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proof of recurrences for derangement numbers

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Author	rm50 (10146)
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The derangement numbers  $D_n$  satisfy two recurrence relations:

$$D_n = (n-1)[D_{n-1} + D_{n-2}] \quad (1)$$

$$D_n = nD_{n-1} + (-1)^n \quad (2)$$

These formulas can be derived algebraically (working from the explicit formula for the derangement numbers); there is also an enlightening combinatorial proof of (1).

The exponential generating function for the derangement numbers is

$$D_n = n! \sum_{k=0}^n \frac{(-1)^k}{k!}$$

To derive the formulas algebraically, start with (2):

$$\begin{aligned} nD_{n-1} &= n(n-1)! \sum_{k=0}^{n-1} \frac{(-1)^k}{k!} = n! \sum_{k=0}^{n-1} \frac{(-1)^k}{k!} = n! \left( -\frac{(-1)^n}{n!} + \sum_{k=0}^n \frac{(-1)^k}{k!} \right) \\ &= -(-1)^n + D_n \end{aligned}$$

and (2) follows. To derive (1), use (2) twice:

$$\begin{aligned} D_n &= nD_{n-1} + (-1)^n = (n-1)D_{n-1} + D_{n-1} + (-1)^n \\ &= (n-1)D_{n-1} + ((n-1)D_{n-2} + (-1)^{n-1}) + (-1)^n \\ &= (n-1)(D_{n-1} + D_{n-2}) \end{aligned}$$

Combinatorially, we can see (1) as follows. Write  $[n]$  for  $\{1, 2, \dots, n\}$ . Let  $\pi$  be any derangement of  $[n-1]$ , i.e. a permutation containing no 1-cycles. Adding  $n$  before any of the  $n-1$  elements of  $\pi$  produces a derangement of  $[n]$ . For fixed  $\pi$ , these are clearly all distinct, since 1 has a different successor in each case; for distinct  $\pi$ , these are equally obviously distinct. Thus each derangement of  $[n-1]$  corresponds to exactly  $n-1$  derangements of  $[n]$ . Note also that since  $\pi$  had no 1-cycles that 1 is not a member of a transposition (a 2-cycle).

Now let  $\pi$  be a derangement of any  $n-2$  elements chosen from  $[n-1]$ . There are clearly  $(n-1)D_{n-2}$  such derangements. If the omitted element in  $\pi$  is  $x$ , then adding the transposition  $(1 \ x)$  to  $\pi$  produces a derangement of  $[n]$ , and all such derangements again are distinct from one another. Finally, since in this case 1 is a member of a transposition, these derangements are distinct from those in the first group. This proves (1).