

# CS213/293 Data Structure and Algorithms 2024

## Lecture 3: Stack and queue

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# Topic 3.1

## Stack

# Stack

## Definition 3.1

*Stack* is a container where elements are added and deleted according to the last-in-first-out (LIFO) order.

- ▶ Addition is called **pushing**
- ▶ Deleting is called **popping**

## Example 3.1

- ▶ *Stack of papers in a copier*
- ▶ *Undo-redo features in editors*
- ▶ *Back button on Browser*

# Interface of stack

Reference: <https://en.cppreference.com/w/cpp/container/stack>

Stack supports four interface methods

- ▶ `stack<T> s` : allocates new stack `s`
- ▶ `s.push(e)` : Pushes the given element `e` to the top of the stack.
- ▶ `s.pop()` : Removes the top element from the stack.
- ▶ `s.top()` : accesses the top element of the stack.

Some support functions

- ▶ `s.empty()` : checks whether the stack is empty
- ▶ `s.size()` : returns the number of elements

# Axioms of stack

Let  $s1$  and  $s$  be stacks.

- ▶ `Assume(s1 == s); s.push(e); s.pop(); Assert(s1==s);`
- ▶ `s.push(e); Assert(s.top()==e);`

`Assume(s1 == s)` means that we **assume** that the content of  $s1$  and  $s$  are the same.  
`Assert(s1 == s)` means that we **check** that the content of  $s1$  and  $s$  are the same.

# Exercise: action on the empty stack

## Exercise 3.1

Let `s` be an empty stack in C++.

- ▶ What happens when we run `s.top()` ?
- ▶ What happens when we run `s.pop()` ?

Ask ChatGPT.

**Commentary:** Answer: `s.top()` will cause a segmentation fault. `s.pop()` will not cause any error and exit without any effect.

## Topic 3.2

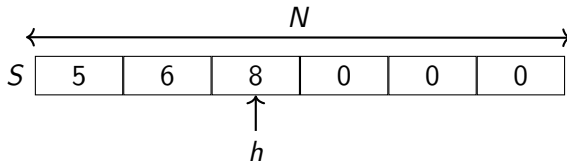
### Implementing stack

## Array-based stack

Let us look at a simplified array-based implementation of an array of integers.

The stack consists of three variables.

- ▶  $N$  specifies the currently available space in the stack
- ▶  $S$  is the integer array of size  $N$
- ▶  $h$  is the position of the head of the stack





## Implementing stack

```
class arrayStack {
    int    N = 2;        // Capacity
    int*   S = NULL;     // pointer to array
    int    h = -1;       // Current head of the stack
public:
    arrayStack() { S = (int*)malloc(sizeof(int)*N ); }
    int    size() { return h+1; }
    bool   empty() { return h<0; }
    int    top() { return S[h]; } // On empty stack what happens?
    void   push(int e) {
        if( size() == N ) expand(); // Expand capacity of the stack
        S[++h] = e;
    }
    void   pop() { if( !empty() ) h--; }
```

**Commentary:** The behavior of the above implementation may not match the behavior of the C++ stack library. To ensure segmentation fault in top() when the stack is empty one may use the following code. `if( empty() ) return *(int*)0; else return S[t];`

## Implementing stack (expanding when full)

```
private:
    void expand() {
        int new_size = N*2; // We observed the growth in our lab!!
        int* tmp = (int*) malloc( sizeof(int)*new_size ); //New array
        for( unsigned i =0; i < N; i++ ) { // copy from the old array
            tmp[i] = S[i];
        }
        free(S);          // Release old memory
        S = tmp;          // Update local fields
        N = new_size; //
    }
};
```

# Efficiency

All operations are performed in  $O(1)$  if there is no expansion to stack capacity.

What is the cost of expansion?

## Topic 3.3

Why exponential growth strategy?

# Growth strategy

Let us consider two possible choices for growth.

- ▶ Constant growth:  $\text{new\_size} = N + c$  (for some fixed constant  $c$ )
- ▶ Exponential growth:  $\text{new\_size} = 2*N$

Which of the above two is better?

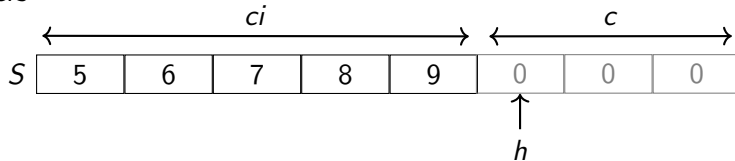
## Analysis of constant growth

Let us suppose initially  $N = 0$  and there are  $n$  consecutive pushes.

