

# HO-06 Kecerdasan Buatan

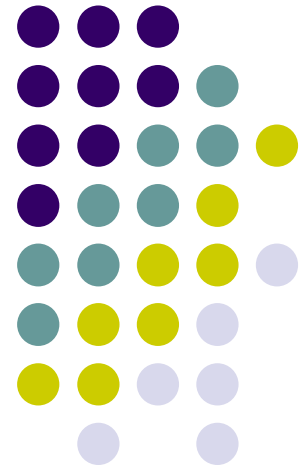
## Methods of Inference (1)

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### Forward Chaining & Backward Chaining

Opim S Sitompul

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# Pengantar

- Struktur **IF-THEN** berkaitan dengan informasi atau fakta yang diberikan pada bagian IF dan tindakan pada bagian THEN.
- Sebuah *rule* memberikan deskripsi bagaimana menyelesaikan sebuah problem.
- *Rules* relative mudah dibuat dan dipahami
- Setiap rule diri dari dua bagian: bagian **IF**, disebut *antecedent (premise or condition)* dan bagian **THEN** disebut *consequent (conclusion or action)*.



## Operator dalam Rule

- Antecedent sebuah rule menggabungkan **object (linguistic object)** dan **nilainya** yang dihubungkan oleh sebuah operator.

Contoh:

**IF** *bankBalance*  $\geq$  *requestedAmount* **THEN**  
action is *processTransaction*

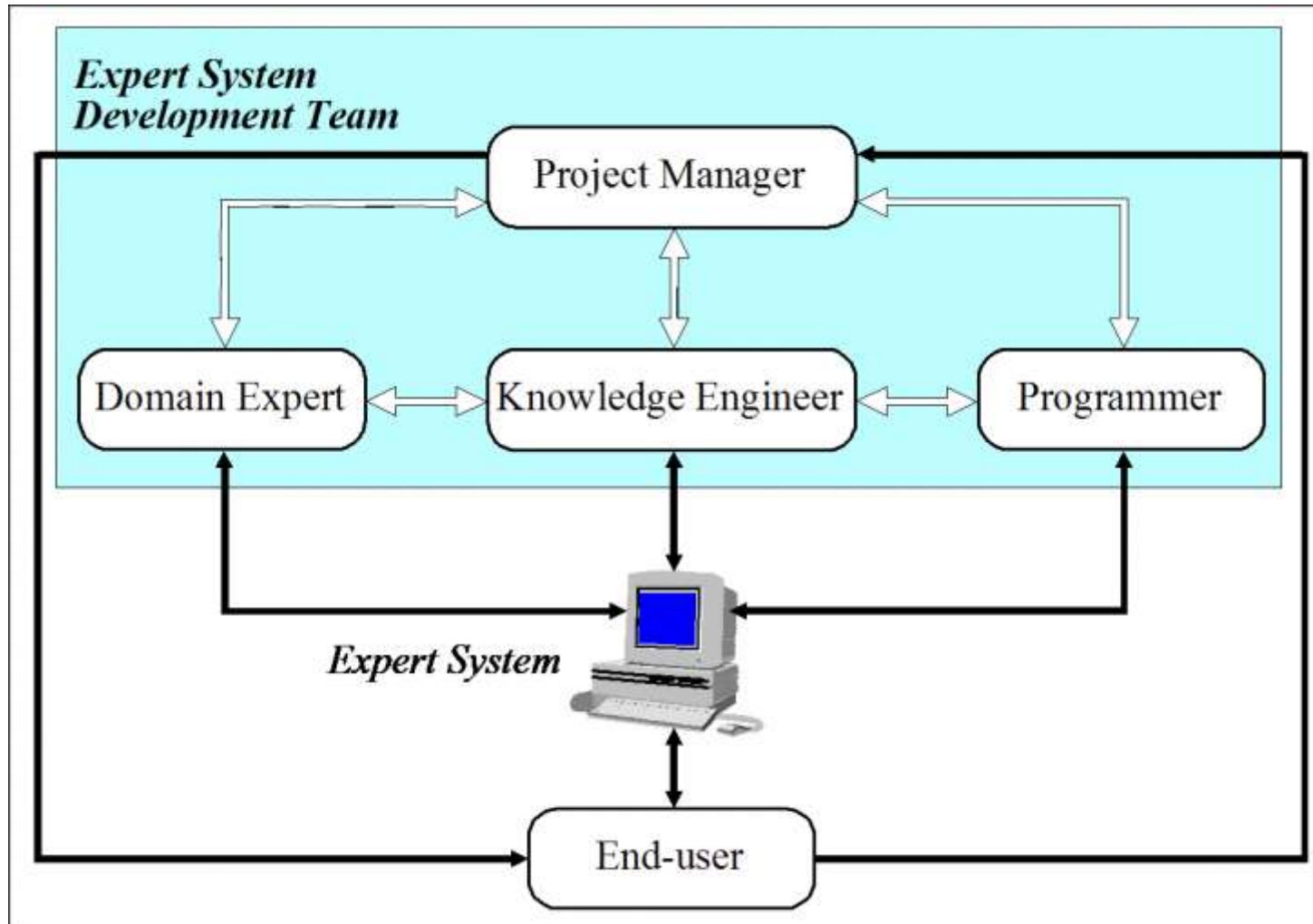
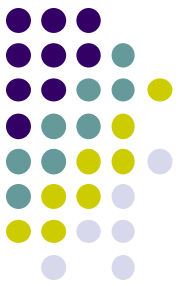
# Rules dalam Expert System (ES)



Expert System terdiri dari lima komponen :

- domain expert
- knowledge engineer,
- programmer,
- project manager,
- end-user.

Keberhasilan sebuah ES tergantung dari kerjasama ke lima komponen tsb.



Gambar 2: Interaksi *team* dalam pembangunan ES  
(Negnevitsky, 2010)



- **Domain Expert**

*The most important player in the expert system development team.* Mempunyai kepakaran dalam bidang tertentu yang harus dapat 'ditangkap' dalam ES

- **Knowledge Engineer**

Orang yang mampu mendisain, membuat dan menguji ES.

