EARLY METHODS FOR DETECTING ADVERSARIAL IMAGES

Dan Hendrycks*
University of Chicago
dan@ttic.edu

Kevin GimpelToyota Technological Institute at Chicago kqimpel@ttic.edu

ABSTRACT

Many machine learning classifiers are vulnerable to adversarial perturbations. An adversarial perturbation modifies an input to change a classifier's prediction without causing the input to seem substantially different to human perception. We make progress on this problem by presenting three methods to detect adversarial images. Adversaries trying to bypass our detectors must make the adversarial image less pathological or they will fail trying. Our best detection method reveals that adversarial images place abnormal emphasis on the lower-ranked principal components from PCA. At the end we introduce a new, colorful saliency map to increase the transparency of convolutional neural network classification decisions.

1 Introduction

Images can undergo slight yet pathological modifications causing machine learning systems to misclassify, all while humans barely can notice these perturbations. These types of manipulated images are adversarial images (Goodfellow et al., 2015), and their existence demonstrates frailties in machine learning classifiers and a disconnect between human and computer vision.

This unexpected divide can allow attackers complete leverage over some deep learning systems. For example, adversarial images could cause a deep learning classifier to mistake handwritten digits, thereby fooling the classifier to misread the amount on a check (Papernot et al., 2016b; Kurakin et al., 2016). Other fooling data could evade malware detectors or spam filters that use a deep learning backend (Grosse et al., 2016). Worse, generating adversarial images requires no exact knowledge of the deep learning system in use, allowing attackers to achieve consistent control over various classification systems (Szegedy et al., 2014; Papernot et al., 2016a).

A consistently misclassified adversarial image is easy to generate. Say we want an image of a civilian x_{civilian} to be misclassified as a soldier. We use \mathcal{X} to denote the set of possible images and \mathcal{Y} to denote the set of possible classes. Then given a neural network model \mathcal{M} and a loss function $L_{\mathcal{M}}: \mathcal{X} \times \mathcal{Y} \to \mathbb{R}$, we can generate an image $x_{\text{adversarial}}$ by minimizing $L_{\mathcal{M}}(x_{\text{adversarial}}, y_{\text{soldier}}) + \lambda \|x_{\text{civilian}} - x_{\text{adversarial}}\|_2^2$ using gradient descent to modify the image. We can stop performing gradient descent when $P(y_{\text{soldier}}|x_{\text{adversarial}}, \mathcal{M})$ exceeds a specified threshold (Goodfellow et al., 2015). The result of this procedure is an adversarial image which can be near indistinguishable to the clean image, yet it can reliably deceive other deep learning models.

In this paper, we make progress on detecting adversarial images, and we contribute a new saliency map to make network classification decisions more understandable. We start by presenting our first detection method which reveals that adversarial images place abnormally strong emphasis on principal components which account for little variance in the data. Our second methods uses the observation from Hendrycks & Gimpel (2017), which states that correctly classified and many out-of-distribution examples tend to have different softmax distributions, but now we apply this to adversarial images. For our third detection method, we show that adversarial image reconstructions can be worse than clean image reconstructions if the decoder uses classification information. Attempts by an adversary to bypass our detector either fails or compels the adversary to make larger modifications to a clean image. At the end we introduce a new saliency map (Zeiler & Fergus, 2014;

^{*}Work done while the author was at TTIC. Code available at github.com/hendrycks/fooling An extended abstract of this paper appeared in the ICLR 2017 WORKSHOP TRACK.

Springenberg et al., 2015) which aims to increase the interpretability of convolutional neural network classification decisions since model transparency is another AI Safety (Amodei et al., 2016) subproblem.

2 DETECTING ADVERSARIAL IMAGES

2.1 DETECTOR EVALUATION

To justify our three methods for detecting adversarial images, we need to establish our detector evaluation metrics. For detection we have two classes, and the detector outputs a score for both the positive (adversarial) and negative (clean) class. In order to evaluate our detectors, we do not report detection accuracy since accuracy is threshold-dependent, and a chosen threshold should vary depending on a practitioner's trade-off between false negatives (fn) and false positives (fp).