After every  $c$ th push, there will be an expansion operation.

Therefore, the expansion operation at  $(ci + 1)$ th push will

- ▶ allocate memory of size  $c(i + 1)$
- ▶ copy  $ci$  integers



Cost of  $i$ th expansion:  $c(2i + 1)$ .

**Commentary:** We are assuming that allocating memory of size  $k$  costs  $k$  time, which may be more efficient in practice. Bulk memory copy can also be sped up by vector instructions.

## Analysis of constant growth(2)

For  $n$  pushes, there will be  $n/c$  expansions.

The total cost of expansions:

$$c(1 + 3 + \dots + (2^{\frac{n}{c}} + 1)) = c(n/c)^2 \in O(n^2)$$

Non-linear cost!

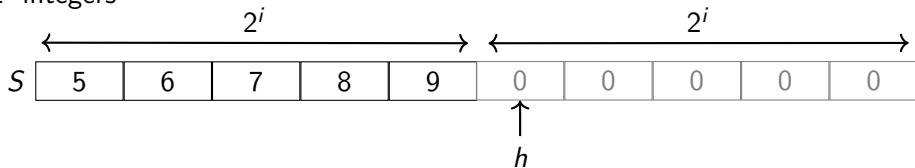
## Analysis of exponential growth

Let us suppose initially  $N = 1$  and there are  $n = 2^r$  consecutive pushes.

The expansion operations will only occur at  $2^i + 1$ th push, where  $i \in [0, r - 1]$ .

The expansion operation at  $2^i + 1$ th push will

- ▶ allocate memory of size  $2^{i+1}$
- ▶ copy  $2^i$  integers



Cost of the expansion:  $3 * 2^i$ .



## Analysis of exponential growth(2)

For  $2^r$  pushes, the last expansion would be at  $2^{r-1} + 1$ .

The total cost of expansions:

$$3(2^0 + \dots + 2^{r-1}) = 3 * (2^r - 1) = 3 * (n - 1)$$

Linear cost! The average cost of push remains  $O(1)$ .

### Exercise 3.2

*Why double? Why not triple? Why not 1.5 times? Is there a trade-off?*

## Topic 3.4

### Applications of stack

# Stacks are everywhere

Stack is a foundational data structure.

It shows up in a vast range of algorithms.

## Example: matching parentheses

```
bool parenMatch(string text ) {  
    std::stack<char> s;  
    for(char c : text ) {  
        if( c == '{' or c == '[' ) s.push(c);  
        if( c == '}' or c == ']' ) {  
            if( s.empty() ) return false;  
            if( c-s.top() != 2 ) return false;  
            s.pop();  
        }  
    }  
    if( s.empty() ) return true;  
    return false;  
}
```

Problem:

Given an input text check if it has matching parentheses.

Examples:

▶ "{a[sic]tik}" ✓

▶ "{a[sic}tik}" ✗

## Topic 3.5

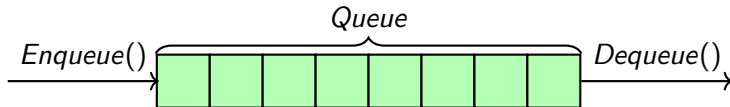
### Queue

# Queue

## Definition 3.2

*Queue* is a container where elements are added and deleted according to the first-in-first-out (FIFO) order.

- ▶ Addition is called **enqueue**
- ▶ Deleting is called **dequeue**



## Example 3.2

- ▶ *Entry into an airport*
- ▶ *Calling lift in a building (priority queue)*

# Interface of queue

Reference: <https://en.cppreference.com/w/cpp/container/queue>

Queue supports four main interface methods

- ▶ `queue<T> q` : allocates new queue `q`
- ▶ `q.enqueue(e)` : Adds the given element `e` to the end of the queue. (push)
- ▶ `q.dequeue()` : Removes the first element from the queue. (pop)
- ▶ `q.front()` : access the first element .

Some support functions

- ▶ `q.empty()` : checks whether the queue is empty
- ▶ `q.size()` : returns the number of elements

**Commentary:** All literature uses the terms enqueue and dequeue, but unfortunately C++ library uses push for enqueue and pop uses for dequeue. Other languages such as Java uses the terms enqueue and dequeue.

