+03	3.70E+02	92	9.99E+03	92
RC02	€MAgES	7.05E+03	7.05E+03	1.05E+04	2.66E+04	6.54E+03	68	8.29E+01	68
	$iLSHADE_{\epsilon}$	7.05E+03	7.05E+03	7.05E+03	7.05E+03	5.57E-13	100	0.00E+00	100
	IUDE	-4.53E+03	-1.43E+02	-6.08E+03	-1.57E+04	5.88E+03	68	3.86E+00	12
RC03	€MAgES	-1.43E+02	7.63E+01	3.21E+01	2.49E+02	1.43E+02	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	-4.53E+03	-1.43E+02	-9.57E+02	4.95E+02	2.04E+03	100	0.00E+00	36
	IUDE	-3.87E-01	-4.70E-01	-5.05E-01	-5.52E-01	7.80E-02	0	8.76E-02	0
RC04	$\epsilon$ MAgES	-3.88E-01	-3.88E-01	-3.88E-01	-3.86E-01	7.55E-04	100	0.00E+00	84
	$iLSHADE_{\epsilon}$	-3.75E-01	-3.75E-01	-3.75E-01	-3.75E-01	1.21E-06	100	0.00E+00	100
	IUDE	-4.00E+02	-4.00E+02	-3.56E+02	-8.30E-03	1.33E+02	100	0.00E+00	72
RC05	€MAgES	-4.00E+02	-2.27E+02	-2.44E+02	-1.47E+02	9.30E+01	100	0.00E+00	36
	$iLSHADE_{\epsilon}$	-4.00E+02	-1.33E-02	-1.01E+02	-6.30E-03	1.57E+02	100	0.00E+00	44
	IUDE	1.88E+00	9.98E-01	1.10E+00	9.98E-01	2.92E-01	0	2.16E+00	0
RC06	€MAgES	2.04E+00	2.01E+00	2.06E+00	2.28E+00	1.60E-01	12	8.30E-03	0
	$iLSHADE_{\epsilon}$	1.31E+00	1.64E+00	1.28E+00	1.22E+00	1.47E-01	0	1.24E-01	0
	IUDE	1.72E+00	2.16E+00	1.65E+00	1.24E+00	3.76E-01	0	2.18E-01	0
RC07	€MAgES	2.08E+00	1.67E+00	1.78E+00	1.57E+00	2.14E-01	0	1.86E-02	0
	$iLSHADE_{\epsilon}$	1.88E+00	1.61E+00	1.77E+00	1.78E+00	1.50E-01	0	7.98E-02	0

# 3.6. Performance Evaluation Procedure

440

441

442

443

445

446

447

450

451

452

454

456

The problems of the proposed benchmark suite are taken from different engineering applications. Therefore, the difficulty level and complexity of problems have been different from each other. In order to determine the relative difficulty level of each problem of the benchmark suite, the following procedures are adopted in this study.

- The above-mentioned algorithms are implemented independently 25 times on each problem of the benchmark suite.
- The outcomes of algorithms for 25 runs are prepared in terms of the mean objective function (Mean), mean constraint violation (MV), feasibility rate (FR), and success rate (SR).
  - 1. Mean Constraint Violation: Mean constraint violation,  $\bar{v}$ , is calculated using following equation.

$$\bar{v} = \frac{\sum_{i=1}^{p} \max (g_i(\bar{x}), 0) + \sum_{j=p+1}^{m} \max (|h_i(\bar{x})| - \varepsilon, 0)}{m},$$
(60)

where  $\varepsilon$  is set to 0.0001.

- 2. Feasibility Rate: The ratio of number of runs in which at least one feasible solution is attained within  $Max_{FEs}$  and total runs.
- 3. Success Rate: The ratio of total number of runs in which an algorithm obtained a feasible solution  $\bar{x}$  satisfying  $f(\bar{x}) f(\bar{x}^*) \le 10^{-8}$  within  $Max_{FEs}$  and total runs.
- The difficulty level of problems is evaluated using following criteria.
  - 1. Evaluation of problems based on SR,
  - 2. Then evaluation of problems based on FR, and
  - 3. At last, evaluation of problems based on MV.

Table 6: Results of Process S	vnthesis and Design Problems (	(RC08 -RC14) using	IUDE, $\epsilon$ MAgES.	and iLSHADE.

