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## alternative definition of cardinality

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The concept of cardinality comes from the notion of equinumerosity of sets. To define the cardinality  $|A|$  of a set  $A$ , one desirable property is that  $A$  is equinumerous to  $B$  precisely when  $|A| = |B|$ . The first attempt, due to Frege and Russel, is to define a relation  $\sim$  on the class  $V$  of sets so that  $A \sim B$  iff there is a bijection from  $A$  to  $B$ . This relation is an equivalence relation on  $V$ . Then we can define  $|A|$  as the equivalence class containing the set  $A$ . However,  $|A|$  is not a set, so we can't do much with  $|A|$  in ZF.

The second attempt, due to Von Neumann, defines  $|A|$  to be the smallest ordinal  $\text{card}(A)$  equinumerous to  $A$ . Now,  $\text{card}(A)$  exists if  $A$  is well-orderable. But in general, we do not know if  $A$  is well-orderable unless the well-ordering principle is applied, which is just another form of the axiom of choice. Thus, this definition depends on AC, and, in everyday mathematical usage (which assumes ZFC),  $|A| := \text{card}(A)$  suffices.

The third way, due to Scott, of looking at  $|A|$ , without AC, is to modify the first attempt somewhat, so that  $|A|$  is a set. Recall that the rank of a set  $A$  is the least ordinal  $\alpha$  such that  $A \subseteq V_\alpha$  in the cumulative hierarchy. A set having a rank is said to be *grounded*. By the axiom of foundation, every set is grounded. For any set  $A$ , let  $R(A) := \{\rho(B) \mid B \sim A\}$ . Then  $R(A)$ , as a class of ordinals, has a least element  $r(A)$ . So  $r(A) \leq \rho(A)$ . Next, we define (borrowing the terminology used in the first reference below)

$$\text{kard}(A) := \{B \mid B \sim A \text{ and } \rho(B) = r(A)\},$$

and set  $|A| := \text{kard}(A)$ . Since every element in  $\text{kard}(A)$  is a subset of  $V_{r(A)}$ ,  $\text{kard}(A) \subseteq V_{r(A)+}$ , so that  $|A|$  is a set. This method is known as Scott's trick. It can also be used in defining other isomorphism types on sets. It is easy to see that  $|A| = |B|$  iff  $A \sim B$ . However, with this definition,  $\text{kard}(n) \neq n$  in general, where  $n$  is a natural number.

Nevertheless, it is known that every finite set is well-orderable, and so we come to the fourth definition of the cardinality of a set: given a set  $A$ :

$$|A| := \begin{cases} \text{card}(A) & \text{if } A \text{ is well-orderable,} \\ \text{kard}(A) & \text{otherwise .} \end{cases}$$

The one big advantage of this definition is clear: it does not require AC, and with AC, it is identical to the second definition above. At the same time, it also resolves the conflict with our intuitive notion about cardinality: the cardinality of a finite set is the number of elements in the set. However, the one big disadvantage in this definition is that we do not have  $A \sim |A|$  in

general (of course,  $A$  is infinite). There is no way, without AC, to find a definition of  $|A|$ , such that  $A \sim B$  iff  $|A| = |B|$ , and  $A \sim |A|$  at the same time.

## References

- [1] H. Enderton, *Elements of Set Theory*, Academic Press, Orlando, FL (1977).
- [2] T. J. Jech, *Set Theory*, 3rd Ed., Springer, New York, (2002).
- [3] A. Levy, *Basic Set Theory*, Dover Publications Inc., (2002).