## Axioms of queue

1. `queue<T> q; q.enqueue(e); Assert(q.front() == e);`
2. `queue<T> q,q1; q.enqueue(e); q.dequeue(); Assert(q1 == q);`
3. `q.enqueue(e1); Assume(q1 == q);  
q.enqueue(e2);  
Assert(q.front() == q1.front());`
4. `q.enqueue(e1); Assume(q1 == q);  
q.enqueue(e2);q.dequeue(); q1.dequeue();q1.enqueue(e2);  
Assert(q == q1);`

### Exercise 3.3

*Why do the above four axioms define queue?*

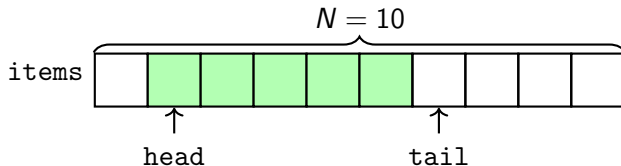


## Topic 3.6

### Array implementation of queue

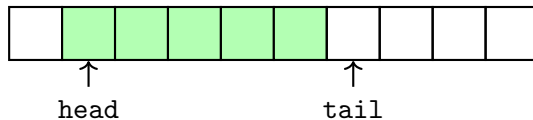
# Array-based implementation

- ▶ Queue is stored in an array `items` in a circular fashion
- ▶ Three integers record the state of the queue
  1.  $N$  indicates the available capacity ( $N-1$ ) of the queue
  2. `head` indicates the position of the front of the queue ✓
  3. `tail` indicates position one after the rear of the queue ✓

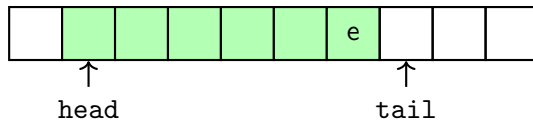


## Enqueue operation on array

Consider the state of the queue

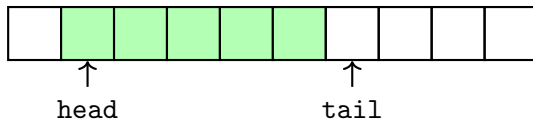


After the enqueue(e) operation:

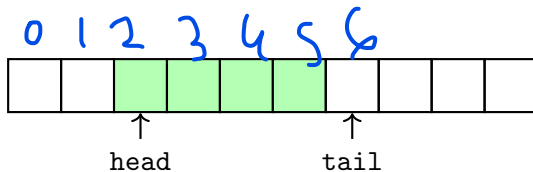


## Deque operation on array

Consider the state of the queue



After dequeue() operation:



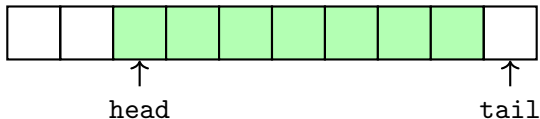
### Exercise 3.4

1. Where will `front()` read from?
2. What is the size of the queue?

*head*  
*= tail - head*

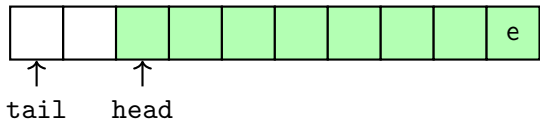
## Wrap around to utilize most of the array

Consider the state of the queue



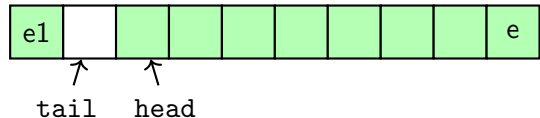
After enqueue(e) operation, we move the tail to 0.

*Circular*



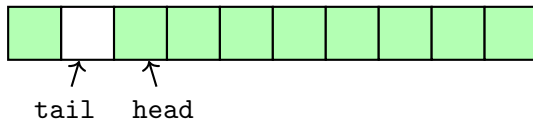
Wrap-around allows us to use the array repeatedly.