Faced with this issue, we employ the Area Under the Receiver Operating Characteristic curve (AUROC) metric, which is a threshold-independent performance evaluation (Davis & Goadrich, 2006). The ROC curve is a graph showing the true positive rate (tpr = tp/(tp + fn)) and the false positive rate (fpr = fp/(fp + tn)) against each other. Moreover, the AUROC can be interpreted as the probability that an adversarial example has a greater detector score/value than a clean example (Fawcett, 2005). Consequently, a random positive example detector corresponds to a 50% AUROC, and a "perfect" classifier corresponds to 100%.

The AUROC sidesteps the issue of selecting a threshold, as does the Area Under the Precision-Recall curve (AUPR) which is sometimes deemed more informative (Manning & Schütze, 1999). One reason is that the AUROC is not ideal when the positive class and negative class have greatly differing base rates, which can happen in practice. The PR curve plots the precision (tp/(tp+fp)) and recall (tp/(tp+fn)) against each other. The baseline detector has an AUPR equal to the precision (Saito & Rehmsmeier, 2015), and a "perfect" classifier has an AUPR of 100%. To show how a classifier behaves with respect to precision and recall, we also show the AUPR.

2.2 PCA WHITENING ADVERSARIAL IMAGES

We now show the first of three adversarial image detection methods. For the first detector, we need to PCA whiten or "sphere" an input. To do this, we must center the training data about zero, compute the covariance matrix C of the centered data, and find the SVD of C which is $C = U\Sigma V^{\mathsf{T}}$. We can perform PCA whitening by taking an input example x and computing $\Sigma^{-1/2}U^{\mathsf{T}}x$, giving us the PCA whitened input. This whitened vector has as its first entry a coefficient for an eigenvector/principal component of C with the largest eigenvalue. Later entries are coefficients for eigenvectors with smaller eigenvalues. Adversarial images have different coefficients for low-ranked principal components than do clean images.

Adversarial image coefficients for later principal components have consistently greater variance as shown in Figure 2. In fact, we use co-

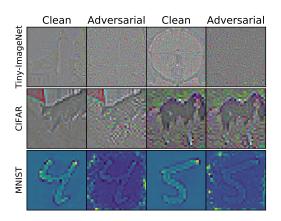


Figure 1: ZCA whitened $(U\Sigma^{-1/2}U^{\mathsf{T}}x)$ adversarial images are often visibly distinct from whitened clean images.

efficient variance as our sole feature for detecting adversarial images. For this experiment, we try using variance to detect whether Tiny-ImageNet, CIFAR-10, and MNIST images had adversarial perturbations applied. To reduce the chance of bugs in this experiment, we used a pretrained Tiny-ImageNet classifier and a popular, pre-existing adversarial image generator from github (Voss, 2015) in addition to our own implementation of an adversarial image generator for CIFAR-10 and MNIST.

¹A debatable, imprecise interpretation of AUROC values may be as follows: 90%—100%: Excellent, 80%—90%: Good, 70%—80%: Fair, 60%—70%: Poor, 50%—60%: Fail.

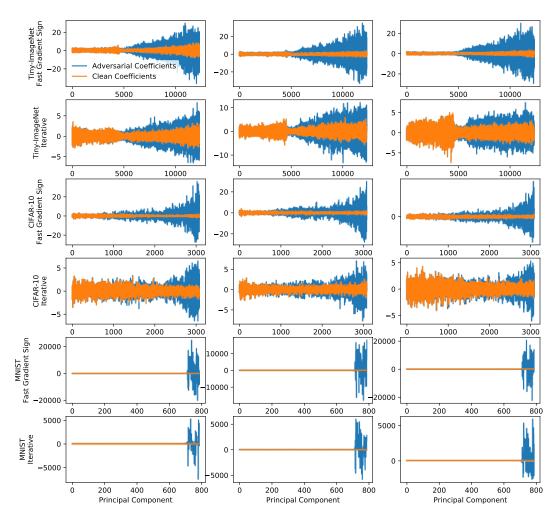


Figure 2: Adversarial images abnormally emphasize coefficients for low-ranked principal components. Each plot corresponds to coefficients for a randomly chosen clean and adversarial image pair. For concreteness, the 100th "Principal Component" Coefficient is the 100th entry of $\Sigma^{-1/2}U^{\mathsf{T}}x$ for an input image x. Examples are randomly chosen.

