

# NT219- Cryptography

Week 4: Modern Symmetric Ciphers

PhD. Ngoc-Tu Nguyen

tunn@uit.edu.vn



# What is cryptograph?

Cryptology= Cryptography + Cryptanalysis

## Goals

- Confidentiality
- Privacy
- Integrity
- Authentication

Non-repudiation (Accountability)

### What?

### **Cipher systems**

- Sysmmetric (AES)
- Asymmetric (RSA, ECC,

CRYSTALS-KYBER)

Hash functions

Message authentication code (MAC)
Digital signature (digital certificate)

Availability



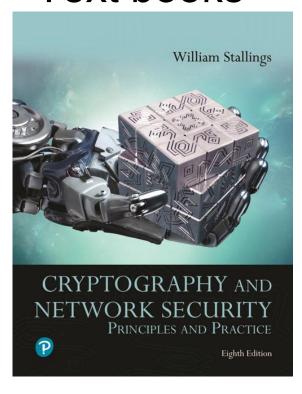
### Outline

- Cryptanalysis Stream Cipher
- Block cipher
  - Data Encryption Standard (DES)
  - Advanced Encryption Standard (AES)
  - > Some other ciphers
    - Searchable encryption

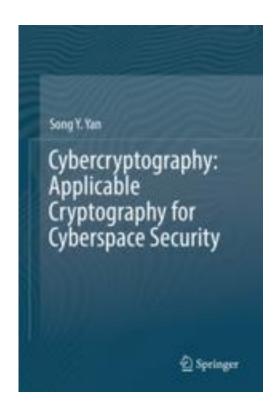


## Textbooks and References

### Text books



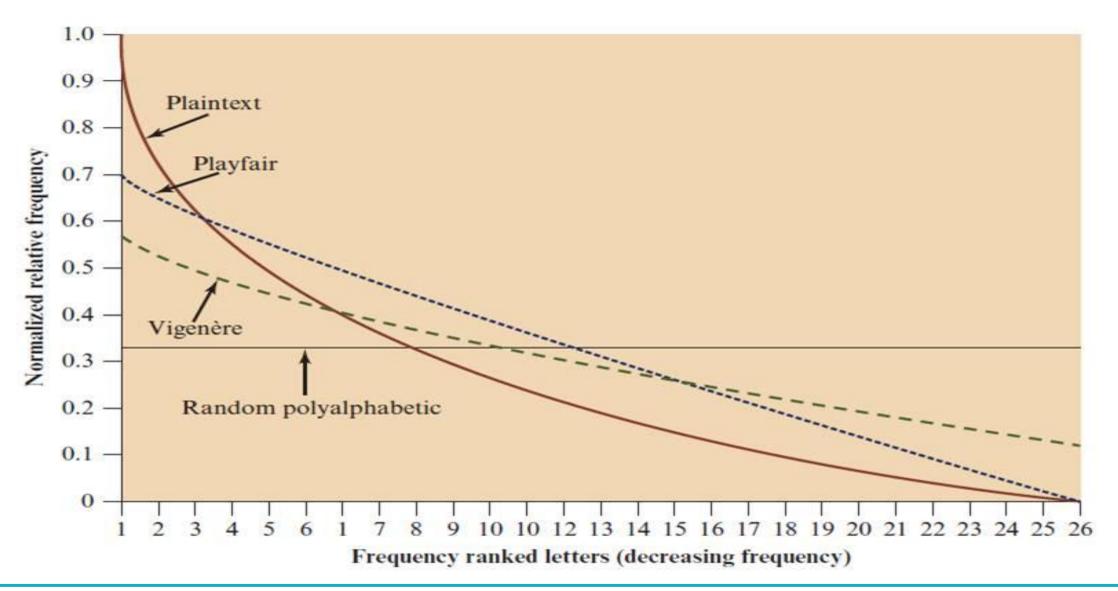
[1] Chapter 4,6



[2] Chapter 5



## Classical symmetric cipher cryptanalysis





# Transposition ciphers

Goals: scrambles the positions of characters

- (1) Rail fence cipher
- (2) Columnar Transposition Cipher



https://en.wikipedia.org/wiki/Transposition\_cipher



# Columnar Transposition Cipher

- Is a more complex transposition
- Write the message in a rectangle, row by row, and read the message off, column by column, but permute the order of the columns
  - > The order of the columns then becomes the key to the algorithm

				ı				
Key:	4	3	1	2	5	6	7	
Plaintext	а	t	t	a	С	k	р	
	O	S	t	р	0	n	e	Ciphertext
	d	u	n	t	i	-1	t	
	w	О	a	m	X	у	Z	
								·

