

UNIVERSAL L^2 -TORSION AND TAUT SUTURED DECOMPOSITIONS

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ABSTRACT. Given an admissible 3-manifold M and a first cohomology class ϕ , we show that the universal L^2 -torsion of M detects fiberedness of ϕ unless M is a closed graph manifold. As a natural extension in sutured manifold theory, we provide a simple formula that shows how this invariant change under taut sutured decompositions. We show that a taut sutured manifold is a product if and only if its universal L^2 -torsion is trivial. Our proof is based on a study of the leading term map on Linnell's skew field.

1. INTRODUCTION

Torsion invariants of a finite cellular complex X contain delicate topological information. To begin with, one has to produce an exact sequence from the cellular chain complex of the universal cover \widehat{X} . One way to do this is to “base change” the group ring $\mathbb{Z}[\pi_1(X)]$ to a commutative field. For 3-manifolds, this idea produces the Reidemeister–Franz torsion and the (multi-variable) Alexander polynomial, considering extensions of scalars to the complex number field \mathbb{C} and the field of rational functions $\mathbb{Q}(H_1(X))$, respectively. However, this base change loses the non-commutative information of the fundamental group. The torsion introduced by J.H.C Whitehead does not involve any base change and retain most information; the so-called Whitehead torsion lives in a certain group $\text{Wh}(\pi_1(X))$ consisting of matrices invertible over $\mathbb{Z}[\pi_1(X)]$ up to equivalence. As a tradeoff, the Whitehead torsion only applies to pairs (X, Y) where X deformation retracts to Y . Also, it is too restrictive for a matrix to be invertible over $\mathbb{Z}[\pi_1(X)]$ and conjecturally $\text{Wh}(G)$ is trivial for all torsion-free groups G .

The universal L^2 -torsion is potentially a powerful yet applicable torsion invariant at the same time. For G belonging to a large family of torsion-free groups, there is a canonical associative field \mathcal{D}_G which contains $\mathbb{Z}G$ as a subring.

Definition 1.1. Let X be a finite CW-complex with fundamental group G . We call X L^2 -acyclic if the \mathcal{D}_G -chain complex $\mathcal{D}_G \otimes_{\mathbb{Z}G} C_*(\widehat{X})$ is exact; its torsion $\tau_u^{(2)}(X)$ is therefore called the universal L^2 -torsion of X , living in the Whitehead group $\text{Wh}(\mathcal{D}_G)$ of the field \mathcal{D}_G .

L^2 -acyclic spaces abound, including mapping torus, spaces with amenable fundamental groups, most 3-manifolds and all odd-dimensional closed hyperbolic manifolds. The Whitehead group \mathcal{D}_G is never trivial; in fact there are interesting homomorphisms from $\text{Wh}(\mathcal{D}_G)$ such as the polytope map and the Fuglede–Kadison determinant.

We apply the universal L^2 -torsion to the fiberedness of 3-manifolds. Let M be a compact oriented 3-manifold. A homomorphism $\phi : \pi_1(M) \rightarrow \mathbb{Z}$ is called fibered if it is induced by a fibration of M over the circle. Thurston showed that there is a disjoint union of open cones in $H^1(M; \mathbb{R})$ such that ϕ is fibered if and only if ϕ lies in the cones. Such open cones are hence called the fibered cones of M . We will also call a real class $\phi \in H^1(M; \mathbb{R})$ fibered if it lies in the fibered cones.

It is known that if a class ϕ is fibered, then its Alexander polynomial, twisted Alexander polynomial and L^2 -Alexander torsion are all monic. Conversely, the degree and leading term of those Alexander-type invariants are not enough to characterize fiberedness. Friedl and Vidussi showed that the collection of all twisted Alexander polynomial of ϕ do determine the fiberedness

of ϕ . Their work indicates that torsion invariants carry enough information for the detection of fiberedness.

Given any real character $\phi : G \rightarrow \mathbb{R}$ and a nonzero element $a = \sum_{g \in G} n_g \cdot g \in \mathbb{Z}G$, the ϕ -leading term of a is defined to be the sum of nonzero terms $n_g \cdot g$ where $\phi(g)$ attains minimum. This construction can be generalized to the leading term map

$$L_\phi : \mathcal{D}_G \rightarrow \mathcal{D}_G.$$

Furthermore, this naturally induces a map $L_\phi : \text{Wh}(\mathcal{D}_G) \rightarrow \text{Wh}(\mathcal{D}_G)$ on the Whitehead group. Our first main result shows that the universal L^2 -torsion detects fiberedness of most 3-manifolds:

Theorem 1.2. *Suppose M is an admissible 3-manifold which is not a closed graph manifold. Let G be the fundamental group of M and $\phi \in H^1(M; \mathbb{R})$ be any nonzero character. Then ϕ is fibered if and only if $L_\phi \tau_u^{(2)}(M) = 1 \in \text{Wh}(\mathcal{D}_G)$.*

A 3-manifold is called admissible if it is compact, connected, orientable and irreducible, its boundary is empty or a collection of tori, and the fundamental group is infinite. A sutured manifold (M, R_+, R_-, γ) is a compact oriented 3-manifold with a partition of its boundary into two oriented subsurfaces R_+ and R_- along their common boundary γ . A sutured manifold can be decomposed along a nicely embedded surface S and the resulting manifold is again a sutured manifold. We write $(M, R_+, R_-, \gamma) \xrightarrow{S} (M', R'_+, R'_-, \gamma')$ for a sutured decomposition. Any taut sutured manifold admits a taut sutured hierarchy. A beautiful result by Herrmann shows that a sutured manifold (M, R_+, R_-, γ) being taut is almost equivalent to the pair (M, R_+) being L^2 -acyclic. Hence the universal L^2 -torsion of a taut sutured manifold (M, R_+, R_-, γ) is defined to be $\tau_u^{(2)}(M, R_+)$ which lives in $\text{Wh}(\mathcal{D}_{\pi_1(M)})$. The second main result of this paper describes the change of the universal L^2 -torsion during taut sutured decompositions.

Theorem 1.3. *Let $(N, R_+, R_-, \gamma) \xrightarrow{\Sigma} (N', R'_+, R'_-, \gamma')$ be a taut sutured decomposition and let $\phi \in H^1(N; \mathbb{Z})$ be the Poincaré dual of the surface Σ , then*

$$j_* \tau_u^{(2)}(N', R'_+) = L_\phi \tau_u^{(2)}(N, R_+)$$

where $j_* : \text{Wh}(\mathcal{D}_{\pi_1(N')}) \rightarrow \text{Wh}(\mathcal{D}_{\pi_1(N)})$ is induced by the inclusion map $j : N' \hookrightarrow N$.

Finally, we can show that the universal L^2 -torsion detects product sutured manifolds.

Theorem 1.4. *Let (N, R_+, R_-, γ) be a taut sutured manifold with R_+ and R_- both non-empty. Then (N, γ) is a product sutured manifold if and only if $\tau_u^{(2)}(N, R_+) = 1 \in \text{Wh}(\mathcal{D}_{\pi_1(N)})$.*

2. ALGEBRAIC PRELIMINARIES

2.1. Hilbert modules and the affiliated algebra. Let G be a group. Consider the following Hilbert space

$$l^2(G) = \left\{ \sum_{g \in G} c_g \cdot g \mid c_g \in \mathbb{C}, \sum_{g \in G} |c_g|^2 < \infty \right\}$$

with inner product

$$\left\langle \sum_{g \in G} c_g \cdot g, \sum_{g \in G} d_g \cdot g \right\rangle = \sum_{g \in G} c_g \overline{d_g}.$$

This Hilbert space has a natural left and right isometric G -action by multiplications. The group von Neumann algebra $\mathcal{N}G$ is defined to be the algebra of all bounded linear operators of $l^2(G)$ that commutes with the left G -action. A (finitely generated) Hilbert $\mathcal{N}G$ -module is defined to be a closed G -invariant subspace of $l^2(G)^n$. Each Hilbert $\mathcal{N}G$ -module V can be assigned the von-Neumann dimension $\dim_{\mathcal{N}G} V$ which takes value in $[0, +\infty)$.

Let \mathcal{UG} be the set of all densely-defined, closed operators (possibly unbounded) on $l^2(G)$ that commutes with the left G -action. The composition and addition of two operators in \mathcal{UG} is well-defined [Lü02, Section 8.1], hence \mathcal{UG} forms a \mathbb{C} -algebra and is called the affiliated algebra of G . In particular, we have the following inclusion relations

$$\mathbb{Z}G \subset \mathcal{NG} \subset \mathcal{UG}$$

where the integral group ring $\mathbb{Z}G$ embeds into \mathcal{NG} by the right regular representation.

2.2. Atiyah conjecture and Linnell's skew-field.

Definition 2.1 (Atiyah Conjecture). A group is said to satisfy the Atiyah Conjecture if for any matrix $A^{m \times n}$ over $\mathbb{Z}G$ the von Neumann dimension of $\ker(r_A)$ is an integer, where $r_A : l^2(G)^m \rightarrow l^2(G)^n$ is given by right multiplication with A .

The Atiyah Conjecture has been verified for a large class of groups. We mark the following class of groups given by Linnell, which is large enough to include all 3-manifold groups.

Theorem 2.2 ([Lin93]). *Let \mathcal{C} be the smallest class of groups which contains all free groups and is closed under directed unions and extensions by elementary amenable groups. Then any torsion-free group in \mathcal{C} satisfies the Atiyah Conjecture.*

Theorem 2.3 ([KL24, Theorem 1.4]). *The fundamental group of any connected 3-manifold lies in \mathcal{C} .*

Definition 2.4 (Division closure). Let R be a subring of a ring S . The division closure of R in S is the smallest subring $\mathcal{D}(R \subset S)$ of S containing R such that if an element of R is invertible in S , then it is also invertible in $\mathcal{D}(R \subset S)$. Let G be a group, define \mathcal{D}_G to be the division closure of $\mathbb{Z}G$ in \mathcal{UG} .

Theorem 2.5 (Linnell). *A torsion-free group G satisfies the Atiyah Conjecture if and only if \mathcal{D}_G is a skew-field.*

Proposition 2.6 ([Kie20, Proposition 4.6]). *Let G be a torsion-free group satisfying the Atiyah Conjecture. Then the following statements hold.*

- (1) *The involution on $\mathbb{Z}G$ naturally extends to an involution on \mathcal{D}_G .*
- (2) *Every automorphism of the group G extends to an automorphism of \mathcal{D}_G .*
- (3) *If K is a subgroup of G , then K satisfies the Atiyah Conjecture. Moreover, the natural embedding $\mathbb{Z}K \hookrightarrow \mathbb{Z}G$ extends to an embedding $\mathcal{D}_K \hookrightarrow \mathcal{D}_G$.*

2.3. Ore localization.

Definition 2.7 (Ore localization). Let R be a ring with unit and let $S \subset R$ be a multiplicatively closed subset. The pair (R, S) satisfies the (right) Ore condition if the following two conditions hold:

- (1) for any $(r, s) \in R \times S$ there exists $(r', s') \in R \times S$ such that $rs' = sr'$, and
- (2) for any $r \in R$ and $s \in S$ with $sr = 0$, there is $t \in S$ with $rt = 0$.

If (R, S) satisfies the Ore condition, define an equivalence relation on $R \times S$

$$(r, s) \sim (rx, sx) \quad \text{whenever} \quad x \in R, \quad sx \in S.$$

The quotient set $R \times S / \sim$ is denoted by RS^{-1} . Define a ring structure on RS^{-1} as follows. Given two representatives $(r, s), (r', s') \in RS^{-1}$, we can find $c \in R, d \in S$ with $sc = s'd \in S$ and define

$$(r, s) + (r', s') = (rc + r'd, sc).$$

We can find $e \in R, f \in S$ with $se = r'f$ and define

$$(r, s) \cdot (r', s') = (re, s'f).$$

The ring RS^{-1} is called the Ore localization of R at S .

Intuitively, a pair $(r, s) \in RS^{-1}$ is understood as a formal fraction rs^{-1} . The Ore condition (1) can be remembered as whenever there is a left (=wrong way) fraction $s^{-1}r$ then there is a right fraction $r'(s')^{-1}$ such that $rs' = sr'$. Condition (2) is automatically satisfied if S contains no zero divisors. In this paper, we only need to deal with Ore localizations of the following simple form:

Lemma 2.8 ([Coh95, Corollary 1.3.3]). *Let R be an integral domain such that $aR \cap bR \neq \{0\}$ for all $a, b \in R^\times$. Then (R, R^\times) satisfies the Ore condition. Moreover, the Ore localization of R at R^\times is a field K and the natural homomorphism $\lambda : R \rightarrow K$ is an embedding.*

An integral domain R satisfying the condition in Lemma 2.8 is called an Ore domain. The field K is called the field of fraction of R .

2.4. Crossed products. Assume that G is a torsion-free group which satisfies the Atiyah conjecture. Given any short exact sequence of groups

$$1 \rightarrow K \rightarrow G \xrightarrow{\nu} H \rightarrow 1.$$

Then K also satisfies the Atiyah conjecture by Proposition 2.6. Denote by \mathcal{D}_K and \mathcal{D}_G the Linnell's skew fields of K and G , respectively.

Choose a section $s : H \rightarrow G$ for the epimorphism ν such that $\nu \circ s$ is the identity. We do not require that s be a group homomorphism. Consider the following subset of \mathcal{D}_G :

$$\mathcal{D}_K *_s H := \left\{ \sum_{h \in H} x_h \cdot s(h) \in \mathcal{D}_G \mid x_h \in \mathcal{D}_K, x_h = 0 \text{ for all but finitely many } h \in H \right\}.$$

This set contains the zero element 0, the identity element $1 = s(1_H)^{-1} \cdot s(1_H)$ and is closed under addition. Moreover, it is also closed under multiplication, since

$$\begin{aligned} \left(\sum_{h \in H} x_h \cdot s(h) \right) \cdot \left(\sum_{h \in H} y_h \cdot s(h) \right) &= \sum_{h_1, h_2 \in H} x_{h_1} \cdot s(h_1) \cdot y_{h_2} \cdot s(h_2) \\ &= \sum_{h_1, h_2 \in H} \underbrace{x_{h_1} s(h_1) y_{h_2} s(h_1)^{-1}}_{\in \mathcal{D}_K} \cdot \underbrace{s(h_1) s(h_2) s(h_1 h_2)^{-1}}_{\in K} \cdot s(h_1 h_2). \end{aligned}$$

Recall that the group automorphism of conjugation by $s(h_1)$ in K extends to an automorphism of \mathcal{D}_K by Proposition 2.6, so $s(h_1) y_{h_2} s(h_1)^{-1} \in \mathcal{D}_K$. It follows that $\mathcal{D}_K *_s H$ is a subring of the field \mathcal{D}_G .

Proposition 2.9. *With notations as above, we have the following properties.*

- (1) *If an element $\sum_{h \in H} x_h \cdot s(h)$ of $\mathcal{D}_K *_s H$ is zero then $x_h = 0$ for all $h \in H$.*
- (2) *Given another section $s' : H \rightarrow G$, then*

$$\sum_{h \in H} x_h \cdot s(h) = \sum_{h \in H} y_h \cdot s'(h)$$

if and only if $y_h = x_h s(h) s'(h)^{-1}$ for all $h \in H$.

Proof. The first statement is a consequence of [Lüc02, Lemma 10.57]. The second statement follows from the previous one. \square

As a corollary, the subring $\mathcal{D}_K *_s H \subset \mathcal{D}_G$ does not depend on the choice of the section. We call this subring the crossed product of \mathcal{D}_K and H , denoted by $\mathcal{D}_K * H$. It is clear that $\mathcal{D}_K * H$ is an integral domain since it embeds in the field \mathcal{D}_G . When H is nice, the relation between \mathcal{D}_G and its subring $\mathcal{D}_K * H$ is surprisingly simple.

Proposition 2.10. *Let $1 \rightarrow K \rightarrow G \rightarrow H \rightarrow 1$ be an extension of groups.*

- (1) Suppose H is a finite group, then $\mathcal{D}_G = \mathcal{D}_K * H$.
- (2) Suppose H is a virtually finitely generated abelian group, then the integral domain $\mathcal{D}_K * H$ is an Ore domain whose field of fraction agrees with \mathcal{D}_G .

Proof. These two statements are Lemma 10.59 and Lemma 10.69 of [Lüc02], respectively. \square

2.5. The K_1 -group and Dieudonné determinant. Let R be an associative ring with identity. For any positive integer n let $GL(n, R)$ be the group of invertible $(n \times n)$ -matrices over R . Identifying each $M \in GL(n, R)$ with the matrix

$$\begin{pmatrix} M & 0 \\ 0 & 1 \end{pmatrix} \in GL(n+1, R)$$

we obtain inclusions

$$GL(1, R) \subset GL(2, R) \subset \cdots$$

The union $GL(R) = \bigcup_{n \geq 1} GL(n, R)$ is called the infinite general linear group. Define

$$K_1(R) := GL(R) / [GL(R), GL(R)].$$

It is a classical result of Whitehead that the commutator subgroup $[GL(R), GL(R)]$ is exactly the subgroup generated by all elementary matrices in $GL(R)$.

Example 2.11. If $R = F$ is a commutative field, then the determinant gives an isomorphism

$$\det : K_1(F) \rightarrow F^\times, \quad [A] \mapsto \det A.$$

from $K_1(F)$ to the multiplicative group F^\times .

When $R = \mathcal{D}$ is an skew-field, the Dieudonné determinant given by [Die43] is the unique map

$$\det : GL(\mathcal{D}) \rightarrow \mathcal{D}^\times / [D^\times, D^\times]$$

satisfying following properties (see [Ros95, Theorem 2.2.5]):

- (a) The determinant is invariant under (left) elementary row operations. In other words, if $A \in GL(\mathcal{D})$ and A' is obtained from A by adding a (left-)multiple of a row to another row, then $\det A = \det A'$.
- (b) If $A \in GL(\mathcal{D})$, and A' is obtained from A by (left-)multiplying one of the rows by $a \in \mathcal{D}$, then $\det A = \bar{a} \cdot \det A'$ where \bar{a} is the image of a in $\mathcal{D}^\times / [D^\times, D^\times]$.
- (c) The determinant of the identity matrix is 1.

The determinant also has the following additional properties.

- (d) If $A, B \in GL(n, \mathcal{D})$, then $\det(AB) = \det A \cdot \det B$.
- (e) If $A \in GL(\mathcal{D})$ and A' is obtained from A by interchanging two of its rows, then $\det A' = (-1) \det A$.
- (f) The determinant is invariant under taking transpose.

Since the target group of \det is abelian, the Dieudonné determinant factors through the abelianization of $GL(\mathcal{D})$ and induces $\overline{\det} : K_1(\mathcal{D}) \rightarrow \mathcal{D}^\times / [D^\times, D^\times]$.

Lemma 2.12. For any skew field \mathcal{D} , the Dieudonné determinant induces an group isomorphism

$$\overline{\det} : K_1(\mathcal{D}) \rightarrow \mathcal{D}^\times / [D^\times, D^\times].$$

The inverse map is given by viewing an element $a \in \mathcal{D}^\times / [D^\times, D^\times]$ as an (1×1) -matrix $[a] \in K_1(\mathcal{D})$.

Suppose G is a finitely generated torsion-free group satisfying the Atiyah Conjecture. We apply the above constructions to Linnell's field \mathcal{D}_G . Note that the (1×1) -matrices $[\pm 1]$ and $[\pm G] := \{[\pm g] \mid g \in G\}$ form two subgroups of $K_1(\mathcal{D}_G)$, respectively. Define the reduced K_1 -group and the Whitehead group of \mathcal{D}_G as

$$\widetilde{K}_1(\mathcal{D}_G) := K_1(\mathcal{D}_G)/[\pm 1], \quad \text{Wh}(\mathcal{D}_G) := K_1(\mathcal{D}_G)/[\pm G].$$

By Lemma 2.12 we identify the group $K_1(\mathcal{D}_G)$ and the abelianization of \mathcal{D}_G^\times throughout this paper. The operators δ_ϕ and L_ϕ in Definition 3.2 can be defined for the above quotient groups.

Lemma 2.13. *Given any $\phi \in H^1(G; \mathbb{R})$. The homomorphism $\delta_\phi : \mathcal{D}_G^\times \rightarrow \mathbb{R}$ induces a well-defined homomorphism $\delta_\phi : \Lambda \rightarrow \mathbb{R}$ for $\Lambda = K_1(\mathcal{D}_G)$ and $\widetilde{K}_1(\mathcal{D}_G)$. The homomorphism $L_\phi : \mathcal{D}_G^\times \rightarrow \mathcal{D}_G^\times$ induces a well-defined homomorphism $L_\phi : \Lambda \rightarrow \Lambda$ for $\Lambda = K_1(\mathcal{D}_G)$, $\widetilde{K}_1(\mathcal{D}_G)$ and $\text{Wh}(\mathcal{D}_G)$.*

$$\begin{array}{ccccc} & & GL(\mathcal{D}_G) & & \\ & \swarrow \det & \downarrow \det_r & \searrow \det_w & \\ \mathcal{D}_G^\times/[\mathcal{D}_G^\times, \mathcal{D}_G^\times] & \equiv & K_1(\mathcal{D}_G) & \longrightarrow & \widetilde{K}_1(\mathcal{D}_G) & \longrightarrow & \text{Wh}(\mathcal{D}_G) \\ & & \downarrow \delta_\phi, L_\phi & & \downarrow \delta_\phi, L_\phi & & \downarrow L_\phi \\ & & \text{curved arrow} & & \text{curved arrow} & & \text{curved arrow} \end{array}$$

Convention 2.14. For the rest of the paper, whenever the group G and the skew field \mathcal{D}_G is clear in the context, given any square matrix A over \mathcal{D}_G denote by $\det A \in K_1(\mathcal{D}_G)$ the Dieudonné determinant of A ; denote by $\det_r A$ and $\det_w A$ the image of $\det A$ in $\widetilde{K}_1(\mathcal{D}_G)$ and $\text{Wh}(\mathcal{D}_G)$, respectively.

