



HÁSKÓLINN Í REYKJAVÍK  
REYKJAVIK UNIVERSITY



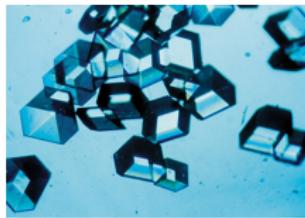
# The $k$ -centre problem for necklaces

Duncan Adamson  
September 2022

# Why Crystals?

- New materials are needed to deal with the challenges of the 21st century, from strong materials for manufacturing to better conductors for electrical systems.
- Crystals are a fundamental, and very common form of matter.
- Importantly, Crystals are **periodic** - meaning that a lot of the properties of a crystalline material can be determined from a relatively small amount of information.

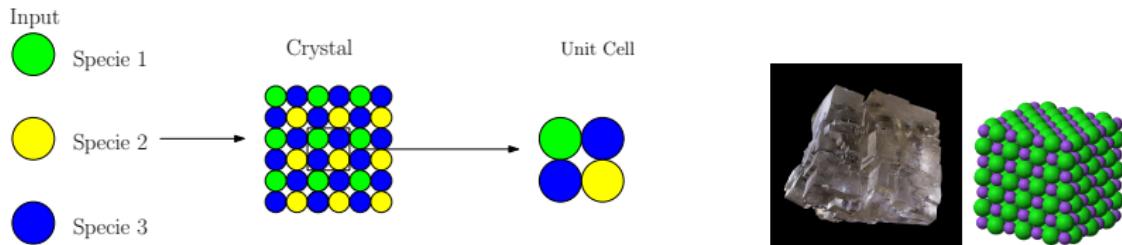
# Crystals are everywhere



# Crystals

## Definition (Crystals)

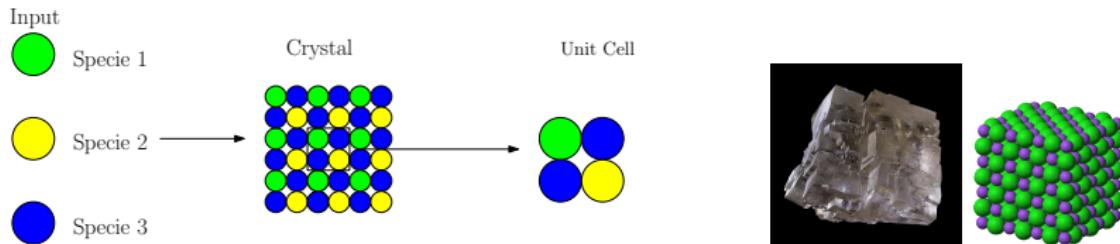
A **Crystal** is a material composed of an (infinitely) repeating **Unit Cell**.



# Crystals

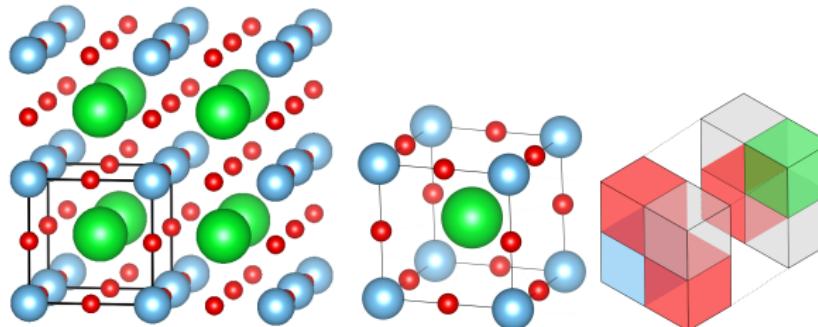
## Definition (Unit Cells)

A **Unit Cell** is a contiguous region of space containing some set of **Ions**.



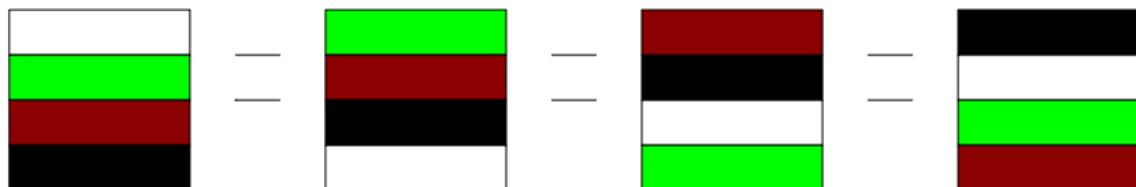
# Discrete Crystals

- In this talk we are interested in **Discrete Crystals**, i.e. crystals where every ion is placed on a grid.
- In this model, every cell is either empty, or wholly occupied by an ion (or block of ions).
- For simplicity we assume that each cell can contain only 1 ion, and that each ion can fit into a single cell.



