

Stochastic Process Model with Basketball Data

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Acknowledgements

I want to thank a few people.

Preface

This is an example of a thesis setup to use the reed thesis document class (for LaTeX) and the R bookdown package, in general.

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Abstract

The preface pretty much says it all.

Second paragraph of abstract starts here.

Dedication

You can have a dedication here if you wish.

Chapter 1

Introduction

In basketball, a boxscore provides the statistical summary of the game via defensive, offensive, and overall success metrics. The National Basketball Association's records show that the first boxscore was produced by the Boston Celtics in the 1946-1947 season. Initial records kept track of basic basketball statistics for each player through measures like minutes played (MP), field goals made (FGM), and free throws made (FTM). Seventy-one years later, these metrics are still popular today. While the National Basketball Association has boosted its number of metrics to better summarize the game to include metrics like rebounds per game (RBG), player efficiency rating (PER), free throw attempts (FTA), and 3 field goals made (3FGM), these metrics still cannot capture the entirety of the game because they do not take into account the opposing team's defense/offense, nor previous plays that significantly influenced the flow of the game.

Basketball is not the only sport that has encountered this modeling problem. Soccer, a sport similar to basketball in that it requires a team-oriented approach and it dynamically changes from moment to moment, has also experienced a similar need by academia and major soccer teams to better utilize the data to more fully understand the game. One popular metric that has yet to be uniformly adopted is evaluating a player's passing capabilities and team-value. Although a consensus has yet to be adopted for the best metric, scholars from academia and the League have sought to capture the game of basketball more robustly in a similar fashion—via passing networks.

Chapter 2

Literature Review

Passing forms the backbone of all team contact sports. To advance a ball to the goal successfully, players must work together to dribble/kick/throw the ball to its destination. Each pass to another player can be considered a connection. These connections can be grouped together to form a network of passes. Previous works have captured these passing networks in soccer and basketball both statically and dynamically—this literature review will explore the different methods used to understand the value of a player and team.

“Flow Motifs in Soccer: What can passing behavior tell us?” by Joris Bekkers and Shaunak Dabadghao was released in the 2017 MIT Sloan Sports Analytics Conference, and focused on the static passing networks of “the last 4 seasons of 6 big European leagues with 8219 matches, 3532 unique players and 155 unique teams.” Passing sequences were denoted as a sequence of all players involved five seconds before an attempted score. This paper created radar graphs that illustrated the most popular passing sequences by player, and compared radar graphs to identify similar players. Passing sequences within teams were also compared between teams by clustering the different passing styles of the different teams. Key players were determined by the frequency that they were included in the passing sequences.

“Exploring Team Passing Networks and Player Movement Dynamics in Youth Association Football (Soccer)” by Bruno Goncalves, Diogo Coutinho, Sara Santos, Carlos Lago-Penas, Sergio Jimenez, and Jamie Sampaio compared the passing sequences of two games played by two groups that differ in age range, which showed that regardless of age, network centrality was distinctive in both groups, and affirmed the long-held belief that more passes lead to better game outcomes. Similar to the first paper, key players were the ones most frequently involved in the passing sequences. This paper created weighted graphs of the passing sequences, which better visualized the passing structure of the team, and made it easier to identify important players.

“Basketball Teams as Strategic Networks” by Jennifer H. Fewell, Dieter Armbruster, John Ingraham, Alexander Petersen, and James S. Waters provided measurements to assess team entropy. First recording the complete 30 seconds of a possession as a passing sequence, they discovered that recording the last three nodes (players) before a shot attempt was a better way to record passing sequences to avoid “noisy” passing data. Although they were able to recognize various aspects of team dynamics through

weighted graphs like the second paper, they did not find a consistent predictor of positive game outcomes. This paper also identified that in general, teams typically range between two playing styles: always passing to the best player or having no distinct patterns in passing. These patterns can be noted by distinct betweenness scores and uniform betweenness scores, respectively. Weighted graphs clearly illustrated the two different playing styles. Also, the paper found that the positions most involved with successful shots were: 1. PG 2. SG 3. SF 4. PF 5. CN.

Joachim Gudmundsson and Michael Horton summarised a variety of methods that utilize object tracking data to analyze team and player performances in “Spatio-Temporal Analysis of Team Sports – A Survey.” Their research survey spanned modeling passing networks via graph theory to calculating rebound probability with spatial coordinates. In particular, work conducted by Daniel Cervone, Alex D’Amour, Luke Bornn, and Kirk Goldsberry attempted to capture the game wholeistically via a new measure called Expected Possession Value (EPV) in the paper “A Multiresolution Stochastic Process Model for Predicting Basketball Possession Outcomes.” This new metric uses three models—a Microtransition Model, Macrotransition Entrance Model, and a Macrotransition Exit Model—to capture the spatial biases of each player and the in-game effects of pressure, so that it can measure the likelihood of a successful play (made shot) given the previous sequence of events. To compare players against the league-average scores, they also calculated Expected Possession Value -Adjusted as an application for teams.

