# Intrinsic sound of anti-de Sitter manifolds

Toshiyuki Kobayashi

**Abstract** As is well-known for compact Riemann surfaces, eigenvalues of the Laplacian are distributed discretely and most of eigenvalues vary viewed as functions on the Teichmüller space. We discuss a new feature in the Lorentzian geometry, or more generally, in pseudo-Riemannian geometry. One of the distinguished features is that  $L^2$ -eigenvalues of the Laplacian may be distributed densely in  $\mathbb R$  in pseudo-Riemannian geometry. For three-dimensional anti-de Sitter manifolds, we also explain another feature proved in joint with F. Kassel [Adv. Math. 2016] that there exist countably many  $L^2$ -eigenvalues of the Laplacian that are stable under any small deformation of anti-de Sitter structure. Partially supported by Grant-in-Aid for Scientific Research (A) (25247006), Japan Society for the Promotion of Science. *Keywords and phrases:* Laplacian, locally symmetric space, Lorentzian manifold, spectral analysis, Clifford–Klein form, reductive group, discontinuous group 2010

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### 1 Introduction

Our "common sense" for music instruments says:

"shorter strings produce a higher pitch than longer strings",

"thinner strings produce a higher pitch than thicker strings".

Let us try to "hear the sound of pseudo-Riemannian locally symmetric spaces". Contrary to our "common sense" in the Riemannian world, we find a phenomenon that compact three-dimensional anti-de Sitter manifolds have "intrinsic sound"

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which is stable under any small deformation. This is formulated in the framework of spectral analysis of anti-de Sitter manifolds, or more generally, of pseudo-Riemannian locally symmetric spaces  $X_{\Gamma}$ . In this article, we give a flavor of this new topic by comparing it with the flat case and the Riemannian case.

To explain briefly the subject, let X be a pseudo-Riemannian manifold, and  $\Gamma$  a discrete isometry group acting properly discontinuously and freely on X. Then the quotient space  $X_{\Gamma} := \Gamma \setminus X$  carries a pseudo-Riemannian manifold structure such that the covering map  $X \to X_{\Gamma}$  is isometric. We are particularly interested in the case where  $X_{\Gamma}$  is a pseudo-Riemannian locally symmetric space, see Section 3.2.

Problems we have in mind are symbolized in the following diagram:

	existence problem	deformation v.s. rigidity	
Geometry	Does cocompact $\Gamma$ exist?	Higher Teichmüller theory v.s. rigidity theorem	
	(Section 4.1)	(Section 4.2)	
Analysis	Does $L^2$ -spectrum exist?	Whether $L^2$ -eigenvalues vary or not	
	(Problem A)	(Problem B)	

## 2 A program

In [5, 6, 12] we initiated the study of "spectral analysis on pseudo-Riemannian locally symmetric spaces" with focus on the following two problems:

**Problem A** Construct eigenfunctions of the Laplacian  $\Delta_{X_{\Gamma}}$  on  $X_{\Gamma}$ . Does there exist a nonzero  $L^2$ -eigenfunction?

**Problem B** Understand the behaviour of  $L^2$ -eigenvalues of the Laplacian  $\Delta_{X_{\Gamma}}$  on  $X_{\Gamma}$  under small deformation of  $\Gamma$  inside G.

Even when  $X_{\Gamma}$  is compact, the existence of countably many  $L^2$ -eigenvalues is already nontrivial because the Laplacian  $\Delta_{X_{\Gamma}}$  is not elliptic in our setting. We shall discuss in Section 2.2 for further difficulties concerning Problems A and B when  $X_{\Gamma}$  is non-Riemannian.

We may extend these problems by considering *joint* eigenfunctions for "invariant differential operators" on  $X_{\Gamma}$  rather than the single operator  $\Delta_{X_{\Gamma}}$ . Here by "invariant differential operators on  $X_{\Gamma}$ " we mean differential operators that are induced from G-invariant ones on X = G/H. In Section 7, we discuss Problems A and B in this general formulation based on the recent joint work [6, 7] with F. Kassel.

#### 2.1 Known results

Spectral analysis on a pseudo-Riemannian locally symmetric space  $X_{\Gamma} = \Gamma \backslash X = \Gamma \backslash G/H$  is already deep and difficult in the following special cases:

- 1) (noncommutative harmonic analysis on G/H)  $\Gamma = \{e\}$ . In this case, the group G acts unitarily on the Hilbert space  $L^2(X_\Gamma) = L^2(X)$  by translation  $f(\cdot) \mapsto f(g^{-1}\cdot)$ , and the irreducible decomposition of  $L^2(X)$  (*Plancherel-type formula*) is essentially equivalent to the spectral analysis of G-invariant differential operators when X is a semisimple symmetric space. Noncommutative harmonic analysis on semisimple symmetric spaces X has been developed extensively by the work of Helgason, Flensted-Jensen, Matsuki–Oshima–Sekiguchi, Delorme, van den Ban–Schlichtkrull among others as a generalization of Harish-Chandra's earlier work on the regular representation  $L^2(G)$  for group manifolds.
- 2) (automorphic forms) H is compact and  $\Gamma$  is arithmetic. If H is a maximal compact subgroup of G, then  $X_{\Gamma} = \Gamma \setminus G/H$  is a Riemannian locally symmetric space and the Laplacian  $\Delta_{X_{\Gamma}}$  is an elliptic differential operator. Then there exist infinitely many  $L^2$ -eigenvalues of  $\Delta_{X_{\Gamma}}$  if  $X_{\Gamma}$  is compact by the general theory for compact Riemannian manifolds (see Fact 1). If furthermore  $\Gamma$  is irreducible, then Weil's local rigidity theorem [18] states that nontrivial deformations exist only when X is the hyperbolic plane  $SL(2,\mathbb{R})/SO(2)$ , in which case compact quotients  $X_{\Gamma}$  have a classically-known deformation space modulo conjugation, i.e., their Teichmüller space. Viewed as a function on the Teichmüller space,  $L^2$ -eigenvalues vary analytically [1, 20], see Fact 11. Spectral analysis on  $X_{\Gamma}$  is closely related to the theory of automorphic forms in the Archimedian place if  $\Gamma$  is an arithmetic subgroup.
- 3) (abelian case)  $G = \mathbb{R}^{p+q}$  with  $H = \{0\}$  and  $\Gamma = \mathbb{Z}^{p+q}$ . We equip X = G/H with the standard flat pseudo-Riemannian structure of signature (p,q) (see Example 1). In this case, G is abelian, but X = G/H is non-Riemannian. This is seemingly easy, however, spectral analysis on the (p+q)-torus  $\mathbb{R}^{p+q}/\mathbb{Z}^{p+q}$  is much involved, as we shall observe a connection with Oppenheim's conjecture (see Section 5.2).

