

RECURSION AND TREE STRUCTURE

Lecture notes of the course “*Programming Techniques*”

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1 Recursion

- Factorial
- Fibonacci numbers
- Towers of Hanoi

2 Binary trees

- Definition
- Creation
- Traversal

3 Exercises

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Recursion

- Recursion in computer science is a method where the solution to a problem depends on solutions of smaller instances of the same problem.
- The approach can be applied to many types of problems and is one of the central ideas of computer science.
- Recursion technique is a basis for more advanced and efficient techniques in designing algorithms.
- Most computer programming languages support recursion by allowing a function to call itself within the program.

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Factorial

- When using a recursive function, we need to pay attention to the termination condition.

```
int factorial(int n) {  
    if (n <= 0)  
        return 1;  
    else  
        return n * factorial(n - 1);  
}
```

- Note the base case when $n \leq 0$. If you miss this, the function never returns.

Factorial

Recurrence relation:

$$b_n = n * b_{n-1}$$

$$b_0 = 1.$$

Computing the recurrence relation for $n = 4$:

$$\begin{aligned} b_4 &= 4 * b_3 \\ &= 4 * 3 * b_2 \\ &= 4 * 3 * 2 * b_1 \\ &= 4 * 3 * 2 * 1 * b_0 \\ &= 4 * 3 * 2 * 1 * 1 \\ &= 4 * 3 * 2 * 1 \\ &= 4 * 3 * 2 \\ &= 4 * 6 \\ &= 24. \end{aligned}$$

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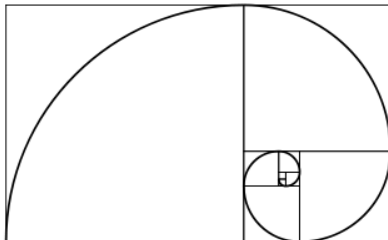
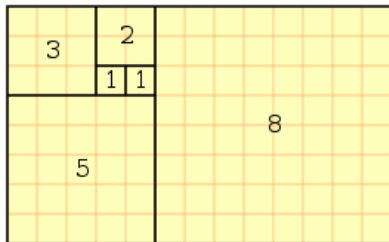
Fibonacci numbers

- The first two numbers in the Fibonacci sequence are 0 and 1, and each subsequent number is the sum of the previous two.

$$F(k) = \begin{cases} k, & \text{if } k < 2, \\ F(k-1) + F(k-2), & \text{if } k \geq 2. \end{cases}$$

- The Fibonacci sequence:

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...



Fibonacci numbers

- The ratio of consecutive Fibonacci numbers converges:

$$\lim_{n \rightarrow \infty} \frac{F(n+1)}{F(n)} = \varphi,$$

where φ is the **golden ratio**:

$$\varphi = \frac{1 + \sqrt{5}}{2} = 1.6180339887 \dots$$

- See more about the golden ratio and the Fibonacci sequence in nature at:
 - <http://www.youtube.com/watch?v=wTlw7fNc0-0>
 - <http://www.youtube.com/watch?v=kkGeOWYOFoA>
 - http://en.wikipedia.org/wiki/Golden_ratio

Fibonacci numbers

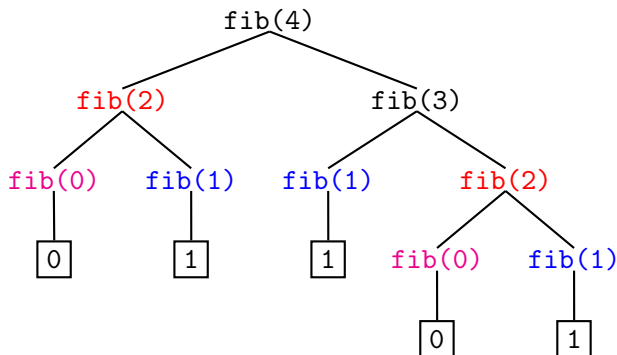
Recursive function to compute the k -th Fibonacci number:

```
int fib(int k) {  
    // base cases:  
    if (k < 2) {  
        return k;  
    }  
    // recursive case:  
    else {  
        return fib(k - 1) + fib(k - 2);  
    }  
}
```

This function is very memory expensive!

