# MM 811 Programming Assignment: Autoregressive Model

Mahbubur Rahman (mahbubu1@ualberta.ca) Student ID: 1870909

In this assignment, four questions have been answered. All the source codes and running results are available online: https://github.com/Durlov1603025/MM-811-Programming-Assignment.git

## 1 Question 1

The goal of this task is to implement an image classification model using a Convolutional Neural Network (CNN) on the MNIST dataset. The model should achieve an accuracy greater than 90% while maintaining a compact architecture. The code was divided into three main parts: model.py, train\_eval.py, and main.py, which are described below.

The file **model.py** defines the architecture used for MNIST digit classification. The network consists of three convolutional layers followed by two fully connected layers. Each convolutional layer is followed by Batch Normalization and ReLU activation to improve learning stability.

The core architecture was implemented as follows:

```
1 import torch
2 import torch.nn as nn
4 class ConvNet(nn.Module):
      def __init__(self, in_channels=1, num_classes=10):
          Architecture for MNIST classification.
          :param in_channels: number of input channels (1 for grayscale)
          :param num_classes: number of output classes (10 digits)
10
          super().__init__()
14
          # Feature extraction layers
          self.features = nn.Sequential(
16
             nn.Conv2d(in_channels, 32, kernel_size=3, padding=1),
17
             nn.BatchNorm2d(32),
              nn.ReLU(inplace=True),
19
20
              nn.MaxPool2d(2),
21
              nn.Conv2d(32, 64, kernel_size=3, padding=1),
23
              nn.BatchNorm2d(64),
              nn.ReLU(inplace=True),
24
              nn.MaxPool2d(2),
25
              nn.Conv2d(64, 128, kernel_size=3, padding=1),
              nn.BatchNorm2d(128),
              nn.ReLU(inplace=True),
              nn.AdaptiveAvgPool2d((4, 4))
30
31
          )
32
        # Classification layers
33
        self.classifier = nn.Sequential(
              nn.Flatten(),
35
              nn.Dropout (0.4),
```

The file train\_eval.py includes helper functions for model training and validation. The train\_epoch() function iterates through all training batches, computes loss using CrossEntropyLoss, and updates model weights with backpropagation. The evaluation function evaluate() is similar but runs in torch.no\_grad() mode to disable gradient computation. This function measures accuracy on the test dataset.

The source code of train\_eval.py is given below:

```
1 import torch
2 import torch.nn as nn
5 # Training and evaluation utilities
9 def train_epoch(model, device, dataloader, optimizer, criterion):
      Train the model for one epoch on the training dataset.
11
     model.train()
14
15
     total_loss, correct, total = 0.0, 0, 0
      for images, targets in dataloader:
17
        images, targets = images.to(device), targets.to(device)
18
19
          optimizer.zero_grad()
         outputs = model(images)
2.0
        loss = criterion(outputs, targets)
loss.backward()
optimizer.step()
22
        total_loss += loss.item() * images.size(0)
25
        _, pred = outputs.max(1)
correct += pred.eq(targets).sum().item()
26
        total += images.size(0)
28
29
      avg_loss = total_loss / total
30
      acc = correct / total * 100.0
31
32
      return avg_loss, acc
33
34
35 def evaluate(model, device, dataloader, criterion):
36
37
      Evaluate model on validation or test dataset.
38
39
     model.eval()
      total_loss, correct, total = 0.0, 0, 0
41
42.
      with torch.no_grad():
        for images, targets in dataloader:
44
45
              images, targets = images.to(device), targets.to(device)
              outputs = model(images)
46
              loss = criterion(outputs, targets)
47
             total_loss += loss.item() * images.size(0)
49
              _, pred = outputs.max(1)
               correct += pred.eq(targets).sum().item()
              total += images.size(0)
52
```

```
avg_loss = total_loss / total
acc = correct / total * 100.0
return avg_loss, acc
```

The model accuracy is computed using the equation:

$$Accuracy = \frac{Number of Correct Predictions}{Total Samples} \times 100$$
 (1)

The main.py script acts as the entry point of the project. It initializes the dataset, model, loss function, and optimizer, and coordinates the training and evaluation process.

The **main.py** is implemented as following:

```
ı import argparse
2 import torch
3 import torch.optim as optim
4 from torch.utils.data import DataLoader, Subset
5 from torchvision import datasets, transforms
6 from datetime import datetime
8 from model import ConvNet
9 from train_eval import train_epoch, evaluate
12 def main(args):
      device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
      print("Using device:", device)
14
15
      # Data preprocessing
17
      transform = transforms.Compose([
18
         transforms.Resize((32, 32)),
          transforms.ToTensor(),
          transforms.Normalize((0.1307,), (0.3081,))
20
      ])
21
22
      # Load MNIST dataset
24
      train_ds = datasets.MNIST(root="./data", train=True, download=True, transform=transform)
25
      test_ds = datasets.MNIST(root="./data", train=False, download=True, transform=transform)
26
      if args.subset is not None and args.subset > 0:
          train_ds = Subset(train_ds, list(range(args.subset)))
28
29
          print(f"Using subset of {len(train_ds)} images for training")
30
      train_loader = DataLoader(train_ds, batch_size=args.batch_size, shuffle=True)
31
32
      test_loader = DataLoader(test_ds, batch_size=args.batch_size, shuffle=False)
33
      model = ConvNet(in_channels=1, num_classes=10).to(device)
34
      criterion = torch.nn.CrossEntropyLoss()
35
      optimizer = optim.SGD (model.parameters(), lr=args.lr, momentum=0.9)
36
37
      scheduler = optim.lr_scheduler.StepLR(optimizer, step_size=3, gamma=0.5)
38
      best_acc = 0.0
39
40
      for epoch in range(1, args.epochs + 1):
          t0 = datetime.now()
41
42
          train_loss, train_acc = train_epoch(model, device, train_loader, optimizer, criterion)
          test_loss, test_acc = evaluate(model, device, test_loader, criterion)
43
          scheduler.step()
44
45
          print(f"Epoch {epoch:02d}: Train acc {train_acc:.2f}% | Test acc {test_acc:.2f}%")
46
          print(f"Train loss {train_loss:.4f} | Test loss {test_loss:.4f}")
47
48
49
          if test_acc > best_acc:
              best acc = test acc
50
              torch.save(model.state_dict(), "best_model.pth")
53
          print(f"Epoch time: {(datetime.now() - t0).total_seconds():.1f}s\n")
55
      print(f"Training complete! Best test accuracy: {best_acc:.2f}%")
58 if __name__ == "__main__":
```

```
parser = argparse.ArgumentParser()
parser.add_argument("--epochs", type=int, default=5)
parser.add_argument("--batch-size", type=int, default=128)
parser.add_argument("--lr", type=float, default=0.01)
parser.add_argument("--subset", type=int, default=None)
args = parser.parse_args()

main(args)
```