### 2.2 Difficulties in the new settings

If we try to attack a problem of spectral analysis on  $\Gamma \setminus G/H$  in the more general case where H is noncompact and  $\Gamma$  is infinite, then new difficulties may arise from several points of view:

(1) Geometry. The G-invariant pseudo-Riemannian structure on X = G/H is not Riemannian anymore, and discrete groups of isometries of X do not always act properly discontinuously on such X.

- (2) Analysis. The Laplacian  $\Delta_X$  on  $X_{\Gamma}$  is not an elliptic differential operator. Furthermore, it is not clear if  $\Delta_X$  has a self-adjoint extension on  $L^2(X_{\Gamma})$ .
- (3) Representation theory. If  $\Gamma$  acts properly discontinuously on X = G/H with H noncompact, then the volume of  $\Gamma \backslash G$  is infinite, and the regular representation  $L^2(\Gamma \backslash G)$  may have infinite multiplicities. In turn, the group G may not have a good control of functions on  $\Gamma \backslash G$ . Moreover  $L^2(X_\Gamma)$  is not a subspace of  $L^2(\Gamma \backslash G)$  because H is noncompact. All these observations suggest that an application of the representation theory of  $L^2(\Gamma \backslash G)$  to spectral analysis on  $X_\Gamma$  is rather limited when H is noncompact.

Point (1) creates some underlying difficulty to Problem B: we need to consider locally symmetric spaces  $X_{\Gamma}$  for which proper discontinuity of the action of  $\Gamma$  on X is preserved under small deformations of  $\Gamma$  in G. This is nontrivial. This question was first studied by the author [9, 11]. See [4] for further study. An interesting aspect of the case of noncompact H is that there are more examples where nontrivial deformations of compact quotients exist than for compact H (cf. Weil's local rigidity theorem [18]). Perspectives from Point (1) will be discussed in Section 4.

Point (2) makes Problem A nontrivial. It is not clear if the following well-known properties in the *Riemannian* case holds in our setting in the *pseudo-Riemannian* case.

#### **Fact 1** Suppose M is a compact Riemannian manifold.

- (1) The Laplacian  $\Delta_M$  extends to a self-adjoint operator on  $L^2(M)$ .
- (2) There exist infinitely many  $L^2$ -eigenvalues of  $\Delta_M$ .
- (3) An eigenfunction of  $\Delta_M$  is infinitely differentiable.
- (4) Each eigenspace of  $\Delta_M$  is finite-dimensional.
- (5) The set of  $L^2$ -eigenvalues is discrete in  $\mathbb{R}$ .

*Remark 1.* We shall see that the third to fifth properties of Fact 1 may fail in the pseudo-Riemannian case, *e.g.*, Example 6 for (3) and (4), and  $M = \mathbb{R}^{2,1}/\mathbb{Z}^3$  (Theorem 7) for (5).

In spite of these difficulties, we wish to reveal a mystery of spectral analysis of pseudo-Riemannian locally homogeneous spaces  $X_{\Gamma} = \Gamma \setminus G/H$ . We shall discuss self-adjoint extension of the Laplacian in the pseudo-Riemannian setting in Theorem 13, and the existence of countable many  $L^2$ -eigenvalues in Theorems 8, 12 and 13.

#### 3 Pseudo-Riemannian manifold

#### 3.1 Laplacian on pseudo-Riemannian manifolds

A pseudo-Riemannian manifold M is a smooth manifold endowed with a smooth, nondegenerate, symmetric bilinear tensor g of signature (p,q) for some  $p, q \in \mathbb{N}$ .

(M,g) is a Riemannian manifold if q=0, and is a Lorentzian manifold if q=1. The metric tensor g induces a Radon measure  $d\mu$  on X, and the divergence div. Then the Laplacian

$$\Delta_M := \text{div grad},$$

is a differential operator of second order which is a symmetric operator on the Hilbert space  $L^2(X, d\mu)$ .

Example 1. Let (M,g) be the standard flat pseudo-Riemannian manifold:

$$\mathbb{R}^{p,q} := (\mathbb{R}^{p+q}, dx_1^2 + \dots + dx_p^2 - dx_{p+1}^2 - \dots - dx_{p+q}^2).$$

Then the Laplacian takes the form

$$\Delta_{\mathbb{R}^{p,q}} = \frac{\partial^2}{\partial x_1^2} + \dots + \frac{\partial^2}{\partial x_p^2} - \frac{\partial^2}{\partial x_{p+1}^2} - \dots - \frac{\partial^2}{\partial x_{p+q}^2}.$$

In general,  $\Delta_M$  is an elliptic differential operator if (M,g) is Riemannian, and is a hyperbolic operator if (M,g) is Lorentzian.

## 3.2 Homogeneous pseudo-Riemannian manifolds

A typical example of pseudo-Riemannian manifolds X with "large" isometry groups is semisimple symmetric spaces, for which the infinitesimal classification was accomplished by M. Berger in 1950s. In this case, X is given as a homogeneous space G/H where G is a semisimple Lie group and H is an open subgroup of the fixed point group  $G^{\sigma} = \{g \in G : \sigma g = g\}$  for some involutive automorphism  $\sigma$  of G. In particular,  $G \supset H$  are a pair of reductive Lie groups.

