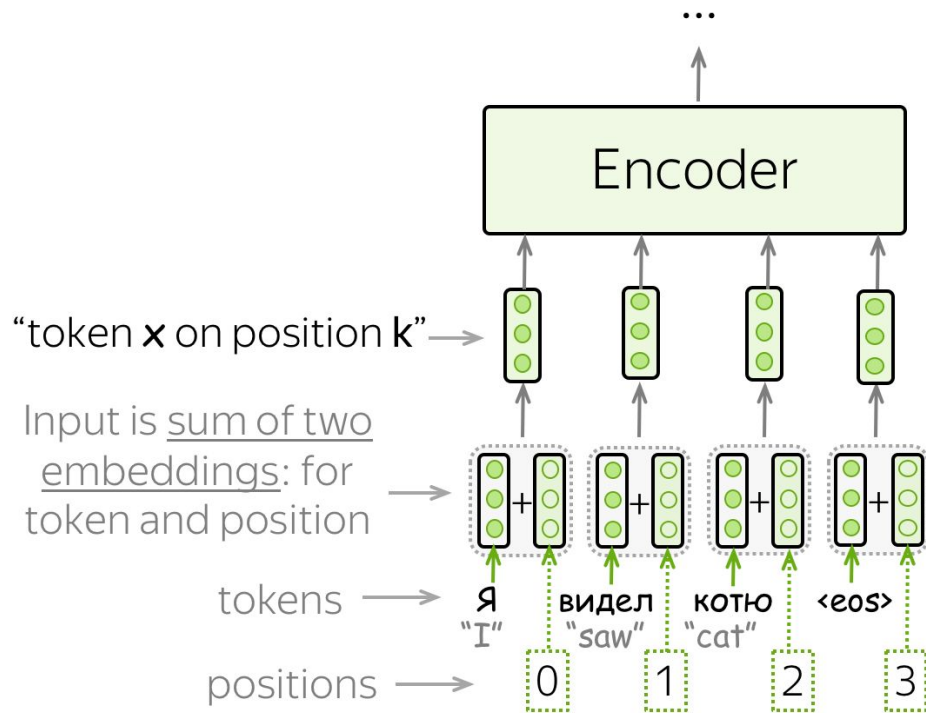


Rotary Position Embeddings

arxiv.org/abs/2104.09864

Positional Encoding

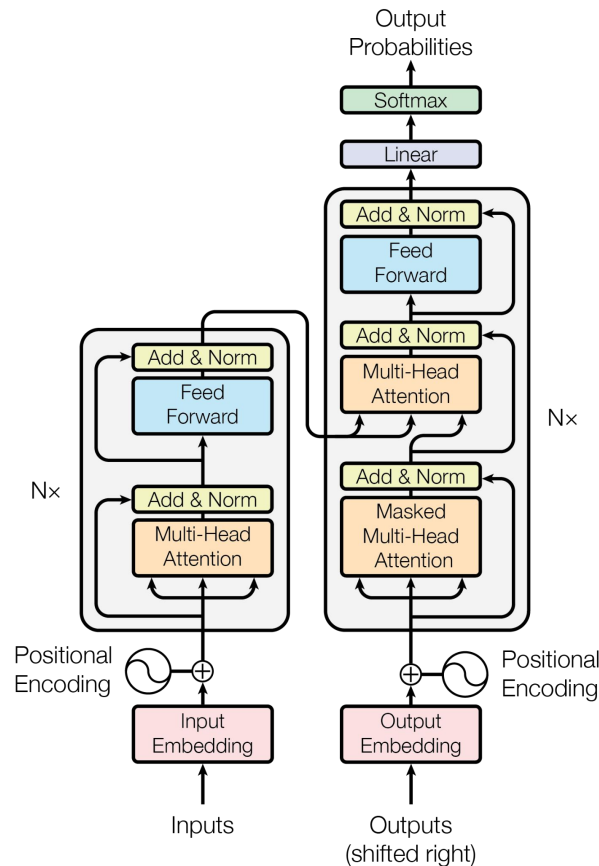


Positional Encoding

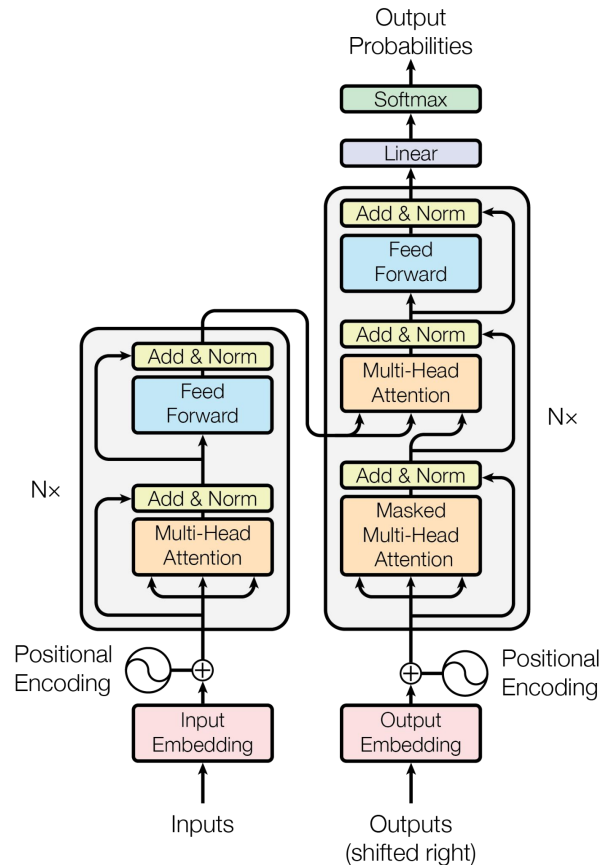