We use the same symbols δ_ϕ, L_ϕ for their induced maps on $K_1(\mathcal{D}_G)$, $\widetilde{K}_1(\mathcal{D}_G)$ and $\text{Wh}(\mathcal{D}_G)$ and its domain of definition will be clear from the context.

In order to keep track with our convention of notation in \mathcal{D}_G , we will use multiplicative symbol for the group operations in the K_1 -groups $K_1(\mathcal{D}_G)$, $\widetilde{K}_1(\mathcal{D}_G)$ and $\text{Wh}(\mathcal{D}_G)$. This coincides with [Ros95, Tur01] but differs from other references [FL17, FL19].

3. LEADING TERM MAP, RESTRICTION MAP AND DETERMINANT

As usual, let G be a finitely generated torsion-free group which satisfies the Atiyah Conjecture.

3.1. The leading term map. Let $\nu : G \rightarrow H_1(G)_f$ be the natural quotient map to the free abelianization group $H_1(G)_f$, then we have the short exact sequence

$$1 \rightarrow K \rightarrow G \xrightarrow{\nu} H_1(G)_f \rightarrow 1.$$

Fix $\phi \in H^1(G; \mathbb{R})$ be any real cohomology class. Given any nonzero element $u \in (\mathcal{D}_K * H_1(G)_f)^\times$, we choose a section s and write

$$u = \sum_{h \in H_1(G)_f} x_h \cdot s(h) \in \mathcal{D}_K * H_1(G)_f.$$

The support of u is defined to be the set $\text{supp}(u) := \{h \in H_1(G)_f \mid x_h \neq 0\}$, this is a finite subset of $H_1(G)_f$ and does not depend on the choice of section by Proposition 2.9. Define $\delta_\phi(u)$ to be the minimal value of $\phi(h)$ for all $h \in \text{supp}(u)$. Define

$$L_\phi(u) := \sum_{\substack{h \in \text{supp}(u), \\ \phi(h) = \delta_\phi(u)}} x_h \cdot s(h).$$

This element is nonzero and lies in $(\mathcal{D}_K * H_1(G)_f)^\times$.

Lemma 3.1. *The definition of $\delta_\phi(u)$ and $L_\phi(u)$ do not depend on the choice of section s . Moreover, we have*

$$\begin{aligned}\delta_\phi(u_1 u_2) &= \delta_\phi(u_1) + \delta_\phi(u_2), \\ L_\phi(u_1 u_2) &= L_\phi(u_1) \cdot L_\phi(u_2)\end{aligned}$$

for all $u_1, u_2 \in (\mathcal{D}_K * H_1(G)_f)^\times$. Hence

$$\begin{aligned}\delta_\phi : (\mathcal{D}_K * H_1(G)_f)^\times &\rightarrow \mathbb{R}, \\ L_\phi : (\mathcal{D}_K * H_1(G)_f)^\times &\rightarrow (\mathcal{D}_K * H_1(G)_f)^\times\end{aligned}$$

are well-defined group homomorphisms.

Proof. Let $u = \sum_h x_h \cdot s(h)$ and s' be another section, then by Proposition 2.9 $u = \sum_h y_h \cdot s'(h)$ where $y_h = x_h s(h) s'(h)^{-1}$. It follows that $\delta_\phi(u)$ and $L_\phi(u)$ do not depend on the choice of section. The terms of $u_1 u_2$ with minimal ϕ -value exactly comes from the multiplication of that of u_1 and u_2 . This explains the homomorphism. \square

Recall that \mathcal{D}_G is the field of fraction of the subring $\mathcal{D}_K * H_1(G)_f$ by Proposition 2.10.

Definition 3.2. The group homomorphism δ_ϕ and L_ϕ extend to group homomorphisms

$$\begin{aligned}\delta_\phi : \mathcal{D}_G^\times &\rightarrow \mathbb{R}, \quad \delta_\phi(uv^{-1}) := \delta_\phi(u) - \delta_\phi(v), \\ L_\phi : \mathcal{D}_G^\times &\rightarrow \mathcal{D}_G^\times, \quad L_\phi(uv^{-1}) := L_\phi(u)L_\phi(v)^{-1}\end{aligned}$$

for all $u, v \in (\mathcal{D}_K * H_1(G)_f)^\times$. It is convenient to set $\delta_\phi(0) = +\infty$ and $L_\phi(0) = 0$. Then we have

$$\delta_\phi(z_1 z_2) = \delta_\phi(z_1) + \delta_\phi(z_2), \quad L_\phi(z_1 z_2) = L_\phi(z_1) \cdot L_\phi(z_2)$$

for all $z_1, z_2 \in \mathcal{D}_G$.

Proof. We prove the well-definedness. Suppose $z \in \mathcal{D}_G^\times$ can be expressed as $z = u_1 v_1^{-1} = u_2 v_2^{-1}$, then there exists $w_1, w_2 \in (\mathcal{D}_K * H_1(G)_f)^\times$ such that $u_1 w_1 = u_2 w_2$, $v_1 w_1 = v_2 w_2$. Hence

$$\begin{aligned}L_\phi(u_1)L_\phi(v_1)^{-1} &= L_\phi(u_1)L_\phi(w_1)L_\phi(w_1)^{-1}L_\phi(v_1)^{-1} \\ &= L_\phi(u_1 w_1)L_\phi(v_1 w_1)^{-1} \\ &= L_\phi(u_2 w_2)L_\phi(v_2 w_2)^{-1} \\ &= L_\phi(u_2)L_\phi(v_2)^{-1}.\end{aligned}$$

To verify that L_ϕ is a homomorphism, let $z_1, z_2 \in \mathcal{D}_G^\times$. By the Ore condition, we can arrange that $z_1 = u_1 w^{-1}$ and $z_2 = w u_2^{-1}$ for $u_1, u_2, w \in (\mathcal{D}_K * H_1(G)_f)^\times$, so

$$L_\phi(z_1)L_\phi(z_2) = L_\phi(u_1)L_\phi(w)^{-1} \cdot L_\phi(w)L_\phi(u_2)^{-1} = L_\phi(u_1)L_\phi(u_2)^{-1} = L_\phi(z_1 z_2).$$

The statements for δ_ϕ can be proved similarly. \square

Here are some basic facts about the mappings δ_ϕ, L_ϕ , especially about their properties under additions in \mathcal{D}_G . Most of the properties clearly holds true in the subring $\mathcal{D}_K * H_1(G)_f$ and it is routine to verify them in its field of fraction \mathcal{D}_G .

Proposition 3.3. *Let G be a finitely generated torsion-free group which satisfies the Atiyah Conjecture. Let $\phi \in H^1(G; \mathbb{R})$ be any real cohomology class and $\delta_\phi : \mathcal{D}_G \rightarrow \mathbb{R}$, $L_\phi : \mathcal{D}_G \rightarrow \mathcal{D}_G$ be as in Definition 3.2. Suppose $z, z_1, \dots, z_n \in \mathcal{D}_G$, then:*

- (1) $\delta_{r\phi}(z) = r \cdot \delta_\phi(z)$, $L_{r\phi}(z) = L_\phi(z)$ for all $r \in \mathbb{R}_+$.
- (2) $\delta_\phi(cz) = \delta_\phi(z)$, $L_\phi(cz) = c \cdot L_\phi(z)$ for all $c \in \mathbb{Q} \setminus \{0\}$.
- (3) $\delta_\phi(L_\phi(z)) = \delta_\phi(z)$, $L_\phi(L_\phi(z)) = L_\phi(z)$.
- (4) If $L_\phi(z_1) = L_\phi(z_2)$ nonzero, then $\delta_\phi(z_1) = \delta_\phi(z_2) < \delta_\phi(z_1 - z_2)$.

(5) $\delta_\phi(z_1 + z_2) \geq \min\{\delta_\phi(z_1), \delta_\phi(z_2)\}$. If $\delta_\phi(z_1) < \delta_\phi(z_2)$, then

$$\delta_\phi(z_1 + z_2) = \delta_\phi(z_1), \quad L_\phi(z_1 + z_2) = L_\phi(z_1).$$

(6) If $\delta_\phi(z_1) = \cdots = \delta_\phi(z_n) =: \delta$ and $\sum_{k=1}^n L_\phi(z_k) \neq 0$, then

$$\delta_\phi\left(\sum_{k=1}^n z_k\right) = \delta, \quad L_\phi\left(\sum_{k=1}^n z_k\right) = \sum_{k=1}^n L_\phi(z_k).$$

(7) Given $z \in \mathcal{D}_G$. For any open neighborhood $U \subset H^1(G; \mathbb{R})$ of ϕ , there is a rational cohomology class $\psi \in U$ such that $L_\psi(z) = L_\phi(z)$.

(8) Suppose $L \subset G$ is an inclusion of a finitely generated subgroup L , and $\mathcal{D}_L \subset \mathcal{D}_G$ is the induced inclusion. Denote by $\phi|_L : L \rightarrow \mathbb{R}$ the restriction of ϕ to L . Then the mappings

$$\delta_{\phi|_L} : \mathcal{D}_L \rightarrow \mathbb{R}, \quad L_{\phi|_L} : \mathcal{D}_L \rightarrow \mathcal{D}_L$$

are exactly the restrictions of δ_ϕ and L_ϕ to \mathcal{D}_L .

Proof. For (1)–(3), the statements hold in $\mathcal{D}_K * H_1(G)_f$ and directly extends to \mathcal{D}_G by definition.

For (4), assume that $z_1 = u_1 w^{-1}$, $z_2 = u_2 w^{-1}$ for $u_1, u_2, w \in \mathcal{D}_K * H_1(G)_f$, then $L_\phi(z_1) = L_\phi(z_2)$ implies that $L_\phi(u_1) = L_\phi(u_2)$. It follows that $\delta_\phi(u_1) = \delta_\phi(u_2) < \delta_\phi(u_1 - u_2)$. Therefore $\delta_\phi(z_1) = \delta_\phi(z_2) < \delta_\phi(z_1 - z_2)$.

For (5), assume that $z_1 = u_1 w^{-1}$, $z_2 = u_2 w^{-1}$ for $u_1, u_2, w \in \mathcal{D}_K * H_1(G)_f$. Since it is clear that $\delta_\phi(u_1 + u_2) \geq \min\{\delta_\phi(u_1), \delta_\phi(u_2)\}$, then $\delta_\phi(z_1 + z_2) = \delta_\phi(u_1 + u_2) - \delta_\phi(w) \geq \min\{\delta_\phi(u_1), \delta_\phi(u_2)\} - \delta_\phi(w) = \min\{\delta_\phi(z_1), \delta_\phi(z_2)\}$. If $\delta_\phi(z_1) < \delta_\phi(z_2)$, then $\delta_\phi(u_1) < \delta_\phi(u_2)$ and $L_\phi(u_1 + u_2) = L_\phi(u_1)$. It follows that $\delta_\phi(z_1 + z_2) = \delta_\phi(z_1)$ and $L_\phi(z_1 + z_2) = L_\phi(z_1)$.

For (6), assume that $z_i = u_i w^{-1}$ for $u_i, w \in \mathcal{D}_K * H_1(G)_f$, $i = 1, \dots, n$. By assumption we have $\delta_\phi(u_i) = \delta + \delta_\phi(w)$ and $\sum_{i=1}^k L_\phi(u_i) \neq 0$. It follows that $\delta_\phi(\sum_{i=1}^k u_i) = \delta + \delta_\phi(w)$ and $L_\phi(\sum_{i=1}^k u_i) = \sum_{i=1}^k L_\phi(u_i)$. Hence $\delta_\phi(\sum_{i=1}^k z_i) = \delta$ and $L_\phi(\sum_{i=1}^k z_i) = \sum_{i=1}^k L_\phi(z_i)$.

For (7), assume $z = uv^{-1}$ with $u, v \in \mathcal{D}_K * H_1(G)_f$. Write $u = \sum_{h \in H_1(G)_f} x_h \cdot s(h)$ for a section s . Given another cohomology class $\psi \in H^1(G; \mathbb{R})$, then $L_\phi(z) = L_\psi(z)$ if the following two conditions hold:

- for all $h, h' \in \text{supp}(u) \cup \text{supp}(v)$, $\psi(h - h') < 0$ whenever $\phi(h - h') < 0$;
- for all $h, h' \in \text{supp}(u) \cup \text{supp}(v)$, $\psi(h - h') = 0$ whenever $\phi(h - h') = 0$.

The domain Ω of such ψ is the intersection of finitely many closed hyperplanes and open half spaces of $H^1(G; \mathbb{R})$, each given by an integral linear equation. Since $\phi \in \Omega$, given any open neighborhood $U \ni \phi$ there are rational classes in $U \cap \Omega$.

For (8), consider the short exact sequence $1 \rightarrow K' \rightarrow L \rightarrow H_1(L)_f \rightarrow 1$. There is a commutative diagram

$$\begin{array}{ccc} \mathcal{D}_{K'} * H_1(L)_f & \hookrightarrow & \mathcal{D}_K * H_1(G)_f \\ \downarrow & & \downarrow \\ \mathcal{D}_L & \hookrightarrow & \mathcal{D}_G \end{array}$$

and \mathcal{D}_L is the field of fraction of $\mathcal{D}_{K'} * H_1(L)_f$. Choose any nonzero $u \in \mathcal{D}_{K'} * H_1(L)_f$ and write $u = \sum_{h \in H_1(L)_f} x_h \cdot s(h)$, $x_h \in \mathcal{D}_{K'}$ for a section $s : H_1(L)_f \rightarrow L$. By definition $\delta_{\phi|_L}(u) = \min\{\phi(h) \mid h \in H_1(L)_f, x_h \neq 0\}$. Write $\delta := \delta_{\phi|_L}(u)$. Decompose

$$u = \sum_{\substack{h \in H_1(L)_f, \\ \phi|_L(h) = \delta}} x_h \cdot s(h) + \sum_{\substack{h \in H_1(L)_f, \\ \phi|_L(h) > \delta}} x_h \cdot s(h) =: u_1 + u_2.$$

Then by definition $L_{\phi|_L}(u) = u_1$ is nonzero. We want to show

$$\delta_\phi(u) = \delta, \quad L_\phi(u) = u_1.$$

This does not directly follow from the definition of δ_ϕ and L_ϕ since $s : H_1(L)_f \rightarrow L$ is not a section of $G \rightarrow H_1(G)_f$. We choose to argue as follows. By (6) applied to u_1 we know that

$$\delta_\phi(u_1) = \delta, \quad L_\phi(u_1) = u_1.$$

By (5) applied to u_2 we know that

$$\delta_\phi(u_2) \geq \min\{\delta_\phi(x_h \cdot s(h)) \mid h \in H_1(L)_f, \phi|_L(h) > \delta\} > \delta,$$

Again by (5) applied to $u = u_1 + u_2$ we know that $\delta_\phi(u) = \delta_\phi(u_1) = \delta$ and $L_\phi(u) = L_\phi(u_1) = u_1$. Hence $\delta_\phi(u) = \delta_{\phi|_L}(u)$ and $L_\phi(u) = L_{\phi|_L}(u)$ for all nonzero $u \in \mathcal{D}_{K'} * H_1(L)_f$. Passing to the field of fraction we have $\delta_\phi(z) = \delta_{\phi|_L}(z)$ and $L_\phi(z) = L_{\phi|_L}(z)$ for all $z \in \mathcal{D}_L$. \square

Definition 3.4. An element $z \in \mathcal{D}_G$ is called ϕ -pure if $L_\phi(z) = z$.

Lemma 3.5. Here are some properties of the ϕ -pure elements.

- (1) Elements of $\mathbb{Z}[\ker \phi] \subset \mathcal{D}_G$ are ϕ -pure; elements of $G \subset \mathcal{D}_G$ are ϕ -pure.
- (2) The product of two ϕ -pure elements is ϕ -pure.
- (3) Given any nonzero $z \in \mathcal{D}_G$, then $L_\phi(z)$ is the unique element $w \in \mathcal{D}_G$ such that w is ϕ -pure and $\delta_\phi(z - w) > \delta_\phi(z)$.
- (4) Suppose z_1, \dots, z_n are ϕ -pure elements with $\delta_\phi(z_1) = \dots = \delta_\phi(z_n) = \delta$, then the sum $\sum_{i=1}^n z_i$ is also ϕ -pure.

Proof. The properties (1) and (2) follow from the definition of L_ϕ .

For (3), it follows from Proposition 3.3 (3), (4) that $L_\phi(z)$ is ϕ -pure and $\delta_\phi(z - L_\phi(z)) > \delta_\phi(z)$. On the other hand, if a ϕ -pure element w satisfies $\delta_\phi(z - w) > \delta_\phi(z)$, then by Proposition 3.3 (4)

$$w = L_\phi(w) = L_\phi(z - (z - w)) = L_\phi(z).$$

Finally, (4) is a consequence of Proposition 3.3 (6). \square

Theorem 3.6. Let $\phi \in H^1(H; \mathbb{R})$ be any real cohomology class. Suppose P and Q are two square matrices over \mathcal{D}_G of size $n \geq 1$, such that the following conditions hold:

- (i) P is invertible over \mathcal{D}_G ;
- (ii) there exist real numbers d_0, d_1, \dots, d_n such that if P_{ij} is nonzero, then P_{ij} is ϕ -pure with $\delta_\phi(P_{ij}) = d_0 + d_i - d_j$;
- (iii) $\delta_\phi(Q_{ij}) > d_0 + d_i - d_j$ for all i, j .

Then we have $L_\phi(\det(P + Q)) = \det P \in K_1(\mathcal{D}_G)$.

Proof. We prove by induction on n . When $n = 1$ then $P, Q \in \mathcal{D}_G$, by the conditions we have $\delta_\phi(P) = d_0$, and $\delta_\phi(Q) > d_0$ if Q is nonzero. Then $L_\phi(\det(P + Q)) = \det P$ by definition.

Now assume Lemma 3.6 holds for size n . Assume that P, Q are $(n + 1)$ by $(n + 1)$ matrices

$$P = \begin{pmatrix} A & U \\ X & p \end{pmatrix}, \quad Q = \begin{pmatrix} B & V \\ Y & q \end{pmatrix}$$

where $p, q \in \mathcal{D}_G$, A, B are n by n matrices over \mathcal{D}_G and

$$\begin{aligned} X &= (x_1, \dots, x_n), & Y &= (y_1, \dots, y_n), \\ U &= (u_1, \dots, u_n)^T, & V &= (v_1, \dots, v_n)^T \end{aligned}$$

are matrices over \mathcal{D}_G of appropriate size. Without loss of generality we can assume $p \neq 0$, hence also $p + q \neq 0$ by condition (iii). Note that

$$\begin{pmatrix} I & -(U + V)(p + q)^{-1} \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} A + B & U + V \\ X + Y & p + q \end{pmatrix} = \begin{pmatrix} W & 0 \\ X + Y & p + q \end{pmatrix}$$

where

$$W = A + B - (U + V)(p + q)^{-1}(X + Y),$$

is an n by n matrix. So

$$\begin{aligned}\det(P + Q) &= \det W \cdot \det(p + q), \\ L_\phi \det(P + Q) &= L_\phi \det W \cdot \det p\end{aligned}$$

(note that $L_\phi(p + q) = p$). Staring at the expression of W , it is easy to guess that the terms of lowest δ_ϕ form the matrix $A - Up^{-1}X$. We show that this is indeed the case.

Claim. *Define*

$$W' := A - Up^{-1}X, \quad W'' := W - W'.$$

Then W' is invertible over \mathcal{D}_G and $\det P = \det W' \cdot \det p$. Moreover, W' and W'' satisfies the three conditions of Lemma 3.6 for size n and then by the induction hypothesis we have $L_\phi \det(W) = \det(W')$.

Admitting this claim. Then we have

$$L_\phi \det(P + Q) = L_\phi \det W \cdot \det p = \det W' \cdot \det p = \det P$$

and the induction is finished. It remains to prove the Claim.

Proof of Claim. To prove (i), it follows from

$$\begin{pmatrix} I & -Up^{-1} \\ 0 & 1 \end{pmatrix} \cdot P = \begin{pmatrix} W' & 0 \\ X & p \end{pmatrix}$$

that W' is invertible over \mathcal{D}_G and $\det P = \det W' \cdot \det p$.

For (ii), note that

$$\begin{aligned}W_{ij} &= A_{ij} + B_{ij} - (u_i + v_i)(p + q)^{-1}(x_j + y_j), \\ W'_{ij} &= A_{ij} - u_i p^{-1}x_j, \\ W''_{ij} &= B_{ij} + u_i p^{-1}x_j - (u_i + v_i)(p + q)^{-1}(x_j + y_j).\end{aligned}$$

If $A_{ij} \neq 0$, then A_{ij} is ϕ -pure with $\delta_\phi(A_{ij}) = d_0 + d_i - d_j$; if $u_i p^{-1}x_j \neq 0$, then $u_i p^{-1}x_j$ is also ϕ -pure with

$$\delta_\phi(u_i p^{-1}x_j) = (d_0 + d_i - d_{n+1}) - d_0 + (d_0 + d_{n+1} - d_j) = d_0 + d_i - d_j.$$

In conclusion, if $W'_{ij} \neq 0$ then W'_{ij} is ϕ -pure with $\delta_\phi(W'_{ij}) = d_0 + d_i - d_j$ and this proves (ii).