# 1D Crystals

- In this talk we are going to focus on **1D-Crystals**<sup>1</sup>.
- These can be thought of as crystals made from precomputed 3D-blocks, with a high degree of symmetry along two dimensions.
- The main challenge in **uniquely** representing these structures is capturing **translational symmetry**.



---

<sup>1</sup>C. Collins et al. "Accelerated discovery of two crystal structure types in a complex inorganic phase field". In: *Nature* 546.7657 (2017), p. 280.

# Goal

Select a **representative** set of crystal structures from the set of all possible structures of a given size over a given alphabet of “blocks”.

# Problems

## Question

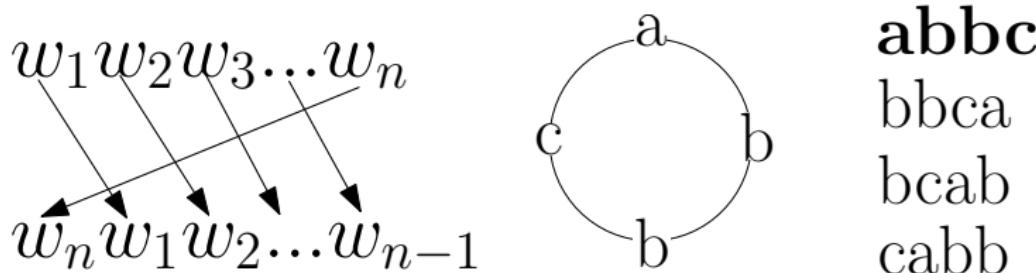
*How can we represent crystals uniquely?*

## Question

*How can we choose a **representative sample** from this representation?*

# Necklaces

- In 1D the problem of representation is solved using **Necklaces**.
- Informally a necklace is a set of words that can be reached from each other by some **translation**.
- The **translation** (or cyclic shift) of a word  $w$  by some integer  $i$  returns the word  $w'$  where  $w'_j = w_{j-i} \bmod n$ .



# Some Notation for 1D Necklaces

For the remainder of this talk we use the following assumptions:

- $\Sigma$  denotes an alphabet, which we assume has size  $q$ .
- The **Canonical form** of a necklace  $\omega$  (denoted  $\langle \omega \rangle$ ) is the **Lexicographically smallest** word  $w \in \omega$ .
- $\mathcal{N}_q^n$  denotes the set of necklaces of length  $n$  over an alphabet of size  $q$ , corresponding to the set of all crystal structures of length  $n$  over a library of  $q$  blocks.

# Representative Samples

- To get a set of **representative crystals**, we want to choose a set of crystals that contain as many **local structures** as possible, in order understand the global energy space.
- As energy interaction is strongest at close range, by analysing local structures we can get a good idea about the full energy space.

## Question

*How do formalise this as a mathematical problem?*

# Finding Representative Samples

- In order to find a set of representative samples, we turn to the ***k*-centre problem**.
- Informally, the *k*-centre problem asks us to select a set  $k$  vertices from a graph  $G = (V, E)$  minimising the function:

$$\min_{S \subseteq_k V} \max_{v \in V} \min_{s \in S} D(s, v)$$

- Where  $S$  is a set of  $k$  vertices from  $V$ , and  $D(s, v)$  is the distance between some pair of vertices in  $G$ .

## Question

*How can we measure the distance between necklaces?*

# The Overlap Distance for Necklaces

- Following our motivation of comparing **crystal structures**, we need to define a distance that represents structures with similar properties.
- As much of the energy in crystalline structures is due to **local** interactions, we use the number of **shared subwords** as a basis for measuring the similarity.

## Definition

The **overlap distance** between two necklaces  $\tilde{w}$  and  $\tilde{u}$  is defined as the number of shared subwords between  $\tilde{w}$  and  $\tilde{u}$ , normalised by the total number of subwords.