In the case of Tiny-ImageNet test set images, each pixel is in the range [0,255] before we subtract the mean. For these images, we create fast gradient sign (step size is 10) and iteratively generated (step size is 1) adversarial images. For CIFAR-10 and MNIST test set images, the fast gradient sign adversarial images have a step size of 10/255 and the iteratively generated images use a step size of 1/255, all while having an ℓ_2 regularization strength of $\lambda=10^{-3}$. These step sizes are small since the data domain has width 1 instead of 255. All adversarial examples are clipped after each descent step so as to ensure that adversarial perturbations are within normal image bounds. Finally, we keep the adversarial image only if the softmax prediction probability is above 50% for its randomly chosen target class. Now, we compute detector values by computing the variance of the coefficients for clean and adversarial images. These variance values are from a subsection of each whitened input. Specifically, we select the 10000th to final entry of a PCA whitened Tiny-ImageNet input and compute one variance value from this vector subsection. For each CIFAR-10 whitened image, we compute the variance of entries starting at the 2500th entry. For MNIST, we compute the variance of the entries starting at the 700th position. The variances are frequently larger for adversarial images generated with fast gradient sign and iterative methods, as demonstrated in Table 1.

We can also try making adversarial images have typical variances, but this seems to fail. In the case of fast gradient sign adversarial images, each image is generated after one large step, the image's coefficient variance explodes with this one large modification. To see this consider the

| Dataset | Fast Gradient | Fast Gradient | Iterative | Iterative |
|---------------|---------------|---------------|-----------|-----------|
| | Sign AUROC | Sign AUPR | AUROC | AUPR |
| Tiny-ImageNet | 100.0 | 100.0 | 92.4 | 93.5 |
| CIFAR-10 | 100.0 | 100.0 | 92.8 | 91.2 |
| MNIST | 100.0 | 100.0 | 100.0 | 100.0 |

Table 1: Variance of entries for whitened adversarial images and whitened clean images differ enough to allow reliable detection. Baseline values are 50%, and "perfect" detectors correspond to 100%. All entries are percentages.

aforementioned coefficient subsections for whitened Tiny-ImageNet, CIFAR-10, and MNIST examples. All fast gradient sign adversarial images have their coefficient variance beyond ten standard deviations from the mean coefficient variance for clean images. Remarkably in the case MNIST, 100% of fast gradient sign images have their coefficient's variance beyond 10 billion standard deviations from the mean coefficient variance of clean images. Similarly, iteratively generated adversarial images fail to obtain typical variances. For MNIST, we repeat the iterative generation procedure described earlier but we bound the search procedure. To show how we bound the search, we establish notation. Let $v_{\text{example}} = \text{Var}((\Sigma^{-1/2}U^{\mathsf{T}}x_{\text{example}})_{700:784})$, where 700:784 is an array subsection from the 700th to final entry. The clean examples clean1, clean2,... have each have the variances $v_{\text{clean1}}, v_{\text{clean2}}, \dots$ These variances have the group standard deviation $\sigma_{\text{clean}} = \text{std}([v_{\text{clean1}}, v_{\text{clean2}}, \ldots])$ and mean $\mu_{\text{clean}} = \text{mean}([v_{\text{clean1}}, \ldots])$. We desire that our adversarial example has its coefficient variance $v_{\text{adversarial}}$ within one standard deviation σ_{clean} of the mean coefficient variance for clean examples μ_{clean} . This requirement is established by adding the logarithmic barriers $-\log(\mu_{\text{clean}} + \sigma_{\text{clean}} - v_{\text{adversarial}})$ and $-\log(v_{\text{adversarial}} - \mu_{\text{clean}} + \sigma_{\text{clean}})$ to the loss. Under these constraints, the search procedure could not generate any adversarial MNIST digit. We did not try converting these constraints for Tiny-ImageNet because the popular codebase taken from github is substantially different from mainstream libraries. However, if we convert these constraints for CIFAR-10 images, then 92% of the randomly chosen clean images could not be converted to an adversarial image with satisfactory coefficient variance. In all, encouraging typical coefficient variances appears difficult for fast gradient sign and iterative adversarial images.

2.3 SOFTMAX DISTRIBUTIONS OF ADVERSARIAL IMAGES

Hendrycks & Gimpel (2017) show that many out-of-distribution examples tend to have different softmax output vectors than in-distribution examples. For example, in-distribution examples tend to have a greater maximum probability than softmax vectors for out-of-distribution examples. Similarly, the KL divergence of the softmax distribution p from the uniform distribution u is larger for clean examples than for out-of-distribution examples. Therefore, adversarial examples which stop being modified when the predicted class probability is around 50% are often easily distinguishable from clean examples since the maximum softmax probability for clean examples tend to be larger than 50% across many tasks. We can easily detect whether an image is adversarial by considering the resulting softmax distribution for adversarial images.