For (iii), by assumption we have $\delta_\phi(B_{ij}) > d_0 + d_i - d_j$. We also have $\delta_\phi(u_i p^{-1}x_j - (u_i + v_i)(p + q)^{-1}(x_j + y_j)) > d_0 + d_i - d_j$, since if $u_i p^{-1}x_j \neq 0$, then

$$L_\phi((u_i + v_i)(p + q)^{-1}(x_j + y_j)) = u_i p^{-1}x_j, \quad \delta_\phi(u_i p^{-1}x_j) = d_0 + d_i - d_j$$

and we can apply Proposition 3.3 (4); if $u_i p^{-1}x_j = 0$ then $u_i = 0$ or $x_j = 0$ and

$$\begin{aligned}\delta_\phi((u_i + v_i)(p + q)^{-1}(x_j + y_j)) &= \delta_\phi(u_i + v_i) - \delta_\phi(p + q) + \delta_\phi(x_j + y_j) \\ &> \delta_\phi(u_i) - \delta_\phi(p + q) + \delta_\phi(x_j) \\ &= d_0 + d_i - d_j.\end{aligned}$$

In either cases, we have $\delta_\phi(u_i p^{-1}x_j - (u_i + v_i)(p + q)^{-1}(x_j + y_j)) > d_0 + d_i - d_j$. These combines to show that $\delta_\phi(W'') > d_0 + d_i - d_j$ by Proposition 3.3 (5). \square

3.2. The restriction map. Suppose G is a finitely generated torsion-free group satisfying the Atiyah Conjecture and let $L \triangleleft G$ be a normal subgroup of finite index d . In this section we define the restriction map $\text{res}_L^G : K_1(\mathcal{D}_G) \rightarrow K_1(\mathcal{D}_L)$. Recall that \mathcal{D}_G is naturally isomorphic to the crossed product $\mathcal{D}_L * (G/L)$ by Proposition 2.10.

Definition 3.7. Fix a section $s : G/L \rightarrow G$ and suppose its image is $s(G/L) = \{g_1, \dots, g_d\}$. Then $G = g_1 L \sqcup \dots \sqcup g_d L$. For any element $z \in \mathcal{D}_G$ and for any $k \in \{1, \dots, d\}$, there is a unique way to express $g_k \cdot z$ as

$$g_k \cdot z = \sum_{j=1}^d l_{kj} \cdot g_j, \quad l_{kj} \in \mathcal{D}_L.$$

Define $\Lambda_s(z)$ to be the $(d \times d)$ -matrix over \mathcal{D}_L whose (k, j) -entry is l_{kj} . In other words, $\Lambda_s(z)$ is the unique matrix over \mathcal{D}_L such that

$$\begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix} \cdot z = \Lambda_s(z) \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix}.$$

Lemma 3.8. *With the notations as in Definition 3.7, the following statements hold.*

- (1) *For any $z_1, z_2 \in \mathcal{D}_G$, we have $\Lambda_s(z_1 z_2) = \Lambda_s(z_2) \cdot \Lambda_s(z_1)$.*
- (2) *If $z \neq 0$, then $\Lambda_s(z)$ is invertible over \mathcal{D}_L .*
- (3) *If s' is another section, then $\Lambda_{s'}(z) = \Omega \Lambda_s(z) \Omega^{-1}$ for an invertible matrix Ω over $\mathbb{Z}L$ which only depends on s and s' .*
- (4) *For any $\phi \in H^1(G; \mathbb{R})$ we have $\delta_\phi(g_k \cdot z) \leq \delta_\phi(l_{kj} \cdot g_j)$. If z is ϕ -pure, then l_{kj} is also ϕ -pure; moreover if $l_{kj} \neq 0$ then $\delta_\phi(g_k \cdot z) = \delta_\phi(l_{kj} \cdot g_j)$.*

Proof. For (1), it follows from

$$\begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix} \cdot z_1 z_2 = \Lambda_s(z_1) \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix} \cdot z_2 = \Lambda_s(z_1) \cdot \Lambda_s(z_2) \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix}$$

that $\Lambda_s(z_1 z_2) = \Lambda_s(z_2) \cdot \Lambda_s(z_1)$. Note that (2) is a direct consequence of (1).

For (3), let s' be another section with $s'(L) = \{g'_1, \dots, g'_d\}$ and let Ω be the $(d \times d)$ -matrix over $\mathbb{Z}L$ such that

$$\begin{pmatrix} g'_1 \\ \vdots \\ g'_d \end{pmatrix} = \Omega \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix}.$$

Then

$$\begin{pmatrix} g'_1 \\ \vdots \\ g'_d \end{pmatrix} \cdot z = \Omega \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix} \cdot z = \Omega \Lambda_s(z) \cdot \begin{pmatrix} g_1 \\ \vdots \\ g_d \end{pmatrix} = \Omega \Lambda_s(z) \Omega^{-1} \begin{pmatrix} g'_1 \\ \vdots \\ g'_d \end{pmatrix}$$

and therefore $\Lambda_{s'}(z) = \Omega \Lambda_s(z) \Omega^{-1}$.

For (4). First suppose the contrary that there exists $k, j \in \{1, \dots, d\}$ such that $\delta_\phi(g_k \cdot z) > \delta_\phi(l_{kj} \cdot g_j)$. Fix k and let \mathcal{J} be the collection of indices j such that $\delta_\phi(g_k \cdot z) > \delta_\phi(l_{kj} \cdot g_j)$. Since

$$\delta_\phi(g_k \cdot z) = \delta_\phi\left(\sum_{j=1}^d l_{kj} \cdot g_j\right),$$

it follows from Proposition 3.3 (5) that $\sum_{j \in \mathcal{J}} l_{kj} \cdot g_j = 0$. Recall that g_1, \dots, g_d are \mathcal{D}_L -independent by Proposition 2.9. This implies that $l_{kj} = 0$ for all $j \in \mathcal{J}$ and forces \mathcal{J} to be empty, a contradiction. Hence $\delta_\phi(g_k \cdot z) \leq \delta_\phi(l_{kj} \cdot g_j)$ for all k, j . It can be seen from Lemma 3.5 (3) that

$$L_\phi(g_k \cdot z) = L_\phi\left(\sum_{j=1}^d l_{kj} \cdot g_j\right) = \sum_{j=1}^d l'_{kj} \cdot g_j$$

where

$$l'_{kj} = \begin{cases} L_\phi(l_{kj}) & \text{if } \delta_\phi(l_{kj} \cdot g_j) = \delta_\phi(g_k \cdot z) \\ 0 & \text{if } \delta_\phi(l_{kj} \cdot g_j) > \delta_\phi(g_k \cdot z). \end{cases}$$

If in addition z is ϕ -pure, then $g_k \cdot z$ is also ϕ -pure by Lemma 3.5 and therefore $l_{kj} = l'_{kj}$ for all k, j . It follows that l_{kj} is ϕ -pure for all k, j and moreover if $l_{kj} \neq 0$ then $\delta_\phi(g_k \cdot z) = \delta_\phi(l_{kj} \cdot g_j)$. \square

Definition 3.9. Let $L \triangleleft G$ be a normal subgroup of finite index d . Choose a section $s : G/L \rightarrow G$ with $s(G/L) = \{g_1, \dots, g_d\}$. Then $G = g_1 L \sqcup \dots \sqcup g_d L$. Given any element $z \in \mathcal{D}_G^\times$ and $[z] \in K_1(\mathcal{D}_G)$ is the corresponding (1×1) -matrix. Define

$$\text{res}_L^G : K_1(\mathcal{D}_G) \rightarrow K_1(\mathcal{D}_L), \quad [z] \mapsto \det(\Lambda_s(z)).$$

This mapping is a group homomorphism independent of the choice of g_1, \dots, g_d .

Remark 3.10. An element $z \in \mathcal{D}_G^\times$ can be associated with $R_z : \mathcal{D}_G \rightarrow \mathcal{D}_G$, the operator of right multiplication by z . The choice of coset representatives identifies \mathcal{D}_G with $\bigoplus_{k=1}^d \mathcal{D}_L \cdot g_k$ as \mathcal{D}_L -vector spaces and R_z is naturally a \mathcal{D}_L -linear automorphism represented by the matrix $\Lambda_s(z)$. By definition $\text{res}_L^G([z]) = \det R_z$. A different choice of coset representatives amounts to a change of basis which preserves the determinant. This shows that $\text{res}_L^G([z])$ does not depend on the choice of g_1, \dots, g_d .

Theorem 3.11. Suppose G is a finitely generated torsion-free group satisfying the Atiyah Conjecture and let $L \triangleleft G$ be a normal subgroup of finite index. Let $\phi \in H^1(G; \mathbb{R})$ and denote by $\phi|_L \in H^1(L; \mathbb{R})$ the restriction of ϕ to L . Then for any $[z] \in K_1(\mathcal{D}_G)$, we have

$$L_{\phi|_L}(\text{res}_L^G([z])) = \text{res}_L^G(L_\phi([z])) \in K_1(\mathcal{D}_L).$$

Proof. Choose $z \in \mathcal{D}_G^\times$ representing the class $[z]$. Let $z =: L_\phi(z) + z'$. Fix a choice of coset representatives $G = g_1 L \sqcup \dots \sqcup g_d L$. Let P and Q be $(d \times d)$ -matrices over \mathcal{D}_L such that

$$g_k \cdot L_\phi(z) = \sum_{j=1}^d P_{kj} \cdot g_j, \quad g_k \cdot z' = \sum_{j=1}^d Q_{kj} \cdot g_j.$$

Then $\text{res}_L^G([z]) = \det(P + Q)$ and $\text{res}_L^G(L_\phi([z])) = \det P$. Since $L_\phi(z)$ is ϕ -pure, it follows from Lemma 3.7 that P_{kj} is ϕ -pure, and moreover

$$\begin{aligned} \delta_\phi(P_{kj}) &= \delta_\phi(z) + \delta_\phi(g_k) - \delta_\phi(g_j) \quad \text{if } P_{kj} \neq 0, \\ \delta_\phi(Q_{kj}) &\geq \delta_\phi(z') + \delta_\phi(g_k) - \delta_\phi(g_j) > \delta_\phi(z) + \delta_\phi(g_k) - \delta_\phi(g_j) \end{aligned}$$

for all k, j . By Proposition 3.3 (8) $\delta_\phi = \delta_{\phi|_L}$ and $L_\phi = L_{\phi|_L}$ in \mathcal{D}_L . Applying Theorem 3.6 to P and Q over \mathcal{D}_L we have

$$\det P = L_{\phi|_L}(\det(P + Q))$$

hence $L_{\phi|_L}(\text{res}_L^G([z])) = \text{res}_L^G(L_\phi([z]))$ and the proof is finished. \square

4. UNIVERSAL L^2 -TORSION

Let G be a finitely generated torsion-free group satisfying the Atiyah conjecture.

4.1. Universal L^2 -torsion of chain complexes. A chain complex C_* is called a finite based free $\mathbb{Z}G$ -chain complex if there exists $n \geq 0$ such that

$$C_* = (0 \rightarrow C_n \rightarrow \cdots \rightarrow C_1 \rightarrow C_0 \rightarrow 0),$$

where each C_k is a finitely generated free (left) $\mathbb{Z}G$ -module equipped with a preferred (unordered) free $\mathbb{Z}G$ -basis, and the boundary operators are $\mathbb{Z}G$ -linear maps.

Definition 4.1. An $(n \times n)$ -matrix A over $\mathbb{Z}G$ is called a weak isomorphism if the operator $r_A : l^2(G)^n \rightarrow l^2(G)$ given by right multiplication with A is injective and has dense image. A finite based free $\mathbb{Z}G$ -chain complex C_* is said to be L^2 -acyclic if the chain complex $l^2(G) \otimes_{\mathbb{Z}G} C_*$ is weakly exact as a chain complex of Hilbert modules, i.e. the boundary operators $\partial_k^{(2)} : l^2(G) \otimes_{\mathbb{Z}G} C_k \rightarrow l^2(G) \otimes_{\mathbb{Z}G} C_{k-1}$ satisfies $\ker \partial_k^{(2)} = \text{clos}(\text{im } \partial_{k+1}^{(2)})$ for all k where $\text{clos}(X)$ means taking the closure of X .

We will not work with those analytic-flavored definitions but prefer the more algebraic-flavored ones given by the following Lemma.

Lemma 4.2 ([FL17, Lemma 2.21]). *A finite based free $\mathbb{Z}G$ -chain complex C_* is L^2 -acyclic if and only if the chain complex $\mathcal{D}_G \otimes_{\mathbb{Z}G} C_*$ is exact as a chain complex of (left) \mathcal{D}_G -modules. A square matrix over $\mathbb{Z}G$ is a weak isomorphism if and only if it is invertible over \mathcal{D}_G .*

It is classical to define the torsion of an acyclic chain complex of free modules, see for example [Mil66, Coh73, Tur01]. The situation here is particularly nice because \mathcal{D}_G is a skew-field and any module over a skew field is free. We adopt the definitions in [Tur01, Section 3].

Definition 4.3. Let \mathcal{D} be a skew-field and let V be a finitely generated (left) \mathcal{D} -module. Suppose $\dim V = k$ and pick two (unordered) bases $b = \{b_1, \dots, b_k\}$ and $c = \{c_1, \dots, c_k\}$. Then

$$b_i = \sum_{j=1}^k a_{ij} c_j, \quad k = 1, \dots, k,$$

where the transition matrix $(a_{ij})_{i,j=1,\dots,k}$ is a non-degenerate $(k \times k)$ -matrix. Define $[b/c] = \det_r(a_{ij}) \in \widetilde{K}_1(\mathcal{D})$.

Definition 4.4 (Universal L^2 -torsion of chain complexes). Assume that C_* is a finite based $\mathbb{Z}G$ -chain complex of length n which is L^2 -acyclic, then the chain complex $\mathcal{D}_G \otimes_{\mathbb{Z}G} C_*$ is an exact \mathcal{D}_G -chain complex, with c_i the preferred basis of $\mathcal{D}_G \otimes_{\mathbb{Z}G} C_i$. Let ∂ be the boundary homomorphism and pick bases b_i of the free \mathcal{D}_G -module $B_i := \text{im } \partial_i$. Combine them to bases $b_i b_{i-1}$ of C_i . Then the universal L^2 -torsion of C_* is defined to be

$$\tau_u^{(2)}(C_*) := \prod_{i=0}^n [b_i b_{i-1} / c_i]^{(-1)^{i+1}} \in \widetilde{K}_1(\mathcal{D}_G).$$

This definition does not depend on the choice of basis b_i .

An exact sequence $0 \rightarrow M_0 \xrightarrow{i} M_1 \xrightarrow{p} M_2 \rightarrow 0$ of finitely generated based free $\mathbb{Z}G$ -modules is called based exact, if $i(b_0) \subset b_1$ and p maps $b_1 \setminus i(b_0)$ bijectively to b_2 , where b_i is the preferred basis of M_i , $i = 0, 1, 2$. An exact sequence $0 \rightarrow C_* \rightarrow D_* \rightarrow E_* \rightarrow 0$ of finite based free $\mathbb{Z}G$ -chain complex is called based exact if

$$0 \rightarrow C_k \rightarrow D_k \rightarrow E_k \rightarrow 0$$

is based exact for all k . The following basic property can be found in [Tur01, Theorem 3.4].

Proposition 4.5. *The universal L^2 -torsion for chain complexes have the following properties.*

- (1) For any L^2 -acyclic finite based free $\mathbb{Z}G$ -chain complex

$$C_* = (0 \rightarrow C_1 \xrightarrow{A} C_0 \rightarrow 0)$$

where C_0 and C_1 are isomorphic to $\mathbb{Z}G^r$ under the preferred basis and A is a square matrix A over $\mathbb{Z}G$. Then $\tau_u^{(2)}(C_*) = (\det_r A)^{-1} \in \widetilde{K}_1(\mathcal{D}_G)$.

- (2) If C_*, C'_*, C''_* are finite based free $\mathbb{Z}G$ -chain complexes and there is a based short exact sequence

$$0 \rightarrow C'_* \rightarrow C_* \rightarrow C''_* \rightarrow 0.$$

If C'_* and C''_* are L^2 -acyclic then C_* is L^2 -acyclic and

$$\tau_u^{(2)}(C_*) = \tau_u^{(2)}(C'_*) \cdot \tau_u^{(2)}(C''_*) \in \widetilde{K}_1(\mathcal{D}_G).$$

Recall that $\mathbb{Z}G$ is a ring with an involution $x \mapsto \bar{x}$ which sends $\sum n_g \cdot g$ to $\sum n_g \cdot g^{-1}$. Given any left $\mathbb{Z}G$ -module A , define the dual module A^* to be $\text{Hom}_{\mathbb{Z}G}(A, \mathbb{Z}G)$, considered as a left $\mathbb{Z}G$ -module as follows. For each $x \in \mathbb{Z}G$ and $f : A \rightarrow \mathbb{Z}G$ define $xf : A \rightarrow \mathbb{Z}G$ by the formula $(xf)(y) = f(y) \cdot \bar{x}$, $\forall y \in A$. If A is a free $\mathbb{Z}G$ -module with basis a_i , then A^* is a free $\mathbb{Z}G$ -module with basis a_i^* . Suppose $f : A \rightarrow B$ is a $\mathbb{Z}G$ -linear map between two based free $\mathbb{Z}G$ -modules represented by a matrix P under the given bases, then the dual map $f^* : B^* \rightarrow A^*$ is represented by the matrix P^* , the involution transpose of P . The following Proposition 4.6 is a classic property of torsion invariants and can be proved as in [Mil62].

Proposition 4.6. *If $C_* = (0 \rightarrow C_n \rightarrow \cdots \rightarrow C_0 \rightarrow 0)$ is a finite based free $\mathbb{Z}G$ -chain complex which is L^2 -acyclic. Then the dual chain complex C^* is L^2 -acyclic and*

$$\overline{\tau_u^{(2)}(C^*)} = \tau_u^{(2)}(C_*)^{(-1)^{n+1}} \in \widetilde{K}_1(\mathcal{D}_G).$$

Remark 4.7. The universal L^2 -torsion of chain complexes was first defined in [FL17]. They defined the weak K_1 -group $K_1^w(\mathbb{Z}G)$ and the reduced weak K_1 group $\widetilde{K}_1^w(\mathbb{Z}G)$ for general groups G where the universal L^2 -torsion lives in. The universal property of the universal L^2 -torsion is also established in that paper. When G satisfies the Atiyah Conjecture then there is a natural homomorphism $i_G : K_1^w(\mathbb{Z}G) \rightarrow K_1(\mathcal{D}_G)$ and therefore $\tilde{i}_G : \widetilde{K}_1^w(\mathbb{Z}G) \rightarrow \widetilde{K}_1(\mathcal{D}_G)$. By universal property, the universal L^2 -torsion defined in our paper is the image of theirs under \tilde{i} .

Recall that Linnell's class \mathcal{C} is the smallest class of groups which contains all free groups and is closed under directed unions and extensions by elementary amenable groups (see Theorem 2.2). It is proved [LL17, Theorem 0.1] that the i_G becomes an isomorphism if G is a torsion-free group in \mathcal{C} . So for any torsion-free group G in Linnell's class \mathcal{C} our definition of the universal L^2 -torsion coincides with the original one in [FL17]. In particular, this includes all torsion-free 3-manifold groups by Theorem 2.3.

4.2. Universal L^2 -torsion of CW-complexes. Let X be a connected finite CW-complex with fundamental group G and let $Y \subset X$ be a subcomplex. Let $p : \widehat{X} \rightarrow X$ be the universal covering of X , and let $\widehat{Y} := p^{-1}(Y)$ be the preimage. Then \widehat{X} admits the induced CW-structure and \widehat{Y} is a subcomplex of \widehat{X} . The natural left G -action on \widehat{X} gives rise to the left $\mathbb{Z}G$ -module structure on the cellular chain complex $C_*(\widehat{X}, \widehat{Y})$. By choosing a lift $\hat{\sigma}$ for each cell σ in $X \setminus Y$, we find a free $\mathbb{Z}G$ -basis for each $\mathbb{Z}G$ -module $C_k(\widehat{X}, \widehat{Y})$. Therefore $C_*(\widehat{X}, \widehat{Y})$ becomes a finite based free $\mathbb{Z}G$ -chain complex. The following definition does not depend on the choice of the lifting of cells.

Definition 4.8. Let X be a finite connected CW-complex with fundamental group G and let Y be a subcomplex of X . The pair (X, Y) is called L^2 -acyclic if the finite based free chain complex $C_*(\widehat{X}, \widehat{Y})$ is L^2 -acyclic (c.f. Lemma 4.2). The universal L^2 -torsion

$$\tau_u^{(2)}(X, Y) \in \text{Wh}(\mathcal{D}_G) \sqcup \{0\}$$

is defined as follows: if (X, Y) is L^2 -acyclic, define $\tau_u^{(2)}(X, Y)$ to be the image of $\tau_u^{(2)}(C_*(\widehat{X}, \widehat{Y}))$ under the projection $\widetilde{K}_1(\mathcal{D}_G) \rightarrow \text{Wh}(\mathcal{D}_G)$; if (X, Y) is not L^2 -acyclic, define $\tau_u^{(2)}(X, Y) := 0$.

