

## Homework 18 - MATH 791

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The problems in this homework set deal with a special kind of PID. Let  $R$  be a principal ideal domain with the property that, given any two prime elements,  $\pi_1$  and  $\pi_2$ ,  $\langle \pi_1 \rangle = \langle \pi_2 \rangle$ , i.e., up to a unit multiple, there is just one prime element, say  $\pi \in R$ . Such a ring is called a *discrete valuation ring*, denoted DVR, and  $\pi \in R$  is called a *uniformizing parameter*.

### Problem 1:

Fix a prime  $p \in \mathbb{Z}$ . Let  $R$  denote the set of rational numbers whose denominators is not divisible by  $p$ . First show that  $R$  is a subring of  $\mathbb{Q}$ , and then show that  $R$  is a DVR with uniformizing parameter  $p$ .

### Solution:

First we need to show that  $R$  is a subring of  $\mathbb{Q}$ .  $R$  inherits associativity and distributivity from  $\mathbb{Q}$ , so we only need to show that  $(R, +)$  is a group and that  $R$  is closed under multiplication.

Closure of  $(R, +)$  under composition:

$$\begin{aligned} \frac{a_1}{a_2}, \frac{b_1}{b_2} &\in R \\ p \nmid a_2, p \nmid b_2 \\ \frac{a_1}{a_2} + \frac{b_1}{b_2} &= \frac{a_1 b_2 + b_1 a_2}{a_2 b_2} \end{aligned}$$

Because  $\mathbb{Z}$  is a UFD, we use the contrapositive of one of the requirements of a prime to say that  $p \nmid a_2, p \nmid b_2 \Rightarrow p \nmid a_2 b_2$ .

$$\Rightarrow \frac{a_1 b_2 + b_1 a_2}{a_2 b_2} \in R$$

Closure of  $(R, +)$  under inverses:

$$\begin{aligned} \frac{a_1}{a_2} &\in R \\ \left( -\frac{a_1}{a_2} \right) &= \frac{-a_1}{a_2} = \frac{a_1}{-a_2} \in R \end{aligned}$$

Closure of  $R$  under multiplication:

$$\begin{aligned} \frac{a_1}{a_2}, \frac{b_1}{b_2} &\in R \\ \frac{a_1}{a_2} * \frac{b_1}{b_2} &= \frac{a_1 b_1}{a_2 b_2} \\ p \nmid a_2, p \nmid b_2 &\Rightarrow p \nmid a_2 b_2 \\ \Rightarrow \frac{a_1}{a_2} * \frac{b_1}{b_2} &\in R \end{aligned}$$

Also  $R$  contains the multiplicative identity  $\frac{1}{1}$ .

Now we need to show that  $R$  is a DVR with uniformizing parameter  $p$ .

Since primes can't be units, they must be elements of  $R$  without a multiplicative inverse.

An element has no multiplicative inverse iff it is a multiple of  $p$ .

$$\frac{a_1 p}{a_2} \in R, p \nmid a_2$$

We assume WLOG that  $p \nmid a_1$

If  $\frac{a_1 p}{a_2}$  had an inverse  $\frac{x_1}{x_2}$ :

$$\frac{a_1 p}{a_2} * \frac{x_1}{x_2} = \frac{a_1 p x_1}{a_2 x_2} \in \left[ \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right]$$

$$p \nmid x_2, p \nmid a_2 \Rightarrow p \nmid a_2 x_2$$

But  $p \mid p a_1 x_1$

So  $p$  divides the numerator but not the denominator

$$\Rightarrow \frac{a_1 p}{a_2} * \frac{x_1}{x_2} \notin \left[ \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right]$$

So a multiple of  $p$  does not have an inverse

If an element in  $R$  is not a multiple of  $p$ , then it has an inverse

$$\begin{aligned} \frac{a_1}{a_2} &\in R, p \nmid a_1, \nmid a_2 \\ \Rightarrow \frac{a_1}{a_2} \frac{a_2}{a_1} &= 1, \frac{a_2}{a_1} \in R \end{aligned}$$

So for any non-unit prime  $\frac{pa_1}{a_2}$ :

$$\begin{aligned} p & \mid \frac{pa_1}{a_2} \\ \frac{pa_1}{a_2} * \frac{a_2}{a_1} &= p \Rightarrow \frac{pa_1}{a_2} \mid p \\ \Rightarrow \langle \frac{pa_1}{a_2} \rangle &= \langle p \rangle \end{aligned}$$

**Problem 2:**

Let  $R$  be a DVR with uniformizing parameter  $\pi \in R$ . Show that  $\bigcap_{n \geq 1} \langle \pi^n \rangle = 0$ .

