

## \* Languages as abstractions of problems

every computational problem can be thought

of as a function  $f: \{0,1\}^* \rightarrow \{0,1\}^*$

$\{0,1\}^*$  = set of all binary strings of finite length

$$L_f = \{(x, i, b) \mid i^{\text{th}} \text{ bit of } f(x) \text{ is } b\}$$

$\hookrightarrow \in \{0,1,\perp\}$

$\hookrightarrow$  denotes - the end of - the string corresponding to  $f(x)$

P1: Given  $x$ , compute  $f(x)$

P2: Given  $(x, i, b)$ , check if  $(x, i, b) \in L_f$

Theorem:  $\exists$  an algorithm for P1 iff  $\exists$  an algorithm for P2

Encoding $L_f$ :	C	-	000
	)	-	001
	0	-	010
	1	-	011
	)	-	100
	$\perp$	-	101

This encoding shows that  $L_f \subseteq \{0,1\}^*$

Language: Given an alphabet  $\Sigma$  (say  $\{0,1\}$ )  
 a language  $L \subseteq \Sigma^*$

Decision problems: For  $L \subseteq \Sigma^*$ , given  $x \in \Sigma^*$   
 decide if  $x \in L$

\* Can all computational problems solved by writing a C program?

Theorem: The # of C programs is countable

Proof: Every C program can be encoded as a binary string

$\Rightarrow$  Set of C-programs  $\subseteq \{0, 1\}^*$

exists bijection  $f: \{0, 1\}^* \rightarrow \mathbb{N}$

$$\begin{array}{lllll} f(0) = 1 & f(1) = 2 & f(00) = 3 & f(01) = 4 & f(10) = 5 \\ f(11) = 6 & f(000) = 7 & \dots & & \end{array}$$

Exercise: Show that  $f$  is a bijection

$$\{0, 1\}^* = \epsilon, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, \dots$$

$\downarrow \downarrow \downarrow \downarrow \downarrow$   
 $0 \quad 1 \quad 2 \quad 3 \quad 4$

$$\bar{b} = \{0, 1\}^* - \epsilon$$

$$f(\bar{b}) = n(\bar{b}) + 2^{|\bar{b}| - 1}$$

Theorem: The set of languages  $L \subseteq \{0, 1\}^*$  is uncountable

The set of all languages  $L = P(\{0, 1\}^*)$   
is the power set of  $\{0, 1\}^*$

By contradiction: Let  $f: \{0, 1\}^* \rightarrow P(\{0, 1\}^*)$

$f$  be a bijection

	$\epsilon$	0	1	00	01	10	11	000	001
$\epsilon$	1	0	0	1	0	0	1	1	1
0	0	1	1	0	0	1	0	1	0
1	0	0	0	1	1	0	0	1	0
00	1	1	1	0	0	0	1	1	1
01	0	1	0	1	0	1	0	1	0
10	1	1	1	1	1	1	1	0	0



1 1 0 0 0 1 ) flip  
0 0 1 1 1 0 - - -

↳ This does not exist as  
any row is the table  
given

Cantor's theorem: For any set  $S$ , there does not exist a bijection  $f: S \rightarrow P(S)$

Proof: Suppose that  $\exists f: S \rightarrow P(S)$  that is a bijection

Consider the set  $T = \{x \mid x \notin f(x)\}$

Suppose that  $t \in f(t)$ . Then

$$t \in T \Leftrightarrow t \in f(t) \Leftrightarrow t \notin T$$

The set of languages is uncountable, whereas  
the set of C programs is countable

## Finite Automata

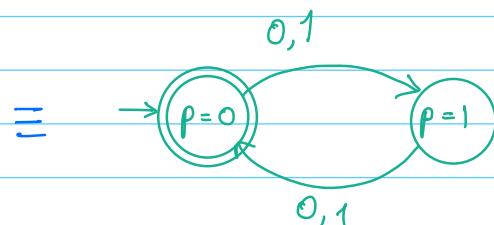
- Programs that can read - the input once
- The values stored in any variable is a constant, independent of the length of the input

Q: Write a program - that checks if - the length of  
- the input is even

$$P = 0$$

while  $\text{input}[i] \neq \perp$   
 $P = 1 - P$

return  $P$



\* Captures many simple computational problems

- Lexical analyzer of a compiler

## Finite Automata

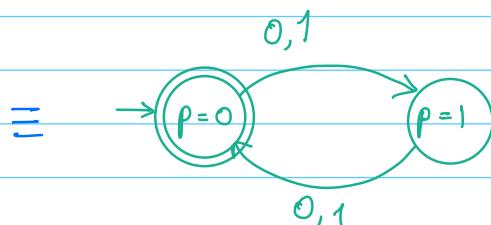
- Programs that can read - the input once
- The values stored in any variable is a constant, independent of the length of the input

