### Self-Driving Cars

Exercise 2 - Reinforcement Learning

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#### Exercise Setup

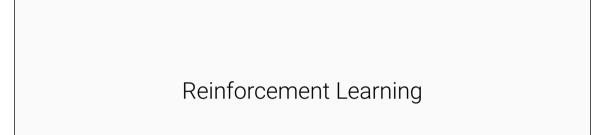
Download sdc\_exercise\_02\_reinforcement\_learning.zip which contains:

- ► Exercise sheet & slides
- ► Code template

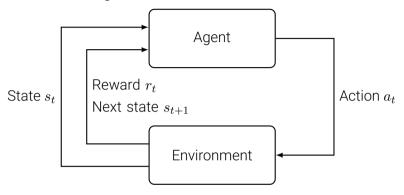
Submit .zip folder which contains:

- ► Your report of up to 3 pages (.pdf)
- ► Your best model (agent.pt)
- ► Your Python code (.py)

Deadline: Wed, 18. December 2019 - 21:00



### Reinforcement Learning



- lacktriangle Agent oberserves environment state  $s_t$  at time t
- ightharpoonup Agent performs action  $a_t$  at time t
- ightharpoonup Environment returns the reward  $r_t$  and its new state  $s_{t+1}$  to the agent

### Deep Q-network

Use a deep neural network with weights  $\theta$  to estimate  $Q(s, a; \theta) \approx Q^*(s, a)$ :

FC-Out (Q-values)

FC-256

32 4x4 conv, stride 2

16 8x8 conv, stride 2



### Deep Q-Learning

#### Training a deep Q-network using **experience replay** and **fixed Q-targets**

- ▶ Take action  $a_t$  according to  $\epsilon$ -greedy policy
- ▶ Store transition  $(s_t, a_t, r_t, s_{t+1})$  in replay memory D
- lacktriangle Sample random mini-batch of transitions (s,a,r,s') from D
- lacktriangle Compute Q-learning targets w.r.t. old, fixed parameters  $heta^-$
- ► Optimize MSE between Q-network and Q-learning targets:

$$L_i(\theta_i) = \mathbb{E}_{s,a,r,s'\sim D_i} \left[ \left( r + \bigcap_{\substack{a' \\ a' \\ \text{order}}}^{\text{discourt}} Q(s',a';\theta_i^-) - Q(s,a;\theta_i) \right)^2 \right]$$
 using a variant of stochastic gradient descent works and the following stochastic gradient descent works are the solution of the stochastic gradient descent works are the solution of the solution o

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1.1
Base Implementation

### Code Template

The provided code template contains:

- ► Deep Q-network (**to-do**)
- ► Deep Q-learning (to-do)
- ► Action selection (**to-do**)
- ► Training (implemented, incl. replay buffer, exploration)
- ► Evaluation (implemented)

## a) Deep Q-network

Implement a deep Q-network and its forward pass:

- ► Start with a simple network architecture
  - ► Some convolution + fully connected layers
  - Probably no need for batch normalization, dropout or residual architectures
  - ► Use a single frame as input to the network
  - ► You may again use the extract\_sensor\_values function
- ► Get inspired by the original DQN architecture for playing Atari
- ▶ Try to adapt your network architecture from Exercise 1

# b) Deep Q-learning

Implement the deep Q-learning update step (see DQN Nature paper for details):

- 1. Sample transitions from replay buffer
- 2. Compute  $Q(s_t, a)$
- 3. Compute  $\max_{a} Q(s_{t+1}, a)$  for all next states
- 4. Mask next state values where episodes have terminated
- 5. Compute the target and loss cradial
- 6. Calculate and clip the gradients
- 7. Optimize the model

Implement the target update



## c) Action selection

Implement selecting an exploratory  $\epsilon$ -greedy or greedy action:

- ► With probability € choose an action at random ←
- With probability  $1 \epsilon$  choose the greedy action:

$$\pi(a|s) = \begin{cases} 1 & \text{if } a = \underset{a \in \mathcal{A}}{\operatorname{argmax}} \ Q(s, a; \theta) \\ 0 & \text{otherwise} \end{cases}$$