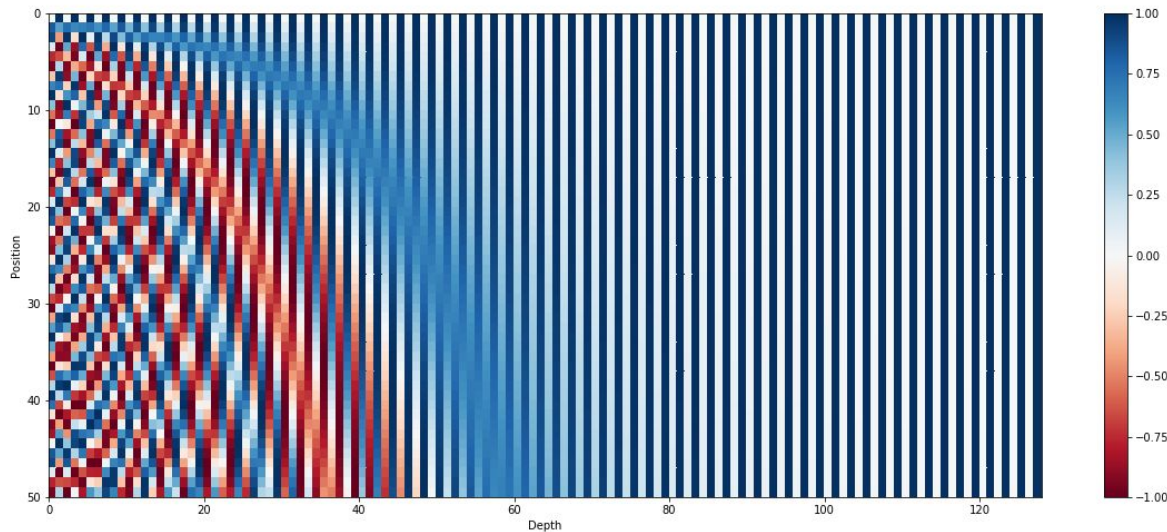
$$\vec{p}_t^{(i)} = f(t)^{(i)} := \begin{cases} \sin(\omega_k \cdot t), & \text{if } i = 2k \\ \cos(\omega_k \cdot t), & \text{if } i = 2k + 1 \end{cases}$$