A screenshot of program output is demonstrated in Fig. 1.

```
E:\MM 811\Ass1\Q1>python main.py
Using device: cuda
100% | 9.91M/9.91M [00:03<00:00,
100% 28.9k/28.9k 00:00<00:00,
100% 1.65M/1.65M [00:00<00:00,
100% 4.54k/4.54k [00:00<?, ?B/
Epoch 01: Train acc 93.16% | Test acc 98.38%
Train loss 0.2316 | Test loss 0.0466
Epoch time: 9.5s
Epoch 02: Train acc 97.95% | Test acc 98.99%
Train loss 0.0688 | Test loss 0.0298
Epoch time: 10.7s
Epoch 03: Train acc 98.42% | Test acc 99.09%
Train loss 0.0521 | Test loss 0.0291
Epoch time: 10.4s
Epoch 04: Train acc 98.82% | Test acc 99.18%
Train loss 0.0388 | Test loss 0.0258
Epoch time: 10.7s
Epoch 05: Train acc 98.93% | Test acc 99.23%
Train loss 0.0343 | Test loss 0.0245
Epoch time: 15.3s
Training complete! Best test accuracy: 99.23%
```

Figure 1: Output of Question 1

## 2 Question 2

The binary autoencoder was implemented in the file autoencoder\_model.py. The architecture consists of three main parts: an encoder, a binarizer, and a decoder. The encoder compresses the input image of size  $1 \times 32 \times 32$  into a smaller latent feature map of size  $3 \times 4 \times 4$  using a series of convolutional, batch normalization, and ReLU layers. Immediately after the encoder, the latent representation is passed through a binarization function that converts the continuous values into discrete  $\{-1,+1\}$  activations using a Straight-Through Estimator (STE) [1]. The decoder reconstructs the original image from these binary latent values using transposed convolutional layers. A final tanh activation ensures that the reconstructed image values lie within the normalized range [-1,1]. The source code (*autoencoder\_model.py*) is shown as follows:

```
1 import torch
2 import torch.nn as nn
4 # BinarizeFunction
5 class BinarizeFunction(torch.autograd.Function):
     @staticmethod
      def forward(ctx, x):
          return x.sign() # outputs -1 or +1
      @staticmethod
      def backward(ctx, grad_output):
          return grad_output # straight-through estimator
12
14
15 def binarize(x: torch.Tensor) -> torch.Tensor:
      return BinarizeFunction.apply(x)
18
19 class AutoEncoder(nn.Module):
     def __init__(self, use_binary: bool = True):
          super().__init__()
22
          self.use_binary = use_binary
24
          # Encoder: 1x32x32 -> 3x4x4
        self.encoder = nn.Sequential(
2.5
              nn.Conv2d(1, 32, 3, 1, 1), # 1x32x32 -> 32x32x32
27
              nn.BatchNorm2d(32),
              nn.ReLU(inplace=True),
28
              nn.Conv2d(32, 64, 4, 2, 1), # -> 64x16x16
              nn.BatchNorm2d(64),
30
              nn.ReLU(inplace=True),
31
              nn.Conv2d(64, 128, 4, 2, 1), # -> 128x8x8
              nn.BatchNorm2d(128),
33
34
              nn.ReLU(inplace=True),
              nn.Conv2d(128, 256, 4, 2, 1), # -> 256x4x4
35
              nn.BatchNorm2d(256),
36
37
              nn.ReLU(inplace=True),
              nn.Conv2d(256, 3, 3, 1, 1), \# \rightarrow 3x4x4 (latent logits)
38
30
          )
          # Decoder: 3x4x4 -> 1x32x32
41
42
          self.decoder = nn.Sequential(
              nn.ConvTranspose2d(3, 128, 4, 2, 1), # -> 128x8x8
43
              nn.BatchNorm2d(128),
44
              nn.ReLU(inplace=True),
              nn.ConvTranspose2d(128, 64, 4, 2, 1), # -> 64x16x16
46
47
              nn.BatchNorm2d(64),
              nn.ReLU(inplace=True),
              nn.ConvTranspose2d(64, 32, 4, 2, 1), # -> 32x32x32
49
50
              nn.BatchNorm2d(32),
51
              nn.ReLU(inplace=True),
              nn.Conv2d(32, 1, 3, 1, 1), \# \rightarrow 1x32x32
52
53
              nn.Tanh(), \# output values in \{-1, +1\}
          )
54
55
      def forward(self, x):
          latent_logits = self.encoder(x) # (N, 3, 4, 4), real-valued
```

```
if self.use_binary:
    latent = binarize(latent_logits) # strictly +1 or -1
else:
latent = latent_logits # warm-up (no binarization)
recon = self.decoder(latent)
# Return both for training utilities
return recon, latent, latent_logits
```

The training and evaluation procedures are implemented in the file train\_autoencoder.py. Two key functions are defined: train\_epoch() and evaluate(). The train\_epoch() function performs one full epoch of training using either L1 or MSE reconstruction loss.

