

Homework 18 - MATH 791

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The problems in this homework set deal with a special kind of PID. Let R be a principal ideal domain with the property that, given any two prime elements, π_1 and π_2 , $\langle \pi_1 \rangle = \langle \pi_2 \rangle$, i.e., up to a unit multiple, there is just one prime element, say $\pi \in R$. Such a ring is called a *discrete valuation ring*, denoted DVR, and $\pi \in R$ is called a *uniformizing parameter*.

Problem 1:

Fix a prime $p \in \mathbb{Z}$. Let R denote the set of rational numbers whose denominators is not divisible by p . First show that R is a subring of \mathbb{Q} , and then show that R is a DVR with uniformizing parameter p .

Solution:

First we need to show that R is a subring of \mathbb{Q} . R inherits associativity and distributivity from \mathbb{Q} , so we only need to show that $(R, +)$ is a group and that R is closed under multiplication.

Closure of $(R, +)$ under composition:

$$\begin{aligned} \frac{a_1}{a_2}, \frac{b_1}{b_2} &\in R \\ p \nmid a_2, p \nmid b_2 \\ \frac{a_1}{a_2} + \frac{b_1}{b_2} &= \frac{a_1 b_2 + b_1 a_2}{a_2 b_2} \end{aligned}$$

Because \mathbb{Z} is a UFD, we use the contrapositive of one of the requirements of a prime to say that $p \nmid a_2, p \nmid b_2 \Rightarrow p \nmid a_2 b_2$.

$$\Rightarrow \frac{a_1 b_2 + b_1 a_2}{a_2 b_2} \in R$$

Closure of $(R, +)$ under inverses:

$$\begin{aligned} \frac{a_1}{a_2} &\in R \\ \left(-\frac{a_1}{a_2} \right) &= \frac{-a_1}{a_2} = \frac{a_1}{-a_2} \in R \end{aligned}$$

Closure of R under multiplication:

$$\begin{aligned}\frac{a_1}{a_2}, \frac{b_1}{b_2} &\in R \\ \frac{a_1}{a_2} * \frac{b_1}{b_2} &= \frac{a_1 b_1}{a_2 b_2} \\ p \nmid a_2, p \nmid b_2 &\Rightarrow p \nmid a_2 b_2 \\ \Rightarrow \frac{a_1}{a_2} * \frac{b_1}{b_2} &\in R\end{aligned}$$

Also R contains the multiplicative identity $\frac{1}{1}$.

Now we need to show that R is a DVR with uniformizing parameter p .

Since primes can't be units, they must be elements of R without a multiplicative inverse.

An element has no multiplicative inverse iff it is a multiple of p .

$$\frac{a_1 p}{a_2} \in R, p \nmid a_2$$

We assume WLOG that $p \nmid a_1$

If $\frac{a_1 p}{a_2}$ had an inverse $\frac{x_1}{x_2}$:

$$\frac{a_1 p}{a_2} * \frac{x_1}{x_2} = \frac{a_1 p x_1}{a_2 x_2} \in \left[\begin{pmatrix} 1 \\ 1 \end{pmatrix} \right]$$

$$p \nmid x_2, p \nmid a_2 \Rightarrow p \nmid a_2 x_2$$

But $p \mid p a_1 x_1$

So p divides the numerator but not the denominator

$$\Rightarrow \frac{a_1 p}{a_2} * \frac{x_1}{x_2} \notin \left[\begin{pmatrix} 1 \\ 1 \end{pmatrix} \right]$$

So a multiple of p does not have an inverse

If an element in R is not a multiple of p , then it has an inverse

$$\begin{aligned}\frac{a_1}{a_2} &\in R, p \nmid a_1, \nmid a_2 \\ \Rightarrow \frac{a_1}{a_2} \frac{a_2}{a_1} &= 1, \frac{a_2}{a_1} \in R\end{aligned}$$

So for any non-unit prime $\frac{pa_1}{a_2}$:

$$\begin{aligned} p & \mid \frac{pa_1}{a_2} \\ \frac{pa_1}{a_2} * \frac{a_2}{a_1} &= p \Rightarrow \frac{pa_1}{a_2} \mid p \\ \Rightarrow \langle \frac{pa_1}{a_2} \rangle &= \langle p \rangle \end{aligned}$$

Problem 2:

Let R be a DVR with uniformizing parameter $\pi \in R$. Show that $\bigcap_{n \geq 1} \langle \pi^n \rangle = 0$.