Prob	Algorithm	Best	Median	Mean	Worst	SD	FR	MV	SR
	IUDE	2.00E+00	2.00E+00	2.00E+00	2.00E+00	1.92E-16	100	0.00E+00	100
RC08	$\epsilon$ MAgES	2.00E+00	2.00E+00	2.00E+00	2.00E+00	5.83E-05	100	0.00E+00	96
	$iLSHADE_{\epsilon}$	2.00E+00	2.00E+00	2.00E+00	2.00E+00	2.36E-16	100	0.00E+00	100
	IUDE	2.56E+00	2.56E+00	2.60E+00	2.93E+00	1.23E-01	100	0.00E+00	88
RC09	$\epsilon$ MAgES	2.56E+00	2.56E+00	2.56E+00	2.56E+00	0.00E+00	100	0.00E+00	100
	$iLSHADE_{\epsilon}$	2.56E+00	2.56E+00	2.66E+00	2.69E+00	2.74E-01	88	2.31E-03	64
	IUDE	1.08E+00	1.08E+00	1.10E+00	1.25E+00	5.78E-02	100	0.00E+00	88
RC10	$\epsilon$ MAgES	1.08E+00	1.08E+00	1.08E+00	1.08E+00	2.36E-16	100	0.00E+00	100
	$iLSHADE_{\epsilon}$	1.08E+00	1.25E+00	1.21E+00	1.25E+00	7.65E-02	100	0.00E+00	76
	IUDE	9.92E+01	9.92E+01	1.02E+02	1.07E+02	4.07E+00	100	0.00E+00	52
RC11	$\epsilon$ MAgES	9.92E+01	9.92E+01	1.05E+02	6.88E+00	1.13E+02	0	2.96E-06	0
	$iLSHADE_{\epsilon}$	9.92E+01	1.01E+02	1.03E+02	1.10E+02	4.60E+00	100	0.00E+00	44
	IUDE	2.92E+00	2.95E+00	3.08E+00	4.21E+00	4.21E-01	100	0.00E+00	16
RC12	$\epsilon$ MAgES	2.92E+00	3.92E+00	3.64E+00	4.63E+00	6.72E-01	100	0.00E+00	20
	$iLSHADE_{\epsilon}$	2.92E+00	2.92E+00	2.92E+00	2.92E+00	3.85E-08	100	0.00E+00	100
	IUDE	2.69E+04	2.69E+04	2.69E+04	2.69E+04	3.86E-12	100	0.00E+00	100
RC13	$\epsilon$ MAgES	2.69E+04	2.69E+04	2.69E+04	2.69E+04	3.86E-12	100	0.00E+00	100
	$iLSHADE_{\epsilon}$	2.69E+04	2.69E+04	2.69E+04	2.69E+04	3.86E-12	100	0.00E+00	100
	IUDE	6.19E+04	6.43E+04	6.60E+04	7.36E+04	4.37E+03	100	0.00E+00	0
RC14	$\epsilon$ MAgES	5.36E+04	5.85E+04	5.78E+04	6.19E+04	2.60E+03	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	5.85E+04	5.99E+04	6.11E+04	6.57E+04	2.56E+03	100	0.00E+00	0

#### 3.7. Evaluation of Problems of benchmark suite

The above-discussed procedures are adopted on each problem to evaluate the problems of the proposed benchmark suite. The outcomes of all three algorithms on each problem are reported in Tables 5-10. In Table 5, the outcomes of Industrial Chemical Process problems are reported for all algorithms. By analyzing the outcomes of this Table, it can be concluded that four problems (RC06, RC07, RC01, and RC03) are the hardest problems and three problems (RC02, RC04, and RC05) are easiest problems for solving using constrained optimization algorithms. The outcomes of Process Synthesis and Design problems for all algorithms are depicted in Table 6. From this Table, it can be seen that RC14 is the hardest problem, and RC13, RC08, RC10, and RC09 are easiest problems of this group. The difficulty level of the rest of the problems is at a modest level. The detailed outcomes of Mechanical Design problems are outlined in Table 7. Analyzing the results of this Table, it is easy to find out that most of the problems (16 out of 19 problems) of this group are easier to solve. Problems RC25, RC26, and RC22 are the hardest problems of this group. The outcome of Power System problems, Power Electronics problems, and Livestock Feed Ration problems for all algorithms are reported in Tables 8, 9, and 10, respectively. From these Tables, it can be concluded that all the problems of these groups are difficult to solve.

From the above analysis, we can conclude that most of the problems of the proposed benchmark suite are challenging to solve. State-of-the-art algorithms are not able to provide feasible solutions for 14 problems within  $Max_{FES}$  function evaluation. This conclusion suggests that the proposed benchmark suite can be utilized to analyze the performance of constrained optimization algorithms.

#### 5 4. Conclusion

In this work, a benchmark suite containing 57 real-world constrained optimization problem is proposed for validating the performance and robustness of the constrained optimization algorithms. Three state-of-the-art constrained optimization algorithms have been utilized to show the complexity of the problems proposed in the benchmark suite. The comparative analysis of outcomes of these algorithms concludes that the problems are hard to solve for the recently developed constrained optimization algorithms. Furthermore, these challenging problems can motivate researchers to develop new approaches for handling complex non-linear constraints especially equality constraints.

#### References

- [1] Z. Yan, J. Wang, G. Li, A collective neurodynamic optimization approach to bound-constrained nonconvex optimization, Neural networks 55 (2014) 20–29.
- [2] P. N. Suganthan, N. Hansen, J. J. Liang, K. Deb, Y.-P. Chen, A. Auger, S. Tiwari, Problem definitions and evaluation criteria for the cec 2005 special session on real-parameter optimization, KanGAL report 2005005 (2005) 2005.

