



Université Internationale de Rabat  
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# Advanced Databases

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# **Brief Review: Database Fundamentals**

## **Database (DB)**

A collection of data stored together, without unnecessary redundancy, to serve multiple applications.

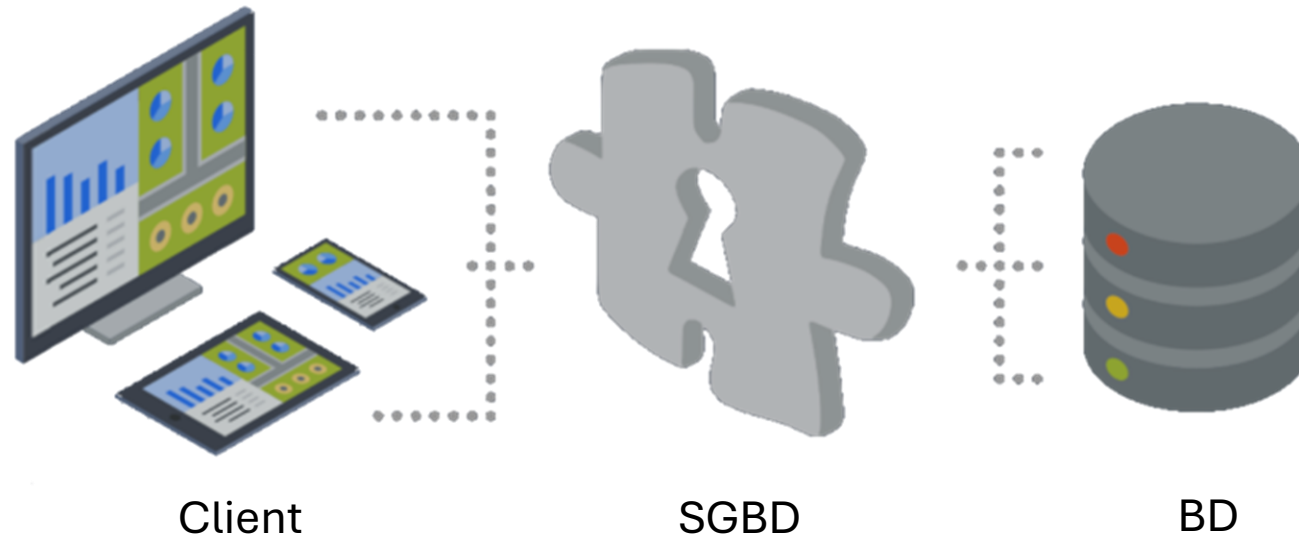
- The data is organized to remain independent of the programs that access it.
- It is structured to enable various operations, including reading, deletion, updating, sorting, and comparison, among others.

# Brief Review: Database Fundamentals

## Database Management System (DBMS)

The software that allows interaction with a database.

All operations on a database are made possible through the DBMS, which defines, manipulates, and controls the data.



# Brief Review: Database Fundamentals

## Relational Database Management System (RDBMS)

Various types of DBMS exist; however, the relational model (RDBMS) has long been established as the standard.

A variety of relational database software products are used on the market (e.g., Access, Oracle, SQL Server, PostgreSQL, Sybase, MySQL, DB2, etc.).



A DBMS facilitates data management through an intuitive and straightforward tabular representation.

# Brief Review: Database Fundamentals

## Table

A **table** in the relational model is a **relation** composed of **attributes**, with each attribute drawing its values from a defined **domain**.

Purchase table

Transaction.ID	Customer.ID	Product.ID	Purchase.date
1112	24221	8977	03-22-2010
1113	24222	8978	03-22-2010
1114	24223	8979	03-22-2010

Customer table

Customer.ID	Customer	Address
24221	Bob	123 East street
24222	Alice	223 Main street
24223	Martha	465 North street

Product table

Product.ID	Name	Price
8977	Banana	.79
8978	TV	400
8979	Watch	50

# Brief Review: Database Fundamentals

## Attributes

An attribute is a named column of a table that specifies the data that can appear in that column.

An attribute is defined by a name and a domain.

Purchase table

Transaction.ID	Customer.ID	Product.ID	Purchase.date
1112	24221	8977	03-22-2010
1113	24222	8978	03-22-2010
1114	24223	8979	03-22-2010

Customer table

Customer.ID	Customer	Address
24221	Bob	123 East street
24222	Alice	223 Main street
24223	Martha	465 North street

Product table

Product.ID	Name	Price
8977	Banana	.79
8978	TV	400
8979	Watch	50

# Brief Review: Database Fundamentals

## Domain

The domain of an attribute is the allowed set of values and rules for that attribute.

A domain combines data type, format, range, nullability, and any business rules that make values valid and meaningful.

## Examples

Purchase table

Transaction.ID	Customer.ID	Product.ID	Purchase.date
1112	24221	8977	03-22-2010
1113	24222	8978	03-22-2010
1114	24223	8979	03-22-2010

Customer table

Customer.ID	Customer	Address
24221	Bob	123 East street
24222	Alice	223 Main street
24223	Martha	465 North street

Product table

Product.ID	Name	Price
8977	Banana	.79
8978	TV	400
8979	Watch	50

### Attribute

### Domain

CustomerID INT, NOT NULL, UNIQUE

Costumer VARCHAR(200), NOT NULL

Price REAL, CHECK (Price BETWEEN 18 AND 65)

# Brief Review: Database Fundamentals

## Relation Schema

A relation schema  $R$ , denoted as  $R(A_1, A_2, \dots, A_n)$ , is a set of attributes  $R = \{A_1, A_2, \dots, A_n\}$ .

- Each attribute  $A_i$  is associated with a domain  $D_i$ .
- A relation schema describes a relation.

Purchase table

Transaction.ID	Customer.ID	Product.ID	Purchase.date
1112	24221	8977	03-22-2010
1113	24222	8978	03-22-2010
1114	24223	8979	03-22-2010

## Example

Customer(Customer\_ID, Customer, Address)

Customer table

Customer.ID	Customer	Address
24221	Bob	123 East street
24222	Alice	223 Main street
24223	Martha	465 North street

Product table

Product.ID	Name	Price
8977	Banana	.79
8978	TV	400
8979	Watch	50



# Brief Review: Database Fundamentals

## N-tuple

In the relational model, a n-tuple represents a single, complete row in a relation (table), containing a value for each attribute.

### Example

For the table **Customer(Customer\_ID, Customer, Address)**, a possible n-tuple is:

(24221, 'Bob', '123 East Street')

# Brief Review: Database Fundamentals

## Primary Key and Foreign Key

A **primary key** is a minimal set of one or more attributes whose values uniquely identify each tuple (row) in a relation and cannot contain null values.

A **foreign key** refers to a key originating from another table.

The concept of a foreign key is employed to establish the semantic relationship between two tables.

Purchase table				- Primary key - Foreign key
Transaction.ID	Customer.ID	Product.ID	Purchase.date	
1112	24221	8977	03-22-2010	
1113	24222	8978	03-22-2010	
1114	24223	8979	03-22-2010	

Customer table		
Customer.ID	Customer	Address
24221	Bob	123 East street
24222	Alice	223 Main street
24223	Martha	465 North street

Product table		
Product.ID	Name	Price
8977	Banana	.79
8978	TV	400
8979	Watch	50

# Functional Dependencies

- Introduction

ProfMod						
ProfNum	ProfName	Salary	ModNum	ModName	Description	HL
1003	Tahiri	40000	570	JAVA	JAVA programming	48
1003	Tahiri	40000	580	XML	Elements of XML	32
...	...	...	...	...	...	...

(NumProf, NumMod) is the primary key of the relation ProfMod.

**Insertion Anomaly:** The addition of certain information is only possible if other related information is already present.

In the example above, details about a module can only be entered in association with a professor.

# Functional Dependencies

- Introduction

ProfMod						
ProfNum	ProfName	Salary	ModNum	ModName	Description	HL
1003	Tahiri	40000	570	JAVA	JAVA programming	48
1003	Tahiri	40000	580	XML	Elements of XML	32
...	...	...	...	...	...	...

(NumProf, NumMod) is the primary key of the relation ProfMod.

**Deletion Anomaly:** The removal of certain information causes the loss of other related information.

In the example above, deleting the professor 'Tahiri' results in the deletion of the details of the modules he teaches.

# Functional Dependencies

- Introduction

ProfMod						
ProfNum	ProfName	Salary	ModNum	ModName	Description	HL
1003	Tahiri	40000	570	JAVA	JAVA programming	48
1003	Tahiri	40000	580	XML	Elements of XML	32
...	...	...	...	...	...	...

(NumProf, NumMod) is the primary key of the relation ProfMod.

**Update Anomaly:** Modifying a single piece of information requires changes in multiple rows.

In the example above, updating the salary of professor 'Tahiri' must be performed in all rows where he appears.

# Functional Dependencies

- Introduction
  - Need to decompose the ProfMod relation to avoid the previous issues.
  - The design of relations must take into account the dependencies among the various attributes.

# Functional Dependencies

- Definition

Given a relation  $R$ , there is a functional dependency from  $X$  in  $R$  to  $Y$  in  $R$  if and only if: for every instance of  $R$ , if two tuples (rows) of  $R$  have the same values for the attributes in  $X$ , then they also have the same values for the attributes in  $Y$ .

It is written as:  $X \rightarrow Y$

It is read as:

- There exists a functional dependency from  $X$  to  $Y$ .
- $X$  determines  $Y$ .
- $Y$  functionally depends on  $X$ .

# Functional Dependencies

- Example

Country	
CountryID	CountryName
212	Morocco
33	France
34	Spain
212	Morocco
39	Italy
33	France
...	...

Two records with the same CountryID have the same CountryName.

$\forall x, y \in \text{Country} :$

$x. \text{CountryID} = y. \text{CountryID} \Rightarrow x. \text{CountryName} = y. \text{CountryName}$

**idPays  $\rightarrow$  NomPays**



# Functional Dependencies

- Example

ProfMod						
ProfNum	ProfName	Salary	ModNum	ModName	Description	HL
1003	Tahiri	40000	570	JAVA	JAVA programming	48
1025	Younoussi	40000	420	Calculus	Functions	32
1003	Tahiri	40000	580	XML	Elements of XML	32
...	...	...	...	...	...	...

R : ProfMod

U = {ProfNum, ProfName, Salary, ModNum, ModName, Description, HL}

On a : {ProfNum, ModNum}  $\rightarrow$  U

ProfNum  $\rightarrow$  ProfName, Salary

ModNum  $\rightarrow$  ModName, Description, HL

# Functional Dependencies

- Purpose
  - A functional dependency  $X \rightarrow Y$  means that the value(s) of  $X$  uniquely determine the value(s) of  $Y$ .
  - These dependencies help in identifying candidate keys.
  - A candidate key of a relation is a minimal subset of attributes that functionally determines all attributes in the relation.

# Elementary Functional Dependencies

- Definition
  - A functional dependency  $X \rightarrow Y$  is said to be **elementary** if  $Y$  depends on the entire set  $X$ , and not just on a subset of  $X$ .
  - By definition, functional dependencies involving only two attributes ( $X \rightarrow Y$ ) are always elementary.

# Elementary Functional Dependencies

- Examples
- $\text{ProductRef} \rightarrow \text{ProductName}$  is elementary (involves only two attributes).
- $(\text{InvoiceNum}, \text{ProductRef}) \rightarrow \text{QuantityOrdered}$  is elementary (neither the product reference alone nor the invoice number alone is sufficient to determine the quantity).
- $(\text{InvoiceNum}, \text{ProductRef}) \rightarrow \text{ProductName}$  is not elementary, since the product reference alone is sufficient to determine the product name.

Invoice			
ProductRef	ProductName	InvoiceNum	QuantityOrdered
P001	Stylo Bleu	F1001	10
P002	Cahier A4	F1001	5
P001	Stylo Bleu	F1002	7
P003	Gomme	F1002	12

# Direct Functional Dependencies

- Definition

A functional dependency  $X \rightarrow Y$  is said to be **direct** if there does not exist an attribute  $Z$  that would create a transitive functional dependency  $X \rightarrow Z \rightarrow Y$ .

# Direct Functional Dependencies

- Examples
- $(\text{InvoiceNum}, \text{ProductRef}) \rightarrow \text{QuantityOrdered}$  is a direct dependency, since the ordered quantity depends directly on the invoice–product combination.  
 $(\text{InvoiceNum}) \rightarrow \text{QuantityOrdered}$  is not valid, because an invoice can contain multiple products.  
 $(\text{ProductRef}) \rightarrow \text{QuantityOrdered}$  is not valid, because the same product can have different quantities in different invoices.

ProductInvoice			
InvoiceNum	ProductRef	QuantityOrdered	UnitPrice
F001	P001	5	20
F001	P002	2	15
F002	P001	1	20

# Armstrong's Axioms

**Armstrong's Axioms** are a set of inference rules used to derive all functional dependencies in a relational database. The three basic rules are:

- Reflexivity

$$Y \subseteq X \Rightarrow X \rightarrow Y$$

Any set of attributes functionally determines its own subset.

In particular,  $X \rightarrow X$  is always true

- Augmentation

$$X \rightarrow Y \Rightarrow XZ \rightarrow YZ$$

Attributes can be added to both sides of a dependency without violating it.

- Transitivity

$$X \rightarrow Y \text{ and } Y \rightarrow Z \Rightarrow X \rightarrow Z$$

A dependency can be inferred through a chain of dependencies.