More generally, we say G/H is a reductive homogeneous space if  $G \supset H$  are a pair of real reductive algebraic groups. Then we have the following:

**Proposition 1.** Any reductive homogeneous space X = G/H carries a pseudo-Riemannian structure such that G acts on X by isometries.

*Proof.* By a theorem of Mostow, we can take a Cartan involution  $\theta$  of G such that  $\theta H = H$ . Then  $K := G^{\theta}$  is a maximal compact subgroup of G, and  $H \cap K$  is that of H. Let  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  be the corresponding Cartan decomposition of the Lie algebra  $\mathfrak{g}$  of G. Take an  $\mathrm{Ad}(G)$ -invariant nondegenerate symmetric bilinear form  $\langle \, , \, \rangle$  on  $\mathfrak{g}$  such that  $\langle \, , \, \rangle|_{\mathfrak{k} \times \mathfrak{k}}$  is negative definite,  $\langle \, , \, \rangle|_{\mathfrak{p} \times \mathfrak{p}}$  is positive definite, and  $\mathfrak{k}$  and  $\mathfrak{p}$  are orthogonal to each other. (If G is semisimple, then we may take  $\langle \, , \, \rangle$  to be the Killing form of  $\mathfrak{g}$ .)

Since  $\theta H = H$ , the Lie algebra  $\mathfrak h$  of H is decomposed into a direct sum  $\mathfrak h = (\mathfrak h \cap \mathfrak k) + (\mathfrak h \cap \mathfrak p)$ , and therefore the bilinear form  $\langle \, , \, \rangle$  is non-degenerate when restricted to  $\mathfrak h$ . Then  $\langle \, , \, \rangle$  induces an  $\mathrm{Ad}(H)$ -invariant nondegenerate symmetric bilinear form  $\langle \, , \, \rangle_{\mathfrak g/\mathfrak h}$  on the quotient space  $\mathfrak g/\mathfrak h$ , with which we identify the tangent space  $T_o(G/H)$ 

at the origin  $o = eH \in G/H$ . Since the bilinear form  $\langle , \rangle_{\mathfrak{g}/\mathfrak{h}}$  is Ad(H)-invariant, the left translation of this form is well-defined and gives a pseudo-Riemannian structure g on G/H of signature  $(\dim \mathfrak{p}/\mathfrak{h} \cap \mathfrak{p}, \dim \mathfrak{k}/\mathfrak{h} \cap \mathfrak{k})$ . By the construction, the group G acts on the pseudo-Riemannian manifold (G/H,g) by isometries.  $\square$ 

## 3.3 Pseudo-Riemannian manifolds with constant curvature, Anti-de Sitter manifolds

Let  $Q_{p,q}(x) := x_1^2 + \dots + x_p^2 - x_{p+1}^2 - \dots - x_{p+q}^2$  be a quadratic form on  $\mathbb{R}^{p+q}$  of signature (p,q), and we denote by O(p,q) the indefinite orthogonal group preserving the form  $Q_{p,q}$ . We define two hypersurfaces  $M_{\pm}^{p,q}$  in  $\mathbb{R}^{p+q}$  by

$$M_{+}^{p,q} := \{ x \in \mathbb{R}^{p+q} : Q_{p,q}(x) = \pm 1 \}.$$

By switching p and q, we have an obvious diffeomorphism

$$M^{p,q}_{\perp} \simeq M^{q,p}_{\perp}$$
.

The flat pseudo-Riemannian structure  $\mathbb{R}^{p,q}$  (Example 1) induces a pseudo-Riemannian structure on the hypersurface  $M_{+}^{p,q}$  of signature (p-1,q) with constant curvature 1, and that on  $M_{-}^{p,q}$  of signature (p,q-1) with constant curvature -1.

The natural action of the group O(p,q) on  $\mathbb{R}^{p,q}$  induces an isometric and transitive action on the hypersurfaces  $M_{\pm}^{p,q}$ , and thus they are expressed as homogeneous spaces:

$$M_{+}^{p,q} \simeq O(p,q)/O(p-1,q), \quad M_{-}^{p,q} \simeq O(p,q)/O(p,q-1),$$

giving examples of pseudo-Riemannian homogeneous spaces as in Proposition 1. The *anti-de Sitter space*  $AdS^n = M_-^{n-1,2}$  is a model space for *n*-dimensional Lorentzian manifolds of constant negative sectional curvature, or anti-de Sitter nmanifolds. This is a Lorentzian analogue of the real hyperbolic space  $H^n$ . For the convenience of the reader, we list model spaces of Riemannian and Lorentzian manifolds with constant positive, zero, and negative curvatures.

Riemannian manifolds with constant curvature:

$$S^n = M_+^{n+1,0} \simeq O(n+1)/O(n)$$
 : standard sphere,  
 $\mathbb{R}^n$  : Euclidean space,  
 $H^n = M_-^{n,1} \simeq O(1,n)/O(n)$  : hyperbolic space,

Lorentzian manifolds with constant curvature:

$$\mathrm{dS}^n=M_+^{n,1}\simeq O(n,1)/O(n-1,1)$$
 : de Sitter space,   
  $\mathbb{R}^{n-1,1}$  : Minkowski space,   
  $\mathrm{AdS}^n=M_-^{n-1,2}\simeq O(2,n-1)/O(1,n-1)$  : anti-de Sitter space,

## 4 Discontinuous groups for pseudo-Riemannian manifolds

## 4.1 Existence problem of compact Clifford-Klein forms

Let H be a closed subgroup of a Lie group G, and X = G/H, and  $\Gamma$  a discrete subgroup of G. If H is compact, then the double coset space  $\Gamma \backslash G/H$  becomes a  $C^{\infty}$ -manifold for any torsion-free discrete subgroup  $\Gamma$  of G. However, we have to be careful for noncompact H, because not all discrete subgroups acts properly discontinuously on G/H, and  $\Gamma \backslash G/H$  may not be Hausdorff in the quotient topology. We illustrate this feature by two general results:

- **Fact 2**(1) (Moore's ergodicity theorem [15]) Let G be a simple Lie group, and  $\Gamma$  a lattice. Then  $\Gamma$  acts ergodically on G/H for any noncompact closed subgroup H. In particular,  $\Gamma \setminus G/H$  is non-Hausdorff.
- (2) (Calabi–Markus phenomenon ([2, 8])) Let G be a reductive Lie group, and  $\Gamma$  an infinite discrete subgroup. Then  $\Gamma \backslash G/H$  is non-Hausdorff for any reductive subgroup H with  $\operatorname{rank}_{\mathbb{R}} G = \operatorname{rank}_{\mathbb{R}} H$ .