When X is not necessarily connected, we say (X, Y) is L^2 -acyclic if for every component $X_i \in \pi_0(X)$ the pair $(X_i, X_i \cap Y)$ is L^2 -acyclic. Furthermore, if the fundamental groups $\pi_1(X_i)$ satisfy the Atiyah conjecture, then we define

$$\begin{aligned} \text{Wh}(\mathcal{D}_{\Pi(X)}) &:= \bigoplus_{X_i \in \pi_0(X)} \text{Wh}(\mathcal{D}_{\pi_1(X_i)}), \\ \tau_u^{(2)}(X, Y) &:= (\tau_u^{(2)}(X_i, X_i \cap Y))_{X_i \in \pi_0(X)} \in \text{Wh}(\mathcal{D}_{\Pi(X)}). \end{aligned}$$

If $(X_i, X_i \cap Y)$ is not L^2 -acyclic for some i , then define $\tau_u^{(2)}(X, Y) := 0$.

Assume that (X, Y) and (X', Y') are finite CW-pairs. We say a CW-mapping $f : (X', Y') \rightarrow (X, Y)$ is π_1 -injective, if the restriction of f to each component of X' induces an injection on fundamental groups. In this case, there is a natural homomorphism

$$\iota_* : \text{Wh}(\mathcal{D}_{\Pi(X')}) \sqcup \{0\} \rightarrow \text{Wh}(\mathcal{D}_{\Pi(X)}) \sqcup \{0\}.$$

Define

$$\iota_* \tau_u^{(2)}(X', Y') \in \text{Wh}(\mathcal{D}_{\Pi(X)}) \sqcup \{0\}$$

to be the image of $\tau_u^{(2)}(X, Y)$ under the homomorphism ι_* .

Theorem 4.9. *We record the fundamental properties of the universal L^2 -torsion.*

- (1) (Simple-homotopy invariance) *Suppose (X, X_0) and (Y, Y_0) are CW-pairs. Let $f : (X, X_0) \rightarrow (Y, Y_0)$ be a mapping such that $f : X \rightarrow Y$ and $f|_{X_0} : X_0 \rightarrow Y_0$ are simple-homotopy equivalences. Then $\tau_u^{(2)}(Y, Y_0) = f_* \tau_u^{(2)}(X, X_0)$. In particular, (X, X_0) is L^2 -acyclic if and only if (Y, Y_0) is L^2 -acyclic.*
- (2) (Sum formula) *Let $(U, V) = (X, C) \cup (Y, D)$ where (X, C) , (Y, D) and $(X \cap Y, C \cap D)$ are L^2 -acyclic sub-pairs that embeds π_1 -injectively into (U, V) , then*

$$\tau_u^{(2)}(U, V) = (\iota_1)_* \tau_u^{(2)}(X, C) \cdot (\iota_2)_* \tau_u^{(2)}(Y, D) \cdot (\iota_3)_* \tau_u^{(2)}(X \cap Y, C \cap D)^{-1}$$

where ι_i , $i = 1, 2, 3$ are the embeddings of the corresponding space pairs into (M, N) .

- (3) (Induction) *Let $f : (X_0, Y_0) \subset (X, Y)$ be a π_1 -injective inclusion. Let \widehat{X} be the universal cover of X and let $\widehat{X}_0, \widehat{Y}_0$ be the preimage of X_0, Y_0 in \widehat{X} . Then the finite based free $\mathbb{Z}[\pi_1(X)]$ -chain complex $C_*(\widehat{X}_0, \widehat{Y}_0)$ is L^2 -acyclic if and only if (X_0, Y_0) is L^2 -acyclic. Moreover, we have*

$$\tau_u^{(2)}(C_*(\widehat{X}_0, \widehat{Y}_0)) = f_* \tau_u^{(2)}(X_0, Y_0).$$

- (4) (Restriction) *Let X be a connected finite CW-complex and let \overline{X} be a connected finite degree covering of X . Suppose that $\pi_1(X) = G$ and $\pi_1(\overline{X}) = H$ and recall the restriction map $\text{res}_H^G : \text{Wh}(\mathcal{D}_G) \rightarrow \text{Wh}(\mathcal{D}_H)$ defined in Section 3.2. Let $Y \subset X$ be a subcomplex and let \overline{Y} be its preimage in \overline{X} . Then*

$$\tau_u^{(2)}(\overline{X}, \overline{Y}) = \text{res}_H^G \tau_u^{(2)}(X, Y).$$

Proof. The first one will be proved in Remark 4.14 after we introduced the notion of universal L^2 -torsion of mappings. Properties (2)–(4) are natural generalization of [FL17, Theorem 3.5] to CW-pairs and the proof carry over without essential changes to the relative cases. \square

4.3. Universal L^2 -torsion of mappings.

Definition 4.10. Let X, Y be finite CW-complexes. Given a cellular map $f : Y \rightarrow X$, form the mapping cylinder

$$M_f := ((Y \times I) \sqcup X) / \sim, \quad \text{where } (y, 0) \sim f(y) \text{ for all } y \in Y.$$

View $Y = Y \times \{1\}$ as a subcomplex of M_f . If the fundamental group of X satisfies the Atiyah Conjecture, then the *universal L^2 -torsion of the mapping f* is defined to be

$$\tau_u^{(2)}(f) := \iota_* \tau_u^{(2)}(M_f, Y) \in \text{Wh}(\mathcal{D}_{\Pi(X)}) \sqcup \{0\}$$

where $\iota : M_f \rightarrow X$ is the natural deformation retraction. The mapping f is called an *L^2 -weak homotopy equivalence* if (M_f, Y) is L^2 -acyclic, or equivalently, if $\tau_u^{(2)}(f) \neq 0$.

Proposition 4.11. *Suppose the spaces X, Y, Z are finite CW-complexes whose fundamental groups satisfy the Atiyah Conjecture.*

- (1) *If (X, Y) is a CW-pair with $f : Y \rightarrow X$ the inclusion map. Then $\tau_u^{(2)}(X, Y) = \tau_u^{(2)}(f)$.*
- (2) *If $f, g : X \rightarrow Z$ are homotopic cellular maps. Then $\tau_u^{(2)}(f) = \tau_u^{(2)}(g)$.*
- (3) *If $f : X \rightarrow Z$ is a simple-homotopy equivalence, then $\tau_u^{(2)}(f) = 1$.*
- (4) *If $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ are L^2 -weak homotopy equivalences. Suppose that g is π_1 -injective, then $g \circ f$ is an L^2 -weak homotopy equivalence with $\tau_u^{(2)}(g \circ f) = g_* \tau_u^{(2)}(f) \cdot \tau_u^{(2)}(g)$.*

Proof. Following [Coh73], we write $K \curvearrowright L$ if two finite CW-complexes K and L are related by a finite sequence of elementary collapses or expansions; if there is a common subcomplex K_0 that no cells are removed during the process, then we write $K \curvearrowright L \text{ rel } K_0$. In this case, it is clear that $\tau_u^{(2)}(K, K_0) = \iota_* \tau_u^{(2)}(L, K_0)$ where $\iota : L \rightarrow K$ is the natural homotopy equivalence.

For (1), there are elementary expansions $M_f \curvearrowright X \times I$ and elementary collapses $X \times I \curvearrowright X \times \{1\}$ relative to $Y = Y \times \{1\}$. Let $\iota : M_f \rightarrow X$ be the deformation retract, then $\tau_u^{(2)}(f) = \iota_* \tau_u^{(2)}(M_f, Y) = \tau_u^{(2)}(X, Y)$. (2) follows from the fact that $M_f \curvearrowright M_g \text{ rel } X$ [Coh73, (5.5)]. For (3), if $f : X \rightarrow Z$ is a simple-homotopy equivalence then $M_f \curvearrowright X \text{ rel } X$ [Coh73, (5.8)].

For the proof of (4) we need the following “ L^2 -excision” property.

Lemma 4.12 (Excision). *If K, L and M are subcomplexes of the complex $K \cup L$ with $M = K \cap L$. Suppose the inclusion $i : K \hookrightarrow K \cup L$ is π_1 -injective. Then $\tau_u^{(2)}(K \cup L, L) = i_* \tau_u^{(2)}(K, M)$.*

Proof. As in the proof of [Coh73, (20.3)], we may assume that L and $K \cup L$ are connected. Let $\widehat{K \cup L}$ be the universal covering of $K \cup L$ and let \widehat{L} , \widehat{K} and \widehat{M} be the preimage under the covering. Then there is an isomorphism of chain complexes $C_*(\widehat{K \cup L}, \widehat{L}) = C_*(\widehat{K}, \widehat{M})$ and hence $\tau_u^{(2)}(K \cup L, L) = \tau_u^{(2)}(C_*(\widehat{K}, \widehat{M})) = j_* \tau_u^{(2)}(K, M)$ where the second identity follows from the induction property (see Theorem 4.9). \square

The proof of Proposition 4.11(4) proceeds as follows. Let M be the union of M_f and M_g along the identity map on Y . Then $M \curvearrowright M_{g \circ f} \text{ rel } X \cup Z$ by [Coh73, (5.6)]. There is a commutative diagram

$$\begin{array}{ccccc} M_f & \xrightarrow{\iota_f} & Y & \xrightarrow{i} & M_g \\ i_1 \downarrow & & \nearrow i_2 & & \downarrow \iota_g \\ M & \xrightarrow{\iota} & & & Z \end{array}$$

where i_1, i_2 are inclusions, ι, ι_1, ι_2 are natural deformation retracts. Then we have

$$\begin{aligned}
 \tau_u^{(2)}(g \circ f) &= \iota_* \tau_u^{(2)}(M, X) \\
 &= \iota_*(\tau_u^{(2)}(M, M_f) \cdot (i_1)_* \tau_u^{(2)}(M_f, X)), \quad \text{by sum formula} \\
 &= \iota_*((i_2)_* \tau_u^{(2)}(M_g, Y) \cdot (i_1)_* \tau_u^{(2)}(M_f, X)), \quad \text{by excision} \\
 &= (\iota_g)_* \tau_u^{(2)}(M_g, Y) \cdot g_*(\iota_f)_* \tau_u^{(2)}(M_f, X), \quad \text{note that } \iota_g \circ i = g \\
 &= \tau_u^{(2)}(g) \cdot g_* \tau_u^{(2)}(f).
 \end{aligned}$$

The proof is finished. \square

The identity $\tau_u^{(2)}(g \circ f) = g_* \tau_u^{(2)}(f) \cdot \tau_u^{(2)}(g)$ is called the multiplicativity of the universal L^2 -torsion. The conditions that f, g are L^2 -weak homotopy equivalences and g is π_1 -injective is in general necessary. But when one of the mappings is a simple homotopy equivalence then the conditions can be relaxed as follows.

Lemma 4.13. *Suppose X, Y, Z, W are finite CW-complexes whose fundamental groups satisfy the Atiyah Conjecture. Consider the chain of mappings $X \xrightarrow{h} Y \xrightarrow{f} Z \xrightarrow{g} W$. Suppose $f : Y \rightarrow Z$ is a simple homotopy equivalence. Then*

- (1) *A mapping $h : X \rightarrow Y$ is an L^2 -weak homotopy equivalence if and only if $f \circ h$ is an L^2 -weak homotopy equivalence. Moreover $\tau_u^{(2)}(f \circ h) = f_* \tau_u^{(2)}(h)$.*
- (2) *A mapping $g : Z \rightarrow W$ is an L^2 -weak homotopy equivalence if and only if $g \circ f$ is an L^2 -weak homotopy equivalence. Moreover $\tau_u^{(2)}(g \circ f) = \tau_u^{(2)}(g)$.*

Proof. The key observation is that a simple homotopy equivalence f admits an inverse f^{-1} which is also a simple homotopy equivalence. For (1), the forward direction follows from Proposition 4.11(4). For the inverse direction note that $h \simeq f^{-1} \circ (f \circ h)$. The identity $\tau_u^{(2)}(f \circ h) = f_* \tau_u^{(2)}(h)$ follows again from Proposition 4.11(4).

For (2), suppose g is an L^2 -weak homotopy equivalence. Let M be the union of M_f and M_g along the identity map of Y . Then $M \curvearrowright M_{g \circ f} \text{ rel } X \cup Z$. The Excision Lemma 4.12 applied to $M_f \subset M$ and $i : M_g \subset M$ shows that (M, M_f) is L^2 -acyclic and $\tau_u^{(2)}(M, M_f) = i_* \tau_u^{(2)}(M_g, Y)$. Since f is a simple homotopy equivalence we have $M_f \curvearrowright X \text{ rel } X$. Let $\iota : M \rightarrow Z$ be the deformation retract. Then

$$\tau_u^{(2)}(g \circ f) := \iota_* \tau_u^{(2)}(M, X) = \iota_* \tau_u^{(2)}(M, M_f) = (\iota \circ i)_* \tau_u^{(2)}(M_g, Y) = \tau_u^{(2)}(g).$$

Therefore $g \circ f$ is an L^2 -weak homotopy equivalence and the identity $\tau_u^{(2)}(g \circ f) = \tau_u^{(2)}(g)$ holds. The inverse direction is proved the same way, noting that $g \simeq (g \circ f) \circ f^{-1}$. \square

Remark 4.14. As a corollary of Lemma 4.13, we prove the simple-homotopy invariance stated in Theorem 4.9. Let $f : (X, X_0) \rightarrow (Y, Y_0)$ be a mapping of CW-pairs such that $f : X \rightarrow Y$ and $f|_{X_0} : X_0 \rightarrow Y_0$ are simple-homotopy equivalences. Then we have the following commutative diagram

$$\begin{array}{ccc}
 X_0 & \xrightarrow{f_0} & Y_0 \\
 i_X \downarrow & & \downarrow i_Y \\
 X & \xrightarrow{f} & Y
 \end{array}$$

Then: (X, X_0) is L^2 -acyclic $\Leftrightarrow i_X$ is an L^2 -homotopy equivalence $\Leftrightarrow f \circ i_X$ is an L^2 -homotopy equivalence $\Leftrightarrow i_Y \circ f_0$ is an L^2 -homotopy equivalence $\Leftrightarrow i_Y$ is an L^2 -homotopy equivalence $\Leftrightarrow (Y, Y_0)$ is L^2 -acyclic. Moreover, we have

$$f_* \tau_u^{(2)}(X, X_0) = f_* \tau_u^{(2)}(i_X) = \tau_u^{(2)}(f \circ i_X) = \tau_u^{(2)}(i_Y \circ f_0) = \tau_u^{(2)}(i_Y) = \tau_u^{(2)}(Y, Y_0).$$

4.4. Universal L^2 -torsion of manifolds. We define the universal L^2 -torsion for smooth manifold pairs as follows. Recall that a smooth triangulation of a smooth manifold M is a homeomorphism from a simplicial complex to M whose restriction to each simplex is smooth.

Definition 4.15 (Universal L^2 -torsion of manifold pairs). Let M be a compact, smooth manifold, possibly with boundary, and let N be a compact, smooth submanifold of M . Suppose that there is a smooth triangulation of M such that N is a subcomplex of M . Then we use the triangulation to identify (M, N) with a CW-pair (X, Y) and define $\tau_u^{(2)}(M, N) := \tau_u^{(2)}(X, Y)$.

For the purpose of this paper, assume that either N is a zero-codimensional submanifold of ∂M , or the embedding $N \hookrightarrow M$ is proper (i.e. $N \cap \partial M = \partial N$). In these cases one can find a smooth triangulation of M such that N is a subcomplex of M (see [Mun66, Chapter 10]). Any two such triangulations have a common subdivision and are simple homotopy equivalent as CW-complexes [Whi40]. Therefore $\tau_u^{(2)}(M, N)$ is well-defined by simple homotopy invariance of the universal L^2 -torsion (see Theorem 4.9).

Definition 4.16 (Universal L^2 -torsion of mappings between manifolds). Suppose $f : N \rightarrow M$ is a continuous mapping between compact smooth manifolds (possibly with boundaries) M, N . Choose any smooth triangulations of M, N and choose a simplicial mapping g homotopic to f . We say f is an L^2 -weak homotopy equivalence if g is an L^2 -weak homotopy equivalence. In this case, define the universal L^2 -torsion of f as

$$\tau_u^{(2)}(f) := \tau_u^{(2)}(g) \in \text{Wh}(\mathcal{D}_{\Pi(M)}) \sqcup \{0\}.$$

It follows from and Proposition 4.11 and Lemma 4.13 that $\tau_u^{(2)}(f)$ does not depend on the choice of triangulations on M, N or the simplicial approximation g . When $N \subset M$ is a smooth submanifold with f the inclusion map, then $\tau_u^{(2)}(M, N) = \tau_u^{(2)}(f)$.

4.5. Computation of the universal L^2 -torsion. We state and prove the matrix chain method for computing the universal L^2 -torsion of a chain complex which goes back to [Tur01, Theorem 2.2].

Let $C_* = (0 \rightarrow C_n \rightarrow \cdots \rightarrow C_1 \rightarrow C_0 \rightarrow 0)$ be a finite based free $\mathbb{Z}G$ -chain complex and let $\partial_i : C_i \rightarrow C_{i-1}$ be the boundary operator. Suppose $d_i := \text{rank}_{\mathbb{Z}G} C_i$ is the rank of the free module C_i . Then ∂_i is given by a matrix

$$A_i = (a_{jk}^i)_{\substack{j=1, \dots, d_i \\ k=1, \dots, d_{i-1}}}, \quad a_{jk}^i \in \mathbb{Z}G.$$

Definition 4.17. A matrix chain for C_* is a collection of finite sets $\mathcal{A} = \{\mathcal{I}_0, \dots, \mathcal{I}_n\}$ where $\mathcal{I}_i \subset \{1, \dots, d_i\}$ and $\mathcal{I}_n = \emptyset$. Let B_i be the submatrix of A_i formed by the entries a_{jk}^i with $j \notin \mathcal{I}_i$ and $k \in \mathcal{I}_{i-1}$. Then B_i are called the matrices associated to the matrix chain.

A matrix chain is called non-degenerate if each associated matrix is a square matrix and is invertible over \mathcal{D}_G .

Theorem 4.18. A finite based free $\mathbb{Z}G$ -chain complex C_* is L^2 -acyclic if and only if there exists an non-degenerate matrix chain $\mathcal{A} = \{\mathcal{I}_0, \dots, \mathcal{I}_n\}$ for C_* . If this happens, then

$$\tau_u^{(2)}(C_*) = \prod_{i=1}^n \det_r(B_i)^{(-1)^i} \in \widetilde{K}_1(\mathcal{D}_G)$$

where B_i are the matrices associated to the matrix chain.

The proof is a generalization of the idea of [DFL16, Lemma 3.1] to larger chain complexes.

Proof. Suppose C_* is L^2 -acyclic. Since $H_n^{(2)}(C_*) = 0$, we know that A_n is L^2 -injective. Then there is a submatrix B_n of A_n , such that B_n is a square matrix of size $d_n \times d_n$ and is a weak isomorphism. We set $\mathcal{I}_{n-1} \subset \{1, \dots, d_{n-1}\}$ to be the set of indices of the columns of B_i . Write $C_{n-1} = C'_{n-1} \oplus C''_{n-1}$ where C'_{n-1} corresponds to the set of indices \mathcal{I}_{n-1} and C''_{n-1} corresponds to the remaining indices. Then $B_n : C_n \rightarrow C'_{n-1}$ is a weak isomorphism. Since $H_{n-1}^{(2)}(C_*) = 0$, we know that the restriction of A_{n-1} to C''_{n-1} is injective, whose matrix A'' is exactly the submatrix of A consisting of the rows whose index does not belong to \mathcal{I}_{n-1} . So we obtain the following L^2 -acyclic chain complex

$$0 \longrightarrow C''_{n-1} \xrightarrow{A''_{n-1}} C_{n-2} \xrightarrow{A_{n-2}} \dots \longrightarrow C_1 \xrightarrow{A_1} C_0 \longrightarrow 0.$$

Repeat this procedure and in the end we find matrices B_n, \dots, B_1 which gives the matrix chain for C_* .

For the backward direction, again write $C_{n-1} = C'_{n-1} \oplus C''_{n-1}$ where C'_{n-1} corresponds to the set of indices \mathcal{I}_{n-1} and C''_{n-1} corresponds to the remaining indices. Then we have the following commutative diagram.