Ciphertext: TTNAAPTMTSUOAODWCOIXKNLYPETZ



# Stream Cipher (1 of 8)

### Vigenère cipher

Α	В	C	D	Ε	F	G	Н	1	J	K	L	M	N	0	Р	Q	R	S	Т	U	V	W	X	Υ	Z	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	

#### Plaintext stream

### Secret key (Keystream)

### > Ciphertext

$$C = c_1 c_2 \cdots c_i \cdots$$
 where  $c_i = m_i + k_i \mod 26$ 

# Stream Cipher

Secret key (Keystream)

$$K = k_1 k_2 \cdots k_i \cdots$$

Plaintext stream

$$M = m_1 m_2 \cdots m_i \cdots$$

 $m_i$ : bit or byte

> Ciphertext

$$C = c_1 c_2 \cdots c_i \cdots$$

where 
$$c_i = m_i \overline{\bigoplus} k_i$$

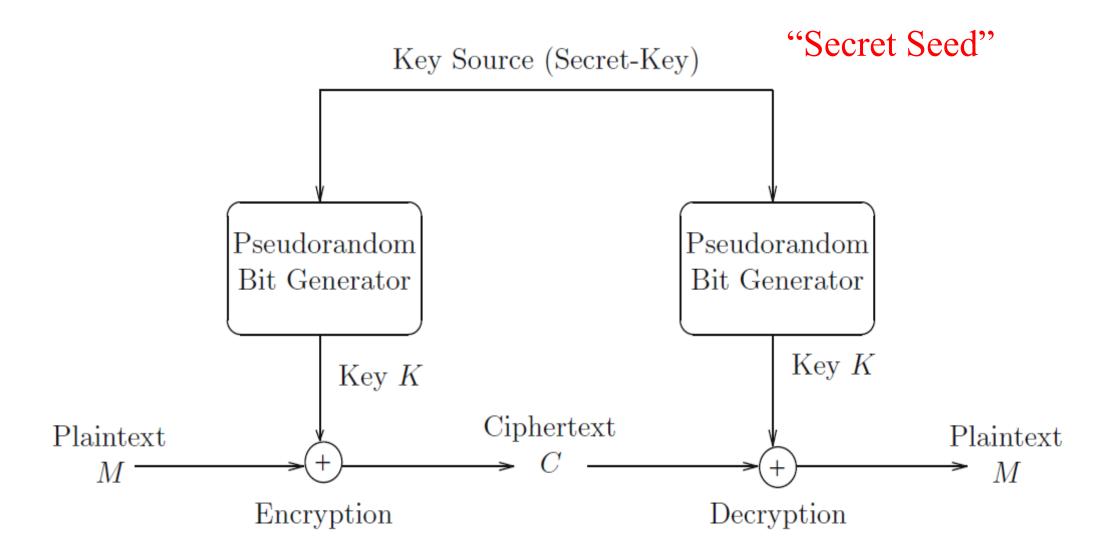
$$k1$$
  $k2$   $k3$  ...  $k_n$ 

$$m1$$
  $m2$   $m3$  ...  $m_n$ 

$$k1 \oplus m1$$
  $k2 \oplus m2$  ...  $k_n \oplus m_n$ 



## Cryptanalysis Stream Cipher



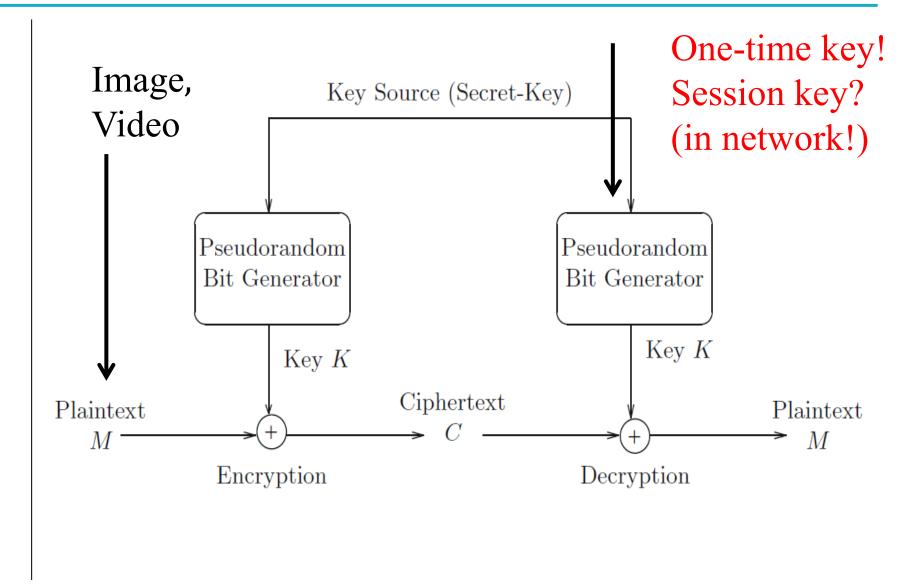


## Cryptanalysis Stream Cipher

$$C_1 = K \oplus P_1$$

Chosen plaintext attack!

