## Interactive Proofs with Quantum Finite Automata \*

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#### Abstract

Following an early work of Dwork and Stockmeyer on interactive proof systems whose verifiers are two-way probabilistic finite automata, the authors initiated in 2004 a study on the computational power of quantum interactive proof systems whose verifiers are particularly limited to quantum finite automata. As a follow-up to the authors' early journal publication [J. Comput. System Sci., vol.75, pp.255–269, 2009], we further investigate the quantum nature of interactions between provers and verifiers by studying how various restrictions on quantum interactive proof systems affect the language recognition power of the proof systems. In particular, we examine three intriguing restrictions that (i) provers always behave in a classical fashion, (ii) verifiers always reveal to provers the information on next moves, and (iii) the number of interactions between provers and verifiers is bounded.

Keywords: finite automaton, interactive proof system, quantum computing, classical prover, quantum prover, interaction

#### 1 Overview

Quantum mechanics has provided an unconventional means to fast computing and secure communication since early 1980s. The potential power of quantum interactions between two parties—a prover and a verifiermotivated the authors [15] in 2004 to investigate a quantum analogy of an early work of Dwork and Stockmeyer [6] on classical interactive proof (IP) systems whose verifiers are restricted to two-way probabilistic finite automata (or 2pfa's, in short). Such weak verifiers can represent computations that utilize only a finite amount of memory space. These IP systems are a special case of a much wider scope of space-bounded IP systems of Condon [4]. It has been shown that those IP systems behave quite differently from timebounded IP systems. Although verifier's power is limited to 2pfa's, as Dwork and Stockmeyer dexterously demonstrated, 2pfa-verifier IP systems turn out to be significantly powerful, because a number of interactions with a mighty prover can enhance the 2pfa-verifier's ability to recognize much more complicated languages than the 2pfa's alone recognize. To describe succinctly such restricted IP systems, Dwork and Stockmeyer introduced a special notation  $IP(\langle restriction \rangle)$  for a class of languages that are recognized by bounded-error IP systems with weak verifiers under restrictions specified by  $\langle restriction \rangle$ . For instance, the notation IP(2pfa, poly-time) expresses the language class characterized by IP systems with 2pfa verifiers operated in expected polynomial time. The seminal work of Dwork and Stockmeyer further studied numerous subjects (private coins versus public coins, etc.) for a basis model of 2pfa-verifier IP systems. To express Babai's Arthur-Merlin proof systems [3], in particular, they introduced another notation  $AM(\langle restriction \rangle)$ under restrictions given in  $\langle restrictions \rangle$ . An immediate advantage of studying such weak verifier models is to prove certain types of separations and collapses among the associated complexity classes without any unproven assumption. For instance, Dwork and Stockmeyer successfully separated IP(2pfa, poly-time) from AM(2pfa), and AM(2pfa, poly-time) from AM(2pfa). Another significant advantage is that their restricted models make it easier to analyze the behaviors of two parties—a prover and a verifier—during a usually complicated communication process between them.

Unlike Watrous' model [17] of circuit-based quantum interactive proof (QIP) systems, a basic QIP model of the authors [15, 16] uses a measure-many two-way quantum finite automaton (or 2qfa) of Kondacs and Watrous [11] as a weak verifier who can communicate with a mighty quantum prover through a quantum communication bulletin board (implemented as a communication cell that holds at each moment one symbol

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from a communication alphabet). They also considered two additional variants of verifiers: a measure-once one-way quantum finite automaton (or mo-1qfa) of Moore and Crutchfield [13] and a measure-many one-way quantum finite automaton (or 1qfa) of Kondacs and Watrous [11]. An initial study of QIP systems with those weak verifiers reveals their noticeable strength. Let us recall from [16] a general notation QIP( $\langle restrictions \rangle$ ) for bounded-error QIP systems under restrictions indicated by  $\langle restrictions \rangle$ , analogous to the aforementioned notation IP( $\langle restriction \rangle$ ) of Dwork and Stockmeyer. For instance, QIP(2qfa) is obtained by restricting all verifiers to 2qfa's. Likewise, a use of mo-1qfa verifiers and 1qfa verifiers introduces the language classes QIP(mo-1qfa) and QIP(1qfa), respectively.

The power of quantum interaction was exemplified in [16]. (i) With mo-1qfa verifiers, it holds that MO-1QFA  $\subsetneq$  QIP(mo-1qfa)  $\subsetneq$  REG, where REG is the class of regular languages and MO-1QFA is the class of all languages recognized by bounded-error mo-1qfa's. (ii) With 1qfa verifiers, we obtain 1QFA  $\subsetneq$  QIP(1qfa) = REG, where 1QFA is the class of all languages recognized by bounded-error 1qfa's. (iii) With 2qfa verifiers, it holds that QIP(1qfa)  $\subsetneq$  QIP(2qfa, poly-time)  $\not\subseteq$  AM(2pfa). In addition, they showed that  $2QFA_{\mathbb{A}} \subseteq P$  and QIP $_{\mathbb{C}}(2qfa,poly$ -time)  $\subseteq$  NP, where  $\mathbb{A}$  and  $\mathbb{C}$  respectively indicate that all (transition) amplitudes of qfa verifiers are algebraic complex numbers and polynomial-time "approximable" complex numbers. The last result clearly contrasts with the following classical containments: AM(2pfa)  $\subseteq$  IP(2pfa, poly-time)  $\subseteq$  PSPACE [6].

We intend to continue a study of qfa-verifier QIP systems from various aspects of computational complexity. In particular, This paper aims at presenting three intriguing subjects that were briefly discussed in [15] but have been excluded from the authors' early journal publication [16]. In this current publication, we shall examine strengths and weaknesses of qfa-verifier QIP systems by observing how various restrictions on the QIP systems affect their power of language recognition. Our investigation is focused on the following three selected subjects.

1. Classical provers versus quantum provers. In the model of QIP systems in [16], provers are basically quantum machines, which can apply any predetermined unitary operators. In contrast, provers in IP systems of Dwork and Stockmeyer [6] are essentially "probabilistic" machines, which can flip privately owned coins and decide what messages to send back to 2pfa verifiers. These probabilistic machines, however, are known to be reduced to deterministic machines, which are naturally associated with unitary operators whose entries are only 0s and 1s because the provers can use an unlimited amount of private memory storage. For convenience, we briefly call such provers classical provers; in contrast, we call standard provers quantum provers. Naturally, we raise a simple question of whether our quantum provers are truly different in recognition power from the aforementioned classical provers.

It appears that a classical prover helps a 2qfa verifier much more than a quantum prover does. For instance, the language  $Center = \{x1y \mid x,y \in \{0,1\}^*, |x| = |y|\}$  is not yet known to belong to QIP(2qfa); however, interactions with a classical prover allow a 2qfa verifier to recognize this particular language. Such a strength of using classical provers stems from a simple fact that an analysis of classical-prover QIP protocols is much easier than that of quantum-prover ones. This paper further shows the following containments and separations concerning classical provers, where we use the restriction  $\langle c\text{-}prover\rangle$  to indicate the use of classical provers. (i) QIP(1qfa)  $\subseteq$  QIP(1qfa, c-prover). (ii) AM(2pfa)  $\subseteq$  QIP(2qfa, c-prover). (iii) AM(2pfa, poly-time)  $\subseteq$  QIP(2qfa, poly-time, c-prover)  $\not\subseteq$  AM(2pfa). A core argument used for these results is a technical construction of appropriate QIP protocols that recognize target languages. All the above results will be presented in Section 3.

2. Public information versus private information. As noted earlier, Dwork and Stockmeyer [6] examined two different types of IP systems—IP( $\langle restriction \rangle$ ) and AM( $\langle restriction \rangle$ )—when verifiers are limited to 2pfa's. The latter IP system AM( $\langle restriction \rangle$ ) refers to Babai's model of Arthur-Merlin proof systems [3] (also known as "public-coin" IP systems), in which verifiers flip fair coins to decide next moves and reveal their outcomes, essentially showing a state of next internal configurations to mighty provers, who can keep track of the verifier's past configurations. In comparison, standard IP systems are often referred to as "private-coin" IP systems. A question concerning "public coins" versus "private coins," which was a key subject in [6], is essentially whether the prover obtains "complete information" or "partial information" on the configurations of the verifier. It was shown in [6] that  $2PFA \neq AM(2pfa) \neq IP(2pfa)$ , highlighting a clear difference between public information and private information.

Likewise, we shall introduce a similar "publicness" notion to qfa-verifier QIP systems by demanding verifiers to reveal their next moves to provers at every step. To express the public-coin analogue of QIP systems, we use the notation  $\langle public \rangle$  as a restriction to such systems.§ With this notation, for instance, QIP(1qfa, public) denotes the language class obtained from QIP(1qfa) by restricting verifiers to publicly

<sup>§</sup> These new QIP systems might be possibly expressed as QAM( $\langle restriction \rangle$ ) analogous to AM( $\langle restriction \rangle$ ).

announcing their next moves. It turns out that public QIP systems remain significantly powerful. To be more precise, we shall prove the following three class relations. (i)  $1\text{RFA} \subsetneq \text{QIP}(1qfa, public) \not\subseteq 1\text{QFA}$ , (ii)  $\text{QIP}(2qfa, public, poly-time) \not\subseteq \text{AM}(2pfa, poly-time)$ , and (iii)  $\text{QIP}(2qfa, public, c-prover) \not\subseteq \text{AM}(2pfa, poly-time)$ , where 1RFA is the language family induced by one-way (deterministic) reversible finite automata (1rfa's, in short) [1]. In Section 4, we shall discuss those results in details.

3. The number of interactions between a prover and a verifier. As suggested in [16, Section 6], the number of interactions between a prover and a verifier in a weak-verifier QIP system may serve as a complexity measure of classifying various languages. Unlike Dwork-Stockmeyer IP systems, the original QIP systems of the authors [16] were introduced as to force two parties—a prover and a verifier—to communicate with each other at every step and, through Sections 3–4, we shall take this definition of QIP systems. To study the precise effect of interactions, nevertheless, we need to modify this original model slightly so that the verifier can interact with the prover only when he needs any help from the prover. To express those new QIP systems and their corresponding language classes, we invent two new notations QIP#( $\langle restrictions \rangle$ ) and QIP#( $\langle restrictions \rangle$ ), where k indicates the maximal number of iterations made during each computation. In Section 5, we shall prove that QIP#( $\langle 1qfa \rangle \subseteq \text{QIP}^{\#}(1qfa) \subseteq \text{QIP}^{\#}(1qfa)$ . The first separation between QIP#( $\langle 1qfa \rangle = \text{QIP}^{\#}(1qfa) = \text{QIP}^{\#}(1qfa)$  and QIP#( $\langle 1qfa \rangle = \text{QIP}^{\#}(1qfa) = \text{QIP}^{\#}(1qfa)$  but it is not in QIP#( $\langle 1qfa \rangle = \text{QIP}^{\#}(1qfa) = \text{QIP}^{\#}(1qfa)$  coincides with 1QFA. In contrast, the second separation of QIP#( $\langle 1qfa \rangle = \text{QIP}^{\#}(1qfa) = \text{QIP}^{\#}(1qfa)$  is exemplified by the language  $Zero = \{x0 \mid x \in \{0,1\}^*\}$ ; however, the proof of  $Zero \notin \text{QIP}^{\#}(1qfa)$  is much more involved than the proof of  $Zero \notin \text{QIP}^{\#}(1qfa) = \text{QIP}^{\#}(1qfa)$ .

# 2 QFA-Verifier QIP Systems

Throughout this paper,  $\mathbb{C}$  denotes the set of all complex numbers and i is  $\sqrt{-1}$ . Let  $\mathbb{N}$  be the set of all natural numbers (i.e., nonnegative integers) and set  $\mathbb{N}^+ = \mathbb{N} - \{0\}$ . Given two integers m and n with  $m \leq n$ , the integer interval  $[m,n]_{\mathbb{Z}}$  is the set  $\{m,m+1,m+2,\ldots,n\}$  and  $\mathbb{Z}_n$  in particular denotes the set  $[0,n-1]_{\mathbb{Z}}$ . All logarithms are to base 2 and all polynomials have integer coefficients. An alphabet is a finite nonempty set of "symbols" and our input alphabet  $\Sigma$  is not necessarily limited to  $\{0,1\}$  throughout this paper. Following the standard convention,  $\Sigma^*$  denotes the set of all finite sequences of symbols from  $\Sigma$ , and we write  $\Sigma^n = \{x \in \Sigma^* \mid |x| = n\}$ , where |x| denotes the length of x. Opposed to the notation  $\Sigma^*$ ,  $\Sigma^\infty$  stands for the set of all infinite sequences, each of which consists of symbols from  $\Sigma$ . For any symbol a in  $\Sigma$ ,  $a^\infty$  denotes an element of  $\Sigma^\infty$ , which is the infinite sequence made only of a. We assume the reader's familiarity with classical automata theory and the basic concepts of quantum computation (refer to, e.g., [8, 9, 14] for its foundation). As underlying computation device, we extensively use measure-many one-way quantum finite automata (or 1qfa's, in short) and of measure-many two-way quantum finite automata (or 2qfa's), where we assume the reader's familiarity with the definitions of those quantum automata [11]. The reader may refer to [6] for the formal definitions of the classes  $\mathrm{IP}(\langle restriction \rangle)$  and  $\mathrm{AM}(\langle restriction \rangle)$  described in Section 1.

#### 2.1 Basic Model of QIP Systems

Let us review the fundamental definition of QIP system of the authors [15, 16], in which verifiers are particularly limited to quantum finite automata. In Sections 4–5, we shall further restrict the behaviors of those verifiers as well as provers in order to obtain three major variations of our basic QIP systems. The reader may refer to [16, Section 3.2] for a brief discussion on the main difference between QIP systems based on uniform quantum circuits and those based on quantum finite automata.

