

# General ideas on interpolation (B-splines)

Yoann Pradat

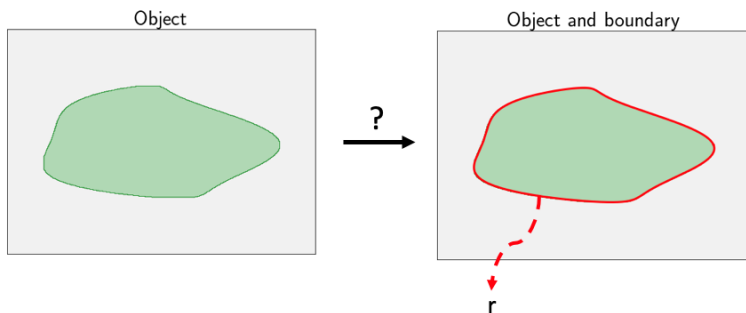
**V. Uhlmann's lab**

July 25, 2019



- 1 Introduction
- 2 I/ Polynomial interpolation (summary)
- 3 II/ B-splines and Splines
  - II/1 Definitions & Properties
  - II/2 The B-spline series
- 4 III Applications

# Objective



Objective: find mathematical model for the **boundary**

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_d \end{bmatrix} \quad (1)$$

# Generic model

$$\mathbf{r}(t) = \begin{bmatrix} r_1 \\ \vdots \\ r_d \end{bmatrix} = \sum_{k \in \mathbb{Z}} \begin{bmatrix} c_1(k) \\ \vdots \\ c_d(k) \end{bmatrix} \phi_k(t) \quad (2)$$

## Vocab

- $(t_k = k)_{k \in \mathbb{Z}}$  **knots** locations
- $\mathbf{c}(k) := \begin{bmatrix} c_1(k) \\ \vdots \\ c_d(k) \end{bmatrix}$  are **control points**
- $(\phi_k)_{k \in \mathbb{Z}} :=$  set of **basis functions** or **generators**

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# Summary

Not.

- $f$  agrees with  $g$  at  $t$   $r$  times if  $f^{(j)}(t) = g^{(j)}(t), j = 0, \dots, r - 1$
- $t_1 \leq \dots \leq t_n$
- $f_n \in \Pi_{<n}$  unique pol. order  $n$  that agrees with  $g$  at  $(t_i)_{i=1}^n$ .

Definition 1

$[t_1, \dots, t_n]g$  leading coeff of  $f_n$

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## Examples

- 1  $[t_1]g = g(t_1)$
- 2  $[t_1, t_2]g = \begin{cases} \frac{g(t_2) - g(t_1)}{t_2 - t_1} & \text{if } t_1 \neq t_2 \\ g'(t_1), & \text{otherwise} \end{cases}$
- 3  $[t_1, \dots, t_{n+1}]g = \frac{[t_2, \dots, t_{n+1}]g - [t_1, \dots, t_n]g}{t_{n+1} - t_1}$  if  $t_1 \neq t_{n+1}$

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## Theorem 1 ([Bo01], p7)

Let  $g \in \mathcal{C}^n$ . Then

$$\forall t, \quad g(t) = f_n(t) + (t - t_1) \dots (t - t_n)[t_1, \dots, t_n, t]g \quad (3)$$



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## Theorem 2 (Jackson,[Ri69], p23)

Let  $g \in \mathcal{C}^r([a..b]), n > r + 1$ . Then

$$\text{dist}(g, \Pi_{<n}) \leq \text{const}_r w(g^{(r)}, \frac{b-a}{2n-1-r}) \left( \frac{b-a}{n-1} \right)^r \quad (4)$$

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# Formal definition B-splines

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Two different normalizations

Definition 2 ([Bo01], p87)

*The  $j^{\text{th}}$  (normalized) B-spline of order  $k$  is*

$$B_{j,k,\mathbf{t}}(t) = (t_{j+k} - t_j)[t_j, \dots, t_{j+k}](\cdot - t)_+^{k-1} \quad (5)$$