**Solution:**

First we can see that since  $0 \in \langle \pi^n \rangle$  for all  $n$ ,  $0 \in \bigcap_{n \geq 1} \langle \pi^n \rangle$ .

Now consider a nonzero element  $a \in R$ . We know that  $a$  can be written as a finite product of irreducibles (proved earlier), and that  $\pi$  is prime, therefore irreducible, so

case 1:  $\pi \nmid a$ , or

case 2:  $a = \pi^s b$

Suppose there are two ways of writing  $a$  in case 2:

$a = \pi^m b = \pi^n b'$  suppose  $m \geq n$ , and  $m, n \geq 1$

$\pi^{m-n} b = b'$  From cancellation in IDs

$$\Rightarrow \pi^n b' = \pi^n (\pi^{m-n} b)$$

So the two factorizations have the same number of  $\pi$ 's

In case 1:

$\pi \nmid a$

$$\Rightarrow \forall q, \pi q \neq a \Rightarrow a \notin \langle \pi \rangle$$

In case 2:

$a = \pi^s b$

WLOG, assume  $\pi \nmid b$

$$\Rightarrow \forall q, \pi q \neq b$$

$$\Rightarrow \forall q, \pi^{s+1} q \neq a$$

$$\Rightarrow a \notin \langle \pi^{s+1} \rangle$$

In all cases, for any nonzero  $a$  there exists an ideal of a power of  $\pi$  such that  $a \notin \langle \pi^n \rangle$ . So since  $a$  is not in all ideals of the form  $\langle \pi^n \rangle$ ,  $a \notin \bigcap_{n \geq 1} \langle \pi^n \rangle$ . But  $0 \in \bigcap_{n \geq 1} \langle \pi^n \rangle$ . So  $\bigcap_{n \geq 1} \langle \pi^n \rangle = 0$

**Problem 3:**

Let  $R$  be a DVR with uniformizing parameter  $\pi \in R$ . Show that every element in  $R$  can be written uniquely as  $u\pi^n$  for some  $n \geq 0$  and  $u \in R$  a unit. Conclude that if  $K$  denotes the quotient field of  $R$ , then every element in  $K$  can be written uniquely in the form  $u\pi^n$  for some  $n \in \mathbb{Z}$  and  $u \in R$ , a unit.

**Solution:**

First we can prove that  $R$  is a UFD. We know that every element in  $R$  can be written as a product of irreducibles. Now we prove that irreducible elements generate maximal ideals:

$p \in R$  is irreducible

Suppose  $\langle p \rangle \subseteq \langle j \rangle \subseteq R$

$\Rightarrow p = jr$

$p$  is irreducible  $\Rightarrow j$  or  $r$  is a unit

if  $r$  is a unit, then  $\langle j \rangle = \langle p \rangle$

if  $j$  is a unit, then  $\langle j \rangle = R$  which is not an ideal

So  $\langle p \rangle$  is a maximal ideal. Now we can prove that maximal ideals are prime ideals, and that an element generating a prime ideal is prime.