Table 7: Results of Mechanical Engineering Problems (RC15 -RC33) using IUDE,  $\epsilon$ MAgES, and iLSHADE,

Prob   Algorithm   Sest   Median   Mean   Mean   Sept   FR   MV   SE		Table 7: Results of Mechanical Engineering Problems (RC15 -RC33) using IUDE, $\epsilon$ MAgES, and iLSHADE $_{\epsilon}$ .								
RC16	Prob	Algorithm	Best	Median	Mean	Worst	SD	FR	MV	SR
ILSHADE,   2.99E+03   2.99E+03   2.99E+03   2.99E+03   0.00E+00   100				2.99E+03			0.00E+00	100	0.00E+00	100
ILSHADE,   2.99E+03   2.99E+03   2.99E+03   2.99E+03   0.00E+00   100	RC15	$\epsilon$ MAgES	2.99E+03	2.99E+03	2.99E+03	2.99E+03	0.00E+00	100	0.00E+00	100
RC16		$iLSHADE_{\epsilon}$		2.99E+03	2.99E+03	2.99E+03	0.00E+00	100	0.00E+00	100
RC16			3.22E-02	3.22E-02	3.22E-02	3.22E-02	4.91E-18	100	0.00E+00	100
ILSHADE,   3.2E-02   3.2E-02   3.25E-02   3.1E-04   100   0.00e+00   76     ILSHADE,   1.2TE-02   1.2TE-02   1.2TE-02   1.2TE-02   1.5E-06   100   0.00e+00   96     ILSHADE,   1.2TE-02   1.2TE-02   1.2TE-02   1.2TE-02   1.63E-07   100   0.00e+00   96     ILSHADE,   1.2TE-02   1.2TE-02   1.2TE-02   1.2TE-02   1.63E-07   100   0.00e+00   96     ILSHADE,   1.2TE-02   1.2TE-02   1.2TE-02   1.63E-07   100   0.00e+00   96     ILSHADE,   1.2TE-02   1.2TE-02   1.2TE-02   1.63E-07   100   0.00e+00   96     ILSHADE,   1.6TE+00   1.6TE+00   1.6TE+00   1.6TE+00   1.6TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+00   1.0TE+10   1	RC16	€MAgES	3.22E-02	3.22E-02	3.40E-02	4.45E-02	4.09E-03	100		88
RC12		iLSHADE,	3.22E-02	3.22E-02	3.23E-02	3.25E-02	1.11E-04	100	0.00E+00	76
RC12		IUDE	1.27E-02	1.27E-02	1.27E-02	1.27E-02	1.15E-06	100	0.00E+00	100
ISHADE	RC17	€MAgES						100		96
RC18										
RC12										
ILSHADE,   5.89E+03   5.89E+03   1.49E+04   5.72E+04   1.71E+04   100   0.00E+00   100	RC18									
RC19   cMAgES   1.67E+00   1.07E+10   1.07E+11   100   0.00E+00   100	Reio									
RC19										
ILDE	RC19									
RC20   eMAgES   2.64E+02   2.64E+02   2.64E+02   2.64E+02   2.64E+02   0.00E+00   100   0.00E+00   1	RCI				l					
RC20										
ILDE	DC20									
RC21   eMAgES   2.35E-01   2.35E-01   2.35E-01   2.35E-01   2.35E-01   0.00E+00   100   0.00E+00   1	KC20									
RC21										
ILSHADE_	D CO 1									
RC22   eMages   5.29E-01   5.27E-01   5.27E-01   5.30E-01   1.22E-03   100   0.00E+00   36   36   36   36   36   36   36	RC21									
RC22										
ILSHADE_c										
RC23   RC24   RC25	RC22									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC23									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		IUDE	2.54E+00	2.54E+00	2.54E+00	2.54E+00			0.00E+00	100
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC24	$\epsilon$ MAgES	2.55E+00		3.34E+03	1.00E+04	4.99E+03	100		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		$iLSHADE_{\epsilon}$	2.54E+00	2.54E+00	2.54E+00	2.54E+00	5.14E-06	100	0.00E+00	88
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		IUDE	2.51E+03	4.88E+03		1.05E+04	3.14E+03	56	7.60E-01	0
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC25	€MAgES	-4.49E+01	1.63E+03	-3.52E+03	1.63E+03	1.98E+04	100	0.00E+00	0
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		$iLSHADE_{\epsilon}$	1.67E+03	2.04E+03	2.44E+03	1.73E+02	2.14E+03	88	1.73E-05	0
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		IUDE	3.54E+01	3.81E+01	3.91E+01	4.56E+01	3.62E+00	100	0.00E+00	24
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC26	€MAgES	6.07E+01	2.10E+01	5.78E+01	1.99E+01	6.85E+01	32	2.61E-01	0
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			3.65E+01	3.93E+01	4.03E+01	5.42E+01	5.52E+00	100	0.00E+00	32
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		IUDE		5.24E+02	5.24E+02			100	0.00E+00	88
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC27									
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$										80
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$										100
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC28									
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC29									
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	RCZ									
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	PC30									
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	I KC30									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	DC21									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	KC31									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$										
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	D.COC									
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	RC32									
RC33 & &MAgES   2.65E+00   2.65E+00   2.65E+00   2.67E+00   8.64E-03   100   0.00E+00   0										
	D.C									
$1LSHADE_{\epsilon}$   $2.64E+00$   $2.64E+00$   $2.64E+00$   $2.64E+00$   $1.03E-15$   $100$   $0.00E+00$   $100$	RC33									
		$  1LSHADE_{\epsilon}  $	2.64E+00	2.64E+00	2.64E+00	2.64E+00	1.03E-15	100	0.00E+00	100