In fact, determining which groups act properly discontinuously on reductive homogeneous spaces G/H is a delicate problem, which was first considered in full generality by the author; we refer to [13, Section 3.2] for a survey.

Suppose now a discrete subgroup  $\Gamma$  acts properly discontinuously and freely on X = G/H. Then the quotient space

$$X_{\Gamma} := \Gamma \backslash X \simeq \Gamma \backslash G/H$$

carries a  $C^{\infty}$ -manifold structure such that the quotient map  $p: X \to X_{\Gamma}$  is a covering, through which  $X_{\Gamma}$  inherits any G-invariant local geometric structure on X. We say  $\Gamma$  is a discontinuous group for X and  $X_{\Gamma}$  is a Clifford–Klein form of X = G/H.

- Example 2.(1) If X = G/H is a reductive homogeneous space, then any Clifford–Klein form  $X_{\Gamma}$  carries a pseudo-Riemannian structure by Proposition 1.
- (2) If X = G/H is a semisimple symmetric space, then any Clifford–Klein form  $X_{\Gamma} = \Gamma \setminus G/H$  is a pseudo-Riemannian locally symmetric space, namely, the (local) geodesic symmetry at every  $p \in X_{\Gamma}$  with respect to the Levi-Civita connection is locally isometric.

By *space forms*, we mean pseudo-Riemannian manifolds of constant sectional curvature. They are examples of pseudo-Riemannian locally symmetric spaces. For simplicity, we shall assume that they are geodesically complete.

Example 3. Clifford–Klein forms of  $M_+^{p+1,q} = O(p+1,q)/O(p,q)$  (respectively,  $M_-^{p,q+1} = O(p,q+1)/O(p,q)$ ) are pseudo-Riemannian space forms of signature (p,q) with positive (respectively, negative) curvature. Conversely, any (geodesically complete) pseudo-Riemannian space form of signature (p,q) is of this form as far as  $p \neq 1$  for positive curvature or  $q \neq 1$  for negative curvature.

A general question for reductive homogeneous spaces G/H is:

Question 1. Does compact Clifford–Klein forms of G/H exist?

or equivalently,

Question 2. Does there exist a discrete subgroup  $\Gamma$  of G acting cocompactly and properly discontinuously on G/H?

This question has an affirmative answer if H is compact by a theorem of Borel. In the general setting where H is noncompact, the question relates with a "global theory" of pseudo-Riemannian geometry: how local pseudo-Riemannian homogeneous structure affects the global nature of manifolds? A classic example is space form problem which asks the global properties (e.g. compactness, volume, fundamental groups, etc.) of a pseudo-Riemannian manifold of constant curvature (local property). The study of discontinuous groups for  $M_+^{p+1,q}$  and  $M_-^{p,q+1}$  shows the following results in pseudo-Riemannian space forms of signature (p,q):

#### Fact 3 Space forms of positive curvature are

- (1) always closed if q = 0, i.e., sphere geometry in the Riemannian case;
- (2) never closed if  $p \ge q > 0$ , in particular, if q = 1 (de Sitter geometry in the Lorentzian case [2]).

The phenomenon in the second statement is called the *Calabi–Markus phenomenon* (see Fact 2 (2) in the general setting).

Fact 4 Compact space forms of negative curvature exist

- (1) for all dimensions if q = 0, i.e., hyperbolic geometry in the Riemannian case;
- (2) for odd dimensions if q = 1, i.e., anti-de Sitter geometry in the Lorentzian case;
- (3)  $for(p,q) = (4m,3) (m \in \mathbb{N}) or(8,7).$

See [13, Section 4] for the survey of the space form problem in pseudo-Riemannian geometry and also of Question 1 for more general G/H.

A large and important class of Clifford–Klein forms  $X_{\Gamma}$  of a reductive homogeneous space X = G/H is constructed as follows (see [8]).

**Definition 1.** A quotient  $X_{\Gamma} = \Gamma \backslash X$  of X by a discrete subgroup  $\Gamma$  of G is called *standard* if  $\Gamma$  is contained in some reductive subgroup L of G acting properly on X.

If a subgroup L acts properly on G/H, then any discrete subgroup of  $\Gamma$  acts properly discontinuously on G/H. A handy criterion for the triple (G,H,L) of reductive groups such that L acts properly on G/H is proved in [8], as we shall recall below.

Let  $G = K \exp \overline{\mathfrak{a}_+} K$  be a Cartan decomposition, where  $\mathfrak{a}$  is a maximal abelian subspace of  $\mathfrak{p}$  and  $\overline{\mathfrak{a}_+}$  is the dominant Weyl chamber with respect to a fixed positive system  $\Sigma^+(\mathfrak{g},\mathfrak{a})$ . This defines a map  $\mu: G \to \overline{\mathfrak{a}_+}$  (*Cartan projection*) by

$$\mu(k_1e^Xk_2) = X$$
 for  $k_1, k_2 \in K$  and  $X \in \mathfrak{a}$ .