# Additional rules

There are also additional derived rules (like Union, Pseudo-Transitivity, Reduction and Decomposition) that can be obtained from Armstrong's basic axioms:

- Union

$$X \rightarrow Y \text{ and } X \rightarrow Z \Rightarrow X \rightarrow YZ$$

- Pseudo-Transitivity

$$X \rightarrow Y \text{ and } YW \rightarrow Z \Rightarrow XW \rightarrow Z$$

- Reduction

$$X \rightarrow Y \text{ and } Z \subseteq Y \Rightarrow X \rightarrow Z$$

- Decomposition

$$X \rightarrow YZ \Rightarrow X \rightarrow Y \text{ and } X \rightarrow Z$$



# Closure of a set of attributes

- Definition

The closure of a subset  $X$  of  $U$ , denoted by  $X^+$ , is defined as the set of all attributes  $A$  in  $U$  such that  $X \rightarrow A$ .

- Purpose

The closure is used to determine all attributes functionally dependent on  $X$  and to test whether  $X$  is a candidate key.

# Closure of a set of attributes

- Example

**Relation:** Employee(EID, EName, PNO, PName, Location, Duration)

## Step 1: Determine Functional Dependencies (FDs)

FD = {

EID → EName,

PNO → {PName, Location},

{EID, PNO} → Duration

}

- The Employee ID (EID) uniquely determines the Employee Name (EName).
- In other words, for each employee, their ID corresponds to exactly one name.

- The Project Number (PNO) uniquely determines the Project Name (PName) and its Location.
- This means that each project number is associated with exactly one project name and one location.

- The combination of Employee ID (EID) and Project Number (PNO) determines the Duration of the employee's work on that project.
- This implies that to know how long an employee worked on a project, you need both their ID and the project number.

## Step 2: Find the closure of subsets (taking each FD and adding the attributes it determines)

$EID^+ = \{EID, EName\}$

$PNO^+ = \{PNO, PName, Location\}$

$\{EID, PNO\}^+ = \{EID, PNO, EName, PName, Location, Duration\}$

# Superkey

- Definition

A superkey of a relation is a set of attributes that uniquely identifies the tuples in the relation.

No two distinct tuples have the same values for all attributes in the superkey.

**X is said to be a superkey of R if  $X^+ = U$**

# Candidate key

- Definition

A candidate key is any superkey of a relation that is minimal (i.e., it ceases to be a superkey if any attribute is removed).

A relation can therefore have multiple candidate keys.

**$X$  is said to be a candidate key of  $R$  if  $X^+ = U$  and there is no proper subset  $Y \subset X$  such that  $Y^+ = U$**

Note: The primary key of  $R$  is a unique key selected from among the candidate keys.

# Data Normalization



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## Bad Data Costs the U.S. \$3 Trillion Per Year

by Thomas C. Redman

September 22, 2016



# Data Normalization

- What is normalization?
  - Normalization is based on functional dependencies, which help us break a big set of data into smaller, well-organized tables.
  - Normalization involves multiple normal forms.
  - Each normal form is a step that reduces repetition and keeps the data cleaner and more consistent.

# Data Normalization

- Why do we need to normalize data?

❌ **Problem 1:** Repeated Data --> Waste of Disk Space

OrderID	Product	Category	StoreName	StoreAddress
1	iPhone 14	Electronics	NYC 1	123 Main St, New York
2	iPhone 14	Electronics	NYC 1	123 Main St, New York
3	AirPods	Electronics	Los Angeles	789 Sunset Blvd, LA
4	MacBook Pro	Electronics	San Francisco	456 Market St, San Fran
5	NULL	NULL	Miami	321 Ocean Dr, Miami

Is it necessary to repeat these columns on every order?

# Data Normalization

- Why do we need to normalize data?

## ❌ **Problem 2:** Repeated Data --> Update Issues (Update Anomaly)

OrderID	Product	Category	StoreName	StoreAddress
1	iPhone 14	Electronics	NYC 1	123 Main St, New York
2	iPhone 14	Electronics	NYC 1	123 Main St, New York
3	AirPods	Electronics	Los Angeles	789 Sunset Blvd, LA
4	MacBook Pro	Electronics	San Francisco	456 Market St, San Fran
5	<i>NULL</i>	<i>NULL</i>	Miami	321 Ocean Dr, Miami

If we update the store address, we need to do that for every single order



# Data Normalization

- Why do we need to normalize data?

## ❌ Problem 3: Insertion Anomaly

OrderID	Product	Category	StoreName	StoreAddress
1	iPhone 14	Electronics	NYC 1	123 Main St, New York
2	iPhone 14	Electronics	NYC 1	123 Main St, New York
3	AirPods	Electronics	Los Angeles	789 Sunset Blvd, LA
4	MacBook Pro	Electronics	San Francisco	456 Market St, San Fran
5	NULL	NULL	Miami	321 Ocean Dr, Miami



What if we have a new store that doesn't have orders yet?

# Data Normalization

- Why do we need to normalize data?

## ✗ Problem 4: Deletion Anomaly

OrderID	Product	Category	StoreName	StoreAddress
1	iPhone 14	Electronics	NYC 1	123 Main St, New York
2	iPhone 14	Electronics	NYC 1	123 Main St, New York
3	AirPods	Electronics	Los Angeles	789 Sunset Blvd, LA
4	MacBook Pro	Electronics	San Francisco	456 Market St, San Fran
5	NULL	NULL	Miami	321 Ocean Dr, Miami

If we delete AirPods, we lose all records of the product

# Why data normalization?



**Update Anomaly**



**Insertion Anomaly**



**Deletion Anomaly**



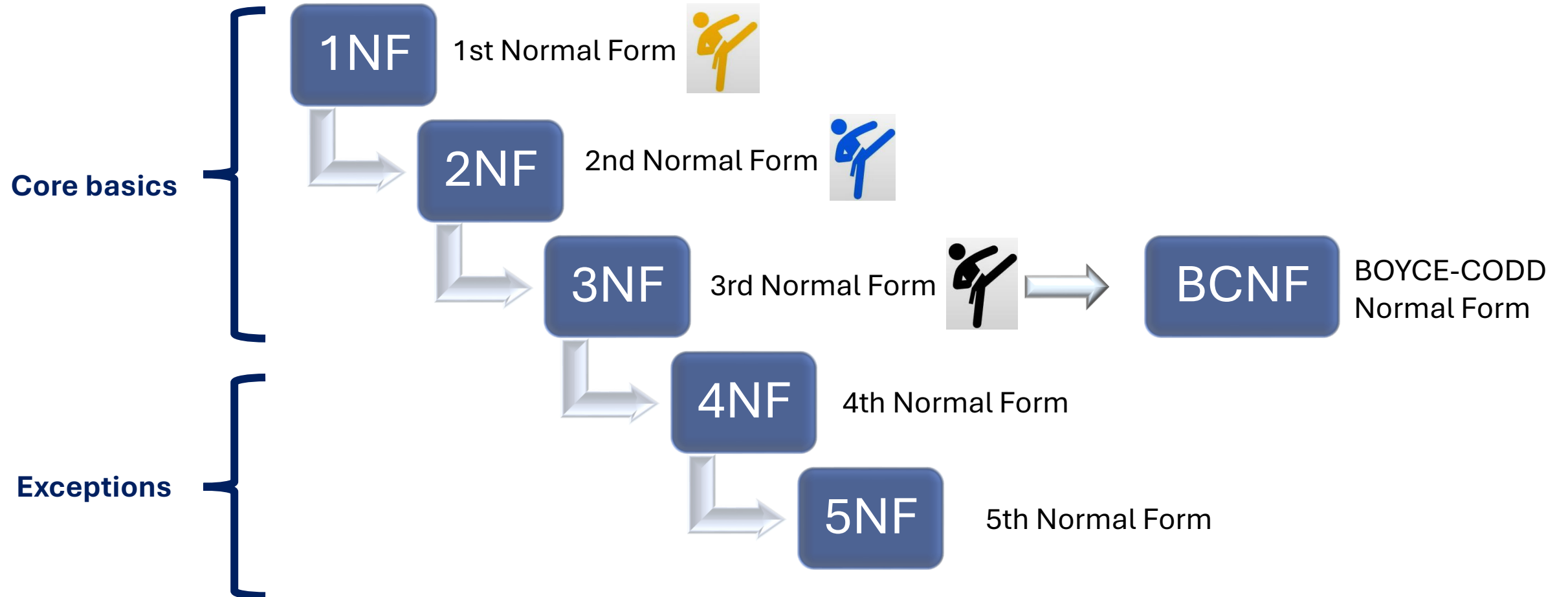
**Disk Storage**

# Normal Forms

- Intuition
  - Normal forms are step-by-step design rules that guide in structuring a relational database, so data is consistent, non-redundant, and easy to maintain.
  - They could be seen as “levels of cleanliness,” where each level solves a specific set of problems.

# Normal Forms

- 5 rules to normalize data



# 1<sup>st</sup> Normal Form

- Definition

A table is in First Normal form 1NF if it has no multi-valued attributes and each row is uniquely identifiable.

- Example



OrderID	Product	Category	StoreName	Open_Date
1	iPhone 14	Electronics	NYC 1	10/5/25
2	iPhone 14, AirPods	Electronics	NYC 1	17/5/25

Multi-valued cell.

- How to bring a table into First Normal Form (1NF)?
  - Identify multi-valued cells and break them into separate rows.
  - If the existing key no longer ensures uniqueness, define a new key (possibly composite).

# 1<sup>st</sup> Normal Form

- Converting the example to 1NF

PK

OrderID	Product	Category	StoreName	Open_Date
1	iPhone 14	Electronics	NYC 1	10/5/25
2	iPhone 14, AirPods	Electronics	NYC 1	17/5/25



PK

OrderID	Product	Category	StoreName	Open_Date
1	iPhone 14	Electronics	NYC 1	10/5/25
2	iPhone 14	Electronics	NYC 1	17/5/25
2	AirPods	Electronics	NYC 1	17/5/25

The primary key of the new table becomes the composite key (OrderID, Product), which ensures that each row is unique.


PK: Primary Key

# 2<sup>nd</sup> Normal Form

- Definition


A table is in Second Normal Form 2NF if it is in 1NF and every non-key attribute depends on the whole primary key (no partial dependency).

- Example



PK

OrderID	Customer	Product	Price	Category
1	Alice	iPhone 14	999	Medium
2	Alice	AirPods	199	Low
3	Bob	MacBook	1299	High



Customer depends solely on the OrderID attribute, which is only a subset of the composite key (OrderID, Product). Therefore, Customer should be moved to a separate table.

Price and Category depend solely on the Product attribute, which is only a subset of the composite key (OrderID, Product). Therefore, these attributes should be moved to a separate table.

- How to bring a table into Second Normal Form (2NF)?
  - The table must already have atomic values and a valid primary key (1NF).
  - Create new tables for attributes that depend only on a subset of the composite key.



## 2<sup>nd</sup> Normal Form

- Converting the example to 2NF

OrderID	Customer	Product	Price	Category
1	Alice	iPhone 14	999	Medium
2	Alice	AirPods	199	Low
3	Bob	MacBook	1299	High

Customer depends solely on the OrderID attribute, which is only a subset of the composite key (OrderID, Product). Therefore, Customer should be moved to a separate table.

Price and Category depend solely on the Product attribute, which is only a subset of the composite key (OrderID, Product). Therefore, these attributes should be moved to a separate table.



Orders Table	
OrderID	Customer
1	Alice
2	Alice
3	Bob

Products Table	
OrderID	Product
1	iPhone 14
2	AirPods
3	MacBook

Product Details Table		
Product	Price	Category
iPhone 14	999	Medium
AirPods	199	Low
MacBook	1299	High

# 3<sup>rd</sup> Normal Form

- Definition

A table is in Third Normal Form 3NF if it is in 2NF and every non-key attribute must depend only on the primary key, and not on another non-key attribute (no transitive dependencies).

- Example

The table is not in 2NF.



OrderID	Customer	Product	Price	Category	DiscountRate
1	Alice	iPhone 14	999	Phone	5%
2	Alice	AirPods	199	Audio	15%
3	Bob	MacBook	1299	Laptop	10%

There is a transitive dependency between Category and DiscountRate



- How to bring a table into Third Normal Form (3NF)?

- Verify 2NF: ensure all non-key attributes depend on the whole primary key.
- Find transitive dependencies: look for attributes that depend on another non-key attribute rather than directly on the key.
- Decompose: move those attributes into a new table.

# 3<sup>rd</sup> Normal Form

- Converting the example to 3NF

OrderID	Customer	Product	Price	Category	DiscountRate
1	Alice	iPhone 14	999	Phone	5%
2	Alice	AirPods	199	Audio	15%
3	Bob	MacBook	1299	Laptop	10%



Orders Table

OrderID	Customer
1	Alice
2	Alice
3	Bob

Products Table

OrderID	Product
1	iPhone 14
2	AirPods
3	MacBook

Product Details Table

Product	Price	Category
iPhone 14	999	Phone
AirPods	199	Audio
MacBook	1299	Laptop

Discounts Table

Category	DiscountRate
Phone	5%
Audio	15%
Laptop	10%

We Convert the table to 2NF first then 3NF.  
Now each non-key attribute depends only  
on the key of its own table.