- Known:  $(P_1, C_1)$
- *K*?
- Attack other cipher  $C_n$





### Outline

- Stream Cipher
- Block cipher
  - Data Encryption Standard (DES)
  - Advanced Encryption Standard (AES)
  - Some other ciphers
    - Searchable encryption

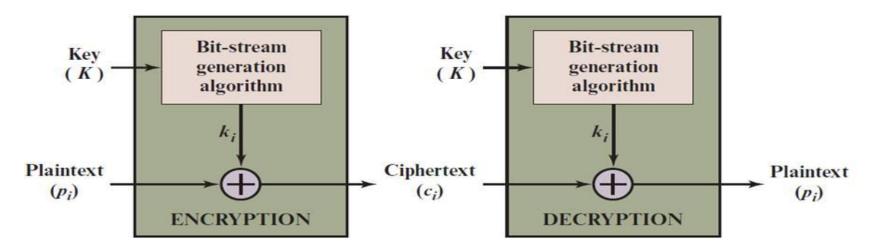


## Block Cipher

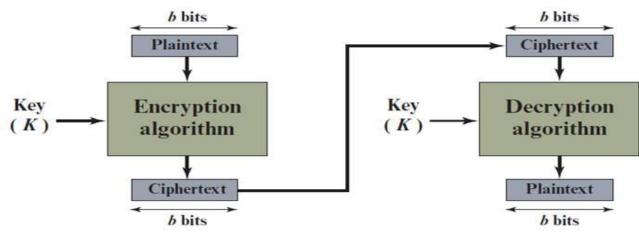
- A block of plaintext is treated as a whole and used to produce a
   Ciphertext block of equal length
- Typically, a block size of 64 or 128 bits is used
- As with a stream cipher, the two users share a symmetric encryption key
- The majority of network-based symmetric cryptographic applications make use of block ciphers



## Stream Cipher Vs. Block Cipher



(a) Stream cipher using algorithmic bit-stream generator

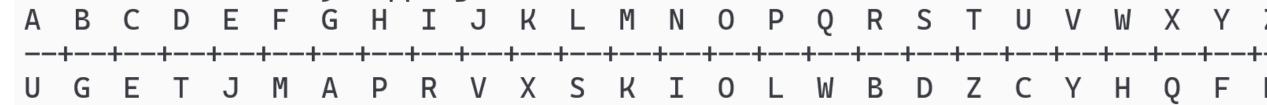




### Encryption and Decryption Tables for Substitution Cipher

### Mono Alphabetic Substitution

**Plaintext** 



### BLock?

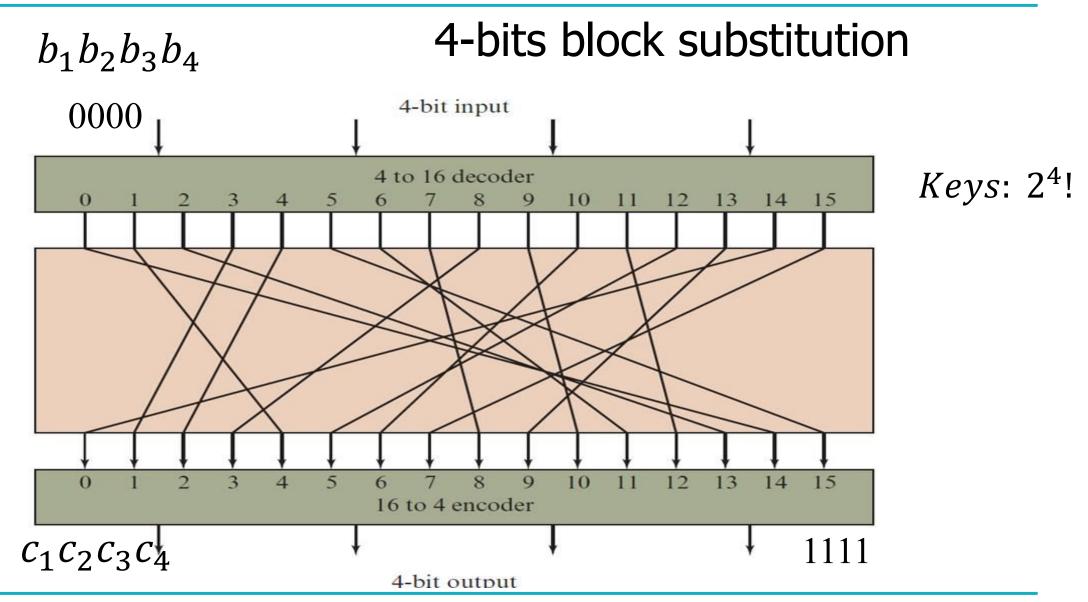
3-2025

0000	1110
0001	0100
0010	1101
0011	0001
0100	0010
0101	1111
0110	1011
0111	1000
1000	0011
1001	1010
1010	0110
1011	1100
1100	0101
1101	1001
1110	0000