- **Programmer**

Bertanggung jawab dlm pemrograman (Prolog)



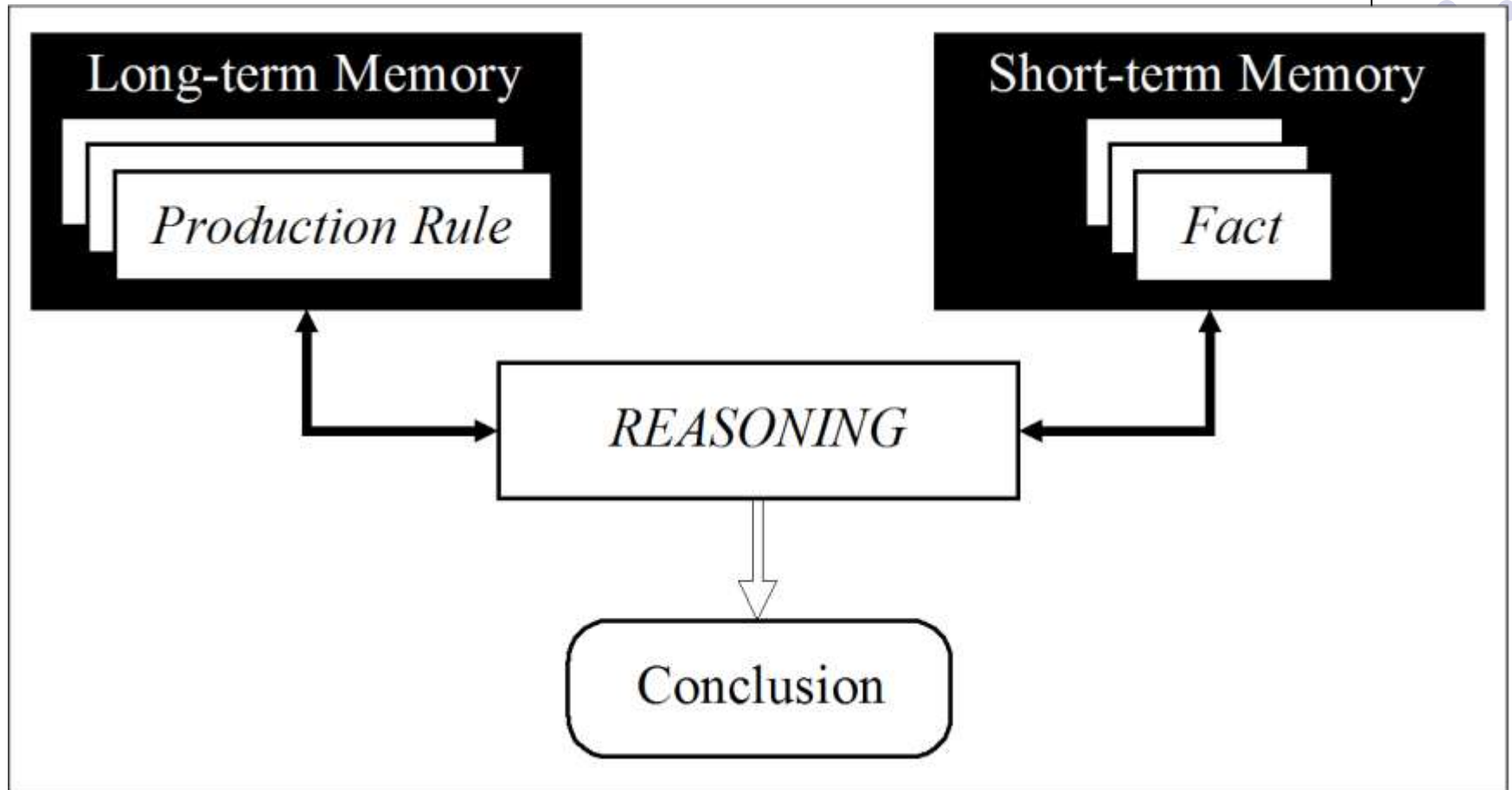
- **Project Manager**

Memastikan project berjalan sesuai dengan yang direncanakan (on track). → Pencapaian sesuai dg yang direncanakan

- **End User**

Pengguna ES

# Production System Model dlm ES



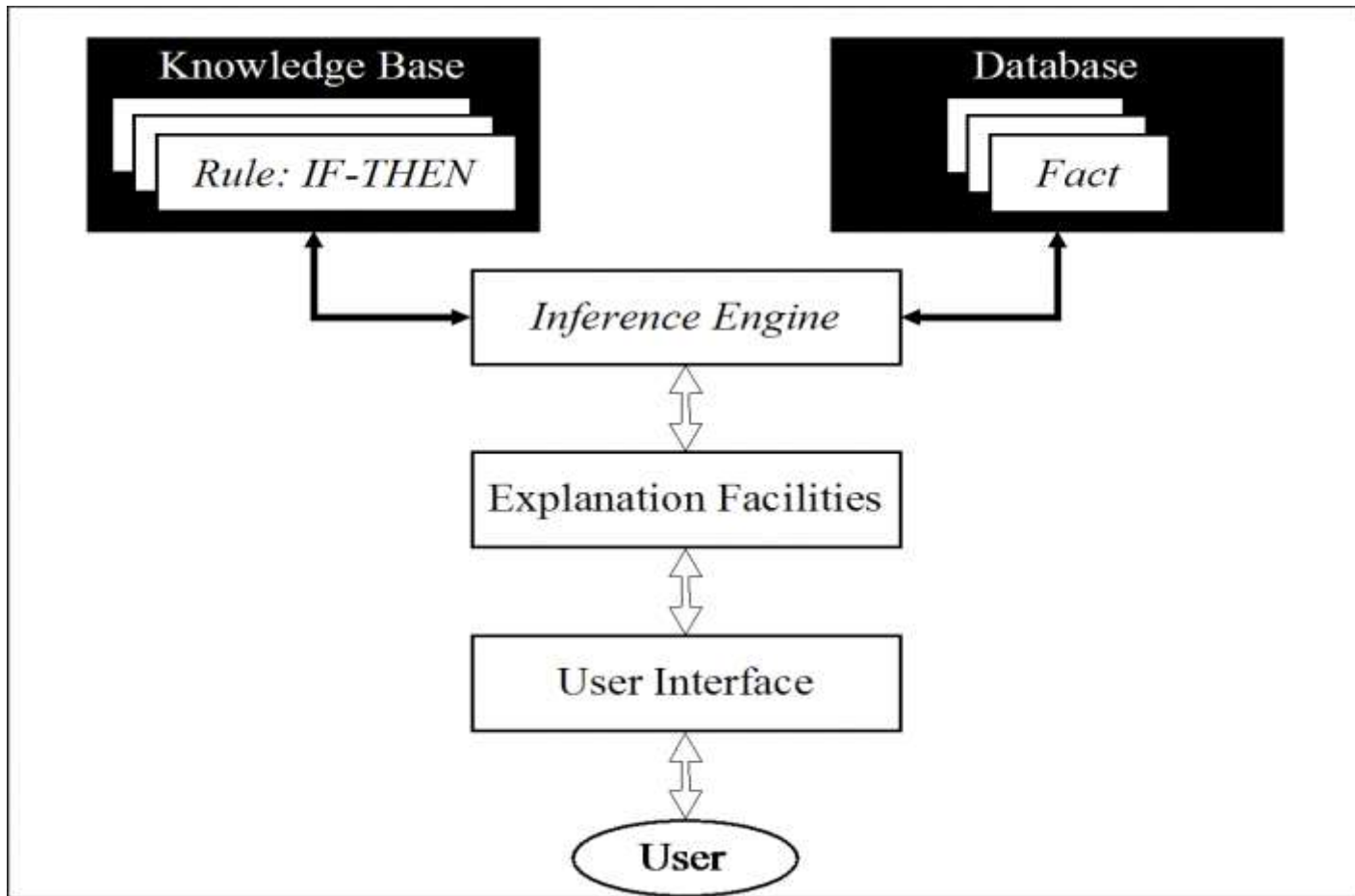
Gambar 2 Model Production System





# Production Rule

- Berdasarkan gagasan bahwa manusia memecahkan masalah dengan menerapkan pengetahuan mereka (diekspresikan sebagai aturan).
- Production rules disimpan dalam long-term memory, dan informasi terkait *problem-specific* atau fakta disimpan dalam short-term memory.