# The Overlap Distance for Necklaces

|   | word <i>abab</i>               | word <i>aabb</i>  | Intersection |
|---|--------------------------------|---|--------------|
| 1 | $a \times 2, b \times 2$       | $a \times 2, b \times 2$  |              |
| 2 | $ab \times 2, ba \times 2$     | $aa \times 1 ab \times 1,$<br>$bb \times 1, ba \times 1$          |              |
| 3 | $aba \times 2, bab \times 2$   | $aab \times 1, abb \times 1,$<br>$bba \times 1, baa \times 1$     |              |
| 4 | $abab \times 2, baba \times 2$ | $aabb \times 1, abba \times 1,$<br>$bbaa \times 1, baab \times 1$ |              |
| ? |                                |   | ?/16         |

# The Overlap Distance for Necklaces

|                | $abab$                                       | $aabb$   | Intersection |
|----------------|--|--|--------------|
| 1              | $\mathbf{a} \times 2, \mathbf{b} \times 2$   | $\mathbf{a} \times 2, \mathbf{b} \times 2$                                 | 4            |
| 2              | $\mathbf{ab} \times 2, \mathbf{ba} \times 2$ | $aa \times 1 \mathbf{ab} \times 1,$<br>$bb \times 1, \mathbf{ba} \times 1$ | 2            |
| 3              | $aba \times 2, bab \times 2$                 | $aab \times 1, abb \times 1,$<br>$bba \times 1, baa \times 1$              | 0            |
| 4              | $abab \times 2, baba \times 2$               | $aabb \times 1, abba \times 1,$<br>$bbaa \times 1, baab \times 1$          | 0            |
| $\mathfrak{D}$ |  |  | 6/16         |

# Challenges

- There are  $O(q^n)$  necklaces in  $\mathcal{N}_q^n$ , so explicitly representing the graph is not feasible even for moderate values of  $n$ .
- Trying to determine the properties of a necklace as a crystal structure is computationally expensive.
- The graph is highly structured, with some vertices being much better than others.

# Our Approach

- Our goal is to select a set of  $k$ -centres **maximising** the number of **distinct subwords** that appear in any centre.
- We do this by finding the longest length  $\lambda$  for which every word in  $\Sigma^\lambda$  can appear at least once in the set of centres.

## Observation

Let  $S \subseteq_k \mathcal{N}_q^n$  be a set of  $k$  necklaces such that every word in  $\Sigma^\lambda$  appears as a subword in at least one necklace in  $S$ . Then, every necklace in  $\mathcal{N}_q^n$  is at most  $\frac{\lambda^2}{n}$  from the nearest centre in  $S$ .

# de-Bruijn Sequences

## Definition

The **de-Bruijn Graph** of order  $m$  over a  $k$ -ary alphabet  $\Sigma$  is a directed graph of  $k^m$  vertices where each vertex corresponds uniquely to some word in  $\Sigma^m$ . There exists an edge from  $v$  to  $u$  if and only if  $v : u_m = v_1 : u$ .

## Definition

A **de-Bruijn Sequence** of order  $m$  over a  $k$ -ary alphabet  $\Sigma$  is a cyclic word  $w$  of length  $k^m$  such that every word in  $\Sigma^m$  appears exactly once in  $w$ . In other words,  $w$  corresponds to a Hamiltonian circuit on the de-Bruijn graph.

# Example of the de-Bruijn graph and sequence

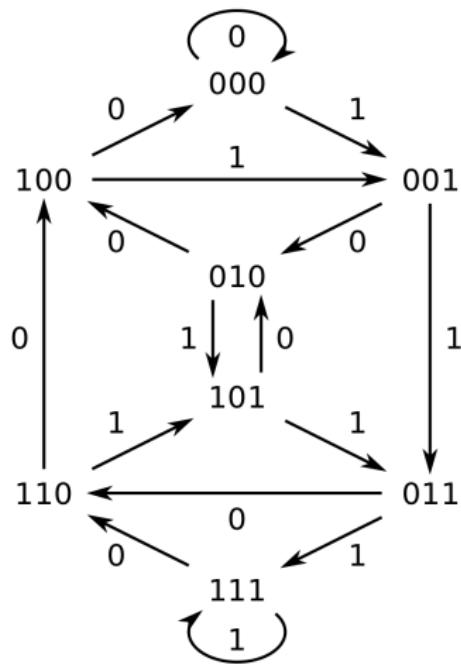


Figure 1: 00010111

# de-Bruijn Sequences

- **De-Bruijn Sequences** are a classic combinatorial object.
- There are strong results for generating<sup>2</sup> and decomposing<sup>3</sup>.

---

<sup>2</sup>Fred S. Annexstein. “Generating de Bruijn sequences: An efficient implementation”. In: *IEEE Transactions on Computers* 46.2 (1997), pp. 198–200.

