# METHODS FOR DETECTING ADVERSARIAL IMAGES AND A NEW SALIENCY MAP

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#### Abstract

Many machine learning classifiers are vulnerable to adversarial perturbations. An adversarial perturbation modifies an input to change a classifier's prediction without causing the input to seem substantially different to human perception. We make progress on this AI Safety problem by presenting a three methods to detect adversarial images. Our best detection method reveals that adversarial images place abnormal emphasis on the lower-ranked principal components from PCA. At the end we introduce a new saliency map to increase the transparency of convolutional neural network classification decisions.

# 1 Introduction

Images can undergo slight yet pathological modifications causing machine learning systems to misclassify, all while humans barely can notice these perturbations. These types of manipulated images are adversarial images (Goodfellow et al., 2015), and their existence demonstrates frailties in machine learning classifiers and a disconnect between human and computer vision.

This unexpected divide can allow attackers complete leverage over some deep learning systems. For example, adversarial images could cause a deep learning classifier to mistake handwritten digits, thereby fooling the classifier to misread the amount on a check (Papernot et al., 2016b; Kurakin et al., 2016). Other fooling data could evade malware detectors or spam filters that use a deep learning backend (Grosse et al.). Worse, generating adversarial images requires no exact knowledge of the deep learning system in use, allowing attackers to achieve consistent control over various classification systems (Szegedy et al., 2014; Papernot et al., 2016a).

A consistently misclassified adversarial image is easy to generate. Say we want an image of a civilian  $x_{\text{civilian}}$  to be misclassified as a soldier. Then given a neural network model  $\mathcal{M}$  and a loss function  $L_{\mathcal{M}}: \mathcal{X} \times \mathcal{Y} \to \mathbb{R}$ , we can generate an image  $x_{\text{adversarial}}$  by minimizing  $L_{\mathcal{M}}(x_{\text{adversarial}}, y_{\text{soldier}}) + \lambda ||x_{\text{civilian}} - x_{\text{adversarial}}||_2^2$  using gradient descent to modify the image. We can stop performing gradient descent when  $P(y_{\text{soldier}}|x_{\text{adversarial}}, \mathcal{M})$  exceeds a specified threshold (Goodfellow et al., 2015). The result of this procedure is an adversarial image which can be near indistinguishable to the clean image, yet it can reliably deceive other deep learning models.

In this paper, we make progress on the AI Safety (Olah et al., 2016) subproblem of detecting adversarial images, and we contribute a new saliency map to make network classification decisions

<sup>\*</sup>Work done while the author was at TTIC. Code available at github.com/hendrycks/fooling

more understandable. We start by presenting our first detection method which reveals that adversarial images place abnormally strong emphasis on principal components which account for little variance in the data. Our second methods uses the observation from Hendrycks & Gimpel (2016a), which states that correctly classified and many out-of-distribution examples tend to have different softmax distributions, but now we apply this to adversarial images. For our third detection method, we show that adversarial image reconstructions can be worse than clean image reconstructions if the decoder uses classification information. At the end we introduce a new saliency map (Zeiler & Fergus, 2014; Springenberg et al., 2015) which aims to increase the interpretability of convolutional neural network classification decisions since model transparency is another AI Safety subproblem.

## 2 Detecting Adversarial Images

#### 2.1 Detector Evaluation

To justify our three methods for detecting adversarial images, we need to establish our detector evaluation metrics. For detection we have two classes, and the detector outputs a score for both the positive (adversarial) and negative (clean) class. In order to evaluate our detectors, we do not report detection accuracy since accuracy is threshold-dependent, and a chosen threshold should vary depending on a practitioner's trade-off between false negatives (fn) and false positives (fp).

Faced with this issue, we employ the Area Under the Receiver Operating Characteristic curve (AUROC) metric, which is a threshold-independent performance evaluation (Davis & Goadrich, 2006). The ROC curve is a graph showing the true positive rate (tpr = tp/(tp + fn)) and the false positive rate (fpr = fp/(fp + tn)) against each other. Moreover, the AUROC can be interpreted as the probability that an adversarial example has a greater detector score/value than a clean example (Fawcett, 2005). Consequently, a random positive example detector corresponds to a 50% AUROC, and a "perfect" classifier corresponds to 100%.