Chapter 3

Dataset

The dataset is from the Duke University Men's Basketball SportsVu tracking data. Features were created by taking snapshots of the game every $1/25$ th of a second and recording the player's location, action, team, etc. Data was collected for each season from 2013-2016; the dataset totals about 132,000 observations and 98 features. Since the data is owned by the Duke Men's Basketball team, the data is private and cannot be shared.

3.1 Changes in Shot Clock Time

As college basketball is a consistently changing sport, the NCAA changed the play rules for the 2013-2014 college basketball season. Instead of a 35 second shot clock, the NCAA established a 30 second shot clock.

Chapter 4

Model Replication

The initial approach to understand how to best capture passing networks sought to replicate Daniel Cervone, Alex, D’Amour, Luke Bornn, and Kirk Goldsberry’s paper, “A Multiresolution Stochastic Process Model for Predicting Basketball Possession Outcomes.” They attempt to capture the game wholeistically via a new measure called Expected Possession Value (EPV). This new metric uses three models—a Microtransition Model, Macrotransition Entrance Model, and a Macrotransition Exit Model—to capture the spatial biases of each player and the in-game effects of pressure, so that it can measure the likelihood of a successful play (made shot) given the previous sequence of events. To compare players against the league-average scores, they also calculated Expected Possession Value -Adjusted as an application for teams. Below is a brief overview of each model.

This paper is particularly interesting because EPV utilizes the spatio-temporal elements of the game, so it models the NBA game dynamically. Given Duke Basketball data, the motivation is to replicate “A Multiresolution Stochastic Process Model for Predicting Basketball Possession Outcomes,” to better understand the Duke Men’s team, as well as to compare professional basketball to collegiate basketball individual and team playing styles. Below is a brief overview of each model used in the paper to calculate EPV.

4.1 Microtransition Model

$$x^l(t + \epsilon) = x^l(t) + \alpha_x^l[x^l(t) - x^l(t - \epsilon)] + \eta_x^l(t) \text{ where } \eta_x^l(t) \sim N(\mu_x^l(z^l(t)), (\sigma_x^l)^2)$$

The microtransition model models the defensive conditions of the game based on the (x, y) coordinates of a player and their acceleration effects $(\alpha_x^l(t))$. It is also assumed that a player’s spatial location is normally distributed. Since players play differently, each microtransition model is specifically fitted to the player.

4.2 Macrotransition Entrance Model

$P(M(t)|F_t^{(Z)})$ The macrotransition entrance model predicts whether the next move will be a pass (4 options), shot attempt, or turnover. The model is disjoint.

4.3 Macrotransition Exit Model

$P(C_{\delta_t}|M(t), F_t^{(Z)})$ Given the Macrotransition Entrance Model predicts a shot attempt, it indexes to a logistic regression model to calculate player l 's successful shot probability. Given the Macrotransition Entrance Model predicts a pass, it indexes to a model that predicts where the pass will take place. Otherwise, a turnover is assumed.

4.4 Fall Backs on the Implementation of this Model

Currently, the implementation of the model has yet to be completed due to setbacks of incompatible R code. The implementation of this paper will be continued to attempt and understand by the end of next semester.

4.5 Proposal

Regardless, we hypothesize that since both metrics calculated via a semi-Markov process, EPV fails to capture the full nature of the possession because it only uses the last possession as a prior. The model would be more robust if it captured the entirety of the possession in its prior—however, the computational time of such an ordeal would prevent any real-time analyses. Thus, this paper proposes that a simpler model may perform more quickly and potentially just as robustly to allow for game-time analyses.

Chapter 5

Exploratory Data Analysis

Initial analysis of the data focused on understanding the many features available in the Duke Men's Basketball dataset. This exploratory data analysis explores shot attempt patterns through the years, as well as potential biases with shot location.

5.1 Changes in Shot Attempt Patterns

As one of the best basketball programs in the nation, Duke University Men's Basketball draws in a number of highly desirable and NBA-ready recruits each year. For this, most players stay for only a year before signing and playing for the National Basketball Association. A popular trend for many skilled basketball players, this transition to professional basketball has been coined by players as being "one-and-done." Duke had two players (Rodney Hood, Jabari Parker) drafted in the 2014 draft, three players (Jahlil Okafor, Justise Winslow, and Tyus Jones) drafted in the 2015 draft, and one player (Brandon Ingram) drafted in the 2016 draft. With so many players playing the minimum in college, this paper concentrates on the analysis of players who played more than one season with the Duke Men's Basketball team, and had significant minutes with their time at Duke. With these requirements, it is difficult to find the perfect player for analysis because players like Marshall Plumlee, only had significant playing time his senior year because it took time to fully develop him as a competitive player.