Table 8: Results of Power System Problems (RC34 -RC44) using IUDE,  $\epsilon$ MAgES, and iLSHADE $_{\epsilon}$ 

	Prob Algorithm Best Median Mean Worst SD FR MV SR								
Prob	Algorithm	Best					FR		
D CO 4	IUDE	3.42E+00	4.60E+00	4.54E+00	1.66E+00	1.55E+00	0	4.33E-02	0
RC34	€MAgES	3.99E-01	8.90E-01	9.50E-01	1.83E+00	4.17E-01	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	4.33E+00	1.15E+01	8.23E+00	6.26E+00	2.28E+00	0	4.49E-02	0
	IUDE	9.52E+01	8.82E+01	1.02E+02	1.10E+02	9.46E+00	0	8.34E-01	0
RC35	$\epsilon$ MAgES	1.20E-01	-1.12E+00	-1.69E+00	-7.30E+00	2.47E+00	12	8.26E-02	0
	$iLSHADE_{\epsilon}$	1.92E+02	1.85E+02	1.68E+02	1.17E+02	2.28E+01	0	1.78E-01	0
	IUDE	7.84E+01	7.79E+01	8.81E+01	9.29E+01	1.07E+01	0	8.69E-01	0
RC36	$\epsilon$ MAgES	2.46E-01	1.07E+00	1.18E-01	-1.65E+00	7.32E-01	32	5.23E-02	0
	$iLSHADE_{\epsilon}$	1.54E+02	1.09E+02	1.31E+02	1.94E+02	3.01E+01	0	5.45E-01	0
	IUDE	2.32E+00	1.51E+00	2.10E-01	-1.42E+00	1.79E+00	0	1.24E-01	0
RC37	$\epsilon$ MAgES	6.55E-01	1.40E+00	8.65E-01	6.68E-01	5.48E-01	12	5.61E-03	0
	$iLSHADE_{\epsilon}$	3.61E+00	3.66E+00	3.73E+00	3.69E+00	4.69E-01	0	5.71E-02	0
	IUDE	2.03E+00	-7.60E+00	-9.69E+00	-2.48E+01	9.02E+00	0	1.68E-01	0
RC38	$\epsilon$ MAgES	7.18E+00	6.89E+00	6.49E+00	6.62E+00	7.74E-01	0	4.59E-03	0
	$iLSHADE_{\epsilon}$	5.07E+00	2.47E+00	3.39E+00	3.25E+00	9.53E-01	0	5.72E-02	0
	IUDE	-5.03E+00	-1.47E+01	-1.69E+01	-3.82E+01	1.31E+01	0	1.84E-01	0
RC39	$\epsilon$ MAgES	9.25E+00	5.15E+00	7.44E+00	6.19E+00	2.23E+00	0	1.02E-02	0
	$iLSHADE_{\epsilon}$	4.09E+00	3.25E+00	3.19E+00	1.96E+00	1.42E+00	0	5.94E-02	0
	IUDE	4.78E+01	4.52E+01	8.51E+01	1.97E+02	4.81E+01	0	1.45E+00	0
RC40	$\epsilon$ MAgES	1.70E-12	4.81E+00	5.69E+01	1.08E+02	8.41E+01	12	2.04E-01	12
	$iLSHADE_{\epsilon}$	3.45E+01	1.03E+02	1.57E+02	9.94E+01	7.77E+01	0	1.89E+00	0
	IUDE	2.21E+01	1.41E+02	8.18E+01	4.51E+01	9.64E+01	0	1.22E+00	0
RC41	$\epsilon$ MAgES	1.25E-19	2.80E-19	2.52E-19	3.53E-19	9.08E-20	100	0.00E+00	100
	$iLSHADE_{\epsilon}$	5.07E+00	1.22E+02	9.62E+01	2.93E+02	1.10E+02	0	1.18E+00	0
	IUDE	1.33E+02	3.87E+01	-2.38E+01	-5.29E+02	2.07E+02	0	4.99E+00	0
RC42	$\epsilon$ MAgES	7.64E+01	6.17E+01	6.73E+01	3.64E+01	5.26E+01	0	1.06E+00	0
	$iLSHADE_{\epsilon}$	-1.26E+00	-9.18E-01	-1.08E+00	-1.25E+00	1.52E-01	0	2.03E+00	0
	IUDE	1.62E+01	9.12E+00	8.95E+00	7.71E+00	9.51E+00	0	2.98E+00	0
RC43	$\epsilon$ MAgES	1.06E+02	4.04E+01	7.61E+01	1.06E+02	2.71E+01	0	1.16E+00	0
	$iLSHADE_{\epsilon}$	3.47E+01	3.45E+01	4.50E+01	4.53E+01	7.89E+00	0	2.38E+00	0
	IUDE	-6.15E+03	-6.12E+03	-6.12E+03	-6.07E+03	2.74E+01	100	0.00E+00	0
RC44	€MAgES	-6.10E+03	-6.06E+03	-6.05E+03	-5.94E+03	5.22E+01	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	-6.24E+03	-6.19E+03	-6.20E+03	-6.17E+03	2.70E+01	100	0.00E+00	0