We use the notation (P, V) to denote a QIP protocol executed by prover P and verifier V (whose schematic diagram is illustrated in Figure 1). Unless there is any confusion, we also use the same notation (P, V) to mean a QIP system with the prover P and the verifier V. The 2qfa verifier  $V = (Q, \Sigma \cup \{\xi, \$\}, \Gamma, \delta, q_0, Q_{acc}, Q_{rej})$  is a 2qfa specified by a finite set Q of verifier's inner states, an input alphabet  $\Sigma$  and a verifier's transition function  $\delta$ , equipped further with a shared communication cell using a communication alphabet  $\Gamma$ . The set Q is the union of three mutually disjoint subsets  $Q_{non}$ ,  $Q_{acc}$ , and  $Q_{rej}$ , where any states in  $Q_{non}$ ,  $Q_{acc}$ , and  $Q_{rej}$  are respectively called a non-halting inner state, an accepting inner state, and a rejecting inner state. In contrast to  $Q_{non}$ , inner states in  $Q_{acc} \cup Q_{rej}$  are simply called halting inner states. In particular,  $Q_{non}$  contains a so-called initial inner state  $q_0$ . An input tape is indexed by natural numbers (where the first cell is indexed 0). Two designated symbols  $\xi$  and  $\S$  not appearing in  $\Sigma$ , which are called respectively the left

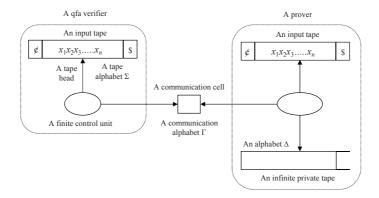


Figure 1: A schematic view of a QIP system with a qfa verifier

endmarker and the right endmarker, mark the left end and the right end of the input on the input tape. For our convenience, set  $\check{\Sigma} = \Sigma \cup \{ \xi, \$ \}$ . Assume also that  $\Gamma$  contains a blank symbol # with which the system (P, V) begins in the communication cell.

The verifier's transition function  $\delta$  is a map from  $Q \times \check{\Sigma} \times \Gamma \times Q \times \Gamma \times \{0, \pm 1\}$  to  $\mathbb{C}$  and is interpreted as follows. For any  $q, q' \in Q$ ,  $\sigma \in \check{\Sigma}$ ,  $\gamma, \gamma' \in \Gamma$ , and  $d \in \{0, \pm 1\}$ , the complex number  $\delta(q, \sigma, \gamma, q', \gamma', d)$  specifies the transition amplitude with which the verifier V in state q scanning symbol  $\sigma$  on the input tape and symbol  $\gamma$  in the communication cell changes q to q', replaces  $\gamma$  with  $\gamma'$ , and moves his tape head on the input tape in direction d. When the tape head is located in a cell indexed t, it must move to the cell indexed t+d.

At the beginning of the computation, an input string x over  $\Sigma$  of length n is written orderly from the first cell to the nth cell of the input tape. The tape head initially scans  $\mathfrak{e}$  in the 0th cell. The communication cell holds only a symbol in  $\Gamma$  and initially # is written in the cell. As in the original definition of 2qfa in [11], our input tape is *circular*; that is, whenever the verifier's tape head scanning  $\mathfrak{e}$  (\$, resp.) on the input tape moves to the left (right, resp.), the tape head reaches to the right end (resp. left end) of the input tape.

Next, we shall explain two concepts of (global) configuration and visible configuration. A (global) configuration of the QIP protocol (P,V) is a snapshot of a "computation" of the protocol, comprising the following visible configurations of the two players. Each player can see only his portion of a global configuration. A visible configuration of the verifier V on an input of length n is represented by a triplet  $(q, k, \gamma) \in Q \times \mathbb{Z}_{n+2} \times \Gamma$ , which indicates that the verifier is in state q, the content of the communication cell is  $\gamma$ , and the verifier's tape head position is k on the input tape. Let  $\mathcal{V}_n$  and  $\mathcal{M}$  be respectively the Hilbert spaces spanned by the computational bases  $\{|q,k\rangle \mid (q,k) \in Q \times \mathbb{Z}_{n+2}\}$  and  $\{|\gamma\rangle \mid \gamma \in \Gamma\}$ . The Hilbert space  $\mathcal{V}_n \otimes \mathcal{M}$  is called the verifier's visible configuration space on inputs of length n.

When a direction of every tape head's move is completely determined by the pair  $(q', \gamma')$  of state q and content  $\gamma'$  of the communication cell after applying the associated transition, we call the verifier unidirectional and use the following notation to simplify a description of the verifier's strategy (or transition function)  $\delta$ . Assuming that the verifier V is unidirectional, a  $(Q \times \Gamma)$ -transition  $V_{\sigma}$  of V on input symbol  $\sigma \in \check{\Sigma}$  is a unitary operator acting on span $\{|q\rangle|\gamma\rangle \mid q \in Q, \gamma \in \Gamma\}$  of the form  $V_{\sigma}|q,\gamma\rangle = \sum_{q',\gamma'} \alpha_{q,\gamma,q',\gamma'}|q',\gamma'\rangle$ , where  $q' \in Q, \gamma' \in \Gamma$ , and  $\alpha_{q,\gamma,q',\gamma'} \in \mathbb{C}$ . This means that, if M scans  $\sigma$  in state q with  $\gamma$ , it changes q to q',  $\gamma$  to  $\gamma'$  and the tape head moves in direction d. Since d is determined uniquely from  $(q',\gamma')$ , we often express the head direction as  $D(q',\gamma') = d$ . When d is independent of  $\gamma$ , by contrast, we succinctly write D(q') = d. The verifier's strategy  $\delta$  can be expressed as  $\delta(q,\sigma,\gamma,q',\gamma',d) = \langle q',\gamma'|V_{\sigma}|q,\gamma\rangle$  if  $D(q',\gamma') = d$ , and 0 otherwise. Notice that most 2qfa's constructed in the past literature (for instance, [11]) actually satisfy this unidirectional condition.

For any input x in  $\Sigma^*$  of length n,  $\delta$  automatically induces the linear operator  $U^x_\delta$  acting on the Hilbert space  $\mathcal{V}_n \otimes \mathcal{M}$  defined by  $U^x_\delta|q,k,\gamma\rangle = \sum_{q',\gamma',d} \delta(q,x_{(k)},\gamma,q',\gamma',d)|q',k',\gamma'\rangle$ , where  $x_{(0)} = \emptyset$ ,  $x_{(n+1)} = \emptyset$ ,  $x_{(i)}$   $(i \in [1,n]_{\mathbb{Z}})$  is the ith symbol in x, and  $k' = k+d \pmod{n+2}$ . The verifier is called well-formed if  $U^x_\delta$  is unitary on  $\mathcal{V}_n \otimes \mathcal{M}$  for every string  $x \in \Sigma^*$ . Since we are interested only in well-formed verifiers, we henceforth assume that all verifiers should be well-formed.

Let x be any input x of length n. The 2qfa verifier V starts with the initial quantum state  $|q_0, 0, \#\rangle$ . A single step of the verifier on x consists of the following process. First, V applies his operation  $U_{\delta}^x$  to an existing superposition  $|\phi\rangle$  in  $\mathcal{V}_n \otimes \mathcal{M}$  and then  $U_{\delta}^x |\phi\rangle$  becomes a new superposition  $|\phi'\rangle$ . Second, we define

 $W_{acc} = \operatorname{span}\{|q,k,\gamma\rangle \mid (q,k,\gamma) \in Q_{acc} \times \mathbb{Z}_{n+2} \times \Gamma\}, W_{rej} = \operatorname{span}\{|q,k,\gamma\rangle \mid (q,k,\gamma) \in Q_{rej} \times \mathbb{Z}_{n+2} \times \Gamma\}, \text{ and } W_{non} = \operatorname{span}\{|q,k,\gamma\rangle \mid (q,k,\gamma) \in Q_{non} \times \mathbb{Z}_{n+2} \times \Gamma\}.$  Moreover, let  $k_{acc}, k_{rej}$ , and  $k_{non}$  be respectively the positive numbers representing "accepting," "rejecting," and "non halting." The new superposition  $|\phi'\rangle$  is then measured by the observable  $k_{acc}E_{acc}+k_{rej}E_{rej}+k_{non}E_{non}$ , where  $E_{acc}, E_{rej}$ , and  $E_{non}$  are respectively the projection operators onto  $W_{acc}, W_{rej}$ , and  $W_{non}$ . Provided that  $|\phi'\rangle$  is expressed as  $|\psi_1\rangle + |\psi_2\rangle + |\psi_3\rangle$  for certain three vectors  $|\psi_1\rangle \in W_{acc}, |\psi_2\rangle \in W_{rej}$ , and  $|\psi_3\rangle \in W_{non}$ , we say that, at this step, V accepts x with probability  $||\psi_1\rangle||^2$  and rejects x with probability  $||\psi_2\rangle||^2$ . Only the non-halting superposition  $|\psi_3\rangle$  continues to the next step and V is said to continue (to the next step) with probability  $||\psi_3\rangle||^2$ . The probability that x is accepted (rejected, resp.) within the first t steps is thus the sum, over all  $t \in [1, t]_{\mathbb{Z}}$ , of the probabilities with which V accepts (rejects, resp.) x at the ith step.

When the verifier is a 1qfa, the verifier's transition function  $\delta$  must satisfy the following additional condition: for all  $q, q' \in Q$ ,  $\sigma \in \check{\Sigma}$ , and  $\gamma, \gamma' \in \Gamma$ , it must hold that  $\delta(q, \sigma, \gamma, q', \gamma', d) = 0$  if  $d \neq +1$  (i.e., the tape head does not move to the right). Unlike 2qfa verifiers, a 1qfa verifier must stop running after applying  $\delta$  at scanning \$\$ and then performing a projective measurement. In other words, the 1qfa verifier completely stops by the time the verifier's tape head moves off \$\$ (thus, the tape head actually stops at \$\$\epsilon\$ since the input tape is circular). Therefore, on any input x, the 1qfa verifier halts in at most |x| + 2 steps.

In contrast to the verifier, the prover P has a semi-infinite private tape and accesses the input x and the communication cell. For the prover's private tape, let  $\Delta$  be a tape alphabet, which includes a special blank symbol #. The prover is assumed to alter only a "finite" initial segment of his private tape at every step. Let  $\mathcal{P}$  be the Hilbert space spanned by  $\{|y\rangle \mid y \in \Delta_{fin}^{\infty}\}$ , where  $\Delta_{fin}^{\infty}$  is the set of all infinite sequences of tape symbols containing only a finite number of non-blank symbols. The prover's visible configuration space is the Hilbert space  $\mathcal{M} \otimes \mathcal{P}$ . Formally, the prover P is specified by a series  $\{U_{P,i}^x\}_{x \in \Sigma^*, i \in \mathbb{N}^+}$  of unitary operators, each of which acts on the prover's visible configuration space, such that  $U_{P,i}^x$  is of the form  $S_{P,i}^x \otimes I$ , where  $\dim(S_{P,i}^x)$  is finite and I is the identity operator. Such a series of operators is often called the prover's strategy on the input x. To refer to the strategy on x, we often use the notation  $P_x$ ; namely,  $P_x = \{U_{P,i}^x\}_{i \in \mathbb{N}^+}$ . With this notation, the prover can be expressed as  $\{P_x\}_{x \in \Sigma^*}$ . If the prover has string  $y \in \Delta_{fin}^{\infty}$  on the private tape and scans symbol  $\gamma$  in the communication cell, then he applies  $U_{P,i}^x$  to the quantum state  $|\gamma\rangle|y\rangle$  at the ith step of the prover's turn. If  $U_{P,i}^x|\gamma\rangle|y\rangle = \sum_{\gamma',y'} \alpha_{\gamma',y'}^i |\gamma'\rangle|y'\rangle$ , then the prover changes y into y' and replaces  $\gamma$  by  $\gamma'$  with amplitude  $\alpha_{\gamma',y'}^i$ .

A (global) configuration consists of the four items: V's inner state, V's tape head position, the content of the communication cell, and the content of P's private tape. We express a superposition of such configurations of (P, V) on input x as a vector in the Hilbert space  $\mathcal{V}_{|x|} \otimes \mathcal{M} \otimes \mathcal{P}$ , which is called the (global) configuration space of (P, V) on the input x; in other words, a (global) configuration is a pure quantum state of the form  $|q, k\rangle|\gamma\rangle|y\rangle$ , indicating that V is in inner state q, its tape head is at cell k,  $\gamma$  is in the communication cell, and P's private tape contains y. A global configuration  $\xi$  is called a halting configuration (a non-halting configuration, resp.) if  $\xi$  contains a halting (non-halting, resp.) inner state of V.

A computation of the QIP protocol (P, V) on the input x constitutes a series of superpositions of configurations resulting from alternate applications of unitary operations of the prover P and the verifier Vincluding his projective measurements in the following manner. The computation of (P, V) on x starts with the global initial configuration  $|q_0,0\rangle|\#\rangle|\#^{\infty}\rangle$ , where the verifier is in his initial configuration and the prover's private tape consists only of the blank symbol #. The two players P and V apply their unitary operators  $P_x$  and  $U_{\lambda}^{x}$  (as well as measurements) in turn, starting with the verifier's move. A projective measurement is made after every move of the verifier to determine whether V is in a halting inner state. Through the communication cell, the two players exchange communication symbols, which naturally cause the two players to be entangled. When the prover (verifier, resp.) writes symbol  $\sigma \in \Gamma$  in the communication cell, we customarily say that the prover (verifier, resp.) sends  $\sigma$  to the verifier (prover., resp.), where  $\sigma$  is viewed as a "message." More precisely, when  $|q,k\rangle|\gamma\rangle|y\rangle$  is a current global configuration, V changes it into  $(U_{\delta}^x \otimes I_1)|q,k\rangle|\gamma\rangle|y\rangle = U_{\delta}^x|q,k\rangle|\gamma\rangle\otimes|y\rangle$ , where  $I_1$  is the identity operator acting on  $\mathcal{P}$ . After Vapplies the projective measurement  $E_{non}$ , the global configuration becomes  $(E_{non} \otimes I_1)(U_{\delta}^x \otimes I_1)|q,k\rangle|\gamma\rangle|y\rangle$ . Finally, P changes  $|q,k\rangle|\gamma\rangle|y\rangle$  into  $(I_2\otimes U_{P,i}^x)|q,k\rangle|\gamma\rangle|y\rangle = |q,k\rangle\otimes U_{P,i}^x|\gamma\rangle|y\rangle$ , where  $I_2$  is the identity operator acting on  $\mathcal{V}_{|x|}$ . A superposition  $|\Phi_i\rangle$  of global configurations at the *i*th step is defined recursively as  $|\Phi_0\rangle = |q_0,0\rangle |\#\rangle |\mathring{\#}^{\infty}\rangle, \ |\Phi_{2i+1}\rangle = (E_{non}\otimes I_1)(U_{\delta}^x\otimes I_1)|\Phi_{2i}\rangle, \ \text{and} \ |\Phi_{2i+2}\rangle = (I_2\otimes U_{P,i+1}^x)|\Phi_{2i+1}\rangle \ \text{for every}$  $i \in \mathbb{N}$ . For example, the superposition of global configurations after the 2i + 1st step becomes

$$(E_{non} \otimes I_1)(U_{\delta}^x \otimes I_1)(I_2 \otimes U_{P,i}^x) \cdots (U_{\delta}^x \otimes I_1)(I_2 \otimes U_{P,1}^x)(E_{non} \otimes I_1)(U_{\delta}^x \otimes I_1)|q_0,0\rangle |\#\rangle |\#^{\infty}\rangle.$$

The series  $|\Phi_0\rangle, |\Phi_1\rangle, \dots$  therefore becomes a computation of (P, V) on x.