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & C_n & \xrightarrow{\cong} & C_n & \longrightarrow & 0 \\ & & \downarrow B_n & & \downarrow A_n & & \downarrow \\ 0 & \longrightarrow & C'_{n-1} & \xrightarrow{i} & C_{n-1} & \xrightarrow{p} & C''_{n-1} \longrightarrow 0 \\ & & \downarrow & & \downarrow A_{n-1} & & \downarrow A''_{n-1} \\ & & 0 & \longrightarrow & C_{n-2} & \xrightarrow{\cong} & C_{n-2} \longrightarrow 0 \\ & & & & \downarrow A_{n-2} & & \downarrow A_{n-2} \\ & & & & \vdots & & \vdots \end{array}$$

If we set $C'_* = (0 \rightarrow C_n \xrightarrow{B_n} C'_{n-1} \rightarrow 0)$ and

$$C''_* = (0 \longrightarrow C''_{n-1} \xrightarrow{A''_{n-1}} C_{n-2} \xrightarrow{A_{n-2}} \dots \longrightarrow C_1 \xrightarrow{A_1} C_0 \longrightarrow 0).$$

We then have the short exact sequence of based $\mathbb{C}G$ -chain complex

$$0 \rightarrow C'_* \rightarrow C_* \rightarrow C''_* \rightarrow 0.$$

By [FL17, Lemma 2.9], if C'_* is L^2 -acyclic then C_* is also L^2 -acyclic and $\tau_u^{(2)}(C_*) = \tau_u^{(2)}(C''_*) \cdot \det(B_n)^{(-1)^n}$. Repeat the above decomposition to C''_* and in the end we know that C_* is L^2 -acyclic and

$$\tau_u^{(2)}(C_*) = \prod_{i=1}^n \det_r(B_i)^{(-1)^i} \in \widetilde{K}_1(\mathcal{D}_G).$$

□

Proposition 4.19. *We calculate the universal L^2 -torsion of some manifold pairs.*

- (1) *Let N be a compact smooth manifolds whose fundamental group satisfies the Atiyah Conjecture. then for any $s \in [0, 1]$ we have*

$$\tau_u^{(2)}(N \times I, N \times \{s\}) = 1 \in \text{Wh}(\mathcal{D}_{\Pi(N)}).$$

- (2) *Let S^1 be the circle with fundamental group $\mathbb{Z} = \langle t \rangle$. Then $\tau_u^{(2)}(S^1) = [(t-1)^{-1}] \in \text{Wh}(\mathcal{D}_{\mathbb{Z}})$.*

(3) Let T^2 be the torus then

$$\tau_u^{(2)}(T^2) = 1 \in \text{Wh}(\mathcal{D}_{\mathbb{Z}^2}).$$

Proof. For (1), let $f : N \times \{s\} \rightarrow N \times I$ be the inclusion, then f is a simple-homotopy equivalence and $\tau_u^{(2)}(N \times I, N \times \{s\}) = \tau_u^{(2)}(f) = 1$ by Proposition 4.11.

For (2), a CW-structure of S^1 is given by a 0-cell p and an 1-cell e . By choosing appropriate liftings \hat{p} and \hat{e} the cellular chain complex of the universal cover is

$$C_*(\widehat{S}^1) = (0 \rightarrow \mathbb{Z}[t^{\pm}] \cdot \langle e \rangle \xrightarrow{(t-1)} \mathbb{Z}[t^{\pm}] \cdot \langle p \rangle \rightarrow 0)$$

and hence $\tau_u^{(2)}(S^1) = [(t-1)^{-1}]$.

For (3), consider the CW-structure for T^2 given by identifying pairs of sides of a square. Let p be the 0-cell, e_1, e_2 be the 1-cells and σ be the 2-cell. Then the boundary of σ is a loop $e_1 e_2 e_1^{-1} e_2^{-1}$. Suppose the loop e_1, e_2 represents $t_1, t_2 \in \pi_1(T^2)$, respectively. Then by choosing appropriate liftings of the cells the chain complex of the universal cover is

$$C_*(\widehat{T}^2) = (0 \rightarrow \mathbb{Z}[t_1^{\pm}, t_2^{\pm}] \cdot \langle \sigma \rangle \xrightarrow{\begin{pmatrix} 1-t_2 & t_1-1 \end{pmatrix}} \mathbb{Z}[t_1^{\pm}, t_2^{\pm}] \cdot \langle e_1, e_2 \rangle \xrightarrow{\begin{pmatrix} t_1-1 \\ t_2-1 \end{pmatrix}} \mathbb{Z}[t_1^{\pm}, t_2^{\pm}] \cdot \langle p \rangle \rightarrow 0).$$

A matrix chain can be given by $B_2 = (1 - t_2)$ and $B_1 = (t_2 - 1)$, hence $\tau_u^{(2)}(T^2) = \det_w(1 - t_2) \cdot \det_w(t_2 - 1)^{-1} = 1$. \square

5. UNIVERSAL L^2 -TORSION FOR TAUT SUTURED MANIFOLDS

In this section, we first briefly recall the terminologies of the sutured manifold theory, then we discuss the universal L^2 -torsion of a taut sutured manifold and prove the decomposition formula Theorem 5.8.

5.1. Taut surfaces. Given a compact orientable surface Σ with path-components $\Sigma_1, \dots, \Sigma_k$ we define its complexity as

$$\chi_-(\Sigma) := \sum_{i=1}^k \max\{0, -\chi(\Sigma_i)\}.$$

Let N be a compact oriented 3-manifold. A properly embedded oriented surface Σ is taut if Σ is incompressible, and has minimal complexity among all properly embedded oriented surfaces representing the homology class $[\Sigma, \partial\Sigma] \in H_2(N, \nu(\partial\Sigma); \mathbb{Z})$.

5.2. Sutured 3-manifolds. A sutured manifold (M, R_-, R_+, γ) consists of an oriented 3-manifold M with a decomposition of its boundary into two subsurfaces R_+ and R_- along their common boundary γ . The orientation on R_{\pm} is defined in the way that the normal vector of R_+ points out of M and the normal vector of R_- points inward of M . The boundary orientations of R_{\pm} coincide and give the orientation of the simple closed curves γ . If the surfaces R_{\pm} are not important in the statement we sometimes abbreviate a sutured manifold (M, R_-, R_+, γ) as (M, γ) .

A sutured manifold (M, R_-, R_+, γ) is called taut if M is irreducible and R_{\pm} are both taut surfaces (after pushing slightly into M).

5.3. Sutured manifold decompositions. First we introduce some notation. Let M be a compact oriented 3-manifold and let S be a (not necessarily connected) properly embedded surface. Denote by $\nu(S) := S \times (-1, 1)$ a product neighborhood of S in M and denote by $M \setminus \nu(S) := M \setminus \nu(S)$ the complement. Let S_+ (resp. S_-) be the components of $S \times \{-1\} \cup S \times \{1\}$ in $M \setminus \nu(S)$ whose normal vector points out of (resp. into) M' . We remark that, if the neighborhood $S \times (-1, 1)$ is chosen in the way that the normal direction of $S = S \times \{0\}$ coincides with the positive direction of $(-1, 1)$, then S'_+ (resp. S'_-) is actually the surface $S \times \{-1\}$ (resp. $S \times \{+1\}$).

Let (M, R_-, R_+, γ) be a sutured manifold. A properly embedded oriented surface S is called a decomposition surface if for every component λ of ∂S one of the following holds:

- (1) λ is transverse to γ .
- (2) λ is a component of γ and the boundary induced orientation on $\lambda = \partial S$ coincides with the orientation on γ .
- (3) **!!** No component of ∂S bounds a disk in R_\pm and no component of S is a disk D with $\partial D \subset R_\pm$.

Given a decomposition surface S of (M, R_-, R_+, γ) , define the sutured manifold decomposition

$$(M, R_-, R_+, \gamma) \xrightarrow{S} (M', R'_-, R'_+, \gamma')$$

where

$$\begin{aligned} M' &= M \setminus (S \times (-1, 1)), \\ R'_+ &= (R_+ \cap M') \cup S_+, \\ R'_- &= (R_- \cap M') \cup S_-, \\ \gamma &= \partial R_+ = \partial R_-. \end{aligned}$$

A sutured manifold decomposition $(M, \gamma) \xrightarrow{S} (M', \gamma')$ as defined above is called a taut sutured decomposition if (M', γ') is taut. In this case, Gabai [Gab87, Lemma 0.4] proved that (M, γ) is automatically a taut sutured manifold.

We make the following remarks:

- (1) The definition of sutured manifold here follows [AD19] and slightly differs from many other sources where the suture are disjoint union of annuli and tori (c.f. [Gab83, Gab87]). The definition of sutured manifold decomposition is modified accordingly.
- (2) Suppose $(M, R_+, R_-, \gamma) \xrightarrow{S} (M', R'_+, R'_-, \gamma')$ is a taut sutured decomposition, then S is incompressible in M . The reason is as follows: R'_+ is the union of the surfaces S_+ and $R_+ \cap M'$ along their common part $S_+ \cap R_+$. This common part consists of some arcs and some boundary circles of S_+ . By assumption, no boundary circles of S_+ bound a disk in R_+ or a disk in S_+ , so the closed curves of the common part are homotopy nontrivial in S_+ and $R_+ \cap M'$. By Van Kampen Theorem the surface S_+ is π_1 -injective in R'_+ , therefore π_1 -injective in M' since R'_+ is incompressible in M' . This proves that S can not admit a compressing disk in M .
- (3) Given a taut sutured decomposition $(M, \gamma) \xrightarrow{S} (M', \gamma')$. Since S is incompressible in M , it follows that for any component of M' the inclusion into M induces monomorphism on fundamental groups.

5.4. Universal L^2 -torsion for taut sutured 3-manifolds.

Theorem 5.1 ([Her23]). *Let (M, R_-, R_+, γ) be a sutured 3-manifold with infinite fundamental group.*

- (1) *Suppose (M, γ) is taut, then the pair (M, R_+) is L^2 -acyclic.*
- (2) *Suppose M is irreducible and R_\pm are both incompressible. If the pair (M, R_+) is L^2 -acyclic, then (M, γ) is taut.*

Proof. Suppose (M, γ) is taut. If a component of R_\pm is a disk or sphere, then M must be the 3-ball, contradicting the infinite fundamental group assumption. Therefore the complexity of R_\pm equals $-\chi(R_\pm)$, and we have $\chi(R_+) = \chi(R_-)$, then we apply [Her23, Theorem 1.1].

Suppose M is irreducible and R_\pm is incompressible. If the pair (M, R_+) is L^2 -acyclic, then the Euler characteristic $\chi(M, R_+) = \chi(M) - \chi(R_+)$ is zero. But $\chi(M) = \frac{1}{2}\chi(\partial M) = \frac{1}{2}(\chi(R_+) + \chi(R_-))$, it follows that $\chi(R_+) = \chi(R_-)$, then we apply [Her23, Theorem 1.1]. \square

The reader might wonder why we prefer (M, R_+) than (M, R_-) . In fact, these two pairs are dual to each other by the following Proposition 5.2. We prefer the pair (M, R_+) only in that it suits our convention of orientations better.

Proposition 5.2. *Let (N, R_+, R_-, γ) be a sutured manifold. Suppose the pair (N, R_+) is L^2 -acyclic. Then (N, R_-) is also L^2 -acyclic. Moreover*

$$\tau_u^{(2)}(N, R_+) = \overline{\tau_u^{(2)}(N, R_-)}.$$

Proof. The proof is a modification of [Mil62, Page 139] and does not use any L^2 -theories. Form a smooth triangulation of N such that R_+ and R_- are subcomplexes. Let \widehat{N} be the universal cover and let \widehat{R}_\pm be the preimage of R_\pm . Consider the dual cellular complex \widehat{N}' of \widehat{N} . A cell of \widehat{N} is canonically dual to a cell of $\widehat{N}' \setminus \partial\widehat{N}'$, see Figure 1 below.

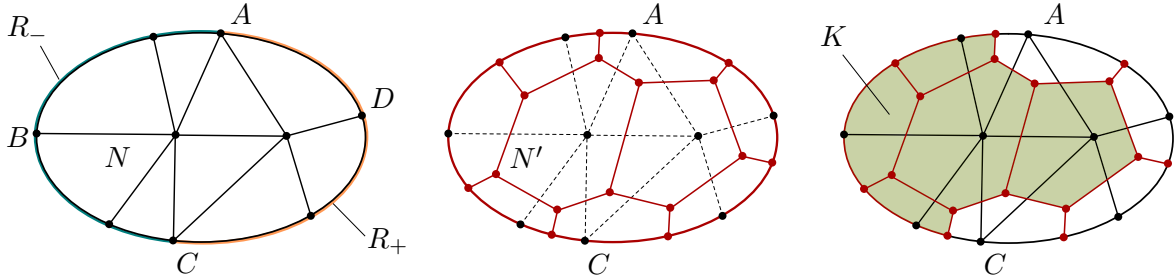


Figure 1. *An illustration of the one-dimension-lower case. The figure on the left shows a simplicial complex N whose boundary is a union of a subcomplex R_- (the arc \widehat{ABC}) and a subcomplex R_+ (the arc \widehat{ADC}). The figure in the middle shows the dual cellular complex N' (in red). The last figure shows the subcomplex $K \subset N'$ which is the union of cells disjoint from R_+ . The complex N' deformation retracts to K along a product neighborhood of R_+ .*

More explicitly, we have the intersection pairing

$$p : C_*(\widehat{N}) \times C_{3-*}(\widehat{N}', \partial\widehat{N}') \rightarrow \mathbb{Z}G, \quad p(\sigma, \sigma') = \sum_{g \in G} \langle \sigma, g\sigma' \rangle \cdot g$$

where $\langle \sigma, g\sigma' \rangle$ is the intersection number of σ and $g\sigma'$. It is easy to verify the identities

$$\begin{aligned} p(g\sigma, \sigma') &= g \cdot p(\sigma, \sigma'), \\ p(\sigma, g\sigma') &= p(\sigma, \sigma') \cdot g^{-1}, \\ p(\partial\sigma, \sigma') &= \pm p(\sigma, \partial\sigma'). \end{aligned}$$

The pairing is non-degenerate in the sense that $\sigma \mapsto p(\sigma, *)$ gives an isomorphism of $\mathbb{Z}G$ -chain complexes $C_*(\widehat{N}) \cong C^{3-*}(\widehat{N}', \partial\widehat{N}')$. Note that a cell of \widehat{R}_+ is canonically dual to a cell of $\widehat{N}' \setminus \partial\widehat{N}'$ which intersects \widehat{R}_+ at a non-empty set and vice versa. Let K be the union of the cells of N' which is disjoint with R_+ and let \widehat{K} be the preimage in the universal cover, then there is an induced non-degenerate pairing

$$C_*(\widehat{N}, \widehat{R}_+) \times C_{3-*}(\widehat{K}, \partial\widehat{N}') \rightarrow \mathbb{Z}G$$

and hence the isomorphism of $\mathbb{Z}G$ -chain complexes $C_*(\widehat{N}, \widehat{R}_+) \cong C^{3-*}(\widehat{K}, \partial\widehat{N}')$. By Proposition 4.6 the finite based free $\mathbb{Z}G$ -chain complex $C_*(\widehat{K}, \partial\widehat{N}')$ is L^2 -acyclic and

$$\tau_u^{(2)}(C_*(\widehat{N}, \widehat{R}_+)) = \overline{\tau_u^{(2)}(C_*(\widehat{K}, \partial\widehat{N}'))},$$

therefore $\tau_u^{(2)}(N, R_+) = \overline{\tau_u^{(2)}(K, \partial N')}$. The pair $(K, \partial N')$ is a deformation retract of the pair (N', R'_-) (barycentric-subdivide N , if necessary), hence $\tau_u^{(2)}(N, R_+) = \overline{\tau_u^{(2)}(N', R'_-)}$. This shows that the pair (N, R_-) is L^2 -acyclic with $\tau_u^{(2)}(N, R_+) = \overline{\tau_u^{(2)}(N, R_-)}$. \square

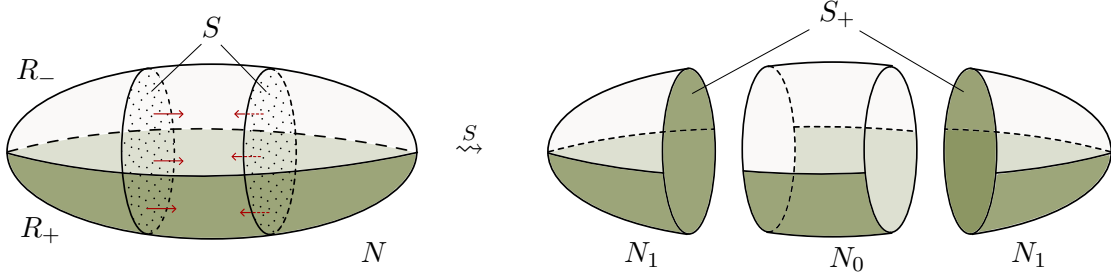


Figure 2. Decomposing N along a separating decomposition surface S (the dotted region in the left figure whose normal direction as indicated by the red arrows). The R_+ -part of the sutured manifolds are in green.

Lemma 5.3. *Let (N, γ) be a taut sutured manifold. Suppose there is a decomposition surface S such that*

- (1) *The sutured manifold decomposition $(N, R_+, R_-, \gamma) \xrightarrow{S} (N', R'_+, R'_-, \gamma')$ is taut.*
- (2) *S is separating and N' is a disjoint union of two (possibly non-connected) manifolds N_0, N_1 .*
- (3) *$S_- \subset N_0, S_+ \subset N_1$.*

Then $\tau_u^{(2)}(N, R_+) = i_ \tau_u^{(2)}(N', R'_+)$ where $i : N' \hookrightarrow N$ is the inclusion.*

Proof. See Figure 2 for an illustration of the decomposition. There is a short exact sequence of chain complexes

$$0 \rightarrow C_*^{(2)}(N_0, N_0 \cap R_+) \rightarrow C_*^{(2)}(N, R_+) \rightarrow C_*^{(2)}(N, N_0 \cup R_+) \rightarrow 0$$

and a natural isomorphism $C_*^{(2)}(N, N_0 \cup R_+) = C_*^{(2)}(N_1, S_+ \cup (R_+ \cap N_1))$. Note that $N_0 \cap R_+$ is the R_+ -part of N_0 and $S_+ \cup (N_1 \cap R_+)$ is the R_+ -part of N_1 . By assumption N_0 and N_1 are taut sutured manifold. It follows from Theorem 5.1 that the three chain complexes are L^2 -acyclic and

$$\tau_u^{(2)}(N, R_+) = (i_0)_* \tau_u^{(2)}(N_0, N_0 \cap R_+) \cdot (i_1)_* \tau_u^{(2)}(N_1, S_+ \cup (N_1 \cap R_+))$$

where i_0 and i_1 are the inclusion of N_0 and N_1 into N . The right hand side of the above equation is exactly $i_* \tau_u^{(2)}(N', R'_+)$ and the proof is finished. \square

A weighted surface \widehat{S} in a compact oriented 3-manifold N is a collection of pairs (S_i, w_i) , $i = 1, \dots, n$, where S_i is a connected properly embedded oriented surface, w_i is a positive integer, and $S_i \cap S_j = \emptyset$ for $i \neq j$. The realization of \widehat{S} is the properly embedded oriented surface

$$\bar{S} := \bigcup_{i=1}^n w_i \cdot S_i$$

where $w_i \cdot S_i$ is the union of w_i parallel copies of S_i . The reduction of \widehat{S} is the properly embedded oriented surface

$$S := \bigcup_{i=1}^n S_i.$$

A weighted decomposition surface is a weighted surface whose realization is a decomposition surface. If \widehat{S} is a weighted decomposition surface, then $N \setminus \bar{S}$ is the union of $N \setminus S$ and some

product sutured manifolds. So the sutured decomposition along \bar{S} is taut if and only if the sutured decomposition along S is taut.

Proposition 5.4. *Let (N, R_+, R_-, γ) be a taut sutured manifold and let $(N, \gamma) \xrightarrow{\Sigma} (N', \gamma')$ be a taut sutured decomposition. Then there is a weighted decomposition surface \hat{S} in N (with \bar{S} the realization and S the reduction) such that*

- (1) $N \setminus \setminus S$ is connected,
- (2) $[\bar{S}] = [\Sigma] \in H_2(N, \partial N; \mathbb{Z})$,
- (3) the sutured decomposition of N along S is taut,
- (4) $i_* \tau_u^{(2)}(N \setminus \setminus S, S_+ \cup R_+) = j_* \tau_u^{(2)}(N \setminus \setminus \Sigma, \Sigma_+ \cup R_+)$ where i, j are the natural inclusions of $N \setminus \setminus S$ and $N \setminus \setminus \Sigma$ into N .

Proof. For any weighted surface \hat{S} in N , define $c(\hat{S}) := \#\pi_0(N \setminus \setminus S)$. First take \hat{S} to be the surface Σ with weight 1 assigned to each component, then $\bar{S} = S = \Sigma$ and \hat{S} satisfies (2)–(4). It suffices to prove that given any weighted surface \hat{S} with $c(\hat{S}) > 1$ such that (2)–(4) holds, then there exists a weighted surface \hat{T} such that (2)–(4) holds and $c(\hat{T}) < c(\hat{S})$.

Given such \hat{S} . Since $c(\hat{S}) > 1$ there is a component $C \subset S$ such that C_{\pm} lies in different components of $N \setminus \setminus S$. Choose C to be a component with minimal weight w among such components, let M_0 (resp. M_1) be the component of $N \setminus \setminus S$ containing C_- (resp. C_+). Let $C = C_1, C_1, \dots, C_k$ be the components of S whose normal direction points into M_1 and let D_1, \dots, D_l be the components of S whose normal direction points out of M_1 . It may happen that $C_i = D_j$ for some i, j , in this case the two sides of C_i both belong to M_1 . It follows that

$$[C_1] + \dots + [C_k] = [D_1] + \dots + [D_l] \in H_2(N, \partial N; \mathbb{Z}).$$

We change the weights of \hat{S} by increasing the weights of D_1, \dots, D_l by w , and decreasing the weights of C_1, \dots, C_k by w . If a component has weight zero, we simply discard this component. This new weighted surface is denoted by \hat{T} . Clearly, we have $[\bar{S}] = [\bar{T}] \in H_2(N, \partial N; \mathbb{Z})$. Since T is a subcollection of S and the decomposition along S is taut, it follows that the decomposition along T is also taut. So (2), (3) holds true for \hat{T} . Moreover, $c(\hat{T}) < c(\hat{S})$ since M_0 and M_1 are in the same component of $N \setminus \setminus T$.

