The Bison Parser Generator Shift/Reduce Parsing

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1. Parsing Overview

- Parsing methods partition into two approaches:
 - 1. **Top-down**: Find a derivation of a string, starting the start symbol, and performing a top-down expansion of the formal grammar rules.
 - 2. Examples of Top-down: Table driven LL, and recursive descent
 - 3. Bottom-up: parser starts with the input, and attempts to rewrite it to the start symbol
 - 4. Examples of bottom-up: SLR, LALR, IELR, LR, GLR
 - 5. Bison is LALR(1)



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2. Why Understand Bison?

- We want to build an interpreter for Python:
 ⇒ we need a parser
- Developing a parser is a challenging task, made even more so if you don't understand how the parser generator works.
- Understanding Bison can help:
 - To insert semantic actions
 - To remove conflicts,
 - to insert error recovery routines,
 - to develop a new grammar.



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3. Bison Overview

- Bison performs a bottom-up parse, with 1 symbol look-ahead.
- bison consists of:
 - 1. A stack (PDA) with current states,
 - 2. a transition matrix to derive a new state,
 - 3. a table of user-defined actions, and
 - 4. an interpreter to choreograph the actions.
- All of this is packaged in yyparse(), a function that calls yylex to get the next token.



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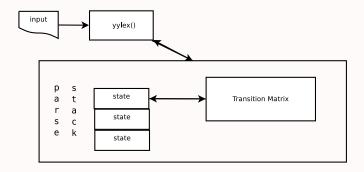


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3.1. Visualizing Bison





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3.2. Shift/Reduce Parsing: shift

- Bison looks for rules that might match the tokens seen so far.
- Bison creates a set of states, each of which reflects a possible position in one or more partially parsed rules
- Each time bison "reads" a token that doesn't complete a rule it pushes the token onto the stack and goes to a new state.
- This action is called a **shift**.



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3.3. Shift/reduce parsing: reduce

- When the symbols at the top of the stack match the right hand side (RHS) of a rule, it pops the RHS symbols off the stack, pushes the LHS onto the stack, and switches to a new state.
- When Bison reduces a rule it can execute user code associated with the rule.



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3.4. Five Operations of yyparse()

- 1. accept: this happens only once
- 2. shift <state>
- 3. reduce <formulation number>
- 4. goto <new state>
- 5. error: for tokens that shouldn't appear in the current state



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4. The Pointer Model

- Shows a pointer moving through grammar
- The pointer starts at the start rule

%token A B C %%

start: ↑ A B C

• If A and B are read, move pointer:

%token A B C %%

start: A B \uparrow C



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4.1. More than one Pointer

• Example, assume we read A, B:

```
%%
start: x | y
x: A B \uparrow C D
y: A B \uparrow E F
```

- Pointers can disappear in two ways:
 - 1. A rule doesn't work; Eg next token C

```
\%\%
start: x | y
x: A B C \uparrow D
y: ABEF
```

2. Ponters merge into a common subrule



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• For merge example, assume we read A

start: $x \mid y$ $x: A \uparrow B z R$ $y: A \uparrow B z S$ z: C D

• After A B C, there is only one pointer:

start: $x \mid y$ x: A B z R y: A B z S $z: C \uparrow D$

• After A B C D, there are two pointers

start: x | y x: A B z ↑ R y: A B z ↑ S



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4.2. Reductions

• If there is only one pointer at the end of a rule, we reduce. E.g., assume an A is read:

%% start: x | y x: A ↑ y: B

- After A, there is only one pointer in x, and rule x is reduced.
- Similarly, if B is read, there is only one pointer in y, and rule y is reduced.



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5. Bison Conflicts: Two kinds

• Reduce/reduce conflict: two pointers at the end of two rules.

```
%%
start: x | y
x: A ↑
y: A ↑
```

• Never a conflict if only one arrow:

```
%%
start: x | y
x: z R
y: z S
z: A B ↑
```

• After z is reduced, there are two pointers. Why?



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• Shift/reduce conflict: One pointer wants to shift, the other pointer wants to reduce:

%%

start: x | y x: A ↑ R y: A ↑





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6. Parse Tree Helps



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7. Bison & Ambiguity

- In the face of ambiguity, bison will make a choice, unless the user specifies otherwise.
- The user can set the precedence, refactor the grammar to remove conflicts, or suppress conflict warnings using %expect n
- Default action for Shift/Reduce conflict: shift.
- Default action for Reduce/Reduce conflict: choose the rule that appears first in the grammar.