Softmax distributions metrics can be gamed, but when this is done the adversarial image becomes less pathological. To show this, we create an adversarial image with a softmax distribution similar to those of clean examples by constraining the adversarial image generation procedure. In this experiment, we take correctly classified CIFAR-10 test images and calculate the standard deviation of the KL divergence of the softmax output distribution p from the uniform distribution u over 10 elements ($\sigma_{\text{KL}[p||u]}$). We create an adversarial image iteratively, taking a step size of 1/255 with a regularization strength of $\lambda=10^{-3}$ and clipping the image after each step. We then bound the search procedure with a radius r proportional to $\sigma_{\text{KL}[p||u]}$ about the mean KL divergence of p from u ($\mu_{\text{KL}[p||u]}$). This bounding is accomplished by adding logarithmic barriers to the loss. With these bounds, the generator must construct an adversarial image within 1000 steps and with a typical KL divergence. If it succeeds, we have a "Creation Success." In Table 2, we observe that constraining the search procedure with finite radii greatly increases the ℓ_2 distance. Therefore, to get a typical softmax distribution, the adversarial image quality must decline.

| Distance from mean | ℓ_1 | ℓ_2 | ℓ_{∞} | Creation |
|--|----------|----------|-----------------|----------|
| KL-Div | | | | Success |
| $r = \infty$ | 23.4 | 0.43 | 0.081 | 100% |
| $r = \sigma_{\mathrm{KL}[p u]}$ | 32.3 | 1.38 | 0.103 | 83% |
| $r = \sigma_{\text{KL}[p u]}$ $r = \frac{1}{2}\sigma_{\text{KL}[p u]}$ | 30.9 | 1.08 | 0.101 | 63% |
| $r = \frac{1}{4}\sigma_{\mathrm{KL}[p u]}$ | 44.2 | 2.82 | 0.153 | 70% |

Table 2: Constraints degrade the pathology of fooling images. Value σ is a standard deviation.

2.4 RECONSTRUCTING ADVERSARIAL IMAGES WITH LOGITS

Our final detection method comes from comparing inputs and their reconstructions. For this experiment, we train an MNIST classifier and attach an auxiliary decoder to reconstruct the input. Auxiliary decoders are sometimes known to increase classification performance (Zhang et al., 2016). We use a hidden layer size of 256, the Adam Optimizer (Kingma & Ba, 2015) with suggested parameters, and the GELU nonlinearity (Hendrycks & Gimpel, 2016). The autoencoder bottleneck has only 10 neurons. Crucially, we also feed the logits, viz. the input to a softmax, into the bottleneck layer. After training, we create adversarial images by an iterative procedure like before, except that we increase the ℓ_2 regularization strength 1000fold to $\lambda = 1$. Now, as evident in Figure 3, the

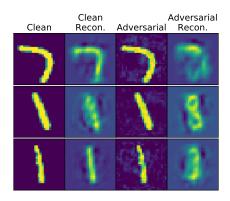


Figure 3: Adversarial image reconstructions are of lower quality than clean image reconstructions.

reconstructions for adversarial images look atypical. From this observation, we build our final detector. The detector's input score is the mean difference of the input image and its reconstruction. This difference is greater between adversarial images and their reconstruction than for clean examples, so we can detect an adversarial example from a clean example with an AUROC of 96.2% and an AUPR of 96.6% where the baseline values are 50%. Thus the differences between inputs and their reconstructions can allow for adversarial image detection.

3 A SALIENCY MAP

Let us now stop considering adversarial images and turn to a different AI Safety goal. This goal is to make neural networks more interpretable. A common way to understand network classifications is through saliency maps. And a simple way to make a saliency map (Zeiler & Fergus, 2014) is by computing the gradient of the network's logits with respect to the input and backpropagating the error signal without modifying the weights. Then after the error signal traversed backward through the network, we can display the resulting gradient as an image, and this shows us salient parts of the image. A recently proposed technique to improve saliency maps is guided backpropagation Springenberg et al. (2015). To understand guided backpropagation, let us establish some notation. Let $f_i^{l+1} = \text{ReLU}(x_i) = \max(0, x_i)$ and $R_i^{l+1} = \partial f^{\text{output}}/\partial f_i^{l+1}$. Now while normally in training we let $R_i^l = (f_i^l > 0)R_i^{l+1}$, in guided backpropagation we let $R_i^l = (f_i^l > 0)(R_i^{l+1} > 0)R_i^{l+1}$. We can improve the resulting saliency map significantly if we instead let $R_i^l = (f_i^l > 0)(R_i^{l+1} > 0)$, leading to our saliency map.