Table 9: Results of Power Electronic Problems (RC45 -RC50) using IUDE,  $\epsilon$ MAgES, and iLSHADE $_{\epsilon}$ .

Prob	Algorithm	Best	Median	Mean	Worst	SD	FR	MV	SR
	IUDE	5.52E-02	6.56E-02	7.66E-02	1.17E-01	2.41E-02	100	0.00E+00	0
RC45	$\epsilon$ MAgES	3.80E-02	4.95E-02	5.01E-02	6.42E-02	9.33E-03	100	0.00E+00	4
	$iLSHADE_{\epsilon}$	7.71E-02	1.13E-01	1.08E-01	1.64E-01	2.97E-02	100	0.00E+00	0
	IUDE	4.31E-02	5.16E-02	5.48E-02	6.71E-02	9.07E-03	100	0.00E+00	0
RC46	$\epsilon$ MAgES	2.36E-02	3.03E-02	2.91E-02	3.37E-02	4.13E-03	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	6.67E-02	7.27E-02	9.20E-02	2.04E-01	4.45E-02	100	0.00E+00	0
	IUDE	3.44E-02	3.92E-02	6.44E-02	2.05E-01	5.64E-02	92	7.37E-04	0
RC47	$\epsilon$ MAgES	1.51E-02	2.06E-02	1.98E-02	2.46E-02	2.99E-03	100	0.00E+00	4
	$iLSHADE_{\epsilon}$	2.71E-02	4.52E-02	4.57E-02	6.98E-02	1.12E-02	100	0.00E+00	0
	IUDE	4.50E-02	4.69E-02	6.53E-02	2.09E-01	5.38E-02	100	0.00E+00	0
RC48	$\epsilon$ MAgES	1.68E-02	1.68E-02	1.74E-02	2.24E-02	1.87E-03	100	0.00E+00	4
	$iLSHADE_{\epsilon}$	4.71E-02	1.96E-01	2.23E-01	4.94E-01	1.62E-01	100	0.00E+00	0
	IUDE	2.85E-02	5.11E-02	5.50E-02	9.58E-02	2.33E-02	100	0.00E+00	0
RC49	$\epsilon$ MAgES	9.83E-03	2.99E-02	3.06E-02	5.96E-02	1.69E-02	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	6.78E-02	1.36E-01	1.61E-01	3.20E-01	8.89E-02	100	0.00E+00	0
	IUDE	6.23E-02	2.78E-01	2.53E-01	3.78E-01	1.13E-01	36	2.51E-03	0
RC50	$\epsilon$ MAgES	1.56E-02	1.66E-02	2.94E-02	7.77E-02	2.34E-02	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	2.62E-01	3.01E-01	3.07E-01	3.53E-01	3.17E-02	0	4.78E-03	0

[3] J. Liang, B. Qu, P. Suganthan, A. G. Hernández-Díaz, Problem definitions and evaluation criteria for the cec 2013 special session on real-parameter optimization, Computational Intelligence Laboratory, Zhengzhou University, Zhengzhou, China and Nanyang Technological University, Singapore, Technical Report 201212 (2013) 281–295.

487

488

489

490

491

492

493

494

495

496

497

- [4] J. Liang, B. Qu, P. Suganthan, Problem definitions and evaluation criteria for the cec 2014 special session and competition on single objective real-parameter numerical optimization, Computational Intelligence Laboratory, Zhengzhou University, Zhengzhou China and Technical Report, Nanyang Technological University, Singapore 635 (2013).
- [5] N. Awad, M. Ali, J. Liang, B. Qu, P. Suganthan, Problem definitions and evaluation criteria for the cec 2017 special sessionand competition on single objective bound constrained real-parameter numerical optimization, Technical Report (2016).
- [6] J. Liang, T. P. Runarsson, E. Mezura-Montes, M. Clerc, P. N. Suganthan, C. C. Coello, K. Deb, Problem definitions and evaluation criteria for the cec 2006 special session on constrained real-parameter optimization, Journal of Applied Mechanics 41 (2006) 8–31.
- [7] R. Mallipeddi, P. N. Suganthan, Problem definitions and evaluation criteria for the cec 2010 competition on constrained real-parameter optimization, Nanyang Technological University, Singapore 24 (2010).