**Ciphertext** 



### **Block Substitution**





### **Block Substitution**

How many possible substitutions for a block n-bit?

$$b_1b_2b_3\dots b_n$$

$$c_1c_2c_3\dots c_n$$

$$Keys: 2^n!$$



# Feistel Cipher

 Feistel proposed the use of a cipher that alternates substitutions and permutations

#### Substitutions

Each plaintext element or group of elements is uniquely replaced by a corresponding ciphertext element or group of elements

#### Permutation

- No elements are added or deleted or replaced in the sequence, rather the order in which the elements appear in the sequence is changed
- Is a practical application of a proposal by Claude Shannon to develop a product cipher that alternates confusion and diffusion functions
- Is the structure used by many significant symmetric block ciphers currently in use

Feistel, H. (1973). Cryptography and computer privacy. Scientific american, 228(5), 15-23.



## **Diffusion and Confusion**

- Terms introduced by Claude Shannon to capture the two basic building blocks for any cryptographic system
  - Shannon's concern was to thwart cryptanalysis based on statistical analysis

#### Diffusion

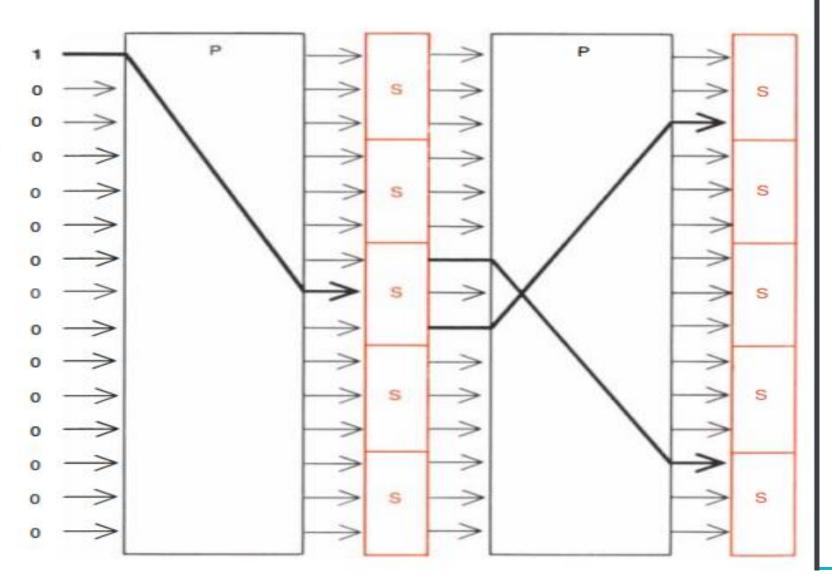
- The statistical structure of the plaintext is dissipated into long-range statistics of the ciphertext
- > This is achieved by having each plaintext digit affect the value of many ciphertext digits

#### Confusion

- Seeks to make the relationship between the statistics of the ciphertext and the value of the encryption key as complex as possible
- Even if the attacker can get some handle on the statistics of the ciphertext, the way in which the key was used to produce that ciphertext is so complex as to make it difficult to deduce the key