# Boyce–Codd, 4<sup>th</sup> and 5<sup>th</sup> Normal Forms

- Boyce–Codd is a stricter version of 3NF
- It handles certain tricky cases where 3NF still allows anomalies.
- The 4<sup>th</sup> form handles Multi-valued dependencies.
- For example, a professor can teach many courses and speak many languages so, we have to keep those relationships in separate tables.
- The 5<sup>th</sup> form ensures that a table is divided into smaller tables so that all data can be recombined without repeating or contradicting anything

# Practical Example

OrderID	Customer	Products	Group	DiscountRate	Quantities	Prices	Phone	Address
3001	NeoTech	Tablet, Bag	Tech, Merch	5%, 10%	1,1	500,50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor, Mou	Tech, Hardwa	5%, 20%	1,2	300,40	555-3000	456 Future Rd
3005	NeoTech	Tablet, Mouse	Tech, Hardwa	5%, 20%	1,1	500,40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop, Bag	Tech, Merch	5%, 10%	1,1	1000,50	555-2000	99 Market St

# Practical Example

Is the table in 1NF?

OrderID	Customer	Products	Group	DiscountRate	Quantities	Prices	Phone	Address
3001	NeoTech	Tablet, Bag	Tech, Merch	5%, 10%	1,1	500,50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor, Mou	Tech, Hardwa	5%, 20%	1,2	300,40	555-3000	456 Future Rd
3005	NeoTech	Tablet, Mouse	Tech, Hardwa	5%, 20%	1,1	500,40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop, Bag	Tech, Merch	5%, 10%	1,1	1000,50	555-2000	99 Market St



- The table is not in 1NF.
- Each row is unique.
- But some cells hold multiple values.



# Practical Example

Is the table in 1NF?

OrderID	Customer	Products	Group	DiscountRate	Quantities	Prices	Phone	Address
3001	NeoTech	Tablet, Bag	Tech, Merch	5%, 10%	1,1	500,50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor, Mou	Tech, Hardwa	5%, 20%	1,2	300,40	555-3000	456 Future Rd
3005	NeoTech	Tablet, Mouse	Tech, Hardwa	5%, 20%	1,1	500,40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop, Bag	Tech, Merch	5%, 10%	1,1	1000,50	555-2000	99 Market St



OrderID	Customer	Product	Group	DiscountRate	Quantity	Price	Phone	Address
3001	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3001	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor	Tech	5%	1	300	555-3000	456 Future Rd
3004	Visionary Co	Mouse	Hardware	20%	2	40	555-3000	456 Future Rd
3005	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3005	NeoTech	Mouse	Hardware	20%	1	40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop	Tech	5%	1	1000	555-2000	99 Market St
3006	Urban Goods	Bag	Merch	10%	1	50	555-2000	99 Market St

Now, every cell stores only one atomic value.

# Practical Example

Is the table in 1NF?



OrderID	Customer	Product	Group	DiscountRate	Quantity	Price	Phone	Address
3001	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3001	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor	Tech	5%	1	300	555-3000	456 Future Rd
3004	Visionary Co	Mouse	Hardware	20%	2	40	555-3000	456 Future Rd
3005	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3005	NeoTech	Mouse	Hardware	20%	1	40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop	Tech	5%	1	1000	555-2000	99 Market St
3006	Urban Goods	Bag	Merch	10%	1	50	555-2000	99 Market St

- Problem: Primary key violation!!
- Solution: Consider a composite key (OrderID, Product)



# Practical Example

Is the table in 1NF?



OrderID	Customer	Product	Group	DiscountRate	Quantity	Price	Phone	Address
3001	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3001	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor	Tech	5%	1	300	555-3000	456 Future Rd
3004	Visionary Co	Mouse	Hardware	20%	2	40	555-3000	456 Future Rd
3005	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3005	NeoTech	Mouse	Hardware	20%	1	40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop	Tech	5%	1	1000	555-2000	99 Market St
3006	Urban Goods	Bag	Merch	10%	1	50	555-2000	99 Market St

Now the table is in 1NF.

# Practical Example

Is the table in 2NF?

OrderID	Customer	Product	Group	DiscountRate	Quantity	Price	Phone	Address
3001	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3001	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3002	Urban Goods	Laptop	Tech	5%	2	1000	555-2000	99 Market St
3003	NeoTech	Bag	Merch	10%	1	50	555-1000	123 Tech Ave
3004	Visionary Co	Monitor	Tech	5%	1	300	555-3000	456 Future Rd
3004	Visionary Co	Mouse	Hardware	20%	2	40	555-3000	456 Future Rd
3005	NeoTech	Tablet	Tech	5%	1	500	555-1000	123 Tech Ave
3005	NeoTech	Mouse	Hardware	20%	1	40	555-1000	123 Tech Ave
3006	Urban Goods	Laptop	Tech	5%	1	1000	555-2000	99 Market St
3006	Urban Goods	Bag	Merch	10%	1	50	555-2000	99 Market St

- The table is not in 2NF.
- Problem: Customer, Group, DiscountRate, Price, Phone and Address do not depend on the whole primary key (OrderID, Product)

# Practical Example

Is the table in 2NF?

PK

OrderID	Customer
3001	NeoTech
3002	Urban Goods
3003	NeoTech
3004	Visionary Co
3005	NeoTech
3006	Urban Goods

PK

Customer	Phone	Address
NeoTech	555-1000	123 Tech Ave
Urban Goods	555-2000	99 Market St
Visionary Co	555-3000	456 Future Rd

PK

OrderID	Product	Quantity
3001	Tablet	1
3001	Bag	1
3002	Laptop	2
3003	Bag	1
3004	Monitor	1
3004	Mouse	2
3005	Tablet	1
3005	Mouse	1
3006	Laptop	1
3006	Bag	1

PK

Product	Price	Group	DiscountRate
Tablet	500	Tech	5%
Bag	50	Merch	10%
Laptop	1000	Tech	5%
Monitor	300	Tech	5%
Mouse	40	Hardware	20%

- Now all non-key columns are fully dependent on the entire primary key of each table
- In addition, the tables are already in 1NF
- Thus, the tables are in 2NF

# Practical Example

Is the table in 3NF?

Order Table	
OrderID	Customer
3001	NeoTech
3002	Urban Goods
3003	NeoTech
3004	Visionary Co
3005	NeoTech
3006	Urban Goods

Customer Table		
Customer	Phone	Address
NeoTech	555-1000	123 Tech Ave
Urban Goods	555-2000	99 Market St
Visionary Co	555-3000	456 Future Rd

Order Details Table		
OrderID	Product	Quantity
3001	Tablet	1
3001	Bag	1
3002	Laptop	2
3003	Bag	1
3004	Monitor	1
3004	Mouse	2
3005	Tablet	1
3005	Mouse	1
3006	Laptop	1
3006	Bag	1

Product Details Table			
Product	Price	Group	DiscountRate
Tablet	500	Tech	5%
Bag	50	Merch	10%
Laptop	1000	Tech	5%
Monitor	300	Tech	5%
Mouse	40	Hardware	20%

- Problem: The column 'DiscountRate' depends on a non-key column 'Group'.

# Practical Example

Is the table in 3NF?

Order Table	
OrderID	Customer
3001	NeoTech
3002	Urban Goods
3003	NeoTech ☒
3004	Visionary Co
3005	NeoTech
3006	Urban Goods

Customer Table		
Customer	Phone	Address
NeoTech	555-1000	123 Tech Ave
Urban Goods	555-2000	99 Market St
Visionary Co	555-3000	456 Future Rd

Order Details Table		
OrderID	Product	Quantity
3001	Tablet	1
3001	Bag	1
3002	Laptop	2
3003	Bag	1
3004	Monitor	1
3004	Mouse	2
3005	Tablet	1
3005	Mouse	1
3006	Laptop	1
3006	Bag	1

Product Details Table		
Product	Price	Group
Tablet	500	Tech
Bag	50	Merch
Laptop	1000	Tech
Monitor	300	Tech
Mouse	40	Hardware

Discounts Table	
Group	DiscountRate
Tech	5%
Merch	10%
Hardware	20%

- All non-key columns depend only on the primary key (no transitive dependencies).
- The tables now are in 3NF form.



# Back to Basics: SQL Commands Overview

- SQL Command Types: DDL, DML, DCL, TCL

SQL (Structured Query Language) is the standard language for data interaction in Relational Database Management Systems (RDBMS).

**DDL (Data Definition Language)**  
**CREATE, ALTER, DROP, TRUNCATE**

**DML (Data Manipulation Language)**  
**INSERT, UPDATE, DELETE, MERGE**

**SQL**

**DCL (Data Control Language)**  
**GRANT, REVOKE**

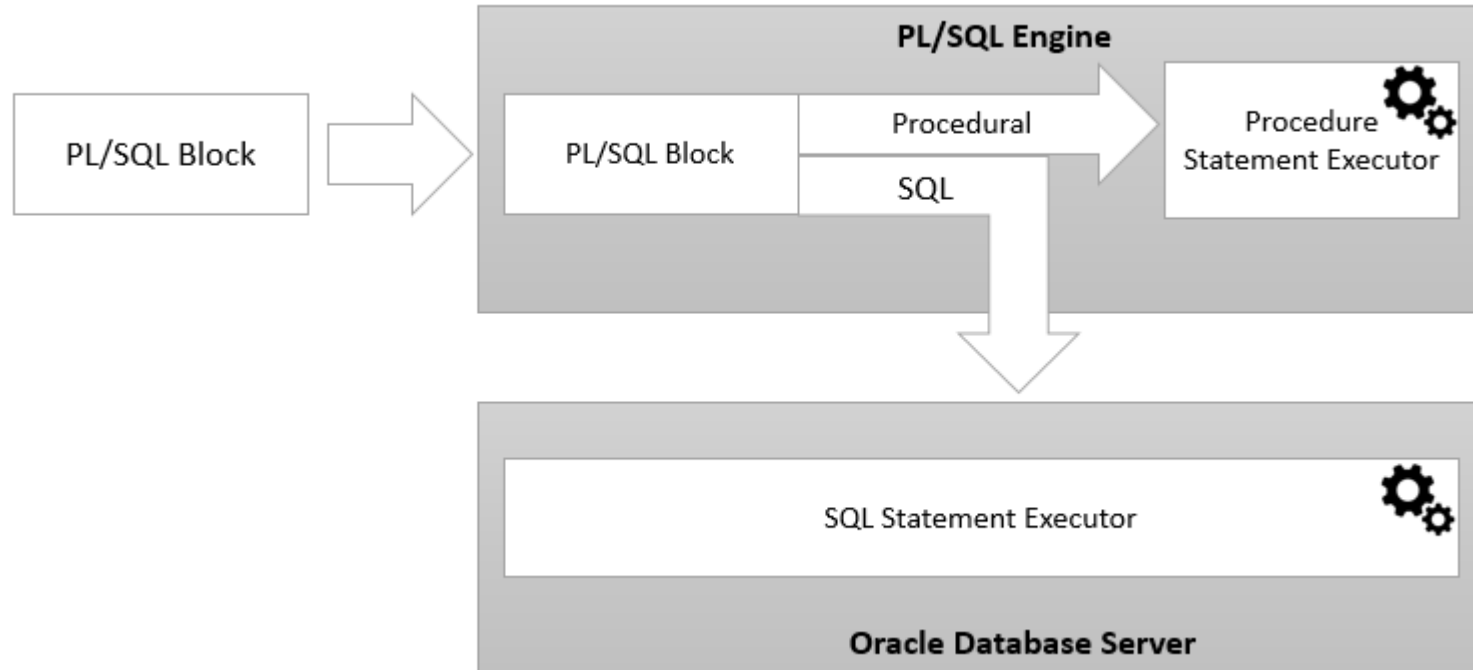
**TCL (Transaction Control Language)**  
**COMMIT, ROLLBACK, SAVEPOINT**

# PL/SQL

- What is PL/SQL?
  - PL/SQL stands for Procedural Language/Structured Query Language. PL/SQL is an extension to the SQL language, specifically designed for the Oracle Database.
  - While SQL is excellent for interacting with data in a relational database management system (RDBMS), it is not designed to make complex programs.
  - PL/SQL combines the power of a classical procedural programming language (loops, conditions, variables) with the data manipulation capabilities of SQL.

# PL/SQL

- PL/SQL architecture



- The PL/SQL engine compiles PL/SQL code into bytecode and executes the executable code. It can only be installed in an Oracle Database server or an application development tool like Oracle Forms.
- Once you submit a PL/SQL block to the Oracle Database server, the PL/SQL engine collaborates with the SQL engine to compile and execute the code.
- PL/SQL engine runs the procedural elements while the SQL engine processes the SQL statements.

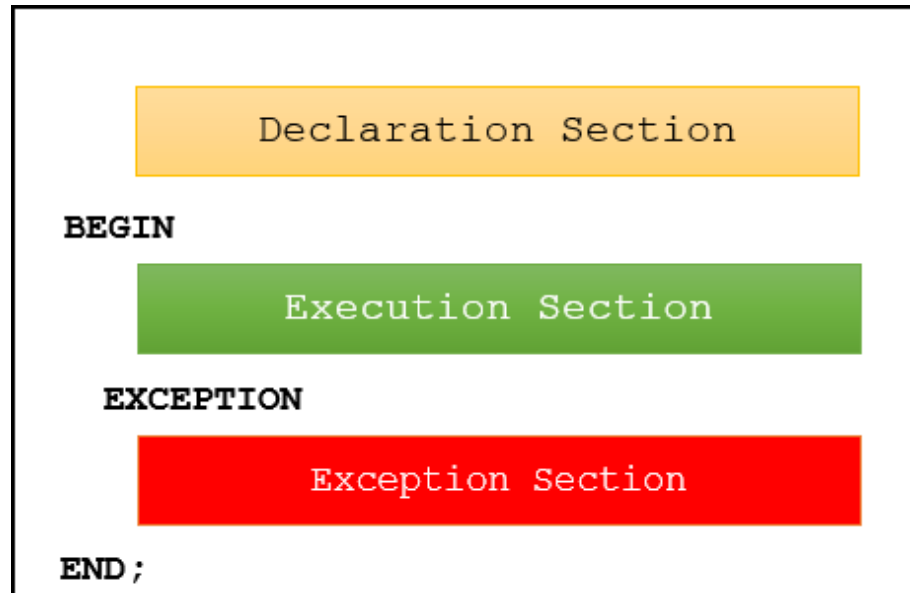


# PL/SQL

- Block overview
  - PL/SQL is a block-structured language whose code is organized into blocks.
  - A block consists of three sections:
    1. Declaration Section
    2. Executable Section
    3. Exception-handling Section
  - The executable section is mandatory, while the declaration and exception-handling sections are optional.