Quinn Cook, on the other hand, serves as an interesting example because he had consistent minutes for the 2013-2015 seasons. Quinn Cook's shot attempts were thus divided into each year to understand how his shooting style has changed during his time at Duke.

Quinn Cook's Shot Chart in 2013

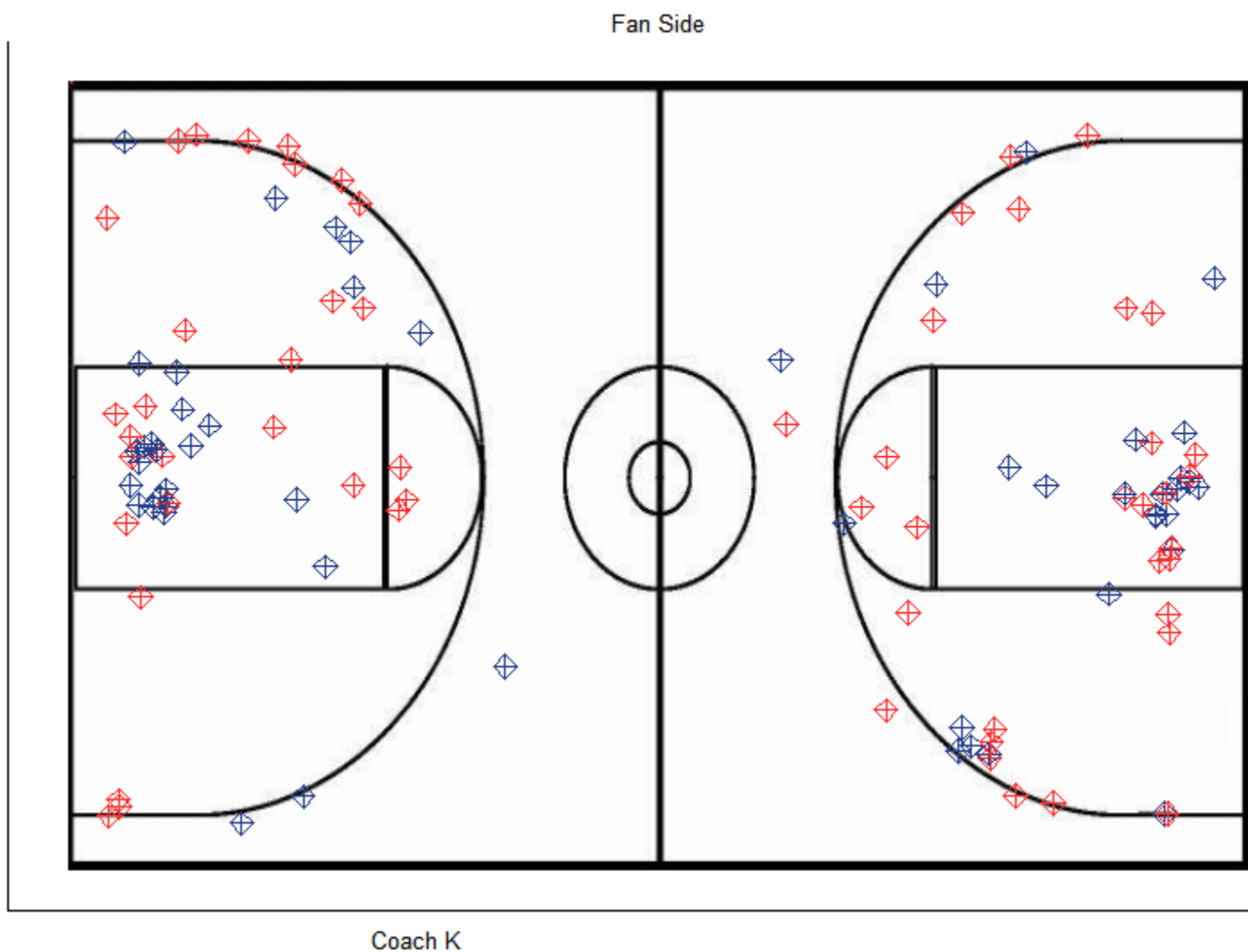


Figure 5.1

Looking at the Quinn Cook's shot attempts for his junior season, he was fairly even with his shooting, missing most of his 3 point shots, and hitting most of his 2 point shots in the paint. It appears as though he prefers to shoot from the right wing slightly more than he shoots from the left wing.

