# Compilation and Program Analysis (#4): Types, and Typing MiniWhile

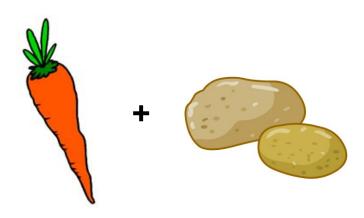
#### Ludovic Henrio

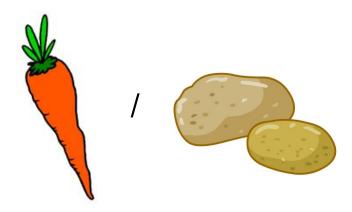
Master 1, ENS de Lyon et Dpt Info, Lyon1

2021-2022









```
If you write: "5" + 37 what do you want to obtain
```

- a compilation error? (OCaml)
- an exec error? (Python)
- the int 42? (Visual Basic, PHP)
- the string "537"? (Java)
- anything else?

and what about 37 / "5"?

#### When is

$$e1 + e2$$

legal, and what are the semantic actions to perform?

➤ Typing: an analysis that gives a type to each subexpression, and reject incoherent programs.

#### When

- Dynamic typing (during exec): Lisp, PHP, Python
- Static typing (at compile time): C, Java, OCaml
- Here: the second one.

### Slogan

well typed programs do not go wrong

- Generalities about typing
- 2 Imperative languages (C, Mini-While)
- Type Safety
- A bit of implementation

### Typing objectives

Should be decidable.

concurrent programs / ...

- It should reject programs like (1 2) in OCaml, or 1+"toto" in C before an actual error in the evaluation of the expression: this is safety.
   The type system is related to the kind of error to be detected: operations on basic types / method invocation (message not understood) / correct synchronisation (e.g. session types) in
- The type system should be expressive enough and not reject too many programs. (expressivity)

### **Principle**

All sub-expressions of the program must be given a type

$$\mathtt{fun}\;(x:\mathtt{int})\to\mathtt{let}\;(y:\mathtt{int})=(+:)(((x:\mathtt{int}),(1:\mathtt{int})):\mathtt{int}\times\mathtt{int})\;\mathtt{in}$$

What does the programmer write?

- The type of all sub-expressions (like above) easy to verify, but tedious for the programmer
- Annotate only variable declarations (Pascal, C, Java, ...)

$$\mathtt{fun}\;(x:\mathtt{int})\to\mathtt{let}\;(y:\mathtt{int})=+(x,1)\;\mathtt{in}\;y$$

Only annotate function parameters

fun 
$$(x: int) \rightarrow let y = +(x, 1) in y$$

• Annotate nothing: complete inference : Ocaml, Haskell, ...

### **Properties**

- correction: "yes" implies the program is well typed.
- completeness: the converse.

#### (optional)

• principality: The most general type is computed.

### Typing judgement

We will define how to compute typing judgements denoted by:

$$\Gamma \vdash e : \tau$$

and means "in environment  $\Gamma$ , expression e has type  $\tau$ "

 $ightharpoonup \Gamma$  associates a type  $\Gamma(x)$  to all free variables x in e.

### Safety = well typed programs do not go wrong

In general a type-safety property looks like this:

#### Theorem (Safety)

If  $\emptyset \vdash e : \tau$ , then the reduction of e is infinite or terminates with a value.

### Typing Safety

In general, a type-safety proof is based on two lemmas:

#### Lemme (progression)

If  $\emptyset \vdash e : \tau$ , then e is a value or there exists e' such that  $e \to e'$ .

#### Lemme (preservation)

If 
$$\emptyset \vdash e : \tau$$
 and  $e \rightarrow e'$  then  $\emptyset \vdash e' : \tau$ .

This works almost the same for small-step and big-step.

### What is a good output for a type-checker?

We do not want:

```
failwith "typing error"
```

the origin of the problem should be clearly stated

We keep the types for next phases.

#### In practice

- Input: Trees are decorated by source code lines.
- Output: Trees are decorated by types.

- Generalities about typing
- 2 Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
- A bit of implementation

- Generalities about typing
- Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- A bit of implementation

### Mini-While Syntax

#### Expressions (with boolean expression to make typing interesting):

$$e ::= c$$
 constant  $| x$  variable  $| e+e$  addition  $| e \times e$  multiplication  $| e < e$  boolean expression  $| ...$ 

#### Mini-while:

$$S(Smt)$$
 ::=  $x := expr$  assign do nothing  $|skip|$  sequence  $|S_1; S_2|$  sequence  $|if \ e \ then \ S_1 \ else \ S_2|$  test  $|while \ e \ do \ S \ done|$  loop