Gambar 3 Susunan dasar rule-based ES

**knowledge base** berupa domain knowledge berguna untuk problem solving. Knowledge direpresentasikan dlm sekumpulan rules

**database** berupa sekumpulan fakta yang akan dicocokkan dengan bagian **IF** (condition) dari rule yang disimpan dalam knowledge base

**inference engine** menggunakan penalaran dimana ES dapat memberi solusi. Ia menghubungkan knowledge base g fakta dalam database



- 
- ***explanation facilities*** memungkinkan user bertanya kepada ES, bagaimana kesimpulan tertentu tercapai dan mengapa fakta spesifik diperlukan.
  - ***user interface*** adalah sarana komunikasi antara pengguna yang mencari solusi untuk masalah dengan ES



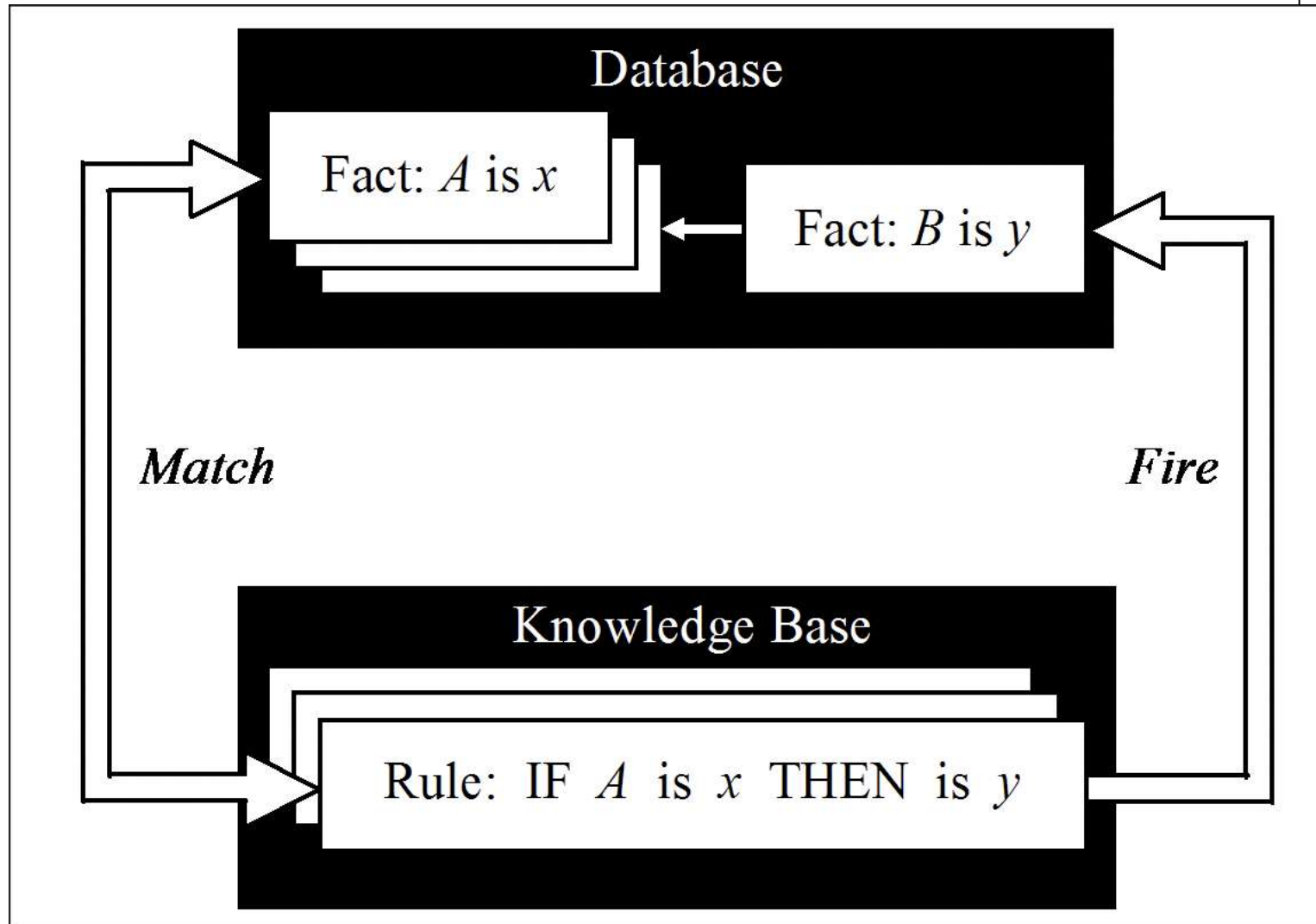
# Struktur kendali (Inference)

- **Forward Chaining**
  - Domain knowledge dinyatakan dengan sekumpulan production rule IF-THEN
  - Data dinyatakan dalam sekumpulan fakta mengenai situasi terkini
  - Inference engine membandingkan setiap rule yang disimpan dalam knowledge base gan fakta dalam database.



# Forward chaining (cont'd)

- Jika bagian **IF** (antecedent) dari rule cocok (matches) dengan fakta, rule di **fire** dan bagian **THEN** (action) dieksekusi.
- Pencocokan antecedent dengan fakta menghasilkan rantai inferensi (inference chain)



