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Ackermann function is not primitive recursive

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In this entry, we show that the Ackermann function $A(x, y)$, given by

$$A(0, y) = y + 1, \quad A(x + 1, 0) = A(x, 1), \quad A(x + 1, y + 1) = A(x, A(x + 1, y))$$

is not primitive recursive. We will utilize the properties of A listed in <http://planetmath.org/PropertiesOfAckermannFunction> in this entry.

The key to showing that A is not primitive recursive, is to find a properties shared by all primitive recursive functions, but not by A . One such property is in showing that A in some way “grows” faster than any primitive recursive function. This is formalized by the notion of “majorization”, which is explained <http://planetmath.org/SuperexponentiationIsNotElementary> here.

Proposition 1. *Let \mathcal{A} be the set of all functions majorized by A . Then $\mathcal{PR} \subseteq \mathcal{A}$.*

Proof. We break this up into three parts: show all initial functions are in \mathcal{A} , show \mathcal{A} is closed under functional composition, and show \mathcal{A} is closed under primitive recursion. The proof is completed by realizing that \mathcal{PR} is the smallest set satisfying the three conditions.

In the proofs below, \mathbf{x} denotes some tuple of non-negative integers (x_1, \dots, x_n) for some n , and $x = \max\{x_1, \dots, x_n\}$. Likewise for \mathbf{y} and y .

1. We show that the zero function, the successor function, and the projection functions are in \mathcal{A} .

- $z(n) = 0 < n + 1 = A(0, n)$, so $z \in \mathcal{A}$.
- $s(n) = n + 1 < n + 2 = A(1, n)$, so $s \in \mathcal{A}$.
- $p_m^k(x_1, \dots, x_k) = x_m \leq x < x + 1 = A(0, x)$, so $p_m^k \in \mathcal{A}$.

2. Next, suppose g_1, \dots, g_m are k -ary, and h is m -ary, and that each g_i , and h are in \mathcal{A} . This means that $g_i(\mathbf{x}) < A(r_i, x)$, and $h(\mathbf{y}) < A(s, y)$. Let

$$f = h(g_1, \dots, g_m), \quad \text{and} \quad g_j(\mathbf{x}) = \max\{g_i(\mathbf{x}) \mid i = 1, \dots, m\}.$$

Then $f(\mathbf{x}) < A(s, g_j(\mathbf{x})) < A(s, A(r_j, x)) < A(s + r_j + 2, x)$, showing that $f \in \mathcal{A}$.

3. Finally, suppose g is k -ary and h is $(k + 2)$ -ary, and that $g, h \in \mathcal{A}$. This means that $g(\mathbf{x}) < A(r, x)$ and $h(\mathbf{y}) < A(s, y)$. We want to show that f , defined by primitive recursion via functions g and h , is in \mathcal{A} .

We first prove the following claim:

$$f(\mathbf{x}, n) < A(q, n + x), \quad \text{for some } q \text{ not depending on } x \text{ and } n.$$

Pick $q = 1 + \max\{r, s\}$, and induct on n . First, $f(\mathbf{x}, 0) = g(\mathbf{x}) < A(r, x) < A(q, x)$. Next, suppose $f(\mathbf{x}, n) < A(q, n + x)$. Then $f(\mathbf{x}, n + 1) = h(\mathbf{x}, n, f(\mathbf{x}, n)) < A(s, z)$, where $z = \max\{x, n, f(\mathbf{x}, n)\}$. By the induction hypothesis, together with the fact that $\max\{x, n\} \leq n + x < A(q, n + x)$, we see that $z < A(q, n + x)$. Thus, $f(\mathbf{x}, n + 1) < A(s, z) < A(s, A(q, n + x)) \leq A(q - 1, A(q, n + x)) = A(q, n + 1 + x)$, proving the claim.

To finish the proof, let $z = \max\{x, y\}$. Then, by the claim, $f(\mathbf{x}, y) < A(q, x + y) \leq A(q, 2z) < A(q, 2z + 3) = A(q, A(2, z)) = A(q + 4, z)$, showing that $f \in \mathcal{A}$.

Since \mathcal{PR} is by definition the smallest set containing the initial functions, and closed under composition and primitive recursion, $\mathcal{PR} \subseteq \mathcal{A}$. \square

As a corollary, we have

Corollary 1. *The Ackermann function A is not primitive recursive.*

Proof. Otherwise, $A \in \mathcal{A}$, which is impossible. \square