Given any global configuration  $\xi$ , a local computation path ending with (or leading to)  $\xi$  in computation  $(|\Phi_0\rangle, |\Phi_1\rangle, \dots, |\Phi_n\rangle)$  of the QIP protocol (P, V) on a given input is a series  $(\xi_0, \xi_1, \dots, \xi_m)$  of global

configurations satisfying the following four conditions:  $|\xi_0\rangle = |q_0,0\rangle |\#\rangle |\#^\infty\rangle$ ,  $(E_{non}\otimes I_1)(U_\delta^x\otimes I_1)|\xi_{2i}\rangle$  contains  $|\xi_{2i+1}\rangle$  with non-zero amplitude for all  $i\in [0,\lfloor (m-1)/2\rfloor]_{\mathbb{Z}}$ ,  $(I_2\otimes U_{P,i+1}^x)|\xi_{2i+1}\rangle$  contains  $|\xi_{2i+2}\rangle$  with non-zero amplitude for all  $i\in [0,\lfloor (m-2)/2\rfloor]_{\mathbb{Z}}$ , and  $\xi_m$  equals  $\xi$ . Moreover, a *(global) computation path* ending with  $\xi$  is a local computation path  $(\xi_0,\xi_1,\ldots,\xi_m)$  ending with  $\xi$  for which  $|\xi_i\rangle$  appears in  $|\Phi_i\rangle$  with non-zero amplitude for every  $i\in [0,m]_{\mathbb{Z}}$ . Each (global) computation path ends when V enters a certain halting inner state along this computation path. Furthermore, we define the overall probability that (P,V) accepts (rejects, resp.) the input x to be the limit, as  $t\to\infty$ , of the probability that V accepts (rejects, resp.) x within the first x steps. We use the notation x of the probability that y accepts (rejects, resp.) probability of x by y of y of the probability y of the overall acceptance (rejection, resp.) probability of y by y of y of the probability y of the overall y of y of the probability y of the overall y of y of the probability y of y of y of the probability y of y of the overall y of y of y of y of the probability y of y of y of the probability y of y of the probability y of y of y of the probability y of y of y of the probability y of the probability y of y of the probability y of y of the probability y of the probabilit

Let a and b be any two real numbers in the unit real interval [0,1] and let L be any language. We say that L has an (a,b)-QIP system (P,V) (or an (a,b)-QIP system (P,V) recognizes L) if (P,V) is a QIP system and the following two conditions hold for the corresponding QIP protocol (P,V):

- 1. (completeness) for any  $x \in L$ , the QIP protocol (P, V) accepts x with probability at least a, and
- 2. (soundness<sup>¶</sup>) for any  $x \notin L$  and any prover  $P^*$ , the QIP protocol  $(P^*, V)$  rejects x with probability at least b.

Note that an (a, a)-QIP system has the error probability at most 1 - a. This paper discusses only the QIP systems whose error probabilities are bounded from above by certain constants lying in the real interval [0, 1/2). For this reason, we simply say that L has a QIP system if there exists a constant (an error bound)  $\epsilon \in [0, 1/2)$  such that L has a  $(1 - \epsilon, 1 - \epsilon)$ -QIP system.

Given any pair  $a,b \in [0,1]$ , the notation  $\mathrm{QIP}_{a,b}(\langle \mathcal{R} \rangle)$ , where  $\langle \mathcal{R} \rangle$  is a set of restrictions, denotes a class of all languages recognized by certain (a,b)-QIP systems with the restrictions specified by  $\langle \mathcal{R} \rangle$ . In addition, we define  $\mathrm{QIP}(\langle \mathcal{R} \rangle)$  as the union  $\bigcup_{\epsilon>0} \mathrm{QIP}_{1/2+\epsilon,1/2+\epsilon}(\langle \mathcal{R} \rangle)$ . In this paper, we shall focus our attention on the following three basic restrictions  $\langle \mathcal{R} \rangle$ :  $\langle 1qfa \rangle$  (i.e., 1qfa verifiers),  $\langle 2qfa \rangle$  (i.e., 2qfa verifiers), and  $\langle poly\text{-}time \rangle$  (i.e., expected polynomial running time). As an example,  $\mathrm{QIP}(2qfa,poly\text{-}time)$  denotes the language class defined by QIP systems with expected polynomial-time 2qfa verifiers. Other types of restrictions will be discussed in later sections.

# 3 What if Provers Behave Classically?

To promote a better understanding of the roles of provers in our QIP systems described in Section 2.1, we shall examine a variant of those systems. Recall that, in Dwork-Stockmeyer IP systems [6], mighty provers are in essence probabilistic machines that probabilistically select messages to send to verifiers. As noted in [6], it is possible to reduce those provers to deterministic machines without compromising the language recognition power of the corresponding IP systems.

Naturally, we can ask whether or not standard "quantum" provers in our QIP systems can be replaced by significantly weaker machines. Among many candidates for weak machines, we consider machines that operate only unitary operators whose entries are all limited to 0 and 1. Significance of such operators is that, using a semi-infinite private tape, those restricted operators essentially make their corresponding provers reduce to merely deterministic machines. Certainly, a real-life implementation of such restricted operators could be much simpler and easier than implementing arbitrary unitary operators. For those reasons, we call a prover classical if the prover's move is dictated by unitary operators whose entries are 0s and 1s.

In comparison, we refer to the original provers (described in Section 2.1) as quantum provers. Remember that classical provers are still quantum provers. Hereafter, the restriction  $\langle c\text{-}prover \rangle$  indicates that all provers behave classically as defined above. In our QIP systems, classical provers may play an essentially different role from quantum provers.

Let us examine the power of classical-prover QIP systems when verifiers are limited to 1qfa's. It is not difficult to prove that  $1\text{QFA} \subseteq \text{QIP}(1qfa, c\text{-}prover)$  by forcing provers to unalter the communication cell at any step. However, it is not clear whether QIP(1qfa, c-prover) coincides with QIP(1qfa). In what follows, we shall demonstrate that QIP(1qfa, c-prover) actually contains QIP(1qfa).

<sup>¶</sup>As Lipton [12] demonstrated, this form of the soundness condition cannot be, in general, replaced by the following weaker form: "(P, V) accepts x with probability at most 1 - b." See [6] for a discussion.

In a strict sense, a more exact analogy to deterministic prover may demand that even a communication cell behaves classically.

**Proposition 3.1** QIP $(1qfa) \subseteq QIP(1qfa, c\text{-prover})$ .

**Proof.** It was shown in [16, Proposition 4.2] that REG  $\subseteq$  QIP<sub>1,1</sub>(1qfa). In a similar way, we can prove that REG  $\subseteq$  QIP(1qfa, c-prover). Since QIP(1qfa) = REG [16, Theorem 4.1], we obtain the desired containment QIP(1qfa)  $\subseteq$  QIP(1qfa, c-prover).

Next, we shall examine the case of 2qfa verifiers. Unlike the 1qfa-verifier case, any containment between QIP(2qfa) and QIP(2qfa, c-prover) is currently unknown; nonetheless, we can verify that QIP(2qfa, poly-time, c-prover) contains 2QFA(poly-time). Therefore, the proper inclusion REG  $\subseteq$  QIP(2qfa, poly-time, c-prover) follows as a direct consequence of the result REG  $\subseteq$  2QFA(poly-time) [11]. The following theorem further strengthens this separation to Arthur-Merlin proof systems.

**Theorem 3.2** 1.  $AM(2pfa) \subseteq QIP(2qfa, c\text{-}prover)$ .

2.  $AM(2pfa, poly-time) \subseteq QIP(2qfa, poly-time, c-prover) \not\subseteq AM(2pfa)$ .

**Proof.** In a quantum-prover model, it was shown in [16, Lemma 5.2] that the language  $Pal_{\#} = \{x \# x^R \mid x \in \{0,1\}^*\}$  (where  $x^R$  is x in the reverse order) of marked even-length palindromes belongs to QIP(2qfa, poly-time). By a careful examination of the proof, we find that the same proof works for classical provers. This fact immediately places  $Pal_{\#}$  into QIP(2qfa, poly-time, c-prover). Hence, the non-containment of QIP(2qfa, poly-time, c-prover) inside AM(2pfa) naturally follows because  $Pal_{\#}$  is located outside of AM(2pfa) [6]. This separation further leads to the difference between AM(2pfa) and QIP(2qfa, c-prover). Similarly, we can show the difference between AM(2pfa, poly-time) and QIP(2qfa, poly-time, c-prover) since, if they are equal, we obtain QIP(2qfa, poly-time, c-prover)  $\subseteq$  AM(2pfa, poly-time)  $\subseteq$  AM(2pfa, poly-time)

To complete the proof, we shall prove that  $AM(2pfa) \subseteq QIP(2qfa, c\text{-}prover)$ . Since the proof that begins below works for any time-bounded model, we also obtain the remaining claim that  $AM(2pfa, poly\text{-}time) \subseteq QIP(2qfa, poly\text{-}time, c\text{-}prover)$ .

Let L be any language in  $\mathrm{AM}(2pfa)$  over alphabet  $\Sigma$ . We want to show that L is also in  $\mathrm{QIP}(2qfa,c\text{-}prover)$ . The important starting point is a fact that L can be recognized as follows by special finite automata M called 2npfa's [5] that make probabilistic moves and nondeterministic moves in turn. If  $x \in L$ , then there exists a series of nondeterministic choices by which M halts in accepting states with probability at least  $1-\epsilon$ ; otherwise, for every series of nondeterministic choices, M halts in rejecting states with probability at least  $1-\epsilon$ , where  $\epsilon$  is an appropriate constant in [0,1/2).

Now, we take a 2npfa  $M=(Q, \check{\Sigma}, \delta_M, q_0, Q_{acc}, Q_{rej})$  with nondeterministic states and probabilistic states that recognizes L with error probability at most  $\epsilon$ , where  $0 \le \epsilon < 1/2$  and Q is made up of four disjoint sets  $Q_R$  (a set of probabilistic states),  $Q_N$  (a set of nondeterministic states),  $Q_{acc}$  (a set of accepting states) and  $Q_{rej}$  (a set of rejecting states). To simplify our proof, we force M to satisfy the following two extra conditions: (i) M's tape head does not stay still at any step and (ii) whenever M tosses a fair coin, the tape head moves only to the right. It is not difficult to modify any 2npfa to meet those two conditions. Let us recall the transitions of 2npfa's from [5]. Given any  $(p, \sigma, q, d) \in Q \times \Sigma \times Q \times \{\pm 1\}$ , a transition  $\delta_M(p,\sigma,q,d)$  takes a value in  $\{0,1/2,1\}$  in the following manner. (1) When  $p \in Q_R$ , there exist two distinct states  $p_0, p_1 \in Q$  for which  $\delta_M(p, \sigma, q, d) = 1/2$  holds if  $(q, d) \in \{(p_0, 1), (p_1, 1)\}$ , and  $\delta_M(p,\sigma,q,d)=0$  otherwise. This indicates that M changes its inner state from p to each of  $p_0$  and  $p_1$ with equal probability 1/2 and moves its tape head rightward (by Condition (ii)). (2) In the case where  $p \in Q_N$ , there are  $m \in \mathbb{N}^+$  pairs  $(p_1, d_1), (p_2, d_2), \dots, (p_m, d_m) \in Q \times \{\pm 1\}$  for which  $\delta_M(p, \sigma, q, d) = 1$ holds if  $(q,d) \in \{(p_1,d_1),\ldots,(p_m,d_m)\}$ , and  $\delta_M(p,\sigma,q,d)=0$  otherwise. This means that M chooses an index  $i \in [1, m]_{\mathbb{Z}}$  nondeterministically, changes the inner state from p to  $p_i$ , and moves the tape head to the left  $(d_i = -1)$  or to the right  $(d_i = 1)$ . Notice that deterministic moves are always treated as a special case of nondeterministic moves. Based on the above machine M, we shall construct the desired QIP system (P, V) with classical prover P for L.

Let x be any input string of length n. Let  $Q' = Q \cup \{\hat{p} \mid p \in Q\}$  be a set of inner states and let  $\Gamma = (Q' \times \{\pm 1\}) \cup \{\#, \kappa\}$  be a communication alphabet, where  $\hat{p}$  is a new inner state associated with p and  $\kappa$  is a fresh non-blank symbol. The verifier V carries out the procedure that follows  $\delta_M$ , by which V simulates M step by step.

Let us consider any step at which M tosses a fair coin in probabilistic state p by applying a transition  $\delta_M(p,\sigma,p_0,1)=\delta_M(p,\sigma,p_1,1)=1/2$  for two distinct states  $p_0,p_1\in Q$ . The verifier V checks whether # is in the communication cell. Unless this is the case, V rejects x immediately; otherwise, V makes the corresponding  $(Q\times\Gamma)$ -transition  $V_{\sigma}|p\rangle|\#\rangle=\frac{1}{\sqrt{2}}(|p_0\rangle|(p,1)\rangle+|p_1\rangle|(p,1)\rangle)$  with  $D(p_0,(p,1))=D(p_1,(p,1))=+1$ . The verifier expects a prover to erase the symbol (p,1) in the communication cell by overwriting it with

#. This erasure of symbols guarantees V's move to be unitary.