The evaluate() function computes the average PSNR on the dataset using the functions compute\_psnr\_torch() and compute\_psnr\_sigs(). The source code of train\_autoencoder.py is given below:

```
1 import torch
2 import torch.nn.functional as F
5 def compute_psnr_torch(imgs, refs, eps=1e-8):
      mse = F.mse_loss(imgs, refs, reduction='none').mean(dim=[1, 2, 3])
      psnr = 20 * torch.log10(255.0 / torch.sqrt(mse + eps))
10
ii def compute_psnr_sigs(imgs, refs):
   imgs_norm = imgs + 1.0
13
      refs\_norm = refs + 1.0
     imgs_norm *= 0.5
    refs_norm *= 0.5
15
      imgs_norm *= 255.0
    refs_norm *= 255.0
17
    psnr_vals = compute_psnr_torch(imgs_norm, refs_norm)
18
      return psnr_vals
19
22 def train_epoch(model, dataloader, device, optimizer, *,
                 use_l1=True, reg_lambda=1e-3, push_margin=1.0):
2.3
     model.train()
24
25
     total_loss = 0.0
      total_psnr = 0.0
26
27
      for imgs, _ in dataloader:
28
29
         imgs = imgs.to(device)
         imgs = imgs * 2.0 - 1.0
30
31
32
         optimizer = torch.optim.Adam(model.parameters(), lr=1e-3)
         optimizer.zero_grad(set_to_none=True)
33
         recon, binary_latent, latent_logits = model(imgs)
34
35
         # Reconstruction loss
36
37
         if use 11:
              rec_loss = F.11_loss(recon, imgs)
38
39
         else:
             rec_loss = F.mse_loss(recon, imgs)
41
         commit = F.relu(push_margin - latent_logits.abs()).mean()
42.
         loss = rec_loss + reg_lambda * commit
43
44
45
          loss.backward()
          optimizer.step()
47
48
          total_loss += rec_loss.item()
          total_psnr += compute_psnr_sigs(recon.detach(), imgs).mean().item()
49
50
51
      avg_loss = total_loss / len(dataloader)
      avg_psnr = total_psnr / len(dataloader)
52
53
      return avg_loss, avg_psnr
56 def evaluate(model, dataloader, device):
```

```
57
      model.eval()
58
      total_psnr = 0.0
      with torch.no_grad():
59
          for imgs, _ in dataloader:
60
              imgs = imgs.to(device)
61
              imgs = imgs * 2.0 - 1.0
62
              recon, _, _ = model(imgs)
63
              total_psnr += compute_psnr_sigs(recon, imgs).mean().item()
65
      avg_psnr = total_psnr / len(dataloader)
      return avg_psnr
```

The file main.py manages the data loading, model initialization, and training loop. During the first few epochs (warm-up phase), binarization is disabled to allow the decoder to learn from continuous latent features. After the warm-up period, binarization is activated so that the latent space becomes strictly  $\{-1,+1\}$ . The model is trained and evaluated on the same subset of the MNIST dataset to test its memorization capability. The best-performing model is automatically saved based on PSNR improvement. The source code of main.py is given below:

```
import argparse
2 import torch
3 import torch.optim as optim
4 from torch.utils.data import DataLoader
5 from torchvision import datasets, transforms
7 from autoencoder_model import AutoEncoder
8 from train_autoencoder import train_epoch, evaluate
10 def get_dataloaders(batch_size: int, num_workers: int = 2):
      tfm = transforms.Compose([
11
          transforms.Resize(32),
          transforms.ToTensor(),
14
15
      train_ds = datasets.MNIST(root='./data', train=True, download=True, transform=tfm)
16
      test_ds = train_ds
18
      train loader = DataLoader(
19
         train_ds, batch_size=batch_size, shuffle=True,
          num_workers=num_workers, pin_memory=True
21
22
      val_loader = DataLoader(
         test_ds, batch_size=batch_size, shuffle=False,
24
25
          num_workers=num_workers, pin_memory=True
26
      return train_loader, val_loader
2.7
30 def main():
      parser = argparse.ArgumentParser()
      parser.add_argument('--epochs', type=int, default=200)
32
     parser.add_argument('--warmup_epochs', type=int, default=10, help='Train without binarization for first N
33
      parser.add_argument('--batch_size', type=int, default=128)
34
      parser.add_argument('--lr', type=float, default=1e-3)
35
      parser.add_argument('--reg_lambda', type=float, default=1e-3, help='Weight for latent push-away regularizer
36
37
      parser.add_argument('--use_l1', action='store_true', help='Use L1 loss (default off if flag absent)')
      parser.add_argument('--no_11', action='store_true', help='Force MSE even if --use_11 set elsewhere')
38
39
      args = parser.parse_args()
      # Resolve L1 flag
41
42
      use_l1 = True
      if args.no_l1:
43
         use_l1 = False
44
45
      elif args.use_l1:
         use_l1 = True
46
47
      device = torch.device('cuda' if torch.cuda.is_available() else 'cpu')
      print('Using device:', device)
49
```

```
train_loader, val_loader = get_dataloaders(args.batch_size)
51
52
      model = AutoEncoder(use_binary=False) # start with warm-up by default
53
      model.to(device)
54
55
      optimizer = optim.Adam(model.parameters(), lr=args.lr)
56
      scheduler = optim.lr_scheduler.ReduceLROnPlateau(
57
58
          optimizer, mode='max', factor=0.5, patience=10
59 )
60
61
      best_psnr = 0.0
62
63
      for epoch in range(1, args.epochs + 1):
64
65
          # Toggle binarization after warmup period
          if epoch == args.warmup_epochs + 1:
             model.use_binary = True
67
68
69
         train_loss, train_psnr = train_epoch(
70
71
              model, train_loader, device, optimizer,
              use_l1=use_l1, reg_lambda=args.reg_lambda
72
74
          val_psnr = evaluate(model, val_loader, device)
75
          scheduler.step(val_psnr)
76
77
          print(f"Epoch {epoch:03d} | TrainLoss: {train_loss:.4f} | TrainPSNR: {train_psnr:.2f} | ValPSNR: {
      val_psnr:.2f}")
78
          # save best
79
          if val_psnr > best_psnr:
80
             best_psnr = val_psnr
81
              torch.save(model.state_dict(), 'autoencoder_best.pth')
82
83
              print(f" -> Saved new best (Val PSNR: {best_psnr:.2f})")
84
          # Early exit if goal reached
85
          if val_psnr > 25.0:
              print(f"\nGoal achieved! PSNR 25.0 (Current: {val_psnr:.2f}) ***")
87
88
              break
      print(f"\nTraining complete. Best Validation PSNR: {best_psnr:.2f}")
90
93
94 if __name__ == '__main__':
      main()
```