After another enqueue(e1) operation:



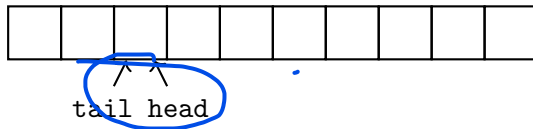
## Full and empty queue

Full queue:



Empty queue:

$tail = head$



### Exercise 3.5

*Can we use all  $N$  cells for storing elements?*

# Array implementation

The code is not written in exact C++; We will slowly move towards pseudo code to avoid clutter on slides.

```
int head = 0, tail=0, N = INITIAL_CAPACITY;
```

```
Object items[N]; //Some initial size
```

```
bool empty() { return (head == tail); }
```

```
bool size() { return (N+tail-head)%N; }
```

```
Object front() { return items[head]; }
```

## Array implementation

```
void dequeue() {  
    if( empty() ) throw Empty;           // Queue is empty  
    free(items[head]); items[head] = NULL; // Clear memory  
    head = (head+1)%N;                   // Remove an element  
}
```

```
void enqueue( Object x ) {  
    if ( size() == N-1 ) expand(); // Queue is full; expand  
    items[tail] = x;  
    tail = (tail+1)%N; // insert element  
}
```



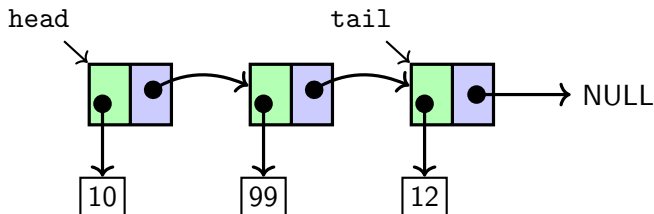
## Topic 3.7

### Queue via linked list

# Linked lists

## Definition 3.3

A linked list consists of nodes with two fields data and next pointer. The nodes form a chain via the next pointer. The data pointers point to the objects that are stored on the linked list.



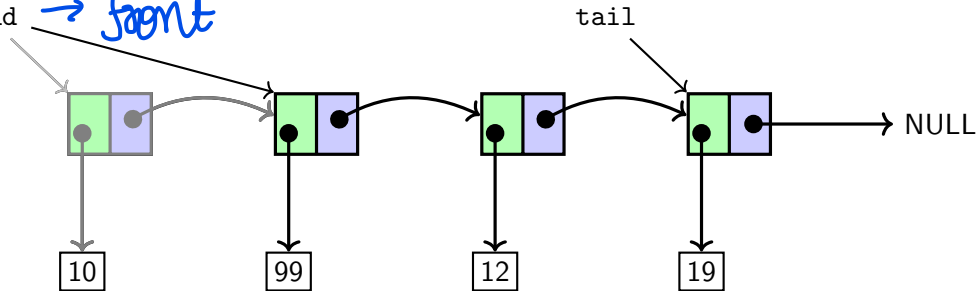
## Exercise 3.6

If we use a linked list for implementing a queue, which side should be the front of the queue?

## Dequeue in linked lists

↳ FIFO

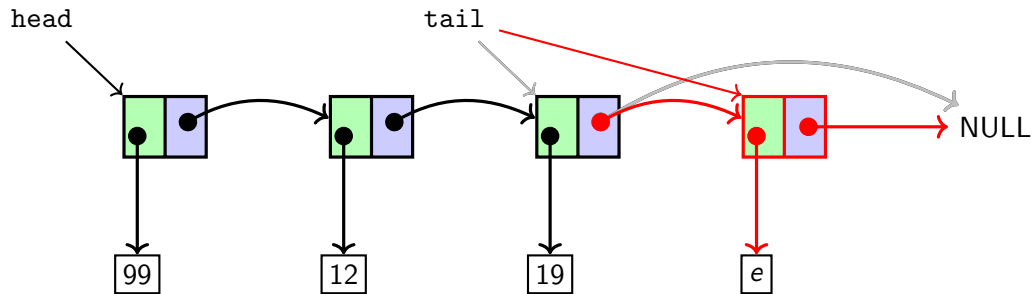
head → front



### Exercise 3.7

*What happens to the object containing 10?*

## Enqueue(e) in linked lists



### Exercise 3.8

- Which one is better: array or linked list?
- Do we need the tail pointer?