Next, let us consider any step at which M makes a nondeterministic choice (p,d) in state  $p \in Q_N$ , namely,  $\delta_M(p,\sigma,q,d)=1$ , where  $m\in\mathbb{N}^+$  and  $(q,d)\in\{(p_1,d_1),\ldots,(p_m,d_m)\}\subseteq Q\times\{\pm 1\}$ . For this M's step, V needs two steps to simulate it. The verifier V enters a rejecting inner state immediately unless the communication cell contains #. Now, assume that # is in the communication cell. Without moving its tape head, V first sends the designated symbol  $\kappa$  to a prover, requesting a pair (p',d') in  $Q\times\{\pm 1\}$  to return. This is done by the special  $(Q\times\Gamma)$ -transition  $V_{\sigma}|p\rangle|\#\rangle = |\hat{p}\rangle|\kappa\rangle$  with  $D(\hat{p},\kappa) = 0$ . The verifier forces a prover to return a valid form of nondeterministic choice (namely,  $\delta_M(p,\sigma,p',d')=1$ ) by entering a rejecting inner state whenever the prover writes any other symbol. Once V receives a valid pair  $(p_i,d_i)$ , he makes the  $(Q\times\Gamma)$ -transition  $V_{\sigma}|\hat{p}\rangle|(p_i,d_i)\rangle = |p_i\rangle|(\hat{p},d_i)\rangle$  with  $D(p_i,(\hat{p},d_i))=d_i$  and expects a prover to erase the communication symbol  $(\hat{p},d_i)$ .

The honest prover P must blank out the communication cell at the end of every simulation step of V and, in request of V with the symbol  $\kappa$ , P returns a "correct" nondeterministic choice to V (if any). Assuming  $x \in L$ , there are a series of nondeterministic choices along which M accepts x with probability at least  $1 - \epsilon$ . Since the honest prover P sends such a series step by step, P can guide V to make correct nondeterministic choices. Moreover, P allows V to simulate correctly M's probabilistic moves by erasing V's communication symbols. Hence, V successfully reaches M's outcomes with the same error probability, and thus the protocol (P,V) accepts x with probability at least  $1-\epsilon$ .

Next, consider the case where  $x \notin L$ . Notice that no matter how nondeterministic choices are made, M rejects x with probability at least  $1-\epsilon$ . Take a dishonest classical prover  $P^*$  that maximizes the acceptance probability of V on x. This particular prover  $P^*$  must clear out the communication cell whenever V asks him to do so since, otherwise, V immediately rejects x and thus lowers the acceptance probability, a contradiction against the choice of  $P^*$ . Since  $P^*$  is classical, all the computation paths of V have nonnegative amplitudes, which cause only non-destructive interference. This indicates that  $P^*$  cannot annihilate any existing computation path of V. On request for a nondeterministic choice,  $P^*$  must return any one of valid nondeterministic choices since, otherwise, V rejects immediately. With a series of nondeterministic choices of  $P^*$ , if V rejects x with probability less than  $1-\epsilon$ . This is a contradiction against our assumption. Hence, V must reject x with probability at least  $1-\epsilon$ . Therefore, (P,V) is a classical-prover  $(1-\epsilon, 1-\epsilon)$ -QIP system for L.

In the above proof, we cannot replace classical provers by quantum provers, mainly because a certain quantum prover may fool the constructed verifier by (i) returning a superposition of nondeterministic choices instead of choosing one of the two nondeterministic choices and (ii) using negative amplitudes to make the verifier's quantum simulation destructive.

In the end of this section, we shall present a QIP protocol using classical provers for the non-regular language  $Center = \{x1y \mid x,y \in \{0,1\}^*, |x| = |y|\}$ , which is known to be in AM(2pfa) but not in AM(2pfa, poly-time) [6]. In the QIP protocol described in the next proof, an honest prover signals the location of the center bit of a given input and then a verifier tests the correctness of the location by employing the quantum Fourier transform (or QFT, in short) in a fashion similar to [11]. An interaction in a QIP protocol constitutes a verifier's transition, a projective measurement, and a prover's move.

### **Lemma 3.3** For any constant $\epsilon \in (0,1)$ , $Center \in QIP_{1,1-\epsilon}(2qfa, poly-time, c-prover)$ .

**Proof.** Let  $\epsilon$  be any error bound in the real interval (0,1) and set  $N=\lceil 1/\epsilon \rceil$ . In what follows, we shall define the desired QIP protocol that witnesses the membership of Center to  $\text{QIP}_{1,1-\epsilon}(2qfa,poly-time,c-prover)$ . Let  $\Sigma=\{0,1\}$  be our input alphabet and let  $\Gamma=\{\#,1\}$  be our communication alphabet. Our QIP protocol (P,V) comprises four phases. The formal description of the behavior of V is given in Table 1 using  $(Q\times\Gamma)$ -transitions  $\{V_\sigma\}_{\sigma\in\check{\Sigma}}$ . Let x be an arbitrary input.

- 1) In the first phase, the verifier V checks whether |x| is odd by moving the tape head toward \$ together with switching two inner states  $q_0$  and  $q_1$ . To make deterministic moves during this phase, V forces a prover to return only the blank symbol # at any step by entering a rejecting state whenever the prover deceptively sends back non-blank symbols. When |x| is odd, V enters the inner state  $q_3$  after moving its tape head back to  $\xi$ . Hereafter, we consider only the case where the input x has an odd length.
- 2) In the second phase, V moves its tape head rightward by sending # to a prover until V receives 1 from the prover. Receiving 1 from the prover, V willingly rejects x unless its tape head is currently scanning 1 on the input tape. Otherwise, the third phase starts. During the third and fourth phases, whenever the prover changes the communication symbol 1 to #, V immediately rejects the input.
- 3) Assume that the tape head is now scanning 1 on the input tape. In the third phase, the computation splits into N parallel branches by applying  $V_1|q_3\rangle|1\rangle$ . This step is called the *first split* and it generates

```
\overline{V_{\$}|q_0\rangle|\#}\rangle = |q_{rej,0}\rangle|\#\rangle
V_{\mathbf{c}}|q_0\rangle|\#\rangle = |q_0\rangle|\#\rangle
V_{\mathfrak{c}}|q_2\rangle|1\rangle = |q_{rej,0}\rangle|\#\rangle
                                                                                                                                                    V_{\$}|q_0\rangle|1\rangle = |q_{rej,1}\rangle|\#\rangle
V_{\mathfrak{k}}|q_2\rangle|\#\rangle = |q_3\rangle|\#\rangle
                                                                                                                                                    V_{\$}|q_1\rangle|\#\rangle = |q_2\rangle|\#\rangle
\langle t_{\mathbf{k}} | s_{j,0} \rangle | 1 \rangle = \frac{1}{\sqrt{N}} \sum_{l=1}^{N} \exp(2\pi i j l/N) |t_l \rangle |\# \rangle \ (1 \le j \le N)
                                                                                                                                                    V_{\$}|q_1\rangle|1\rangle = |q_{rej,1}\rangle|1\rangle
                                                                                                                                                    \begin{array}{l} V_{\$}|r_{j,0}\rangle|1\rangle = |s_{j,0}'\rangle|1\rangle \; (1 \leq j \leq N) \\ V_{\$}|s_{j,0}'\rangle|1\rangle = |s_{j,0}\rangle|1\rangle \; (1 \leq j \leq N) \end{array}
V_b|q_0\rangle|\#\rangle = |q_1\rangle|\#\rangle
                                                                                                                                                    V_b|q_1\rangle|\#\rangle = |q_0\rangle|\#\rangle
V_b|q_0\rangle|1\rangle = |q_{rej,0}\rangle|\#\rangle
                                                                                                                                                    V_b|q_1\rangle|1\rangle = |q_{rej,0}\rangle|1\rangle
V_b|q_2\rangle|\#\rangle = |q_2\rangle|\#\rangle
                                                                                                                                                    V_b|q_2\rangle|1\rangle = |q_{rej,1}\rangle|1\rangle
V_b|q_3\rangle|\#\rangle = |q_3\rangle|\#\rangle
V_1|q_3\rangle|1\rangle = \frac{1}{\sqrt{N}}\sum_{j=1}^{N}|r_{j,0}\rangle|\#\rangle
                                                                                                                                                    V_0|q_3\rangle|1\rangle = |q_{rej,-1}\rangle|\#\rangle
V_b|r_{j,0}\rangle|1\rangle = |r'_{j,N-j}\rangle|1\rangle \ (1 \le j \le N-1)
V_b|r_{j,k}\rangle|1\rangle = |r'_{j,k}\rangle|1\rangle \ (1 \le k \le N-j, \ 1 \le j \le N-1)
                                                                                                                                                    V_b|r_{j,0}\rangle|\#\rangle = |q_{rej,j}\rangle|1\rangle \ (1 \le j \le N-1)
                                                                                                                                                    V_b|r_{N,0}\rangle|1\rangle = |r_{N,0}\rangle|1\rangle
V_b|r'_{j,k}\rangle|1\rangle = |r_{j,k-1}\rangle|1\rangle \ (2 \le k \le N-j, \ 1 \le j \le N-1)
V_b|r_{j,1}^{\prime\prime}\rangle|1\rangle = |r_{j,0}\rangle|1\rangle, \ (1 \le j \le N-1)
                                                                                                                                                    V_b|s_{j,0}\rangle|1\rangle = |s_{j,j}\rangle|1\rangle \ (1 \le j \le N)
V_b|s_{j,0}\rangle|\#\rangle = |q_{rej,N+j}\rangle|\#\rangle \ (1 \le j \le N)
V_b|s_{j,k}\rangle|1\rangle = |s_{j,k-1}\rangle|1\rangle \ (2 \le k \le j, \ 1 \le j \le N)
V_b|s_{j,1}\rangle|1\rangle = |s_{j,0}\rangle|1\rangle \ (1 \le j \le N)
D(q_0) = D(q_1) = D(q_3) = 1, D(q_2) = -1
                                                                                                                                                    D(r_{j,0}) = 1 \ (1 \le j \le N)
D(r_{j,k}) = D(r'_{j,k}) = 0 \ (1 \le j \le N - 1, \ k \ne 0)

D(s_{j,k}) = D(s'_{j,0}) = 0 \ (1 \le j \le N, \ k \ne 0)
                                                                                                                                                    D(s_{j,0}) = -1 \ (1 \le j \le N)
                                                                                                                                                    D(t_i) = 0 \ (1 \le j \le N)
```

Table 1:  $(Q \times \Gamma)$ -transitions  $\{V_{\sigma}\}_{{\sigma} \in \tilde{\Sigma}}$  of V for Center with  $b \in \{0,1\}$ . Here,  $t_N$  is a unique accepting inner state, while  $q_{rej,j}$   $(-1 \le j \le 2N-1)$  and  $t_l$   $(1 \le l < N)$  are all rejecting inner states. The table excludes obvious transitions to rejecting inner states when a prover changes the communication symbol 1 to # during the third and fourth phases.

the N distinct inner states  $r_{1,0}, r_{2,0}, \ldots, r_{N,0}$  with equal amplitudes  $1/\sqrt{N}$ . The tape head then moves deterministically toward \$\$ in the following manner: along the jth computation path  $(1 \le j \le N)$  associated with the inner state  $r_{j,0}$ , the tape head idles for 2(N-j) steps in each tape cell before moving to the next one by changing inner states as

$$r_{j,0} \xrightarrow{1} r'_{j,N-j} \xrightarrow{0} r_{j,N-j-1} \xrightarrow{0} r'_{j,N-j-1} \xrightarrow{0} r_{j,N-j-2} \xrightarrow{0} \cdots \xrightarrow{0} r'_{j,1} \xrightarrow{0} r_{j,0},$$

where each number over arrows indicates the direction of the tape head. When the tape head reaches \$, it steps back one cell by applying  $V_{\$}|r_{j,0}\rangle|1\rangle$  and  $V_{\$}|s'_{j,0}\rangle|1\rangle$ , and then starts the fourth phase.

4) During the fourth phase, the tape head along the jth computation path keeps moving leftward by idling in each cell for j steps, changing inner states as

$$s_{j,0} \xrightarrow{0} s_{j,j} \xrightarrow{0} s_{j,j-1} \xrightarrow{0} s_{j,j-2} \xrightarrow{0} \cdots \xrightarrow{0} s_{j,1} \xrightarrow{-1} s_{j,0}$$

until the tape head reaches  $\xi$ . At  $\xi$ , the computation splits again into N parallel branches (called the *second split*) by applying the QFT  $V_{\xi}|s_{j,0}\rangle|1\rangle$ , yielding either the accepting inner state  $t_N$  or one of the rejecting inner states in  $\{t_j \mid 1 \leq j < N\}$ .

From Table 1, it is not difficult to check that V is indeed well-formed (namely,  $U_{\delta}^{x}$  is unitary for every  $x \in \Sigma^{*}$ ). The honest prover P should return 1 exactly at the time when V scans the center bit of an input string and at the time when V sends # to P during the third and fourth phases. At any other step, P should apply the identity operator.

Now, we shall check the completeness and soundness of the obtained QIP system (P,V) for Center. First, consider a positive instance x, which is of the form y1z for certain strings y and z of the same length, say, n. Since the honest prover P signals just before V reads the center bit 1 of x, the first split given by  $V_1|q_3\rangle|1\rangle = \frac{1}{\sqrt{N}}\sum_{j=1}^N|r_{j,0}\rangle|\#\rangle$  occurs at the middle of x during the third phase (more precisely, exactly after n steps of V from the start of the second phase) after reading  $\xi y1$ . Along the jth computation path  $(1 \le j \le N)$  associated with the inner state  $r_{j,0}$  chosen at the first split, V idles for 2n(N-j) steps while reading z and also idles for 2nj steps while reading the whole input. Overall, the idling time elapses for the duration of 2n(N-j)+2nj=2nN, which is independent of j. Hence, all the N computation paths created at the aforementioned first split must have the same length, and thus the superposition of global configurations prior to the second split becomes  $\frac{1}{\sqrt{N}}\sum_{j=1}^N |s_{j,0}\rangle|\#\rangle$  for an appropriate quantum state  $|\Psi\rangle$  in the Hilbert space  $\mathcal P$  associated with the prover's private tape. The QFT given by the transition  $V_{\xi}|s_{j,0}\rangle|1\rangle =$ 

 $\frac{1}{\sqrt{N}}\sum_{l=1}^{N}\exp(2\pi i j l/N)|t_l\rangle|\#\rangle$  makes all the global configurations converge to the verifier's visible accepting configuration  $|t_N\rangle|\#\rangle$ ; that is,  $\frac{1}{\sqrt{N}}\sum_{l=1}^{N}\left(\frac{1}{\sqrt{N}}\sum_{j=1}^{N}\exp(2\pi i j l/N)\right)|t_l,0\rangle|\#\rangle|\Psi\rangle$ , which equals  $|t_N,0\rangle|\#\rangle|\Psi\rangle$ . Therefore, V accepts x with probability 1.

