## $\pi$ is irrational Vincent Chan

Note: The following proof is due to Niven (1947).

Here is an outline of what we will do:

- 1. Assume  $\pi$  is rational, so  $\pi = a/b$  for some positive integers a and b.
- 2. Define a function f(x) which only depends on constants a and b.
- 3. Prove that  $\int_0^{\pi} f(x) \sin x \, dx$  is a positive integer.
- 4. Prove that  $\int_0^{\pi} f(x) \sin x \, dx$  is less than 1.
- 5. Conclude by contradiction that  $\pi$  is irrational.

We use the definition:  $\pi$  is the smallest positive root of  $\sin x$ . We prove  $\pi$  is irrational. Suppose otherwise, that  $\pi = a/b$  for positive integers a, b. For  $n \in \mathbb{N}$ , define the polynomial

$$f(x) = \frac{x^n(a - bx)^n}{n!}$$

where n will be defined later. Define also the polynomial

$$F(x) = f(x) - f^{(2)}(x) + f^{(4)}(x) - \dots + (-1)^n f^{(2n)}(x)$$

Lemma 1.  $F(0) \in \mathbb{Z}$ 

*Proof.* If k < n, then the coefficient of  $x^k$  in f(x) is 0, since the  $x^n$  factor guarantees a degree of at least n. If  $n \le k \le 2n$ , then the coefficient of  $x^k$  is  $c_k/n!$  for some integer  $c_k$ , coming from the binomial expansion since  $a, b \in \mathbb{Z}$ . Then

$$f^{(k)}(0) = \begin{cases} 0 & \text{if } 0 \le k < n \\ \frac{k!c_k}{n!} & \text{if } n \le k \le 2n \end{cases}$$

In particular, since  $k!/n! \in \mathbb{Z}$  for  $n \leq k \leq 2n$ , we have  $f^{(k)}(0) \in \mathbb{Z}$  for  $1 \leq k \leq 2n$ . Therefore,  $F(0) \in \mathbb{Z}$ .

Lemma 2.  $F(\pi) \in \mathbb{Z}$ 

Proof. Notice

$$f(\pi - x) = \frac{(\pi - x)(a - b(\pi - x))^n}{n!} = \frac{(a/b - x)^n(a - b(a/b - x))^n}{n!}$$
$$= \frac{(a/b - x)^n(bx)^n}{n!} = \frac{(a - bx)^nx^n}{n!} = f(x)$$

By the chain rule (applied k times),

$$f^{(k)}(\pi - x) = (-1)^k f^{(k)}(x)$$

$$\Longrightarrow f^{(k)}(\pi) = (-1)^k f^{(k)}(0) \in \mathbb{Z}$$

$$\Longrightarrow F(\pi) \in \mathbb{Z}$$

Lemma 3.

$$\int_0^{\pi} f(x)\sin x \, dx = F(\pi) - F(0)$$

*Proof.* Since f(x) is a polynomial of degree 2n, we have that  $f^{(2n+2)}(x) = 0$ , and so

$$F''(x) + F(x) = [f^{(2)}(x) - f^{(4)}(x) + \dots + (-1)^{n+1} f^{(2n)}(x)] + [f(x) - f^{(2)}(x) + f^{(4)}(x) - \dots + (-1)^n f^{(2n)}(x)]$$

$$= f(x)$$

By the product rule,

$$\frac{d}{dx}(F'(x)\sin x - F(x)\cos x) = F''(x)\sin x + F'(x)\cos x - F'(x)\cos x + F(x)\sin x$$
$$= F''(x)\sin x + F(x)\sin x$$
$$= f(x)\sin x$$

Then by the Fundamental Theorem of Calculus,

$$\int_0^{\pi} f(x) \sin x \, dx = (F'(x) \sin x - F(x) \cos x) \Big|_0^{\pi} = F(\pi) - F(0)$$

where we have used the fact that  $\sin(0) = \sin(\pi) = 0$ ,  $\cos(0) = 1$ , and  $\cos(\pi) = -1$ . (Notice  $f(x)\sin x$  is continuous, so this is a proper integral.)

By Lemmas 1 through 3,  $\int_0^{\pi} f(x) \sin x \, dx$  is an integer. Furthermore, f(x) > 0 and  $\sin x > 0$  for  $0 < x < \pi$  (if concerned about the assumption a > b, then restrict to even n). Thus  $\int_0^{\pi} f(x) \sin x \, dx$  is a positive integer.

On the other hand, for  $0 < x < \pi$ , we have  $0 < x(a - bx) < \pi a$  and  $0 < \sin x < 1$ , so

$$\int_0^{\pi} f(x) \sin x \, dx \le \int_0^{\pi} \frac{(\pi a)^n}{n!} \, dx = \pi \frac{(\pi a)^n}{n!}$$

For sufficiently large n, this is less than 1, contradicting the fact that this integral is a positive integer. Therefore,  $\pi$  is irrational.