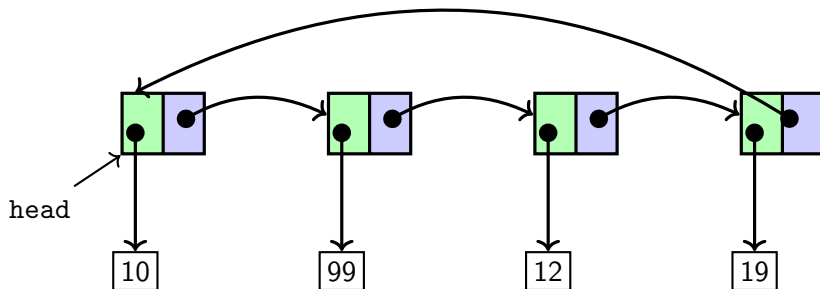
## Topic 3.8

### Circular linked list

# Circular linked lists

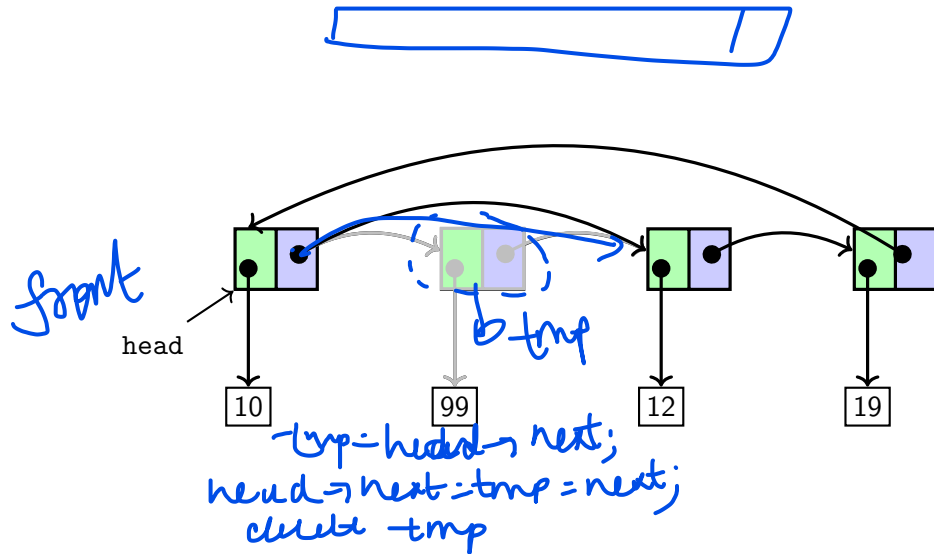
## Definition 3.4

*In a circular linked list, the nodes form a circular chain via the next pointer.*

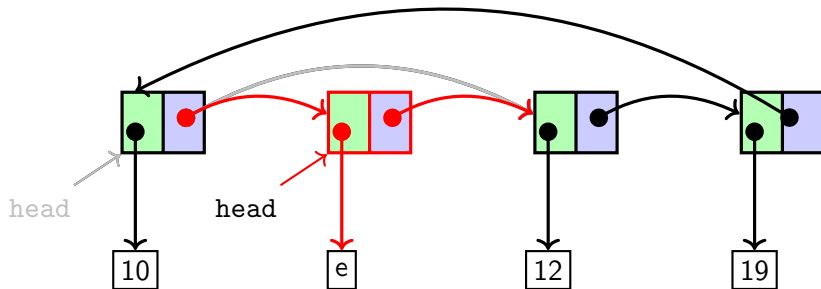


A head pointer points at some node of the circular list. A single pointer can do the job of the head and tail.

## Dequeue in circular linked lists



## enqueue(e) in circular linked lists



### Exercise 3.9

- Which element should be returned by `front()`?
- Give pseudo code of the implementation of queue using circular linked list. (Midsem 2023)



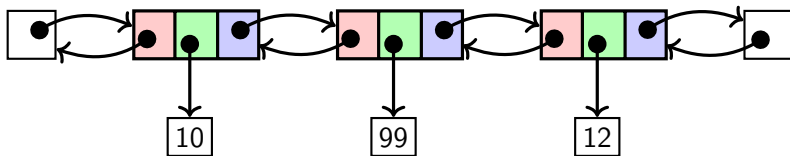
## Topic 3.9

### Dqueue via a doubly linked list

# Doubly linked lists

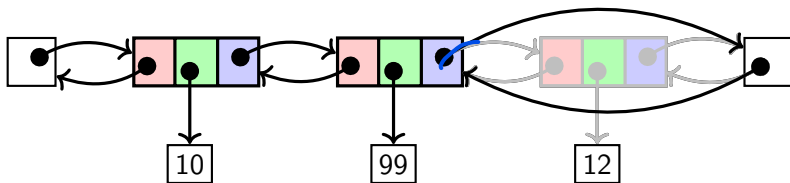
## Definition 3.5

A doubly linked list consists of nodes with three fields prev, data, and next pointer. The nodes form a bidirectional chain via the prev and next pointer. The data pointers point to the objects that are stored on the linked list.