# PL/SQL

- Block overview



## 1) Declaration section

In the declaration section, you declare variables, allocate memory for cursors, and define data types.

## 2) Executable section

An executable section starts with the keyword `BEGIN` and ends with the keyword `END`. The executable section must have at least one executable statement, even if it is a `NULL` statement that does nothing.

## 3) Exception-handling section

An exception-handling section that starts with the keyword `EXCEPTION`. In the exception-handling section, you catch and handle exceptions raised by the code in the execution section.

# PL/SQL

- Block examples

```
BEGIN
    DBMS_OUTPUT.put_line ('Hello World!');
END;
```

- The example on the right shows a simple PL/SQL block with one executable section.
- The executable section calls the **DBMS\_OUTPUT.PUT\_LINE** procedure to display the "Hello World!" message on the screen.
- put\_line** is a procedure from the **DBMS\_OUTPUT** package that displays output on the screen.

```
DECLARE
    v_result NUMBER;
BEGIN
    v_result := 1 / 0;
EXCEPTION
    WHEN ZERO_DIVIDE THEN
        DBMS_OUTPUT.PUT_LINE( SQLERRM );
END;
```

- The block example on the right adds an exception-handling section that catches ZERO\_DIVIDE exception raised in the executable section and displays an error message.
- The error message is:

```
ORA-01476: divisor is equal to zero
```

# PL/SQL

- Data Types
  - Each value in PL/SQL such as a constant, variable and parameter has a data type that determines the storage format, valid values, and allowed operations.
  - PL/SQL has two kinds of data types:
    - Scalar
    - Composite
  - **Scalar** types are data types that store single values, such as numbers, Boolean, characters, and datetime.
  - In contrast, **composite** types are data types that store multiple values, such as records and collections.

# PL/SQL

- Data Types
  - Commonly used scalar types in PL/SQL include:

Type	Description	Example
NUMBER	Numeric values (integer or decimal)	v_salary NUMBER;
VARCHAR2	Variable-length string	v_name VARCHAR2(50);
CHAR	Fixed-length string	v_code CHAR(5);
DATE	Date and time values	v_hire DATE;
BOOLEAN	TRUE / FALSE values	v_active BOOLEAN;

- Other specialized scalar types also exist (PLS\_INTEGER, NATURAL, POSITIVE, etc.)

# PL/SQL

- Variables - Declaring variables

- In PL/SQL, a variable is named storage location that stores a value of a particular data type.
- The value of the variable may change through out the execution of the program.
- Before using a variable, we must declare it in the declaration section of a block.
- The syntax for a variable declaration is as follows:

```
variable_name datatype [NOT NULL] [:= initial_value];
```

- In this syntax:
  - First, we specify the name of the variable. The name of the variable should be as descriptive as possible, such as total\_sales, credit\_limit, and sales\_revenue.
  - Second, we choose an appropriate data type for the variable. The data type depends on the kind of value that we want the variable to store, for example, number, character, Boolean, or datetime.

# PL/SQL

- Variables - Declaring variables
  - The following example declares three variables l\_total\_sales, l\_credit\_limit, and l\_contact\_name:

```
DECLARE
    l_total_sales NUMBER(15,2);
    l_credit_limit NUMBER (10,0);
    l_contact_name VARCHAR2(255);
BEGIN
    NULL;
END;
```

# PL/SQL

- Variables - Variable assignments

- To assign a value to a variable, we use the assignment operator (:=)
- For example:

```
DECLARE
    l_customer_group VARCHAR2(100) := 'Silver';
BEGIN
    l_customer_group := 'Gold';
    DBMS_OUTPUT.PUT_LINE(l_customer_group);
END;
```

- We can assign a value of a variable to another as shown in the following example:

```
DECLARE
    l_business_partner VARCHAR2(100) := 'Distributor';
    l_lead_for VARCHAR2(100);
BEGIN
    l_lead_for := l_business_partner;
    DBMS_OUTPUT.PUT_LINE(l_lead_for);
END;
```



# PL/SQL

- Variables - Variable assignments
  - Additionally, we can select a value from a table and assign it to a variable using the SELECT INTO statement.
  - For example:

```
DECLARE
    l_order_count INT;
BEGIN
    SELECT COUNT(*) INTO l_order_count FROM orders;

    DBMS_OUTPUT.PUT_LINE(l_order_count);
END;
```

# PL/SQL

- Variables - Default values
  - PL/SQL allows us to set a default value for a variable at the declaration time.
  - To assign a default value to a variable, we use the assignment operator (:=) or the DEFAULT keyword.
  - The following examples declare a variable named l\_product\_name with an initial value 'Laptop':

```
DECLARE
    l_product_name VARCHAR2( 100 ) := 'Laptop';
BEGIN
    NULL;
END;
```

```
DECLARE
    l_product_name VARCHAR2(100) DEFAULT 'Laptop';
BEGIN
    NULL;
END;
```

# PL/SQL

- Variables - NOT NULL constraint
  - If we impose the NOT NULL constraint on a value, then the variable cannot accept NULL. Additionally, a variable declared with the NOT NULL must be initialized with a non-null value.
  - Example:

```
DECLARE
    l_shipping_status VARCHAR2( 25 ) NOT NULL := 'Shipped';
BEGIN
    l_shipping_status := '';
END;
```

# PL/SQL

- Variables – Constants

- Unlike a variable, a constant holds a value that does not change throughout the program's execution.
- Constants make the code more readable.
- To declare a constant, we specify the name, CONSTANT keyword, data type, and the default value. The following illustrates the syntax of declaring a constant:

```
constant_name CONSTANT datatype [NOT NULL] := expression
```

- For example:

```
DECLARE
    co_payment_term    CONSTANT NUMBER    := 45; -- days
    co_payment_status  CONSTANT BOOLEAN   := FALSE;
BEGIN
    NULL;
END;
```

# PL/SQL

- Comments

- PL/SQL comments allow to describe the purpose of a line or a block of PL/SQL code.
- When compiling the PL/SQL code, the Oracle precompiler ignores comments. However, we should always use comments to help understand the code quickly later.
- PL/SQL has types of comments:
  - Single-line comments
  - Multi-line comments

- A single-line comment starts with a double hyphen ( --):
- A multi-line comment starts with a slash and asterisk ( /\* )

and ends with an asterisk and slash ( \*/ ),

and can span multiple lines:

```
-- valued added tax 10%
DECLARE co_vat_rate CONSTANT NUMBER := 0.1;

/*
   This code allow users to enter the customer id and
   return the corresponding customer name and credit limit
*/
DECLARE
    l_customer_name customers.name%TYPE;
    l_credit_limit customers.credit_limit%TYPE;
BEGIN
    ...
END;
```

# PL/SQL

- IF Statement
  - The IF statement allows to either execute or skip a sequence of statements, depending on a condition. The IF statement has three forms:
    - IF THEN
    - IF THEN ELSE
    - IF THEN ELSIF

# PL/SQL

- IF Statement

- The following illustrates the syntax of the IF THEN statement:

```
IF condition THEN  
    statements;  
END IF;
```

- Example:

```
DECLARE n_sales NUMBER := 2000000;  
BEGIN  
    IF n_sales > 100000 THEN  
        DBMS_OUTPUT.PUT_LINE( 'Sales revenue is greater than 100K' );  
    END IF;  
END;
```

# PL/SQL

- IF Statement
  - The IF THEN ELSE statement has the following structure:

```
IF condition THEN
    statements;
ELSE
    else_statements;
END IF;
```

- Example:

```
DECLARE
    n_sales NUMBER := 300000;
    n_commission NUMBER( 10, 2 ) := 0;
BEGIN
    IF n_sales > 200000 THEN
        n_commission := n_sales * 0.1;
    ELSE
        n_commission := n_sales * 0.05;
    END IF;
END;
```



# PL/SQL

- IF Statement

- Here's the syntax of the IF ELSIF statement:

```
IF condition_1 THEN
    statements_1
ELSIF condition_2 THEN
    statements_2
[ ELSIF condition_3 THEN
    statements_3
]
...
[ ELSE
    else_statements
]
END IF;
```

- Example:

```
DECLARE
    n_sales NUMBER := 300000;
    n_commission NUMBER( 10, 2 ) := 0;
BEGIN
    IF n_sales > 200000 THEN
        n_commission := n_sales * 0.1;
    ELSIF n_sales <= 200000 AND n_sales > 100000 THEN
        n_commission := n_sales * 0.05;
    ELSIF n_sales <= 100000 AND n_sales > 50000 THEN
        n_commission := n_sales * 0.03;
    ELSE
        n_commission := n_sales * 0.02;
    END IF;
END;
```

# PL/SQL

- CASE Statement

- The CASE statement allows to choose one sequence of statements to execute out of many possible sequences.
- A simple CASE statement evaluates a single expression and compares the result with some values.
- The simple CASE statement has the following structure:

```
CASE selector
WHEN selector_value_1 THEN
    statements_1
WHEN selector_value_1 THEN
    statement_2
...
ELSE
    else_statements
END CASE;
```

# PL/SQL

- CASE Statement

- Example

```
DECLARE
    c_grade CHAR( 1 );
    c_rank  VARCHAR2( 20 );
BEGIN
    c_grade := 'B';
    CASE c_grade
    WHEN 'A' THEN
        c_rank := 'Excellent' ;
    WHEN 'B' THEN
        c_rank := 'Very Good' ;
    WHEN 'C' THEN
        c_rank := 'Good' ;
    WHEN 'D' THEN
        c_rank := 'Fair' ;
    WHEN 'F' THEN
        c_rank := 'Poor' ;
    ELSE
        c_rank := 'No such grade' ;
    END CASE;
    DBMS_OUTPUT.PUT_LINE( c_rank );
END;
```

# PL/SQL

- IF Statement vs CASE Statement

- It seems like IF...ELSIF can do everything CASE does. Technically, yes, but CASE has advantages in readability, conciseness, and SQL integration.

```
IF grade = 'A' THEN
    result := 'Excellent';
ELSIF grade = 'B' THEN
    result := 'Good';
ELSIF grade = 'C' THEN
    result := 'Average';
ELSE
    result := 'Fail';
END IF;
```

```
CASE grade
    WHEN 'A' THEN result := 'Excellent';
    WHEN 'B' THEN result := 'Good';
    WHEN 'C' THEN result := 'Average';
    ELSE result := 'Fail';
END CASE;
```

Example showing that CASE is more compact for multiple conditions

# PL/SQL

- IF Statement vs CASE Statement
  - CASE can be embedded **inside SELECT, UPDATE, or ORDER BY**, while IF...ELSIF can only be used in PL/SQL procedural code.

```
SELECT name,  
       CASE WHEN salary > 5000 THEN 'High'  
            WHEN salary BETWEEN 3000 AND 5000 THEN 'Medium'  
            ELSE 'Low'  
       END AS salary_level  
FROM employees;
```

Example showing that CASE can be embedded inside SELECT

# PL/SQL

- LOOP statement
  - The LOOP statement is a control structure that repeatedly executes a code block until a specific condition is true or until we manually exit the loop.
  - Here's the syntax of the LOOP statement:

```
<<label>> LOOP  
    statements;  
END LOOP loop_label;
```

# PL/SQL

- LOOP statement

- The LOOP statement is a control structure that repeatedly executes a code block until a specific condition is true or until we manually exit the loop.
- Here's the syntax of the LOOP statement:

```
<<label>> LOOP  
    statements;  
END LOOP loop_label;
```

- EXIT statement

- The EXIT statement allows to terminate the entire loop prematurely.
- Typically, we use the EXIT statement with an IF statement to terminate a loop when a condition is true.

```
LOOP  
    IF condition THEN  
        EXIT;  
    END IF;  
END LOOP;
```

# PL/SQL

- LOOP statement
  - Example:

```
DECLARE
    l_counter NUMBER := 0;
BEGIN
    LOOP
        l_counter := l_counter + 1;
        IF l_counter > 3 THEN
            EXIT;
        END IF;
        dbms_output.put_line( 'Inside loop: ' || l_counter ) ;
    END LOOP;
    -- control resumes here after EXIT
    dbms_output.put_line( 'After loop: ' || l_counter );
END;
```



# PL/SQL

- FOR LOOP statement
  - FOR LOOP executes a sequence of statements a specified number of times.
  - The FOR LOOP statement has the following structure:

```
FOR index IN lower_bound .. upper_bound
LOOP
    statements;
END LOOP;
```

- Example:

```
BEGIN
    FOR l_counter IN 1..5
    LOOP
        DBMS_OUTPUT.PUT_LINE( l_counter );
    END LOOP;
END;
```

# PL/SQL

- WHILE loop statement
  - WHILE loop is a control structure that repeatedly executes a code block if a specific condition remains true.
  - Here's the syntax for the WHILE loop statement:

This command line enables the display of output from the DBMS\_OUTPUT.PUT\_LINE procedure on your screen.

```
WHILE condition
LOOP
    statements;
END LOOP;
```

- Example:

```
SET SERVEROUTPUT ON;

DECLARE
    n_counter NUMBER := 1;
BEGIN
    WHILE n_counter <= 5
    LOOP
        DBMS_OUTPUT.PUT_LINE( 'Counter : ' || n_counter );
        n_counter := n_counter + 1;
    END LOOP;
END;
```

# PL/SQL

- WHILE loop statement
  - Example:

```
SET SERVEROUTPUT ON;

DECLARE
    n_counter NUMBER := 1;
BEGIN
    WHILE n_counter <= 5
    LOOP
        DBMS_OUTPUT.PUT_LINE( 'Counter : ' || n_counter );
        n_counter := n_counter + 1;
    END LOOP;
END;
```

Output

```
Counter : 1
Counter : 2
Counter : 3
Counter : 4
Counter : 5
```

# PL/SQL

- PL/SQL Exceptions
  - PL/SQL treats all errors in an anonymous block, procedure, or function as exceptions. The exceptions can have different causes, such as:
    - Coding mistakes
    - Software bugs
    - Hardware failures
  - It is not possible to anticipate all potential exceptions. However, you can write code to handle exceptions to enable the program to continue running as usual.
  - The code that you write to handle exceptions is called an exception handler.
  - A PL/SQL block may have an exception-handling section with one or more exception handlers.