Finally, let S_0 be $S \setminus T$. Then $N \setminus \setminus S$ is obtained from the sutured manifold decomposition of $N \setminus \setminus T$ along S_0 . By construction, S_0 separates $N \setminus \setminus T$; in particular, it separates M_0 from M_1 and $(S_0)_+ \subset M_1$. Apply Lemma 5.3 with $N_0 := (N \setminus \setminus S) - M_1$ and $N_1 := M_1$, we have

$$i'_* \tau_u^{(2)}(N \setminus \setminus T, T_+ \cup R_+) = i_* \tau_u^{(2)}(N \setminus \setminus S, S_+ \cup R_+) = j_* \tau_u^{(2)}(N \setminus \setminus \Sigma, \Sigma_+ \cup R_+)$$

where i, i', j' are the inclusions of $N \setminus \setminus S$, $N \setminus \setminus T$ and $N \setminus \setminus \Sigma$ into N , respectively. This verifies (4) for \hat{T} and finishes the proof. \square

Definition 5.5. Let $\phi \in H^1(G; \mathbb{R})$ be a 1-cohomology class. For any nonzero matrix A over $\mathbb{Z}G$, let $\delta_\phi(A)$ be the smallest real number $\delta_\phi(A_{ij})$ among all nonzero entries A_{ij} . Then we can decompose the matrix in a unique way

$$A = L_\phi(A) + (A - L_\phi(A))$$

where any group element g appearing in $L_\phi(A)$ satisfies $\phi(g) = \delta_\phi(A)$, and any group element h appearing in $(A - L_\phi(A))$ satisfies $\phi(h) > \delta_\phi(A)$.

We define $L_\phi(A) = 0$ if A is a zero matrix. Otherwise $L_\phi(A)$ is always nonzero.

For any finite based free $\mathbb{Z}G$ -chain complex

$$C_* = (0 \longrightarrow C_n \xrightarrow{A_n} \dots \xrightarrow{A_2} C_1 \xrightarrow{A_1} C_0 \longrightarrow 0),$$

define

$$L_\phi(C_*) = (0 \longrightarrow C_n \xrightarrow{L_\phi(A_n)} \cdots \xrightarrow{L_\phi(A_2)} C_1 \xrightarrow{L_\phi(A_1)} C_0 \longrightarrow 0).$$

Remark 5.6. It is easy to verify that $L_\phi(A)L_\phi(B) = L_\phi(AB)$ holds for arbitrary matrices A, B . In particular, the chain complex $L_\phi(C_*)$ is well-defined.

Lemma 5.7. *Let $\phi \in H^1(G; \mathbb{R})$ be an 1-cohomology class and let*

$$C_* = (0 \longrightarrow C_n \xrightarrow{A_n} \cdots \xrightarrow{A_2} C_1 \xrightarrow{A_1} C_0 \longrightarrow 0)$$

be a finite based free $\mathbb{C}G$ -chain complex. Suppose that $L_\phi(C_)$ is L^2 -acyclic, then C_* is also L^2 -acyclic and*

$$\tau_u^{(2)}(L_\phi(C_*)) = L_\phi(\tau_u^{(2)}(C_*)).$$

Proof. Suppose that $L_\phi(C_*)$ is L^2 -acyclic, then by Theorem 4.18 we can find a non-degenerate matrix chain \mathcal{A} of $L_\phi(C_*)$. Let B_1, \dots, B_n be the associated submatrices of $L_\phi(A_1), \dots, L_\phi(A_n)$, then

$$\tau_u^{(2)}(L_\phi(C_*)) = \prod_{i=1}^n \det_r(B_i)^{(-1)^n}$$

Let C_1, \dots, C_n be the submatrices of A_1, \dots, A_n associated to the same matrix chain \mathcal{A} . Since B_i must be nonzero, then $L_\phi(C_i) = B_i$. By Theorem 3.6 we have

$$L_\phi \det_r(C_i) = \det_r B_i.$$

In particular, C_i are weak isomorphisms and therefore \mathcal{A} is a non-degenerate matrix chain of C_* . By Theorem 4.18,

$$\tau_u^{(2)}(C_*) = \prod_{i=1}^n \det_r(C_i)^{(-1)^n}$$

and in particular $\tau_u^{(2)}(L_\phi(C_*)) = L_\phi(\tau_u^{(2)}(C_*))$. □

Theorem 5.8. *Let (N, γ) be a taut sutured manifold and let $(N, \gamma) \xrightarrow{\Sigma} (N', \gamma')$ be a taut sutured decomposition. Let $\phi = PD([\Sigma, \partial\Sigma]) \in H^1(N; \mathbb{Z})$ be the Poincaré dual of the surface Σ , then*

$$j_* \tau_u^{(2)}(N', R'_+) = L_\phi(\tau_u^{(2)}(N, R_+))$$

where $j : N' \hookrightarrow N$ is the natural inclusion.

Proof. We find a weighted decomposition surface \widehat{S} in N as provided by Proposition 5.4. Then $N \setminus \setminus S$ is connected, $\phi = PD([\Sigma, \partial\Sigma]) = PD([\widehat{S}, \partial\widehat{S}]) \in H^1(N; \mathbb{Z})$ and $j_* \tau_u^{(2)}(N', R'_+) = i_* \tau_u^{(2)}(N \setminus \setminus S, S_+ \cup R_+)$ where $i : N \setminus \setminus S \rightarrow N$ is the inclusion. We are left to show that

$$L_\phi(\tau_u^{(2)}(N, R_+)) = i_* \tau_u^{(2)}(N \setminus \setminus S, S_+ \cup R_+).$$

Find a CW-structure for N such that $S \times I$ and R_\pm are subcomplexes. Fix a base point $p \in N \setminus (S \times I)$. For any cell σ in the CW-structure of N , choose a path γ_σ connecting p and σ such that

- γ_σ is disjoint with S_- , if $\sigma \subset N \setminus S_-$,
- γ_σ is disjoint with S_+ , if $\sigma \subset S_-$.

Lift the base point p to \widehat{p} in the universal cover \widehat{N} and lift each cell σ to $\widehat{\sigma}$ using the path γ_σ . The cells $\widehat{\sigma}$ form a basis for the finite based free $\mathbb{Z}[\pi_1(N)]$ -chain complex $C_*(\widehat{N})$. Now consider the L^2 -cellular chain complex $C_*^{(2)}(N, R_+)$, for each $k = 0, 1, 2, 3$ the chain group admits the following direct sum decomposition

$$C_k^{(2)}(N, R_+) = C_k^{(2)}(N \setminus \setminus S, S_+ \cup R_+) \oplus C_k^{(2)}(S \times I, S_- \cup R_+).$$

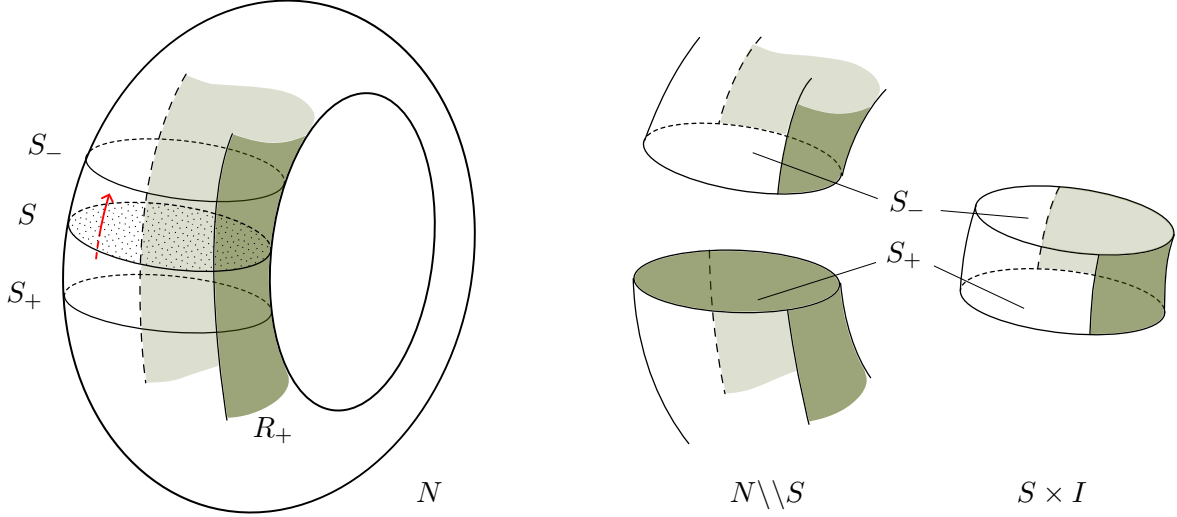


Figure 3. Consider N as the union of $N \setminus S$ and $S \times I$.

Accordingly, the boundary homomorphism $\partial_* : C_*^{(2)}(N, R_+) \rightarrow C_{*-1}^{(2)}(N, R_+)$ admits the following decomposition

$$\begin{aligned} \partial_* &= \begin{pmatrix} \partial_*^1 & \partial_*^2 \\ \partial_*^3 & \partial_*^4 \end{pmatrix}, \\ \partial_*^1 &: C_*^{(2)}(N \setminus S, S_+ \cup R_+) \rightarrow C_{*-1}^{(2)}(N \setminus S, S_+ \cup R_+), \\ \partial_*^2 &: C_*^{(2)}(N \setminus S, S_+ \cup R_+) \rightarrow C_{*-1}^{(2)}(S \times I, S_- \cup R_+), \\ \partial_*^3 &: C_*^{(2)}(S \times I, S_- \cup R_+) \rightarrow C_{*-1}^{(2)}(N \setminus S, S_+ \cup R_+), \\ \partial_*^4 &: C_*^{(2)}(S \times I, S_- \cup R_+) \rightarrow C_{*-1}^{(2)}(S \times I, S_- \cup R_+). \end{aligned}$$

In particular, if $\hat{\sigma}$ is a basis element of $C_*^{(2)}(S \times I, S_- \cup R_+)$ then there are basis elements τ_i (allowing repetitions) of $C_{*-1}^{(2)}(N \setminus S, S_+ \cup R_+)$ and $g_i \in \pi_1(N)$ such that

$$\partial_*^3(\hat{\sigma}) = \sum_i g_i \hat{\tau}_i.$$

Then the cells $\hat{\tau}_i$ must lie in \hat{S}_- . By our choice of basis, each g_i satisfies $\phi(g_i) > 0$. A similar argument shows that any group element h appearing in $\partial_*^1, \partial_*^2$ or ∂_*^4 satisfies $\phi(h) = 0$. It follows that

$$L_\phi(\partial_*) = \begin{pmatrix} \partial_*^1 & \partial_*^2 \\ 0 & \partial_*^4 \end{pmatrix}$$

and we hence obtain a short exact sequence of chain complexes

$$0 \rightarrow C_*^{(2)}(N \setminus S, S_+ \cup R_+) \rightarrow L_\phi(C_*^{(2)}(N, R_+)) \rightarrow C_*^{(2)}(S \times I, S_- \cup R_+) \rightarrow 0.$$

Then by the product formula 4.5 and the induction property Theorem 4.9 we have

$$\tau_u^{(2)}(L_\phi(C_*^{(2)}(N, R_+))) = i_* \tau_u^{(2)}(N \setminus S, S_- \cup R_+) \cdot i'_* \tau_u^{(2)}(S \times I, S_- \cup R_+).$$

The left hand side equals $L_\phi(\tau_u^{(2)}(N, R_+))$ by Lemma 5.7. On the right hand side, since $(S_- \cup R_+) \cap (S \times I)$ deformation retracts onto S_- , we have $\tau_u^{(2)}(S \times I, S_- \cup R_+) = \tau_u^{(2)}(S \times I, S_-) = 1$. It follows that $L_\phi(\tau_u^{(2)}(N, R_+)) = i'_* \tau_u^{(2)}(N \setminus S, S_+ \cup R_+)$ and the proof is finished. \square

6. A CRITERION FOR FIBEREDNESS OF 3-MANIFOLD

6.1. Polytopes. Let H be a finitely generated free abelian group. Note that $H_1(H; \mathbb{R}) = \mathbb{R} \otimes_{\mathbb{Z}} H$ is a finite-dimensional real vector space.

A polytope in $H_1(H; \mathbb{R})$ is a compact set which is the convex hull of a finite subset. We allow the empty set \emptyset to be a polytope.

Definition 6.1 (Face map F_ϕ). Given a polytope P and any character $\phi \in H^1(H; \mathbb{R})$. Set $\delta_\phi(P) := \inf_{x \in P} \phi(x)$, define the face associated to ϕ by

$$F_\phi(P) := \{x \in P \mid \phi(x) = \delta_\phi(P)\}.$$

It is clear that $F_\phi(P)$ is a polytope contained in P . The collection $\{F_\phi(P) \mid \phi \in H^1(H; \mathbb{R})\}$ is the collection of faces of P . A face is called a vertex if it is a single point. Any polytope is the convex hull of all its vertices. A polytope is called integral if all its vertices lie in the integral lattice $H \subset H_1(H; \mathbb{R})$.

Given any two non-empty polytopes P_1, P_2 in $H_1(H; \mathbb{R})$, define their Minkowski sum to be the polytope

$$P_1 + P_2 := \{p_1 + p_2 \mid p_1 \in P_1, p_2 \in P_2\}.$$

It is the convex hull of the set $\{v_1 + v_2 \mid v_i \text{ is a vertex of } P_i, i = 1, 2\}$. The operator δ_ϕ and the face map L_ϕ is additive under the Minkowski sum:

$$\delta_\phi(P_1 + P_2) = \delta_\phi(P_1) + \delta_\phi(P_2), \quad F_\phi(P_1 + P_2) = F_\phi(P_1) + F_\phi(P_2)$$

for all character ϕ and all polytopes P_1, P_2 .

Example 6.2. Let M be an admissible 3-manifold. The Thurston norm ball $B_x(M) := \{\phi \in H^1(M; \mathbb{R}) \mid x_M(\phi) \leq 1\}$ is a (perhaps non-compact) polyhedron in $H^1(M; \mathbb{R})$; the dual Thurston norm ball is defined to be $B_x^*(M) := \{z \in H_1(M; \mathbb{R}) \mid \phi(z) \leq 1 \text{ for all } \phi \in B_x(M)\}$. Thurston proved that $B_x^*(M)$ is an integral polytope in $H_1(M; \mathbb{R})$ with vertices $\pm v_1, \dots, \pm v_k$, and the Thurston norm ball is given by

$$B_x(M) = \{\phi \in H^1(M; \mathbb{R}) \mid |\phi(v_i)| \leq 1, i = 1, \dots, k\}.$$

A Thurston cone in $H^1(M; \mathbb{R})$ is either an open cone formed by the origin and a face of $B_x(M)$, or a maximal connected component of $H^1(M; \mathbb{R}) \setminus \{0\}$ on which the Thurston norm x_M vanishes. It follows that $H^1(M; \mathbb{R}) \setminus \{0\}$ is the disjoint union of all Thurston cones of various dimensions. A Thurston cone is called top-dimensional if its dimension equals $\dim H^1(M; \mathbb{R})$. The following Lemma 6.3 is Thurston's result stated differently.

Lemma 6.3. *A nonzero character $\phi \in H^1(M; \mathbb{R})$ lies in a top-dimensional Thurston cone if and only if $F_\phi B_x^*(M)$ is a vertex.*

6.2. Polytope group $\mathcal{P}_{\mathbb{Z}}(H)$ and $\mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H)$. Given the finitely generated abelian group H , define $\mathcal{P}_{\mathbb{Z}}(H)$ to be the Grothendieck group of integral polytopes in $H_1(H; \mathbb{R})$ under the Minkowski sum. More precisely, $\mathcal{P}_{\mathbb{Z}}$ is the abelian group with a generating set

$$\{[P] \mid P \text{ is a non-empty integral polytope in } H_1(H; \mathbb{R})\}$$

and a relation $[P] + [Q] = [P + Q]$ for each pair of non-empty integral polytopes P, Q in $H_1(H; \mathbb{R})$. Any element of $\mathcal{P}_{\mathbb{Z}}(H)$ can be expressed in the formal sum $[P] - [Q]$ for non-empty integral polytopes P, Q in $H_1(H; \mathbb{R})$ and

$$[P_1] - [Q_1] = [P_2] - [Q_2] \iff [P_1] + [Q_2] = [P_2] + [Q_1].$$

Note that every element $h \in H$ determines an one-vertex polytope $[h]$ in $\mathcal{P}_{\mathbb{Z}}(H)$ and this defines an embedding of H into $\mathcal{P}_{\mathbb{Z}}(H)$. Define

$$\mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H) = \mathcal{P}_{\mathbb{Z}}(H)/H.$$

In other words, two polytopes are identified in $\mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H)$ if and only if they differ by a translation with an element in the lattice H .

The operators δ_ϕ and F_ϕ naturally extends to the polytope groups:

$$\begin{aligned}\delta_\phi : \mathcal{P}_{\mathbb{Z}}(H) &\rightarrow \mathbb{R}, & \delta_\phi([P] - [Q]) &= \delta_\phi(P) - \delta_\phi(Q), \\ F_\phi : \mathcal{P}_{\mathbb{Z}}(H) &\rightarrow \mathcal{P}_{\mathbb{Z}}(H), & F_\phi([P] - [Q]) &= [F_\phi(P)] - [F_\phi(Q)].\end{aligned}$$

Since the face map F_ϕ preserves the subgroup H , it naturally induces the face map $F_\phi : \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H) \rightarrow \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H)$.

6.3. Polytope homomorphism \mathbb{P} . Given a finitely generated group G satisfying the Atiyah Conjecture. Let H be the free abelianization of G , then we have the short exact sequence

$$1 \rightarrow K \rightarrow G \rightarrow H \rightarrow 1.$$

Recall that $\mathcal{D}_K * H$ is the twisted group ring embedded in \mathcal{D}_G . If given any section $s : H \rightarrow G$ then any element u has a unique expression

$$u = \sum_{h \in H} x_h \cdot s(h).$$

For any nonzero $u \in \mathcal{D}_K * H$, define $\mathbb{P}(u)$ to be the integral polytope in $\mathbb{R} \otimes_{\mathbb{Z}} H$ spanned by $\{h \in H \mid x_h \neq 0\}$. This polytope does not depend on the choice of the section $s : H \rightarrow G$. It is proved in [FL19, Lemma 6.4] that

$$\mathbb{P}(uv) = \mathbb{P}(u) + \mathbb{P}(v)$$

for all nonzero $u, v \in \mathcal{D}_K * H$. Recall that the Linnell's skew field \mathcal{D}_G is the field of fraction of $\mathcal{D}_K * H$, we then define the polytope homomorphism as

$$\mathbb{P} : \mathcal{D}_G^\times \rightarrow \mathcal{P}_{\mathbb{Z}}(H), \quad \mathbb{P}(uv^{-1}) := [\mathbb{P}(u)] - [\mathbb{P}(v)]$$

for all nonzero $u, v \in \mathcal{D}_K * H$. This homomorphism is well-defined. The following commutative diagram is immediate from the definition.

$$\begin{array}{ccc} \mathcal{D}_G^\times & \xrightarrow{L_\phi} & \mathcal{D}_G^\times \\ \downarrow \mathbb{P} & & \downarrow \mathbb{P} \\ \mathcal{P}_{\mathbb{Z}}(H) & \xrightarrow{F_\phi} & \mathcal{P}_{\mathbb{Z}}(H). \end{array}$$

Recall that $K_1(\mathcal{D}_G)$ is the abelianization of \mathcal{D}_G^\times and $\text{Wh}(\mathcal{D}_G) = K_1(\mathcal{D}_G)/[\pm G]$, then the polytope homomorphism naturally induces

$$\mathbb{P} : K_1(\mathcal{D}_G) \rightarrow \mathcal{P}_{\mathbb{Z}}(H), \quad \mathbb{P} : \text{Wh}(\mathcal{D}_G) \rightarrow \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H).$$

To save notation, we still use the same symbol \mathbb{P} for the induced homomorphisms. We have the commutative diagram for the induced homomorphisms

$$\begin{array}{ccc} \text{Wh}(\mathcal{D}_G) & \xrightarrow{L_\phi} & \text{Wh}(\mathcal{D}_G) \\ \downarrow \mathbb{P} & & \downarrow \mathbb{P} \\ \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H) & \xrightarrow{F_\phi} & \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H). \end{array}$$

We record the following important result which relate the universal L^2 -torsion of a 3-manifold with its dual Thurston norm ball. Note that the minus sign come out since the L^2 -torsion polytope is defined to be the negative of the image of the universal L^2 -torsion under the polytope homomorphism [FL17, Definition 4.21].

Theorem 6.4 ([FL17, Theorem 4.37]). *Let M be an admissible 3-manifold which is not homeomorphic to $S^1 \times D^2$. Then*

$$-[B_x^*(M)] = 2 \cdot \mathbb{P}(\tau_u^{(2)}(M)) \in \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H_1(M)_f),$$

where $B_x^* \subset H_1(M; \mathbb{R})$ is the dual Thurston norm ball, and $\tau_u^{(2)}(M) \in \text{Wh}(\mathcal{D}_{\pi_1(M)})$ is the universal L^2 -torsion of M .