At both ends, two **dummy or sentinel** nodes do not store any data and are used to store the start and end points of the list.

## Deleting a node in a doubly linked list



# Deque (Double-ended queue)

## Definition 3.6

*Deque* is a container where elements are added and deleted according to both last-in-first-out (LIFO) and first-in-first-out (FIFO) order.

# Interface of Deque

Reference: <https://en.cppreference.com/w/cpp/container/deque>

Queue supports four main interface methods

- ▶ `deque<T> q` : allocates new queue `q`
- ▶ `q.push_back(e)` : Adds the given element `e` to the back.
- ▶ `q.push_front(e)` : Adds the given element `e` to the front.
- ▶ `q.pop_front()` : Removes the first element from the queue.
- ▶ `q.pop_back()` : Removes the last element from the queue.
- ▶ `q.front()` : access the first element .
- ▶ `q.back()` : access the first element .

Some support functions

- ▶ `q.empty()` : checks whether the stack is empty
- ▶ `q.size()` : returns the number of elements

We can implement the Deque data structure using the doubly linked lists.

# Stack and queue via Deque

We can implement both stack and queue using the interface of deque.

## Exercise 3.10

- ▶ *Which functions of deque implement stack?*
- ▶ *Which functions of deque implement queue?*

All modification operations are implemented in  $O(1)$ .

## Exercise 3.11

Can we implement `size` in  $O(1)$  in a doubly linked list?

## Topic 3.10

### Tutorial problems

# Use of stack

<https://www.geeksforgeeks.org/problems/stock-span-problem-1587115621/1>

## Exercise 3.12

*The span of a stock's price on  $i$ th day is the maximum number of consecutive days (up to  $i$ th day) the price of the stock has been less than or equal to its price on day  $i$ .*

*Example: for the price sequence 2 4 6 3 5 7 of a stock, the span of prices is 1 2 3 1 2 6.*

*Give a linear-time algorithm that computes  $s_i$  for a given price series.*



# Flipping Dosa

## Exercise 3.13

*There is a stack of dosas on a tava, of distinct radii. We want to serve the dosas of increasing radii. Only two operations are allowed: (i) serve the top dosa, (ii) insert a spatula (flat spoon) in the middle, say after the first  $k$ , hold up this partial stack, flip it upside-down, and put it back. Design a data structure to represent the tava, input a given tava, and produce an output in sorted order. What is the time complexity of your algorithm?*

*This is also related to the train-shunting problem.*

# Exponential growth

## Exercise 3.14

- a. Analyze the performance of exponential growth if the growth factor is three instead of two. Does it give us better or worse performance than doubling policy?*
- b. Can we do a similar analysis for growth factor 1.5?*

time complexity relation with alpha is  $(n-1) * (\alpha - 1) / (\alpha + 1)$ .

## Problem: reversing a linked list

### Exercise 3.15

Give an **algorithm** to reverse a linked list. You must use only three extra pointers.

## Problem: middle element

### ✓ Exercise 3.16

*Give an algorithm to find the middle element of a singly linked list.*

use floyd's algorithm. fast and slow ptrs

# Stack and queue (Endsem 2023)

## Exercise 3.17

Given two stacks  $S1$  and  $S2$  (working in the LIFO method) as black boxes, with the regular methods: "Push", "Pop", and "isEmpty", you need to implement a Queue (specifically : Enqueue and Dequeue working in the FIFO method). Assume there are  $n$  Enqueue/ Dequeue operations on your queue. The time complexity of a single method Enqueue or Dequeue may be linear in  $n$ , however the total time complexity of the  $n$  operations should also be  $\Theta(n)$ .

Done in lab

# Topic 3.11

## Problems

## Problem: messy queue

### Exercise 3.18

*The mess table queue problem: There is a common mess for  $k$  hostels. Each hostel has some  $N_1, \dots, N_k$  students. These students line up to pick up their trays in the common mess. However, the queue is implemented as follows: If a student sees a person from his/her hostel, she/he joins the queue behind this person. This is the "enqueue" operation. The "dequeue" operation is as usual, at the front. Think about how you would implement such a queue. What would be the time complexity of enqueue and dequeue? Do you think the average waiting time in this queue would be higher or lower than a normal queue? Would there be any difference in any statistic? If so, what?*

# Merge sorted queues (Quiz 2023)

## Exercise 3.19

*Write a time and space efficient algorithm to merge  $k$  sorted-linked list in sorted order, each containing the same no of elements?*

hint: use queue



# End of Lecture 3