## d) Training

#### Train a deep Q-learning agent:

- ► Use script train\_racing.py to train locally
- ► Use script train\_racing\_cluster.py to train on the TCML cluster
  - No support for GPU training when running on the cluster
  - ► Do not use --nv in your .sbatch file
  - ► Edit your .sbatch file to use day partition and 1-0 time
- Start with the provided default parameters
- ▶ Training produces two plots
  - Loss curve
  - ► Episode rewards

## e) Evaluation

Evaluate the trained deep Q-learning agent:

- ► Use script evaluate\_racing.py to evaluate locally
- ▶ Use script evaluate\_racing\_cluster.py to evaluate on the TCML cluster
  - ► Again, no GPU support do not use --nv option in your .sbatch file
- Script will output preliminary leaderboard score
- Visual evaluation on local machine should be performed

**Important**: Make sure you have a working baseline implementation (i.e. agent is able to take some corners) before moving on to work on the next part of the exercise.

1.2

Further Investigations and Extensions

## a) Discount Factor

Investigate the influence of the discount factor  $\gamma$ :

- ▶ Why do we use a discount factor  $\gamma$  in general?
- ▶ In which cases would it be a problem not to use a discount factor (i.e.  $\gamma = 1$ )?
- $\blacktriangleright$  What happens if you increase / decrease  $\gamma$  from its default of 0.99?
- ► Any effects on the behavior and the evaluation score of the agent?

## b) Action Repeat Parameter

Investigate the influence of the action\_repeat parameter:

- ▶ By default, an action is selected on every 4th frame and performed 4 times
- ► Why might this be helpful?
- ► What happens if you increase / decrease this parameter?

## c) Action Space

Investigate the influence of adding more actions:

- ▶ By default, the agent is trained with a set of ② actions
- ► What happens if more actions are added? To soo 3 -> hard laf/right
- ▶ Why are we limited in DQN to a discrete set of actions?
- ► Why might adding more actions not always be helpful?

# d) Double Q-learning

Implement double Q-learning:

- ► Why does standard deep Q-learning overestimate *Q*-values?
- ► How does double Q-learning solve this problem?
- ▶ What is the effect on the training and performance of your agent

gent? Paper

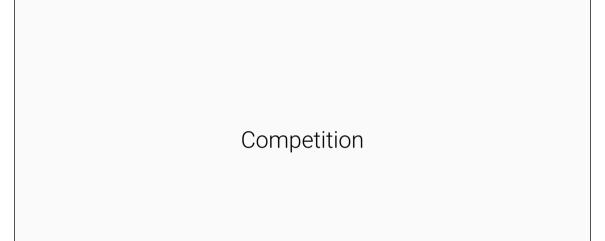
Important: Include your double Q-learning implementation in your code submission

## e) Best Solution

Put together your findings and fine-tune your agent:

- ▶ What changes did you make to the baseline agent?
- ▶ Where does it improve upon the baseline agent?
- ► How does it compare to your imitation learning agent?
- ▶ Which aspects could still be improved (and maybe how)?

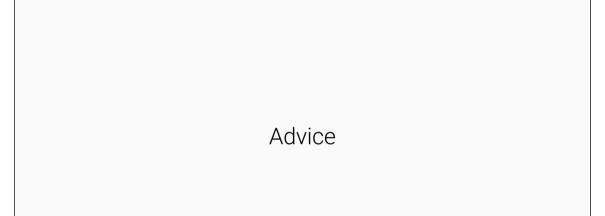
Submit your best code and model along with your report



### Competition

Submit your evaluation scores to the leaderboard:

- ► See exercise sheet for submission and leaderboard URL
- ► Make sure not to overfit on the provided evaluation tracks
- ► Final evaluation will be performed by us on a secret set of tracks
- ► Winners will present their approach in the last lecture



#### Advice

#### Read the DQN papers on playing Atari games

- ► In particular, the Nature paper by Mnih et al. (2015)
- ► Check their pseudo-code



#### Start early

- ► Training a reinforcement learning takes time (several hours)
- ► You will need to train and evaluate several agents for this exercise
- ► Don't start too late or you will run out of time