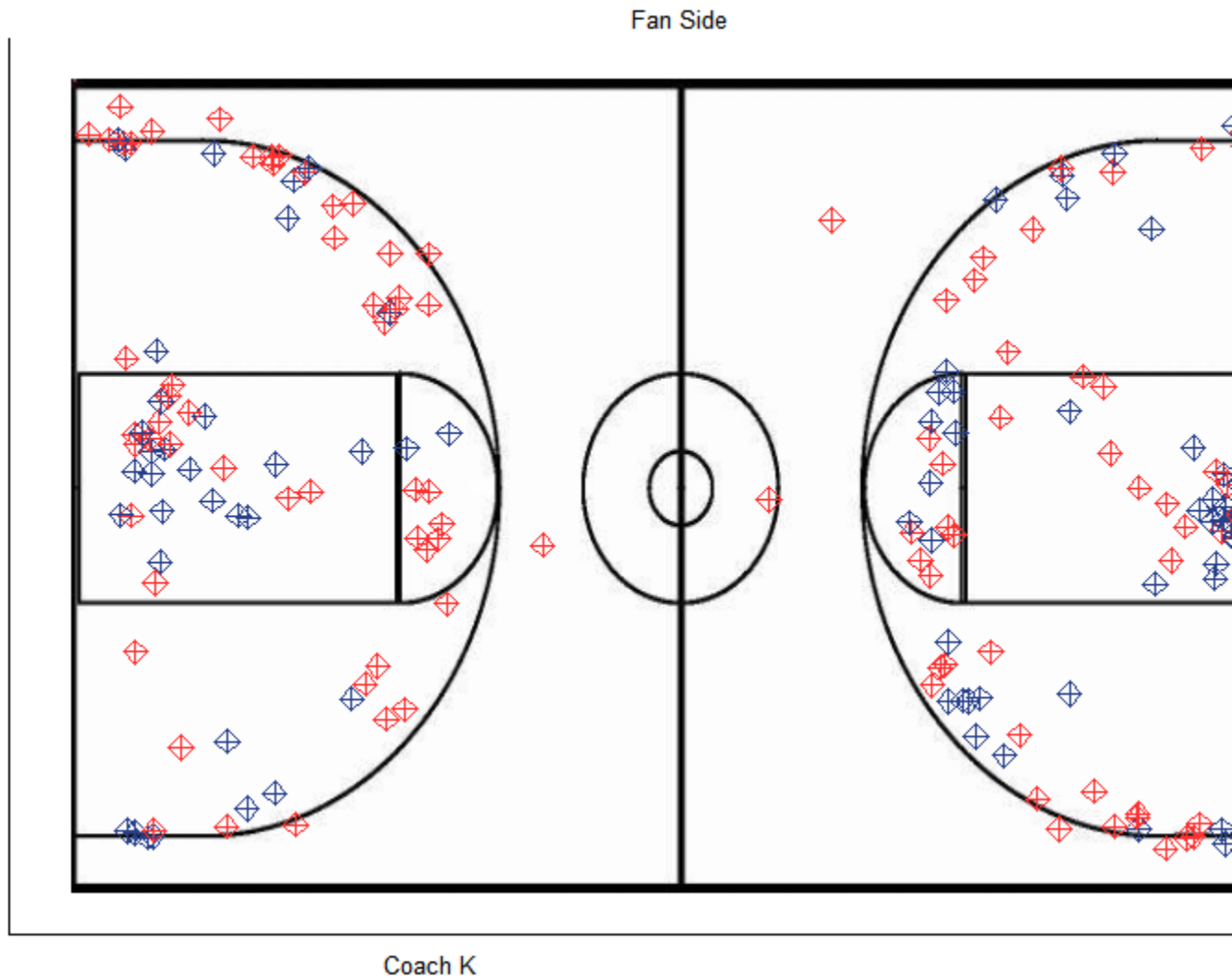
Quinn Cook's Shot Chart in 2014

Figure 5.2

In 2014, however, it can be noted that Quinn Cook has transitioned to shots that are closer to the basket and minimized the amount of 3 point shot attempts. He brought his shot attempts closer inwards, which aligns with the trend that he is better at shooting when he is closer to the basket. Compared to 2013, he attacks more along the nail, which could be attributed to Quinn Cook's growing strength as an off-the-jump shooter.