We demonstrate the results in Figure 4 using a pretrained VGG-16 model (Dieleman et al., 2015; Simonyan & Zisserman, 2015). The positive saliency map consists of the positive gradient values. In the first column, the network classifies this desert scene as a lakeside. Our saliency map reveals that coloring the clouds bluer would increase the logits, as would making the sunlit sky more orange.

²Note that this technique works for other nonlinearities as well. For example, if we use a Gaussian Error Linear Unit and have $g_i^{l+1} = \text{GELU}(x_i)$, then letting $R_i^l = g'(x_i)g'(R_i^{l+1})$ produces similar saliency maps.

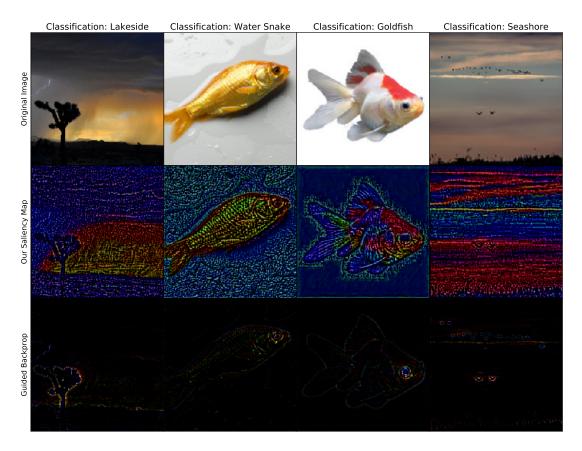


Figure 4: Our saliency map reveals more saliency details than guided backprogagation.

From this we can surmise that the clouds serve as the lakeside water, and the sky the shore. Next, the fish construed as a water snake has a saliency map where the background resembles water, and the fish's scales are greener and more articulated like a water snake. Also with our saliency map, we can see that some white regions of the fish become orange which would increase the logits. Meanwhile, guided backpropagation shows the fish's eye. Last, our saliency map makes it evident that a bluer sky contrasting with a darker lower region would further increase the logits, suggesting the blue sky's role in the image's seashore misclassification. Overall, this new saliency map allows for visualizing clearer sources of saliency.

4 Discussion

Although we showed some diverse detectors, future ad-hoc attacks may completely bypass each of these. Indeed, the softmax distribution detector can already be bypassed. But as we saw the adversarial image must contort itself to evade the detector and therefore reduce its level of pathology. We can extend this idea and conclude there may be a better line of attack for stopping adversarial images. Instead of seeking one statistic or one architecture trick to blockade all adversarial attacks, one might use a combination of detectors to more effectively lessen an image's ability to fool. Any narrow metric can be gamed, but this is not necessarily so for an ensemble of several strong but imperfect predictors. Therefore, a different avenue to attack adversarial images is by amassing a combination of detectors. These can safeguard against adversarial images by forcing the adversarial perturbation to be more and more conspicuous.

5 FUTURE WORK AND CONCLUSION

In this work, we showcased techniques for detecting adversarial images and visualizing salient regions of an image. Future research could add onto this new body of detectors since an ensemble of detectors may be a feasible avenue for defending against adversarial images. A possible future detector could use attention. Say that an adversarial image made a picture of a street classified as a war tank. Since adversarial image attacks seem not to be localized (Luo et al., 2015), the attention map for the tank's gun turret may be scattered or uniform across the image and thus abnormal and detectable. Other work could be in effective but nearly harmless preprocessing to hinder adversarial perturbations. For example, a preliminary experiment of ours shows that if a CIFAR-10 image is in [0,1], we can square the pixels, apply a slight Gaussian blur, take the square root of the result, and the image often no longer tricks the network allowing us to detect an adversarial attack. If the adversarial generator anticipates this preprocessing through more optimization, the ℓ_2 norm of the new adversarial image must increase 35% on average. This and other preprocessing techniques could be studied further to add onto an ensemble of defenses. Finally, any general work on out-of-distribution detection or error detection (Hendrycks & Gimpel, 2017) may transfer directly to this adversarial setting. Future research can bear in mind that while adversarial attacks can be invisible to the human eye, we now know that these perturbations can greatly affect the statistics of the underlying image, their reconstruction, or their softmax distribution thereby enabling detection.