Solution:

First we can see that since $0 \in \langle \pi^n \rangle$ for all n , $0 \in \bigcap_{n \geq 1} \langle \pi^n \rangle$.

Now consider a nonzero element $a \in R$. We know that a can be written as a finite product of irreducibles (proved earlier), and that π is prime, therefore irreducible, so

case 1: $\pi \nmid a$, or

case 2: $a = \pi^s b$

Suppose there are two ways of writing a in case 2:

$a = \pi^m b = \pi^n b'$ suppose $m \geq n$, and $m, n \geq 1$

$\pi^{m-n} b = b'$ From cancellation in IDs

$$\Rightarrow \pi^n b' = \pi^n (\pi^{m-n} b)$$

So the two factorizations have the same number of π 's

In case 1:

$\pi \nmid a$

$$\Rightarrow \forall q, \pi q \neq a \Rightarrow a \notin \langle \pi \rangle$$

In case 2:

$a = \pi^s b$

WLOG, assume $\pi \nmid b$

$$\Rightarrow \forall q, \pi q \neq b$$

$$\Rightarrow \forall q, \pi^{s+1} q \neq a$$

$$\Rightarrow a \notin \langle \pi^{s+1} \rangle$$

In all cases, for any nonzero a there exists an ideal of a power of π such that $a \notin \langle \pi^n \rangle$. So since a is not in all ideals of the form $\langle \pi^n \rangle$, $a \notin \bigcap_{n \geq 1} \langle \pi^n \rangle$. But $0 \in \bigcap_{n \geq 1} \langle \pi^n \rangle$. So $\bigcap_{n \geq 1} \langle \pi^n \rangle = 0$

Problem 3:

Let R be a DVR with uniformizing parameter $\pi \in R$. Show that every element in R can be written uniquely as $u\pi^n$ for some $n \geq 0$ and $u \in R$ a unit. Conclude that if K denotes the quotient field of R , then every element in K can be written uniquely in the form $u\pi^n$ for some $n \in \mathbb{Z}$ and $u \in R$, a unit.

Solution:

First we can prove that R is a UFD. We know that every element in R can be written as a product of irreducibles. Now we prove that irreducible elements generate maximal ideals:

$p \in R$ is irreducible

Suppose $\langle p \rangle \subseteq \langle j \rangle \subseteq R$

$\Rightarrow p = jr$

p is irreducible $\Rightarrow j$ or r is a unit

if r is a unit, then $\langle j \rangle = \langle p \rangle$

if j is a unit, then $\langle j \rangle = R$ which is not an ideal

So $\langle p \rangle$ is a maximal ideal. Now we can prove that maximal ideals are prime ideals, and that an element generating a prime ideal is prime.

Suppose $\langle q \rangle$ is a maximal ideal and that

$q = ab$

We also assume that $q \mid ab, q \nmid a$

$\langle q \rangle \subset \langle q \rangle + \langle a \rangle$

$\langle q \rangle + \langle a \rangle$ is an ideal, since the sum of ideals is an ideal

$\langle q \rangle + \langle a \rangle = R$, because it is a strict superset of $\langle q \rangle$, and $\langle q \rangle$ is maximal