Quinn Cook's Shot Chart in 2015

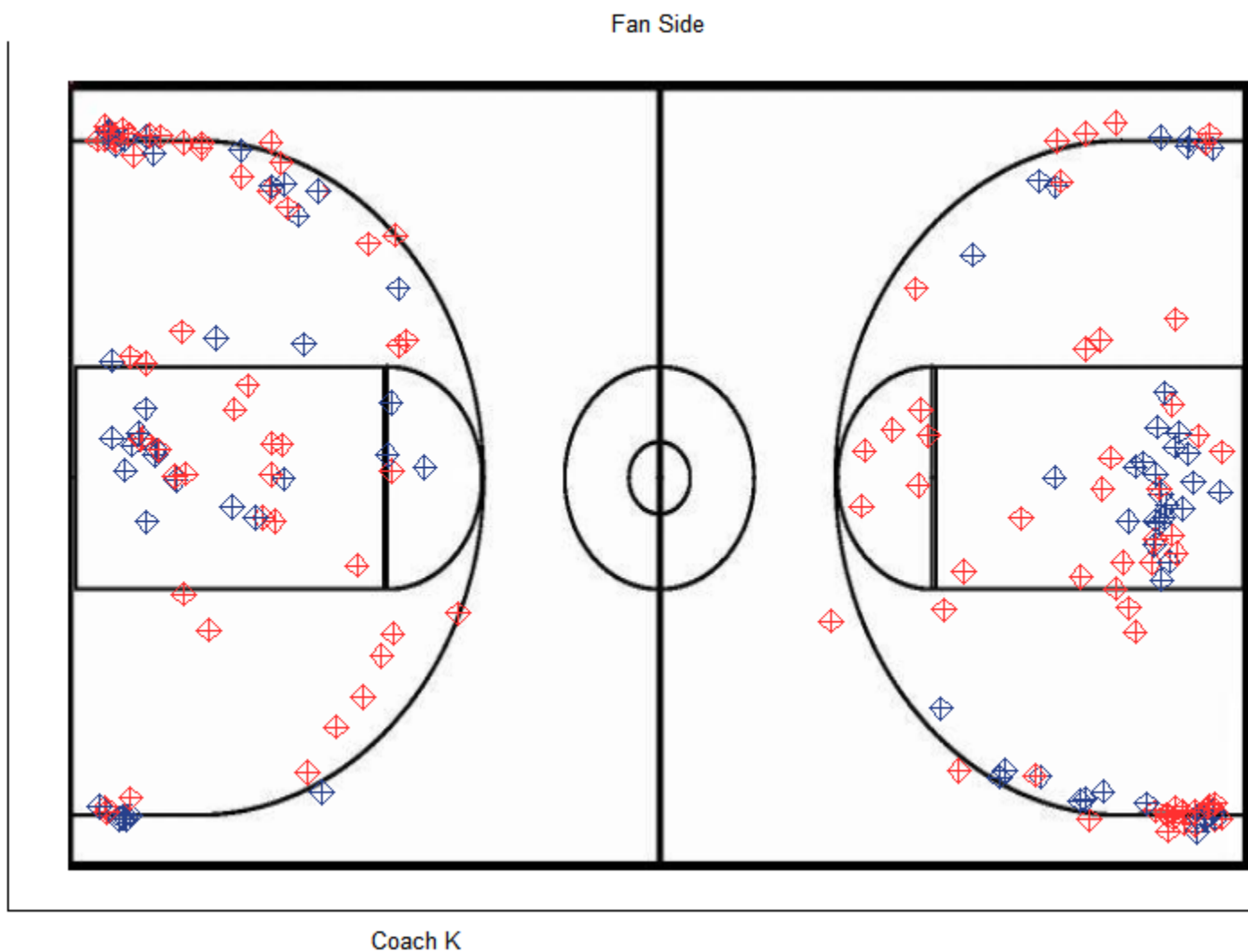


Figure 5.3

In the 2015 season, Quinn Cook moves further out from the basketball, attempting more 3s. His preference for shooting in the right wing is more pronounced. A new trend apparent from the graph, however, shows that Quinn Cook shoots more corner 3s than the previous two years. While his shot attempts in the paint have slightly changed from 2013, Quinn Cook definitely has a unique playing style that has overall been consistent in that he avoids shooting in the extended elbows and short corners.