On the contrary, suppose that x is a negative instance of the form x = y0z with |y| = |z| = n. Consider the second, third, and fourth phases. To minimize the rejection probability, a dishonest prover  $P^*$  must send the symbol 1 just before V scans 1 on the input tape during the second phase and then  $P^*$  must maintain 1 because, otherwise, V immediately rejects x. Note that there is no way for classical provers to pass both 1 and # in a form of superposition to deceive the verifier. Let us assume that the eth symbol of x is 1 and  $P^*$  sends 1 during the eth interaction, where  $1 \le e \le 2n+1$ . Obviously,  $e \ne n+1$  follows because the center bit of x is 0. Consider the first split caused by applying  $V_1|q_3\rangle|1\rangle = \frac{1}{\sqrt{N}}\sum_{j=1}^N |r_{j,0}\rangle|\#\rangle$ . For each index  $j \in [1, N]_{\mathbb{Z}}$ , let  $p_j$  be the computation path following the jth branch that starts with the inner state  $r_{j,0}$  generated at the first split. Along this computation path  $p_j$ , the idling time totals 2(|x|-e)(N-j)+2nj=2(n+1-e)(N-j)+2nN. Since  $1\leq j\leq N$ , two computation paths  $p_j$  and  $p_{j'}$  for any distinct values j and j' must have different lengths. Just before the second split, along the jth computation path, we obtain a quantum state  $\frac{1}{\sqrt{N}}|s_{j,0},0\rangle|\#\rangle|\Psi_j\rangle + |\Delta_j\rangle$ , where  $|\Delta_j\rangle$  does not contain  $|s_{j,0}\rangle$ . At the second split, the QFT further generates N parallel branches  $\frac{1}{N}\sum_{j=1}^{N}\exp(2\pi i j l/N)|t_l,0\rangle|\#\rangle|\Psi_j\rangle+|\Delta_j'\rangle$ , which equals  $\frac{1}{N}|t_N,0\rangle|\#\rangle|\Psi_j\rangle+|\Delta_j''\rangle$ , where  $|\Delta_j'\rangle$  is obtained from  $|\Delta_j\rangle$  by the QFT and  $|\Delta''\rangle$  is an appropriate quantum state not containing  $|t_N\rangle$ . Thus, at most one of the computation paths can reach  $|t_N,0\rangle|\#\rangle$ . Hence, the probability of V reaching such an acceptance configuration is no more than  $1/N^2$ . Since there are N computation paths  $\{p_i\}_{1\leq i\leq N}$  generated at the first split, the overall acceptance probability is at most  $N \times (1/N^2) = 1/N$ . Since V's computation paths always end with certain halting states, it follows that V rejects x with probability  $\geq 1 - 1/N \geq 1 - \epsilon$ .

### 4 What If a Verifier Reveals Private Information?

In Dwork-Stockmeyer IP systems [6], the prover's view of the verifier's computation is limited to a small window (i.e., a communication cell) and the strength of a prover's strategy hinges on the amount of the information that a verifier is willing to reveal to the prover through this window. Let us consider a situation, in their IP system, that a verifier always unalters the communication cell. Since the behavior of a 2pfa verifier depends on not only messages from a prover but also its internal random choices (or its coin flips), no prover can gain more than the information on the number of the verifier's moves, and therefore any prover knows little of the verifier's actual configurations.

In Babai's Arthur-Merlin proof systems [3] (also known as "public-coin" IP systems [7]), on the contrary, the verifier must always pass the information on his next move resulting from his internal random choices, and such information suffices for the mighty prover to keep track of the verifier's configurations. Dwork and Stockmeyer [6] defined  $AM(\langle restriction \rangle)$  as a variant of their original IP systems by requiring their verifiers to publicly reveal next inner states and tape head directions determined by internal coin flips.

Here, we shall consider a straightforward quantum analogy of the above public-coin IP systems and investigate their language recognition power. In our QIP system, we demand the verifier to reveal through the communication cell his choice of non-halting inner state as well as his tape head direction *at every step*. Formally, we define a *public QIP system* as follows, whereas we sometimes call the original QIP systems defined in Section 2.1 *private QIP systems* in comparison.

**Definition 4.1** A 2qfa-verifier QIP system (P,V) is called  $public^{**}$  if the verifier's linear operators  $\{U_{\delta}^x\}_{x\in\Sigma^*}$  induced by  $\delta$  satisfy the following publicness condition: for any tuple  $(x,q,k,\gamma)$ , if q is a non-halting state, then  $U_{\delta}^x|q,k,\gamma\rangle$  must be of the form  $\sum_{q',\xi,d}\delta(q,x_{(k)},\gamma,q',\xi,d)|q',k+d\pmod{|x|+2},\xi\rangle$ , where  $\xi=(q',d),\,x_{(0)}=\xi$ , and  $x_{(|x|+1)}=\$$ .

In particular, when the verifier V is a 1qfa, we omit the information on tape head direction d from the communication symbol  $\xi=(q',d)$  in Definition 4.1 since V always moves its tape head to the right (i.e., d=+1) and the information on d is obviously redundant. To emphasize the "publicness" of this new system, we use the specific notation  $\langle public \rangle$ . For instance, QIP(2qfa,public) indicates a collection of all languages recognized by public QIP systems with 2qfa verifiers. By direct analogy with AM(2pfa), however, we might possibly write QAM(2qfa) for QIP(2qfa,public). In Definition 4.1, since there is no restriction on

<sup>\*\*</sup>As another variant of public QIP system, we may require  $U^x_{\delta}|q,k,\gamma\rangle$  to satisfy the same equality only for non-halting states q', instead of q. See [15] for more details.

provers, all public QIP systems with 1qfa verifiers are naturally private QIP systems with the same verifiers. It therefore holds that, for example,  $QIP(1qfa, public) \subseteq QIP(1qfa)$  and  $QIP(2qfa, public) \subseteq QIP(2qfa)$ .

We shall further demonstrate the power of public QIP systems. Now, we shall concentrate on the language class QIP(1qfa, public). Unlike  $1\text{QFA} \subseteq \text{QIP}(1qfa)$ , the containment  $1\text{QFA} \subseteq \text{QIP}(1qfa, public)$ , which seems to hold naturally at a quick glance, is still unknown. The difficulty of proving this containment is caused by the publicness condition of the public QIP systems. Because the verifier must announce its next move to a prover, he unintentionally helps the prover make his local system entangled with the prover's local system; however, we do not know how to get rid of this type of entanglement.

Despite the publicness condition, we can still show that the power of QIP(1qfa, public) is well beyond 1QFA. Let us consider the language  $Zero = \{w0 \mid w \in \{0,1\}^*\}$  in QIP(1qfa, public), which is known to reside outside of 1QFA [11]. In the next lemma, we shall prove that Zero has a public QIP system with 1qfa verifiers.

## **Lemma 4.2** $Zero \in QIP_{1,1}(1qfa, public).$

The following proof exploits the prover's ability to inform the location of the rightmost bit 0 of an instance in Zero. To simplify the description of 1qfa verifiers  $V = (Q, \check{\Sigma}, \delta, q_0, Q_{acc}, Q_{rej})$ , for each  $q \in Q$ , we intend to abbreviate the communication symbol (q, 1) as q, because V's tape head direction is always +1.

**Proof of Lemma 4.2.** Let us show that Zero has a public (1,1)-QIP system (P,V) with 1qfa verifier V. To describe the desired protocol (P,V), let  $\Sigma = \{0,1\}$  be its input alphabet and let  $Q_{non} = \{q_0,q_1\}$ ,  $Q_{acc} = \{q_{acc,0},q_{acc,1},q_{acc,-1}\}$  and  $Q_{rej} = \{q_{rej,i},q'_{rej,i} \mid i \in \{0,\pm 1\}\}$  be respectively the sets of non-halting inner states, of accepting inner states, and of rejecting inner states of V. Using the above-mentioned abbreviation, our communication alphabet  $\Gamma$  can be defined as  $\{\#,q_0,q_1\}$ .

The protocol of V is described in the following. See Table 2 for the formal description of V's  $(Q \times \Gamma)$ -transitions. Let x = yb be any input string, where  $b \in \{0,1\}$ . The verifier V stays in the initial state  $q_0$  by publicly announcing  $q_0$  (i.e., sending the communication symbol  $q_0$  to a prover) until the prover returns #. Whenever V receives #, he immediately rejects x by entering  $q_{rej,-1}$  (after applying either  $V_{\$}|q_0\rangle|\#\rangle$  or  $V_1|q_0\rangle|\#\rangle$  if its current scanning symbol is different from 0. On the contrary, if V is scanning 0, then he waits for the next tape symbol by entering  $q_1$ . If the next symbol is \$, then he accepts x after applying  $V_{\$}|q_1\rangle|q_1\rangle$ ; otherwise, he rejects x by entering  $q'_{rej,i}$  (after applying either  $V_0|q_1\rangle|q_i\rangle$  or  $V_1|q_1\rangle|q_i\rangle$ ). Our honest prover P does not alter the communication cell until V reaches the right end of p and p must return p just before V reads the symbol p so that p can apply p apply p and p must return p just before p reads the symbol p so that p can apply p apply p and p must return p just before p reads the symbol p so that p can apply p apply p and p must return p just before p reads the symbol p so that p can apply p the symbol p represents the right end of p and p must return p just before p reads the symbol p so that p can apply p the symbol p represents the right end of p represents the right end

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 \begin{array}{|c|c|c|} \hline V_{\psi}|q_{0}\rangle|\#\rangle = |q_{0}\rangle|q_{0}\rangle & V_{0}|q_{0}\rangle|\#\rangle = |q_{1}\rangle|q_{1}\rangle & V_{1}|q_{0}\rangle|\#\rangle = |q_{rej,-1}\rangle|q_{rej,-1}\rangle \\ V_{\psi}|q_{0}\rangle|q_{j}\rangle = |q_{rej,j}\rangle|q_{rej,j}\rangle & V_{0}|q_{0}\rangle|q_{0}\rangle = |q_{0}\rangle|q_{0}\rangle & V_{1}|q_{0}\rangle|q_{0}\rangle = |q_{0}\rangle|q_{0}\rangle \\ V_{\psi}|q_{1}\rangle|q_{i}\rangle = |q'_{rej,i}\rangle|q'_{rej,i}\rangle & V_{0}|q_{0}\rangle|q_{1}\rangle = |q_{rej,1}\rangle|q_{rej,1}\rangle & V_{1}|q_{0}\rangle|q_{1}\rangle = |q_{rej,1}\rangle|q_{rej,1}\rangle \\ V_{\$}|q_{0}\rangle|q_{i}\rangle = |q_{rej,i}\rangle|q_{rej,i}\rangle & V_{0}|q_{1}\rangle|q_{i}\rangle = |q'_{rej,i}\rangle|q'_{rej,i}\rangle & V_{1}|q_{1}\rangle|q_{i}\rangle = |q'_{rej,i}\rangle|q'_{rej,i}\rangle \\ V_{\$}|q_{1}\rangle|q_{i}\rangle = |q_{acc,i}\rangle|q_{acc,i}\rangle & \end{array}
```

Table 2:  $(Q \times \Gamma)$ -transitions  $\{V_{\sigma}\}_{{\sigma} \in \check{\Sigma}}$  of V for Zero with  $i \in \{0, \pm 1\}$  and  $j \in \{0, 1\}$ . The symbol  $q_{-1}$  denotes #.

It still remains to prove that (P, V) recognizes Zero with certainty. Consider the case where our input x is of the form y0 for a certain string y. Since x is in Zero, the honest prover P returns # just after V reads the rightmost symbol of  $\mathbb{c} y$ . This information helps V locate the end of y. Moving its tape head rightward, V confirms that the next scanning symbols are 0\$ and then enters an accepting inner state (either  $q_{acc,0}$ ,  $q_{acc,1}$ , or  $q_{acc,-1}$ ) with probability 1. On the contrary, assume that x=y1. Clearly, the best adversary  $P^*$  needs to return either  $q_0$  or # (or their superposition). If  $P^*$  keeps returning  $q_0$ , then V eventually rejects x and increases the rejection probability. Since V's computation is essentially deterministic, this strategy only decreases the chance of cheating by  $P^*$ . To make the best of the adversary's strategy,  $P^*$  must return the communication symbol # just before V scans 0. Nonetheless, when  $P^*$  returns #, V applies  $V_0|q_0\rangle|\#\rangle$  and then applies  $V_0|q_1\rangle|q_i\rangle$  or  $V_1|q_1\rangle|q_i\rangle$ , where  $i \in \{0,\pm 1\}$  and  $q_{-1}=\#$ . Obviously, this leads to a rejecting inner state of V with certainty. Therefore, the QIP system (P,V) recognizes Zero with certainty.

It follows from Lemma 4.2 that QIP(1qfa, public) is powerful enough to contain certain languages that cannot be recognized by 1qfa's alone. It is also possible to show that QIP(1qfa, public) contains all languages recognized by 1qfa's whose transition amplitudes are limited to  $\{0,1\}$ . Those 1qfa's are known as 1-way (deterministic) reversible finite automaton (1rfa, in short) [1]. For our convenience, let 1RFA denote the

collection of all languages recognized by such 1rfa's. As Ambainis and Freivalds [1] showed, 1RFA is characterized exactly as the collection of all languages that can be recognized by 1qfa's with success probability  $\geq 7/9 + \epsilon$  for certain constants  $\epsilon > 0$ .

**Theorem 4.3** 1RFA  $\subseteq$  QIP<sub>1.1</sub>(1qfa, public)  $\nsubseteq$  1QFA.

**Proof.** Firstly, we shall show that QIP<sub>1,1</sub>(1qfa, public) contains 1RFA. Take an arbitrary set L recognized by a 1rfa  $M = (Q, \check{\Sigma}, \delta_M, q_0, Q_{acc}, Q_{rej})$ , where  $\delta_M$  is a reversible transition function [1] from  $Q \times \check{\Sigma} \times Q$  to  $\{0,1\}$ . This  $\delta_M$  satisfies that (i) for any pair  $(p,\sigma) \in Q \times \check{\Sigma}$ , there exists a unique inner state  $q \in Q$  for which  $\delta_M(p,\sigma,q) = 1$  and (ii) for any  $(q,\sigma) \in Q \times \check{\Sigma}$ , there is at most one  $p \in Q$  satisfying  $\delta_M(p,\sigma,q) = 1$ .

Henceforth, we shall construct a public (1,1)-QIP system (P,V) that "mimics" a computation of M. The desired 1qfa verifier  $V=(Q', \Sigma, \Gamma, \delta, q_0, Q'_{acc}, Q'_{rej})$  behaves as follows. Let  $Q'_{acc}=Q_{acc}, Q'_{rej}=Q_{rej}\cup\{q_{rej,p,q}\mid p\in Q_{non}, q\in Q, p\neq q\}$ , and  $Q'=\Gamma=Q\cup Q'_{rej}$ , provided that  $q_{rej,p,q}$ 's are all fresh symbols not in Q. Assume that V is in inner state p, scanning symbol b on an input tape. Whenever M changes its inner state from p to q after scanning b, V does so by revealing its next inner state q to a prover. As soon as V finds that the communication symbol has been altered intentionally by the prover, V immediately rejects the input. This process forces any prover to unalter the content of the communication cell. Table 3 gives a list of  $(Q\times\Sigma)$ -transitions that induces V's strategy  $\delta$ . It is clear from the list that  $\delta$  is well-formed because of the reversibility of  $\delta_M$  and that the publicness condition for V is met. Finally, the honest prover P is a prover who does not alter any communication symbol; that is, P applies only the identity operator at every step.

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\begin{aligned} V_{\xi}|q_{0}\rangle|\#\rangle &= |q\rangle|q\rangle \text{ if } \delta_{M}(q_{0}, \xi, q) = 1\\ V_{b}|p\rangle|p\rangle &= |q\rangle|q\rangle \text{ if } \delta_{M}(p, b, q) = 1\\ V_{b}|p\rangle|q\rangle &= |q_{rej,p,q}\rangle|q_{rej,p,q}\rangle \text{ if } p \neq q \text{ and } p \in Q_{non} \end{aligned}
```

Table 3:  $(Q \times \Gamma)$ -transitions  $\{V_{\sigma}\}_{{\sigma} \in \check{\Sigma}}$  of V for L with  $b \in \Sigma \cup \{\$\}$  and  $p, q \in Q$ . All inner states  $q_{rej,p,q}$  are rejecting states.