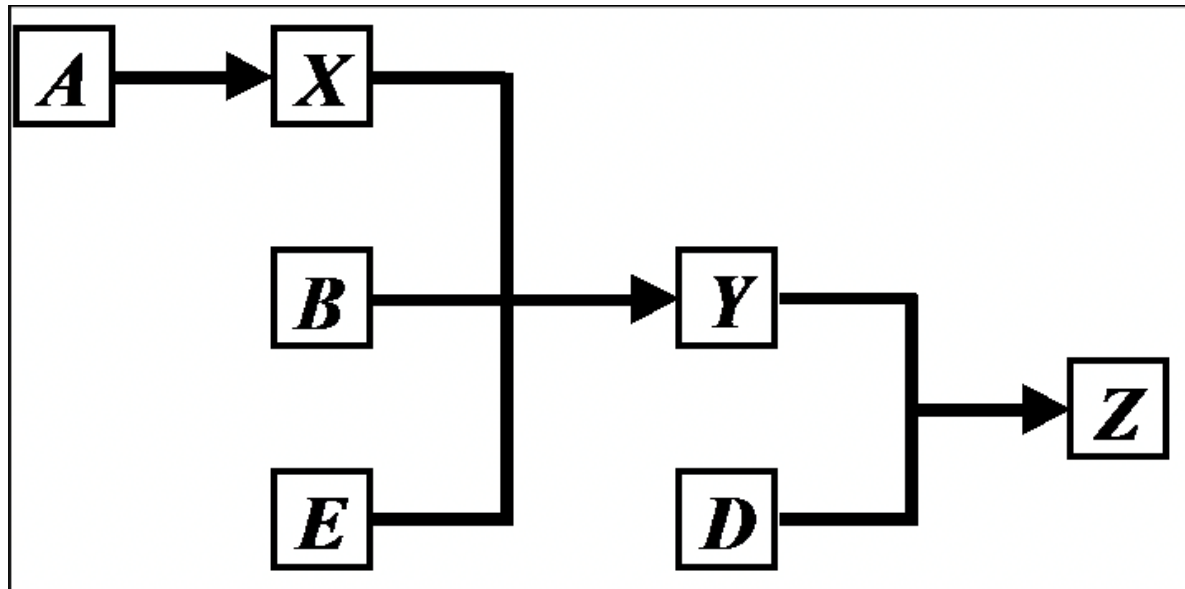
Gambar 4: Siklus inference engine



# Contoh

- RULE 1:  
**IF**  $Y$  is *true* **AND**  $D$  is *true*  
**THEN**  $Z$  is *true* ( $Y \& D \rightarrow Z$ )
- RULE 2:  
**IF**  $X$  is *true* **AND**  $B$  is *true* **AND**  $E$  is *true*  
**THEN**  $Y$  is *true* ( $X \& B \& E \rightarrow Y$ )
- RULE 3: **IF**  $A$  is *true* **THEN**  $X$  is *true* ( $A \rightarrow X$ )





Gambar 5: Inference chain



- Forward chaining: data-driven reasoning. (Banyak aturan dieksekusi termasuk yang tidak ada hubungannya dengan tujuan yang ditetapkan.) Anggaplah ada aturan lain,  $A \rightarrow Q$ , yang tidak terkait dengan tujuan untuk menentukan  $Z$ , itu juga akan di fire.
- Oleh karenanya jika tujuan hanya untuk menyimpulkan satu fakta tertentu, metode forward chaining menjadi kurang efektif



# Summary (Forward Chaining)

- Strategi pencocokan yang dimulai dari satu **set fakta yang diketahui**
- mengurutkan fakta baru menggunakan *rule* yang premisnya **sesuai** dengan fakta yang diketahui
- proses ini berlanjut sampai
  - gol ditemui
  - Tidak ada lagi rule yang premisnya cocok dengan fakta diketahui atau fakta yang diurutkan



## Forward Chaining (cont'd)

- Data Driven
- menganalisis masalah dengan melihat fakta yang sesuai/cocok dengan bagian IF dan IF-THEN rule.
- Penggunaan: untuk mengawasi dan mendiagnosis sistem pengendalian proses pada *real time* dimana data yang didapat selalu di-*up date*

# Ilustrasi Fwd Chaining dlm rule based system



- Contoh:

Diberi fakta sbb:

Fakta-1 : ibu dari Budi adalah Siti

Fakta-2 : ibu dari Anna adalah Siti

Rule-1:

IF ibu\_bapa dari X AND ibu\_bapa dari Y adalah P  
THEN X adik\_beradik Y

Rule-2:

IF ibu dari X ialah P  
THEN ibu\_bapa dari X ialah P

# Gunakan Forward Chaining



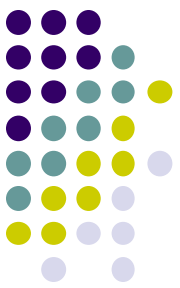
Rule-1 sesuai??

**TIDAK** sebab **tidak ada** fakta ibu\_bapa

Rule-2 sesuai??

**YA** fakta-1 dan 2 sesuai

# Contoh Lain



- **Rule 1:**

IF Y is true.  
AND D is true  
THEN Z is true.

- **Rule 3:**

IF A is true  
THEN X is true

- **Rule 5:**

IF L is true  
AND M is true  
THEN N is true

**Rule 2:**

IF X is true  
AND B is true  
AND E is true  
THEN Y is true

**Rule 4:**

IF C is true  
THEN L is true



Rule 1:  $Y \ \& \ D \rightarrow Z$

Rule 2:  $X \ \& \ B \ \& \ E \rightarrow Y$

Rule 3:  $A \rightarrow X$

Rule 4:  $C \rightarrow L$

Rule 5:  $L \ \& \ M \rightarrow N$

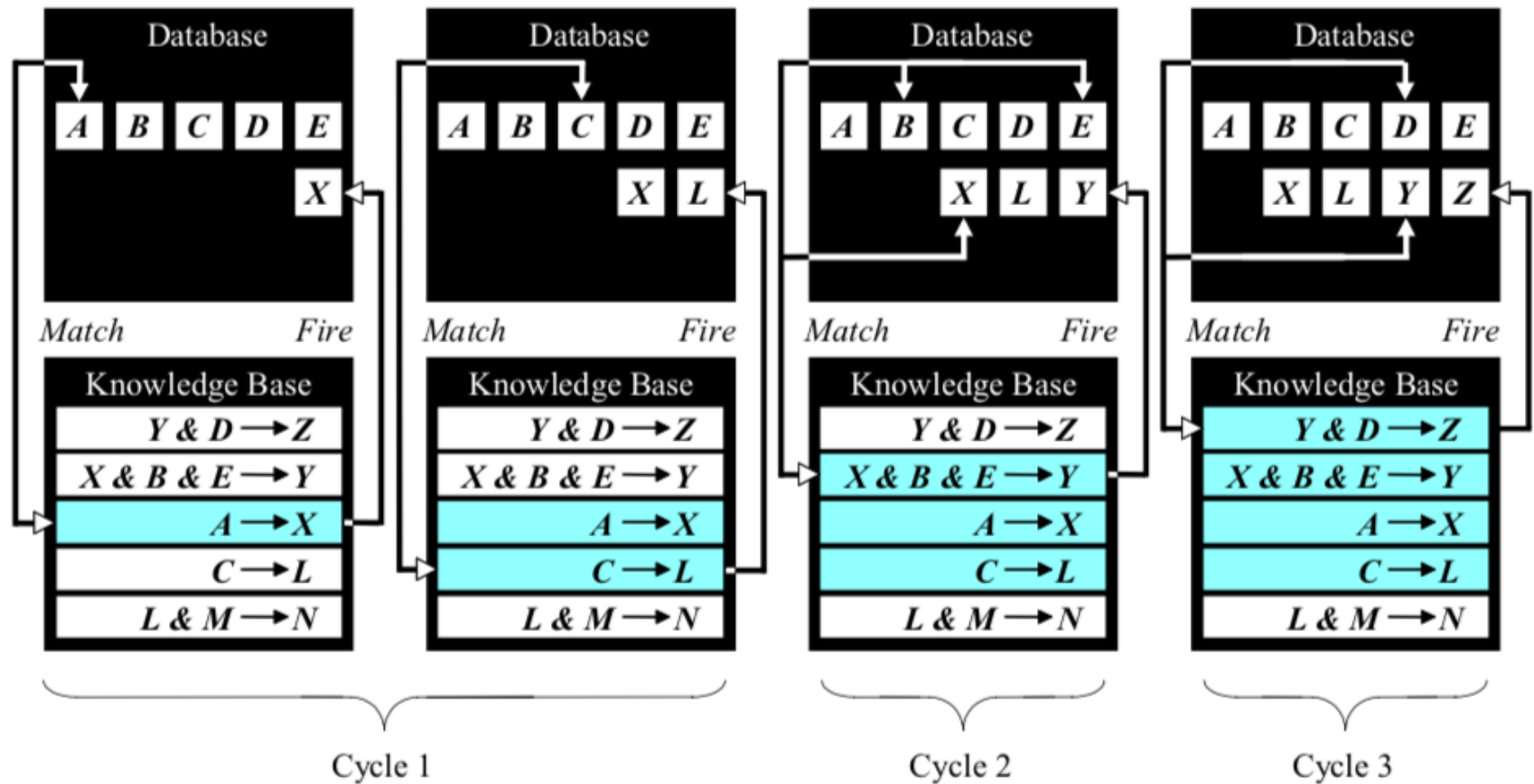
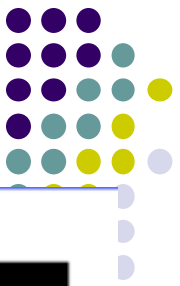