# PL/SQL

- PL/SQL Exceptions
  - Here's the basic syntax of the exception-handling section:

```
BEGIN
    -- executable section
    ...
    -- exception-handling section
EXCEPTION
    WHEN e1 THEN
        -- exception_handler1
    WHEN e2 THEN
        -- exception_handler1
    WHEN OTHERS THEN
        -- other_exception_handler
END;
```

# PL/SQL

- PL/SQL Exceptions
  - NO\_DATA\_ exception example:

```
DECLARE
    l_name customers.NAME%TYPE;
    l_customer_id customers.customer_id%TYPE := &customer_id;
BEGIN
    -- get the customer name by id
    SELECT name INTO l_name
    FROM customers
    WHERE customer_id = l_customer_id;

    -- show the customer name
    dbms_output.put_line('Customer name is ' || l_name);

END;
```

If you execute the block and enter the customer id as 0, Oracle will issue the following error:

ORA-01403: no data found

# PL/SQL

- PL/SQL Exceptions
  - NO\_DATA\_ exception-handling example:

```
DECLARE
    l_name customers.NAME%TYPE;
    l_customer_id customers.customer_id%TYPE := &customer_id;
BEGIN
    -- get the customer
    SELECT NAME INTO l_name
    FROM customers
    WHERE customer_id = l_customer_id;

    -- show the customer name
    dbms_output.put_line('customer name is ' || l_name);

    EXCEPTION
        WHEN NO_DATA_FOUND THEN
            dbms_output.put_line('Customer ' || l_customer_id || ' does not exist');
END;
/
```

# PL/SQL

- Views

- A view in SQL is a virtual table, it does not store data itself, but displays data from one or more tables through a saved SQL query.
- A view could be seen as a window or a shortcut to complex queries.
- Syntax:

```
CREATE VIEW view_name AS  
SELECT column1, column2, ...  
FROM table_name  
WHERE condition;
```

- Once created, we can use the view just like a regular table:

```
SELECT * FROM view_name;
```



# PL/SQL

- Views

- Example:

- Suppose we have a table:

```
CREATE TABLE customers (  
  customer_id NUMBER,  
  name VARCHAR2(50),  
  city VARCHAR2(50),  
  balance NUMBER  
);
```



- If we often need to see customers from Rabat only, we can create a view:

```
CREATE VIEW rabat_customers AS  
SELECT customer_id, name, balance  
FROM customers  
WHERE city = 'Rabat';
```

Now we can simply query:

```
SELECT * FROM rabat_customers;
```

# PL/SQL

- Views
  - Why use views?
    - Simplify complex queries
    - Improve security (hide certain columns)
    - Make code easier to read and maintain

# PL/SQL

- Cursors
  - A cursor is a pointer to rows returned by a query.
  - PL/SQL has two types of cursors:
    - Implicit cursors.
    - Explicit cursors.

# PL/SQL

- Cursors - Implicit cursors
  - Oracle automatically creates implicit cursor for SELECT INTO, INSERT, UPDATE, or DELETE. We don't declare it ourselves.
  - In other words, Oracle automatically uses an implicit cursor to fetch that one row.

# PL/SQL

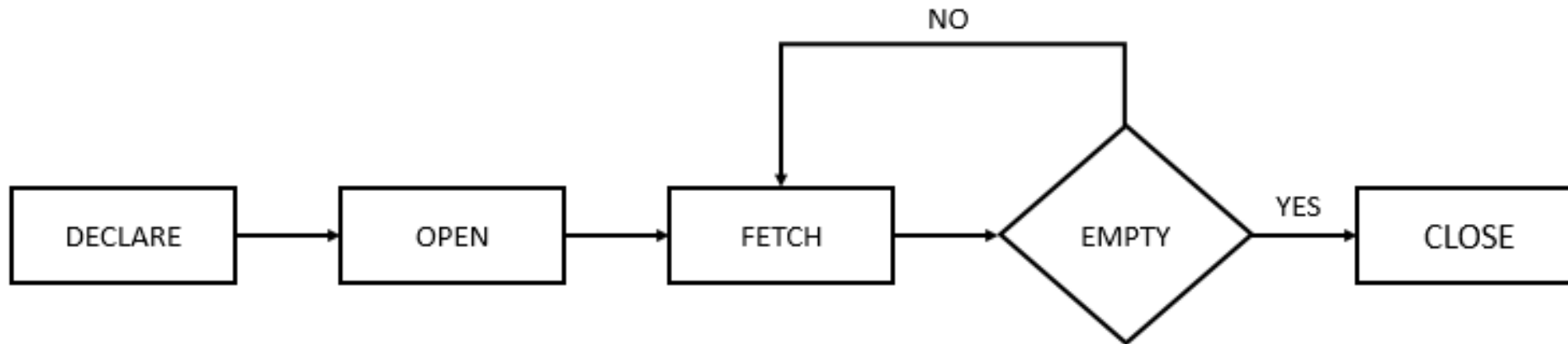
- **Cursors - Explicit cursors**

- An explicit cursor is a SELECT statement declared explicitly in the declaration section of the current block.
- For an explicit cursor, we have control over its execution cycle from OPEN, FETCH, and CLOSE.
- Oracle defines an execution cycle that executes an SQL statement and associates a cursor with it.

# PL/SQL

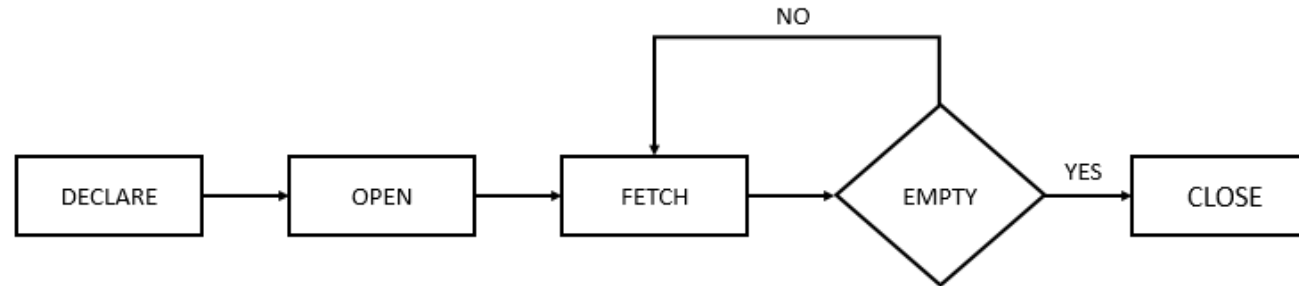
- Cursors - Explicit cursors

- The following illustration shows the execution cycle of an explicit cursor:



# PL/SQL

- Cursors - Explicit cursors



- **Declare a cursor:**

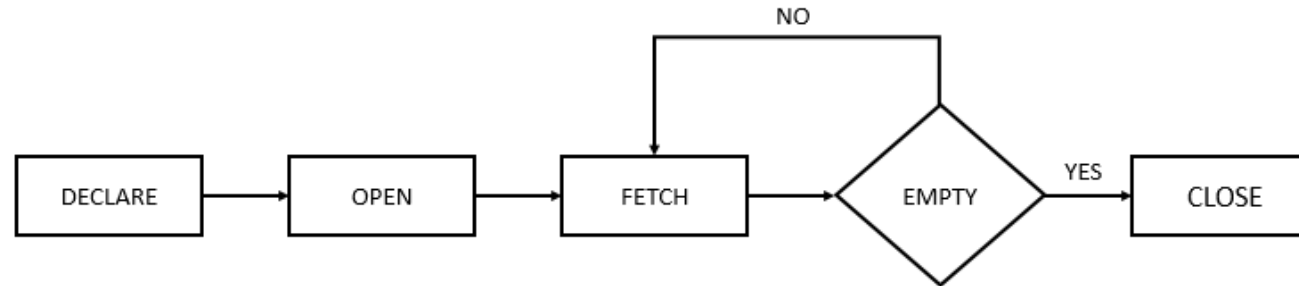
- Before using an explicit cursor, we must declare it in the declaration section of a block as follows:

```
CURSOR cursor_name IS query;
```

- In this syntax:
  - First, we specify the name of the cursor after the **CURSOR** keyword.
  - Second, we define a query to fetch data after the **IS** keyword.

# PL/SQL

- Cursors - Explicit cursors



- **Open a cursor:**

- Before starting to fetch rows from the cursor, we must open it. To open a cursor, we use the following syntax:

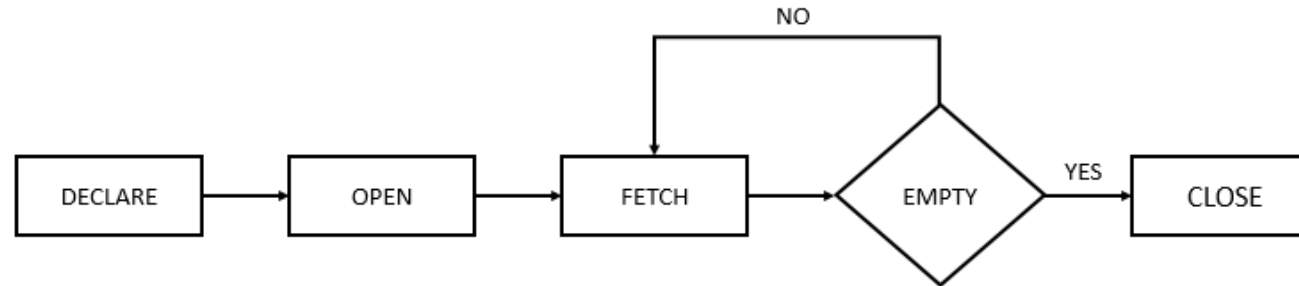
```
OPEN cursor_name;
```

- In this syntax, cursor\_name is the name of the cursor we declare in the declaration section.
- When we open a cursor, Oracle parses the query, binds variables, and executes the associated SQL statement.



# PL/SQL

- Cursors - Explicit cursors



- **Fetch from a cursor:**

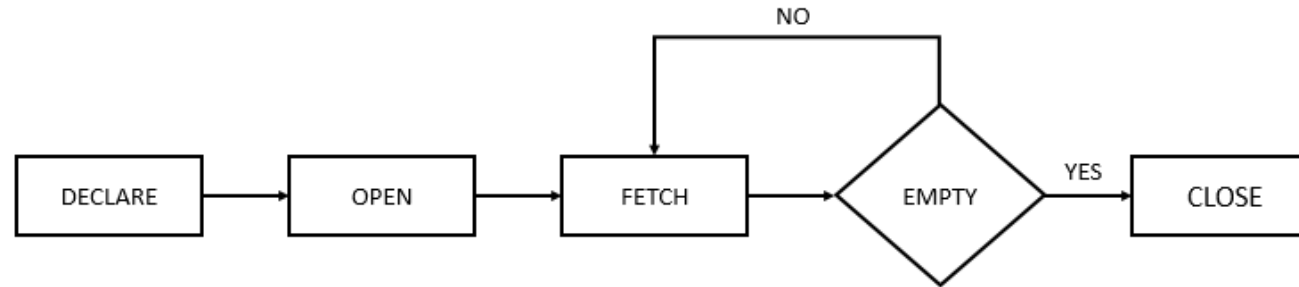
- The FETCH statement places the contents of the current row into variables. The syntax of FETCH statement is as follows:

```
FETCH cursor_name INTO variable_list;
```

- To retrieve all rows in a result set, we must fetch each row until the last one.

# PL/SQL

- Cursors - Explicit cursors



- **Closing a cursor:**

- After fetching all rows, we need to close the cursor with the CLOSE statement:

```
CLOSE cursor_name;
```

- Closing a cursor instructs Oracle to release allocated memory at an appropriate time.

# PL/SQL

- Cursors - Explicit cursors

- **Explicit Cursor Attributes:**

- A cursor has four attributes, which we can reference in the following format:

```
cursor_name%attribute
```

where cursor\_name is the name of the explicit cursor.

- The four cursor attributes are:
      - **%ISOPEN**
      - **%**
      - **%NOT**
      - **%ROWCOUNT**

# PL/SQL

- Cursors - Explicit cursors
  - **Explicit Cursor Attributes:**
    - **%ISOPEN**
      - This attribute is TRUE if the cursor is open or FALSE if it is not.