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Definition 3 ([CS66])

*The  $j^{\text{th}}$  B-spline of order  $k$  is given by*

$$M_{j,k,\mathbf{t}}(t) = k[t_j, \dots, t_{j+k}](\cdot - t)_+^{k-1} \quad (6)$$

# First properties

Proposition 1 ([Bo01], p90–91)

① (*rec. relation*)

$$B_{j,k} = \frac{t - t_j}{t_{j+k-1} - t_j} B_{j,k-1} + \frac{t_{j+k} - t}{t_{j+k} - t_j} B_{j+1,k-1}$$

② (*pp function*)  $B_{j,k,t} \in \Pi_{<k,t}$

③ (*supp. and pos*)

- $B_{j,k} = 0$  outside  $[t_j, t_{j+k}]$
- $B_{j,k} > 0$  on  $(t_j, t_{j+k})$

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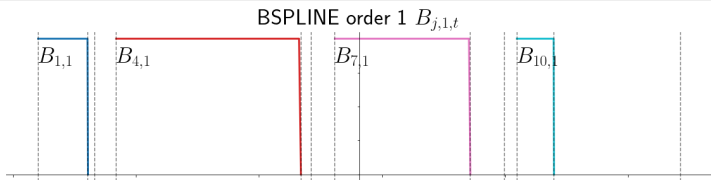
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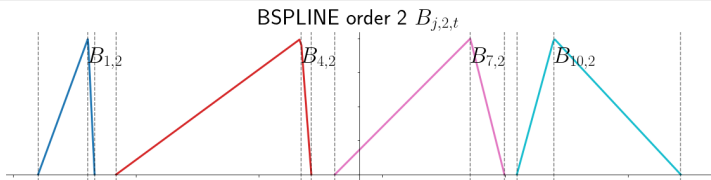
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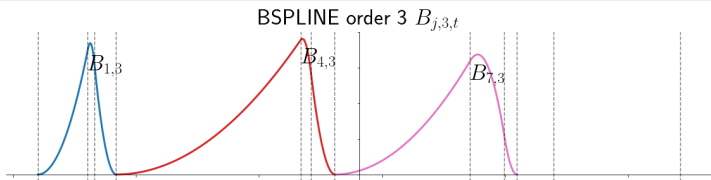
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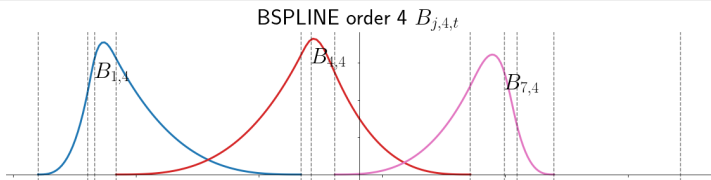
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# Why B-splines?

Not.

- $\mathbf{t}$  nondecreasing sequence,  $\xi \subset \mathbf{t}$  increasing sequence

Definition 4 ([Bo01], p93)

*The collection of polynomial splines of order  $k$  with knot sequence  $\mathbf{t}$  is*

$$\mathcal{S}_{k,\mathbf{t}} = \left\{ \sum_{j=-\infty}^{\infty} c(j) B_{j,k,\mathbf{t}} \mid \mathbf{c} \in \mathbb{R}^{\mathbb{Z}} \right\} \subset \Pi_{<k,\xi}$$

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In ([Bo76]) “B-splines are truly basic splines: B-splines express the essentially local, but not completely local, character of splines; certain facts about splines take on their most striking form when put into B-spline terms, and many theorems about splines are most easily proved with the aid of B-splines;”