Suppose  $\langle q \rangle$  is a maximal ideal and that

$q = ab$

We also assume that  $q \mid ab, q \nmid a$

$\langle q \rangle \subset \langle q \rangle + \langle a \rangle$

$\langle q \rangle + \langle a \rangle$  is an ideal, since the sum of ideals is an ideal

$\langle q \rangle + \langle a \rangle = R$ , because it is a strict superset of  $\langle q \rangle$ , and  $\langle q \rangle$  is maximal

$1 \in \langle q \rangle + \langle a \rangle$

$\Rightarrow 1 = r_1q + r_2a$

$\Rightarrow b \cdot 1 = b \cdot r_1q + b \cdot r_2a$

$\Rightarrow b = br_1q + r_2(ab)$

$q \mid (br_1)q, q \mid (r_2)ab$

$\Rightarrow q \mid br_1q + r_2(ab) \Rightarrow q \mid b$

So  $q$  is prime. We have shown that every irreducible element is prime in  $R$ . So every element can be written as a finite product of irreducibles, and therefore can be written as a finite product of primes. This implies that  $R$  is a UFD, which includes uniqueness of

the factorizations. But since there is only one prime:

$$\begin{aligned} r \in R &\Rightarrow r = (u_0\pi)(u_1\pi)(u_2\pi)\dots(u_k\pi) \\ &\Rightarrow r = (u_0\dots u_k)\pi^k = u'\pi^k \end{aligned}$$

Now we have to prove that every element of  $K$  can be written in a similar way.

$$\frac{a}{b} \in K$$

$$\frac{a}{b} = \frac{u_1\pi^m}{u_2\pi^n}$$

If  $m \geq n$ :

$$\begin{aligned} \frac{u_1\pi^m}{u_2\pi^n} &= \frac{u_2\pi^n u_2^{-1} u_1\pi^{m-n}}{u_2\pi^n} \\ &= \frac{u_2^{-1} u_1\pi^{m-n}}{1} = \frac{u'\pi^{m-n}}{1} = u'\pi^{m-n} \end{aligned}$$

If  $m < n$ :

$$\begin{aligned} \frac{u_1\pi^m}{u_2\pi^n} &= \frac{u_1\pi^m}{u_1\pi^m u_1^{-1} u_2\pi^{n-m}} \\ &= \frac{1}{u_1^{-1} u_2\pi^{n-m}} = \frac{1}{u'\pi^{n-m}} \\ &= \left( \frac{u'\pi^{n-m}}{1} \right)^{-1} \\ &= (u'\pi^{n-m})^{-1} \text{ using the convention of writing } \frac{a}{1} = a \text{ in } K \\ &= u'(\pi^{n-m})^{-1} \end{aligned}$$

**Problem 4:**

Let  $R$  be a DVR with uniformizing parameter  $\pi \in R$ , and quotient field  $K$ . Define  $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$  by  $v(0) = \infty$  and for  $\alpha \neq 0$ ,  $v(\alpha) = n$ , where  $\alpha \in K$  and  $\alpha = u\pi^n$ , as in 3. Show that for all  $\alpha, \beta \in K$ :

$$(i) \ v(\alpha + \beta) \geq \min\{v(\alpha), v(\beta)\}$$

$$(ii) \ v(\alpha\beta) = v(\alpha) + v(\beta)$$

Observe that  $R = \{a \in K \mid v(a) \geq 0\}$

**Solution:**

Proof of (i):

$$\text{let } \alpha = u_1\pi^{n_1}, \beta = u_2\pi^{n_2}$$

We are considering the elements as being in  $K$ , but  $u_1, u_2$  are units in  $R$  and  $\alpha, \beta \in R$

Assume that  $n_1 < n_2$

$$u_1\pi^{n_1} + u_2\pi^{n_2} = u_3\pi^{n_3} \text{ from 3.}$$

Suppose that  $n_3 < n_1$  (This will show a contradiction)

$$\begin{aligned} u_1\pi^{n_1} + u_2\pi^{n_2} &= u_3\pi^{n_3} \\ &= \pi^{n_1}(u_1 + u_2\pi^{n_2-n_1}) = \pi^{n_1}u_3\pi^{n_3-n_1} \\ &= u_1 + u_2\pi^{n_2-n_1} = u_3\pi^{n_3-n_1} \end{aligned}$$

Note that  $n_3 - n_1 < 0$

$$= u_1 + u_2\pi^{n_2-n_1} = u_3(\pi^{n_1-n_3})^{-1}$$

The expression on the left side is an element of  $R$  from closure

This implies the right side is in  $R$  (its not)