It is continuous, proper and surjective. If H is a reductive subgroup, then there exists  $g \in G$  such that  $\mu(gHg^{-1})$  is given by the intersection of  $\overline{\mathfrak{a}_+}$  with a subspace of dimension  $\operatorname{rank}_{\mathbb{R}} H$ . By an abuse of notation, we use the same H instead of  $gHg^{-1}$ . With this convention, we have:

**Properness Criterion 5 ([8])** *L* acts properly on G/H if and only if  $\mu(L) \cap \mu(H) = \{0\}$ .

By taking a lattice  $\Gamma$  of such L, we found a family of pseudo-Riemannian locally symmetric spaces  $X_{\Gamma}$  in [8, 13]. The list of symmetric spaces admitting standard Clifford–Klein forms of finite volume (or compact forms) include  $M_{-}^{p,q+1} = O(p,q+1)/O(p,q)$  with (p,q) satisfying the conditions in Fact 4. Further, by applying Properness Criterion 5, Okuda [16] gave examples of pseudo-Riemannian locally symmetric spaces  $\Gamma \setminus G/H$  of infinite volume where  $\Gamma$  is isomorphic to the fundamental group  $\pi_1(\Sigma_g)$  of a compact Riemann surface  $\Sigma_g$  with  $g \geq 2$ .

For the construction of stable spectrum on  $X_{\Gamma}$  (see Theorem 10 and Theorem 12 (2) below), we introduced in [6, Section 1.6] the following concept:

**Definition 2.** A discrete subgroup  $\Gamma$  of G acts *strongly properly discontinuously* (or *sharply*) on X = G/H if there exists C, C' > 0 such that for all  $\gamma \in \Gamma$ ,

$$d(\mu(\gamma), \mu(H)) \ge C \|\mu(\gamma)\| - C'.$$

Here  $d(\cdot,\cdot)$  is a distance in  $\mathfrak a$  given by a Euclidean norm  $\|\cdot\|$  which is invariant under the Weyl group of the restricted root system  $\Sigma(\mathfrak g,\mathfrak a)$ . We say the positive number C is the first sharpness constant for  $\Gamma$ .

If a reductive subgroup L acts properly on a reductive homogeneous space G/H, then the action of a discrete subgroup  $\Gamma$  of L is strongly properly discontinuous ([6, Example 4.10]).

#### 4.2 Deformation of Clifford-Klein forms

Let G be a Lie group and  $\Gamma$  a finitely generated group. We denote by  $\operatorname{Hom}(\Gamma, G)$  the set of all homomorphisms of  $\Gamma$  to G topologized by pointwise convergence. By taking a finite set  $\{\gamma_1, \dots, \gamma_k\}$  of generators of  $\Gamma$ , we can identify  $\operatorname{Hom}(\Gamma, G)$  as a subset of the direct product  $G \times \dots \times G$  by the inclusion:

$$\operatorname{Hom}(\Gamma, G) \hookrightarrow G \times \cdots \times G, \quad \varphi \mapsto (\varphi(\gamma_1), \cdots, \varphi(\gamma_k)). \tag{1}$$

If  $\Gamma$  is finitely presentable, then  $\operatorname{Hom}(\Gamma,G)$  is realized as a real analytic variety via (1).

Suppose G acts continuously on a manifold X. We shall take X = G/H with noncompact closed subgroup H later. Then not all discrete subgroups act properly discontinuously on X in this general setting. The main difference of the following definition of the author [9] in the general case from that of Weil [18] is a requirement of proper discontinuity.

$$R(\Gamma, G; X) := \{ \varphi \in \operatorname{Hom}(\Gamma, G) : \varphi \text{ is injective,}$$
 (2) and  $\varphi(\Gamma)$  acts properly discontinuously and freely on  $G/H \}$ .

Suppose now X = G/H for a closed subgroup H. Then the double coset space  $\varphi(\Gamma)\backslash G/H$  forms a family of manifolds that are locally modelled on G/H with parameter  $\varphi \in R(\Gamma,G;X)$ . To be more precise on "parameter", we note that the conjugation by an element of G induces an automorphism of  $\operatorname{Hom}(\Gamma,G)$  which leaves  $R(\Gamma,G;X)$  invariant. Taking these unessential deformations into account, we define the *deformation space* (*generalized Teichmüller space*) as the quotient set

$$\mathcal{T}(\Gamma, G; X) := R(\Gamma, G; X)/G.$$

Example 4.(1) Let  $\Gamma$  be the surface group  $\pi_1(\Sigma_g)$  of genus  $g \geq 2$ ,  $G = PSL(2, \mathbb{R})$ ,  $X = H^2$  (two-dimensional hyperbolic space). Then  $\mathcal{T}(\Gamma, G; X)$  is the classical Teichmüller space, which is of dimension 6g - 6.

- (2)  $G = \mathbb{R}^n$ ,  $X = \mathbb{R}^n$ ,  $\Gamma = \mathbb{Z}^n$ . Then  $\mathscr{T}(\Gamma, G; X) \simeq GL(n, \mathbb{R})$  (see (4) below).
- (3) G = SO(2,2),  $X = AdS^3$ , and  $\Gamma = \pi_1(\Sigma_g)$ . Then  $\mathcal{T}(\Gamma, G; X)$  is of dimension 12g 12 (see [6, Section 9.2] and references therein).

Remark 2. There is a natural isometry between  $X_{\varphi(\Gamma)}$  and  $X_{\varphi(g\Gamma g^{-1})}$ . Hence, the set  $\operatorname{Spec}_d(X_{\varphi(\Gamma)})$  of  $L^2$ -eigenvalues is independent of the conjugation of  $\varphi \in R(\Gamma,G;X)$  by an element of G. By an abuse of notation we shall write  $\operatorname{Spec}_d(X_{\varphi(\Gamma)})$  for  $\varphi \in \mathscr{T}(\Gamma,G;X)$  when we deal with Problem B of Section 2.

# 5 Spectrum on $\mathbb{R}^{p,q}/\mathbb{Z}^{p+q}$ and Oppenheim conjecture

This section gives an elementary but inspiring observation of spectrum on flat pseudo-Riemannian manifolds.