Q: Write a program - that checks if - the length of  
- the input is even

$$P = 0$$

while  $\text{input}[i] \neq \perp$   
 $P = 1 - P$

return  $P$



\* Captures many simple computational problems

- Lexical analyzer of a compiler

→ As a language  $L = \text{set of all binary strings of even length}$

if  $x \in L$ , accept → after reading  
- the input  
should be in  
an accepting

↳ after reading state  
- the input should be  
in a non-accepting state

## Examples

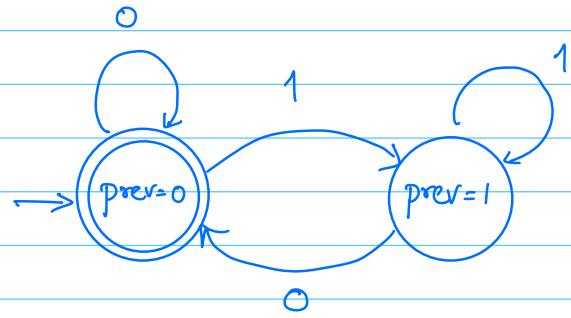
- ① set of strings  $w \in \{0,1\}^*$  that correspond to even integers

$\text{prev} \leftarrow 0$

while  $a[i] \neq 1$

$\text{prev} = a[i]$

return prev



- ② strings that start and end with the same bit

`end_with(b)`

$\text{last} = b$

while  $a[i] \neq 1$

$\text{last} = a[i]$

if  $\text{last} = b$

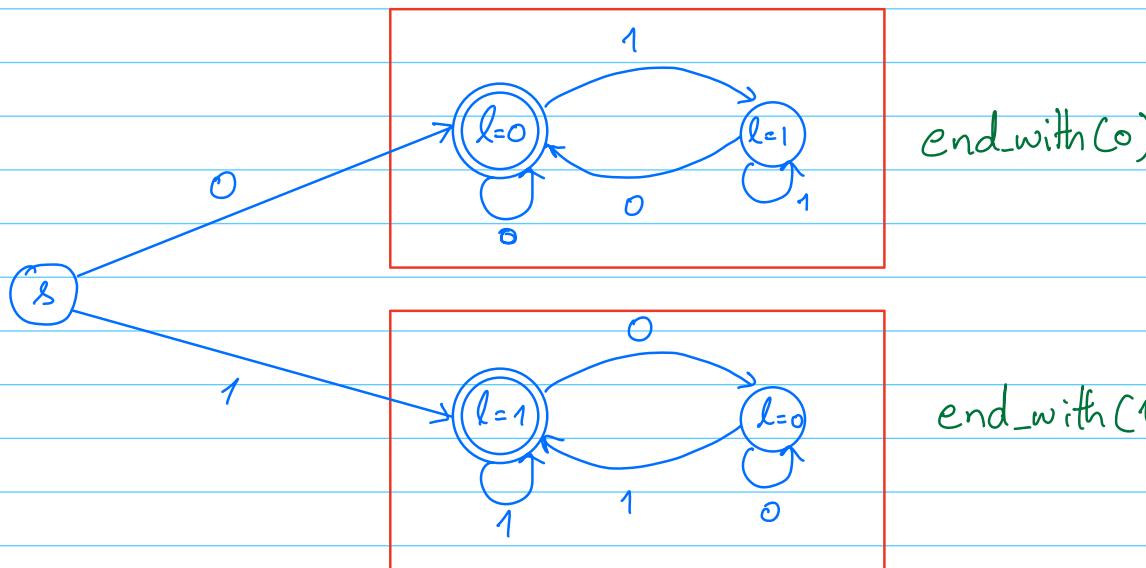
return 1

else 0

`main()`

$\text{first} \leftarrow a[1]$

`end_with(first)`



Definition: A Deterministic Finite Automaton (DFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where

- \*  $Q$  - set of states
- \*  $\Sigma$  - alphabet
- \*  $\delta$  - transition function  
 $\delta: Q \times \Sigma \rightarrow Q$
- \*  $q_0$  - start state
- \*  $F \subseteq Q$  - set of final/accepting states