Table 1: AutoEncoder Network Architecture

Part	Layer Type	Kernel / Filter Size	Stride	Padding	<b>Output Size</b>
Encoder	Conv2d(1, 32)	3 × 3	1	1	$32 \times 32 \times 32$
	Conv2d(32, 64)	$4 \times 4$	2	1	$64 \times 16 \times 16$
	Conv2d(64, 128)	$4 \times 4$	2	1	$128 \times 8 \times 8$
	Conv2d(128, 256)	$4 \times 4$	2	1	$256 \times 4 \times 4$
	Conv2d(256, 3)	$3 \times 3$	1	1	$3 \times 4 \times 4$
Decoder	ConvTranspose2d(3, 256)	$3 \times 3$	1	1	$256 \times 4 \times 4$
	ConvTranspose2d(256, 128)	$4 \times 4$	2	1	$128 \times 8 \times 8$
	ConvTranspose2d(128, 64)	$4 \times 4$	2	1	$64 \times 16 \times 16$
	ConvTranspose2d(64, 32)	$4 \times 4$	2	1	$32 \times 32 \times 32$
	Conv2d(32, 1)	3 × 3	1	1	$1 \times 32 \times 32$

An overview of AutoEncoder Network Architecture can be found from Table 1. The output is shown in Fig. 2

```
PS E:\MM 811\Ass1\q 2> python main.py
Using device: cuda
Epoch 001 | TrainLoss: 0.0988 | TrainPSNR: 19.18 | ValPSNR: 21.60
   -> Saved new best (Val PSNR: 21.60)
Epoch 002 | TrainLoss: 0.0546 | TrainPSNR: 22.69 | ValPSNR: 23.64
   -> Saved new best (Val PSNR: 23.64)
Epoch 003 | TrainLoss: 0.0466 | TrainPSNR: 24.03 | ValPSNR: 24.64
   -> Saved new best (Val PSNR: 24.64)
Epoch 004 | TrainLoss: 0.0423 | TrainPSNR: 24.85 | ValPSNR: 25.25
   -> Saved new best (Val PSNR: 25.25)
Goal achieved! PSNR ≥ 25.0 (Current: 25.25) ***
Training complete. Best_Validation PSNR: 25.25
```

Figure 2: Output of question 2

## 3 Question 3

### 3.1 Sequence to Token

The goal of this experiment is to train an autoregressive model that can memorize binary latent sequences extracted from the trained AutoEncoder (Question 2) and generate new MNIST-like digit images token by token. The success criterion is achieving more than 80% memorization rate, defined as the proportion of generated sequences that exactly match the training data.

### **Dataset Preparation**

- Uses the **binary latent codes** produced by the trained autoencoder (Question 2).
- Each latent tensor  $(3 \times 4 \times 4)$  elements is flattened into a 48-token sequence.
- Continuous values in [-1,1] are mapped to binary values (either +1 or -1) using the transformation.
- Each training sample is split into prefix-target pairs

```
class LatentSequenceDataset(Dataset):
      def __init__(self, autoencoder, images, device):
          self.device = device
          autoencoder.eval()
          # Extract binary latent codes
          with torch.no_grad():
             imgs_normalized = images.to(device) * 2 - 1
              _, latents, _ = autoencoder(imgs_normalized)
         self.latents = latents.view(latents.size(0), -1) # (N, 48), values \{-1, +1\}
11
         self.tokens = ((self.latents + 1) / 2).long()
         # Create autoregressive training pairs
14
         seq_len = self.tokens.size(1) # 48
15
         self.inputs, self.labels, self.lengths = [], [], []
         for seq in self.tokens:
18
              for i in range(1, seq_len):
```

```
20
                   self.inputs.append(seq[:i])
21
                   self.labels.append(seq[i])
                   self.lengths.append(i)
22
23
24
      def __len__(self):
25
           return len(self.inputs)
26
27
      def __getitem__(self, idx):
28
          x = self.inputs[idx].float()
           y = self.labels[idx].float()
           length = self.lengths[idx]
30
           return x, y, length
31
33
34 def collate_fn(batch):
     sequences, labels, lengths = zip(*batch)
      \ensuremath{\sharp} Pad sequences to max length in this batch
36
37
      sequences_padded = pad_sequence(sequences, batch_first=True, padding_value=0)
38
      labels = torch.stack(labels)
      lengths = torch.tensor(lengths)
39
      return sequences_padded, labels, lengths
```

#### **Model Architecture**

The model, named **Seq2TokenLSTM**, is designed for next-token prediction. The model can be defined as:

- Embedding Layer: Maps binary tokens into 128-dimensional continuous embeddings.
- **LSTM** [2] **Layers:** Two stacked layers with hidden size 128, capturing temporal dependencies between tokens.
- Fully Connected Layers: Linear(128→64) → ReLU → Linear(64→1) → Sigmoid structure producing the probability for the next token.