$1 \in \langle q \rangle + \langle a \rangle$

$\Rightarrow 1 = r_1q + r_2a$

$\Rightarrow b \cdot 1 = b \cdot r_1q + b \cdot r_2a$

$\Rightarrow b = br_1q + r_2(ab)$

$q \mid (br_1)q, q \mid (r_2)ab$

$\Rightarrow q \mid br_1q + r_2(ab) \Rightarrow q \mid b$

So q is prime. We have shown that every irreducible element is prime in R . So every element can be written as a finite product of irreducibles, and therefore can be written as a finite product of primes. This implies that R is a UFD, which includes uniqueness of

the factorizations. But since there is only one prime:

$$\begin{aligned} r \in R &\Rightarrow r = (u_0\pi)(u_1\pi)(u_2\pi)\dots(u_k\pi) \\ &\Rightarrow r = (u_0\dots u_k)\pi^k = u'\pi^k \end{aligned}$$

Now we have to prove that every element of K can be written in a similar way.

$$\frac{a}{b} \in K$$

$$\frac{a}{b} = \frac{u_1\pi^m}{u_2\pi^n}$$

If $m \geq n$:

$$\begin{aligned} \frac{u_1\pi^m}{u_2\pi^n} &= \frac{u_2\pi^n u_2^{-1} u_1\pi^{m-n}}{u_2\pi^n} \\ &= \frac{u_2^{-1} u_1\pi^{m-n}}{1} = \frac{u'\pi^{m-n}}{1} = u'\pi^{m-n} \end{aligned}$$

If $m < n$:

$$\begin{aligned} \frac{u_1\pi^m}{u_2\pi^n} &= \frac{u_1\pi^m}{u_1\pi^m u_1^{-1} u_2\pi^{n-m}} \\ &= \frac{1}{u_1^{-1} u_2\pi^{n-m}} = \frac{1}{u'\pi^{n-m}} \\ &= \left(\frac{u'\pi^{n-m}}{1} \right)^{-1} \\ &= (u'\pi^{n-m})^{-1} \text{ using the convention of writing } \frac{a}{1} = a \text{ in } K \\ &= u'(\pi^{n-m})^{-1} \end{aligned}$$

Problem 4:

Let R be a DVR with uniformizing parameter $\pi \in R$, and quotient field K . Define $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$ by $v(0) = \infty$ and for $\alpha \neq 0$, $v(\alpha) = n$, where $\alpha \in K$ and $\alpha = u\pi^n$, as in 3. Show that for all $\alpha, \beta \in K$:

$$(i) \ v(\alpha + \beta) \geq \min\{v(\alpha), v(\beta)\}$$

$$(ii) \ v(\alpha\beta) = v(\alpha) + v(\beta)$$

Observe that $R = \{a \in K \mid v(a) \geq 0\}$

Solution:

Proof of (i):

$$\text{let } \alpha = u_1\pi^{n_1}, \beta = u_2\pi^{n_2}$$

We are considering the elements as being in K , but u_1, u_2 are units in R and $\alpha, \beta \in R$

Assume that $n_1 < n_2$

$$u_1\pi^{n_1} + u_2\pi^{n_2} = u_3\pi^{n_3} \text{ from 3.}$$

Suppose that $n_3 < n_1$ (This will show a contradiction)

$$\begin{aligned} u_1\pi^{n_1} + u_2\pi^{n_2} &= u_3\pi^{n_3} \\ &= \pi^{n_1}(u_1 + u_2\pi^{n_2-n_1}) = \pi^{n_1}u_3\pi^{n_3-n_1} \\ &= u_1 + u_2\pi^{n_2-n_1} = u_3\pi^{n_3-n_1} \end{aligned}$$

Note that $n_3 - n_1 < 0$

$$= u_1 + u_2\pi^{n_2-n_1} = u_3(\pi^{n_1-n_3})^{-1}$$

The expression on the left side is an element of R from closure

This implies the right side is in R (its not)