But we need to check that  $u_3(\pi^{n_1-n_3})^{-1}$  can't be in  $R$

If  $u_3(\pi^{n_1-n_3})^{-1} \in R$ , then it can be written  $u_1\pi^b, b \geq 0$

$$\Rightarrow u_1\pi^b * u_3(\pi^{n_1-n_3}) = 1$$

$$\Rightarrow A * u_1\pi^b * u_3(\pi^{n_1-n_3}) = A$$

This contradicts the unique factorization of  $A$  in  $R$

Because of the contradiction we can conclude that  $n_3 \geq \min(n_1, n_2)$

Proof of (ii):

$$\begin{aligned} \text{let } \alpha &= u_1\pi^{n_1}, \beta = u_2\pi^{n_2} \\ \alpha\beta &= u_1\pi^{n_1}u_2\pi^{n_2} \\ &= u_1u_2\pi^{n_1}\pi^{n_2} = u_1u_2\pi^{n_1+n_2} \\ &= u'\pi^{n_1+n_2} \\ \Rightarrow v(\alpha\beta) &= n_1 + n_2 = v(\alpha) + v(\beta) \end{aligned}$$

**Problem 5:**

Let  $K$  be a field. Suppose  $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$  is a function such that for all  $\alpha, \beta \in K$ :

$$(i) \quad v(\alpha) = \infty \iff \alpha = 0$$

$$(ii) \ v(\alpha + \beta) \geq \min\{v(\alpha), v(\beta)\}$$

$$(iii) \ v(\alpha\beta) = v(\alpha) + v(\beta)$$

Such a function is called a *discrete valuation* on  $K$ . We assume that  $v$  takes values other than 0 and  $\infty$ . Set  $R := \{\alpha \in K \mid v(\alpha) \geq 0\}$ . Prove that  $R$  is DVR by the following steps below:

(i) Show that  $u \in R$  is a unit  $\iff v(u) = 0$ . Hint: First show  $v(1) = 0$ .

(ii) Show there exist element  $r \in R$ , with  $v(r) > 0$ .

(iii) Prove that if  $r \in R$ , and  $v(r) > 0$ , then as an element of  $K$ ,  $v(\frac{1}{r}) = -v(r)$ .

(iv) Suppose  $c := \min\{v(r) \mid r \in R \text{ and } v(r) > 0\}$ . Show that the image of  $v$  is  $c\mathbb{Z}$ .

(v) Show that if  $\pi \in R$  and  $v(\pi) = c$ , then  $R$  is a DVR with uniformizing parameter  $\pi$ .

**Solution:**

Proof of (i):

$$\begin{aligned} u \in R \text{ is a unit} \\ \Rightarrow uu^{-1} &= 1 \\ \Rightarrow v(u) + v(u^{-1}) &= v(1) = 0 \\ u, u^{-1} \in R &\Rightarrow v(u), v(u^{-1}) \geq 0 \\ \Rightarrow v(u) = 0, v(u^{-1}) &= 0 \end{aligned}$$

Now assume  $v(b) = 0$  for some  $b \in R$

$$\begin{aligned} b^{-1} \in K &= \frac{1}{b} \\ \Rightarrow b * \frac{1}{b} &= 1 \text{ in } K \\ \Rightarrow v(b) + v(\frac{1}{b}) &= v(1) = 0 \\ v(b) = 0 &\Rightarrow v(\frac{1}{b}) = 0 \end{aligned}$$

$$\text{since } v(\frac{1}{b}) \geq 0, \frac{1}{b} = b^{-1} \in R$$

So  $b$  has a multiplicative inverse in  $R \Rightarrow b$  is a unit

Proof of (ii):

We assumed that the function  $v(\alpha)$  takes values other than 0 and  $\infty$ , so for some

$\alpha \in K, v(\alpha) \neq 0$ . We need to show that there exists an element in  $R$  with the same property.

