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transfinite recursion

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Transfinite recursion, roughly speaking, is a statement about the ability to define a function recursively using transfinite induction. In its most general and intuitive form, it says

**Theorem 1.** *Let  $G$  be a (class) function on  $V$ , the class of all sets. Then there exists a unique (class) function  $F$  on  $\mathbf{On}$ , the class of ordinals, such that*

$$F(\alpha) = G(F|_\alpha)$$

where  $F|_\alpha$  is the function whose domain is  $\text{seg}(\alpha) := \{\beta \mid \beta < \alpha\}$  and whose values coincide with  $F$  on every  $\beta \in \text{seg}(\alpha)$ . In other words,  $F|_\alpha$  is the restriction of  $F$  to  $\alpha$ .

Notice that the theorem above is not provable in ZF set theory, as  $G$  and  $F$  are both classes, not sets. In order to prove this statement, one way of getting around this difficulty is to convert both  $G$  and  $F$  into formulas, and modify the statement, as follows:

Let  $\varphi(x, y)$  be a formula such that

$$\forall x \exists y \forall z (\varphi(x, z) \leftrightarrow z = y).$$

Think of  $G = \{(x, y) \mid \varphi(x, y)\}$ . Then there is a unique formula  $\psi(\alpha, z)$  (think of  $F$  as  $\{(\alpha, z) \mid \psi(\alpha, z)\}$ ) such that the following two sentences are derivable using ZF axioms:

1.  $\forall x \exists y \forall z (\mathbf{On}(x) \wedge (\psi(x, z) \leftrightarrow z = y))$ , where  $\mathbf{On}(x)$  means “ $x$  is an ordinal”,
2.  $\forall x \forall y (\mathbf{On}(x) \wedge (\psi(x, y) \leftrightarrow \exists f (A \wedge B \wedge C \wedge D)))$ , where
  - $A$  is the formula “ $f$  is a function”,
  - $B$  is the formula “ $\text{dom}(f) = x$ ”,
  - $C$  is the formula  $\forall z (z \in x \wedge \varphi(f|_z, f(z)))$ , and
  - $D$  is the formula  $\varphi(f, y)$ .

A stronger form of the transfinite recursion theorem says:

**Theorem 2.** *Let  $\varphi(x, y)$  be any formula (in the language of set theory). Then the following is a theorem: assume that  $\varphi$  satisfies property that, for every  $x$ ,*

there is a unique  $y$  such that  $\varphi(x, y)$ . If  $A$  be a well-ordered set (well-ordered by  $\leq$ ), then there is a unique function  $f$  defined on  $A$  such that

$$\varphi(f| \text{seg}(s), f(s))$$

for every  $s \in A$ . Here,  $\text{seg}(s) := \{t \in A \mid t < s\}$ , the initial segment of  $s$  in  $A$ .

The above theorem is actually a collection of theorems, or known as a theorem schema, where each theorem corresponds to a formula. The other difference between this and the previous theorem is that this theorem is provable in ZF, because the domain of the function  $f$  is now a set.