$$\omega_k = \frac{1}{10000^{2k/d}}$$

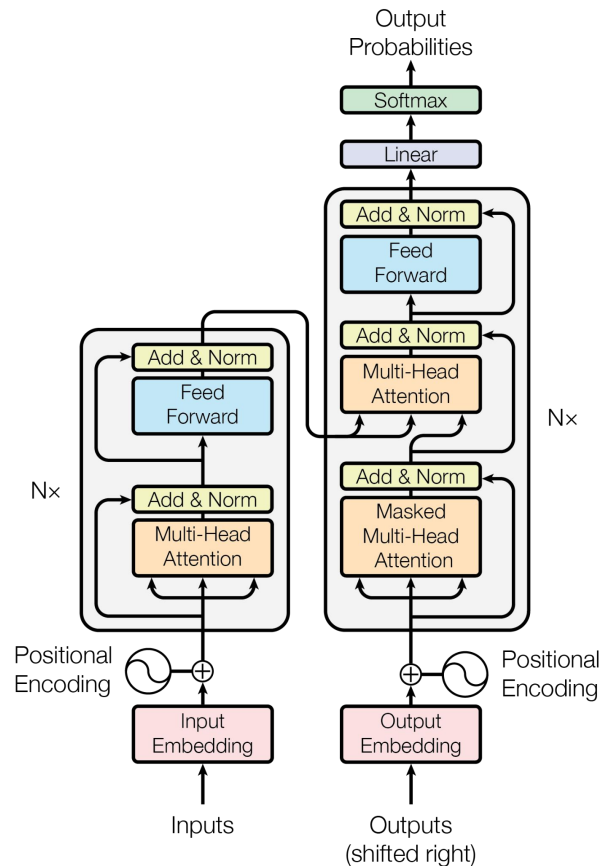
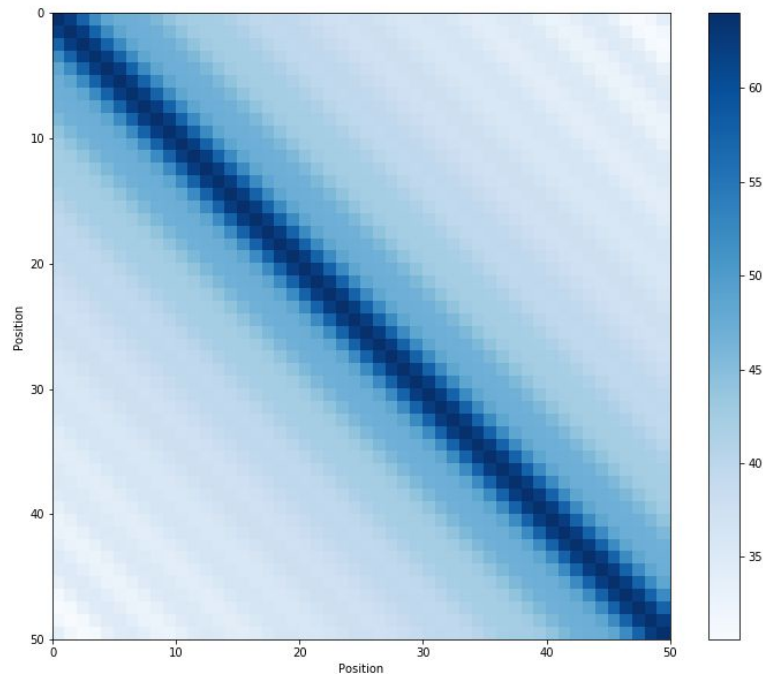
$$\psi'(w_t) = \psi(w_t) + \vec{p}_t$$



