



Math for the people, by the people.

1.10 Pattern matching and recursion

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The natural numbers introduce an additional subtlety over the types considered up until now. In the case of coproducts, for instance, we could define a function $f : A + B \rightarrow C$ either with the recursor:

$$f \equiv \text{rec}_{A+B}(C, g_0, g_1)$$

or by giving the defining equations:

$$\begin{aligned} f(\text{inl}(a)) &\equiv g_0(a) \\ f(\text{inr}(b)) &\equiv g_1(b). \end{aligned}$$

To go from the former expression of f to the latter, we simply use the computation rules for the recursor. Conversely, given any defining equations

$$\begin{aligned} f(\text{inl}(a)) &\equiv \Phi_0 \\ f(\text{inr}(b)) &\equiv \Phi_1 \end{aligned}$$

where Φ_0 and Φ_1 are expressions that may involve the variables a and b respectively, we can express these equations equivalently in terms of the recursor by using λ -abstraction:

$$f \equiv \text{rec}_{A+B}(C, \lambda a. \Phi_0, \lambda b. \Phi_1).$$

In the case of the natural numbers, however, the “defining equations” of a function such as `double`:

$$\text{double}(0) \equiv 0 \tag{1}$$

$$\text{double}(\text{succ}(n)) \equiv \text{succ}(\text{succ}(\text{double}(n))) \tag{2}$$

involve *the function double itself* on the right-hand side. However, we would still like to be able to give these equations, rather than (??), as the definition of `double`, since they are much more convenient and readable. The solution is to read the expression “`double(n)`” on the right-hand side of (??) as standing in for the result of the recursive call, which in a definition of the form `double : $\equiv \text{rec}_{\mathbb{N}}(\mathbb{N}, c_0, c_s)$` would be the second argument of c_s .

More generally, if we have a “definition” of a function $f : \mathbb{N} \rightarrow C$ such as

$$\begin{aligned} f(0) &\equiv \Phi_0 \\ f(\text{succ}(n)) &\equiv \Phi_s \end{aligned}$$

where Φ_0 is an expression of type C , and Φ_s is an expression of type C which may involve the variable n and also the symbol “ $f(n)$ ”, we may translate it to a definition

$$f \equiv \text{rec}_{\mathbb{N}}(C, \Phi_0, \lambda n. \lambda r. \Phi'_s)$$

where Φ'_s is obtained from Φ_s by replacing all occurrences of “ $f(n)$ ” by the new variable r .

This style of defining functions by recursion (or, more generally, dependent functions by induction) is so convenient that we frequently adopt it. It is called definition by **pattern matching**. Of course, it is very similar to how a computer programmer may define a recursive function with a body that literally contains recursive calls to itself. However, unlike the programmer, we are restricted in what sort of recursive calls we can make: in order for such a definition to be re-expressible using the recursion principle, the function f being defined can only appear in the body of $f(\text{succ}(n))$ as part of the composite symbol “ $f(n)$ ”. Otherwise, we could write nonsense functions such as

$$\begin{aligned} f(0) &\equiv 0 \\ f(\text{succ}(n)) &\equiv f(\text{succ}(\text{succ}(n))). \end{aligned}$$

If a programmer wrote such a function, it would simply call itself forever on any positive input, going into an infinite loop and never returning a value. In mathematics, however, to be worthy of the name, a *function* must always associate a unique output value to every input value, so this would be unacceptable.

This point will be even more important when we introduce more complicated inductive types in <http://planetmath.org/node/87578> Chapter 5, <http://planetmath.org/node/87585> Chapter 6, <http://planetmath.org/node/87585> Chapter 11. Whenever we introduce a new kind of inductive definition, we always begin by deriving its induction principle. Only then do we introduce an appropriate sort of “pattern matching” which can be justified as a shorthand for the induction principle.