# PL/SQL

- Cursors - Explicit cursors
  - **Explicit Cursor Attributes:**
    - %
      - This attribute has four values:
        - NULL before the first fetch.
        - TRUE if a record was fetched successfully.
        - FALSE if no row is returned.
        - INVALID\_CURSOR if the cursor is not opened.

# PL/SQL

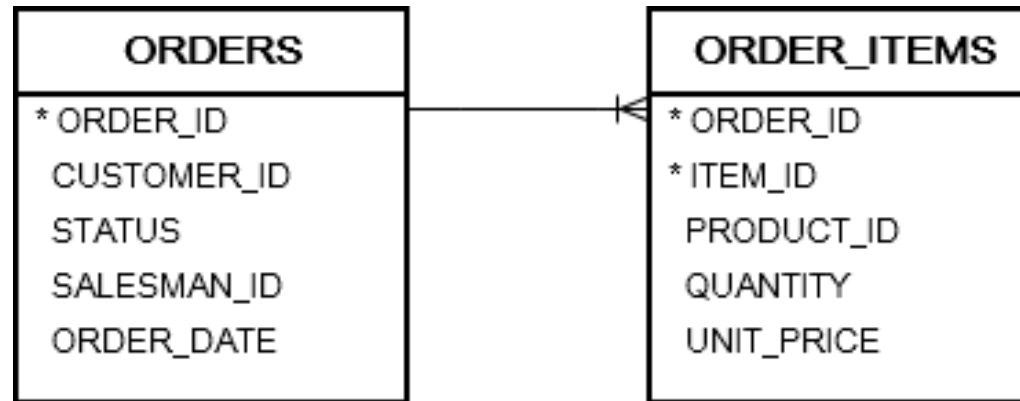
- Cursors - Explicit cursors
  - **Explicit Cursor Attributes:**
    - **%NOT**
      - This attribute has four values:
        - NULL before the first fetch.
        - FALSE if a record was fetched successfully.
        - TRUE if no row is returned.
        - INVALID\_CURSOR if the cursor is not opened.

# PL/SQL

- Cursors - Explicit cursors
  - **Explicit Cursor Attributes:**
    - **%ROWCOUNT**
      - The %ROWCOUNT attribute returns the number of rows fetched from the cursor. If the cursor is not opened, this attribute returns INVALID\_CURSOR.

# PL/SQL

- Cursors - Example
  - Consider two tables 'orders' and 'order\_items'.





# PL/SQL

- Cursors - Example

- The following statement creates a view that returns the sales revenues by customers:

```
CREATE VIEW sales AS
SELECT
    customer_id,
    SUM(unit_price * quantity) total,
    ROUND(SUM(unit_price * quantity) * 0.05) credit
FROM
    order_items
    INNER JOIN orders USING (order_id)
WHERE
    status = 'Shipped'
GROUP BY
    customer_id;
```

- The values of the credit column are 5% of the total sales revenues.
- Suppose we need to develop an anonymous block that:
  - Reset the credit limits of all customers to zero.
  - Fetch customers sorted by sales in descending order and give them new credit limits from a budget of 1 million.

# PL/SQL

- Cursors - Example
  - The right-hand side block illustrates the logic.

```
DECLARE
l_budget NUMBER := 1000000;
-- cursor
CURSOR c_sales IS
SELECT * FROM sales
ORDER BY total DESC;
-- record
r_sales c_sales%ROWTYPE;
BEGIN

-- reset credit limit of all customers
UPDATE customers SET credit_limit = 0;

OPEN c_sales;

LOOP
    FETCH c_sales INTO r_sales;
    EXIT WHEN c_sales%NOTFOUND;

    -- update credit for the current customer
    UPDATE
        customers
    SET
        credit_limit =
            CASE WHEN l_budget > r_sales.credit
                THEN r_sales.credit
                ELSE l_budget
            END
    WHERE
        customer_id = r_sales.customer_id;

    -- reduce the budget for credit limit
    l_budget := l_budget - r_sales.credit;

    DBMS_OUTPUT.PUT_LINE( 'Customer id: ' || r_sales.customer_id ||
        ' Credit: ' || r_sales.credit || ' Remaining Budget: ' || l_budget );

    -- check the budget
    EXIT WHEN l_budget <= 0;
END LOOP;

CLOSE c_sales;
END;
```

# PL/SQL

- Cursors - Example

- In the declaration section, we declare three variables.
  - The first one is l\_budget whose initial value is 1,000,000.
  - The second variable is an explicit cursor variable named c\_sales whose SELECT statement retrieves data from the sales view.
  - The third variable is a cursor-based record named c\_sales.

```
DECLARE
  l_budget NUMBER := 1000000;
  -- cursor
  CURSOR c_sales IS
  SELECT * FROM sales
  ORDER BY total DESC;
  -- record
  r_sales c_sales%ROWTYPE;
BEGIN
```

# PL/SQL

- **Cursors - Example**
  - In the execution section, we perform the following:
  - First, reset the credit limits of all customers to zero using an UPDATE statement.
  - Second, open the c\_sales cursor.
  - Third, fetch each row from the cursor. We updated the credit limit and reduced the budget in each loop iteration. The loop terminates when no row is fetched, or the budget is exhausted.
  - Finally, close the cursor.

```
BEGIN

-- reset credit limit of all customers
UPDATE customers SET credit_limit = 0;

OPEN c_sales;

LOOP
    FETCH c_sales INTO r_sales;
    EXIT WHEN c_sales%NOTFOUND;

    -- update credit for the current customer
    UPDATE
        customers
    SET
        credit_limit =
            CASE WHEN l_budget > r_sales.credit
                THEN r_sales.credit
                ELSE l_budget
            END
    WHERE
        customer_id = r_sales.customer_id;

    -- reduce the budget for credit limit
    l_budget := l_budget - r_sales.credit;

    DBMS_OUTPUT.PUT_LINE( 'Customer id: ' || r_sales.customer_id ||
        ' Credit: ' || r_sales.credit || ' Remaining Budget: ' || l_budget );

    -- check the budget
    EXIT WHEN l_budget <= 0;
END LOOP;

CLOSE c_sales;
END;
```

# PL/SQL

- Cursors - Example
  - The following query retrieves data from the customers table to verify the update:

```
SELECT customer_id,  
       name,  
       credit_limit  
FROM customers  
ORDER BY credit_limit DESC;
```

- Result:

CUSTOMER_ID	NAME	CREDIT_LIMIT
47	General Mills	155419
49	NextEra Energy	122625
1	Raytheon	120304
48	Southern	110282
44	Jabil Circuit	97908
18	Progressive	89511
46	Supervalu	80418
6	Community Health Systems	62224
17	AutoNation	60233
16	Aflac	59579
45	CenturyLink	41497
35	Kimberly-Clark	0
36	Hartford Financial Services Group	0
38	Kraft Heinz	0

# PL/SQL

- Cursors - Example
  - The output indicates that only the first few customers have credit limits. If we sum up all credit limits, the total should be 1 million as shown follows:

```
SELECT
    SUM( credit_limit )
FROM
    customers;
```

```
SUM(CREDIT_LIMIT)
-----
1000000
```

# PL/SQL

- Procedures

- In PL/SQL, a procedure is a named block that performs a specific task. Unlike an anonymous block, Oracle stores the procedure in the database, and we can execute it repeatedly.
- Typically, we create a procedure to encapsulate a reusable code block.
- Here's the syntax for creating a procedure:

```
CREATE [OR REPLACE ] PROCEDURE procedure_name (  
    parameter1 IN datatype,  
    parameter2 OUT datatype,  
    parameter3 IN OUT datatype  
)  
IS  
    -- declare variables  
BEGIN  
    -- execute statements  
EXCEPTION  
    -- handle exeception  
  
END [procedure_name];
```

The OR REPLACE option allows us to update an existing procedure with the new code without dropping it.

# PL/SQL

- Procedures - Header

- A procedure begins with a header that specifies its name and an optional parameter list.
- Each parameter can be in either IN, OUT, or INOUT mode.
- The parameter mode determines if the procedure can access its initial value or modify its value:
  - IN** parameter is read-only. We can reference its value within a procedure, but not modify it. If we don't specify a parameter mode explicitly, PL/SQL automatically uses IN as the default mode.
  - OUT** parameter is writable. It means you can assign a value to an OUT parameter and return it to the program called the procedure. Note that a procedure ignores the value that we provide for an OUT parameter.
  - INOUT** parameter is both readable and writable. This means the procedure can access its initial value and also modify it.

```
CREATE [OR REPLACE ] PROCEDURE procedure_name (  
    parameter1 IN datatype,  
    parameter2 OUT datatype,  
    parameter3 IN OUT datatype  
)  
IS  
    -- declare variables  
BEGIN  
    -- execute statements  
EXCEPTION  
    -- handle exeception  
  
END [procedure_name];
```



# PL/SQL

- Procedures - Body
  - Similar to an anonymous block, the procedure body has three parts:
    - Declaration: This section is where we can declare variables, constants, cursors, and other elements. Unlike an anonymous block, a declaration section of a procedure does not start with the DECLARE keyword.
    - Execution: This section contains the core logic of the procedure, consisting of one or more statements that perform specific tasks. It can even be as simple as a NULL statement.
    - Exception handler: This section contains code for handling and responding to errors.

```
CREATE [OR REPLACE ] PROCEDURE procedure_name (  
    parameter1 IN datatype,  
    parameter2 OUT datatype,  
    parameter3 IN OUT datatype  
)  
IS  
    -- declare variables  
BEGIN  
    -- execute statements  
EXCEPTION  
    -- handle exeception  
  
END [procedure_name];
```

# PL/SQL

- Procedures - Example
  - The following procedure accepts a customer id and displays the customer's contact information, including first name, last name, and email:

```
CREATE OR REPLACE PROCEDURE print_contact(  
    p_customer_id NUMBER  
)  
IS  
    r_contact contacts%ROWTYPE;  
BEGIN  
    -- get contact based on customer id  
    SELECT *  
    INTO r_contact  
    FROM contacts  
    WHERE customer_id = p_customer_id;  
  
    -- print out contact's information  
    dbms_output.put_line( r_contact.first_name || ' ' ||  
        r_contact.last_name || '<' || r_contact.email || '>' );  
  
EXCEPTION  
    WHEN OTHERS THEN  
        dbms_output.put_line( SQLERRM );  
END;
```

# PL/SQL

- Procedures – Executing a procedure

- The following shows the syntax for executing a procedure:

```
EXECUTE procedure_name( arguments);
```

- Or

```
EXEC procedure_name( arguments);
```

- For example, to execute the print\_contact procedure that prints the contact information of customer id 100, we use the following statement:

```
EXEC print_contact(100);
```

- Output:

```
Elisha Lloyd<elisha.lloyd@verizon.com>
```

# PL/SQL

- Procedures – Removing a procedure
  - To delete a procedure, we use the DROP PROCEDURE statement:

```
DROP PROCEDURE procedure_name;
```

- For example, the following statement drops the print\_contact procedure :

```
DROP PROCEDURE print_contact;
```

# PL/SQL

- Functions

- In PL/SQL, a function is a reusable code block that performs a specific task and returns a single value.
- Here's the syntax for creating a function:

```
CREATE [OR REPLACE] FUNCTION function_name (  
    parameter1 datatype  
    parameter2 datatype  
) RETURN return_type  
IS  
    -- declarative section  
BEGIN  
    -- executable section  
  
    RETURN value;  
  
[EXCEPTION]  
    [exception-handling section]  
END;
```

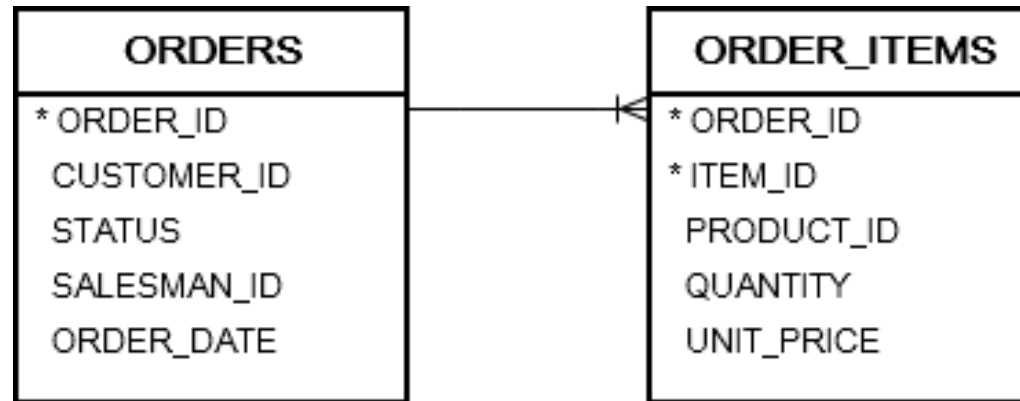
# PL/SQL

- Functions – Header and Body
  - A function consists of a header and body:
  - Function header consists of a function name and a RETURN clause specifying the returned value's datatype. Each parameter of the function can be either in the IN, OUT, or INOUT mode.
  - The function body is the same as the procedure's body, which has three sections: declaration, execution, and exception handler.
    - Declaration section is where we declare variables, constants, cursors, and user-defined types.
    - Execution section is where we place the executable statements. It's between the BEGIN and END keywords. Unlike a procedure, we must have at least one RETURN statement in the execution section.
    - Exception-handling section is where we put the exception handler code.

```
CREATE [OR REPLACE] FUNCTION function_name (  
    parameter1 datatype  
    parameter2 datatype  
) RETURN return_type  
IS  
    -- declarative section  
BEGIN  
    -- executable section  
  
    RETURN value;  
  
[EXCEPTION]  
    [exception-handling section]  
END;
```

# PL/SQL

- Functions – Example
  - We'll use the 'orders' and 'order\_items' tables:



# PL/SQL

- Functions – Example

- The following example creates a function that calculates total sales by year:

```
CREATE OR REPLACE FUNCTION get_total_sales(  
    in_year PLS_INTEGER  
)  
RETURN NUMBER  
IS  
    l_total_sales NUMBER := 0;  
BEGIN  
    -- get total sales  
    SELECT SUM(unit_price * quantity)  
    INTO l_total_sales  
    FROM order_items  
    INNER JOIN orders USING (order_id)  
    WHERE status = 'Shipped'  
    GROUP BY EXTRACT(YEAR FROM order_date)  
    HAVING EXTRACT(YEAR FROM order_date) = in_year;  
  
    -- return the total sales  
    RETURN l_total_sales;  
END;
```



# PL/SQL

- Triggers

- A trigger is a named PL/SQL block stored in the Oracle Database and executed automatically when an event occurs.
- The act of executing a trigger is also known as firing a trigger. We say that the trigger is fired.
- The event can be any of the following:
  - A data manipulation language (DML) statement executed against a table e.g., INSERT, UPDATE, or DELETE. For example, if you define a trigger that fires before an INSERT statement on the customers table, the trigger will fire before a new row is inserted into the customers table.
  - A data definition language (DDL) statement executed e.g., CREATE or ALTER statement. These triggers are often used for auditing purposes to record changes of the schema.
  - A system event such as startup or shutdown of the Oracle Database.
  - A user event such as login or logout.