Fibonacci numbers

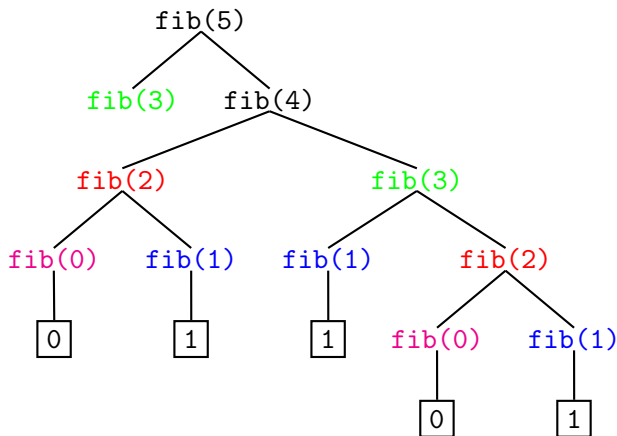
The tree structure of function calls when $k = 4$:



The subtrees whose root nodes have the same color are repeatedly evaluated.

Fibonacci numbers

The tree structure of function calls when $k = 5$:



If k is large, there is an explosion of memory needed to evaluate $F(k)$.

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Towers of Hanoi

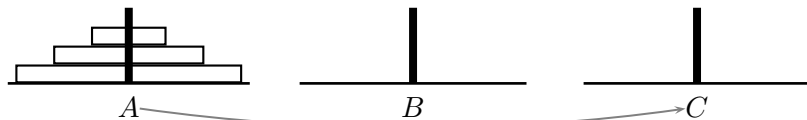
- The tower of Hanoi is a mathematical puzzle.
- We have 3 rods and a number of disks of different sizes which can slide onto any rod.
- Starting with the disks in a neat stack in ascending order of size on one rod, the smallest at the top.
- The objective is to move the entire stack to another rod obeying the following rules:
 - 1 Only one disk may be moved at a time;
 - 2 Each move consists of taking the upper disk from one rod and sliding it onto another rod;
 - 3 No disk can be placed on top of a smaller disk.

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Towers of Hanoi

Solution for $n = 3$?

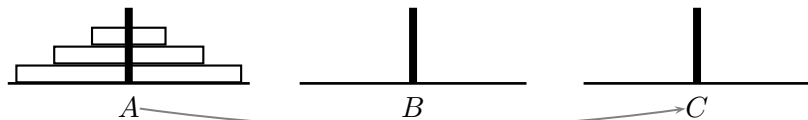


- 1 $A \rightarrow C$
- 2 $A \rightarrow B$
- 3 $C \rightarrow B$
- 4 $A \rightarrow C$
- 5 $B \rightarrow A$
- 6 $B \rightarrow C$
- 7 $A \rightarrow C$

Solution for $n = 10$?

Towers of Hanoi

Solution for $n = 3$?



① $A \rightarrow C$

② $A \rightarrow B$

③ $C \rightarrow B$

④ $A \rightarrow C$

⑤ $B \rightarrow A$

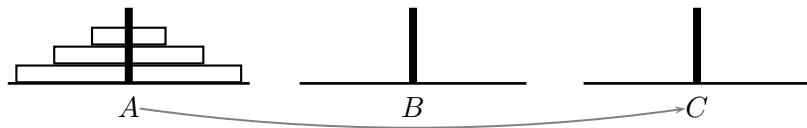
⑥ $B \rightarrow C$

⑦ $A \rightarrow C$

Solution for $n = 10$?

Towers of Hanoi

Solution for $n = 3$?



- ① $A \rightarrow C$
- ② $A \rightarrow B$
- ③ $C \rightarrow B$
- ④ $A \rightarrow C$
- ⑤ $B \rightarrow A$
- ⑥ $B \rightarrow C$
- ⑦ $A \rightarrow C$

Solution for $n = 10$?

Towers of Hanoi

- *Divide and conquer* techniques
- Break down the problem into a collection of smaller problems. To move n disks from A to C :
 - 1 Move $n - 1$ disks from A to B . This leaves disk n alone on rod A ;
 - 2 Move disk n from A to C ;
 - 3 Move $n - 1$ disks from B to C .
- Prove that this algorithm must make at least $2^n - 1$ moves.

Towers of Hanoi

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- Prove that this algorithm must make at least $2^n - 1$ moves.