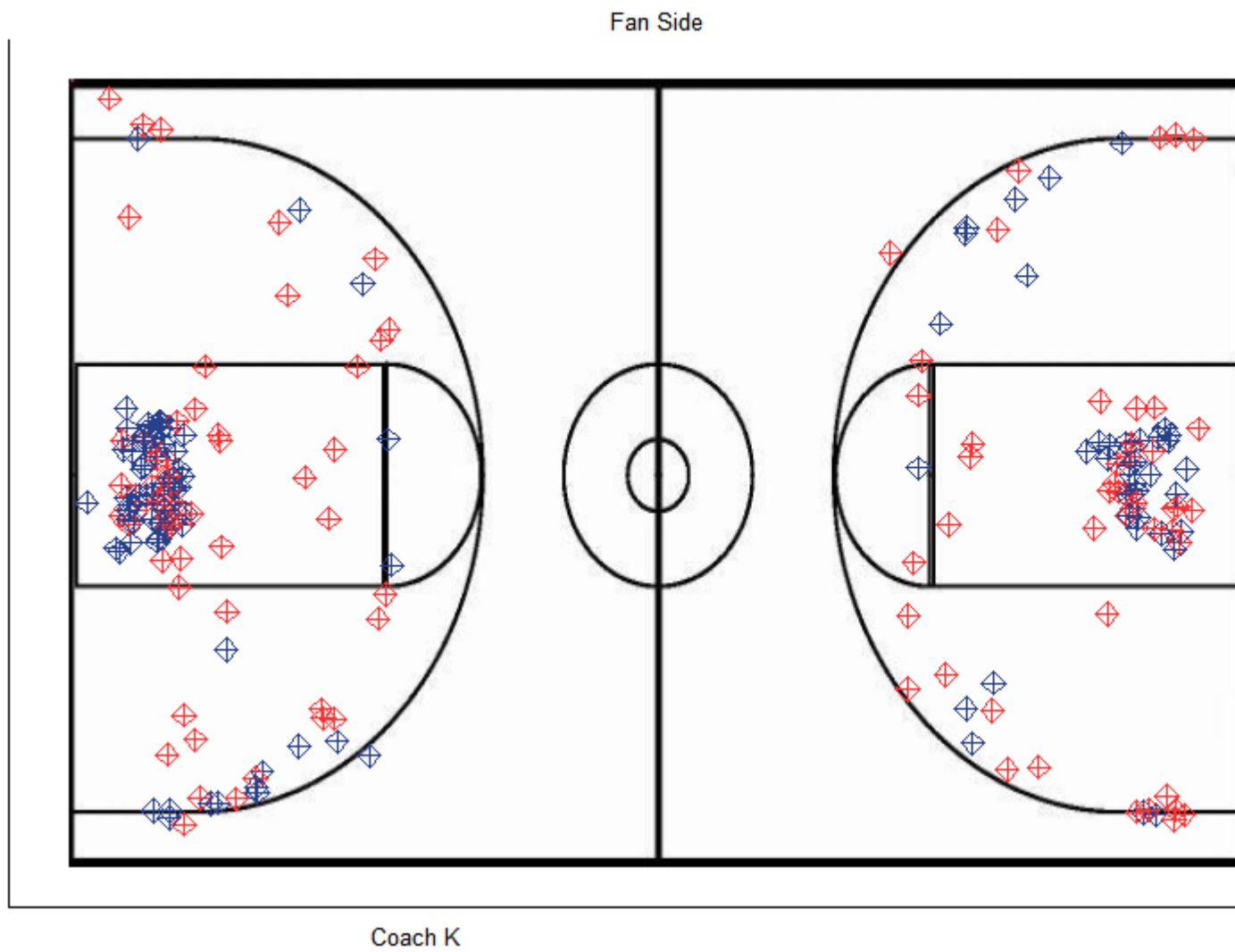
5.2 Biases in Shot Location

While looking at the shot chart of each player shows their shooting preferences, putting their shot chart in the context of Cameron is another important aspect to note when analyzing a player's shot preferences. Cameron Indoor Stadium's student section,

known as the Cameron Crazies, has been ranked as one of the best student sections in the country by Bleacher Report, For The Win, and FOX Sports (to name a few). Furthermore, during the first half, a team's offense is on the opponent's side and a team's defense is on their home side. Thus, by acknowledging where a player shoots in context to the location of the fans and Coach K may reveal some biases to their shot location. Are players showboating for the Cameron Crazies or are they showboating for Coach K? To assess this trend, multiple Duke players were screened to note any possible trends in shooting habits.

Intuitively, a player's shot chart distributon should be an even reflection of the other half of the court (ie. if half court was inflected onto the other half court, the shot distributions should be similar). From Quinn Cook's Shot Charts, this intuition is true; it is clear that he prefers shooting from the left side on both sides—indicating that there does not exist an obvious bias in his shot location based on exterior factors. However, when looking at a player like Justise Winslow, his shot attempts are more prevalent on Duke's side of the bench, and less present on its complementary side. Perhaps, Justise is showboating for his teammates or Coach K, and plays off of the exterior factors in a game. Further analysis will be conducted in later iterations of this paper to better understand this bias.

Justise Winslow's Shot Chart



Chapter 6

Passing Networks

The main motivation behind this project is to understand the passing structure of Duke players in a game to create a better metric to evaluate players in the game of basketball. For this, each game was decomposed into individual possessions. Players who are in possession of the ball during each of the possessions are identified as vertices, and their passes to other players are edges in a pass network. Each vertex contains attributes about the player (ie. fouls in the game), and each edge contains attributes about the pass (ie. distance passed).