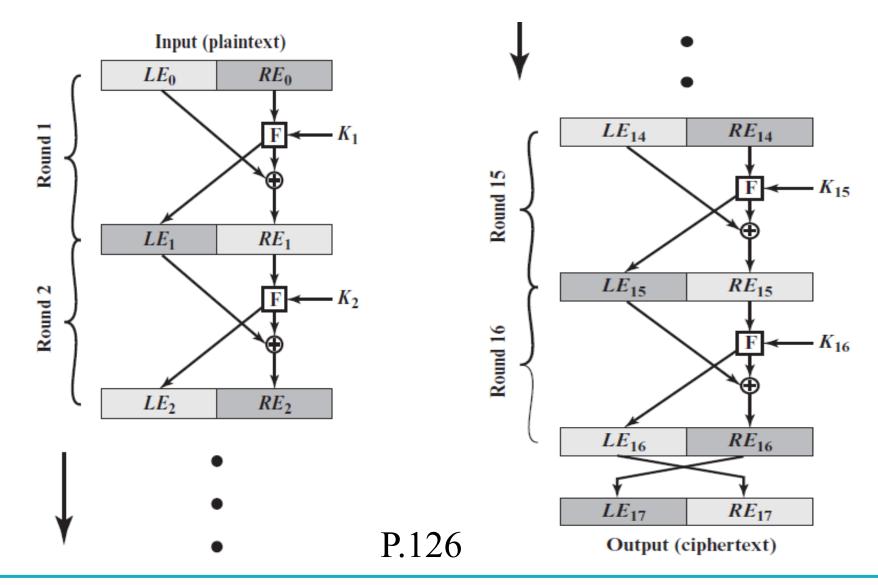


# Feistel Cipher



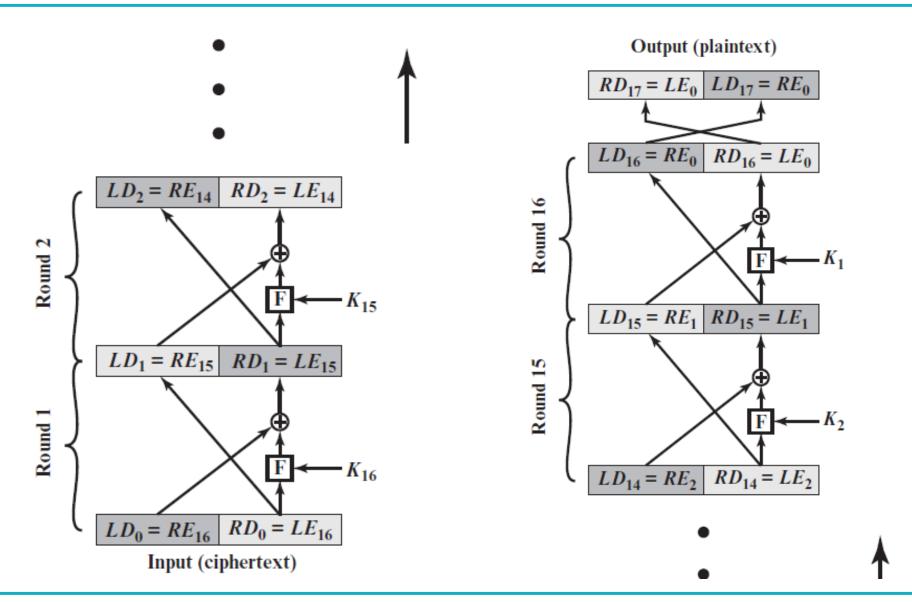


## Feistel Encryption and Decryption (16 rounds)





### Feistel Encryption and Decryption (16 rounds)





# The Feistel Cipher Scheme (FCS)

- Divide M into blocks of 2*l*-bits long (pad the last block if needed)
- Use only the XOR and Substitution operations
- Generate n sub-keys of a fixed length from the encryption key  $K: K_1, ..., K_n$
- Divide a 2l-bit block input into two parts:  $L_0$  and  $R_0$ , both of size l (the suffix and prefix of the block, respectively)
- Perform a substitution function F on an l-bit input string and a sub-key to produce an l-bit output
- Encryption and decryption each executes n rounds of the same sequence of operations



# FCS Encryption and Decryption

#### **FCS Encryption**

Let  $M = L_0R_0$ ; execute the following operations in round i, i = 1, ..., n:

$$L_i = R_{i-1}$$
  
 $R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$ 

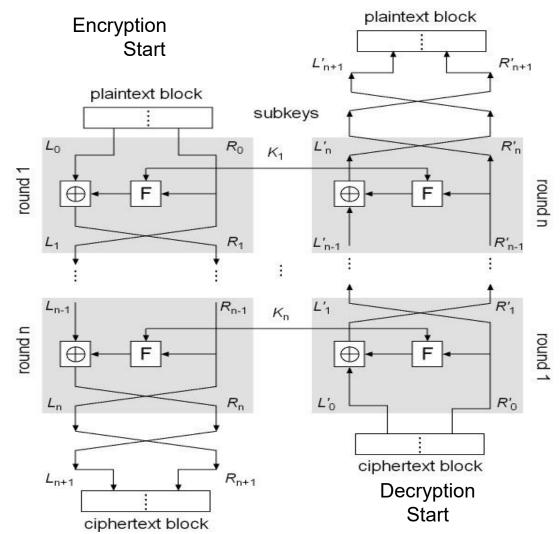
Let  $L_{n+1} = R_n$ ,  $R_{n+1} = L_n$  and  $C = L_{n+1}R_{n+1}$ 

#### **FCS Decryption**

- Symmetrical to encryption, with sub-keys in reverse order
- Rewrite C as  $C = L_0'R_0'$
- Execute the following in round i (i = 1, ..., n):

$$L'_{i} = R'_{i-1}$$
  
 $R'_{i} = L'_{i-1} \oplus F(R'_{i-1}, K'_{n-i+1})$ 

- Let  $L'_{n+1} = R'_n$ ,  $R'_{n+1} = L'_n$
- We will show that  $M = L'_{n+1}R'_{n+1}$