Computation by a DFA:  $M = (Q, \Sigma, \delta, q_0, F)$

$w \in \Sigma^* \rightarrow$  input :  $w = \sigma_0 \sigma_1 \sigma_2 \dots \sigma_n$

$$- q_0 \rightarrow q_1 = \delta(q_0, \sigma_0) \rightarrow q_2 = \delta(q_1, \sigma_1) \rightarrow q_3 = \delta(q_2, \sigma_2) \dots \rightarrow q_{n+1} = \delta(q_n, \sigma_n)$$

$$f(w) = 1 \text{ iff } q_{n+1} \in F$$

$$\equiv w \in L(M) \text{ iff } q_{n+1} \in F$$

$$- q_{n+1} = \delta(\delta(\dots \delta(\delta(q_0, \sigma_0), \sigma_1) \dots, \sigma_n))$$

extended transition function:  $\overset{\wedge}{\delta}: Q \times \Sigma^* \rightarrow Q$

$$\text{Base case: } \overset{\wedge}{\delta}(q, \sigma) = \delta(q, \sigma) \text{ when } |\sigma| = 1$$

$$\text{Recursive step: } \overset{\wedge}{\delta}(q, w\sigma) = \overset{\wedge}{\delta}(\overset{\wedge}{\delta}(q, w), \sigma)$$

Language of a DFA  $M$ : For a DFA  $M = (Q, \Sigma, \delta, q_0, F)$

$$L(M) = \{ w \in \Sigma^* \mid \overset{\wedge}{\delta}(q_0, w) \in F \}$$

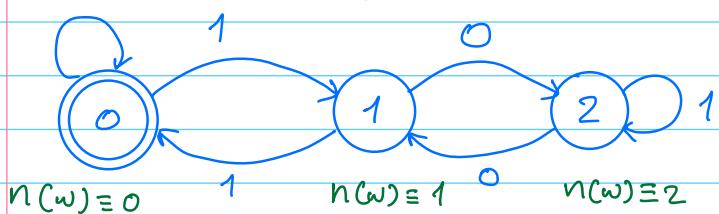
A language  $L \subseteq \Sigma^*$  is said to be **regular**

if  $\exists$  DFA  $M$  s.t  $L(M) = L$ .

Set of binary strings that are the binary representations of numbers divisible by 3

$$000 \in L \quad 001 \notin L \quad 000111 \in L \quad \dots$$

(Reading strings from MSB)



$$n(\omega) = \# \text{ corr. to } \omega$$

$$n(\omega_0) = 2 \cdot n(\omega)$$

$$n(\omega_1) = 2 \cdot n(\omega) + 1$$

$$\delta(q, \sigma) = 2q + \sigma \pmod{3} \rightarrow \begin{matrix} \text{stronger induction} \\ \text{hypothesis} \end{matrix}$$

$$\delta(0, \omega) \in L \iff \delta(\hat{\delta}(0, \omega'), \sigma) \in L$$

$$\hat{\delta}(0, \omega) = \delta(\hat{\delta}(0, \omega'), \sigma) \text{ where } \omega = \omega' \sigma$$

$$= \delta(n(\omega') \pmod{3}, \sigma)$$

$$= 2(n(\omega') \pmod{3}) + \sigma \pmod{3}$$

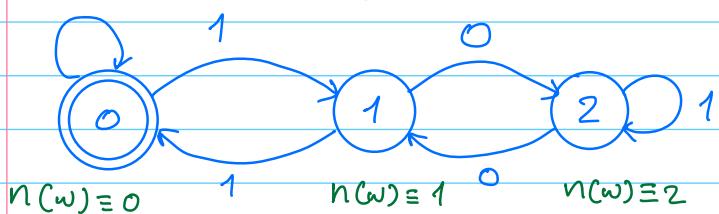
$$= 2n(\omega') + \sigma \pmod{3}$$

$$= n(\omega) \pmod{3}$$

Set of binary strings that are the binary representations of numbers divisible by 3

$$000 \in L \quad 001 \notin L \quad 000111 \in L \quad \dots$$

(Reading strings from MSB)



$$n(\omega) = \# \text{ corr. to } \omega$$

$$n(\omega_0) = 2 \cdot n(\omega)$$

$$n(\omega_1) = 2 \cdot n(\omega) + 1$$

$$\delta(q, \sigma) = 2q + \sigma \pmod{3} \rightarrow \begin{matrix} \text{stronger induction} \\ \text{hypothesis} \end{matrix}$$

$$\delta(0, \omega) \in L \iff \delta(\hat{\delta}(0, \omega'), \sigma) \in L$$

$$\hat{\delta}(0, \omega) = \delta(\hat{\delta}(0, \omega'), \sigma) \text{ where } \omega = \omega' \sigma$$