The AUROC sidesteps the issue of selecting a threshold, as does the Area Under the Precision-Recall curve (AUPR) which is sometimes deemed more informative (Manning & Schütze, 1999). One reason is that the AUROC is not ideal when the positive class and negative class have greatly differing base rates, which can happen in practice. The PR curve plots the precision (tp/(tp+fp)) and recall (tp/(tp+fn)) against each other. The baseline detector has an AUPR equal to the precision (Saito & Rehmsmeier, 2015), and a "perfect" classifier has an AUPR of 100%. To show how a classifier behaves with respect to precision and recall, we also show the AUPR.

#### 2.2 PCA WHITENING ADVERSARIAL IMAGES

We now show the first of three adversarial image detection methods. For the first detector, we need to PCA whiten or "sphere" an input. To do this, we must center the training data about zero, compute the covariance matrix C of the centered data, and find the SVD of C which is  $C = U\Sigma V^{\mathsf{T}}$ . We can perform PCA whitening by taking an input example x and computing  $\Sigma^{-1/2}U^{\mathsf{T}}x$ , giving us the PCA whitened input. This whitened vector has as its first entry a coefficient for an eigenvector/principal component of C with the largest eigenvalue. Later entries are coefficients for eigenvectors with smaller eigenvalues. Adversarial images have different coefficients for low-ranked principal components than do clean images.

<sup>&</sup>lt;sup>1</sup>A debatable, imprecise interpretation of AUROC values may be as follows: 90%—100%: Excellent, 80%—90%:

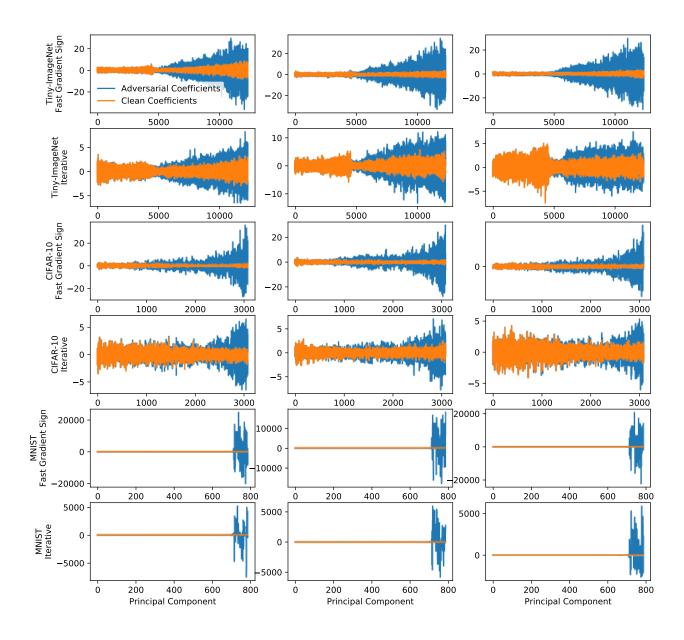


Figure 1: Adversarial images abnormally emphasize coefficients for low-ranked principal components. Each plot corresponds to coefficients for a randomly chosen clean and adversarial image pair. For concreteness, the 100th "Principal Component" Coefficient is the 100th entry of  $\Sigma^{-1/2}U^{\mathsf{T}}x$  for an input image x. Examples are randomly chosen.

Adversarial image coefficients for later principal components have consistently greater variance as shown in Figure 1. In fact, we use coefficient variance as our sole feature for detecting adversarial images. For this experiment, we try using variance to detect whether Tiny-ImageNet, CIFAR-10, and MNIST images had adversarial perturbations applied. To reduce the chance of bugs in this experiment, we used a pretrained Tiny-ImageNet classifier and a popular, pre-existing adversarial

Good, 70%—80%: Fair, 60%—70%: Poor, 50%—60%: Fail.