First cycle:  
terdapat dua rules, yaitu:

Rule 3:  $A \rightarrow X$ , dan Rule 4:  $C \rightarrow L$

Rules tsb cocok dengan fakta di database,  
maka Rule 3 diterima (sebagai topmost one)  
dan bagian THEN dieksekusi, fakta baru  
mengenai  $X$  ditambahkan pada database.  
(begitu jg dgn rule 4)





- Berikut akan diberikan contoh lain, dimana Forward Chaining digunakan dalam penyelesaian 8-puzzle

# 8-puzzle as a production system

Start state:

2	8	3
1	6	4
7		5

Goal state:

1	2	3
8		4
7	6	5

1

Production set:

Condition

Action

goal state in working memory	→ halt
blank is not on the left edge	→ move the blank left
blank is not on the top edge	→ move the blank up
blank is not on the right edge	→ move the blank right
blank is not on the bottom edge	→ move the blank down

2

Working memory is the present board state and goal state.

3

Control regime:

1. Try each production in order.
2. Do not allow loops.
3. Stop when goal is found.

# 8-puzzle as a production system

Start state:

2	8	3
1	6	4
7		5

Goal state:

1	2	3
8		4
7	6	5

## 1 Production set:

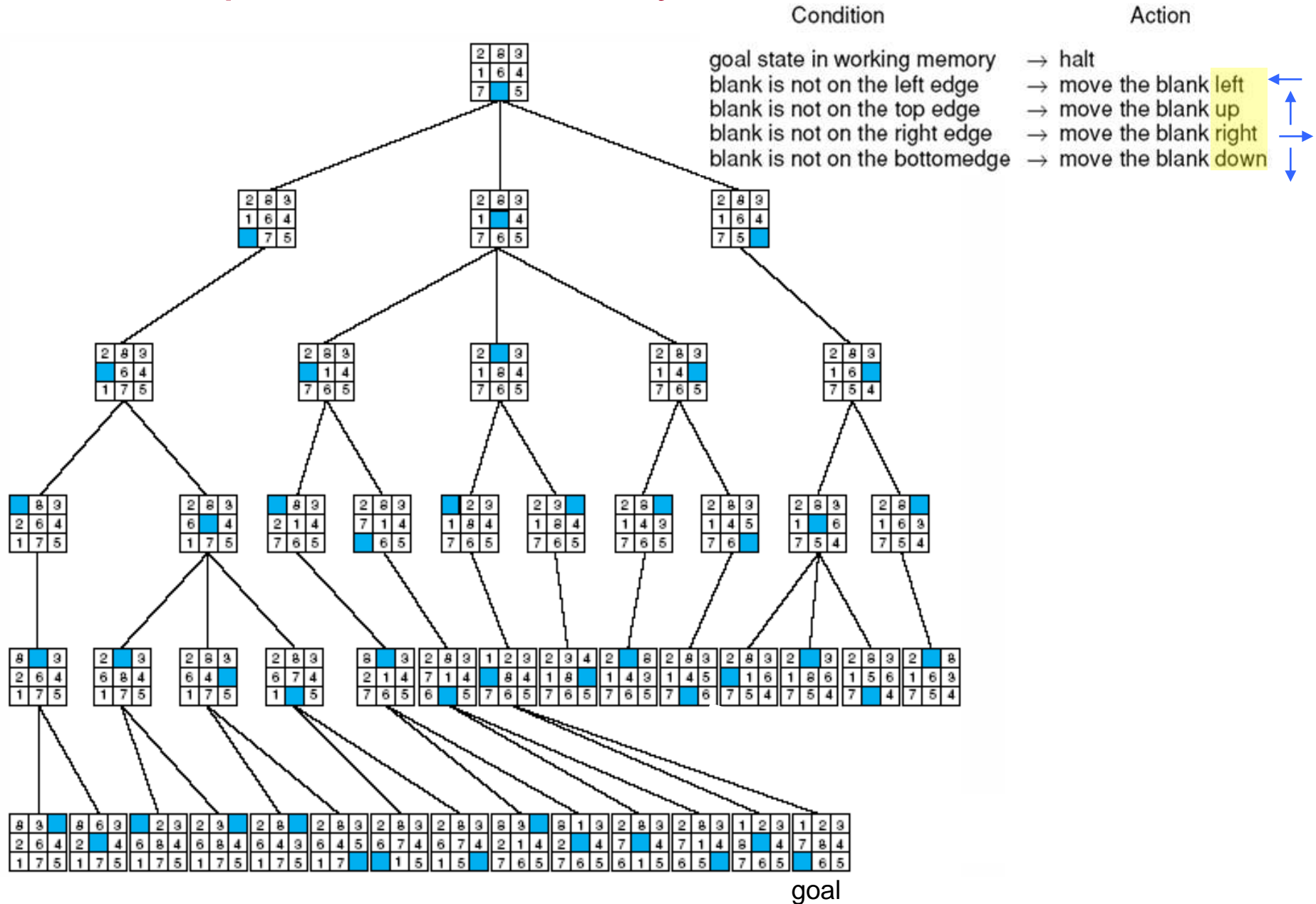
Condition	Action
goal state in working memory	→ halt
blank is not on the left edge	→ move the blank left
blank is not on the top edge	→ move the blank up
blank is not on the right edge	→ move the blank right
blank is not on the bottom edge	→ move the blank down

## 2 Working memory is the present board state and goal state.

## 3 Control regime:

1. Try each production in order.
2. Do not allow loops.
3. Stop when goal is found.

# 8-puzzle searched by a production system



# Data-driven search: forward chaining

1

Production set:

1.  $p \wedge q \rightarrow \text{goal}$
2.  $r \wedge s \rightarrow p$
3.  $w \wedge r \rightarrow q$
4.  $t \wedge u \rightarrow q$
5.  $v \rightarrow s$
6.  $\text{start} \rightarrow v \wedge r \wedge q$

Trace of execution:

2

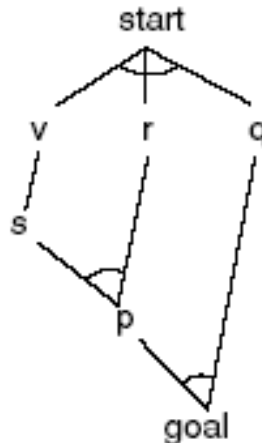
3

Fire the last rule in the set.