# Basis for polynomials

- ① ([Ma70],[Bo01] p95) For any  $\tau \in \mathbb{R}$ ,

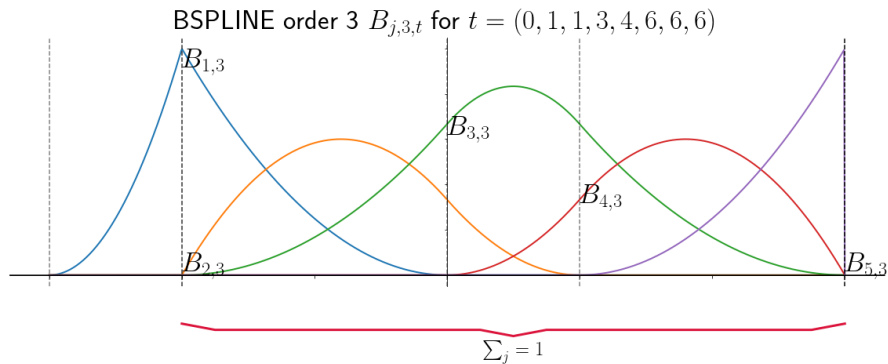
$$(t - \tau)^{k-1} = \sum_{j=-\infty}^{\infty} \psi_{j,k}(\tau) B_{j,k}(t)$$

$$\text{where } \psi_{j,k}(\tau) = (t_j - \tau) \cdots (t_{j+k-1} - \tau)$$

- ② Reproduces  $\Pi_{<k}$  i.e  $\Pi_{<k} \subset \mathcal{S}_{k,t}$

- ③ In particular,  $\sum_{j=-\infty}^{\infty} B_{j,k} = 1$

# Partition unity



# Basis for $\Pi_{<k,\xi,\nu}$

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- $\mathbf{t}$  nondecreasing sequence with multiplicity  $\leq k$ .
- $\nu$  sequence s.t  $\nu_j = k - \text{card}\{l | t_l = \xi_j\}$
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- $\Pi_{<k,\xi,\nu} = \{f \in \Pi_{<k,\xi} \mid \text{jump}_{\xi_j}^{(s)} f = 0, s = 0, \dots, \nu_j - 1\}$

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Theorem 3 ([CS66],[Bo01] p97)

$\{B_{j,k,\mathbf{t}}\}_{j=-\infty}^{\infty}$  is a basis for  $\Pi_{<k,\xi,\nu}$  hence  $\mathcal{S}_{k,\mathbf{t}} = \Pi_{<k,\xi,\nu}$



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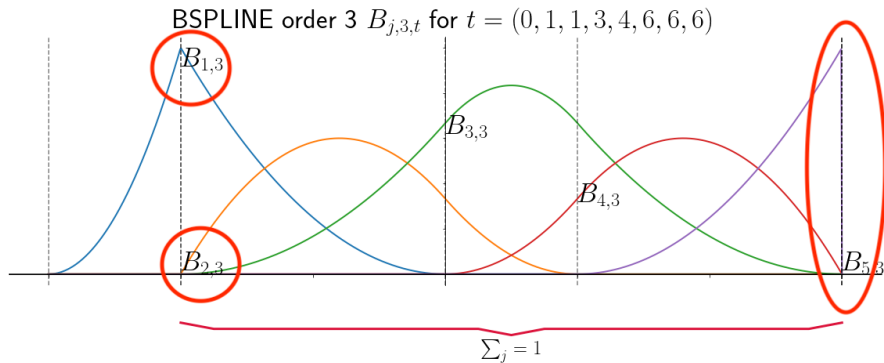
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- 1  $f \in \mathcal{S}_{k,\mathbf{t}}. f|_{\mathcal{V}(\xi_j)} \in \mathcal{C}^{k-(k-\nu_j)-1}$
- 2  $B_{j,k,\mathbb{Z}} \in \mathcal{C}^{k-2}, B_{j,k,\mathbb{Z}_r} \in \mathcal{C}^{k-r-1}$

# Knots multiplicity



# Good condition for a basis

Riesz-basis  $\|\cdot\|_\infty$  ([Bo73],[Bo01] p132)