### Typing rules for expr

Here types are basic types: Int|Bool

$$\frac{n \in \mathbb{Z}}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{n \in \mathbb{Z}}{\Gamma \vdash n : \mathtt{int}} \qquad (\mathsf{or} \ \mathsf{tt} \colon \mathsf{bool}, \dots)$$

$$\frac{\Gamma \vdash e_1 : \mathtt{int} \qquad \Gamma \vdash e_2 : \mathtt{int}}{\Gamma \vdash e_1 + e_2 : \mathtt{int}} \qquad \frac{\Gamma \vdash e_1 : \mathtt{int} \qquad \Gamma \vdash e_2 : \mathtt{int}}{\Gamma \vdash e_1 < e_2 : \mathtt{bool}}$$

$$\frac{\Gamma \vdash e_1 : \mathsf{bool} \qquad \Gamma \vdash e_2 : \mathsf{bool}}{\Gamma \vdash e_1 \land e_2 : \mathsf{bool}} \qquad \dots$$

Ludovic Henrio (M1 - Lyon1 & ENSL)

### Example

show that (x+42) is well typed in an environment where

$$[x \mapsto int]$$

### Typing rules for statements: $\Gamma \vdash S$

A statement S <u>is well-typed</u> (there is no type for statements) on board!

### Typing: an example

Considering  $\Gamma=\{x\mapsto int\}$ , prove that the given sequence of instructions is well typed:

```
x = 3; x = x+9;
```

on board!

### Problem: how to define $\Gamma$ in mini-while? (1/2)

Possible solution: programs declare variables:

$$P ::= D; S$$
 program  $D ::= var \ x : \tau \mid D; D$  Variable declaration

Suppose the operational semantics discard the variable declaration for now but  $\Gamma$  is defined according to declarations: for a given program  $D; S: \Gamma(x) = \tau \iff var \ x : \tau \in D$ 

Ludovic Henrio (M1 - Lyon1 & ENSL)

### Problem: how to define $\Gamma$ in mini-while? (2/2)

 $\Gamma$  can be defined as a typing rule for programs.

From declarations we infer  $\Gamma: Var \rightarrow Basetype$  with the two following rules:

$$\overline{var \ x : t \to_d [x \mapsto t]}$$

$$\underline{D_1 \to_d \Gamma_1 \quad D_2 \to_d \Gamma_2 \quad Dom(\Gamma_1) \cap Dom(\Gamma_2) = \emptyset}$$

$$D1; D_2 \to_d \Gamma_1 \cup \Gamma_2$$

Typing of programs can be defined as follows:

$$\frac{D \to_d \Gamma \quad \Gamma \vdash S}{\emptyset \vdash D; S}$$

### Typing a "runtime configuration"

In mini-while, initial state of big step and almost all states of SOS are not just statements, they are pairs: (statement, store). To reason on types at runtime we first need to type runtime configurations:

#### Definition (Configuration typing)

$$\Gamma \vdash (S, \sigma) \iff (\Gamma \vdash S \land \forall x. \emptyset \vdash \sigma(x) : \tau \iff \Gamma(x) = \tau)$$

#### Notes:

- $\sigma(x)$  is a value: no  $\Gamma$  is needed to type it
- last part somehow says  $\Gamma$  and  $\sigma$  agree on the type of variables.

### Example continued

## What is the full program corresponding to the previous example?

```
x = 3 ;
x = x+9 ;
```

What is the initial  $\sigma$  for this program? Does it agree with  $\Gamma$ ? see later ...

- Generalities about typing
- Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- A bit of implementation

### Hybrid expressions

What if we have 1.2 + 42?

- reject?
- compute a float!
- ➤ This is **type coercion**. We will see how to implement it during a lab.
- ▶ It requires a very local form of type inference.

### More complex expressions

What if we have types pointer of bool or array of int? We might want to check equivalence (for addition ...).

➤ This is called **structural equivalence** (see Dragon Book, "type equivalence"). This is solved by a basic graph traversal checking that each element are equivalent/compatible.

### Sub-typing

A type can be more precise than another one, e.g.

Need additional rule to use sub-typing:

$$\frac{e:\tau \qquad \tau <: \tau'}{e:\tau'}$$

 Sometimes, rule to compose sub-types, e.g. functions or parametric types

$$\frac{e: List[\tau] \qquad \tau <: \tau'}{e: List[\tau']}$$

Note: subtyping is heavily used in OOP

- Generalities about typing
- 2 Imperative languages (C, Mini-While)
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- 4 A bit of implementation

- Generalities about typing
- Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- A bit of implementation

## One-step type safety

#### Theorem (Type correctness for big-step semantics)

If  $\emptyset \vdash e : \tau$  and  $e \longrightarrow v$  then the value v is of the right type  $\emptyset \vdash v : \tau$ .

Another one-step reduction is expression evaluation. Suppose for now  $\Gamma$  and  $\sigma$  agree on types and we prove correctness of expression types as follows:

#### Prove the following theorem (variant of type correctness)

Suppose 
$$\forall x \in vars(e). \emptyset \vdash \sigma(x) : \tau \iff \Gamma(x) = \tau$$
 prove type correctness for  $Val(e,\sigma)$ , i.e.:

$$\Gamma \vdash e : \tau \implies \emptyset \vdash Val(e, \sigma) : \tau$$

2021-2022

- Generalities about typing
- Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- A bit of implementation