Table 10: Results of Livestock Feed Ration Optimization Problems (RC51 -RC57) using IUDE, €MAgES, and iLSHADE<sub>ε</sub>.

10. Results of Errestook Feed Ration optimization Freeze (Ree F Ree F) using Fe E E, extrages, and in Estimate									
Prob	Algorithm	Best	Median	Mean	Worst	SD	FR	MV	SR
	IUDE	4.55E+03	4.55E+03	4.55E+03	4.55E+03	1.07E-01	0	2.91E-06	0
RC51	$\epsilon$ MAgES	4.40E+03	4.32E+03	4.17E+03	3.23E+03	3.59E+02	0	4.98E-02	0
	$iLSHADE_{\epsilon}$	4.55E+03	4.55E+03	4.55E+03	4.56E+03	3.82E+00	0	6.13E-06	0
	IUDE	3.36E+03	3.39E+03	3.39E+03	3.43E+03	2.33E+01	100	0.00E+00	0
RC52	$\epsilon$ MAgES	3.62E+03	3.84E+03	3.86E+03	4.26E+03	1.79E+02	100	0.00E+00	0
	$iLSHADE_{\epsilon}$	3.81E+03	3.98E+03	4.01E+03	4.30E+03	1.54E+02	100	0.00E+00	0
	IUDE	5.00E+03	5.04E+03	5.04E+03	5.10E+03	3.38E+01	100	0.00E+00	8
RC53	$\epsilon$ MAgES	5.57E+03	4.82E+03	5.09E+03	4.54E+03	3.56E+02	12	1.29E-03	0
	$iLSHADE_{\epsilon}$	5.07E+03	5.15E+03	5.24E+03	5.48E+03	1.59E+02	100	0.00E+00	0
	IUDE	4.24E+03	4.24E+03	4.24E+03	4.24E+03	1.16E+00	100	0.00E+00	20
RC54	$\epsilon$ MAgES	4.18E+03	3.30E+03	3.33E+03	2.26E+03	5.37E+02	0	5.16E-02	0
	$iLSHADE_{\epsilon}$	4.24E+03	4.24E+03	4.24E+03	4.24E+03	4.68E-01	100	0.00E+00	36
	IUDE	2.20E+03	2.32E+03	2.16E+03	2.16E+03	1.91E+02	0	9.88E-03	0
RC55	$\epsilon$ MAgES	6.24E+03	2.57E+03	5.37E+03	5.03E+03	2.59E+03	0	2.46E-01	0
	$iLSHADE_{\epsilon}$	7.03E+03	6.42E+03	6.54E+03	6.57E+03	2.37E+02	0	2.03E-03	0
	IUDE	1.54E+04	1.08E+04	1.19E+04	1.17E+04	1.49E+03	0	8.69E-03	0
RC56	$\epsilon$ MAgES	1.48E+04	1.61E+04	1.56E+04	1.37E+04	2.14E+03	0	1.49E-01	0
	$iLSHADE_{\epsilon}$	1.40E+04	1.34E+04	1.26E+04	1.19E+04	9.72E+02	0	9.28E-03	0
	IUDE	2.57E+03	2.54E+03	2.47E+03	2.84E+03	2.04E+02	0	2.03E-03	0
RC57	$\epsilon$ MAgES	2.65E+03	2.42E+03	3.42E+03	1.33E+03	5.16E+03	0	1.88E-01	0
	$iLSHADE_{\epsilon}$	2.65E+03	2.42E+03	3.42E+03	5.16E+03	1.33E+03	0	1.88E-01	0

[8] G. Wu, R. Mallipeddi, P. Suganthan, Problem definitions and evaluation criteria for the cec 2017 competition on constrained real-parameter optimization, National University of Defense Technology, Changsha, Hunan, PR China and Kyungpook National University, Daegu, South Korea and Nanyang Technological University, Singapore, Technical Report (2017).