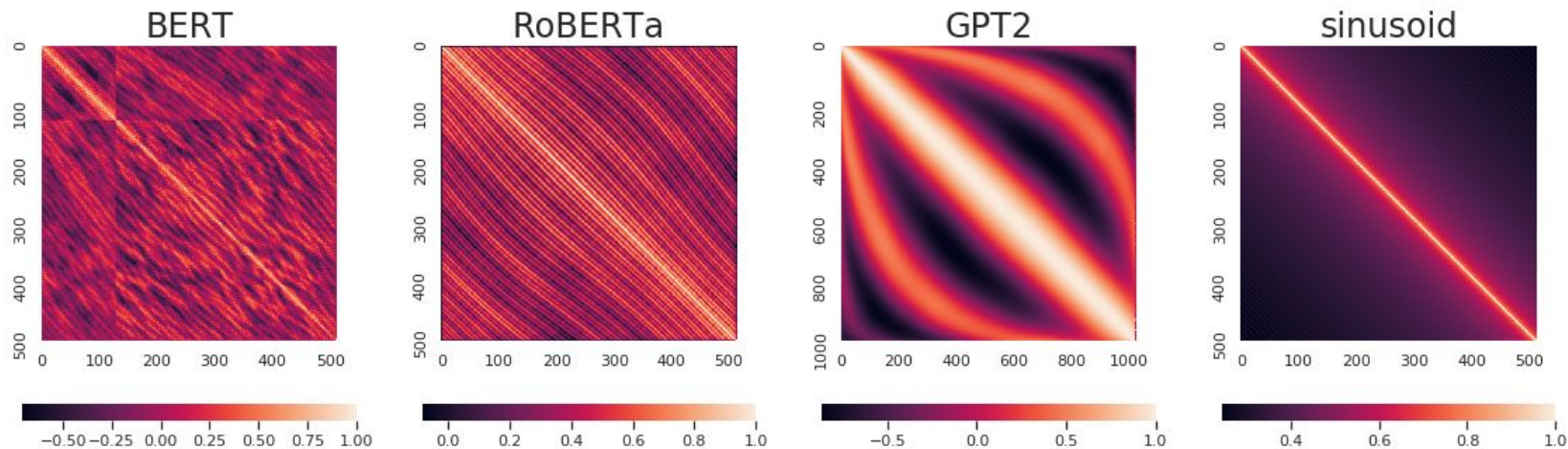
Positional Encoding



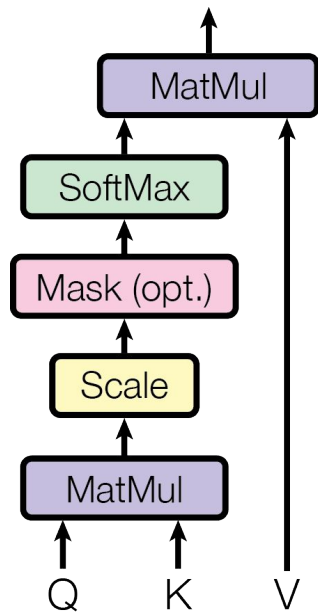
Positional Encoding



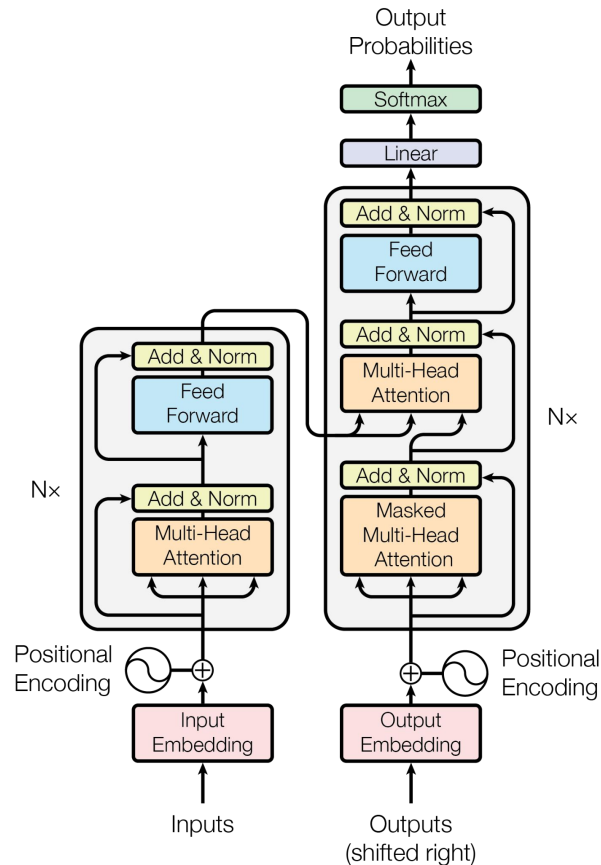
Trainable Position Embedding



Attention



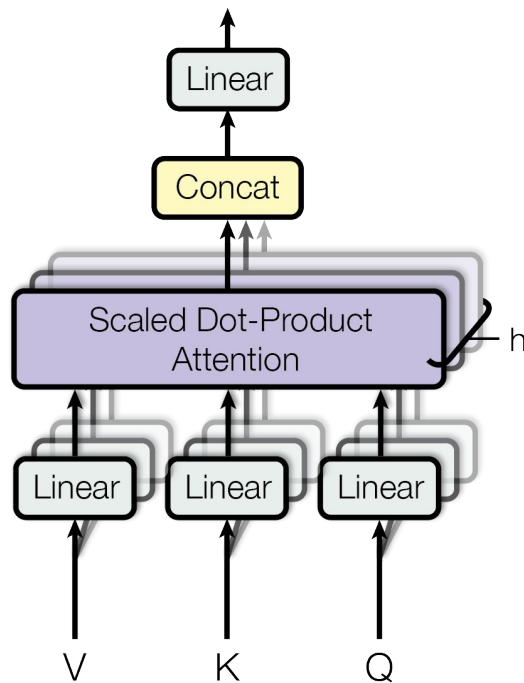
$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



Multihead Attention

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

where $\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$



Attention

$$\mathbf{q}_m = f_q(\mathbf{x}_m, m)$$

$$\mathbf{k}_n = f_k(\mathbf{x}_n, n)$$

$$\mathbf{v}_n = f_v(\mathbf{x}_n, n)$$

$$\mathbf{o}_m = \sum_{n=1}^N a_{m,n} \mathbf{v}_n$$

$$a_{m,n} = \frac{\exp(\frac{\mathbf{q}_m^\top \mathbf{k}_n}{\sqrt{d}})}{\sum_{j=1}^N \exp(\frac{\mathbf{q}_m^\top \mathbf{k}_j}{\sqrt{d}})}$$

Relative Position Encoding

$$f_q(\mathbf{x}_m) := \mathbf{W}_q \mathbf{x}_m$$

$$f_k(\mathbf{x}_n, n) := \mathbf{W}_k(\mathbf{x}_n + \tilde{\mathbf{p}}_r^k) \quad r = \text{clip}(m - n, r_{\min}, r_{\max})$$

$$f_v(\mathbf{x}_n, n) := \mathbf{W}_v(\mathbf{x}_n + \tilde{\mathbf{p}}_r^v)$$

Model	Position Information	EN-DE BLEU	EN-FR BLEU
Transformer (base)	Absolute Position Representations	26.5	38.2
Transformer (base)	Relative Position Representations	26.8	38.7
Transformer (big)	Absolute Position Representations	27.9	41.2