6.4. The universal L^2 -torsion detects fiberedness.

Theorem 6.5. *Suppose M is an admissible 3-manifold which is not a closed graph manifold. Let G be the fundamental group of M and $\phi \in H^1(M; \mathbb{R})$ be any nonzero character. Then ϕ is fibered if and only if $L_\phi \tau_u^{(2)}(M) = 1 \in \text{Wh}(\mathcal{D}_G)$.*

Proof. Suppose ϕ is a fibered class. By Proposition 3.3 (7) we can find a rational fibered class $\psi \in H^1(M; \mathbb{Q})$ arbitrarily close to ϕ such that $L_\phi(\tau_u^{(2)}(M)) = L_\psi(\tau_u^{(2)}(M))$. Choose a positive integer n such that $n\psi$ is an integral fibered class, and let S be a Thurston norm-minimizing surface dual to $n\psi$, then $M \setminus S$ is a product sutured manifold. By Theorem 5.8 we have

$$L_{n\psi} \tau_u^{(2)}(M) = j_* \tau_u^{(2)}(M \setminus S, S_+) = 1,$$

where $j : M \setminus S \hookrightarrow M$ is the inclusion. It follows that $L_\phi \tau_u^{(2)}(M) = L_{n\psi} \tau_u^{(2)}(M) = 1$.

Now suppose $L_\phi \tau_u^{(2)}(M) = 1$. If M is homeomorphic to the solid torus then any nonzero class is fibered and the result is direct. Now we assume that M is not the solid torus. Since M is not a closed graph manifold, the virtual fibering theorem asserts that there is a connected regular finite covering $\bar{M} \rightarrow M$ such that the pull back class $\bar{\phi}$ lies in the closure of a fibered cone. Let $\pi_1(\bar{M}) = L < G$. Then by the restriction property of Theorem 4.9 (4)

$$\tau_u^{(2)}(\bar{M}) = \text{res}_L^G \tau_u^{(2)}(M) \in \text{Wh}(\mathcal{D}_L).$$

By Theorem 3.11 the restriction map commutes with the leading term map,

$$L_{\bar{\phi}}(\tau_u^{(2)}(\bar{M})) = L_{\bar{\phi}}(\text{res}_L^G \tau_u^{(2)}(M)) = \text{res}_L^G(L_\phi \tau_u^{(2)}(M)) = 1.$$

Applying the polytope map \mathbb{P} to both sides we get

$$\mathbb{P}(L_{\bar{\phi}}(\tau_u^{(2)}(\bar{M}))) = 0 \in \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H_1(\bar{M}; \mathbb{R})).$$

Note that $\mathbb{P}(\tau_u^{(2)}(\bar{M})) = 2 \cdot [B_x^*(\bar{M})]$ by Theorem 6.4, we have that

$$0 = \mathbb{P}(L_{\bar{\phi}}(\tau_u^{(2)}(\bar{M}))) = F_{\bar{\phi}} \mathbb{P}(\tau_u^{(2)}(\bar{M})) = -2 \cdot F_{\bar{\phi}}[B_x^*(\bar{M})].$$

Since $\mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H_1(\bar{M}; \mathbb{R}))$ is torsion-free by [FL17, Lemma 4.8], we obtain

$$F_{\bar{\phi}}[B_x^*(\bar{M})] = 0.$$

It follows from Lemma 6.3 that $\bar{\phi}$ lies in a top dimensional Thurston cone of $H^1(\bar{M}; \mathbb{R})$. By assumption $\bar{\phi}$ lies in the closure of a fibered cone. So $\bar{\phi}$ must lie in the interior of the fibered cone since the boundary of a fibered cone consists of Thurston cones of strictly lower dimensions. therefore $\bar{\phi}$ is a fibered class for \bar{M} and ϕ is a fibered class for M . \square

Next we use a doubling trick to prove an analogous criterion for taut sutured manifolds.

Definition 6.6 (Double of taut sutured manifolds). Let (N, R_+, R_-, γ) be a taut sutured manifold and let $f : R_+ \rightarrow R_+$ be an orientation-preserving homeomorphism. Let $(N, \bar{R}_+, \bar{R}_-, \bar{\gamma})$ be the sutured manifold whose underlying oriented manifold is the same as N , but with R_+, R_- interchanged (see Figure 4 below). Namely,

$$\bar{\gamma} = -\gamma, \quad \bar{R}_+ = -R_-, \quad \bar{R}_- = -R_+.$$

The *double of N with monodromy f* is defined to be the admissible 3-manifold

$$DN_f = (N, \gamma) \cup (N, \bar{\gamma}) / \sim$$

formed by gluing together the two sutured manifolds with the gluing relation \sim as follows: we identify R_- and \bar{R}_+ via the identity map and identify R_+ and \bar{R}_- via the homeomorphism f .

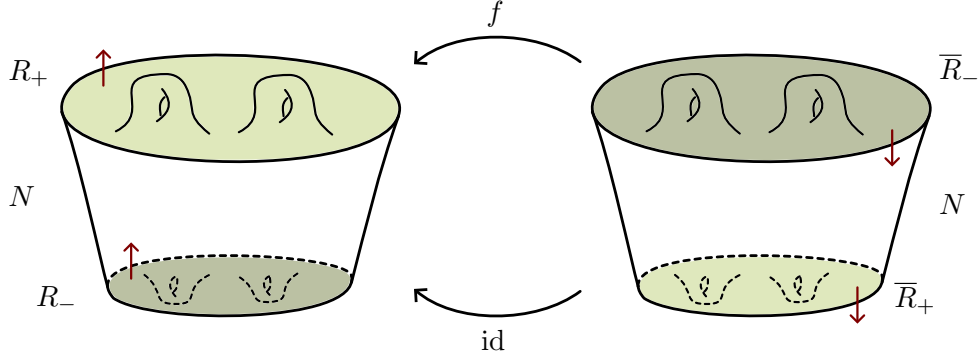


Figure 4. Double of a sutured manifold N with monodromy f

Lemma 6.7. *If (N, R_+, R_-, γ) is a taut sutured manifold with R_+ and R_- both non-empty. Suppose $R_+ \cup R_-$ is not a union of tori, then there exists $f : R_+ \rightarrow R_+$ such that DN_f is not a closed graph manifold.*

Proof. If N contains non-empty sutured annuli, then DN_f has non-empty torus boundary for any f by construction. If $\pi_1(N)$ is finite then N is the product 3-ball and has non-empty sutured annuli.

If N has infinite fundamental group and does not contain sutured annuli, then each component of R_\pm is a closed surfaces with positive genus. By assumption, $R_+ \cup R_-$ contains a component of genus greater than 1. Since $\chi(R_+) = \chi(R_-)$, we know that R_+ contains a component of genus greater than 1. Denote this component by Σ . Write

$$f = (\phi : \Sigma \rightarrow \Sigma, \text{id} : R_+ \setminus \Sigma \rightarrow R_+ \setminus \Sigma).$$

Let M be the manifold obtained from gluing together (N, γ) and $(N, \bar{\gamma})$ via $\text{id} : R_- \rightarrow \bar{R}_+$ and $\text{id} : R_+ \setminus \Sigma \rightarrow \bar{R}_- \setminus (-\Sigma)$. Then M is a 3-manifold whose boundary are two copies of Σ left unglued. It is clear that DN_f is obtained from gluing $\Sigma_1, \Sigma_2 \subset \partial M$ together via $\phi : \Sigma_1 \rightarrow \Sigma_2$. We are left to prove the following:

Claim. *Let M be a compact, orientable, irreducible 3-manifold. Suppose $\partial M = \Sigma_1 \sqcup \Sigma_2$ is the union of two connected incompressible surfaces of the same genus greater than 1. Then there is a homeomorphism $\phi : \Sigma_1 \rightarrow \Sigma_2$ such that the closed manifold M_ϕ formed by gluing together the two boundary surfaces of M via ϕ is not a graph manifold.*

Proof of Claim. If $M = \Sigma_1 \times I$ is a product, then a pseudo-Anosov homeomorphism ϕ suffices. Now suppose M is not a product. The Characteristic Submanifold Theorem asserts that there is a submanifold $X \subset M$ which is a disjoint union of Seifert fibered spaces and I -bundle over surfaces, such that any incompressible torus and annulus of M can be homotoped into X [JS79, Chapter V]. The intersections $X \cap \Sigma_1$ and $X \cap \Sigma_2$ are incompressible proper subsurfaces of Σ_1 and Σ_2 respectively; otherwise let's suppose $\Sigma_1 \subset X$, then Σ_1 is contained in an I -bundle component P of X . Since $\partial P \subset \partial M$, P must be the entire M , contradicting our assumption. Let C_i be a component of $\partial(X \cap \Sigma_i)$ which is an essential simple closed curve on Σ_i , $i = 1, 2$. Choose a

homeomorphism $\phi : \Sigma_1 \rightarrow \Sigma_2$ such that the two simple closed curves $\phi(C_1)$ and C_2 fill Σ_2 . This can be done by assigning $\phi = \psi^n \circ \phi_0$ for any homeomorphism $\phi_0 : \Sigma_1 \rightarrow \Sigma_2$, any pseudo-Anosov homeomorphism $\psi : \Sigma_2 \rightarrow \Sigma_2$ and a sufficiently large integer n . In this case the distance between $[\phi(C_1)]$ and $[C_2]$ on the curve complex of Σ_2 will be arbitrarily large [MM99, Proposition 4.6]. In particular $\phi(C_1)$ fills with C_2 .

We now show that the glued-up manifold M_f is not a closed graph manifold. Suppose $T \subset M_f$ is an essential torus. Up to isotopy we assume that T is transverse to $\Sigma = \Sigma_1 = \Sigma_2$ in M_f , and the intersections $T \cap \Sigma$ (if non-empty) are essential simple closed curves on Σ . If $T \cap \Sigma$ is indeed non-empty, choose any component $C \subset T \cap \Sigma$, then $T \setminus C$ is an essential annulus in M , therefore the image of C on $\Sigma_2 \subset \partial M$ can be homotoped into both $X \cap \Sigma_2$ and $\phi(X \cap \Sigma_1)$, hence the geometric intersection numbers $i(C, \phi(C_1))$ and $i(C, C_2)$ are both zero. This contradicts the fact that $\phi(C_1)$ and C_2 fill Σ_2 . Therefore any essential torus T in M_f can be isotoped to be disjoint with Σ . Let Y be the JSJ-piece of M_f containing Σ . Note that Y must not be a Seifert fibered space, for otherwise Σ would be a horizontal surface in Y since Σ is an essential surface with genus greater than 1. The circle fiber over any essential simple closed curves of Σ becomes an essential torus in Y which intrinsically intersects Σ , a contradiction. Therefore Y is a hyperbolic piece and M_f is not a closed graph manifold. \square

Theorem 6.8. *Let (N, R_+, R_-, γ) be a taut sutured manifold with R_+ and R_- both non-empty. Then (N, γ) is a product sutured manifold if and only if $\tau_u^{(2)}(N, R_+) = 1 \in \text{Wh}(\mathcal{D}_{\pi_1(N)})$.*

Proof. If (N, γ) is a product sutured manifold then clearly $\tau_u^{(2)}(N, R_+) = 1$.

Now we suppose $\tau_u^{(2)}(N, R_+) = 1$ and wish to prove that N is a product.

Case 1: $R_+ \cup R_-$ is a disjoint union of tori. In this case N is an admissible 3-manifold and $\tau_u^{(2)}(N) = \tau_u^{(2)}(N, R_+) = 1$. By Theorem 6.5 we know that any nonzero class is fibered, and whose Thurston norm vanishes by Theorem 6.4. This shows that N is homeomorphic to one of the following: the solid torus $D^2 \times S^1$, the thickened torus $T^2 \times I$, or the twisted I -bundle over Klein bottle $K \widetilde{\times} I$. Since R_+ and R_- are both non-empty, it follows that $(N, R_+, R_-, \gamma) = (T^2 \times I, T^2 \times \{1\}, T^2 \times \{0\}, \emptyset)$ and is indeed a product sutured manifold.

Case 2: $R_+ \cup R_-$ is not a union of tori. Choose a homeomorphism $f : R_+ \rightarrow R_+$ as in Lemma 6.7 such that the doubling DN_f is not a closed graph manifold. Then $R_+ \cup R_-$ is a Thurston norm minimizing surface dual to a cohomology class ϕ . By Theorem 5.8,

$$L_\phi \tau_u^{(2)}(DN_f) = (j_1)_* \tau_u^{(2)}(N, R_+) \cdot (j_2)_* \tau_u^{(2)}(N, \overline{R}_+)$$

where j_1, j_2 are the natural inclusions. Since $\tau_u^{(2)}(N, R_+) = \tau_u^{(2)}(N, R_-) = \tau_u^{(2)}(N, \overline{R}_+) = 1$ by Proposition 5.2, we have $L_\phi \tau_u^{(2)}(DN_f) = 1$. Note that DN_f is not a closed graph manifold, it follows from Theorem 6.5 that ϕ is a fibered class and hence $R_+ \cup R_-$ is a fiber surface. Therefore (N, γ) is a product sutured manifold. \square

7. APPLICATIONS OF THE UNIVERSAL L^2 -TORSIONS

7.1. Homomorphism between free groups. Let $F_1 = \langle x_1, \dots, x_n \rangle$ and $F_2 = \langle y_1, \dots, y_m \rangle$ be free groups of finite rank. Let $X_1 = \vee_{i=1}^n S^1$ and $X_2 = \vee_{i=1}^m S^1$ be the wedge of circles. The space X_1 is given the usual CW-structure with one 0-cell p and n 1-cells e_1, \dots, e_n . Identify the fundamental group $\pi_1(X_1, p)$ with F_1 in such a way that $x_i = [e_i]$. Similarly, X_2 is given the usual CW-structure with one 0-cell q and m 1-cells f_1, \dots, f_m . Identify $\pi_1(X_2, q)$ with F_2 accordingly. Then any homomorphism $\phi : F_1 \rightarrow F_2$ determines a continuous mapping $\Phi : X_1 \rightarrow X_2$ up to homotopy. We call Φ the *realization of ϕ with respect to the basis $\{x_i\}$ and $\{y_j\}$* .

Definition 7.1. Let $\phi : F_1 \rightarrow F_2$ be a homomorphism and let Φ be its realization. The universal L^2 -torsion of ϕ is define to be $\tau_u^{(2)}(\phi) := \tau_u^{(2)}(\Phi) \in \text{Wh}(\mathcal{D}_{F_2}) \sqcup \{0\}$.

Later we will show that the universal L^2 -torsion of ϕ does not depend on the choice of basis $\{x_i\}, \{y_j\}$. Before that, let's explicitly calculate $\tau_u^{(2)}(\phi)$ under the given basis. Denote by $\Phi : X_1 \rightarrow X_2$ the topological realization of ϕ . Form the wedge space $X_1 \vee X_2$ by identifying $p \in X$ and $q \in X_2$; then for any $i = 1, \dots, n$, attach a 2-cell σ_i whose boundary is the concatenation of $\Phi(e_i)$ and e_i^{-1} . The resulting cellular complex M_Φ is simple-homotopy equivalent to the mapping cylinder of Φ . Let \widehat{M}_Φ be the universal cover of M_Φ and let \widehat{X}_1 be the preimage of $X_1 \subset M_\Phi$. Fix a lifting $\hat{p} \in \widehat{M}_\Phi$ of p and lift the other cells with respect to the base point \hat{p} . Then we have the following $\mathbb{Z}F_2$ -chain complex

$$(\dagger) \quad C_*(\widehat{M}_\Phi, \widehat{X}) = (0 \rightarrow \mathbb{Z}F_2\langle\hat{\sigma}_1, \dots, \hat{\sigma}_n\rangle \xrightarrow{A_\phi} \mathbb{Z}F_2\langle\hat{f}_1, \dots, \hat{f}_m\rangle \rightarrow 0 \rightarrow 0).$$

The square matrix A_ϕ is called the *Jacobian of ϕ with respect to the basis y_1, \dots, y_m* . Recall that the Fox derivative $\frac{\partial}{\partial y_i} : \mathbb{Z}F_2 \rightarrow \mathbb{Z}F_2$, $i = 1, \dots, m$ are \mathbb{Z} -linear maps characterized by the following two properties:

- $\frac{\partial}{\partial y_i} 1 = 0$, $\frac{\partial}{\partial y_i} y_j = \delta_{ij}$.
- $\frac{\partial}{\partial y_i}(uv) = \frac{\partial}{\partial y_i} u + u \cdot \frac{\partial}{\partial x_i} v$ for all $u, v \in F_2$.

The entries of A_ϕ are then given by the Fox derivative

$$A_{ij} = \frac{\partial \phi(x_i)}{\partial y_j} \in \mathbb{Z}F_2, \quad 1 \leq i \leq n, \quad 1 \leq j \leq m.$$

Proposition 7.2. *Let $\phi : F_1 \rightarrow F_2$ be a homomorphism between finitely generated free groups $F_1 = \langle x_1, \dots, x_n \rangle$ and $F_2 = \langle y_1, \dots, y_m \rangle$. Then:*

- (1) $\tau_u^{(2)}(\phi) = \det_w(A_\phi)$ where A_ϕ is the Jacobian of ϕ with respect to the basis $\{x_i\}, \{y_j\}$. In particular if A_ϕ is not a weak isomorphism then $\tau_u^{(2)}(\phi) = 0$.
- (2) $\tau_u^{(2)}(\phi) = 1$ if ϕ is an isomorphism; $\tau_u^{(2)}(\phi) = 0$ if $m \neq n$ or ϕ is not injective.
- (3) If $F_3 = \langle z_1, \dots, z_k \rangle$ is another free group and $\psi : F_2 \rightarrow F_3$ is a homomorphism. Suppose ϕ, ψ have nonzero universal L^2 -torsions then $\tau_u^{(2)}(\psi \circ \phi) = \psi_* \tau_u^{(2)}(\phi) \cdot \tau_u^{(2)}(\psi)$.
- (4) The definition of $\tau_u^{(2)}(\phi)$ does not depend on the choice of free basis of $\{x_i\}, \{y_j\}$.

Proof. Firstly, (1) is immediate from Equation (\dagger) and Definition 7.1.

For (2), if ϕ is an isomorphism, then $\Phi : X_1 \rightarrow X_2$ is a homotopy equivalence. Since the Whitehead group of a free group is trivial [Sta65] then Φ is a simple homotopy equivalence and $\tau_u^{(2)}(\phi) = \tau_u^{(2)}(\Phi) = 1$ by Proposition 4.11(3). If $m \neq n$, then A_ϕ is not a square matrix and clearly $\tau_u^{(2)}(\phi) = 0$. The proof of (2) is finished once we established the following Lemma 7.3.

Lemma 7.3. *If $n = m$ and ϕ is not injective, then the Jacobian A_ϕ is not a weak isomorphism.*

Proof of Lemma 7.3. Suppose the contrary that ϕ is not injective, then there is a reduced word $w \in F_1$ such that $\phi(w) = 1$. Let $w = x_{i_1}^{\epsilon_1} \dots x_{i_k}^{\epsilon_k}$ be such a word with shortest length $k \geq 1$, where $i_1, \dots, i_k \in \{1, \dots, n\}$ and $\epsilon_1, \dots, \epsilon_k \in \{\pm 1\}$. We may assume that $x_{i_1}^{\epsilon_1} = x_1$ and $x_{i_k}^{\epsilon_k} \neq x_1^{-1}$. Denote by $w_s := x_{i_1}^{\epsilon_1} \dots x_{i_s}^{\epsilon_s}$, $s = 1, \dots, k$ to be the prefix of w of length s ; set $w_0 = 1$. For any $j = 1, \dots, n$, apply $\frac{\partial}{\partial y_j}$ to both sides of the identity $\phi(x_{i_1})^{\epsilon_1} \dots \phi(x_{i_k})^{\epsilon_k} = 1$, we have

$$\sum_{s=1}^k u_s \cdot \frac{\partial \phi(x_{i_s})}{\partial y_j} = 0, \quad \text{where} \quad u_s = \begin{cases} \phi(w_{s-1}), & \epsilon_s = 1, \\ -\phi(w_s), & \epsilon_s = -1. \end{cases}$$

Note that u_s does not depend on j . Rearranging the identities, we have

$$\sum_{i=1}^n U_i \cdot \frac{\partial \phi(x_i)}{\partial y_j} = 0, \quad j = 1, \dots, n$$

where U_i is the summation of all u_s such that $i_s = i$. Therefore

$$(U_1, U_2, \dots, U_n) \cdot A_\phi = 0.$$

If we could show that $U_i \neq 0$ for some i then this implies that A_ϕ is not a weak isomorphism, hence a contradiction. We prove that $U_1 \neq 0$. Let $1 \leq s_1 < s_2 < \dots < s_r \leq k$ be the collection of indices such that $i_{s_1} = \dots = i_{s_r} = 1$. Then $s_1 = 1$ by assumption and $U_1 = 1 + u_{s_2} + \dots + u_{s_r}$. Write $U_1 = 1 \pm \phi(w_{s'_2}) \pm \dots \pm \phi(w_{s'_r})$, where $w_{s'_j} = w_{s_j}$ or w_{s_j-1} , $j = 1, \dots, r$ depending on the sign of ϵ_{s_j} . Then we have $0 < s'_2 < \dots < s'_r < k$. This is because there are no segments of $(\dots x_1^{-1} x_1 \dots)$ in the reduced word w , and that w does not ends with x_1^{-1} . We claim that $\phi(w_{s'_j}) \in F$ are pairwise distinct, otherwise there are two distinct prefixes of w with the same image under ϕ and we find a reduced word whose length shorter than k that lies in $\ker \phi$, a contradiction. This shows that $U_1 \in \mathbb{Z}F$ is nonzero in $\mathbb{Z}F$ and finishes the proof. \square

Let's continue the proof of Proposition 7.2. For (3), ϕ and ψ are injective by (2). Therefore the result follows from Proposition 4.11(4) since

$$\tau_u^{(2)}(\phi \circ \psi) = \tau_u^{(2)}(\Phi \circ \Psi) = \phi_* \tau_u^{(2)}(\Psi) \cdot \tau_u^{(2)}(\Phi) = \phi_* \tau_u^{(2)}(\psi) \cdot \tau_u^{(2)}(\phi).$$

For (4), let F'_i be another copy of F_i but with a different choice of basis and let $\psi_i : F_i \rightarrow F_i$, $i = 1, 2$ be the isomorphism given by the base change. Suppose $\tau_u^{(2)}(\phi) \neq 0$, then by (3) we have

$$\tau_u^{(2)}(\phi \circ \psi_1) = \tau_u^{(2)}(\phi) \quad \text{and} \quad \tau_u^{(2)}(\psi_2 \circ \phi) = (\psi_2)_* \tau_u^{(2)}(\phi).$$

The first identity says that changing the basis of F_1 does not affect $\tau_u^{(2)}(\phi)$; the second identity says that $\tau_u^{(2)}(\psi_2 \circ \phi)$ is the push-forward of $\tau_u^{(2)}(\phi)$ via the base change ψ_2 , hence $\tau_u^{(2)}(\phi)$ does not depend on the basis of F_2 .