Towers of Hanoi

```
void move(int n, char a, char b, char c) {
    if (n==1) {
        printf("%c -> %c\n", a, c);
    } else {
        move(n-1, a, c, b);
        move(1, a, ' ', c);
        move(n-1, b, a, c);
    }
}

int main(int argc, char **argv) {
    move(3, 'A', 'B', 'C');
    return 0;
}
```


Towers of Hanoi

- According to a legend, there is a set of 64 gold disks, the universe is supposed to end when the task is complete.
- If done correctly, it will take $2^{64} - 1 = 1.84 * 10^{19}$ moves.
- If a move takes 1 second, that's $5.85 * 10^{11}$ years.
- Since the largest disks must be very heavy, we need about 1 minute per move, it would take $1.5 * 10^{14}$ years.
- The current age of universe is estimated at 10^{10} years.
 - Is the legend correct?

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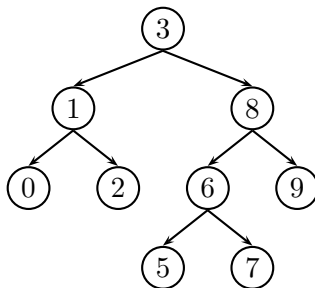
Binary trees

- A **binary tree** is a dynamic data structure where each node has at most two children.
- Any node in the tree can be reached by starting at root node and repeatedly following references to either the left or right child.
- A **binary search tree** is a binary tree with ordering among its children:
 - All elements in the left subtree are assumed to be “less” than the root element.
 - All elements in the right subtree are assumed to be “greater” than the root element.

Binary trees

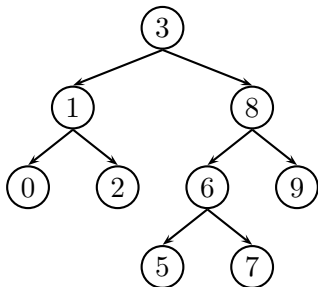
```
struct Node {  
    int datum;  
    struct Node *left;  
    struct Node *right;  
};
```

```
typedef struct Node *Tree;  
Tree root = NULL;
```



Some definitions

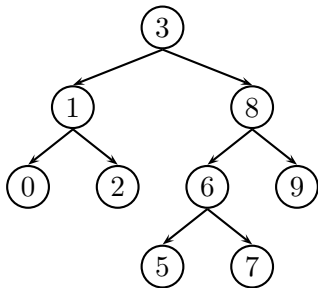
- The **root node** is the node with no parent. There is at most one root node. A **leaf node** is a node which has no children.
- The **height** of a tree is the length of the path from the root to the deepest node in the tree. If the tree has only one (root) node, its height is 0.
- The **depth** of node n is the length of the path from the root to n . The depth of the root node is 0.



- The height of the tree?
- The depth of node 2?
- The depth of node 7?

Some definitions

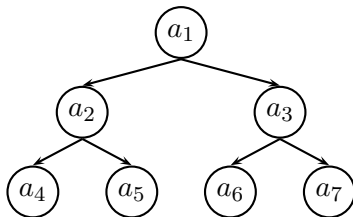
- **Siblings** are node that share the same parent node.
- A node p is an **ancestor** of a node q if it exists on the path from node p to node q . The node q is then called the **descendant** of p .
- The **size** of a node is the number of descendants that it has, including itself.



- Is node 1 ancestor of node 7?
- The size of node 8?
- The size of node 3?

Some definitions

- A **full binary tree** is a tree in which every node other than the leaves has two children.
- A **perfect binary tree** is a full binary tree in which all leaves are at the same depth.



- *Claim:* The number of nodes n of a perfect binary tree is $n = 2^{h+1} - 1$, where h is the height of the tree. (Prove that claim!)

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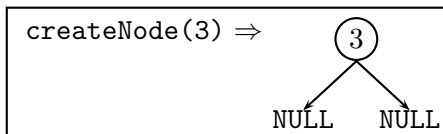
- 2 Binary trees
 - Definition
 - **Creation**
 - Traversal

- 3 Exercises

Creating a node

```
typedef struct Node *Tree;  
Tree root = NULL;
```

```
Tree createNode(int datum) {  
    Tree node = (Tree)malloc(sizeof(struct Node));  
    node->datum = datum;  
    node->left = NULL;  
    node->right = NULL;  
    return node;  
}
```



Adding node to a binary search tree

Idea: Creates a node of a given datum and recursively searches for its correct parent to add.

```
void addNode(Tree *root, int datum) {
    if ((*root) == NULL) {
        Tree node = createNode(datum);
        *root = node;
        return;
    }
    if (datum < (*root)->datum) {
        addNode(&(*root)->left, datum);
    } else {
        addNode(&(*root)->right, datum);
    }
}
```