6.1 A Breakdown of a Passing Network

6.1.1 Game Network

Below is an example of a passing network for an entire game, where each number represents the unique id of a player.

Passing Network for Duke vs. Davidson (Nov. 11, 2013)

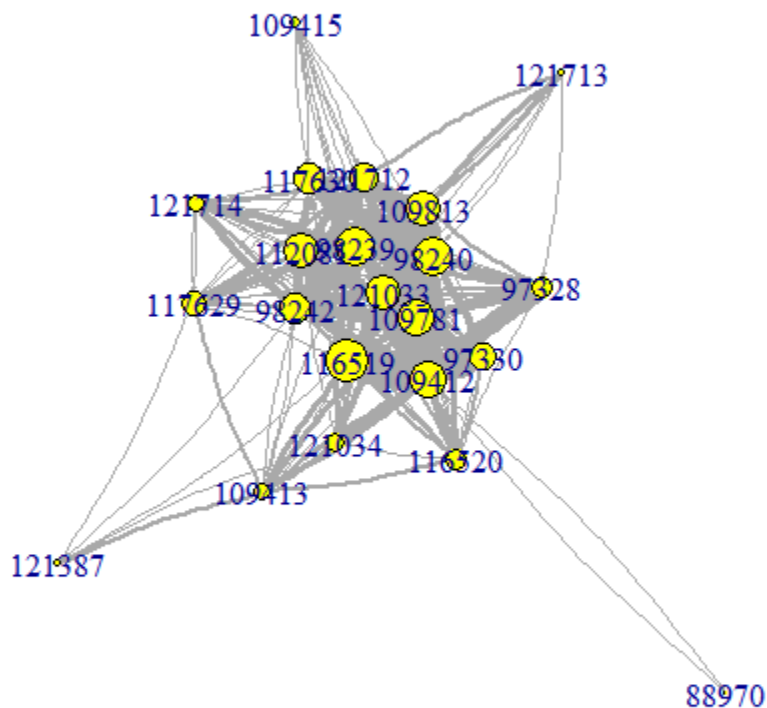


Figure 6.1

6.1.2 Possession Network

Breaking it down into a single game possession, the network becomes reduced to a smaller network. One challenge in identifying a possession was the inconsistency of the dataset's shot clock. For this, a new *possession* for this paper is defined as the moment when a team turns over the ball to the other team. For this, a possession may contain more than five players if players sub in/out within a possession.

Passing Network for Duke vs. Davidson (Nov. 11, 2010) One Possession

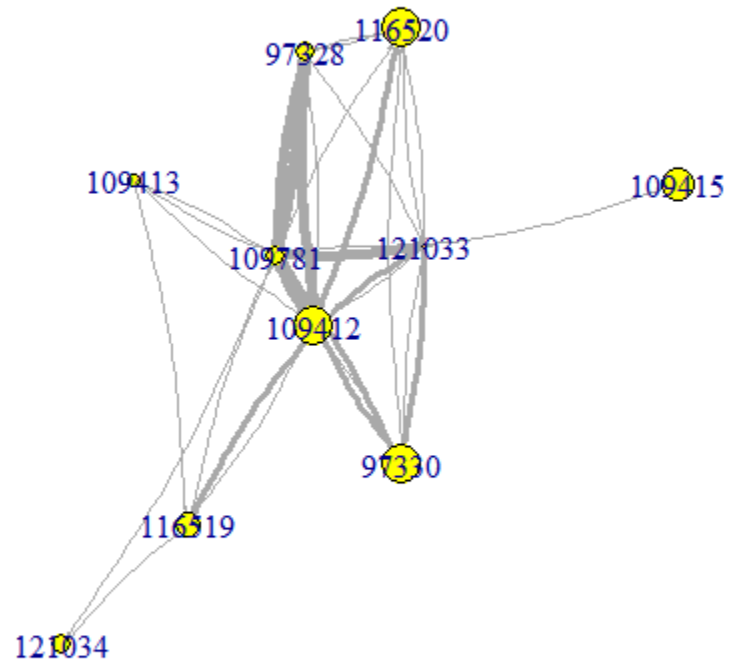


Figure 6.2

6.1.3 A Vertex and an Edge

A single pass between player 109412 and 109413 has a thin line because it only occurred once during this game. The arrow indicates the direction of the pass, and when checking the edge attribute between these two vertices, the distance of the pass between 109412 and 109413 is 22.83 units. Looking at vertex attributes, player 109412's position is a guard.