image generator from github (Voss, 2015) in addition to our own implementation of an adversarial image generator for CIFAR-10 and MNIST. In the case of Tiny-ImageNet test set images, each pixel is in the range [0, 255] before we subtract the mean. For these images, we create fast gradient sign (step size is 10) and iteratively generated (step size is 1) adversarial images. For CIFAR-10 and MNIST test set images, the fast gradient sign adversarial images have a step size of 10/255 and the iteratively generated images use a step size of 1/255, all while having an  $\ell_2$  regularization strength of  $\lambda = 10^{-3}$ . These step sizes are smaller since the data domain has width 1 instead of 255. All adversarial examples are clipped after each descent step so as to ensure that adversarial perturbations are not visible and within normal image bounds. (Indeed, a previous draft claimed that YUV images led to conspicuous adversarial perturbations, but the defense is ineffective should we clip our iteratively constructed adversarial image.) Finally, we keep the adversarial image only if the softmax prediction probability is above 50% for its randomly chosen target class. Now, we compute the detector "scores" or values by computing the variance of the coefficients for clean and adversarial images. These variance values are from a subsection of each whitened input. Specifically, we select the 10000th to final entry of a PCA whitened Tiny-ImageNet input and compute one variance value from this vector subsection. For each CIFAR-10 whitened image, we compute the variance of entries starting at the 2500th entry. For MNIST, we compute the variance of the entries starting at the 700th position. The variances are frequently larger for adversarial images generated with fast gradient sign and iterative methods, as demonstrated in Table 1.

We can also try making adversarial images have typical variances, but this seems to fail. In the case of fast gradient sign adversarial images, each image is generated after one large step, the image's coefficient variance explodes with this one large modification. To see this consider the aforementioned coefficient subsections for whitened Tiny-ImageNet, CIFAR-10, and MNIST examples. All fast gradient sign adversarial images have their coefficient variance beyond ten standard deviations from the mean coefficient variance for clean images. Remarkably in the case MNIST, 100% of fast gradient sign images have their coefficient's variance beyond 10 billion standard deviations from the mean coefficient variance of clean images. Similarly, iteratively generated adversarial images fail to obtain typical variances. For MNIST, we repeat the iterative generation procedure

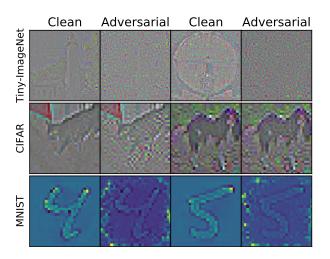


Figure 2: ZCA whitened  $(U\Sigma^{-1/2}U^{\mathsf{T}}x)$  adversarial images are often visibly distinct from whitened clean images.

described earlier but we bound the search procedure. To show how we bound the search, we establish notation. Let  $v_{\text{example}} = \text{Var}((\Sigma^{-1/2}U^{\mathsf{T}}x_{\text{example}})_{700:784})$ , where 700 : 784 is an array subsection from the 700th to final entry. The clean examples clean1, clean2,... have each have the variances  $v_{\text{clean1}}, v_{\text{clean2}}, \ldots$  These variances have the group standard deviation  $\sigma_{\text{clean}} = \text{std}([v_{\text{clean1}}, v_{\text{clean2}}, \ldots])$  and mean  $\mu_{\text{clean}} = \text{mean}([v_{\text{clean1}}, \ldots])$ . We desire that our adversarial example has its coefficient variance  $v_{\text{adversarial}}$  within one standard deviation  $\sigma_{\text{clean}}$  of the mean coefficient variance for clean examples  $\mu_{\text{clean}}$ . This requirement is established by adding the

Dataset	Fast Gradient	Fast Gradient	Iterative	Iterative
	Sign AUROC	Sign AUPR	AUROC	AUPR
Tiny-ImageNet	100.0	100.0	92.4	93.5
CIFAR-10	100.0	100.0	92.8	91.2
MNIST	100.0	100.0	100.0	100.0

Table 1: Variance of entries for whitened adversarial images and whitened clean images differ enough to allow reliable detection. Baseline values are 50%, and "perfect" detectors correspond to 100%. All entries are percentages.

logarithmic barriers  $-\log(\mu_{\rm clean} + \sigma_{\rm clean} - v_{\rm adversarial})$  and  $-\log(v_{\rm adversarial} - \mu_{\rm clean} + \sigma_{\rm clean})$  to the loss. Under these constraints, the search procedure could not generate any adversarial MNIST digit. We did not try converting these constraints for Tiny-ImageNet because the popular codebase taken from github is substantially different from mainstream libraries. However, if we convert these constraints for CIFAR-10 images, then 92% of the randomly chosen clean images could not be converted to an adversarial image with satisfactory coefficient variance. In all, encouraging typical coefficient variances appears difficult for fast gradient sign and iterative adversarial images.