Table 2. Seq2 Token Network Membeetare					
Layer Type	Input Size	Output Size	Activation	Notes	
Embedding(2, 128)	binary token	128-dim vector	_	Binary token $\in \{-1, +1\}$ embedded into 128-dim vector	
LSTM Layer 1	128	128	_	Hidden size = 128, batch_first=True	
LSTM Layer 2	128	128	_	num_layers = 2, no dropout	
Linear(128, 64)	128	64	ReLU	First fully connected layer	
Linear(64, 1)	64	1	Sigmoid	Predicts next binary token probability	

Table 2: Seg2Token Network Architecture

An overview of the Sequence to Token Network Architecture is shown in the Table 2. The model can be defined as follows:

```
class Seq2TokenLSTM(nn.Module):
      def __init__(self, input_size=1, hidden_size=128, num_layers=2, dropout=0.0):
          super().__init__()
          self.hidden_size = hidden_size
          self.num_layers = num_layers
          # Embedding layer
          \verb|self.embedding = \verb|nn.Embedding(num\_embeddings=2, embedding\_dim=hidden\_size)| \\
10
          # LSTM layers
11
          self.lstm = nn.LSTM(
13
              input_size=hidden_size,
              hidden_size=hidden_size,
14
              num_layers=num_layers,
              batch_first=True,
16
               dropout=0 # Removed dropout to encourage memorization
17
```

```
19
         # Output layers
         self.fc = nn.Sequential(
21
              nn.Linear(hidden_size, hidden_size // 2),
              nn.Linear(hidden_size // 2, 1),
24
25
              nn.Sigmoid()
26
27
      def forward(self, x, lengths=None):
29
         batch_size = x.size(0)
30
        # Embed tokens
         embedded = self.embedding(x.long())
32
33
          if lengths is not None:
             packed = pack_padded_sequence(
                  embedded, lengths.cpu(),
35
                  batch_first=True,
37
                  enforce_sorted=False
38
              lstm_out, (hidden, cell) = self.lstm(packed)
              # Use last hidden state
40
              output = hidden[-1]
              # Process full sequence
43
              lstm_out, (hidden, cell) = self.lstm(embedded)
45
              output = hidden[-1]
46
          # Predict next token
          pred = self.fc(output).squeeze(-1)
48
          return pred
```

### **Training Procedure:**

• Training uses Binary Cross-Entropy (BCE) loss [3]:

$$L = -[y\log(p) + (1-y)\log(1-p)]$$
 (2)

where y is the true token and p is the predicted probability.

• Adam optimizer with learning rate  $1 \times 10^{-1}$  is used for stable convergence.

The training process is done by following source code:

```
def train_seq2token(model, loader, device, epochs=50, lr=1e-3):
      optimizer = torch.optim.Adam(model.parameters(), lr=lr)
      loss_fn = nn.BCELoss()
      history = {'loss': [], 'acc': []}
      for epoch in range(1, epochs + 1):
        model.train()
          total_loss = 0.0
         total_correct = 0
         total_samples = 0
11
12
        pbar = tqdm(loader, desc=f"Epoch {epoch}/{epochs}", leave=False)
          for x, y, lengths in pbar:
14
15
              x, y = x.to(device), y.to(device)
              lengths = lengths.to(device)
16
17
             # Forward pass
             pred = model(x, lengths)
19
             loss = loss_fn(pred, y)
21
             # Backward pass
22
             optimizer.zero_grad()
              loss.backward()
24
              torch.nn.utils.clip_grad_norm_(model.parameters(), max_norm=1.0)
```

```
optimizer.step()
27
               # Track metrics
28
              total_loss += loss.item()
29
               predicted_class = (pred > 0.5).float()
               total_correct += (predicted_class == y).sum().item()
31
              total_samples += y.size(0)
34
               pbar.set_postfix({
                   'loss': f'{loss.item():.4f}',
                   'acc': f'{total_correct/total_samples:.3f}'
36
               })
          avg_loss = total_loss / len(loader)
39
          avg_acc = total_correct / total_samples
40
          history['loss'].append(avg_loss)
          history['acc'].append(avg_acc)
42.
43
          print(f"Epoch {epoch:03d} | Loss: {avg_loss:.4f} | Acc: {avg_acc:.4f}")
45
      return history
```

### **Token Generation**

The trained model generates binary sequences autoregressively. Starting from an empty input, it predicts each token in order:

$$t_i \sim \text{Bernoulli}(P(t_i = 1))$$
 (3)

Each new token depends on all previous tokens, ensuring autoregressive dependency. After generating 48 tokens, each sequence is converted back to  $\{-1,+1\}$ , reshaped into (3,4,4), and decoded through the AutoEncoder's decoder to obtain MNIST-like images.

The following function generate\_sequences autoregressively builds sequences:

```
def sample_sequences(model, device, num_samples=64, seq_len=48, temperature=1.0):
      model.eval()
      generated_tokens = torch.zeros(num_samples, seq_len, device=device)
      with torch.no_grad():
          for i in range(seq_len):
              if i == 0:
                  # First token: start with empty sequence
                 dummy = torch.zeros(num_samples, 1, device=device)
                 probs = torch.ones(num_samples, device=device) * 0.5
                  # Use generated tokens so far
                  current_seq = generated_tokens[:, :i]
14
                  lengths = torch.full((num_samples,), i, device=device)
                  probs = model(current_seq, lengths)
              # Apply temperature for diversity
17
18
              if temperature != 1.0:
                  probs = torch.sigmoid(torch.logit(probs) / temperature)
20
              token = torch.bernoulli(probs)
              generated_tokens[:, i] = token
      return generated_tokens
```

The generated binary sequences are later decoded by the autoencoder's decoder to reconstruct MNIST-like images as follows:

```
def decode_latents(autoencoder, token_samples, device):
    autoencoder.eval()

# Convert tokens
latent_samples = token_samples * 2 - 1

# Reshape to (N, 3, 4, 4) for decoder
```

```
latent_samples = latent_samples.view(-1, 3, 4, 4)

with torch.no_grad():
    imgs = autoencoder.decoder(latent_samples.to(device))

return imgs
```

#### **Memorization Evaluation**

The generated sequences are compared with training sequences to measure memorization. Exact matches are identified using:

```
def check_memorization(generated_tokens, train_tokens):
   diffs = (generated_tokens.unsqueeze(1) - train_tokens.unsqueeze(0)).abs().sum(dim=-1)

# A perfect match has distance 0
matches = (diffs == 0).any(dim=1).float()

return matches.mean().item()
```