Passing Network for Duke vs. Davidson (Nov. 11, 2013) One Pass

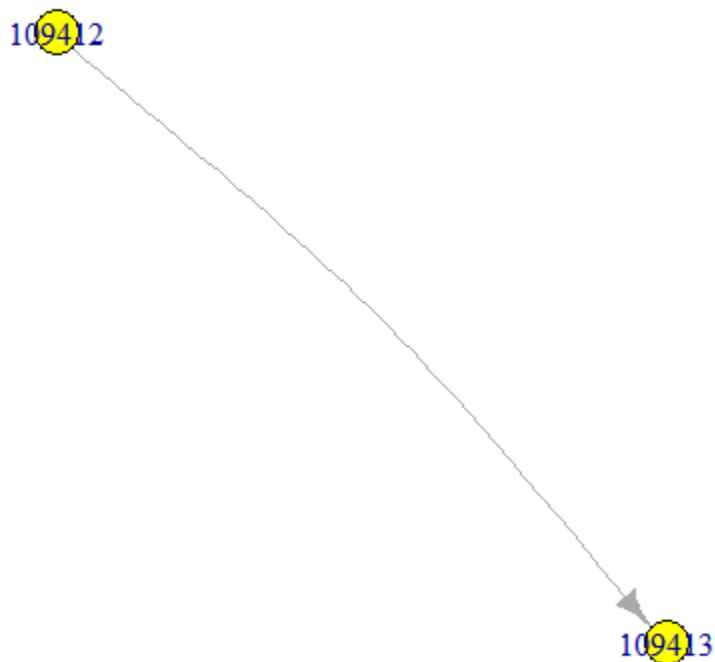


Figure 6.3

6.2 Initial Analysis of Passing Networks

Simply looking at a graph can reveal important characteristics about a player's role within a team. On a possession level, if a player receives many passes (as noted by a thicker edge), then he has a more central role on the team, and his teammates clearly rely on him to make good passes.

Other interesting network calculations are betweenness centrality; this metric can be visualized by the passing network, and noted as the popularity of a player based on how connected/central he is to the play. For this, returning to the Duke vs. Davidson game, we can note that Player 109415 is an important and valuable player for Duke because his betweenness centrality score is the highest score as denoted by the table below:

109412	109781	121033	116519	116520	109413	97330	97328	121034	109415
21.7	21.5	14.5	12.5	7.5	5.5	2	1.8	0.5	0

Furthermore, we can presume that players who are most connected to the ball should

be able to best handle the ball. For this, we expect the players with the highest betweenness score to be the starters for Duke's 2013-2014 Men's Basketball team. Checking the starting line-up from Duke Men's Basketball for the 2013-2014 season, the betweenness score correctly matches Coach K's starting line-up.

Chapter 7

Network Modeling

This portion has yet to be implemented.

Chapter 8

Conclusion

Duke Men’s Basketball has a vast and rich dataset that has much to be explored. Of particular interest is how a player interacts against his teammates and defenders. This paper focuses on modeling player interactions via passing networks—network centrality and betweenness scores identify key players within a team. By evaluating passing networks, not only can a player’s value within a team be deduced, but also how a player’s value within a team has changed over time.

8.1 Future Steps

Future steps include continuing to explore the biases in shot location, to replicate Daniel Cervone, Alex, D’Amour, Luke Bornn, and Kirk Goldsberry’s paper, “A Multiresolution Stochastic Process Model for Predicting Basketball Possession Outcomes,” and to develop a more simple model that can capture the results of the replicated paper.

Chapter 9

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Chapter 10

Conclusion

Duke Men's Basketball has a vast and rich dataset that has much to be explored. Of particular interest is how a player interacts against his teammates and defenders. This paper focuses on modeling player interactions via passing networks—network centrality and betweenness scores identify key players within a team. By evaluating passing networks, not only can a player's value within a team be deduced, but also how a player's value within a team has changed over time.

10.1 Future Steps

Future steps include continuing to explore the biases in shot location, to replicate Daniel Cervone, Alex, D'Amour, Luke Bornn, and Kirk Goldsberry's paper, "A Multiresolution Stochastic Process Model for Predicting Basketball Possession Outcomes," and to develop a more simple model that can capture the results of the replicated paper. -sx

Chapter 11

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Appendix A

The First Appendix

This first appendix includes all of the R chunks of code that were hidden throughout the document (using the `include = FALSE` chunk tag) to help with readability and/or setup.

In the main Rmd file

```
# This chunk ensures that the thesisdowncss package is  
# installed and loaded. This thesisdowncss package includes  
# the template files for the thesis.  
if(!require(devtools))  
  install.packages("devtools", repos = "http://cran.rstudio.com")  
if(!require(thesisdowncss))  
  devtools::install_github("mine-cetinkaya-rundel/thesisdowncss")  
library(thesisdowncss)
```

In Chapter ??:

Appendix B

The Second Appendix, for Fun

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