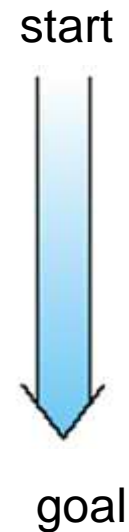
Iteration #	Working memory	Conflict set	Rule fired
0	start	6	6
1	start, v, r, q	6, 5	5
2	start, v, r, q, s	6, 5, 2	2
3	start, v, r, q, s, p	6, 5, 2, 1	1
4	start, v, r, q, s, p, goal	6, 5, 2, 1	halt

Space searched by execution:

Working memory contains true states.



Forward chaining  
Direction of search

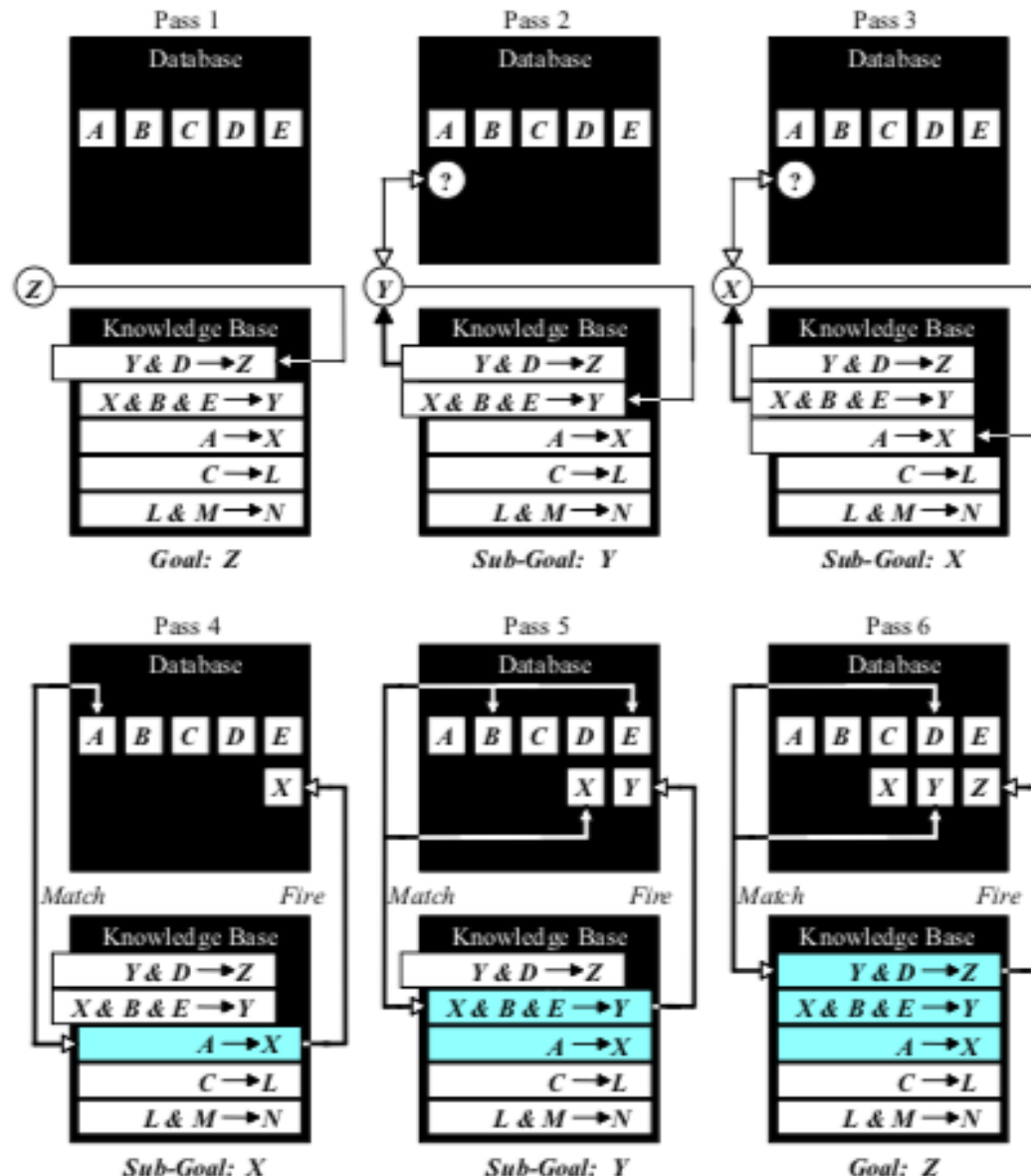


# BACKWARD CHAINING

- Disebut juga **Goal-driven** reasoning
- Dalam backward chaining, ES mempunyai goal dan inference engine berusaha mencari fakta-fakta untuk membuktikannya.
- Rule(s) mempunyai goal pada bagian THEN (action). Jika rule tersebut menemukan bagian IF yang cocok dengan data dalam database, maka rule tersebut **fire** dan goal terbukti (dieksekusi). Tetapi hal ini jarang terjadi



- Sehingga inference engine mengesampingkan aturan yang tadi digunakan (stack = ditumpuk) dan menetapkan tujuan baru, sebuah sub goal, untuk membuktikan bagian IF dari rule
- Kemudian engine akan mencari rule yang dapat membuktikan sub goal dari knowledge based
- Inference engine akan mengulang proses stacking (tumpukan) rule sampai tidak ada lagi rules yang didapatkan dalam knowledge base untuk membuktikan sub goal.





## Backward Chaining (*Goal-driven*)

*Goal-driven* fokus kepada goal, kemudian menentukan rules yang menghasilkan goal, kemudian ‘dihubungkan’ ke belakang (chain backward) menggunakan urutan-urutan rules dan subgoal untuk mendapatkan fakta dari problem.

