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proof of Alon-Chung lemma

Canonical name ProofOfAlonChungLemma

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Entry type Proof Classification msc 05C50 Let the vertices of G be labeled by $\{1, 2, \ldots, n\}$, and \mathbf{x} be the column vector defined by

$$x_i = \begin{cases} 1 & \text{if vertex } i \text{ is in } X \\ 0 & \text{otherwise} \end{cases}$$

for i = 1, 2, ..., n.

Let **A** denote the adjacency matrix of G. The number of edges in the subgraph induced by X equals $\frac{1}{2}\mathbf{x}^T\mathbf{A}\mathbf{x}$, and we are going to show the following equivalent inequality,

$$\mathbf{x}^T \mathbf{A} \mathbf{x} \le \frac{1}{n} \Big(d|X|^2 + \lambda |X|(n - |X|) \Big).$$

We label the eigenvalues of **A** in decreasing order as

$$\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_n$$
.

The largest eigenvalue λ_1 is equal to the degree d, and we let \mathbf{u}_1 be the corresponding normalized eigenvector,

$$\mathbf{u}_1 := \frac{1}{\sqrt{n}} [1, 1, \dots, 1]^T.$$

As A is symmetric, there is a unitary matrix U that diagonalizes A,

$$\mathbf{U}^T\mathbf{A}\mathbf{U} = egin{bmatrix} \lambda_1 & & & & \ & \lambda_2 & & & \ & & \ddots & & \ & & & \lambda_n \end{bmatrix}.$$

The first column of U is the column vector \mathbf{u}_1 . We obtain

$$\mathbf{x}^{T} \mathbf{A} \mathbf{x} = \sum_{k=1}^{n} \lambda_{k} (\mathbf{u}_{k}^{T} \mathbf{x})^{2}$$

$$\leq d(\mathbf{u}_{1}^{T} \mathbf{x})^{2} + \lambda_{2} \sum_{k=2}^{n} (\mathbf{u}_{k}^{T} \mathbf{x})^{2}.$$

In the line above, the first term is

$$d(\mathbf{u}_1^T \mathbf{x})^2 = \frac{d|X|^2}{n},$$

while the summation is equal to

$$\sum_{k=2}^{n} (\mathbf{u}_{k}^{T} \mathbf{x})^{2} = \|\mathbf{x}\|^{2} - (\mathbf{u}_{1}^{T} \mathbf{x})^{2} = |X| - \frac{|X|^{2}}{n}.$$

Hence

$$\mathbf{x}^{T}\mathbf{A}\mathbf{x} \leq \frac{d|X|^{2}}{n} + \lambda_{2}\left(|X| - \frac{|X|^{2}}{n}\right)$$
$$= \frac{1}{n}\left(d|X|^{2} + \lambda_{2}|X|(n - |X|)\right).$$