$$= \delta(n(\omega') \pmod{3}, \sigma)$$

$$= 2(n(\omega') \pmod{3}) + \sigma \pmod{3}$$

$$= 2n(\omega') + \sigma \pmod{3}$$

$$= n(\omega) \pmod{3}$$

## Closure properties of languages

- $L_1 \cup L_2$  - set union
- $L_1 \cap L_2$  - set intersection
- $L_1 \cdot L_2$  - concatenation

$$= \{ w \cdot w' \mid w \in L_1, w' \in L_2 \}$$

Eg ①  $L_1, L_2 \subseteq \{0, 1, 2, \dots, 9\}^*$

$$L_1 = \{ n \in \mathbb{N} \mid n \text{ is even} \}$$

$$L_2 = \{ n \in \mathbb{N} \mid n \text{ is odd} \}$$

Qn:  $L_1 \cdot L_2 = \{ n \in \mathbb{N} \mid n \text{ contains } 0, 2, 4, 6, 8$   
 $\text{ & ends in } 1, 3, 5, 7, 9 \}$

②  $L_1 = \{ w \in \{0, 1\}^* \mid w \text{ contains } \geq 2 \text{ zeroes} \}$

$L_2 = \{ w \in \{0, 1\}^* \mid w \text{ contains } \geq 3 \text{ zeroes} \}$

$L_1 \cdot L_2 = \{ w \in \{0, 1\}^* \mid w \text{ contains } \geq 5 \text{ zeroes} \}$

③  $L_1 = \{ w \in \{0, 1\}^* \mid w \text{ contains } \geq 2 \text{ zeroes} \}$

$L_2 = \{ w \in \{0, 1\}^* \mid w \text{ contains } \leq 3 \text{ zeroes} \}$

$$L_1 \cdot L_2 = L_1$$

$$L^i = L \cdot L^{i-1} ; \quad L^0 = \{\epsilon\}$$

- Asterate:  $L^* = \bigcup_{i \geq 0} L^i$

eg:  $L_{\text{odd}} = \{w \in \{0,1\}^* \mid |w| \equiv 1 \pmod{2}\}$

$\cdot L_{\text{even}} = \{w \in \{0,1\}^* \mid |w| \equiv 0 \pmod{2}\}$

$$L_{\text{even}}^* = L_{\text{even}}$$

$$L_{\text{odd}}^* = \{0,1\}^*$$

## Product construction

Theorem: If  $L_1, L_2 \subseteq \Sigma^*$  are regular, then

(i)  $L_1 \cup L_2$  is regular

(ii)  $\bar{L}_1$  is regular

(iii)  $L_1 \cap L_2$  is regular

Proof: (i)  $\exists M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$  &

$M_2 = (Q_2, \Sigma, \delta_2, s_2, F_2)$  s.t

$$L(M_1) = L_1 \quad \& \quad L(M_2) = L_2$$

Construct  $M$  s.t  $L(M) = L_1 \cup L_2$

- Simulate  $M_1$  &  $M_2$  simultaneously

$Q = Q_1 \times Q_2 \rightarrow$  to keep track of  
 $M_1$  &  $M_2$

$\delta: Q_1 \times Q_2 \times \Sigma \rightarrow Q_1 \times Q_2$

$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$

↓  
simulation of 1 step  
of  $M_1$  &  $M_2$

$\delta = (\delta_1, \delta_2) \hookrightarrow$  both  $M_1$  &  $M_2$  start  
from their respective  
start states

$F = (Q_1 \times F_2) \cup (F_1 \times Q_2)$

$\hookrightarrow$  at least one of  $M_1$  &  $M_2$   
should land in an accepting  
state

## Product construction

Theorem: If  $L_1, L_2 \subseteq \Sigma^*$  are regular, then

(i)  $L_1 \cup L_2$  is regular

(ii)  $\bar{L}_1$  is regular

(iii)  $L_1 \cap L_2$  is regular

Proof: (i)  $\exists M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$  &

$M_2 = (Q_2, \Sigma, \delta_2, s_2, F_2)$  s.t

$$L(M_1) = L_1 \quad \& \quad L(M_2) = L_2$$

Construct  $M$  s.t  $L(M) = L_1 \cup L_2$

- Simulate  $M_1$  &  $M_2$  simultaneously

$Q = Q_1 \times Q_2 \rightarrow$  to keep track of  
 $M_1$  &  $M_2$

$$\delta: Q_1 \times Q_2 \times \Sigma \rightarrow Q_1 \times Q_2$$

$$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$$

↓  
Simulation of 1 step

of  $M_1$  &  $M_2$

$\delta = (\delta_1, \delta_2) \rightsquigarrow$  both  $M_1$  &  $M_2$  start  
from their respective  
start states

$$F = (Q_1 \times F_2) \cup (F_1 \times Q_2)$$

$\hookrightarrow$  at least one of  $M_1$  &  $M_2$   
should land in an accepting  
state