<sup>3</sup>T. Kociumaka, J. Radoszewski, and W. Rytter. “Computing  $k$ -th Lyndon word and decoding lexicographically minimal de Bruijn sequence”. In: *Symposium on Combinatorial Pattern Matching*. Springer International Publishing, 2014, pp. 202–211.

# de-Bruijn Sequences

- **De-Bruijn Sequences** are a classic combinatorial object.
- There are strong results for generating<sup>2</sup> and decomposing<sup>3</sup>.
- **Idea.** We can use de-Bruijn sequences as a basis to compute a set of centres.

---

<sup>2</sup>Fred S. Annexstein. “Generating de Bruijn sequences: An efficient implementation”. In: *IEEE Transactions on Computers* 46.2 (1997), pp. 198–200.

<sup>3</sup>T. Kociumaka, J. Radoszewski, and W. Rytter. “Computing  $k$ -th Lyndon word and decoding lexicographically minimal de Bruijn sequence”. In: *Symposium on Combinatorial Pattern Matching*. Springer International Publishing, 2014, pp. 202–211.

# One Centre

- When  $k = 1$ , centre can be computed by finding the largest value of  $\lambda$  for which  $q^\lambda \leq n$ , giving  $\lambda = \lfloor \log_q n \rfloor$ .
- This ensures that every word of length  $\lambda$  appears at least once in the centre.

## Claim

*When  $k = 1$ , this process returns the optimal centre.*

# Multiple Centres

- When  $k > 1$ , a slightly more sophisticated approach is needed.
- The main challenge is determining how to **partition** the de-Brujin sequence.

# Multiple Centres

- When  $k > 1$ , a slightly more sophisticated approach is needed.
- The main challenge is determining how to **partition** the de-Brujin sequence.
- **Idea.** we need to build some redundancy to the centres.

# Multiple Centres

|           |                                  |
|-----------|----------------------------------|
| Sequence: | 00000100011001010011101011011111 |
| Centre    | Word                             |
| 1         | 000001000110                     |
| 2         | 011001010011                     |
| 3         | 001110101101                     |
| 4         | 110111110000                     |

**Figure 2:** Example of how to split the de Bruijn sequence of order 5 between 4 centres. Highlighted parts are the shared subwords between two centres.

# Multiple Centres

## Claim

The  $k$ -centre problem for  $\mathcal{N}_q^n$  can be approximated in  $O(n \cdot k)$  time with an approximation factor of  $1 + \frac{\log_q(k \cdot n)}{n - \log_q(k \cdot n)} - \frac{\log_q^2(k \cdot n)}{2n(n - \log_q(k \cdot n))}$ .

# Online Centre Selection

- In practice, it is useful to have a tool that allows us to add more centres after the initial set have been analysed.
- To solve this we turn to the **online  $k$ -centre selection** problem.

# Online Centre Selection

- In practice, it is useful to have a tool that allows us to add more centres after the initial set have been analysed.
- To solve this we turn to the **online  $k$ -centre selection** problem.
- **Assumptions:**
  - Every centre that has already been chosen is **fixed**.
  - We do not know at the start how many centres are needed.

# Solving the Online Centre Selection

- The first centre corresponds to the de-Brujin sequence of length  $\lfloor \log_q n \rfloor$ .
- The next  $q$  centres correspond to the de-Brujin sequence of length  $\lfloor \log_q n \rfloor + 1$ .
- The  $q^j + i^{th}$  centre corresponds to the  $i^{th}$  “centre” needed to cover the de-Brujin sequence of order  $j + 1$ .
- This results in an algorithm that is at most a factor of 2 worse than offline version.

# Covering the de-Brujin Graph

## Question

*Given an integer  $l > m$ , what is the smallest number  $j$  of length  $l$  cycles needed to cover the  $k$ -ary de-Brujin graph of order  $m$ ?*

## Partial Results

- Some experimental evaluation suggests that, in general, we need  $O(\frac{k^m}{l})$  cycles. And normally we only need  $\frac{k^m}{l} + 1$ .

# de Bruijn (Hyper) Torus

- The same ideas from the 1D setting can be applied to the multidimensional setting, however doing so requires the ability to generate **de Bruijn tori** (the multidimensional analogue of the de Bruijn sequence).
- At present, we can approximate the de-Bruijn torus for any dimension, however this comes at the cost of slight less precision.
- **High Level Idea.** We treat each word of size  $n_1 \times n_2 \times \cdots \times n_d$  as  $n_2 \cdot n_3 \cdots \cdots n_d$  1D-words of size  $n_1$ .