499

500

501 502

503

505

506 507

508

509

- S. Das, P. N. Suganthan, Problem definitions and evaluation criteria for cec 2011 competition on testing evolutionary algorithms on real world optimization problems, Jadavpur University, Nanyang Technological University, Kolkata (2010) 341-359.
- K. Deb, An efficient constraint handling method for genetic algorithms, Computer methods in applied mechanics and engineering 186 (2000) 504 311-338.
  - [11] M. F. Tasgetiren, P. N. Suganthan, A multi-populated differential evolution algorithm for solving constrained optimization problem, in: 2006 IEEE International Conference on Evolutionary Computation, IEEE, 2006, pp. 33-40.
  - T. Takahama, S. Sakai, N. Iwane, Constrained optimization by the  $\varepsilon$  constrained hybrid algorithm of particle swarm optimization and genetic algorithm, in: Australasian Joint Conference on Artificial Intelligence, Springer, 2005, pp. 389-400.
- T. P. Runarsson, X. Yao, Stochastic ranking for constrained evolutionary optimization, IEEE Transactions on evolutionary computation 4 510 (2000) 284-294 511
- R. Mallipeddi, P. N. Suganthan, Ensemble of constraint handling techniques, IEEE Transactions on Evolutionary Computation 14 (2010) 512 561-579. 513
- G. Dhiman, V. Kumar, Spotted hyena optimizer: a novel bio-inspired based metaheuristic technique for engineering applications, Advances 514 515 in Engineering Software 114 (2017) 48-70.
  - [16] G. Dhiman, V. Kumar, Seagull optimization algorithm: Theory and its applications for large-scale industrial engineering problems, Knowledge-Based Systems 165 (2019) 169-196.
- 517 518 J. Zhang, M. Xiao, L. Gao, Q. Pan, Queuing search algorithm: A novel metaheuristic algorithm for solving engineering optimization problems, Applied Mathematical Modelling 63 (2018) 464-490. 519
- A. Trivedi, D. Srinivasan, N. Biswas, An improved unified differential evolution algorithm for constrained optimization problems, in: 2018 520 IEEE Congress on Evolutionary Computation (CEC), 2018, pp. 1-10.
- 521 [19] M. Hellwig, H.-G. Beyer, A matrix adaptation evolution strategy for constrained real-parameter optimization, in: 2018 IEEE Congress on 522 Evolutionary Computation (CEC), IEEE, 2018, pp. 1-8. 523
- 524 Z. Fan, Y. Fang, W. Li, Y. Yuan, Z. Wang, X. Bian, Lshade44 with an improved  $\epsilon$  constraint-handling method for solving constrained single-objective optimization problems, in: 2018 IEEE Congress on Evolutionary Computation (CEC), IEEE, 2018, pp. 1-8. 525
- C. A. Floudas, Nonlinear and mixed-integer optimization: fundamentals and applications, Oxford University Press, 1995. 526
- B. Babu, R. Angira, Optimization of industrial processes using improved and modified differential evolution, in: Soft Computing Applications 527 in Industry, Springer, 2008, pp. 1–22. 528
- [23] C. A. Floudas, P. M. Pardalos, A collection of test problems for constrained global optimization algorithms, volume 455, Springer Science & Business Media, 1990. 530
- C. A. Floudas, A. Aggarwal, A decomposition strategy for global optimum search in the pooling problem, ORSA Journal on Computing 2 531 (1990) 225-235 532
- [25] A. Aggarwal, C. Floudas, Synthesis of general distillation sequences nonsharp separations, Computers & Chemical Engineering 14 (1990) 533 631-653 534
- [26] R. Sauer, A. Colville, C. Burwick, Computer points way to more profits, Hydrocarbon Processing 84 (1964). 535
- H. S. Ryoo, N. V. Sahinidis, Global optimization of nonconvex nlps and minlps with applications in process design, Computers & Chemical 536 Engineering 19 (1995) 551-566. 537
- [28] R. Angira, B. Babu, Optimization of process synthesis and design problems: A modified differential evolution approach, Chemical Engineer-538 539 ing Science 61 (2006) 4707-4721.
- [29] L. Costa, P. Oliveira, Evolutionary algorithms approach to the solution of mixed integer non-linear programming problems, Computers & 540