In this experiment, 64 sequences were generated, 57 of which matched training data, giving an **89.06% memorization rate** 

The output of this code is shown in Fig. 3

```
Epoch 078 | Loss: 0.1071 | Acc: 0.9445
Epoch 079 | Loss: 0.1057 | Acc: 0.9435
Epoch 080 | Loss: 0.1068 | Acc: 0.9405
Epoch 081 | Loss: 0.1062 | Acc: 0.9418
Epoch 082 | Loss: 0.1059 | Acc: 0.9407
Epoch 083 | Loss: 0.1062 | Acc: 0.9435
Epoch 084 | Loss: 0.1067 | Acc: 0.9446
Epoch 085 | Loss: 0.1074 | Acc: 0.9438
Epoch 086 | Loss: 0.1048 | Acc: 0.9435
Epoch 087 | Loss: 0.1059 | Acc: 0.9433
Epoch 088 | Loss: 0.1041 | Acc: 0.9450
Epoch 089 | Loss: 0.1055 | Acc: 0.9430
Epoch 090 | Loss: 0.1059 | Acc: 0.9423
Epoch 091 | Loss: 0.1134 | Acc: 0.9377
Epoch 092 | Loss: 0.1655 | Acc: 0.9234
Epoch 093 | Loss: 0.1809 | Acc: 0.9166
Epoch 094 | Loss: 0.1757 | Acc: 0.9209
Epoch 095 | Loss: 0.1427 | Acc: 0.9270
Epoch 096 | Loss: 0.1417 | Acc: 0.9325
Epoch 097 | Loss: 0.1263 | Acc: 0.9337
Epoch 098 | Loss: 0.1126 | Acc: 0.9400
Epoch 099 | Loss: 0.1071 | Acc: 0.9415
Epoch 100 | Loss: 0.1036 | Acc: 0.9433
OK - Saved model -> seq2token_lstm.pth
Memorization Ratio: 89.06%
(57/64 generated sequences match training data)
EXPERIMENT SUMMARY Sequence to Token
Training samples: 128
Training epochs: 100
Final loss: 0.1036
Final accuracy: 0.9433
Generated samples: 64
Memorization rate: 89.06%
```

Figure 3: Output of Sequence to Token

### 3.2 Sequence to Sequence

The objective of this part is designing a direct **sequence-to-sequence** (**Seq2Seq**) model.

### **Model Architecture**

The model uses a stack of fully-connected residual layers. This model is implemented as:

```
class Seq2Seq(nn.Module):
      def __init__(self, seq_len=48, hidden_dim=256, num_blocks=12):
          super(). init ()
          self.seq_len = seq_len
          # Input projection
          self.input_proj = nn.Sequential(
             nn.Linear(seq_len, hidden_dim),
              nn.LayerNorm(hidden_dim),
              nn.GELU()
         )
         # Residual blocks
14
15
         self.blocks = nn.ModuleList([
          ResidualFC(hidden_dim, hidden_scale=4)
16
              for _ in range(num_blocks)
17
18
         ])
19
        # Output projection
20
        self.output_proj = nn.Sequential(
21
         nn.Linear(hidden_dim, hidden_dim // 2),
22
             nn.GELU(),
              nn.Linear(hidden_dim // 2, seq_len),
24
              nn.Sigmoid()
25
        )
27
28
     def forward(self, x):
       # Project to hidden dim
         h = self.input_proj(x)
30
31
        # Process through residual blocks
32
        for block in self.blocks:
33
34
             h = block(h)
35
         # Project to output
         out = self.output_proj(h)
38
         return out
```

It consists of three major components:

- **Input Projection:** Projects each 48-dimensional binary input into a 256-dimensional hidden representation using a linear layer, layer normalization, and GELU activation.
- **Residual Blocks:** Twelve (or more) ResidualFC blocks, each containing two linear layers with a skip connection.
- **Output Projection:** Maps the hidden representation back to a 48-dimensional output that produces probabilities for each token.

The ResidualFC blocks are defined as follows:

An overview of Sequence to Sequence Network Architecture is shown in Table 3.

Table 3: Seq2Seq Network Architecture

Layer Type	Input Size	Output Size	Activation	Notes
Linear(48, 256)	48	256	GELU	Input projection + LayerNorm
ResidualFC Block (×12)	256	256	GELU	Each block: Linear(256, 1024) $\rightarrow$ LN $\rightarrow$ GELU $\rightarrow$ Linear(1024, 256)
Linear(256, 128)	256	128	GELU	Output projection (part 1)
Linear(128, 48)	128	48	Sigmoid	Output projection (part 2); reconstructs full sequence

## **Training Procedure**

Training uses the same logic as Part 1 with some key differences: Instead of next-token prediction, the model learns to denoise corrupted binary sequences. The denoising autoencoder framework [4] is used during training. Tokens are randomly flipped with probability noise\_prob (default 0.05). This makes the model robust while still memorizing. Cosine Annealing LR scheduler has been used for smooth learning-rate decay.

```
def train_seq2token(model, loader, device, epochs=50, lr=1e-3):
      optimizer = torch.optim.Adam(model.parameters(), lr=lr)
      loss_fn = nn.BCELoss()
      history = {'loss': [], 'acc': []}
      for epoch in range(1, epochs + 1):
         model.train()
9
          total_loss = 0.0
          total correct = 0
10
11
         total\_samples = 0
12
          pbar = tqdm(loader, desc=f"Epoch {epoch}/{epochs}", leave=False)
          for x, y, lengths in pbar:
              x, y = x.to(device), y.to(device)
15
              lengths = lengths.to(device)
16
              # Forward pass
18
              pred = model(x, lengths)
19
              loss = loss_fn(pred, y)
20
21
22
              # Backward pass
              optimizer.zero_grad()
24
              loss.backward()
25
              torch.nn.utils.clip_grad_norm_(model.parameters(), max_norm=1.0)
26
              optimizer.step()
27
28
              # Track metrics
              total_loss += loss.item()
29
              predicted_class = (pred > 0.5).float()
              total_correct += (predicted_class == y).sum().item()
31
              total_samples += y.size(0)
32
              pbar.set_postfix({
34
                  'loss': f'{loss.item():.4f}',
35
                   'acc': f'{total_correct/total_samples:.3f}'
37
              })
38
          avg_loss = total_loss / len(loader)
39
          avg_acc = total_correct / total_samples
40
          history['loss'].append(avg_loss)
41
          history['acc'].append(avg_acc)
42
43
44
          print(f"Epoch {epoch:03d} | Loss: {avg_loss:.4f} | Acc: {avg_acc:.4f}")
45
      return history
```