# Typing recap 1/2

$$P ::= D; S$$
 program  $D ::= var x : t$  type declaration

From declarations we infer  $\Gamma: Var \rightarrow Basetype$  with the two following rules:

$$var \ x : t \to_d [x \mapsto t]$$

$$\underline{D_1 \to_d \Gamma_1 \quad D_2 \to_d \Gamma_2 \quad Dom(\Gamma_1) \cap Dom(\Gamma_2) = \emptyset}$$

$$\underline{D1; D_2 \to_d \Gamma_1 \cup \Gamma_2}$$

# Typing recap 2/2

Then a typing judgment for expressions is  $\Gamma \vdash e : \tau \in Basetype$ . Statements have no type and judgement is:  $\Gamma \vdash S$ .

$$\frac{D \to_d \Gamma \quad \Gamma \vdash S}{\emptyset \vdash D; S} \qquad \frac{\Gamma \vdash e_1 : \mathtt{int} \quad \Gamma \vdash e_2 : \mathtt{int}}{\Gamma \vdash e_1 + e_2 : \mathtt{int}} \qquad \Gamma \vdash x : \Gamma(x)$$

$$\frac{c \in \mathbf{Z}}{c : int} \qquad \frac{b \in \mathbb{B}}{c : bool} \qquad \frac{\Gamma \vdash S_1 \quad \Gamma \vdash S_2}{\Gamma \vdash S_1; S_2} \qquad \frac{\Gamma \vdash x : \tau \quad \Gamma \vdash e : \tau}{\Gamma \vdash x := e}$$

$$\frac{\Gamma \vdash b : \mathtt{bool} \quad \Gamma \vdash S_1 \quad \Gamma \vdash S_2}{\Gamma \vdash \mathtt{if} \ b \ \mathtt{then} \ S_1 \ \mathtt{else} \ S_2} \qquad \frac{\Gamma \vdash b : \mathtt{bool} \quad \Gamma \vdash S}{\Gamma \vdash \mathtt{while} \ b \ \mathtt{do} \ S \ \mathtt{done}}$$

#### Typing configurations:

$$\Gamma \vdash (S, \sigma) \iff (\Gamma \vdash S \land \forall x. \emptyset \vdash \sigma(x) : \tau \iff \Gamma(x) = \tau)$$

# Before getting into technical proof

What "wrong behaviours" are prevented by our type-system? 

> We will try to prove this.

What wrong behaviours are still here?

- Generalities about typing
- Imperative languages (C, Mini-While)
  - Simple Type Checking for mini-while
  - More advanced typing features
- Type Safety
  - Type safety for expressions
  - Recall the typing system for mini-while
  - Safety
- A bit of implementation

# Safety = well typed programs do not go wrong

In case of a <u>small-step semantics</u> the proof that "well typed programs do not go wrong" relies on two lemmas:

Well-type programs run without error

#### Lemma (progression for mini-while)

If  $\Gamma \vdash (S, \sigma)$ , then there exists  $S', \sigma'$  such that  $(S, \sigma) \Rightarrow (S', \sigma')$ OR there exists  $\sigma'$  such that  $(S, \sigma) \Rightarrow \sigma'$ .

Note:  $(S, \sigma)$  cannot be a final configuration.

... and remain well-typed

#### Lemma (preservation)

If 
$$\Gamma \vdash (S, \sigma)$$
 and  $(S, \sigma) \Rightarrow (S', \sigma')$  then  $\Gamma \vdash (S', \sigma')$ .

Note that  $\Gamma$  never changes (defined by declarations)

Proofs! (recall the property for expression evaluation)

2021-2022

### **Initial Configuration**

Problem: how do we start execution? Until now  $(S,\emptyset)$  was a good starting configuration. But  $\Gamma$  and  $\emptyset$  do not agree on the typing what is a good initial  $\sigma$ ? Initialisation or consider that  $\sigma(x)$  is fine? Discussion + refer to practical session.

- Generalities about typing
- Imperative languages (C, Mini-While)
- Type Safety
- A bit of implementation

### **Principle**

- Gamma is constructed with lexing information or parsing (variable declaration with types).
- Rules are semantic actions. The semantic actions are responsible for the evaluation order, as well as typing errors.

## Type Checking V1: Visitor

### MuTypingVisitor.py

```
# now visit expr
def visitAtomExpr(self, ctx):
    return self.visit(ctx.atom())
def visitOrExpr(self, ctx):
    lvaltype = self.visit(ctx.expr(0))
    rvaltype = self.visit(ctx.expr(1))
    if (BaseType.Boolean == Ivaltype) and (BaseType.Boolean == rvaltype):
        return BaseType.Boolean
    else:
        self. raise(ctx, 'boolean operands', lvaltype, rvaltype)
```

# In practice for mini-C (lab sessions)

No annotation is added to the AST (everything is int or bool, no ambiguity)

We can create associating type to variables, directly from parsing

#### Conclusion

#### We have seen:

- The properties and principle of static typing
- A type system for miniml
- A type system for mini-while
- Type safety and how to prove it miniml and mini while
- discussion on variable declaration and initialisation

#### Further discussions not really covered in the course:

- Typing functions (later in the course)
- More complex (i.e. real life) type system: sub-typing, objects, functions and sub-typing
- There exist very rich type systems (research on type system), e.g. behavioural types, ownership types, ...