But we need to check that $u_3(\pi^{n_1-n_3})^{-1}$ can't be in R

If $u_3(\pi^{n_1-n_3})^{-1} \in R$, then it can be written $u_1\pi^b, b \geq 0$

$$\Rightarrow u_1\pi^b * u_3(\pi^{n_1-n_3}) = 1$$

$$\Rightarrow A * u_1\pi^b * u_3(\pi^{n_1-n_3}) = A$$

This contradicts the unique factorization of A in R

Because of the contradiction we can conclude that $n_3 \geq \min(n_1, n_2)$

Proof of (ii):

$$\begin{aligned} \text{let } \alpha &= u_1\pi^{n_1}, \beta = u_2\pi^{n_2} \\ \alpha\beta &= u_1\pi^{n_1}u_2\pi^{n_2} \\ &= u_1u_2\pi^{n_1}\pi^{n_2} = u_1u_2\pi^{n_1+n_2} \\ &= u'\pi^{n_1+n_2} \\ \Rightarrow v(\alpha\beta) &= n_1 + n_2 = v(\alpha) + v(\beta) \end{aligned}$$

Problem 5:

Let K be a field. Suppose $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$ is a function such that for all $\alpha, \beta \in K$:

$$(i) \quad v(\alpha) = \infty \iff \alpha = 0$$

$$(ii) \ v(\alpha + \beta) \geq \min\{v(\alpha), v(\beta)\}$$

$$(iii) \ v(\alpha\beta) = v(\alpha) + v(\beta)$$

Such a function is called a *discrete valuation* on K . We assume that v takes values other than 0 and ∞ . Set $R := \{\alpha \in K \mid v(\alpha) \geq 0\}$. Prove that R is DVR by the following steps below:

(i) Show that $u \in R$ is a unit $\iff v(u) = 0$. Hint: First show $v(1) = 0$.

(ii) Show there exist element $r \in R$, with $v(r) > 0$.

(iii) Prove that if $r \in R$, and $v(r) > 0$, then as an element of K , $v(\frac{1}{r}) = -v(r)$.

(iv) Suppose $c := \min\{v(r) \mid r \in R \text{ and } v(r) > 0\}$. Show that the image of v is $c\mathbb{Z}$.

(v) Show that if $\pi \in R$ and $v(\pi) = c$, then R is a DVR with uniformizing parameter π .

Solution:

Proof of (i):

$$\begin{aligned} u \in R \text{ is a unit} \\ \Rightarrow uu^{-1} &= 1 \\ \Rightarrow v(u) + v(u^{-1}) &= v(1) = 0 \\ u, u^{-1} \in R &\Rightarrow v(u), v(u^{-1}) \geq 0 \\ \Rightarrow v(u) = 0, v(u^{-1}) &= 0 \end{aligned}$$

Now assume $v(b) = 0$ for some $b \in R$

$$\begin{aligned} b^{-1} \in K &= \frac{1}{b} \\ \Rightarrow b * \frac{1}{b} &= 1 \text{ in } K \\ \Rightarrow v(b) + v(\frac{1}{b}) &= v(1) = 0 \\ v(b) = 0 &\Rightarrow v(\frac{1}{b}) = 0 \end{aligned}$$

$$\text{since } v(\frac{1}{b}) \geq 0, \frac{1}{b} = b^{-1} \in R$$

So b has a multiplicative inverse in $R \Rightarrow b$ is a unit

Proof of (ii):

We assumed that the function $v(\alpha)$ takes values other than 0 and ∞ , so for some

$\alpha \in K, v(\alpha) \neq 0$. We need to show that there exists an element in R with the same property.

$$\alpha \in K, v(\alpha) = c, c \neq 0$$

if $c > 0$, then $c \in R$ by definition of R , and we are done)

if $c < 0$, then $c^{-1} \in K$

$$c * c^{-1} = 1$$

$$v(c * c^{-1}) = v(1) = 0$$

$$v(c) + v(c^{-1}) = 0$$

$$v(c^{-1}) = -v(c)$$

$$\Rightarrow v(c^{-1}) > 0$$

So $c^{-1} \in R$ and we are done

Proof of (iii):

$$r \in R, v(r) > 0$$

The multiplicative inverse of r in K is $\frac{1}{r}$

$$\frac{1}{r} * r = 1 \text{ In } K$$

$$\Rightarrow v\left(\frac{1}{r} * r\right) = v(1)$$

$$\Rightarrow v\left(\frac{1}{r}\right) + v(r) = 0$$

$$\Rightarrow v\left(\frac{1}{r}\right) = -v(r)$$

Proof of (iv):

First we can show that all of the elements in the image of v are divisible by c . Then we can show that for each multiple of c , ac , with $a, c \in \mathbb{Z}$, there exists an element t s.t. $v(t) = ac$.