On input  $x \in \Sigma^*$ , the QIP system (P, V) accepts x with certainty if  $x \in L$ , since V exactly simulates M by the help of the honest prover P. Let us consider the opposite case where  $x \notin L$ . It is easy to see that the best strategy for a dishonest prover  $P^*$  is to keep any communication symbol unchanged because any alteration of the communication symbols causes V to reject x immediately and lowers the acceptance probability of V. Against such a prover  $P^*$ , V obviously enables to reject x with certainty because, in this case, V's final decision is not influenced by the communication symbols. Therefore, (P, V) recognizes L with certainty. Since L is arbitrary, we obtain the desired containment 1RFA  $\subseteq$  QIP<sub>1,1</sub>(1qfa, public).

Secondly, the separation between 1QFA and  $QIP_{1,1}(1qfa,public)$  immediately follows from Lemma 4.2 together with the fact that Zero is not in 1QFA [1]. Moreover, since 1RFA  $\subseteq$  1QFA and  $QIP_{1,1}(1qfa,public) \not\subseteq$  1QFA, we can conclude that 1RFA  $\neq$  QIP(1qfa, public). This completes the proof.

Next, we shall examine public QIP systems whose verifiers are 2qfa's. Similar to Theorem 3.2(2), we can claim the following two separations.

**Theorem 4.4** 1. QIP $(2qfa, public, poly-time) \not\subseteq AM(2pfa, poly-time)$ .

2. QIP $(2qfa, public, poly-time, c\text{-}prover) \not\subseteq AM(2pfa, poly-time)$ .

A language that separates the public QIP systems with 2qfa verifiers from AM(2pfa, poly-time) is  $Upal = \{0^n1^n \mid n \in \mathbb{N}\}$ . Since Upal resides outside of AM(2pfa, poly-time) [6] and Upal belongs to 2QFA(poly-time) [11], the separation 2QFA(poly-time)  $\nsubseteq$  AM(2qfa, poly-time) follows immediately. This separation, however, does not directly imply Theorem 4.4 because, for a technical reason similar to the case of 1qfa verifiers, it is not known whether 2QFA(poly-time) is included in QIP(2qfa, public, poly-time) or even in QIP(2qfa, public, poly-time, c-prover). Therefore, we still need to prove in the next lemma that Upal indeed belongs to both QIP(2qfa, public, poly-time) and QIP(2qfa, public, poly-time, c-prover).

**Lemma 4.5** For any constant  $\epsilon$  in (0,1], Upal belongs to the intersection  $QIP_{1,1-\epsilon}(2qfa,public,poly-time) \cap QIP_{1,1-\epsilon}(2qfa,public,poly-time,c-prover)$ .

**Proof.** In what follows, we shall prove that Upal belongs to  $QIP_{1,1-\epsilon}(2qfa, public, poly-time)$ . The proof for  $Upal \in QIP_{1,1-\epsilon}(2qfa, public, poly-time, c-prover)$  is similar. Let  $N = \lceil 1/\epsilon \rceil$ . Let us define our public

QIP system (P, V). The honest prover P always applies the identity operation at every step. The verifier V acts as follows. In the first phase, it determines whether an input x is of the form  $0^m1^n$ . The rest of the verifier's algorithm is similar in essence to the one given in the proof of Lemma 3.3. In the second phase, V generates N parallel branches with equal amplitude  $1/\sqrt{N}$  by entering N different inner states, say,  $r_1, r_2, \ldots, r_N$ . In the third phase, along the jth branch starting with  $r_j$  ( $j \in [1, N]_{\mathbb{Z}}$ ), the tape head idles for N-j steps at each tape cell containing 0 and idles for j steps at each cell containing 1 until the tape head finishes reading 1s. In the fourth phase, V applies the QFT to collapse all computation paths to a single accepting inner state if m=n. Otherwise, all the computation paths do not interfere with each other since the tape head reaches \$ at different times along different computation paths. During the first and second phases, V publicly reveals the information (q', d') on his next move and then checks whether the prover rewrites it with a different symbol. To constrain the prover's strategy, V immediately enters a rejecting inner state if the prover alters the content of the communication cell.

An analysis of the QIP protocol (P, V) for its completeness and soundness conditions is essentially the same as in the proof of Lemma 3.3. In conclusion, Upal is in  $\text{QIP}_{1,1-\epsilon}(2qfa,public,poly-time)$ , as requested.  $\Box$ 

## 5 How Many Interactions are Necessary or Sufficient?

In the previous two sections, despite heavy restrictions on QIP systems, we have witnessed that quantum interactions between a prover and a qfa verifier remarkably enhance the qfa's ability to recognize certain types of languages. Since our basic QIP model forces a verifier to communicate with a prover at every move, it is natural to ask whether such interactions are truly necessary. To answer this question, we shall remodel QIP systems so that verifiers are allowed to communicate with provers only at the time when the verifiers need any help from the provers. Throughout this section, we shall shed new light on the number of interactions between a prover and a verifier in those new QIP systems, and we shall carefully examine how many interactions are necessary or sufficient to conduct a given task of language recognition.

### 5.1 Interaction-Bounded QIP Systems

To study the number of interactions between a prover and a verifier, we want to modify our basic QIP systems so that a prover is permitted to alter a communication symbol in the communication cell exactly when the verifier asks the prover to do so. To make such a modification, we first look into the IP systems of Dwork and Stockmeyer [6]. In their system, a verifier is allowed to do computation "silently" at any chosen time with no communication with a prover; in other words, the verifier interacts with the prover only when the help of the prover is needed and the prover patiently awaits for next interactions without conducting any computation. We interpret the verifier's silent mode as follows: if the verifier V does not wish to communicate with the prover, he writes a special communication symbol in the communication cell to signal the prover that he needs no help from the prover. Simply, we use the blank symbol # to condition that the prover is prohibited to tailor the content of the communication cell.

We formally introduce a new QIP system, in which no malicious prover P is permitted to cheat a verifier by willfully tampering with the symbol # in the communication cell. Since the verifier is governed by quantum mechanics, if a malicious prover willfully modifies #, the verifier's computation may be significantly hampered and the verifier may have no means to prevent such an action of the prover because of the unitarity requirement of the verifier's strategy  $\delta$ . To describe a "valid and legitimate" prover P independent of the choice of verifiers, we require the prover's strategy  $P_x = \{U_{P,i}^x\}_{i\in\mathbb{N}^+}$  acting on the prover's visible configuration space  $M\otimes P$  on each input x to do nothing (namely, apply the identity operator). To allow a prover P to maintain the unitarity of his strategy  $U_{P,i}^x$ , we also permit the prover to modify his private information  $\gamma$  (including a content of the communication cell) when  $\gamma$  never appears in an actual computation with non-zero amplitudes. To formulate this condition independent of the verifier, we need to introduce a series  $\{S_i\}_{i\in\mathbb{N}}$  of elements in  $\Delta_{fin}^\infty$ . This series  $\{S_i\}_{i\in\mathbb{N}}$  is defined recursively as  $S_0 = \{\#^\infty\}$  and  $S_i$  ( $i \in \mathbb{N}^+$ ) is the collection of all elements  $y \in \Delta_{fin}^\infty$  such that, for a certain element  $z \in S_{i-1}$  and certain communication symbols  $\sigma, \tau \in \Gamma$ , the superposition  $U_{P,i}^{\sigma}|\sigma\rangle|z\rangle$  contains the visible configuration  $|\tau\rangle|y\rangle$  of non-zero amplitude, namely,  $|\langle y|\langle \tau|U_{P,i}^{\sigma}|\sigma\rangle|z\rangle|>0$ . Now, our requested condition is expressed as follows.

(\*) For every  $i \in \mathbb{N}^+$  and every  $y \in S_{i-1}$ ,  $U_{P,i}^x |\#\rangle |y\rangle = |\#\rangle |y\rangle$ .

Any prover P who satisfies Condition (\*) is succinctly referred to as committed. A trivial example of a committed prover is the prover  $P_I$ , who always applies the identity operator. A committed prover lets the verifier safely make a number of moves without any "direct" interaction with him. Observe that this new QIP model with committed provers is in essence closer to a circuit-based QIP model of Watrous [17] than the original QIP model is. For convenience, we name our new model an interaction-bounded QIP system and use the new notation QIP#(1qfa) for the class of all languages recognized with bounded error probability by such interaction-bounded QIP systems with 1qfa verifiers. Note that standard QIP systems can be naturally transformed into interaction-bounded QIP systems by (possibly) modifying the blank symbol appropriately to a fresh non-blank symbol. This simple fact implies that QIP#(1qfa) contains QIP(1qfa), which equals REG [16].

### **Lemma 5.1** REG $\subseteq$ QIP<sup>#</sup>(1qfa).

We are now ready to clarify the meaning of the number of interactions in an interaction-bounded QIP system (P,V). Let us consider any non-halting global configuration in which V on input x communicates with a prover (that is, writes a non-blank symbol in the communication cell). For convenience, we call such a global configuration a query configuration and, at such a query configuration, V is said to query a symbol to the prover. Recall from Section 2.1 the definition of global computation paths. The number of interactions in a given computation means the maximum number, over all global computation paths  $\chi$  of the computation of (P,V), of all query configurations of non-zero amplitudes along  $\chi$ . Let L be any language and assume that (P,V) recognizes L. We say that the QIP protocol (P,V) makes i interactions on input x if i equals the number of interactions during the computation of (P,V) on x. Furthermore, we call the QIP system (P,V) k-interaction bounded<sup>‡‡</sup> if (i) for every  $x \in L$ , the protocol (P,V) makes at most k interactions on the input x and (ii) for every  $x \notin L$  and for every committed prover  $P^*$ , the protocol  $(P^*,V)$  makes at most k interactions on the input x. At last, let  $\mathrm{QIP}_k^\#(1qfa)$  denote the class of all languages recognized with bounded error probability by k-interaction bounded QIP systems with 1qfa verifiers. Since verifiers can control the number of queries, it is not difficult to show that  $1\mathrm{QFA} \subseteq \mathrm{QIP}_k^\#(1qfa) \subseteq \mathrm{QIP}_{k+1}^\#(1qfa) \subseteq \mathrm{QIP}_{k+1}^\#(1qfa)$  for any constant  $k \in \mathbb{N}$ . In particular,  $\mathrm{QIP}_0^\#(1qfa) = 1\mathrm{QFA}$  holds.

As the main theorem of this section, we want to show in Theorem 5.2 that (i) 1-interaction helps a verifier but (ii) 1-interaction does not achieve the full power of  $QIP^{\#}(1qfa)$ .

**Theorem 5.2** 
$$\operatorname{QIP}_0^{\#}(1qfa) \subsetneq \operatorname{QIP}_1^{\#}(1qfa) \subsetneq \operatorname{QIP}^{\#}(1qfa)$$
.

Theorem 5.2 is a direct consequence of Lemma 5.3 and Proposition 5.4, and its proof proceeds as follows. For the first inequality of the theorem, we shall take the language Odd defined as the set of all binary strings of the form  $0^m1z$ , where  $m \in \mathbb{N}$ ,  $z \in \{0,1\}^*$ , and z contains an odd number of 0s. Since  $Odd \notin 1$ QFA [2], we shall show in Lemma 5.3 that Odd belongs to  $QIP_1^\#(1qfa)$ . For the second inequality of the theorem, recall the regular language  $Zero = \{x0 \mid x \in \{0,1\}^*\}$  from Section 4. We shall demonstrate in Proposition 5.4 that  $QIP_1^\#(1qfa)$  does not include Zero. Since  $REG \subseteq QIP^\#(1qfa)$  by Lemma 5.1, Zero must belong to  $QIP^\#(1qfa)$ , and thus we shall obtain the desired separation of  $QIP^\#(1qfa)$  from  $QIP_1^\#(1qfa)$ . It therefore suffices to prove Lemma 5.3 and Proposition 5.4.

As the first step, we prove Lemma 5.3 that asserts  $Odd \in QIP_1^{\#}(1qfa)$ .