Claim:  $L(M) = L_1 \cup L_2$

$$\textcircled{1} \quad \hat{\delta}((\varrho_1, \varrho_2), w) = (\hat{\delta}_1(\varrho_1, w), \hat{\delta}_2(\varrho_2, w))$$

Base case: By definition

Induction step:  $w = w'\sigma$

$$\begin{aligned}\hat{\delta}((\varrho_1, \varrho_2), w\sigma) &= \hat{\delta}(\hat{\delta}((\varrho_1, \varrho_2), w), \sigma) \\ &= \hat{\delta}(\hat{\delta}_1(\varrho_1, w), \hat{\delta}_2(\varrho_2, w)), \sigma \\ &= (\hat{\delta}_1(\hat{\delta}_1(\varrho_1, w), \sigma), \hat{\delta}_2(\hat{\delta}_2(\varrho_2, w), \sigma)) \\ &= (\hat{\delta}_1(\varrho_1, w\sigma), \hat{\delta}_2(\varrho_2, w\sigma))\end{aligned}$$

$$\textcircled{2} \quad \hat{\delta}((\varrho_1, \varrho_2), w) \in (Q_1 \times F_2) \cup (F_1 \times Q_2)$$

iff  $\hat{\delta}_1(\varrho_1, w) \in F_1$  or  $\hat{\delta}_2(\varrho_2, w) \in F_2$

$$\begin{aligned}\hat{\delta}((\varrho_1, \varrho_2), w) &= (\hat{\delta}_1(\varrho_1, w), \hat{\delta}_2(\varrho_2, w)) \\ &\downarrow \\ ((Q_1 \times F_2) \cup (F_1 \times Q_2))\end{aligned}$$

$$\Leftrightarrow \hat{\delta}_1(\varrho_1, w) \in F_1 \text{ or } \hat{\delta}_2(\varrho_2, w) \in F_2$$

(ii)  $\forall w \in \Sigma^* \quad \hat{\delta}(s, w) \in F \text{ or } \hat{\delta}(s, w) \in Q \setminus F$   
 $w \notin L \Leftrightarrow \hat{\delta}(s, w) \in Q \setminus F$

$$M' = (Q, \Sigma, \delta, s, Q \setminus F)$$

$$L(M') = \overline{L(M)} \text{ for } M = (Q, \Sigma, \delta, s, F)$$

(iii)  $L_1 \cap L_2 = \overline{\overline{L_1} \cap \overline{L_2}} = \overline{\overline{L_1} \cup \overline{L_2}}$

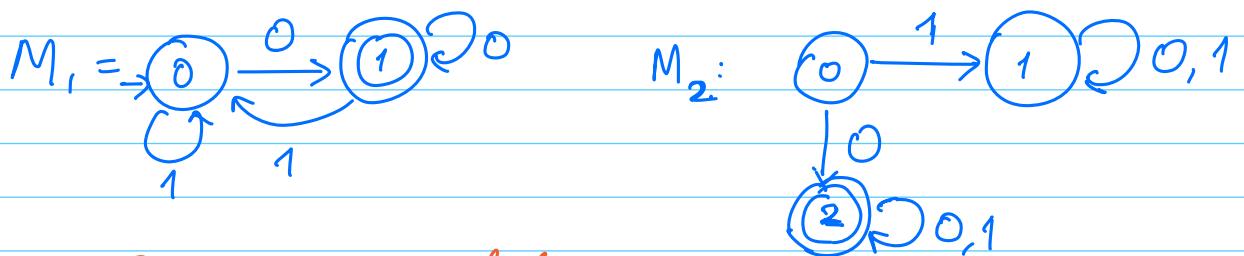
Exercise: Try using the product construction

Qn: If  $L_1$  &  $L_2$  are regular, is  $L_1 \cdot L_2$  regular?

$$L_1 = \{w \in \{0, 1\}^* \mid w \text{ ends with a } 0\}$$

$$L_2 = \{w \in \{0, 1\}^* \mid w \text{ starts with a } 0\}$$

$$L = L_1 \cdot L_2$$



Can you patch up  $M_1$  and  $M_2$ ?

- The new DFA should guess when it should stop the simulation of  $M_1$  and start simulating  $M_2$

- This is captured by the idea of non-determinism