# Proof of FCS decryption

- Will show that  $C = L_{n+1}R_{n+1} = L_0'R_0'$  is transformed back to  $M = L_0R_0$  by the FCS Decryption algorithm
- Prove by induction the following equalities:

$$(1) L'_{i} = R_{n-i} \qquad (2) R'_{i} = L_{n-i}$$

- **Basis**:  $L_0' = L_{n+1} = R_n$ ,  $R_0' = R_{n+1} = L_n$ ; (1) and (2) hold
- **Hypothesis**: Assume when  $i \le n$ :

$$L_{i-1}' = R_{n-(i-1)}$$
  $R_{i-1}' = L_{n-(i-1)}$ 

Induction step:

$$L'_i = R'_{i-1}$$
 (by decrypt. alg.) =  $L_{n-i+1}$  (by hypothesis) =  $R_{n-i}$  (by encrypt. alg.) Hence (1) is true

• 
$$R'_{i} = L'_{i-1} \oplus F(R'_{i-1}, K_{n-i+1})$$
  
=  $R_{n-(i+1)} \oplus F(L_{n-(i+1)}, K_{n-i+1})$   
=  $[L_{n-i} \oplus F(R_{n-i}, K_{n-i+1})] \oplus F(R_{n-i}, K_{n-i+1})$   
=  $L_{n-i}$   
Hence (2) true



## Feistel Cipher Design Features (1 of 2)

- Block size
  - Larger block sizes mean greater security but reduced encryption/decryption speed for a given algorithm
- Key size
  - Larger key size means greater security but may decrease encryption/decryption speeds
- Number of rounds
  - > The essence of the Feistel cipher is that a single round offers inadequate security but that multiple rounds offer increasing security
- Subkey generation algorithm
  - Greater complexity in this algorithm should lead to greater difficulty of cryptanalysis



# Feistel Cipher Design Features (2 of 2)

- Round function F
  - Greater complexity generally means greater resistance to cryptanalysis
- Fast software encryption/decryption
  - ➤ In many cases, encrypting is embedded in applications or utility functions in such a way as to preclude a hardware implementation; accordingly, the speed of execution of the algorithm becomes a concern
- Ease of analysis
  - ➤ If the algorithm can be concisely and clearly explained, it is easier to analyze that algorithm for cryptanalytic vulnerabilities and therefore develop a higher level of assurance as to its strength



## Outline

- Stream Cipher
- Block cipher
  - Data Encryption Standard (DES)
  - Advanced Encryption Standard (AES)
  - Some other ciphers
    - Searchable encryption

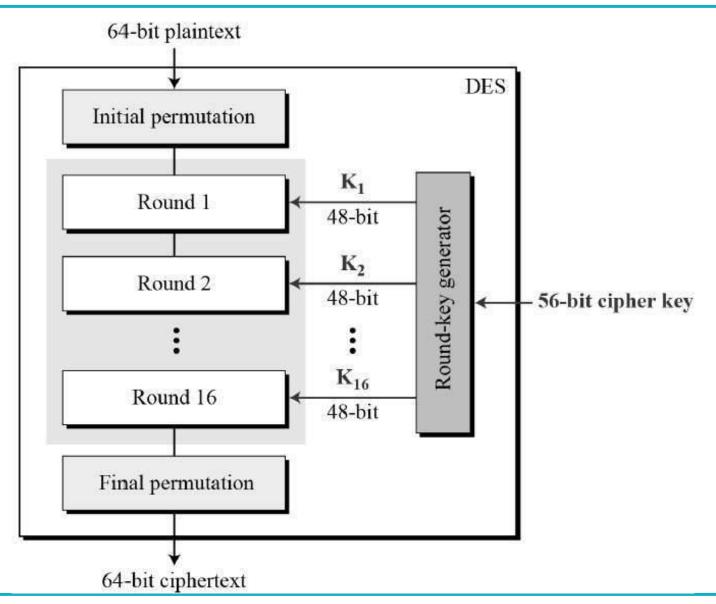


# Data Encryption Standard (DES)

- Issued in 1977 by the National Bureau of Standards (now NIST) as Federal
   Information Processing Standard 46
- Was the most widely used encryption scheme until the introduction of the Advanced Encryption Standard (AES) in 2001
- Algorithm itself is referred to as the Data Encryption Algorithm (DEA)
  - Data are encrypted in 64-bit blocks using a 56-bit key
  - > The algorithm transforms 64-bit input in a series of steps into a 64-bit output
  - > The same steps, with the same key, are used to reverse the encryption