### 2.3 Softmax Distributions of Adversarial Images

Hendrycks & Gimpel (2016a) show that many out-of-distribution examples tend to have different softmax output vectors than in-distribution examples. For example, in-distribution examples tend to have a greater maximum probability than softmax vectors for out-of-distribution examples. Similarly, the KL divergence of the softmax distribution p from the uniform distribution u is larger for clean examples than for out-of-distribution examples. Therefore, adversarial examples which stop being modified when the predicted class probability is around 50% are often easily distinguishable from clean examples since the maximum softmax probability for clean examples tend to be larger than 50% across many tasks. We can easily detect whether an image is adversarial by considering the resulting softmax distribution for adversarial images.

Softmax distributions metrics can be gamed, but when this is done the adversarial image becomes less pathological. To show this, we create an adversarial image with a softmax distribution similar to those of clean examples by constraining the adversarial image generation procedure. In this experiment, we take correctly classified CIFAR-10 test images and calculate the standard deviation of the KL divergence of the softmax output distribution p from the uniform distribution u over 10 elements  $(\sigma_{\text{KL}[p||u]})$ . We create an adversarial image iteratively, taking a step size of 1/255 with a regularization strength of  $\lambda = 10^{-3}$  and clipping the image after each step. We then bound the search procedure with a radius r proportional to  $\sigma_{\text{KL}[p||u]}$  about the mean KL divergence of p from u ( $\mu_{\text{KL}[p||u]}$ ). This bounding is accomplished by adding logarithmic barriers to the loss. With these bounds, the generator must construct an adversarial image within 1000 steps and with a typical KL divergence. If it succeeds, we have a "Creation Success." In Table 2, we observe that constraining the search procedure with finite radii greatly increases the  $\ell_2$  distance. Therefore, to get a typical softmax distribution, the adversarial image quality must decline.

#### 2.4 Reconstructing Adversarial Images with Logits

Distance from mean	$\ell_1$	$\ell_2$	$\ell_{\infty}$	Creation
KL-Div				Success
$r = \infty$	23.4	0.43	0.081	100%
$r = \sigma_{\mathrm{KL}[p  u]}$	32.3	1.38	0.103	83%
$r = \frac{1}{2}\sigma_{\mathrm{KL}[p  u]}$	30.9	1.08	0.101	63%
$r = \frac{1}{4}\sigma_{\mathrm{KL}[p  u]}$	44.2	2.82	0.153	70%

Table 2: Constraints degrade the pathology of fooling images. Value  $\sigma$  is a standard deviation.

Our final detection method comes from comparing inputs and their reconstructions. For this experiment, we train an MNIST classifier and attach an auxiliary decoder to reconstruct the input. Auxiliary decoders are sometimes known to increase classification performance (Zhang et al., 2016). We use a hidden layer size of 256, the Adam Optimizer (Kingma & Ba, 2015) with suggested parameters, and the GELU nonlinearity (Hendrycks & Gimpel, 2016b). The autoencoder bottleneck has only 10 neurons. Crucially, we also feed the logits, viz. the input to a softmax, into the bottleneck layer. After training, we create adversarial images by an iterative

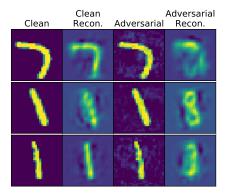


Figure 3: Adversarial image reconstructions are of lower quality than clean image reconstructions.

procedure like before, except that we increase the  $\ell_2$  regularization strength 1000-fold to  $\lambda=1$ . Now, as evident in Figure 3, the reconstructions for adversarial images look atypical. From this observation, we build our final detector. The detector's input score is the mean difference of the input image and its reconstruction. This difference is greater between adversarial images and their reconstruction than for clean examples, so we can detect an adversarial example from a clean example with an AUROC of 96.2% and an AUPR of 96.6% where the baseline values are 50%. Thus the differences between inputs and their reconstructions can allow for adversarial image detection.