Chemical Engineering 25 (2001) 257-266. 541

564

565

572

579

580

- M. Pant, R. Thangaraj, V. Singh, Optimization of mechanical design problems using improved differential evolution algorithm, International 542 543 Journal of Recent Trends in Engineering 1 (2009) 21.
- N. Andrei, N. Andrei, Nonlinear optimization applications using the GAMS technology, Springer, 2013. 544
- 545 X. He, Y. Zhou, Enhancing the performance of differential evolution with covariance matrix self-adaptation, Applied Soft Computing 64 (2018) 227-243. 546
- [33] S. Shadravan, H. Naji, V. K. Bardsiri, The sailfish optimizer: A novel nature-inspired metaheuristic algorithm for solving constrained 547 engineering optimization problems, Engineering Applications of Artificial Intelligence 80 (2019) 20-34. 548
- [34] M. P. Ferreira, M. L. Rocha, A. J. S. Neto, W. F. Sacco, A constrained itgo heuristic applied to engineering optimization, Expert Systems 549 550 with Applications 110 (2018) 106-124. 551
  - S. S. Rao, Engineering optimization: theory and practice, John Wiley & Sons, 2009.
- A. Osyczka, S. Krenich, K. Karas, Optimum design of robot grippers using genetic algorithms, in: Proceedings of the Third World Congress 552 of Structural and Multidisciplinary Optimization (WCSMO), Buffalo, New York, 1999, pp. 241-243. 553
- [37] J. N. Siddall, Optimal engineering design: principles and applications, CRC Press, 1982. 554
- [38] V. Ho-Huu, T. Vo-Duy, T. Luu-Van, L. Le-Anh, T. Nguyen-Thoi, Optimal design of truss structures with frequency constraints using improved 555 differential evolution algorithm based on an adaptive mutation scheme, Automation in Construction 68 (2016) 81-94. 556
- R. V. Rao, V. J. Saysani, D. Vakharia, Teaching-learning-based optimization: a novel method for constrained mechanical design optimization 557 558 problems, Computer-Aided Design 43 (2011) 303-315.
- [40] C. S. Beightler, D. T. Phillips, Applied geometric programming, John Wiley & Sons, 1976. 559
- 560 [41] S. He, E. Prempain, Q. Wu, An improved particle swarm optimizer for mechanical design optimization problems, Engineering optimization 36 (2004) 585-605. 561
- [42] I. Zelinka, J. Lampinen, Mechanical engineering problem optimization by soma, in: New Optimization Techniques in Engineering, Springer, 562 2004, pp. 633-653. 563
  - D. M. Himmelblau, Applied nonlinear programming, McGraw-Hill Companies, 1972.
  - [44] O. Sigmund, A 99 line topology optimization code written in matlab, Structural and multidisciplinary optimization 21 (2001) 120–127.
- 566 [45] S. Mishra, A. Kumar, D. Singh, R. K. Misra, Butterfly optimizer for placement and sizing of distributed generation for feeder phase balancing, 567 in: Computational Intelligence: Theories, Applications and Future Directions-Volume II, Springer, 2019, pp. 519-530.
- [46] A. K. Rathore, J. Holtz, T. Boller, Synchronous optimal pulsewidth modulation for low-switching-frequency control of medium-voltage 568 multilevel inverters, IEEE Transactions on Industrial Electronics 57 (2010) 2374–2381. 569
- A. K. Rathore, J. Holtz, T. Boller, Generalized optimal pulsewidth modulation of multilevel inverters for low-switching-frequency control of 570 medium-voltage high-power industrial ac drives, IEEE Transactions on Industrial Electronics 60 (2012) 4215-4224. 571
- A. Edpuganti, A. K. Rathore, Fundamental switching frequency optimal pulsewidth modulation of medium-voltage cascaded seven-level inverter, IEEE Transactions on Industry Applications 51 (2015) 3485-3492. 573
- A. Edpuganti, A. Dwivedi, A. K. Rathore, R. K. Srivastava, Optimal pulsewidth modulation of cascade nine-level (91) inverter for medium 574 voltage high power industrial ac drives, in: IECON 2015-41st Annual Conference of the IEEE Industrial Electronics Society, IEEE, 2015, 575 pp. 004259-004264. 576
- 577 A. Edpuganti, A. K. Rathore, Optimal pulsewidth modulation for common-mode voltage elimination scheme of medium-voltage modular multilevel converter-fed open-end stator winding induction motor drives, IEEE Transactions on Industrial Electronics 64 (2016) 848-856. 578
  - [51] R. A. Rahman, C.-L. Ang, R. Ramli, Investigating feed mix problem approaches: an overview and potential solution, International Journal of Agricultural and Biosystems Engineering 4 (2010) 750-758.
- [52] G. Chagwiza, C. Chivuraise, C. T. Gadzirayi, A mixed integer programming poultry feed ration optimisation problem using the bat algorithm, 581 Advances in Agriculture 2016 (2016). 582
- Y. Trillo, A. Lago, N. Silva-del Río, Total mixed ration recipe preparation and feeding times for high-milk-yield cows on california dairies, 583 The Professional Animal Scientist 33 (2017) 401-408.
- [54] H. J. Kim, B. K. Yu, J. T. Hong, K. H. Choi, J. S. Yu, Y. Hong, Y. S. Ha, A study on total mixed ration feeding system for feeding pigs 585 (1)-development of monorail traveling tmr feeder for grow-finish pigs, Journal of Biosystems Engineering 38 (2013) 295–305. 586
- [55] U. D. Dooyum, R. Mallipeddi, T. Pamulapati, T. Park, J. Kim, S. Woo, Y. Ha, Interactive livestock feed ration optimization using evolutionary 587 algorithms, Computers and Electronics in Agriculture 155 (2018) 1-11. 588
- R. Tanabe, A. Fukunaga, Evaluating the performance of shade on cec 2013 benchmark problems, in: 2013 IEEE Congress on evolutionary 589 590 computation, IEEE, 2013, pp. 1952–1959.
- B.-C. Wang, H.-X. Li, J.-P. Li, Y. Wang, Composite differential evolution for constrained evolutionary optimization, IEEE Transactions on 591 Systems, Man, and Cybernetics: Systems 49 (2018) 1482–1495. 592
- H.-G. Beyer, B. Sendhoff, Simplify your covariance matrix adaptation evolution strategy, IEEE Transactions on Evolutionary Computation 593 21 (2017) 746-759. 594