# **DES Encryption Algorithm**





# DES encryption steps

- Rewrite IP(M) =  $L_0R_0$ , where  $|L_0| = |R_0| = 32$
- For i = 1, 2, ..., 16, execute the following operations in order:

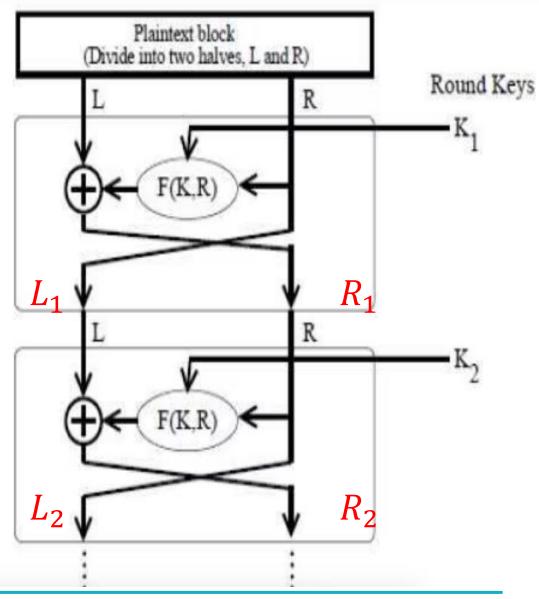
$$L_{i} = R_{i-1}$$

$$R_{i} = L_{i-1} \oplus F(R_{i-1}, K_{i})$$

• Let  $C = IP^{-1}(R_{16}L_{16})$ .

Round

https://en.wikipedia.org/wiki/DES\_suppl ementary\_material





## DES Sub-Key Generation

- The block size of DES is 64 bits and the encryption key is 56 bits, which is represented as a 64-bit string  $K = k_1 k_2 \dots k_{64}$
- DES uses 16 rounds of iterations with 16 sub-keys
- Sub-key generation:
  - 1. Remove the 8i-th bit (i = 1, 2, ..., 8) from K
  - 2. Perform an *initial permutation* on the remaining 56 bits of K, denoted by  $IP_{key}(K)$
  - 3. Split this 56-bit key into two pieces:  $U_0V_0$ , both with 28 bits
  - 4. Perform Left Circular Shift on  $U_0$  and  $V_0$  a defined number of times, producing  $U_iV_i$ :

$$U_i = LS_{z(i)}(U_{i-1}), V_i = LS_{z(i)}(V_{i-1})$$

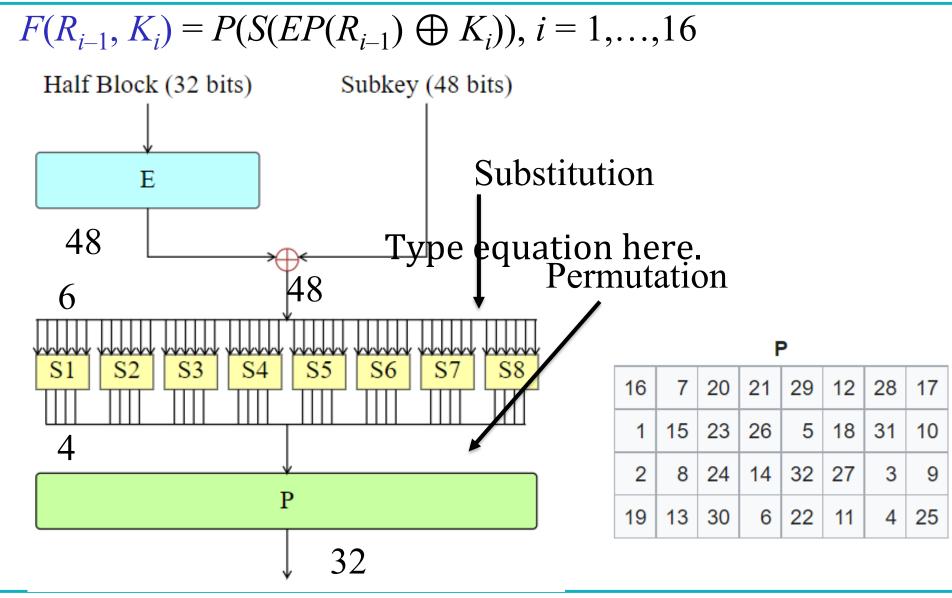
5. Permute the resulting  $U_iV_i$  using a defined compress permutation, resulting in a 48-bit string as a sub-key, denoted by  $K_i$ 