## 5.1 Spectrum of $\mathbb{R}^{p,q}/\varphi(\mathbb{Z}^{p+q})$

Let  $G = \mathbb{R}^n$  and  $\Gamma = \mathbb{Z}^n$ . Then the group homomorphism  $\varphi : \Gamma \to G$  is uniquely determined by the image  $\varphi(\mathbf{e}_i)$   $(1 \le j \le n)$  where  $\mathbf{e}_1, \dots, \mathbf{e}_n \in \mathbb{Z}^n$  are the standard

basis, and thus we have a bijection

$$\operatorname{Hom}(\Gamma, G) \stackrel{\sim}{\leftarrow} M(n, \mathbb{R}), \quad \varphi_g \leftarrow g$$
 (3)

by  $\varphi_g(\mathbf{m}) := g\mathbf{m}$  for  $\mathbf{m} \in \mathbb{Z}^n$ , or equivalently, by  $g = (\varphi_g(\mathbf{e}_1), \cdots, \varphi_g(\mathbf{e}_n))$ .

Let  $\sigma \in \operatorname{Aut}(G)$  be defined by  $\sigma(\mathbf{x}) := -\mathbf{x}$ . Then  $H := G^{\sigma} = \{0\}$  and  $X := G/H \simeq \mathbb{R}^n$  is a symmetric space. The discrete group  $\Gamma$  acts properly discontinuously on X via  $\varphi_g$  if and only if  $g \in GL(n,\mathbb{R})$ . Moreover, since G is abelian, G acts trivially on  $\operatorname{Hom}(\Gamma,G)$  by conjugation, and therefore the deformation space  $\mathscr{T}(\Gamma,G;X)$  identifies with  $R(\Gamma,G;X)$ . Hence we have a natural bijection between the two subsets of (3):

$$\mathscr{T}(\Gamma, G; X) \stackrel{\sim}{\leftarrow} GL(n, \mathbb{R}).$$
 (4)

Fix  $p, q \in \mathbb{N}$  such that p+q=n, and we endow  $X \simeq \mathbb{R}^n$  with the standard flat indefinite metric  $\mathbb{R}^{p,q}$  (see Example 1). Let us determine  $\operatorname{Spec}_d(X_{\varphi_g(\Gamma)}) \simeq \operatorname{Spec}_d(\mathbb{R}^{p,q}/\varphi_g(\mathbb{Z}^n))$  for  $g \in GL(n,\mathbb{R}) \simeq \mathscr{T}(\Gamma,G;X)$ .

For this, we define a function on  $X = \mathbb{R}^n$  by

$$f_{\mathbf{m}}(\mathbf{x}) := \exp(2\pi\sqrt{-1}^t \mathbf{m} g^{-1} \mathbf{x}) \qquad (\mathbf{x} \in \mathbb{R}^n)$$

for each  $\mathbf{m} \in \mathbb{Z}^n$  where  $\mathbf{x}$  and  $\mathbf{m}$  are regarded as column vectors. Clearly,  $f_{\mathbf{m}}$  is  $\varphi_g(\Gamma)$ -periodic and defines a real analytic function on  $X_{\varphi_g(\Gamma)}$ . Furthermore,  $f_{\mathbf{m}}$  is an eigenfunction of the Laplacian  $\Delta_{\mathbb{R}^{p,q}}$ :

$$\Delta_{\mathbb{R}^{p,q}} f_{\mathbf{m}} = -4\pi^2 Q_{g^{-1}I_{p,q}{}^tg^{-1}}(\mathbf{m}) f_{\mathbf{m}},$$

where, for a symmetric matrix  $S \in M(n,\mathbb{R})$ ,  $Q_S$  denotes the quadratic form on  $\mathbb{R}^n$  given by

$$Q_S(\mathbf{y}) := {}^t \mathbf{y} S \mathbf{y}$$
 for  $\mathbf{y} \in \mathbb{R}^n$ .

Since  $\{f_{\mathbf{m}}: \mathbf{m} \in \mathbb{Z}^n\}$  spans a dense subspace of  $L^2(X_{\varphi_{\sigma}(\Gamma)})$ , we have shown:

**Proposition 2.** *For any*  $g \in GL(n, \mathbb{R}) \simeq \mathscr{T}(\Gamma, G; X)$ ,

$$\operatorname{Spec}_d(X_{\varphi_g(\Gamma)}) = \{-4\pi^2 Q_{g^{-1}I_{p,q'g^{-1}}}(\mathbf{m}) : \mathbf{m} \in \mathbb{Z}^n\}.$$

Here are some observation in the n = 1, 2 cases.

Example 5. Let n=1 and (p,q)=(1,0). Then  $\operatorname{Spec}_d(X_{\varphi_g(\Gamma)})=\{-4\pi^2m^2/g^2: m\in\mathbb{Z}\}$  for  $g\in\mathbb{R}^\times\simeq GL(1,\mathbb{R})$  by Proposition 2. Thus the smaller the period |g| is, the larger the absolute value of the eigenvalue  $|-4\pi^2m^2/g^2|$  becomes for each fixed  $m\in\mathbb{Z}\setminus\{0\}$ . This is thought of as a mathematical model of a music instrument for which shorter strings produce a higher pitch than longer strings (see Introduction).

Example 6. Let n=2 and (p,q)=(1,1). Take  $g=I_2$ , so that  $\varphi_g(\Gamma)=\mathbb{Z}^2$  is the standard lattice. Then the  $L^2$ -eigenspace of the Laplacian  $\Delta_{\mathbb{R}^{1,1}/\mathbb{Z}^2}$  for zero eigenvalue contains  $W:=\{\psi(x-y):\psi\in L^2(\mathbb{R}/\mathbb{Z})\}$ . Since W is infinite-dimensional and  $W\not\subset C^\infty(\mathbb{R}^2/\mathbb{Z}^2)$ , the third and fourth statements of Fact 1 fail in this pseudo-Riemannian setting.