If $\tau_u^{(2)}(\phi) = 0$ then $\tau_u^{(2)}(\phi \circ \psi_1)$ and $\tau_u^{(2)}(\psi_2 \circ \phi)$ are both zero. This can be seen from the identities $\phi = (\phi \circ \psi_1) \circ \psi_1^{-1}$ and $\phi = \psi_2^{-1} \circ (\psi_2 \circ \phi)$; if either one of $\tau_u^{(2)}(\phi \circ \psi_1)$ and $\tau_u^{(2)}(\psi_2 \circ \phi)$ are nonzero, then $\tau_u^{(2)}(\phi)$ is also nonzero by (3), a contradiction. \square

It is an interesting question to characterize when a homomorphism $\phi : F_1 \rightarrow F_2$ to have nonzero universal L^2 -torsion. A finitely generated subgroup H of a free group F is called *compressed* if for any subgroup L of F containing H we have $\text{rank } H \leq \text{rank } L$. The following characterization given by [JZ24] is notable since it does not involve any L^2 -theories in its statement.

Theorem 7.4. *Let F_1, F_2 be finitely generated free groups of same rank and let $\phi : F_1 \rightarrow F_2$ be a homomorphism. Then $\tau_u^{(2)}(\phi) \neq 0$ if and only if ϕ is injective with compressed image $\text{im } \phi \subset F_2$.*

Proof. If ϕ is not injective then $\tau_u^{(2)}(\phi) = 0$ by Proposition 7.2(2). Now we assume that ϕ is injective and aim to show that $\tau_u^{(2)}(\phi) \neq 0$ if and only if $\text{im } \phi$ is compressed in F_2 .

Let $\Phi : X_1 \rightarrow X_2$ be the topological realization of ϕ and let M_Φ be the mapping cylinder. Then $\tau_u^{(2)}(\phi) \neq 0$ if and only if the pair (M_Φ, X_1) is L^2 -acyclic. By the homology long exact sequence this is equivalent to

$$H_1(X_1; \mathcal{D}_{F_2}) \rightarrow H_1(M_\Phi; \mathcal{D}_{F_2})$$

being injective (note that $\text{rank } H_1(X_1; \mathcal{D}_{F_2}) = \text{rank } H_1(M_\Phi; \mathcal{D}_{F_2}) = \text{rank } F_2 - 1$). By [JZ24, Corollary 1.2] this is equivalent to $\pi_1(X_1) \subset \pi_1(M_\Phi)$ being compressed, i.e. $\text{im } \phi \subset F_2$ is compressed. \square

7.2. 3-dimensional handlebodies. In the remaining part of this paper, a “handlebody” refers to a compact connected orientable 3-manifold obtained from attaching 1-handles to a 3-ball. The boundary of a handlebody is a connected closed surface. The homeomorphism type of a handlebody is determined by the genus of its boundary. A genus- g handlebody H_g refers to a handlebody whose boundary is a genus $g \geq 0$ surface. Note that H_g deformation retracts to the one-point union of g circles.

Consider a sutured structure (H_g, R_+, R_-, γ) on a genus- g handlebody, with the assumption that R_+ and R_- are both connected and $\chi(R_+) = \chi(R_-)$. Then it is clear that $\chi(R_+) = \chi(R_-) = 1 - g$, and the fundamental group of R_\pm are both isomorphic to a free group of rank g . The following Proposition 7.5 shows that the tautness is encoded in the group homomorphism $\pi_1(R_+) \rightarrow \pi_1(H_g)$ via the universal L^2 -torsion.

Proposition 7.5. *Suppose (H_g, R_+, R_-, γ) is a sutured manifold such that R_+, R_- are both connected and $\chi(R_+) = \chi(R_-)$ (we do not assume that R_\pm are incompressible). Then:*

- (1) $\tau_u^{(2)}(H_g, R_+) = \tau_u^{(2)}(\phi)$ where $\phi : \pi_1(R_+) \rightarrow \pi_1(H_g)$ is the inclusion-induced homomorphism.
- (2) (H_g, R_+, R_-, γ) is a taut sutured manifold if and only if $\tau_u^{(2)}(H_g, R_+) \neq 0$.
- (3) (H_g, R_+, R_-, γ) is a product sutured manifold if and only if $\tau_u^{(2)}(H_g, R_+) = 1$.

Proof. For (1), let $\Phi : R_+ \rightarrow H_g$ be the inclusion map and Let X be the one-point union of g circles. Since the Whitehead group of a free group is trivial, there are simple-homotopy equivalences $f_1 : \vee^g S^1 \rightarrow R_+$ and $f_2 : H_g \rightarrow \vee^g S^1$, then by Lemma 4.13

$$\tau_u^{(2)}(f_2 \circ \Phi \circ f_1) = (f_2)_* \tau_u^{(2)}(\Phi).$$

By definition, $f_2 \circ \iota \circ f_1$ is the topological realization of the homomorphism $(f_2)_* \circ \phi \circ (f_1)_*$ and therefore $\tau_u^{(2)}(f_2 \circ \Phi \circ f_1) = \tau_u^{(2)}((f_2)_* \circ \phi \circ (f_1)_*)$. By Proposition 7.2 this further equals $(f_2)_* \tau_u^{(2)}(\phi)$. So $(f_2)_* \tau_u^{(2)}(\Phi) = (f_2)_* \tau_u^{(2)}(\phi)$ and hence

$$\tau_u^{(2)}(H_g, R_+) = \tau_u^{(2)}(\Phi) = \tau_u^{(2)}(\phi).$$

For (2), the forward direction follows from Theorem 5.1(1). Now suppose $\tau_u^{(2)}(H_g, R_+) \neq 0$. By Proposition 5.2 we know that $\tau_u^{(2)}(H_g, R_-)$ is also nonzero. Then it follows from (1) and Proposition 7.2(2) that $R_\pm \subset H_g$ are both incompressible surfaces. Therefore (H_g, γ) is taut by Theorem 5.1(2).

Finally, (3) is a direct corollary of (2) and Theorem 6.8. \square

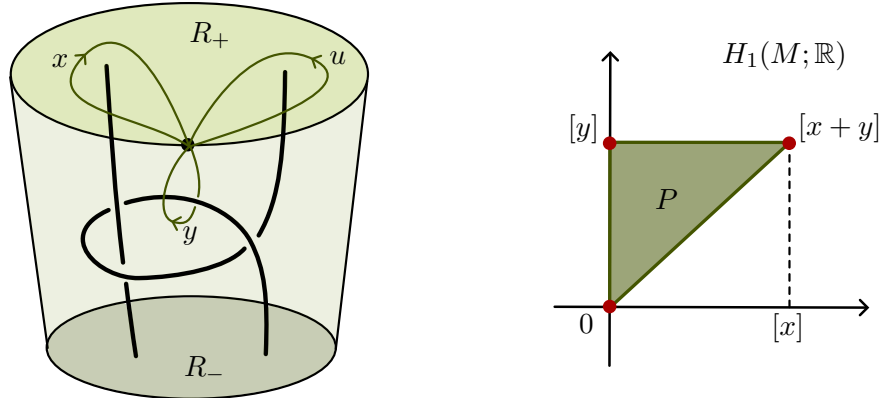


Figure 5. A sutured manifold M and a representative P of its L^2 -polytope in $H_1(M; \mathbb{R})$

Example 7.6. A sutured manifold M as in the left of Figure 5 is a 3-ball with 2 arcs removed. There are 3 sutured annulus separating ∂M into two pairs of pants R_+ and R_- . The manifold M is homeomorphic to a genus-2 handlebody whose fundamental group is generated by the loops x and y . The fundamental group of R_+ is generated by the loops x and u where $u = yxyx^{-1}y^{-1}$ in $\pi_1(M)$. Let $\phi : \pi_1(R_+) \rightarrow \pi_1(M)$ be the inclusion-induced homomorphism, then under the basis $\pi_1(R_+) = \langle x, u \rangle$, $\pi_1(M) = \langle x, y \rangle$, we have

$$A_\phi = \begin{pmatrix} \frac{\partial x}{\partial x} & \frac{\partial x}{\partial y} \\ \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ y - yxyx^{-1} & 1 + yx - u \end{pmatrix}.$$

Therefore by Proposition 7.5,

$$\tau_u^{(2)}(M, R_+) = \det_w(A_\phi) = [1 + yx - u].$$

The polytope map $\mathbb{P} : \text{Wh}(\mathcal{D}_{\pi_1(M)}) \rightarrow \mathcal{P}_{\mathbb{Z}}^{\text{Wh}}(H_1(M; \mathbb{Z}))$ sends $\tau_u^{(2)}(M, R_+)$ to a polytope $[P]$ where P is the convex hull of $\{0, [u] = [y], [x + y]\}$. Proposition 7.5 implies that M is a taut sutured manifold but not a product sutured manifold.

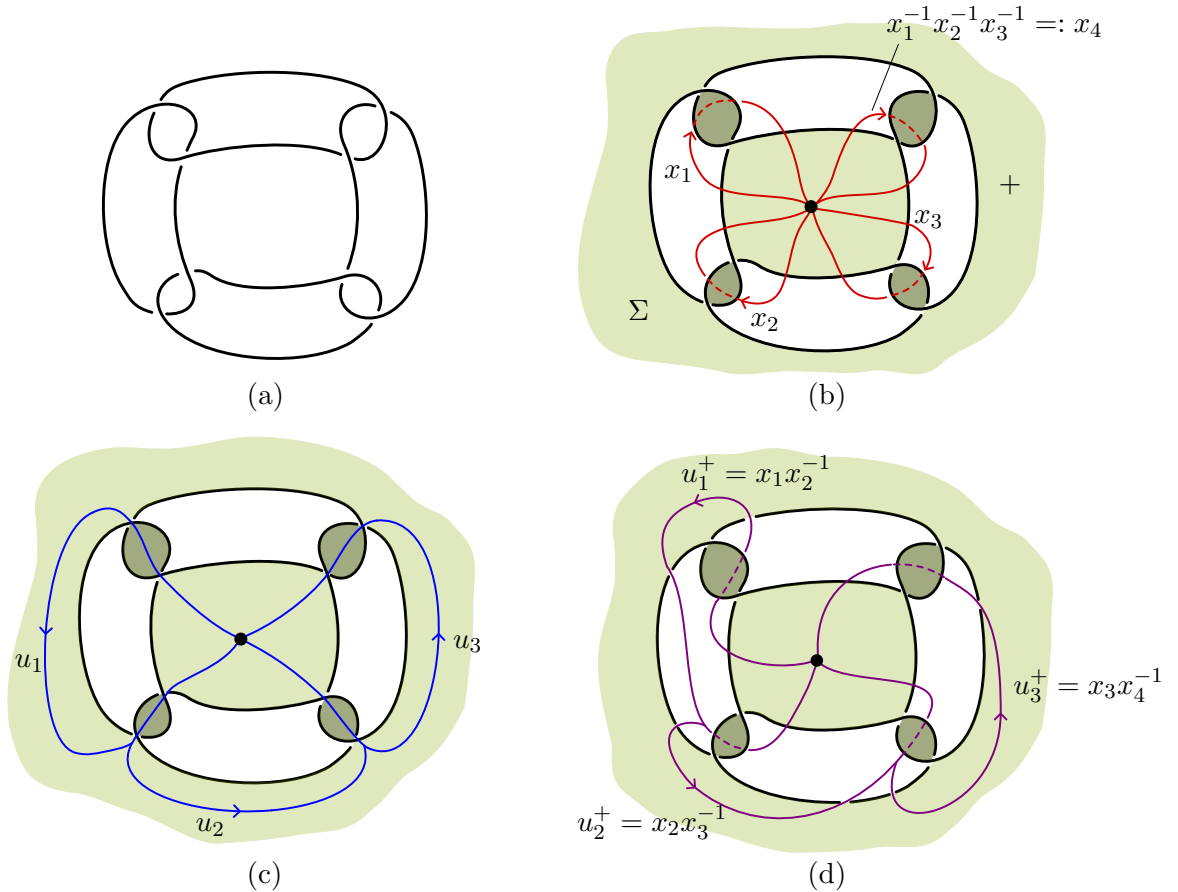


Figure 6. The n -chain link example, where $n = 4$

Example 7.7 (n -chain link). For each $n \geq 3$, the n -chain link L_n is an alternating link obtained from linking together n unknots in a cyclic way. A diagram of a 4-chain link is illustrated in Figure 6(a). Consider the natural Seifert surface Σ as is shown in Figure 6(b) (where the positive-side of Σ is in light green, while the negative-side of Σ is in dark green). Then Σ is obtained from two copies of disks D^2 by attaching n twisted-bands, and Σ deformation retracts to the wedge

of $(n-1)$ circles. The complement $S^3 \setminus \Sigma$ is a handle body of genus $(n-1)$, whose boundary is a union of two copies of Σ , namely Σ_+ and Σ_- . Choose a free basis for the fundamental group $\pi_1(S^3 \setminus \Sigma) = \langle x_1, \dots, x_{n-1} \rangle$ where x_i are represented by the red loops in Figure 6(b); a free basis for $\pi_1(\Sigma_+)$ is represented by the blue loops u_1, \dots, u_{n-1} in Figure 6(c). Pushing u_i slightly into the positive direction, we obtain its image u_i^+ under the inclusion $\Sigma_+ \hookrightarrow S^3 \setminus \Sigma$, which is represented by $x_i x_{i+1}^{-1}$ (we assume that $x_n := x_1^{-1} \cdots x_{n-1}^{-1}$, see Figure 6(b)). Let $\phi : \pi_1(\Sigma_+) \rightarrow \pi_1(S^3 \setminus \Sigma)$ be the inclusion-induced map, then

$$\text{im } \phi = \langle x_1 x_2^{-1}, x_2 x_3^{-1}, \dots, x_{n-2} x_{n-1}^{-1}, x_{n-1}^2 x_{n-2} \cdots x_2 x_1 \rangle \subset \langle x_1, \dots, x_{n-1} \rangle$$

and the Jacobian of ϕ is

$$A_\phi = \begin{pmatrix} 1 & -x_1 x_2^{-1} & & & \\ & 1 & -x_2 x_3^{-1} & & \\ & & \ddots & & \\ & & & 1 & -x_{n-2} x_{n-1}^{-1} \\ x_{n-1}^2 x_{n-2} \cdots x_2 & x_{n-1}^2 x_{n-2} \cdots x_3 & \cdots & x_{n-1}^2 & 1 + x_{n-1} \end{pmatrix}.$$

Lemma 7.8. *For $n \geq 3$, let*

$$B_n = \begin{pmatrix} 1 & -s_1 & & & \\ & 1 & -s_2 & & \\ & & \ddots & & \\ & & & 1 & -s_{n-2} \\ f_1 & f_2 & \cdots & f_{n-2} & f_{n-1} \end{pmatrix}$$

be a matrix over a skew field. Then its Dieudonné determinant

$$\det(B_n) = [f_1 s_1 s_2 \cdots s_{n-2} + f_2 s_2 s_3 \cdots s_{n-2} + \cdots f_{n-2} s_{n-2} + f_{n-1}].$$

Proof. When $n = 3$, the identity

$$\det(B_3) = \det \begin{pmatrix} 1 & -s_1 \\ f_1 & f_2 \end{pmatrix} = [f_1 s_1 + f_2]$$

holds true. For the general case, left-multiply $(-f_1)$ to the first row of B_n and add it to the last row, we eliminate the bottom-left entry and hence

$$\det(B_n) = \det \begin{pmatrix} 1 & -s_1 & & & \\ & 1 & -s_2 & & \\ & & \ddots & & \\ & & & 1 & -s_{n-2} \\ 0 & f_1 s_1 + f_2 & \cdots & f_{n-2} & f_{n-1} \end{pmatrix} = \det \begin{pmatrix} 1 & -s_2 & & & \\ & \ddots & & & \\ & & 1 & -s_{n-2} & \\ f_1 s_1 + f_2 & \cdots & f_{n-2} & f_{n-1} \end{pmatrix}.$$

The conclusion follows easily from an induction argument with respect to n . \square

Applying Lemma 7.8 to A_ϕ , note that $s_i s_{i+1} \cdots s_{n-2} = x_i x_{n-1}^{-1}$, it follows that

$$f_i s_i s_{i+1} \cdots s_{n-2} = x_{n-1}^2 x_{n-2} \cdots x_i x_{n-1}^{-1}, \quad 1 \leq i < n-1$$

and $f_{n-1} = 1 + x_{n-1}$. Denote by $y_i := x_{n-1} x_{n-2} \cdots x_i$, $i = 1, \dots, n-1$, then $\{y_1, \dots, y_{n-1}\}$ is another free basis of the fundamental group $\pi_1(S^3 \setminus \Sigma)$. By Proposition 7.5

$$\begin{aligned} \tau_u^{(2)}(S^3 \setminus \Sigma, \Sigma_+) &= \det_w(A_\phi) \\ &= [x_{n-1} \cdot (y_1 + y_2 + \cdots + y_{n-1} + 1) \cdot x_{n-1}^{-1}] \\ &= [y_1 + y_2 + \cdots + y_{n-1} + 1]. \end{aligned}$$

The L^2 -polytope of the sutured manifold $S^3 \setminus \Sigma$ is an $(n-1)$ -simplex of $H_1(S^3 \setminus \Sigma; \mathbb{R})$ spanned by n vertices $\{0, [y_1], \dots, [y_{n-1}]\}$. By Proposition 7.5 $S^3 \setminus \Sigma$ is a taut sutured manifold and Σ is a norm-minimizing Seifert surface for L . Moreover, L is not a fibered link.

Remark 7.9. Suppose G is a residually finite group. The Fuglede–Kadison determinant is a homomorphism $\det_{\mathcal{N}G} : \text{Wh}(\mathcal{D}_G) \rightarrow \mathbb{R}_+$. It has the property that for any L^2 -acyclic finite CW-complex X with $\pi_1(X) = G$, the Fuglede–Kadison determinant brings the universal L^2 -torsion $\tau_u^{(2)}(X)$ to the L^2 -torsion $\tau^{(2)}(X)$. Let G be the fundamental group $\pi_1(S^3 \setminus \Sigma)$. Then it follows from [BA22] that

$$\det_{\mathcal{N}G}([1 + y_1 + \dots + y_{n-1}]) = \frac{(n-1)^{\frac{n-1}{2}}}{n^{\frac{n-2}{2}}}.$$

Therefore the L^2 -torsion $\tau^{(2)}(S^3 \setminus \Sigma, \Sigma_+) = (n-1)^{\frac{n-1}{2}} / n^{\frac{n-2}{2}}$.

For an admissible 3-manifold N and a cohomology class $\phi \in H^1(N; \mathbb{Z})$, the L^2 -Alexander torsion is a function $\tau^{(2)}(N, \phi) : \mathbb{R}_+ \rightarrow [0, +\infty)$ [DFL16]. This function has a well-defined “degree” which equals to the Thurston norm of ϕ [FL19, Liu17], and a “leading coefficient” $C(N, \phi) \geq 1$ [Liu17]. It is proved in [Dua23] that $C(N, \phi)$ equals the L^2 -torsion of the pair $(N \setminus \Sigma, \Sigma_+)$ where Σ is a norm-minimizing surface dual to ϕ . Let X_n be the n -chain link complement and let $\phi \in H^1(X_n)$ be the Poincaré dual of Σ . It follows that

$$C(X_n, \phi) = \tau^{(2)}(X_n, \Sigma_+) = \frac{(n-1)^{\frac{n-1}{2}}}{n^{\frac{n-2}{2}}} \sim \sqrt{n/e}, \quad \text{as } n \rightarrow +\infty.$$

Therefore the n -chain link complements X_n give an infinite family of hyperbolic manifolds such that $C(X_n, \phi) > 1$ for a nonzero class $\phi \in H^1(X_n; \mathbb{Z}) \setminus \{0\}$, answering a question of [BAFH22, Conjecture 1.7].

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