# PL/SQL

- Triggers - usages
  - Oracle triggers are useful in many cases such as the following:
    - Enforcing complex business rules that go beyond what simple constraints (like UNIQUE, NOT NULL, and CHECK).
    - Preventing invalid transactions.
    - Gathering statistical information on table accesses.
    - Auditing sensitive data.

# PL/SQL

- Triggers - creation
  - To create a new trigger in Oracle, we use the following CREATE TRIGGER statement:

```
Header { CREATE [OR REPLACE] TRIGGER trigger_name
        {BEFORE | AFTER } triggering_event ON table_name
        [FOR EACH ROW]
        [FOLLOWS | PRECEDES another_trigger]
        [ENABLE / DISABLE ]

Body   { DECLARE
        declaration statements
        BEGIN
        executable statements
        EXCEPTION
        exception_handling statements
        END;
```

# PL/SQL

- Triggers - creation
  - To create a new trigger in Oracle, we use the following CREATE TRIGGER statement:

```
CREATE [OR REPLACE] TRIGGER trigger_name
{BEFORE | AFTER } triggering_event ON table_name
[FOR EACH ROW]
[FOLLOWS | PRECEDES another_trigger]
[ENABLE / DISABLE ]

DECLARE
    declaration statements
BEGIN
    executable statements
EXCEPTION
    exception_handling statements
END;
```

e.g., INSERT, UPDATE, DELETE

For each triggering event e.g., INSERT, UPDATE, or DELETE, we can define multiple triggers to fire. In this case, we need to specify the firing sequence using the FOLLOWS or PRECEDES option.

ENABLE and DISABLE states determine whether the trigger is active or inactive, that is, whether it fires automatically when its triggering event occurs.

The clause **FOR EACH ROW** specifies that the trigger is a row-level trigger. A row-level trigger fires once for each row inserted, updated or deleted.

Besides the row-level triggers, we have statement-level triggers. A statement-trigger fires once regardless of the number of rows affected by the triggering event. If you omit the FOR EACH ROW clause, the CREATE TRIGGER statement will create a statement-level trigger.

# PL/SQL

- Triggers - example
  - Suppose we want to record actions against the customers table whenever a customer is updated or deleted.
  - In order to do this, first, let's create a new table for recording the UPDATE and DELETE events:

```
CREATE TABLE audits (  
    audit_id          NUMBER GENERATED BY DEFAULT AS IDENTITY PRIMARY KEY,  
    table_name        VARCHAR2(255),  
    transaction_name  VARCHAR2(10),  
    by_user           VARCHAR2(30),  
    transaction_date  DATE  
);
```

# PL/SQL

- Triggers - example
  - Second, let's create a trigger associated with the customers table:

This clause will fire the trigger after a row in the table customers is updated or deleted.

Inside the trigger, we determine the current action whether it is UPDATE or DELETE and insert a row into the **audits** table.

```
CREATE OR REPLACE TRIGGER customers_audit_trg
  AFTER
  UPDATE OR DELETE
  ON customers
  FOR EACH ROW
DECLARE
  l_transaction VARCHAR2(10);
BEGIN
  -- determine the transaction type
  l_transaction := CASE
    WHEN UPDATING THEN 'UPDATE'
    WHEN DELETING THEN 'DELETE'
  END;

  -- insert a row into the audit table
  INSERT INTO audits (table_name, transaction_name, by_user, transaction_date)
  VALUES('CUSTOMERS', l_transaction, USER, SYSDATE);
END;
/
```

# PL/SQL

- Triggers - example

- To verify whether the trigger fired, we execute the following statement to update the credit limit of customer 10 to 2000.

```
UPDATE
  customers
SET
  credit_limit = 2000
WHERE
  customer_id = 10;
```

- Now, let's check the contents of the table audits to see if the trigger was fired:

```
SELECT * FROM audits;
```

- The resulting output is:

AUDIT_ID	TABLE_NAME	TRANSACTION_NAME	BY_USER	TRANSACTION_DATE
1	CUSTOMERS	UPDATE	OT	10-JAN-18

- The output indicates that the trigger **customers\_audit\_trg** was fired so that we have a new row inserted into the audits table.

# **Oracle Database Administration**

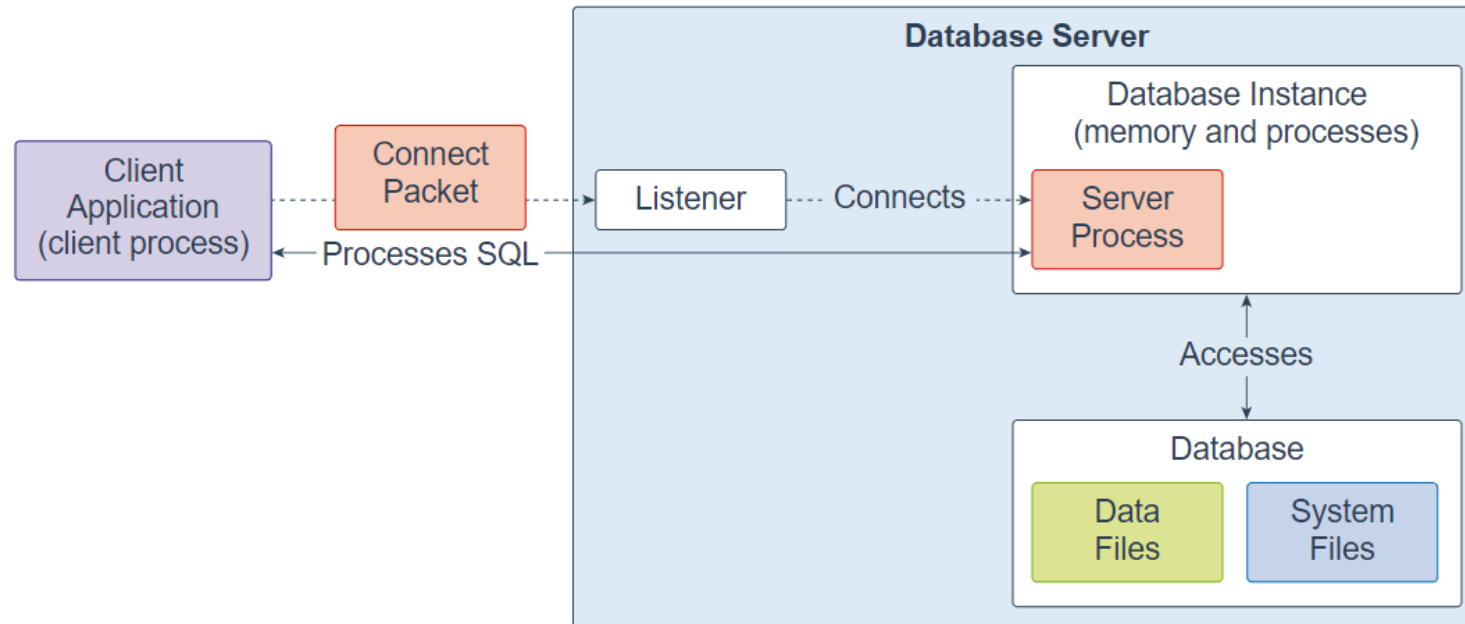
- Oracle Database Architecture
  - Oracle Database is a relational database management system developed and marketed by Oracle Corporation. Oracle Database is commonly referred to as Oracle RDBMS or simply Oracle.



# Oracle Database Administration

- Oracle Database Architecture

- The following picture illustrates the Oracle Database server architecture.

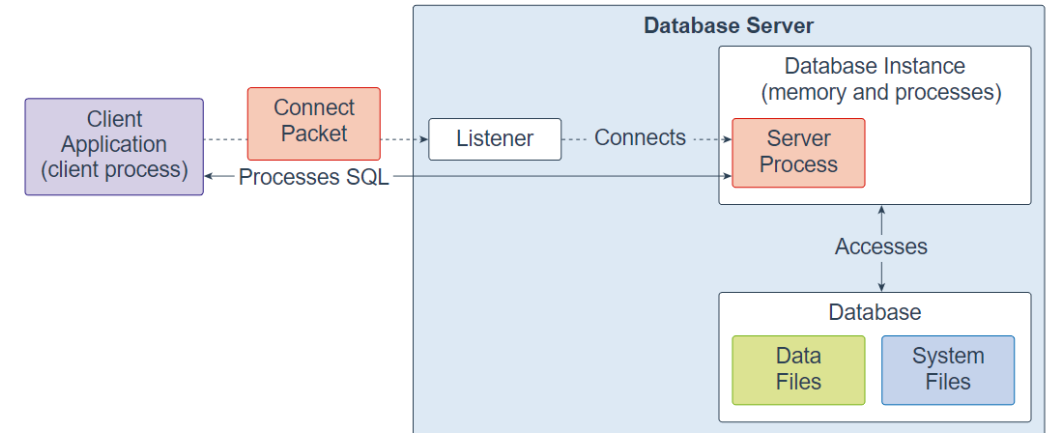


- Client sends a connect packet to the Listener. Listener starts a Server Process that runs on the Database Instance. Server Process executes SQL and accesses Data Files/System Files. Results are sent back to the client.

# Oracle Database Administration

- Oracle Database Architecture

- **Client Application (Client Process):** this is the application or tool that a user interacts with to send SQL queries to the database. Examples: SQL\*Plus, a Java application, or a web app.
- **Connect Packet:** This is a message sent from the client to the database server asking for a connection. It contains information like: User credentials (username/password), Requested database, Network details.
- **Listener:** The Listener is a process running on the database server. Its job is to listen for incoming connection requests from clients. Once it receives a connect packet, it decides which database instance will handle the request and starts a Server Process if needed.
- **Server Process:** After the Listener accepts the connection, a Server Process is assigned to handle that client's requests. This process runs on the Database Server and interacts with the database. It is responsible for: executing SQL statements, retrieving data, sending results back to the client.
- **Database Instance:** it works together with the Server Process to access data efficiently
- **Database (Data Files & System Files):** it's the physical storage on disk.



# Oracle Database Administration

- Oracle Database Architecture - Database and Instance
  - An **Oracle Database** consists of a **database** and at least one **instance**.
  - An **instance**, or database instance, is the combination of memory and processes that are a part of a running installation
  - A **database** is a set of files that store data.

# **Oracle Database Administration**

- Oracle Database Architecture - Database and Instance
  - Database
    - One of the essential tasks of the Oracle Database is to store data. We distinguish between the physical and logical storage structure of an Oracle Database.

# Oracle Database Administration

- Oracle Database Architecture - Database and Instance
  - Database
    - Physical storage structures

The physical storage structures are simply files that store data. When we execute a CREATE DATABASE statement to create a new database, Oracle creates the following files:

- **Data files**: data files contain real data, e.g., sales orders and customer data.
- **Control files**: every database has a control file that contains metadata. The metadata describes the physical structure of the database including the database name and the locations of data files.
- **Online redo log files**: every database has an online redo log that consists of two or more online redo log files. An online redo log is made up of redo entries that record all changes made to the data.
- Besides these files, an Oracle database includes **other important files** such as parameter files, network files, backup files, and archived redo log files for backup and recovery.

# Oracle Database Administration

- Oracle Database Architecture - Database and Instance
  - Database
    - Logical Storage Structures

Oracle Database uses a logical storage structure for fine-grained control of disk space usage. The following are logical storage structures in an Oracle Database:

- **Data blocks:** a data block corresponds to a number of bytes on the disk. Oracle stores data in data blocks.
- **Extents:** An extent is a specific number of data blocks used to store a particular type of information.
- **Segments:** a segment is a set of extents allocated for storing database objects, e.g., a table.
- **Tablespaces:** a database is divided into logical storage units called tablespaces. A tablespace is a logical container for a segment. Each tablespace consists of at least one data file.