Creating a binary search tree

Idea: Repeatedly add nodes to the tree. Initially, the root is NULL.

```
int a[] = {3, 1, 8, 0, 2, 6, 9, 5};  
Tree root = NULL;  
  
int i;  
for (i = 0; i < 8; i++) {  
    addNode(&root, a[i]);  
}
```

- Explain the code
- Give more examples on different data

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Tree traversal

- Linear data structures like linked list or one-dimensional array have a canonical method of traversal.
- Tree structures can be traversed in different ways.
- There are three main steps that can be performed and the order in which they are performed defines the traversal type:
 - ➊ Performing an action on the current node (“visiting” the node).

```
void visit(Tree tree) {  
    printf("%3d", tree->datum);  
}
```
 - ➋ Traversing to the left subtree
 - ➌ Traversing to the right subtree

Tree traversal

Three types of tree traversal:

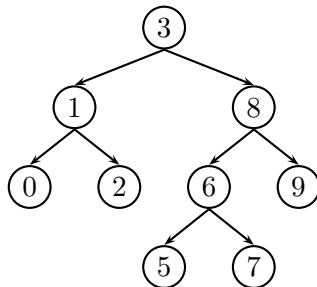
- **pre-order:** root, left subtree, right subtree
- **in-order:** left subtree, root, right subtree
- **post-order:** left subtree, right subtree, root

Tree traversal – Pre-order

Pre-order traversal:

- 1 Visit the root node
- 2 Visit its left subtree
- 3 Visit its right subtree

```
void preOrder(Tree tree) {  
    if (tree != NULL) {  
        visit(tree);  
        preOrder(tree->left);  
        preOrder(tree->right);  
    }  
}
```



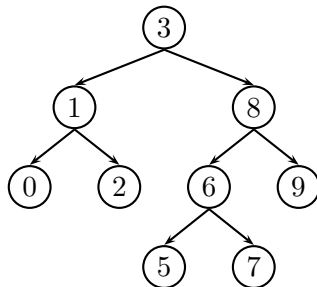
Traversal order: 3, 1, 0, 2, 8, 6, 5, 7, 9

Tree traversal – In-order

In-order traversal:

- 1 Visit its left subtree
- 2 Visit the root node
- 3 Visit its right subtree

```
void inOrder(Tree tree) {  
    if (tree != NULL) {  
        inOrder(tree->left);  
        visit(tree);  
        inOrder(tree->right);  
    }  
}
```



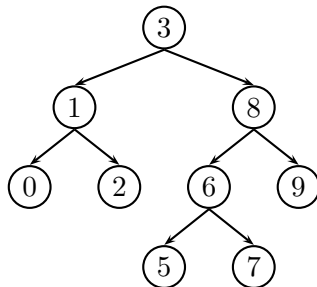
Traversal order: 0, 1, 2, 3, 5, 6, 7, 8, 9
(The numbers are sorted!)

Tree traversal – Post-order

Post-order traversal:

- 1 Visit its left subtree
- 2 Visit its right subtree
- 3 Visit the root node

```
void postOrder(Tree tree) {  
    if (tree != NULL) {  
        postOrder(tree->left);  
        postOrder(tree->right);  
        visit(tree);  
    }  
}
```



Traversal order: 0, 2, 1, 5, 7, 6, 9, 8, 3

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Exercises

Exercise 1. Write a program which computes the Fibonacci numbers less than a positive integer n .

- Add these numbers to a linked list (a stack or a queue of your choice);
- Print out the list to verify the result.

Exercise 2. Write a repetitive function to calculate the Fibonacci numbers up to a positive integer n . Compare the running time of this function with that of the recursive version.

Exercise 3. Empirically verify the following result:

$$\lim_{n \rightarrow \infty} \frac{F(n+1)}{F(n)} = \varphi,$$

where

$$\varphi = \frac{1 + \sqrt{5}}{2} \approx 1.6180339887.$$

Exercises

Exercise 4. Given two nodes p and q of a binary tree, write a function which tests whether p is the ancestor of q or not.

Exercise 5. Write a function which computes the size of a node in a binary tree.

Exercise 6. Write a function which checks whether a binary tree

- is a full binary tree or not;
- is a perfect binary tree or not.