ACKNOWLEDGMENTS

We would like to thank Nicolas Papernot and Chris Olah for their helpful feedback on early drafts of this paper. Thank you to Greg Shakhnarovich for numerous insightful suggestions. We would also like to thank the NVIDIA Corporation for donating GPUs used in this research.

REFERENCES

- Dario Amodei, Chris Olah, Jacob Steinhardt, Paul Christiano, John Schulman, and Dan Mané. Concrete problems in ai safety. *arXiv*, 2016.
- Jesse Davis and Mark Goadrich. The relationship between precision-recall and roc curves. In *Proc.* of International Conference on Machine Learning (ICML), 2006.
- Sander Dieleman, Jan Schlter, Colin Raffel, Eben Olson, Sren Kaae Snderby, Daniel Nouri, Daniel Maturana, Martin Thoma, Eric Battenberg, Jack Kelly, Jeffrey De Fauw, Michael Heilman, and Brian McFee diogo149. Lasagne: First release. 2015.
- Tom Fawcett. An introduction to ROC analysis. Pattern Recognition Letters, 2005.
- Ian J. Goodfellow, Jonathon Shlens, and Christian Szegedy. Explaining and harnessing adversarial examples. In *International Conference on Learning Representations (ICLR)*, 2015.
- Kathrin Grosse, Nicolas Papernot, Praveen Manoharan, Michael Backes, and Patrick McDaniel. Adversarial perturbations against deep neural networks for malware classification. *arXiv*, 2016.
- Dan Hendrycks and Kevin Gimpel. Bridging nonlinearities and stochastic regularizers with Gaussian error linear units. 2016.
- Dan Hendrycks and Kevin Gimpel. A Baseline for Detecting Misclassified and Out-of-Distribution Examples in Neural Networks. International Conference for Learning Representations (ICLR), 2017.
- Diederik Kingma and Jimmy Ba. *Adam: A Method for Stochastic Optimization*. International Conference for Learning Representations (ICLR), 2015.
- Alexey Kurakin, Ian J. Goodfellow, and Samy Bengio. Adversarial Examples in the Physical World. arXiv, 2016.
- Yan Luo, Xavier Boix, Gemma Roig, Tomaso A. Poggio, and Qi Zhao. Foveation-based mechanisms alleviate adversarial examples. *arXiv*, 2015.

- Chris Manning and Hinrich Schütze. Foundations of Statistical Natural Language Processing. MIT Press, 1999.
- Nicolas Papernot, Patrick McDaniel, and Ian Goodfellow. *Transferability in Machine Learning:* from Phenomena to Black-Box Attacks using Adversarial Samples. arXiv, 2016a.
- Nicolas Papernot, Patrick McDaniel, Xi Wu, Somesh Jha, and Ananthram Swam. Distillation as a defense to adversarial perturbations against deep neural networks. In *IEEE Symposium on Security & Privacy*, 2016b.
- Takaya Saito and Marc Rehmsmeier. The precision-recall plot is more informative than the roc plot when evaluating binary classifiers on imbalanced datasets. In *PLoS ONE*. 2015.
- Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. In *International Conference on Learning Representations (ICLR)*, 2015.
- Jost Tobias Springenberg, Alexey Dosovitskiy, Thomas Brox, and Martin Riedmiller. Striving for simplicity: The all convolutional net. *International Conference on Learning Representations* (*ICLR*), 2015.
- Christian Szegedy, Wojciech Zaremba, Ilya Sutskever, Joan Bruna, Dumitru Erhan, Ian Goodfellow, and Rob Fergus. Intriguing properties of neural networks. *International Conference on Learning Representations (ICLR)*, 2014.
- Catalin Voss. Visualizing and breaking convnets. 2015. URL https://github.com/MyHumbleSelf/cs231n/blob/master/assignment3/q4.ipynb.
- Matthew D. Zeiler and Rob Fergus. Visualizing and understanding convolutional networks. *ECCV*, 2014.
- Yuting Zhang, Kibok Lee, and Honglak Lee. Augmenting supervised neural networks with unsupervised objectives for large-scale image classification. International Conference on Machine Learning (ICML), 2016.