$$\alpha \in K, v(\alpha) = c, c \neq 0$$

if  $c > 0$ , then  $c \in R$  by definition of  $R$ , and we are done)

if  $c < 0$ , then  $c^{-1} \in K$

$$c * c^{-1} = 1$$

$$v(c * c^{-1}) = v(1) = 0$$

$$v(c) + v(c^{-1}) = 0$$

$$v(c^{-1}) = -v(c)$$

$$\Rightarrow v(c^{-1}) > 0$$

So  $c^{-1} \in R$  and we are done

Proof of (iii):

$$r \in R, v(r) > 0$$

The multiplicative inverse of  $r$  in  $K$  is  $\frac{1}{r}$

$$\frac{1}{r} * r = 1 \text{ In } K$$

$$\Rightarrow v\left(\frac{1}{r} * r\right) = v(1)$$

$$\Rightarrow v\left(\frac{1}{r}\right) + v(r) = 0$$

$$\Rightarrow v\left(\frac{1}{r}\right) = -v(r)$$

Proof of (iv):

First we can show that all of the elements in the image of  $v$  are divisible by  $c$ . Then we can show that for each multiple of  $c$ ,  $ac$ , with  $a, c \in \mathbb{Z}$ , there exists an element  $t$  s.t.  $v(t) = ac$ .



$\exists r_0 \in R$  s.t.  $v(r_0) = c$

Suppose there is an element  $r \in R$  s.t.  $c \nmid v(r)$

$v(r) = qc + r', 0 < r' < c$  or

$v(r) = q(v(r_0)) + r', 0 < r' < c$

Consider the elements of  $K$ ,  $r, \frac{1}{r_0}$

let  $b = r * \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}$

Where there are  $q$  terms of  $\frac{1}{r_0}$

$v(b) = v(r * \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0})$

$\Rightarrow v(b) = v(r) - v(r_0) - \dots - v(r_0)$

$\Rightarrow v(b) = v(r) - qv(r_0) = r'$

$r' > 0$ , so  $b \in R$ . but  $r' < c$ , and  $c := \min\{v(r) \mid r \in R\}$

This is a contradiction, so every number in the image of  $v$  must be divisible by  $c$

Now we have to show that each multiple of  $c$  is in the range of  $v$ , with  $K$  as the domain.

For each value  $qc$  when  $q \geq 0$  :

$r_0 * r_0 * \dots * r_0 \in K$  with  $q$  terms of  $r_0$

$v(r_0 * r_0 * \dots * r_0) = q * v(r_0) = qc$ , So there exists an element  $r$  in  $K$  s.t.  $v(r) = qc$

If  $q < 0$  :

$\frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0} \in K$  with  $q$  terms of  $\frac{1}{r_0}$

$v(\frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}) = |q| * v(\frac{1}{r_0}) = |q| * (-v(r_0)) = q * v(r_0) = qc$

So there exists an element  $r = \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}$  in  $K$  s.t.  $v(r) = qc$

So if an element is in  $c\mathbb{Z}$ , then that element is in  $\text{im}(v)$ , and if an element is not in  $c\mathbb{Z}$ , then it is not in  $\text{im}(v)$ . This proves the sets are equal.

Proof of (v): To prove that  $R$  is a DVR, we show that for each prime  $p \in R$ ,  $v(p) = v(\pi) \Rightarrow p = u\pi$ . So  $\langle p \rangle = \langle \pi \rangle$ .

Let  $p$  be a prime in  $R$

$\Rightarrow p$  is irreducible, so  $p = ab \Rightarrow a$  or  $b$  is a unit

$\Rightarrow v(p) = v(a) + v(b)$  So one term on the right is nonzero

We know from part (iv) that for all  $r \in R$ ,  $c \mid v(r)$

And the image of  $v$  with  $R$  as the domain is  $c\mathbb{Z}^+$

$c \mid v(p)$

$\Rightarrow v(\pi) * q = v(p)$

$\Rightarrow v(\pi) + \dots + v(\pi) = v(p)$

But  $p$  is irreducible, so only one of the  $q$  summands on the left is nonzero (by induction)

$\Rightarrow v(\pi) = v(p)$

$\Rightarrow \pi = up$

$\Rightarrow \pi \mid p, p \mid \pi \Rightarrow \langle p \rangle \subseteq \langle \pi \rangle, \langle \pi \rangle \subseteq \langle p \rangle,$

$\Rightarrow \langle \pi \rangle = \langle p \rangle$

So  $R$  is a DVR with uniformizing parameter  $p$ .