# Goal-driven search: backward chaining

## Production set:

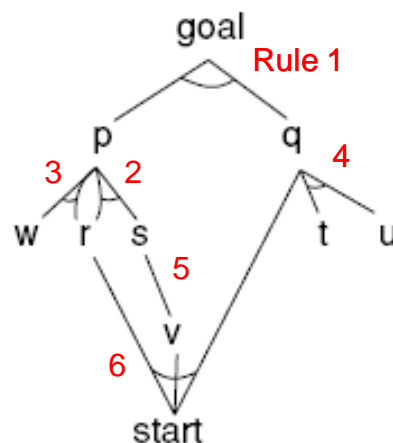
1.  $p \wedge q \rightarrow \text{goal}$
2.  $r \wedge s \rightarrow p$
3.  $w \wedge r \rightarrow p$
4.  $t \wedge u \rightarrow q$
5.  $v \rightarrow s$
6.  $\text{start} \rightarrow v \wedge r \wedge q$

## Trace of execution:

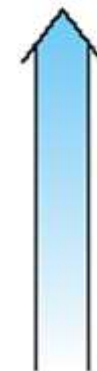
		subgoals	oldest untried rule	
Iteration #	Working memory	Conflict set	Rule fired	
0	goal	1	1	
1	goal, p, q	1, 2, 3, 4	2	
2	goal, p, q, r, s	1, 2, 3, 4, 5	3	
3	goal, p, q, r, s, w	1, 2, 3, 4, 5	4	
4	goal, p, q, r, s, w, t, u	1, 2, 3, 4, 5	5	
5	goal, p, q, r, s, w, t, u, v	1, 2, 3, 4, 5, 6	6	
6	goal, p, q, r, s, w, t, u, v, start	1, 2, 3, 4, 5, 6	halt	

Working memory contains goal and sub-goal states waiting to be satisfied (shown true).

## Space searched by execution:

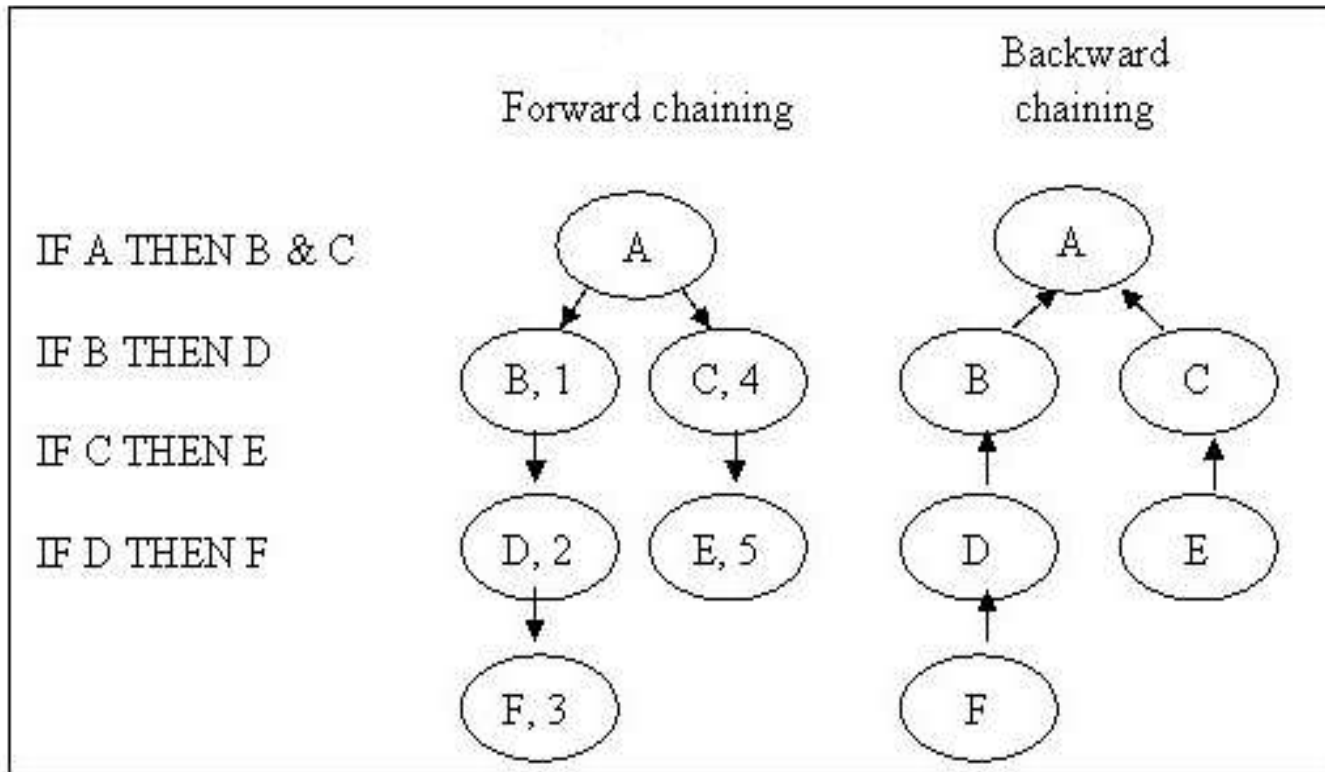
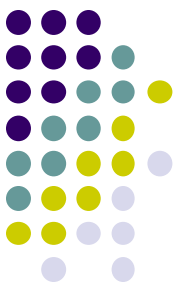


start



goal

Backward chaining  
Direction of search





## Contoh lain:

If corn is grown on poor soil, then it will get blackfly.

If soil hasn't enough nitrogen, then it is poor soil.

- Forward chaining: This soil is low in nitrogen; therefore this is poor soil; therefore corn grown on it will get blackfly.
- Backward chaining: This corn has blackfly; therefore it must have been grown on poor soil; therefore the soil must be low in nitrogen.

# Forward chaining vs. backward chaining

- Data-driven, forward chaining
  - Starts with the initial given data and search for the goal.
  - At each iteration, new conclusion (RHS) becomes the pattern to look for next
  - Working memory contains true sentences (RHS's).
  - Stop when the goal is reached.
- Goal-driven is the reverse.
  - Starts with the goal and try to search for the initial given data.
  - At each iteration, new premise (LHS) becomes the new subgoals, the pattern to look for next
  - working memory contains subgoals (LHS's) to be satisfied.
  - Stop when all the premises (subgoals) of fired productions are reached.
- Sense of the arrow is in reality reversed.
- Both repeatedly pick the next rule to fire.

condition  $\rightarrow$  action  
premise  $\rightarrow$  conclusion

	<b>Forward chaining</b>	<b>Backward chaining</b>
Starts with	premise	conclusion
Search for	conclusion	premise
Working memory	true statements	subgoals to be proved
Stopping criteria	goal is reached	Initial data are reached
	<b>Data-driven</b>	<b>Goal-driven</b>

## Combining forward- and backward-chaining

- Begin with data and search forward until the number of states becomes unmanageably large.
- Switch to goal-directed search to use subgoals to guide state selection.



# 1. Show how to use backward chaining, forward chaining for the following example and construct an Inference Tree

Here is an example involving an investment decision: whether to invest in IBM stock. The following variables are used: A= Have \$10,000, B= Younger than 30, C = Education at college level, D= Annual income of at least \$40,000, E= Invest in securities, F= Invest in growth stocks, G= Invest in IBM stock

Each of these variables can be answered as true or false.