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8. Parser States

- You can generate name.output by supplying the -v flag to bison (-v ⇒ verbose)
- name.output is a description of the state machine generated by bison, including:
 - Listing of the grammar rules
 - Rules that are never used
 - Summary of the conflicts
 - All of the parser states



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8.1. States in name.output

- For each state, bison lists
 - The rules and positions that correspond to the state,
 - the shifts and reductions the parser will make when it reads various tokens in the state,
 - and the state it will switch to after a reduction produces a non-terminal in that state,
 - the conflicts in each state.



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8.2. What are States

- Each state corresponds to a unique combination of possible pointers in the bison grammar.
- Bison assigns a number to each state:

State 0 conflicts: 1 shift/reduce

• Numbers can differ across bison implementations; the actual number isn't significant.



9. Tracing reduce/reduce

```
start: a Y | b Y;
a: X;
b: X;
```

- We will trace bison as it parses the above ambiguous grammar
- assume the input is $X Y \langle eof \rangle$
- bison always starts at state 0, shift 0; i.e., push 0 onto the stack.



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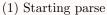




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- (2) Entering state 0
- (3) Reading a token: –(end of buffer or a NUL)
- (4) X
- (5) –accepting rule at line 10 ("X")
- (6) Next token is token X ()
- (7) Shifting token X ()
- (8) Entering state 1
 - (1) Bison announces the start of the parse
 - (2) Bison always begins in state 0
 - (3) Bison is about to read a token
 - (4) reads X
 - (5) This line is debug info from Flex
 - (8) Bison enters state 1, stack is: (1, x) (0, -)



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state 1

3 a: $X \uparrow$ (arrow shows where we are before next token)

4 b: X ↑

Y reduce using rule 3 (a)

Y [reduce using rule 4 (a)]

\$default reduce using rule 3 (a)

(1) Reducing stack by rule 3 (line 12):

- (2) \$1 = token X ()
- (3) -> \$\$ = nterm a ()
- (4) Stack now 0
- (5) Entering state 3
 - (1) line 12 is in parse.y
 - (3) nterm a is non-terminal a
 - (5) stack is: (3, a) (0, -)



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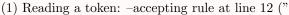




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- (2) ")
- (3) –(end of buffer or a NUL)
- (4) Y
- (5) –accepting rule at line 11 ("Y")
- (6) Next token is token Y ()
- (7) Shifting token Y ()
- (8) Entering state 6
 - (1), (3), (5) is from Flex
 - (7) and (8) push (6, Y) onto stack
 - stack is:
 - (6, Y)
 - (3, a)
 - (0, -)



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state 6

1 start: a Y ↑

\$default reduce using rule 1 (start)

- (1) Reducing stack by rule 1 (line 9):
- (2) \$1 = nterm a ()
- (3) \$2 = token Y ()
- (4) -> \$\$ = nterm start ()
- (5) Stack now 0
- (6) Entering state 2
 - recall that stack is: (6, Y) (3, a) (0, -)
 - (1) reduce by rule 1 pops Y and a off stack
 - \$default & (4) pushes start onto stack
 - (5) says stack is state 0, start: goto state 2



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```
state 0

0 $accept: ↑ start $end

...

start: goto state 2
```

- Above, on start goto state 2
- stack is: (2, start), (0, -)



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state 2

0 \$accept: ↑ start \$end \$end shift, and goto state 5

- Bison now reads \$eof, shifts it onto the stack, and goes to state 5
- stack is: (5, \$end) (2, start) (0. -)
- (1) –EOF (start condition 0)
- (2) Now at end of input.
- (3) Shifting token \$end ()
- (4) Entering state 5



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- Recall, stack is: (5, \$end) (2, start) (0. -)
- (1) Entering state 5
- (2) Stack now 0 2 5
- (3) Cleanup: popping token \$end ()
- (4) Cleanup: popping nterm start ()
- (5) Syntactically correct.



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9.1. Some notes on reduce/reduce

- The arrow (bison uses a dot) shows where we are in the grammar before reading the next token.
- For **reduce/reduce** conflicts, the two arrows are always at the end of the rules.
- In a conflict, the rule not used is shown in brackets
- In state 1, bison chose to reduce to a by rule 3 because with **reduce/reduce** conflicts it chooses the earliest one in the grammar.



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