### **Sequence Generation**

Unlike the other method, this model generates all tokens at once. Starting from random binary sequences, the network iteratively refines its predictions for several passes until convergence.

```
def generate_sequences_direct(model, device, num_samples=64, seq_len=48):
    model.eval()

with torch.no_grad():
    sequences = torch.rand(num_samples, seq_len, device=device)
    sequences = (sequences > 0.5).float()

# Let model refine (multiple passes for convergence)
    for _ in range(10):
        sequences = model(sequences)
        sequences = (sequences > 0.5).float()

return sequences
```

Each generated sequence is then mapped back to -1,+1 and decoded by the AutoEncoder's decoder to reconstruct MNIST-like images.

```
def decode_sequences(autoencoder, token_sequences, device):
    autoencoder.eval()
    latent_sequences = token_sequences * 2 - 1
    latent_sequences = latent_sequences.view(-1, 3, 4, 4)

with torch.no_grad():
    imgs = autoencoder.decoder(latent_sequences.to(device))

return imgs
```

### **Evaluation and Memorization**

The memorization evaluation is almost identical to Part 1. In this experiment, 64 sequences were generated, 60 of which matched training data, giving an 93.75% memorization rate

The output of Sequence to Sequence is shown in Fig. 4

## **Question 4**

The goal of this experiment is to reproduce the memorization task using a **non-image dataset**. Instead of MNIST images, we employ a **character-level text dataset** and train an autoregressive model to memorize and regenerate the same sentences under extremely limited data conditions using character-level modeling [5].

### **Dataset Preparation**

A very small text dataset is used, consisting of two or more sentences. Each sentence is converted into a sequence of characters.

- Each character is mapped to an index (character-level encoding).
- Autoregressive training pairs are created as prefix—next-token pairs.

### **Model Architecture**

The network is a character-level LSTM with a small number of parameters, trained to predict the next token given a sequence. An overview of the architecture with the source code is given in the Table 4:

```
PS E:\MM 811\Ass1\Q2-3> python q3_seq2seq.py
Using device: cuda
OK - Loaded autoencoder best.pth
Dataset: 64 sequences of length 48
Epoch 010 | Loss: 0.3136 | Acc: 0.8613 | LR: 0.000994
Epoch 020 | Loss: 0.1704 | Acc: 0.9281 | LR: 0.000976
Epoch 030 | Loss: 0.1111 | Acc: 0.9596 | LR: 0.000946
Epoch 040 | Loss: 0.0786 | Acc: 0.9727 | LR: 0.000905
Epoch 050 | Loss: 0.0430 | Acc: 0.9854 | LR: 0.000854
Epoch 060 | Loss: 0.0405 | Acc: 0.9847 | LR: 0.000794
Epoch 070 | Loss: 0.0494 | Acc: 0.9847 | LR: 0.000727
Epoch 080 | Loss: 0.0362 | Acc: 0.9863 | LR: 0.000655
Epoch 090 | Loss: 0.0405 | Acc: 0.9876 | LR: 0.000578
Epoch 100 | Loss: 0.0211 | Acc: 0.9932 | LR: 0.000500
Epoch 110 | Loss: 0.0108 | Acc: 0.9971 | LR: 0.000422
Epoch 120 | Loss: 0.0256 | Acc: 0.9948 | LR: 0.000345
Epoch 130 | Loss: 0.0076 | Acc: 0.9971 | LR: 0.000273
Epoch 140 | Loss: 0.0078 | Acc: 0.9971 | LR: 0.000206
Epoch 150 | Loss: 0.0023 | Acc: 1.0000 | LR: 0.000146
Epoch 160 | Loss: 0.0060 | Acc: 0.9971 | LR: 0.000095
Epoch 170 | Loss: 0.0025 | Acc: 0.9993 | LR: 0.000054
Epoch 180 | Loss: 0.0020 | Acc: 0.9997 | LR: 0.000024
Epoch 190 | Loss: 0.0057 | Acc: 0.9980 | LR: 0.000006
Epoch 200 | Loss: 0.0021 | Acc: 0.9993 | LR: 0.000000
Memorization Ratio: 93.75%
(60/64 sequences match training)
Saved visualization -> q3 seq2seq fc results.png
SUMMARY (Sequence to Sequence)
Training samples: 64
Epochs: 200
Final loss: 0.0021
Final accuracy: 0.9993
Memorization: 93.75%
```