By the explicit description of  $\operatorname{Spec}_d(X_{\varphi(\Gamma)})$  for all  $\varphi \in \mathscr{T}(\Gamma,G;X)$  in Proposition 2, we can also tell the behaviour of  $\operatorname{Spec}_d(X_{\varphi(\Gamma)})$  under deformation of  $\Gamma$  by  $\varphi$ . Obviously, any constant function on  $X_{\varphi(\Gamma)}$  is an eigenfunction of the Laplacian  $\Delta_{X_{\varphi(\Gamma)}} = \Delta_{\mathbb{R}^{p,q}}/\varphi(\mathbb{Z}^{p+q})$  with eigenvalue zero. We see that this is the unique stable  $L^2$ -eigenvalue in the flat compact manifold:

**Corollary 1 (non-existence of stable eigenvalues).** *Let* n = p + q *with*  $p, q \in \mathbb{N}$ *. For any open subset* V *of*  $\mathcal{T}(\Gamma, G; X)$ *,* 

$$\bigcap_{\varphi \in V} \operatorname{Spec}_d(X_{\varphi(\varGamma)}) = \{0\}.$$

## 5.2 Oppenheim's conjecture and stability of spectrum

In 1929, Oppenheim [17] raised a question about the distribution of an indefinite quadratic forms at integral points. The following theorem, referred to as Oppenheim's conjecture, was proved by Margulis (see [14] and references therein).

**Fact 6 (Oppenheim's conjecture)** Suppose  $n \geq 3$  and Q is a real nondegenerate indefinite quadratic form in n variables. Then either Q is proportional to a form with integer coefficients (and thus  $Q(\mathbb{Z}^n)$  is discrete in  $\mathbb{R}$ ), or  $Q(\mathbb{Z}^n)$  is dense in  $\mathbb{R}$ .

Combining this with Proposition 2, we get the following.

**Theorem 7.** Let p+q=n,  $p \geq 2$ ,  $q \geq 1$ ,  $G=\mathbb{R}^n$ ,  $X=\mathbb{R}^{p,q}$  and  $\Gamma=\mathbb{Z}^n$ . We define an open dense subset U of  $\mathcal{T}(\Gamma,G;X) \simeq GL(n,\mathbb{R})$  by

$$U := \{g \in GL(n,\mathbb{R}) : g^{-1}I_{p,q}{}^tg^{-1} \text{ is not proportional to an element of } M(n,\mathbb{Z}).\}$$

Then the set  $\operatorname{Spec}_d(X_{\varphi(\Gamma)})$  of  $L^2$ -eigenvalues of the Laplacian is dense in  $\mathbb R$  if and only if  $\varphi \in U$ .

Thus the fifth statement of Fact 1 for compact Riemannian manifolds do fail in the pseudo-Riemannian case.

#### 6 Main results—sound of anti-de Sitter manifolds

## 6.1 Intrinsic sound of anti-de Sitter manifolds

In general, it is not clear whether the Laplacian  $\Delta_M$  admits infinitely many  $L^2$ -eigenvalues for compact pseudo-Riemannian manifolds. For anti-de Sitter 3-manifolds, we proved in [6, Theorem 1.1]:

**Theorem 8.** For any compact anti-de Sitter 3-manifold M, there exist infinitely many  $L^2$ -eigenvalues of the Laplacian  $\Delta_M$ .

In the abelian case, it is easy to see that compactness of  $X_{\Gamma}$  is necessary for the existence of  $L^2$ -eigenvalues:

**Proposition 3.** Let  $G = \mathbb{R}^{p+q}$ ,  $X = \mathbb{R}^{p,q}$ ,  $\Gamma = \mathbb{Z}^k$ , and  $\varphi \in R(\Gamma, G; X)$ . Then  $\operatorname{Spec}_d(X_{\varphi(\Gamma)}) \neq \emptyset$  if and only if  $X_{\varphi(\Gamma)}$  is compact, or equivalently, k = p + q.

However, anti-de Sitter 3-manifolds M admit infinitely many  $L^2$ -eigenvalues even when M is of infinite-volume (see [6, Theorem 9.9]):

**Theorem 9.** For any finitely generated discrete subgroup  $\Gamma$  of G = SO(2,2) acting properly discontinuously and freely on  $X = AdS^3$ ,

$$\operatorname{Spec}_d(X_{\Gamma}) \supset \{l(l-2) : l \in \mathbb{N}, l \ge 10C^{-3}\}$$

where  $C \equiv C(\Gamma)$  is the first sharpness constant of  $\Gamma$ .

The above  $L^2$ -eigenvalues are stable in the following sense:

**Theorem 10 (stable**  $L^2$ -eigenvalues). Suppose that  $\Gamma \subset G = SO(2,2)$  and  $M = \Gamma \setminus AdS^3$  is a compact standard anti-de Sitter 3-manifold. Then there exists a neighbourhood  $U \subset Hom(\Gamma, G)$  of the natural inclusion with the following two properties:

$$U \subset R(\Gamma, G; AdS^3),$$
 (5)

$$\#(\bigcap_{\varphi \in U} \operatorname{Spec}_d(X_{\Gamma})) = \infty. \tag{6}$$

The first geometric property (5) asserts that a small deformation of  $\Gamma$  keeps proper discontinuity, which was conjectured by Goldman [3] in the AdS<sup>3</sup> setting, and proved affirmatively in [11]. Theorem 10 was proved in [6, Corollary 9.10] in a stronger form (*e.g.*, without assuming "standard" condition).

Figuratively speaking, Theorem 10 says that compact anti-de Sitter manifolds have "intrinsic sound" which is stable under any small deformation of the anti-de Sitter structure. This is a new phenomenon which should be in sharp contrast to the abelian case (Corollary 1) and the Riemannian case below:

**Fact 11 (see [20, Theorem 5.14])** For a compact hyperbolic surface, no eigenvalue of the Laplacian above  $\frac{1}{4}$  is constant on the Teichmüller space.