$\exists r_0 \in R$ s.t. $v(r_0) = c$

Suppose there is an element $r \in R$ s.t. $c \nmid v(r)$

$v(r) = qc + r', 0 < r' < c$ or

$v(r) = q(v(r_0)) + r', 0 < r' < c$

Consider the elements of K , $r, \frac{1}{r_0}$

let $b = r * \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}$

Where there are q terms of $\frac{1}{r_0}$

$v(b) = v(r * \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0})$

$\Rightarrow v(b) = v(r) - v(r_0) - \dots - v(r_0)$

$\Rightarrow v(b) = v(r) - qv(r_0) = r'$

$r' > 0$, so $b \in R$. but $r' < c$, and $c := \min\{v(r) \mid r \in R\}$

This is a contradiction, so every number in the image of v must be divisible by c

Now we have to show that each multiple of c is in the range of v , with K as the domain.

For each value qc when $q \geq 0$:

$r_0 * r_0 * \dots * r_0 \in K$ with q terms of r_0

$v(r_0 * r_0 * \dots * r_0) = q * v(r_0) = qc$, So there exists an element r in K s.t. $v(r) = qc$

If $q < 0$:

$\frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0} \in K$ with q terms of $\frac{1}{r_0}$

$v(\frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}) = |q| * v(\frac{1}{r_0}) = |q| * (-v(r_0)) = q * v(r_0) = qc$

So there exists an element $r = \frac{1}{r_0} * \frac{1}{r_0} * \dots * \frac{1}{r_0}$ in K s.t. $v(r) = qc$

So if an element is in $c\mathbb{Z}$, then that element is in $\text{im}(v)$, and if an element is not in $c\mathbb{Z}$, then it is not in $\text{im}(v)$. This proves the sets are equal.

Proof of (v): To prove that R is a DVR, we show that for each prime $p \in R$, $v(p) = v(\pi) \Rightarrow p = u\pi$. So $\langle p \rangle = \langle \pi \rangle$.

Let p be a prime in R

$\Rightarrow p$ is irreducible, so $p = ab \Rightarrow a$ or b is a unit

$\Rightarrow v(p) = v(a) + v(b)$ So one term on the right is nonzero

We know from part (iv) that for all $r \in R$, $c \mid v(r)$

And the image of v with R as the domain is $c\mathbb{Z}^+$

$c \mid v(p)$

$\Rightarrow v(\pi) * q = v(p)$

$\Rightarrow v(\pi) + \dots + v(\pi) = v(p)$

But p is irreducible, so only one of the q summands on the left is nonzero (by induction)

$\Rightarrow v(\pi) = v(p)$

Now we have to prove that $v(\pi) = v(p) \Rightarrow \pi = up$, with $u \in R$ a unit

$v(\pi) = v(p)$

$v(\pi) - v(p) = 0$ From this point we can switch to the context of the field F :

$= v(\pi) + v\left(\frac{1}{p}\right) = 0$ From (iii)

$\Rightarrow v\left(\pi * \frac{1}{p}\right) = 0$

$\Rightarrow \pi * \frac{1}{p} = u$ where u is a unit in R

$\Rightarrow \pi * \frac{1}{p} * \left(\frac{1}{p}\right)^{-1} = u * \left(\frac{1}{p}\right)^{-1}$

$\Rightarrow \pi = u * p$ All of these elements are in R

$\Rightarrow \pi \mid p, p \mid \pi \Rightarrow \langle p \rangle \subseteq \langle \pi \rangle, \langle \pi \rangle \subseteq \langle p \rangle,$

$\Rightarrow \langle \pi \rangle = \langle p \rangle$

So R is a DVR with uniformizing parameter p .