Figure 4: Output of Sequence to Sequence

Layer Type	Input Size	<b>Output Size</b>	Activation	Notes
Embedding	vocab_size	hidden_size	_	Converts each character token into a dense vector.
LSTM (×3 layers)	hidden_size	hidden_size	_	Learns sequential dependencies across characters.
Linear	hidden_size	vocab_size	ReLU / Soft- max	Produces probability distribution over next character.

```
class TextAutoRegressiveLSTM(nn.Module):
      def __init__(self, vocab_size, hidden_size=256, num_layers=3):
          super().__init__()
          self.vocab size = vocab size
          self.hidden_size = hidden_size
          self.num_layers = num_layers
         # Embedding layer
9
10
         self.embedding = nn.Embedding(
              num_embeddings=vocab_size,
              embedding_dim=hidden_size
        # LSTM layers
15
        self.lstm = nn.LSTM(
16
              input_size=hidden_size,
              hidden_size=hidden_size,
18
19
              num_layers=num_layers,
              batch_first=True,
              dropout=0
21
22
          )
          # Output layers - produce distribution over vocab
24
25
         self.fc = nn.Sequential(
             nn.Linear(hidden_size, hidden_size),
26
27
              nn.ReLU(),
              nn.Linear(hidden_size, vocab_size) # Output: logits for each character
29
30
31
     def forward(self, x, lengths=None):
          # Embed
32
33
          embedded = self.embedding(x.long())
34
        # LSTM
35
         if lengths is not None:
37
              from torch.nn.utils.rnn import pack_padded_sequence
38
              packed = pack_padded_sequence(
                  embedded, lengths.cpu(),
                  batch_first=True,
40
41
                  enforce_sorted=False
43
              lstm_out, (hidden, cell) = self.lstm(packed)
              output = hidden[-1]
        else:
45
              lstm_out, (hidden, cell) = self.lstm(embedded)
              output = hidden[-1]
48
          # Predict distribution over vocab
50
          logits = self.fc(output)
          probs = F.softmax(logits, dim=1)
51
52
          return logits, probs
```

### **Training process**

The model is trained using the CrossEntropyLoss to predict the next token in each sequence.

- Loss: CrossEntropy between predicted and true next tokens.
- Optimizer: Adam, LR =  $1 \times 10^{-3}$ .
- Scheduler: CosineAnnealingLR for gradual decay.
- Metrics: tracks token-level accuracy and overall loss.

```
def train_text_model(model, loader, device, epochs=200, lr=1e-3):
      """Train with CrossEntropyLoss (for multi-class distribution)""
      optimizer = torch.optim.Adam(model.parameters(), lr=lr)
      scheduler = torch.optim.lr_scheduler.CosineAnnealingLR(optimizer, T_max=epochs)
      loss_fn = nn.CrossEntropyLoss()
      history = {'loss': [], 'acc': []}
9
      for epoch in range(1, epochs + 1):
10
         model.train()
          total_loss = 0.0
11
          total_correct = 0
         total\_samples = 0
14
        pbar = tqdm(loader, desc=f"Epoch {epoch}/{epochs}", leave=False)
15
          for x, y, lengths in pbar:
16
17
              x, y = x.to(device), y.to(device)
              lengths = lengths.to(device)
18
19
              # Forward
              logits, probs = model(x, lengths)
21
22
              loss = loss_fn(logits, y)
              # Backward
24
25
              optimizer.zero_grad()
              loss.backward()
26
27
             torch.nn.utils.clip_grad_norm_(model.parameters(), max_norm=1.0)
28
              optimizer.step()
29
30
              # Metrics
31
              total_loss += loss.item()
              predicted = logits.argmax(dim=1)
32
33
              total_correct += (predicted == y).sum().item()
34
              total_samples += y.size(0)
35
              pbar.set_postfix({
37
                  'loss': f' {loss.item():.3f}',
                   'acc': f'{total_correct/total_samples:.3f}'
38
              })
40
         scheduler.step()
41
42
        avg_loss = total_loss / len(loader)
43
44
          avg_acc = total_correct / total_samples
          history['loss'].append(avg_loss)
45
46
         history['acc'].append(avg_acc)
          if epoch % 20 == 0 or epoch == epochs:
48
              print(f"Epoch {epoch:03d} | Loss: {avg_loss:.4f} | Acc: {avg_acc:.4f}")
49
50
      return history
```

### **Generation (Autoregression)**

- Starts from initial token.
- Repeatedly feeds generated tokens back to the model to predict the next one.
- Uses **temperature sampling** or **greedy decoding** to control randomness.
- Stops generation when a period (".") is predicted.

```
for sample_idx in range(num_samples):
              generated_tokens = []
10
              # Use greedy decoding (argmax) for deterministic generation
11
              start_char_idx = sample_idx % dataset.vocab_size
              generated_tokens.append(start_char_idx)
15
              for i in range(1, max_len):
16
                  current_seq_input = torch.tensor([generated_tokens], device=device).float()
                   length = torch.tensor([len(generated_tokens)], device=device)
18
                  logits, probs = model(current_seq_input, length)
19
                   # Use temperature sampling (low temp = more deterministic)
21
                   if temperature < 0.1:</pre>
                       # Greedy: always pick most likely
23
                      token = logits.argmax(dim=1).item()
24
25
26
                       # Sample from distribution with temperature
                       logits_scaled = logits / temperature
                       probs_scaled = F.softmax(logits_scaled, dim=1)
                       token = torch.multinomial(probs_scaled[0], num_samples=1).item()
29
30
                  generated_tokens.append(token)
31
                   # Stop at period
34
                   if dataset.idx2char.get(token, '') == '.':
35
                      break
              # Decode to text
37
              text = dataset.decode_sequence(generated_tokens)
38
              generated_texts.append(text)
40
      return generated_texts, None
```

#### **Memorization Evaluation**

The generated sentences are compared to the original training sentences. Here 5/20 generated texts match training, giving 25% memorization rate.

The output is shown in Fig. 5

```
Description of texts: 5

Dataset Info:

Number of texts: 5

Nocabulary size: 33

Characters: [',',',', 'a', 'b', 'h', 'p', 'T', 'a', 'b', 'c', 'd', 'e', 'f', 'g', 'h', 'i', 'j', 'k', 'l', 'm']...

Training pairs: 22

Model parameters: 1,661,729

Epoch 020 | Loss: 2.0601 | Acc: 0.3509

Epoch 020 | Loss: 2.0601 | Acc: 0.3509

Epoch 020 | Loss: 0.0038 | Acc: 1.0000

Epoch 030 | Loss: 0.0038 | Acc: 1.0000

Epoch 100 | Loss: 0.0010 | Acc: 1.0000

Epoch 100 | Loss: 0.0010 | Acc: 1.0000

Epoch 120 | Loss: 0.0007 | Acc: 1.0000

Epoch 120 | Loss: 0.0007 | Acc: 1.0000

Epoch 120 | Loss: 0.0005 | Acc: 1.00000
```

Figure 5: Output of question 4

### References

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