The facts: We assume that an investor has \$10,000( A is true) and that she is 25 years old ( B is true). She would like advice on investing in IBM stock ( Yes or no for the goal).

The rules: Our knowledge base contains five rules:

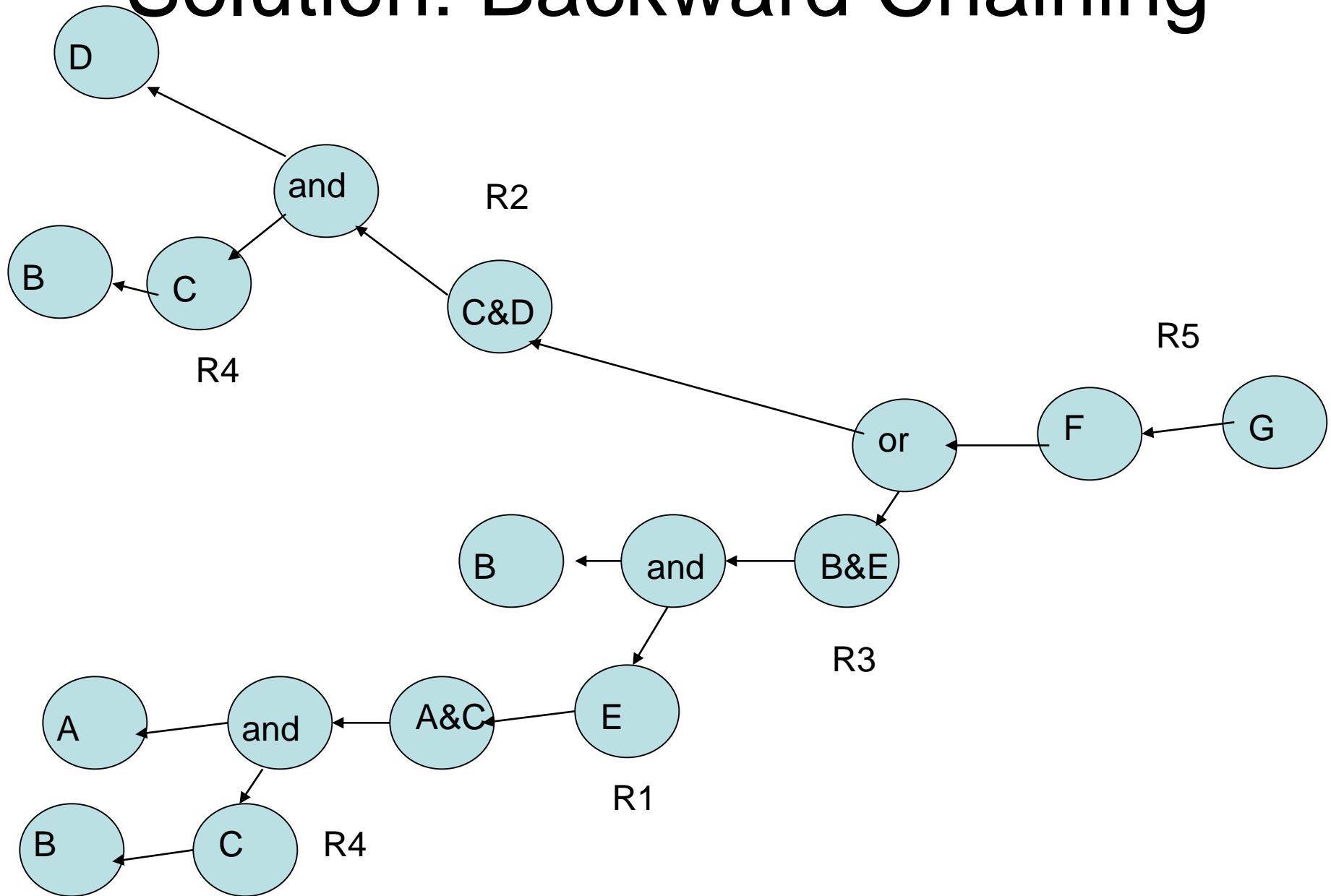
- R1: If A and C, Then E.
- R2: If D and C, Then F.
- R3: If B and E, Then F.
- R4: If B Then C
- R5: If F, Then G

Our goal is to determine whether to invest in IBM Stock.

# Solution: Backward chaining

- Start: We start by looking for a rule that includes the goal(G) in its conclusion ( THEN part). Because, R5 is the only rule that qualifies, we start with it.
- Step1: Try to accept or reject G. The ES goes to the assertion base to see whether G is there. Since we have in the assertion base : A is true, B is true, ES proceeds to step 2
- Step 2: R5 traces G to F. F is a premise of R5 is the conclusion of R2 and R3. There to check whether F is true, we need to check either of these two rules.
- Step 3: We try R2 first; if both D and C are true, then F is true. Since D is not a conclusion of any rule, ES tries to find out the whether D is true by asking a question to the investor.
- Step 4: ES does a backtracking and goes to R3: test B and E. We know that B is true because it is a given fact. To prove E, we go to R1, where E is the conclusion.
- Step 5: Examine R1. It is necessary to determine whether A and C are true.
- Step 6: A is true because it is a given fact. To test C, it is necessary to test R4.
- Step 7: R4 tells us that C is true. Therefore C becomes a fact . Now E is true, which validates F which validates the goal.

# Solution: Backward Chaining



# CONFLICT RESOLUTION

- RULE 1:

IF the traffic light is green

THEN the action is go

- RULE 2:

IF the traffic light is red

THEN the action is stop

- RULE 3:

IF the traffic light is red

THEN the action is go

# Bagaimana menyelesaikan conflict?

1. Fire the rule with the highest priority

Contoh:

RULE 1: Meningitis Prescription1 (Priority 100)

IF        Infection is Meningitis

AND    The patient is a child

THEN Prescription in Number\_1

AND Drug recommendation is Ampicillin

AND Drug recommendation is Gentamicin

AND Display Meningitis Prescription 1

- RULE 2 Meningitis Prescription 2 (Priority 90)

IF Infection is Meningitis

AND The patient is an Adult

THEN Prescription in Number\_2

AND Drug recommendation is Penicillin

AND Display Meningitis Prescription 2

## 2. Fire the most specific rule

Contoh:

RULE 1:

IF the season is autumn

AND the sky is cloud

AND the forecast is rain

THEN the advice is stay at home

RULE 2:

IF the season is autumn

THEN the advice is bring umbrella.

# Kesimpulan

- Data-driven, forward chaining
  - conditions first, then actions
  - working memory contains true statements describing the current environment
- Goal-driven, backward chaining
  - actions first, then conditions (subgoals)
  - working memory contains subgoals to be shown as true
- Mixed approach
  - Start with data and go forward until frontier is too big
  - Then start with goal and go backward
  - Try to connect the two in the middle of the state space
- Prolog implementation of production systems requires infinite loop detection.