$$K_i = P_{\text{key}} (U_i V_i)$$

https://www.geeksforgeeks.org/data-encryption-standard-des-set-1/



# DES function $F(R_{i-1}, K_i)$



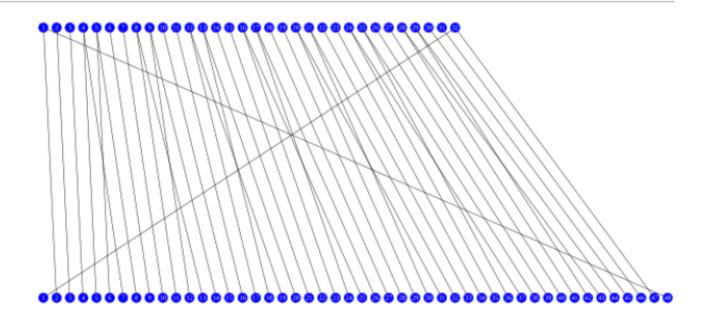


# DES function $F(R_{i-1}, K_i)$

### Expansion function (E) [edit]

Ε

32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1





### **DES Substitution Boxes**

<b>S</b> <sub>5</sub>			Middle 4 bits of input														
		0000	0001	0010	0011	0100	0101	0110	0111	1000	1001	1010	1011	1100	1101	1110	1111
	00	0010	1100	0100	0001	0111	1010	1011	0110	1000	0101	0011	1111	1101	0000	1110	1001
Outer bits	01	1110	1011	0010	1100	0100	0111	1101	0001	0101	0000	1111	1010	0011	1001	1000	0110
Outer bits	10	0100	0010	0001	1011	1010	1101	0111	1000	1111	1001	1100	0101	0110	0011	0000	1110
	11	1011	1000	1100	0111	0001	1110	0010	1101	0110	1111	0000	1001	1010	0100	0101	0011

Input: "011011"

Output: "1001"



### **DES Substitution Boxes**

The DES substitution function F is defined below:

$$F(R_{i-1}, K_i) = P(S(EP(R_{i-1}) \oplus K_i)), i = 1,...,16$$

- First, permute  $R_i$  using  $EP(R_i)$  to produce a 48-bit string x
- Next, XOR x with the 48-bit sub key K<sub>i</sub> to produce a 48-bit string y
- Function S turns y into a 32-bits string z, using eight 4x16 special matrices, called S-boxes
  - Each entry in an S-box is a 4-bit string
  - Break y into 8 blocks, each with 6-bits
  - $\rightarrow$  Use the  $i^{th}$  matrix on the  $i^{th}$  block  $b_1b_2b_3b_4b_5b_6$
  - $\triangleright$  Let  $b_1b_6$  be the row number, and  $b_2b_3b_4b_5$  the column number, and return the corresponding entry
  - > Each 6-bit block is turned to a 4-bit string, resulting in a 32-bit string z
- Finally, permute z using P to produce the result of DES's F function
- This result, XOR'd with  $L_{i-1}$ , is  $R_i$

https://en.wikipedia.org/wiki/DES\_supplementary\_material



# Is DES good enough?

- Security strength of DES
  - Number of rounds
  - Length of encryption key
  - Construction of the substitute function
- DES was used up to the 1990's.
- People began to take on the DES Challenges to crack DES
- Only uses 56-bit keys =  $2^{56} \sim 7.2 \times 10^{16}$  keys
- Brute-force will work with current technology
  - In 1997 on Internet in a few months
  - In 1998 on dedicated h/w (EFF) in a few days
  - In 1999 above combined in 22 hours



## What to Do Next?

- Start over
- New standards begin to be looked into
- On the other hand, can we extend the use of DES?



# Block Cipher Design Principles

- The greater the number of rounds, the more difficult it is to perform cryptanalysis
- In general, the criterion should be that the number of rounds is chosen so that known cryptanalytic efforts require greater effort than a simple brute-force key search attack
- If DES had 15 or fewer rounds, differential cryptanalysis would require less effort than a brute-force key search



## Block Cipher Design Principles

- The heart of a Feistel block cipher is the function F
- The more nonlinear F, the more difficult any type of cryptanalysis will be
- The SAC and BIC criteria appear to strengthen the effectiveness of the confusion function

### The algorithm should have good avalanche properties

- Strict avalanche criterion (SAC)
  - ➤ States that any output bit j of an S-box should change with probability 1/2 when any single input bit i is inverted for all i , j
- Bit independence criterion (BIC)
  - > States that output bits j and k should change independently when any single input bit i is inverted for all i , j , and k



# Block Cipher Design Principles

- With any Feistel block cipher, the key is used to generate one subkey for each round
- In general, we would like to select subkeys to maximize the difficulty of deducing individual subkeys and the difficulty of working back to the main key
- It is suggested that, at a minimum, the key schedule should guarantee key/ciphertext Strict Avalanche Criterion and Bit Independence Criterion