# **Lemma 5.3** $Odd \in QIP_1^{\#}(1qfa)$ .

**Proof.** We shall design a 1-interaction bounded QIP system (P, V) that recognizes Odd. Now, let  $\Sigma = \{0,1\}$  and  $\Gamma = \{\#,a\}$  be respectively an input alphabet and a communication alphabet for (P,V). Moreover, let  $Q = \{q_0, q_1, q_2, q_{acc}, q_{rej,0}, q_{rej,1}\}$  be a set of V's inner states with  $Q_{acc} = \{q_{acc}\}$  and  $Q_{rej} = \{q_{rej,0}, q_{rej,1}\}$ . The protocol of the verifier V is described as follows. Table 4 gives a formal description of V's  $(Q,\Gamma)$ -transitions. Making no query to a committed prover, V continues to read input symbols until its tape head scans 1 on the input tape. When V reads 1, he queries the symbol a to a committed prover by executing the transition  $V_1|q_0\rangle|\#\rangle = |q_0\rangle|a\rangle$ . If the prover returns a, then V immediately rejects the input. Otherwise, V checks whether the substring of the input after 1 includes an odd number of 0s. This check

<sup>††</sup>There are a number of possible variants, one of which requires that, for every  $i \in \mathbb{N}^+$  and for every  $y \in \Delta_{fin}^{\infty}$ ,  $U_{P,i}^x |\#\rangle |y\rangle = |\#\rangle |\psi_{x,y,i}\rangle$  holds for a certain quantum state  $|\psi_{x,y,i}\rangle$ .

<sup>\*\*</sup> When  $x \in L$  and  $P^*$  is a malicious prover, Condition (i) does not impose any restriction on the number of interactions for  $(P^*, V)$  on x. Instead of Conditions (i)–(ii), we could take a much stronger condition; for example, for every x and every committed prover  $P^*$ ,  $(P^*, V)$  makes at most k interactions. Such a stronger condition actually makes simpler the proof of, say, Proposition 5.4.

can be done by V alone by applying  $V_b|q_1\rangle|\#\rangle$  and  $V_b|q_2\rangle|\#\rangle$  for  $b\in\Sigma$ . The role of the honest prover P is to work as an eraser, which erases any non-blank symbol written in the communication cell, to help the verifier safely make a transition from the inner state  $q_0$  to  $q_1$ . Note that, without the eraser, V alone cannot make such a transition because the unitary requirement of V's strategy (namely, two orthonormal vectors are transformed into two orthonormal ones) prohibits him from transforming both  $|q_1\rangle|a\rangle$  and  $|q_1\rangle|\#\rangle$  into  $|q_1\rangle|\#\rangle$ . To be more precise, whenever receiving the symbol a from V, P returns the symbol # and copies a into the first blank cell of his private tape. Technically speaking, to make P unitary, we need to map other visible configurations  $|\#\rangle|y\rangle$  for certain y's not having appeared in P's private tape to superpositions of the form  $|a\rangle|\phi_{x,y}\rangle$  with an appropriate vector  $|\phi_{x,y}\rangle$ . By right implementation, it is possible to make P a committed prover.

Ī	$V_{\mathfrak{c}} q_0\rangle \#\rangle =  q_0\rangle \#\rangle$	$V_0 q_0\rangle \#\rangle =  q_0\rangle \#\rangle$	$V_1 q_0\rangle \#\rangle =  q_1\rangle a\rangle$
	$V_{\$} q_0\rangle \#\rangle =  q_{rej,0}\rangle \#\rangle$	$V_0 q_1\rangle \#\rangle =  q_2\rangle \#\rangle$	$V_1 q_1\rangle \#\rangle =  q_1\rangle \#\rangle$
	$V_{\$} q_1\rangle \#\rangle =  q_{rej,1}\rangle \#\rangle$	$V_0 q_2\rangle \#\rangle =  q_1\rangle \#\rangle$	$V_1 q_2\rangle \#\rangle =  q_2\rangle \#\rangle$
	$V_{\$} q_2\rangle \#\rangle =  q_{acc}\rangle \#\rangle$	$V_0 q_1\rangle a\rangle =  q_{rej,0}\rangle \#\rangle$	$V_1 q_1\rangle a\rangle =  q_{rej,0}\rangle \#\rangle$

Table 4:  $(Q \times \Gamma)$ -transitions  $\{V_{\sigma}\}_{{\sigma} \in \check{\Sigma}}$  of V for Odd

Next, we shall show that (P, V) recognizes Odd with probability 1. Let x be any binary input. First, consider the case where x is in Odd. Assume that x is of the form  $0^m1y$ , where y contains an odd number of 0s. The honest prover P erases a that is sent from V when V reads 1. This process helps V shift to the next mode of checking the number of 0s. Since V can check whether y includes an odd number of 0s without any communication with the prover, V eventually accepts x with certainty. On the contrary, assume that  $x \notin Odd$ . In the special case where  $x \in \{0\}^*$ , V can reject x with certainty with no query to a committed prover. Now, focus our attention to the remaining case where x contains a 1. Let us assume that x is of the form  $0^m1y$ , where y contains an even number of 0s. The verifier V sends a to a committed prover when he reads 1. Note that V's protocol is essentially deterministic. To maximize the acceptance probability of V, a malicious prover needs to return # to V since, otherwise, V immediately rejects x in a deterministic fashion. Since V can check whether y includes an odd number of 0s without making any query to the prover, for any committed prover  $P^*$ , the QIP protocol  $(P^*, V)$  rejects x with certainty. Since the number of interactions made by the protocol is obviously at most 1, Odd therefore belongs to QIP $_{\pi}^{\#}(1qfa)$ , as requested.

As the second step, we need to prove Proposition 5.4 regarding the language  $Zero = \{x0 \mid x \in \{0,1\}^*\}$ . This regular language Zero is known to be outside of 1QFA [11]; in other words,  $Zero \notin \text{QIP}_0^\#(1qfa)$  since  $\text{QIP}_0^\#(1qfa) = 1\text{QFA}$ . Proposition 5.4 expands this impossibility result and shows that Zero is not even in  $\text{QIP}_0^\#(1qfa)$ .

**Proposition 5.4** Zero  $\notin QIP_1^{\#}(1qfa)$ .

Since the proof of Proposition 5.4 is quite involved, it will be given in the subsequent subsection.

#### 5.2 Proof of Proposition 5.4

Our proof of Proposition 5.4 proceeds by way of contradiction. Towards a contradiction, we start with assuming  $Zero \in \text{QIP}_1^\#(1qfa)$  and take a 1-interaction bounded QIP system (P,V) with 1qfa verifier V that recognizes Zero with error probability at most  $1/2 - \eta$  for a certain constant  $\eta > 0$ . Our goal is to pick a suitable string  $\tilde{y}0$  and an appropriate prover  $P'_y$  and to prove that their associated protocol  $(P'_y, V)$  accepts  $\tilde{y}01^m$  with probability at least 1/2, because this contradicts our assumption that  $Zero \in \text{QIP}_1^\#(1qfa)$ . For this purpose, we shall employ a technical tool, called "query weight", which is the sum of all the squared magnitudes of query configurations appearing in a computation of the protocol (P', V) on a specified input. However, a different choice of provers may result in different query weights, as seen in the second and the third computation trees shown in Figure 2. To cope with this unfavorable situation, we shall introduce another computation model, which is not dependent on the choice of provers, and we shall prove that this model gives an upper-bound of the query weight induced by any committed prover. Using this model and its query weight, we shall finally select the desired string  $\tilde{y}0$  and the desired prover  $P'_y$ .

Now, let Q be a set of V's inner states and let  $\Gamma$  be a communication alphabet. Write  $\Sigma$  for our input alphabet  $\{0,1\}$ . For technicality, we assume without loss of generality that V never queries at the very time

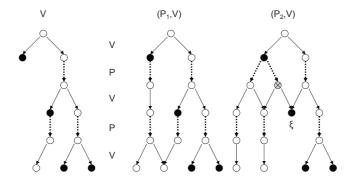


Figure 2: Examples of a modified computation. The leftmost graph depicts a modified computation of V on input x. Two remaining graphs are original computations generated by V on x using different provers  $P_1$  and  $P_2$ . The black circles indicate query configurations whereas the white circles indicate non-query configurations. Let query configuration  $\xi$  marked black have zero amplitude. The crossed grey circle (located at the upper-left side of  $\xi$ ) is the place where prover  $P_2$  forces V to generate a new computation path that destructively interferes with an existing path in the modified computation of V.

when it enters a certain halting inner state, that is, the time when V's tape head scans \$.

First, we introduce two useful notions: "1-interaction condition" and "query weight." Fix an input  $x \in \Sigma^*$  and let P' be any committed prover. For readability, we use the notation  $Comp_V(P', x)$  to denote a computation of the QIP protocol (P', V) on input x when P' takes strategy  $P'_x$ .

A committed prover P' is said to meet the 1-interaction condition at x with V if the corresponding protocol (P',V) makes at most 1 interaction on input x. Note that, when P' satisfies the 1-interaction condition at x with V, for any query configuration  $\xi$  of non-zero amplitude along computation path  $\chi$  in  $Comp_V(P',x)$ , there exists no other query configuration in  $Comp_V(P',x)$  between the initial configuration and this given configuration  $\xi$  along the computation path  $\chi$ . Let  $C_{x,V}^{(1)}$  be the collection of all committed provers P' who satisfy the 1-interaction condition at x with V. It is important to note that, whenever a prover in  $C_{x,V}^{(1)}$  answers to V with non-blank communication symbols with non-zero amplitude, V must change these symbols back to the blank symbol immediately since, otherwise, V is considered to make the second query in the next round, according to the definition of our interaction-bounded QIP model.

Now, let us choose any prover P' in  $C_{x,V}^{(1)}$  and consider its computation  $Comp_V(P',x)$ . By introducing an extra projection operator, we modify  $Comp_V(P',x)$  as follows. Whenever V performs a projective measurement onto  $(W_{non}, W_{acc}, W_{rej})$ , we then apply to the communication cell an additional projection that maps onto the Hilbert space spanned by  $|\#\rangle$ . This projection makes all non-blank symbols collapse. The protocol (P, V) then continues to the next step. By Condition (\*) in Section 5.1, observe that the computation obtained by inserting an extra projection operator at every step of V is independent of the choice of committed provers. To express this modified computation of V on x, we use another notation  $MComp_V(x)$ . Figure 2 illustrates the difference between such a modified computation and two original computations generated by two different provers  $P_1$  and  $P_2$ .

For two strings  $x, y \in \Sigma^*$ , the query weight  $wt_V^{(x)}(y)$  of V at y conditional to x is the sum of all the squared magnitudes of the amplitudes of query configurations appearing in  $MComp_V(xy)$  while V is reading y. For brevity, let  $wt_V(y) = wt_V^{(\lambda)}(y)$ , where  $\lambda$  is the empty string. Note that this query weight  $wt_V^{(x)}(y)$  ranges between 0 and 1 and satisfies that  $wt_V(x) + wt_V^{(x)}(y) = wt_V(xy)$  for any strings  $x, y \in \Sigma^*$ .

Recall from our assumption that (P, V) is a 1-interaction bounded QIP system recognizing Zero with success probability at least  $1/2 + \eta$ . The following lemma shows two properties of the query weights of V.

**Lemma 5.5** Let P' be any committed prover and let x, y be any strings.

- 1. If  $P' \in C_{x,V}^{(1)}$ , then, for every query configuration  $\xi$  of non-zero amplitude in  $Comp_V(P',x)$ , any computation path  $\chi$  in  $Comp_V(P',x)$  ending with  $\xi$  appears in  $MComp_V(x)$  ending with  $\xi$  of the same amplitude.
- 2. If  $P' \in C^{(1)}_{xy,V}$ , then  $wt^{(x)}_V(y)$  is greater than or equal to the sum of all the squared magnitudes of amplitudes of query configurations in  $Comp_V(P',xy)$  while V's tape head is reading y.

- **Proof.** (1) Take any committed prover P' in  $C_{x,V}^{(1)}$ . Let  $\xi$  be any query configuration in  $Comp_V(P',x)$  having non-zero amplitude, say,  $\alpha_{\xi}$ . Since  $\alpha_{\xi}$  is not zero in  $Comp_V(P',x)$ , there must exist at least one computation path in  $Comp_V(P',x)$  ending with  $\xi$  of non-zero amplitude. Let us consider such a computation path, say,  $\chi$ . Since P' satisfies the 1-interaction condition,  $\chi$  cannot contain any query configuration of non-zero amplitude except for the last configuration  $\xi$ . By the definition of  $MComp_V(x)$ , no projective measurement on the communication cell is performed along  $\chi$ . Hence, all configurations inside  $\chi$  must be present also in  $MComp_V(x)$ . Thus,  $\chi$  appears in  $MComp_V(x)$ . Since  $\chi$  is arbitrary, all the computation paths in  $Comp_V(P',x)$  ending with  $\xi$ , which contribute to the amplitude  $\alpha_{\xi}$ , must appear in  $MComp_V(x)$ . Therefore, the amplitude of  $\xi$  in  $MComp_V(x)$  equals  $\alpha_{\xi}$ , as requested.
- (2) Assume that  $P' \in C_{xy,V}^{(1)}$ . Let us recall that the query weight  $wt_V^{(x)}(y)$  is the sum of all the squared magnitudes of the amplitudes of query configurations in  $MComp_V(xy)$  while V's reading y. By (1), for every query configuration  $\xi$  of non-zero amplitude in  $Comp_V(P',xy)$ , the squared magnitude of the amplitude of  $\xi$  in  $Comp_V(P',xy)$  is equal to that of  $\xi$  in  $MComp_V(xy)$ . Note that the converse in general may not be true; that is, there may be a query configuration of non-zero amplitude in  $MComp_V(xy)$  that never appears in  $Comp_V(P',x)$ . Figure 2 illustrates such a case. By summing up the squared magnitudes over all query configurations  $\xi$  in  $Comp_V(P',xy)$ , we immediately obtain (2).

We continue the proof of Proposition 5.4. Let us consider a value  $\nu$  that is the supremum, over all strings w in Zero, of the query weight of V at w; namely,  $\nu = \sup_{w \in Zero} \{wt_V(w)\}$ . Observe that  $0 \le \nu \le 1$  since any query weight is in the unit real interval [0,1]. For readability, we omit the letter V whenever it is clear from the context. Let  $P_I$  denote a committed prover applying only the identity operator at every step.