We end this section by raising the following question in connection with the flat case (Theorem 7):

Question 3. Suppose M is a compact anti-de Sitter 3-manifold. Find a geometric condition on M such that  $\operatorname{Spec}_d(M)$  is discrete.

## 7 Perspectives and sketch of proof

The results in the previous section for anti-de Sitter 3-manifolds can be extended to more general pseudo-Riemannian locally symmetric spaces of higher dimension:

**Theorem 12 ([6, Theorem 1.5]).** Let  $X_{\Gamma}$  be a standard Clifford–Klein form of a semisimple symmetric space X = G/H satisfying the rank condition

$$\operatorname{rank} G/H = \operatorname{rank} K/H \cap K. \tag{7}$$

Then the following holds.

- (1) There exists an explicit infinite subset I of joint  $L^2$ -eigenvalues for all the differential operators on  $X_{\Gamma}$  that are induced from G-invariant differential operators on X.
- (2) (stable spectrum) If  $\Gamma$  is contained in a simple Lie group L of real rank one acting properly on X = G/H, then there is a neighbourhood  $V \subset \operatorname{Hom}(\Gamma, G)$  of the natural inclusion such that for any  $\varphi \in V$ , the action  $\varphi(\Gamma)$  on X is properly discontinuous and the set of joint  $L^2$ -eigenvalues on  $X_{\varphi(\Gamma)}$  contains the infinite set I.

*Remark 3.* We do not require  $X_{\Gamma}$  to be of finite volume in Theorem 12.

Remark 4. It is plausible that for a general locally symmetric space  $\Gamma \setminus G/H$  with G reductive, no nonzero  $L^2$ -eigenvalue is stable under nontrivial small deformation unless the rank condition (7) is satisfied. For instance, suppose  $\Gamma = \pi_1(\Sigma_g)$  with  $g \geq 2$  and  $R(\Gamma, G; X) \neq \emptyset$ . (Such semisimple symmetric space X = G/H was recently classified in [16].) Then we expect the rank condition (7) is equivalent to the existence of an open subset U in  $R(\Gamma, G; X)$  such that

$$\#(\bigcap_{\varphi\in U}\operatorname{Spec}_d(X_{\varphi(\varGamma)}))=\infty.$$

It should be noted that not all  $L^2$ -eigenvalues of compact anti-de Sitter manifolds are stable under small deformation of anti-de Sitter structure. In fact, we proved in [7] that there exist also countably many *negative*  $L^2$ -eigenvalues that are NOT stable under deformation, whereas the countably many stable  $L^2$ -eigenvalues that we constructed in Theorem 9 are all positive. More generally, we prove in [7] the following theorem that include both stable and unstable  $L^2$ -eigenvalues:

**Theorem 13.** Let G be a reductive homogeneous space and L a reductive subgroup of G such that  $H \cap L$  is compact. Assume that the complexification  $X_{\mathbb{C}}$  is  $L_{\mathbb{C}}$ -spherical. Then for any torsion-free discrete subgroup  $\Gamma$  of L, we have:

- (1) the Laplacian  $\Delta_{X_{\Gamma}}$  extends to a self-adjoint operator on  $L^2(X_{\Gamma})$ ;
- (2)  $\# \operatorname{Spec}_d(X_{\Gamma}) = \infty \text{ if } X_{\Gamma} \text{ is compact.}$

By " $L_{\mathbb{C}}$ -spherical" we mean that a Borel subgroup  $L_{\mathbb{C}}$  has an open orbit in  $X_{\mathbb{C}}$ . In this case, a reductive subgroup L acts transitively on X by [10, Lemma 5.1].

Here are some examples of the setting of Theorem 13, taken from [13, Corollary 3.3.7].

Table 1 1

	G	Н	L
		SO(2n,1)	U(n,1)
(ii)	SO(2n,2)	U(n,1)	SO(2n,1)
(iii)	SU(2n,2)	U(2n, 1)	Sp(n,1)
(iv)	SU(2n,2)	Sp(n,1)	U(2n, 1)
			$Sp(1) \times Sp(n,1)$
(vi)	SO(8,8)	SO(8,7)	Spin(8,1)
(vii)	$SO(8,\mathbb{C})$	$SO(7,\mathbb{C})$	Spin(7,1)
(viii)	SO(4,4)	Spin(4,3)	$SO(4,1) \times SO(3)$
	<i>SO</i> (4,3)		$SO(4,1) \times SO(2)$

Examples for Theorem 13 include Table 1 (ii) for all  $n \in \mathbb{N}$ , whereas we need  $n \in 2\mathbb{N}$  in Theorem 12 for the rank condition (7).

The idea of the proof for Theorem 12 is to take an average of a (nonperiodic) eigenfunction on X with rapid decay at infinity over  $\Gamma$ -orbits as a generalization of Poincaré series. Geometric ingredients of the convergence (respectively, nonzeroness) of the generalized Poincaré series include "counting  $\Gamma$ -orbits" stated in Lemma 1 below (respectively, the Kazhdan–Margulis theorem, cf. [6, Proposition 8.14]). Let B(o,R) be a "pseudo-ball" of radius R>0 centered at the origin  $o=eH\in X=G/H$ , and we set

$$N(x,R) := \#\{\gamma \in \Gamma : \gamma \cdot x \in B(o,R)\}.$$

#### Lemma 1 ([6, Corollary 4.7]).

- (1) If  $\Gamma$  acts properly discontinuously on X, then  $N(x,R) < \infty$  for all  $x \in X$  and R > 0.
- (2) If  $\Gamma$  acts strongly properly discontinuously on X, then there exists  $A_x > 0$  such that

$$N(x,R) \le A_x \exp(\frac{R}{C})$$
 for all  $R > 0$ ,

where C is the first sharpness constant of  $\Gamma$ .

The key idea of Theorem 13 is to bring branching laws to spectral analysis [10, 12], namely, we consider the restriction of irreducible representations of G that are realized in the space of functions on the homogeneous space X = G/H and analyze the G-representations when restricted to the subgroup L. Details will be given in [7].

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