$\exists D_k > 0$  independent of  $\mathbf{t}$  such that

$$\forall \mathbf{c} \in l^\infty, \quad D_k \|\mathbf{c}\|_{l^\infty} \leq \left\| \sum_{j=-\infty}^{\infty} c_j B_{j,k,\mathbf{t}} \right\|_{L^\infty} \leq \|\mathbf{c}\|_{l^\infty}$$

# Applications

- ① In practice, choose  $\mathbf{t} = \mathbb{Z}_r$  with  $r \geq 1$ .
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  - [Un99]

$$\beta^0(t) = B_{0,1}(t - \frac{1}{2}), \quad \beta^n(t) = \underbrace{\beta^0 * \dots * \beta^0}_{(n-1)\text{times}}(t) \quad (7)$$

Signal represented as

$$s(t) = \sum_{k=-\infty}^{\infty} c(k) \beta^n(t - k) \quad (8)$$

Allows for efficient algorithms and Fourier analysis, with

$$\hat{\beta}^n(w) = \left( \frac{\sin(\frac{w}{2})}{\frac{w}{2}} \right)^{n+1}.$$

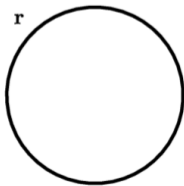
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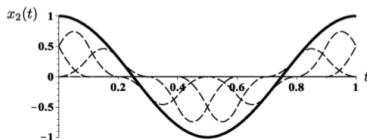
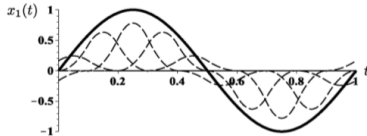
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① Delgado et al. Snakes with an ellipse-reproducing property, *IEEE*. Vol 21, 2012.

$$r = \sum_i \begin{bmatrix} c_1[j] \\ c_2[j] \end{bmatrix} \phi_j \quad \text{with } \phi_j = \phi(\cdot - j) \quad \text{with } \phi(t) = \lambda_0 \beta_\alpha(t + \frac{3}{2})$$



(a)

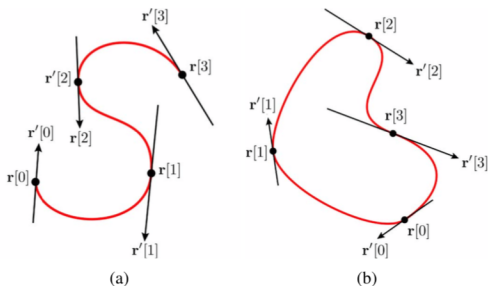


(b)

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  - ② Uhlmann et al. Hermite snakes with control of tangents, *IEEE*. Vol 25, 2016.

$$\mathbf{r} = \sum_{i=-\infty}^{\infty} \begin{bmatrix} c_1^1[j] \\ c_2^1[j] \end{bmatrix} \phi_j^1 + \begin{bmatrix} c_1^2[j] \\ c_2^2[j] \end{bmatrix} \phi_j^2 \quad \text{with } \phi_j^1, \phi_j^2 \in \mathcal{S}_{4, \mathbb{Z}_2}$$



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- ③ Things to consider
  - Space of reproducible functions

$$V = \text{span}(\phi_j) \cap L_2 = \left\{ \sum_{j \in \mathbb{Z}} c[j] \phi_j \mid c[j] \in \mathbb{R} \right\} \cap L_2$$



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- Desired properties in CAGD
  - ① Affine invariance i.e  $s \in V \implies As + b \in V$ . True if  $\sum_j \phi_j = 1$
  - ② Unicity and stability. True if  $(\phi_j)$  is a Riesz-basis in  $L_2$  i.e  $\exists 0 < m, M$

$$m \|c\|_{l_2}^2 \leq \left\| \sum_j c[j] \phi_j \right\|_{L_2}^2 \leq M \|c\|_{l_2}^2$$

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- Approximation power as number of control points increases

# References I



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