# Oracle Database Administration

- Oracle Database Architecture - Database and Instance
  - Database
    - Logical Storage Structures - Analogy

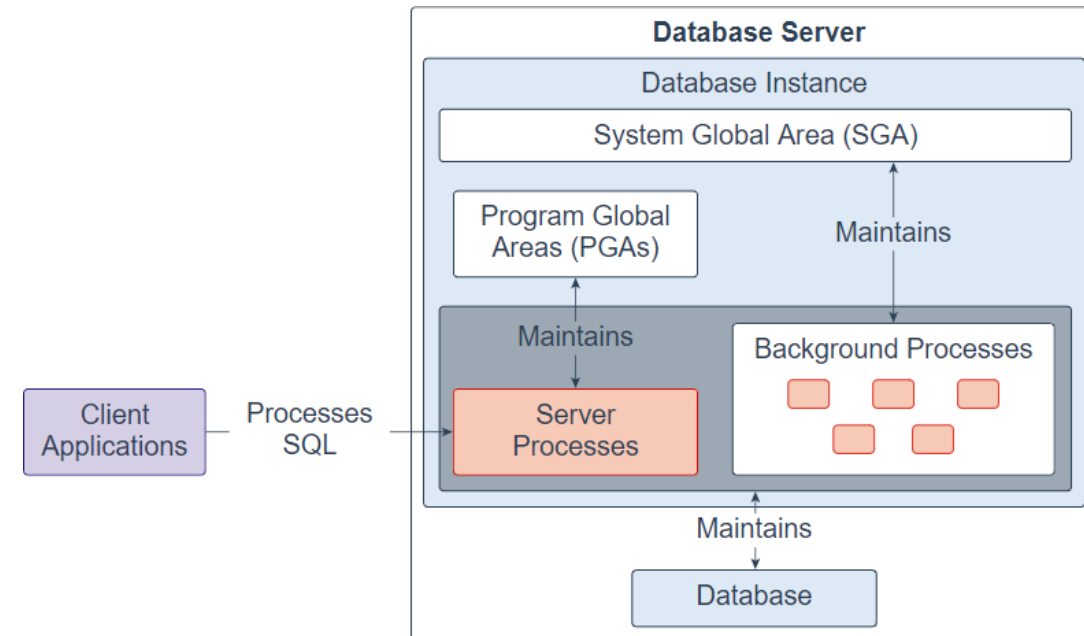
Term	Analogy	What it stores
Data Block	Page	Smallest unit of data
Extent	Chapter	Group of contiguous pages
Segment	Book	Collection of chapters (for a table or index)
Tablespace	Shelf	Container for books (segments)

# Oracle Database Administration

- Oracle Database Architecture - Database and Instance

- Database Instance

- A Database Instance is an interface between client applications (users) and the database. An Oracle instance consists of three main parts: System Global Area (SGA), Program Global Area (PGA), and background processes.
- The **SGA** is a shared memory structure allocated when the instance started up and is released when it is shut down. Analogy: The SGA is like a shared whiteboard in a classroom, everyone can see and use it.
- Different from the SGA, which is available to all processes, **PGA** is a private memory area allocated to each user session when the session starts and released when the session ends. Analogy: The PGA is like your personal notebook, only you can write in it.
- **Background processes** of an Oracle instance are server-side processes that perform essential tasks such as memory management, I/O handling, and recovery, running automatically without direct user interaction. Analogy: Background processes are like janitors, librarians, and security staff in a library, they work automatically to keep everything running smoothly without asking the users to do anything.





# Oracle Database Administration

- Users and Privileges - CREATE USER
  - A **User** is an account that can connect to the database and perform operations. **Privileges** are permissions given to users to perform specific actions in the database.
  - The CREATE USER statement allows us to create a new database user which we can use to log in to the Oracle database.
  - The basic syntax of the CREATE USER statement is as follows:

```
CREATE USER username
  IDENTIFIED BY password
  [DEFAULT TABLESPACE tablespace]
  [QUOTA {size | UNLIMITED} ON tablespace]
  [PROFILE profile]
  [PASSWORD EXPIRE]
  [ACCOUNT {LOCK | UNLOCK}];
```

# Oracle Database Administration

- Users and Privileges

- **CREATE USER username**

Specify the name of the user to be created.

- **IDENTIFIED BY password**

Specify a password for the local user to use to log on to the database. Note that you can create an external or global user, which is not covered in this tutorial.

- **DEFAULT TABLESPACE**

Specify the tablespace of the objects such as tables and views that the user will create.

If you skip this clause, the user's objects will be stored in the database default tablespace if available, typically it is USERS tablespace; or the SYSTEM tablespace in case there is no database default tablespace.

- **QUOTA**

Specify the maximum space in the tablespace that the user can use. You can have multiple QUOTA clauses, each for a tablespace.

Use UNLIMITED if you don't want to restrict the size of the tablespace that the user can use.

```
CREATE USER username
  IDENTIFIED BY password
  [DEFAULT TABLESPACE tablespace]
  [QUOTA {size | UNLIMITED} ON tablespace]
  [PROFILE profile]
  [PASSWORD EXPIRE]
  [ACCOUNT {LOCK | UNLOCK}];
```

- **PROFILE profile**

A user profile limits the database resources or password that the user cannot exceed. You can assign a profile to a newly created user. If you skip this clause, Oracle will assign the DEFAULT profile to the user.

- **PASSWORD EXPIRE**

Use the PASSWORD EXPIRE if you want to force the user to change the password for the first time the user logs in to the database.

- **ACCOUNT {LOCK | UNLOCK}**

Use ACCOUNT LOCK if you want to lock the user and disable access. On the other hand, specify ACCOUNT UNLOCK to unlock user and enable access.

# Oracle Database Administration

- Users and Privileges - GRANT
  - After creating a user, we need to decide which actions the user can do in the Oracle database.
  - By definition, a privilege is a right to execute an SQL statement or a right to access an object of another user.
  - Oracle defines two main types of privileges:
    - System privileges
    - Object privileges

# Oracle Database Administration

- Users and Privileges - GRANT

- System privileges

System privileges determine what a user can do in the database. They mainly allow a user to add or modify schema objects in the database like creating tables, creating views, and removing tablespaces.

The most important system privileges are:

- CREATE SESSION
    - CREATE TABLE
    - CREATE VIEW
    - CREATE PROCEDURE

- Object privileges

Object privileges decide how a user can access the data in the database. The object privileges apply to rows in tables or views.

The most common object privileges are:

- INSERT
    - UPDATE
    - DELETE
    - INDEX
    - EXECUTE

# Oracle Database Administration

- Users and Privileges - GRANT

- The GRANT statement assigns one or more privileges to a specific user. The following illustrates the basic syntax of the GRANT statement:

```
GRANT {system_privileges | object_privileges }  
TO user  
[WITH ADMIN OPTION]
```

In this syntax:

- First, we specify the system or object privileges that we want to assign to a user after the GRANT keyword. If we assign more than one privilege, we use a comma-separated list of privileges.
- Second, we specify the user that receives the privileges after the TO keyword.
- Third, optionally we use the WITH ADMIN OPTION if we want the user to be able to perform the following:
  - Grant / revoke the privilege to/from another user.
  - Alter the privilege to change the authorization needed to access it.
  - Drop the privilege.

# Oracle Database Administration

- Users and Privileges - GRANT ALL PRIVILEGES

- First, we create a new user called super with a password by using the following CREATE USER statement:

```
CREATE USER super IDENTIFIED BY oracle;
```

- The super user created. Note that we should use a secure password instead of oracle.
  - Second, we use the GRANT ALL PRIVILEGES statement to grant all privileges to the super user:

```
GRANT ALL PRIVILEGES TO super;
```

- To grant all privileges to an existing user, we just need to use the GRANT ALL PRIVILEGES statement. For example, the following statement grants all privileges to the user alice:

```
GRANT ALL PRIVILEGES to alice;
```

# Oracle Database Administration

- Users and Privileges - REVOKE

- The Oracle REVOKE statement revokes system and object privileges from a user. Here is the basic syntax of the Oracle REVOKE statement:

```
REVOKE {system_privilege | object_privilege } FROM user;
```

In this syntax:

- First, we specify the system or object privileges that we want to revoke from the user.
- Second, we specify the user from which we want to revoke the privileges.
- To revoke a system privilege from a user, we must have been granted the system privilege with the ADMIN OPTION.
- To revoke an object privilege from a user, we must have previously granted the object privilege to the user or we must have the GRANT ANY OBJECT PRIVILEGE system privilege.

# Oracle Database Administration

- Users and Privileges - REVOKE
  - We can use the REVOKE statement to revoke only privileges that were granted directly with a GRANT statement. In other words, we cannot use the REVOKE statement to revoke privileges that were granted through the operating system or roles.
  - To revoke all system privileges from a user, we can use the following statement:

```
REVOKE ALL PRIVILEGES FROM user;
```



# Oracle Database Administration

- Roles - CREATE ROLE

- A role is a group of privileges. Instead of granting individual privileges to users, we can group related privileges into a role and grant this role to users.
- Roles help manage privileges more efficiently.
- To create a new role, we use the CREATE ROLE statement. The basic syntax of the CREATE ROLE statement is as follows:

```
CREATE ROLE role_name  
[IDENTIFIED BY password]  
[NOT IDENTIFIED]
```

In this syntax:

- First, we specify the name of the role that we want to create.
- Second, we use IDENTIFIED BY password option to create a role and indicate that the user, who was granted the role, must provide the password to the database when enabling the role.
- Third, we use NOT IDENTIFIED to indicate that the role is authorized by the database and that the user, who was granted this role, doesn't need a password to enable the role.

# Oracle Database Administration

- Roles - CREATE ROLE

- After a role is created, it is empty. To grant privileges to a role, we use the GRANT statement:

```
GRANT {system_privileges | object_privileges} TO role_name;
```

- In addition, we can use the GRANT statement to grant privileges of a role to another role:

```
GRANT role_name TO another_role_name;
```

# Oracle Database Administration

- Roles - SET ROLE

- The SET ROLE statement allows us to enable and disable roles for our current session.
- Here is the basic syntax of the SET ROLE statement:

```
SET ROLE role;
```

- In this syntax, we just need to specify the role that was previously granted to our account. If the role requires a password, we use the following syntax:

```
SET ROLE role IDENTIFIED BY password;
```

- It is possible to enable multiple roles at once like the following statement:

```
SET ROLE role1, role2, ...;
```

- Note that we cannot enable more than 148 user-defined roles at one time.

# Oracle Database Administration

- Roles - SET ROLE

- To enable all roles previously granted to our account, we use the following syntax:

```
SET ROLE ALL;
```

- Note the SET ROLE ALL statement will not enable the roles with passwords, which have been granted directly to us.
  - If we want to enable all roles except for a role, we use this syntax:

```
SET ROLE ALL EXCEPT except_role;
```

- The except\_role role must be previously granted directly to us. It cannot be granted indirectly to us through other roles.
  - To disable all roles including the DEFAULT role, we use the following statement:

```
SET ROLE NONE;
```

- The session\_roles data dictionary view provides the currently enabled roles in our current session:

```
SELECT * FROM session_roles;
```

# Oracle Database Administration

- User Profiles - CREATE PROFILE

- A user profile is a set of limits on the database resources and the user password. Once we assign a profile to a user, then that user cannot exceed the database resource and password limits.
- The CREATE PROFILE statement allows us to create a new user profile.
- The following illustrates the basic syntax of the CREATE PROFILE statement:

```
CREATE PROFILE profile_name  
LIMIT { resource_parameters | password_parameters};
```

In this syntax:

- First, we specify the name of the profile that we want to create.
- Second, we specify the LIMIT on either database resources or password.

# Oracle Database Administration

- User Profiles - CREATE PROFILE

- resource\_parameters

- `SESSIONS_PER_USER` – specify the number of concurrent sessions that a user can have when connecting to the Oracle database.
- `CPU_PER_SESSION` – specify the CPU time limit for a user session, represented in hundredth of seconds.
- `CPU_PER_CALL` – specify the CPU time limit for a call such as a parse, execute, or fetch, expressed in hundredths of seconds.
- `CONNECT_TIME` – specify the total elapsed time limit for a user session, expressed in minutes.
- `IDLE_TIME` – specify the number of minutes allowed for periods of continuous inactive time during a user session. Note that the long-running queries and other operations will not be subject to this limit.
- `LOGICAL_READS_PER_SESSION` – specify the allowed number of data blocks read in a user session, including blocks read from both memory and disk.
- `LOGICAL_READS_PER_CALL` – specify the allowed number of data blocks read for a call to process a SQL statement.
- `PRIVATE_SGA` – specify the amount of private memory space that a session can allocate in the shared pool of the system's global area (SGA).
- `COMPOSITE_LIMIT` – specify the total resource cost for a session, expressed in service units. The total service units are calculated as a weighted sum of `CPU_PER_SESSION`, `CONNECT_TIME`, `LOGICAL_READS_PER_SESSION`, and `PRIVATE_SGA`.

- password\_parameters

- `FAILED_LOGIN_ATTEMPTS` – Specify the number of consecutive failed login attempts before the user is locked. The default is 10 times.
- `PASSWORD_LIFE_TIME` – specify the number of days that a user can use the same password for authentication. The default value is 180 days.
- `PASSWORD_REUSE_TIME` – specify the number of days before a user can reuse a password.
- `PASSWORD_REUSE_MAX` – specify the number of password changes required before the current password can be reused. Note that you must set values for both `PASSWORD_REUSE_TIME` and `PASSWORD_REUSE_MAX` parameters make these parameters take effect.
- `PASSWORD_LOCK_TIME` – specify the number of days that Oracle will lock an account after a specified number of consecutive failed logins. The default is 1 day if you omit this clause.
- `PASSWORD_GRACE_TIME` – specify the number of days after the grace period starts during which a warning is issued and login is allowed. The default is 7 days when you omit this clause.