### **Claim 1** $\nu > 0$ .

**Proof.** Let us assume that  $\nu=0$ . From this assumption, it follows that wt(x)=0 for all  $x\in Zero$ . To obtain a contradiction, we aim at constructing an appropriate bounded-error 1qfa M that recognizes Zero. Recall that P is a honest committed prover that makes the 1-interaction bounded QIP system (P,V) recognize Zero. Firstly, we assert that even a simple protocol  $(P_I,V)$  can recognize Zero with success probability at least  $1/2 + \eta$ . For each input  $x \in Zero$ , since wt(x) = 0, Lemma 5.5(2) implies that all query configurations in  $Comp_V(P,x)$  must have zero amplitudes. This situation implies that, in the superposition of global configurations at each step of the computation of (P,V) on x, the verifier V's next moves cannot be affected by any messages sent out by P. Hence, we can replace P by  $P_I$  without changing the outcome of V.

Next, we shall construct the desired 1qfa M. Any inner state of M has the form  $(q, \sigma)$ , which implicitly reflects both V's inner state q and a symbol  $\sigma$  in the communication cell. Our 1qfa M behaves as follows. On input x, M simulates V on x using the "imaginary" prover  $P_I$  by maintaining the content of the communication cell as an integrated part of M's inner states.

Finally, we claim that M recognizes Zero with bounded error probability. Let x be any input string. If x is in Zero, then, since any query configuration with the prover  $P_I$  has the zero amplitude, M correctly accepts x with probability  $\geq 1/2 + \eta$ . Likewise, if x is not in Zero, then the protocol  $(P_I, V)$  rejects x with probability  $\geq 1/2 + \eta$ ; thus, M also rejects x with the same probability. Therefore, M recognizes Zero with error probability at most  $1/2 - \eta$ , as requested. Since  $Zero \notin 1$ QFA, we obtain a contradiction, and therefore  $\nu > 0$  follows.

Next, we shall construct a committed prover P' and a string  $z \notin Zero$  that force the protocol (P',V) to accept z with probability at least 1/2. Let us recall from Section 2.1 the notation  $P_w$ , which refers to a strategy  $\{U_{P,i}^w\}_{i\in\mathbb{N}^+}$  of P on input w. Since  $\nu>0$  by Claim 1, for every real number  $\gamma\in(0,\nu]$ , there exists a string w in Zero such that  $wt(w)\geq \nu-\gamma$ . Given any  $y\in\Sigma^*$ , we set  $\gamma_y=\min\{\eta^2/16(|y|+1)^2,\nu\}$  and choose the lexicographically minimal string  $w_y\in Zero$  satisfying  $wt(w_y)\geq \nu-\gamma_y$ . For readability, we abbreviate the string  $w_yy$  as  $\tilde{y}$ .

Moreover, we define a new committed prover  $P'_y$  that behaves on input  $\tilde{y}01^m$  (=  $w_yy01^m$ ), where  $m \in \mathbb{N}$ , in the following fashion:  $P'_y$  follows the strategy  $P_{\tilde{y}0}$  while V's tape head is reading  $\psi w_y$  and then  $P'_y$  behaves as  $P_I$  while V is reading the remaining portion  $y01^m$ \$. Since P satisfies the 1-iteration condition, we obtain  $P_{\tilde{y}0} \in C^{(1)}_{\tilde{y}0,V}$ . By its definition,  $P'_y$  also belongs to  $C^{(1)}_{\tilde{y}0,V}$ . As for the notation  $p_{acc}(x,P,V)$  introduced in Section 2.1, we simply drop "V" and write  $p_{acc}(x,P)$  instead. We then claim the following lower bound of  $p_{acc}(\tilde{y}0,P'_y)$ .

Claim 2 For any string  $y \in \Sigma^*$ ,  $p_{acc}(\tilde{y}0, P'_y) \ge 1/2 + \eta/2$ .

**Proof.** Let y be an arbitrary input string. From the fact  $\tilde{y}0 \in Zero$ , it must hold that  $p_{acc}(\tilde{y}0, P_{\tilde{y}0}) \geq 1/2 + \eta$  because (P, V) recognizes Zero. Note that, on the same input  $\tilde{y}0$ , the protocol  $(P'_y, V)$  works in the same way as  $(P_{\tilde{y}0}, V)$  while V is reading  $\psi_y$ . Consider the query weight  $wt(\tilde{y}0)$ . Since  $wt(\tilde{y}0) \leq \nu$ , we obtain  $wt(w_y) + wt^{(w_y)}(y0) = wt(\tilde{y}0) \leq \nu$ , from which it follows that  $wt^{(w_y)}(y0) \leq \gamma_y$  using the inequality  $wt(w_y) \geq \nu - \gamma_y$ . Lemma 5.5(2) implies that, for any committed prover  $P^*$  in  $C_{\tilde{y}0,V}^{(1)}$ ,  $wt^{(w_y)}(y0)$  upperbounds the sum of all the squared magnitudes of the amplitudes of query configurations in  $Comp_V(P^*, \tilde{y}0)$  while the tape head is reading y0\$. Notice that both  $P_{\tilde{y}0}$  and  $P'_y$  belong to  $C_{\tilde{y}0,V}^{(1)}$ . Taking  $P_{\tilde{y}0}$  and  $P'_y$  as  $P^*$ , a simple calculation shows that

$$\begin{aligned} \left| p_{acc}(\tilde{y}0, P_y') - p_{acc}(\tilde{y}0, P_{\tilde{y}0}) \right| &\leq 2 \left( wt^{(w_y)}(y0) \right)^{1/2} |y0| &\leq 2\sqrt{\gamma_y} (|y|+1) \\ &\leq 2\sqrt{\frac{\eta^2}{16(|y|+1)^2}} \cdot (|y|+1) &= \eta/2, \end{aligned}$$

where the first inequality is shown as in, e.g., [18, Lemma 9]. Since  $p_{acc}(\tilde{y}0, P_{\tilde{y}0}) \ge 1/2 + \eta$ , it follows that  $p_{acc}(\tilde{y}0, P_u') \ge p_{acc}(\tilde{y}0, P_{\tilde{y}0}) - \eta/2 \ge (1/2 + \eta) - \eta/2 \ge 1/2 + \eta/2$ .

Henceforth, we shall turn our attention to the protocol  $(P'_y, V)$  working on input  $\tilde{y}01^m$  for any number  $m \in \mathbb{N}^+$  and we shall estimate its acceptance probability  $p_{acc}(\tilde{y}01^m, P'_y)$ .

The initial superposition of global configurations is  $|q_0\rangle|\#\rangle|\#^\infty\rangle$ , where we omit the qubits representing the tape head position of V, because the tape head moves only in one direction without stopping. Let  $\mathcal{V} = \operatorname{span}\{|q\rangle \mid q \in Q\}$ , let  $\mathcal{M} = \operatorname{span}\{|\sigma\rangle \mid \sigma \in \Gamma\}$ , and let  $\mathcal{P}$  be a Hilbert space representing the prover's private tape. For each number  $m \in \mathbb{N}^+$ , we denote by  $|\psi_{y,m}\rangle$  a superposition in the global configuration space  $\mathcal{V} \otimes \mathcal{M} \otimes \mathcal{P}$  obtained by  $(P'_y, V)$  on input  $\tilde{y}01^m$  just after V's tape head moves off the right end of  $\xi \tilde{y}0$  and  $P'_y$  then applies the identity operator while reading the remaining  $1^m$ \$. Since  $P'_y$  basically does nothing after V has read  $\xi w_y$ ,  $|\psi_{y,m}\rangle$  does not depend on the choice of m. We therefore write  $|\psi_y\rangle$  instead of  $|\psi_{y,m}\rangle$ , for simplicity. Moreover, we set  $\mu = \inf_{y \in \Sigma^*} \{||\psi_y\rangle||\}$ .

#### Claim 3 $\mu > 0$ .

**Proof.** Let us prove this claim by contradiction. First, assume that  $\mu=0$ . Since this assumption means  $\inf_{y\in\Sigma^*}\{\||\psi_y\rangle\|^2\}=0$  and the constant  $\eta$  is positive by its definition, there exists a string  $y\in\Sigma^*$  satisfying  $\||\psi_y\rangle\|^2<\eta$ . Now, let us consider a special input string  $\tilde{y}01$ . Since  $\tilde{y}01\not\in Zero$ , it must follow that  $p_{acc}(\tilde{y}01,P_y')\leq 1/2-\eta$  by the soundness condition of the 1-interaction bounded QIP system (P,V). Notice that  $|\psi_y\rangle$  is composed only of non-halting configurations having non-zero amplitudes. For convenience, let  $\alpha_y$  denote the total acceptance probability of V obtained while V's reading  $\tilde{y}0$  (not including \$). It holds that  $p_{acc}(\tilde{y}0,P_y')\leq\alpha_y+\||\psi_y\rangle\|^2$ . While V is reading  $\tilde{y}0$  out of  $\tilde{y}01,P_y'$  takes exactly the same strategy as P does on the input  $\tilde{y}0$ . We thus conclude that  $p_{acc}(\tilde{y}01,P_y')$  is at least  $\alpha_y$ . By combining those inequalities, we obtain  $p_{acc}(\tilde{y}0,P_y')\leq p_{acc}(\tilde{y}01,P_y')+\||\psi_y\rangle\|^2$ . Since  $p_{acc}(\tilde{y}01,P_y')\geq 1/2+\eta/2$  by Claim 2, it follows that  $\||\psi_y\rangle\|^2\geq p_{acc}(\tilde{y}0,P_y')-p_{acc}(\tilde{y}01,P_y')\geq 1/2+\eta/2-(1/2-\eta)>\eta$ , a contradiction. Therefore,  $\mu>0$  must hold.

Let  $\epsilon$  be any sufficiently small positive real number and choose the lexicographically first string y for which  $||\psi_y\rangle|| \in [\mu, \mu + \epsilon)$ . Let  $I_1$  and  $I_2$  denote the identity operators acting on  $\mathcal{P}$  and  $\mathcal{V}$ , respectively, and write W for  $(I_2 \otimes P_I)(E_{non} \otimes I_1)(V_1 \otimes I_1)$ , where  $V_{\sigma}$  is a  $(Q \times \Gamma)$ -transition of V on symbol  $\sigma$ . For any integer  $j \geq 1$ , it holds that  $\mu \leq ||W^j|\psi_y\rangle|| < \mu + \epsilon$  by the definition of  $\mu$ . Note that, since y is fixed, the prover  $P'_y$  uses only a finite number of tape cells on his private tape. Let d denote the maximal number of cells in use by  $P'_y$ . Without loss of generality, we can assume that the Hilbert space  $\mathcal{P}$  is of dimension d. Thus, all vectors in a series  $\{W^j|\psi_y\rangle \mid j \in \mathbb{N}^+\}$  are in a Hilbert space of dimension  $d|\Sigma||\Gamma|$ . Since  $\mu > 0$  by Claim 3, there are two numbers  $j_1, j_2 \in \mathbb{N}$  with  $j_i < j_2$  such that  $||W^{j_1}|\psi_y\rangle - W^{j_2}|\psi_y\rangle|| < \epsilon$ . By an analysis similar to [11] (see also [8, Lemma 4.1.10]), there exist a constant c > 0, which is independent of the value of  $\epsilon$ , satisfying that  $||W^{j_1}|\psi_y\rangle - W^{j_2}|\psi_y\rangle|| \leq |||\psi_y\rangle - W^m|\psi_y\rangle|| < c \cdot \epsilon^{1/4}$ , where  $m = j_2 - j_1 \geq 1$ . From this inequality follows

$$\left| p_{acc}(\tilde{y}0, P_y') - p_{acc}(\tilde{y}01^m, P_y') \right| \le \|V_{\$}|\psi_y\rangle - V_{\$}W^m|\psi_y\rangle\| = \||\psi_y\rangle - W^m|\psi_y\rangle\| < c\epsilon^{1/4},$$

where the first inequality is a folklore (see [18, Lemma 8] for its proof). Since  $\epsilon$  is arbitrary, we can set  $\epsilon = (\eta/2c)^4$ . Because  $p_{acc}(\tilde{y}0, P'_y) \ge 1/2 + \eta/2$  by Claim 2, it follows that  $p_{acc}(\tilde{y}01^m, P'_y) \ge (1/2 + \eta/2) - c\epsilon^{1/4} = 1/2$ . This contradicts our assumption that, for any committed prover  $P^*$ ,  $(P^*, V)$  accepts  $\tilde{y}01^m$  with probability  $\le 1/2 - \eta/2 < 1/2$ . Therefore, Zero does not belong to QIP<sup>#</sup><sub>+</sub>(1qfa), as requested.

## 6 Challenging Open Questions

Throughout Sections 3–5, we have placed various restrictions on the behaviors of verifiers and provers in our qfa-verifier QIP systems and we have studied how those restrictions affect the language recognition power of the systems. The restricted models that we have considered in Sections 3–5 include: classical-prover QIPs, public QIPs, and interaction-bounded QIPs. After an initial study of this paper, nonetheless, there still remain numerous unsolved questions concerning those QIP systems. Hereafter, we shall give a short list of the important open questions as a helping guide to future research.

- (1) The relationships between quantum provers and classical provers are still not entirely clear in the context of qfa-verifier QIP systems, mostly because of the soundness condition imposed on the systems. For example, we expect to see a fundamental separation between QIP(1qfa) and QIP(1qfa, c-prover) as well as between QIP(2qfa, poly-time) and QIP(2qfa, poly-time, c-prover).
- (2) In general, we need to discover precise relationships between public-coin IP systems (namely, AM systems) and public QIP systems beyond Theorem 4.4. Moreover, associated with 2qfa verifiers, we ask whether 2QFA(poly-time) is properly included in QIP(2qfa, public) and whether QIP(2qfa, public, poly-time) is different from QIP(2qfa, poly-time). A separation between QIP(2qfa) and QIP(2qfa, public) is also unknown and this must be settled.
- (3) A new interaction-bounded QIP system is of special interest in analyzing the roles of interactions between provers and verifiers. For this model, we hope to see that the equality  $\text{QIP}^{\#}(1qfa) = \text{QIP}(1qfa)$  indeed holds. Unsolved so far is a general question of whether k+1 interactions are more powerful than k interactions. Since a 1qfa verifier is unable to count the number of interactions (or queries), we may not directly generalize the proof of Theorem 5.2 to assert that  $\text{QIP}_k^{\#}(1qfa) \neq \text{QIP}_{k+1}^{\#}(1qfa)$  for any constant k in  $\mathbb{N}^+$ . Nevertheless, we still conjecture that this assertion is true.
- (4) It is of great interest to seek an algebraic characterization of our qfa-verifier QIP systems. Such a characterization may shed new light on a nature of quantum interactions between two parties.

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