## 3 A SALIENCY MAP

Let us now stop considering adversarial images and turn to a different AI Safety goal. This goal is to make neural networks more interpretable. A common way to understand network classifications is through saliency maps. And a simple way to make a saliency map (Zeiler & Fergus, 2014) is by computing the gradient of the network's logits with respect to the input and backpropagating the error signal without modifying the weights. Then after the error signal traversed backward through the network, we can display the resulting gradient as an image, and this shows us salient parts of the image. A recently proposed technique to improve saliency maps is guided backpropagation Springenberg et al. (2015). To understand guided backpropagation, let us establish some notation. Let  $f_i^{l+1} = \text{ReLU}(x_i) = \max(0, x_i)$  and  $R_i^{l+1} = \partial f^{\text{output}}/\partial f_i^{l+1}$ . Now while normally in training we let  $R_i^l = (f_i^l > 0)R_i^{l+1}$ , in guided backpropagation we let  $R_i^l = (f_i^l > 0)(R_i^{l+1} > 0)R_i^{l+1}$ . We can improve the resulting saliency map significantly if we instead let  $R_i^l = (f_i^l > 0)(R_i^{l+1} > 0)$ , leading

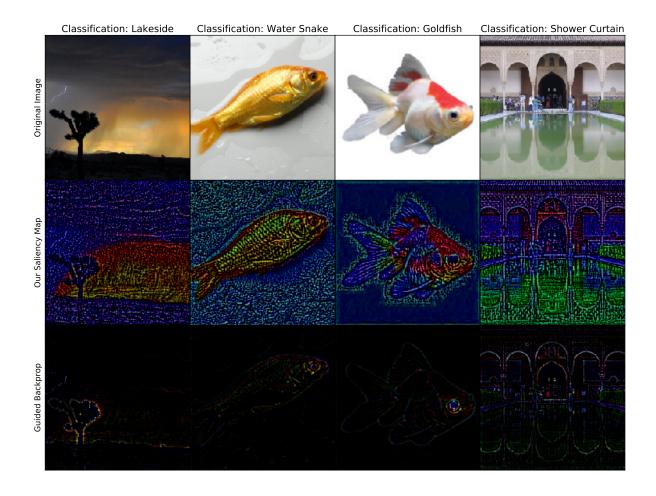


Figure 4: Our saliency map reveals more saliency details than guided backprogagation.

to our saliency map.<sup>2</sup>

We demonstrate the results in Figure 4 using a pretrained VGG-16 model (Dieleman et al., 2015; Simonyan & Zisserman, 2015). The positive saliency map consists of the positive gradient values. In the first column, the network classifies this desert scene as a lakeside. Our saliency map reveals that coloring the clouds bluer would increase the logits, as would making the sunlit sky more orange. From this we can surmise that the clouds serve as the lakeside water, and the sky the shore. Next, the fish construed as a water snake has a saliency map where the background resembles water, and the fish's scales are greener and more articulated like a water snake. Also with our saliency map, we can see that some white regions of the fish become orange which would increase the logits. Meanwhile, guided backpropagation shows the fish's eye. Last, our saliency map makes it evident that greener water would further increase the logits suggesting its role in the image's shower curtain misclassification. Overall, this new saliency map allows for visualizing clearer sources of saliency.

<sup>&</sup>lt;sup>2</sup>Note that this technique works for other nonlinearities as well. For example, if we use a Gaussian Error Linear Unit and have  $g_i^{l+1} = \text{GELU}(x_i)$ , then letting  $R_i^l = g'(x_i)g'(R_i^{l+1})$  produces similar saliency maps.

# 4 Conclusion

In this work, we showcased techniques for detecting adversarial images and visualizing salient regions of an image. We hope that this paper is a step toward finding several different ways to detect adversarial images, just as fraud detection may use several different indicators and scores rather than just one. Future ad-hoc attacks may bypass each of the detectors presented in this paper, just as any narrow metric can be gamed. But when an adversarial image must also contort itself to evade numerous detection techniques, the adversarial image should become less pathological. Consequently, using the votes of several strong but imperfect predictors is another path toward safeguarding against adversarial images rather than seeking one statistic or one architecture trick to blockade all adversarial attacks. Even tough adversarial attacks can be invisible to the human eye, we showed that they can greatly affect some statistics of the underlying image, their reconstruction, or their softmax distribution thereby enabling their detection.

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