Transformer (big)	Relative Position Representations	29.2	41.5

Formulation

$$\langle f_q(\boldsymbol{x}_m, m), f_k(\boldsymbol{x}_n, n) \rangle = g(\boldsymbol{x}_m, \boldsymbol{x}_n, m - n)$$

Solution (2D)

$$f_q(\mathbf{x}_m, m) = (\mathbf{W}_q \mathbf{x}_m) e^{im\theta}$$

$$f_k(\mathbf{x}_n, n) = (\mathbf{W}_k \mathbf{x}_n) e^{in\theta}$$

$$f_{\{q,k\}}(\mathbf{x}_m, m) = \begin{pmatrix} \cos m\theta & -\sin m\theta \\ \sin m\theta & \cos m\theta \end{pmatrix} \begin{pmatrix} W_{\{q,k\}}^{(11)} & W_{\{q,k\}}^{(12)} \\ W_{\{q,k\}}^{(21)} & W_{\{q,k\}}^{(22)} \end{pmatrix} \begin{pmatrix} x_m^{(1)} \\ x_m^{(2)} \end{pmatrix}$$

General Solution

$$f_{\{q,k\}}(\mathbf{x}_m, m) = \mathbf{R}_{\Theta, m}^d \mathbf{W}_{\{q,k\}} \mathbf{x}_m$$

$$\mathbf{R}_{\Theta, m}^d = \begin{pmatrix} \cos m\theta_1 & -\sin m\theta_1 & 0 & 0 & \cdots & 0 & 0 \\ \sin m\theta_1 & \cos m\theta_1 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & \cos m\theta_2 & -\sin m\theta_2 & \cdots & 0 & 0 \\ 0 & 0 & \sin m\theta_2 & \cos m\theta_2 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & \cos m\theta_{d/2} & -\sin m\theta_{d/2} \\ 0 & 0 & 0 & 0 & \cdots & \sin m\theta_{d/2} & \cos m\theta_{d/2} \end{pmatrix}$$

$$\Theta = \{\theta_i = 10000^{-2(i-1)/d}, i \in [1, 2, \dots, d/2]\}$$

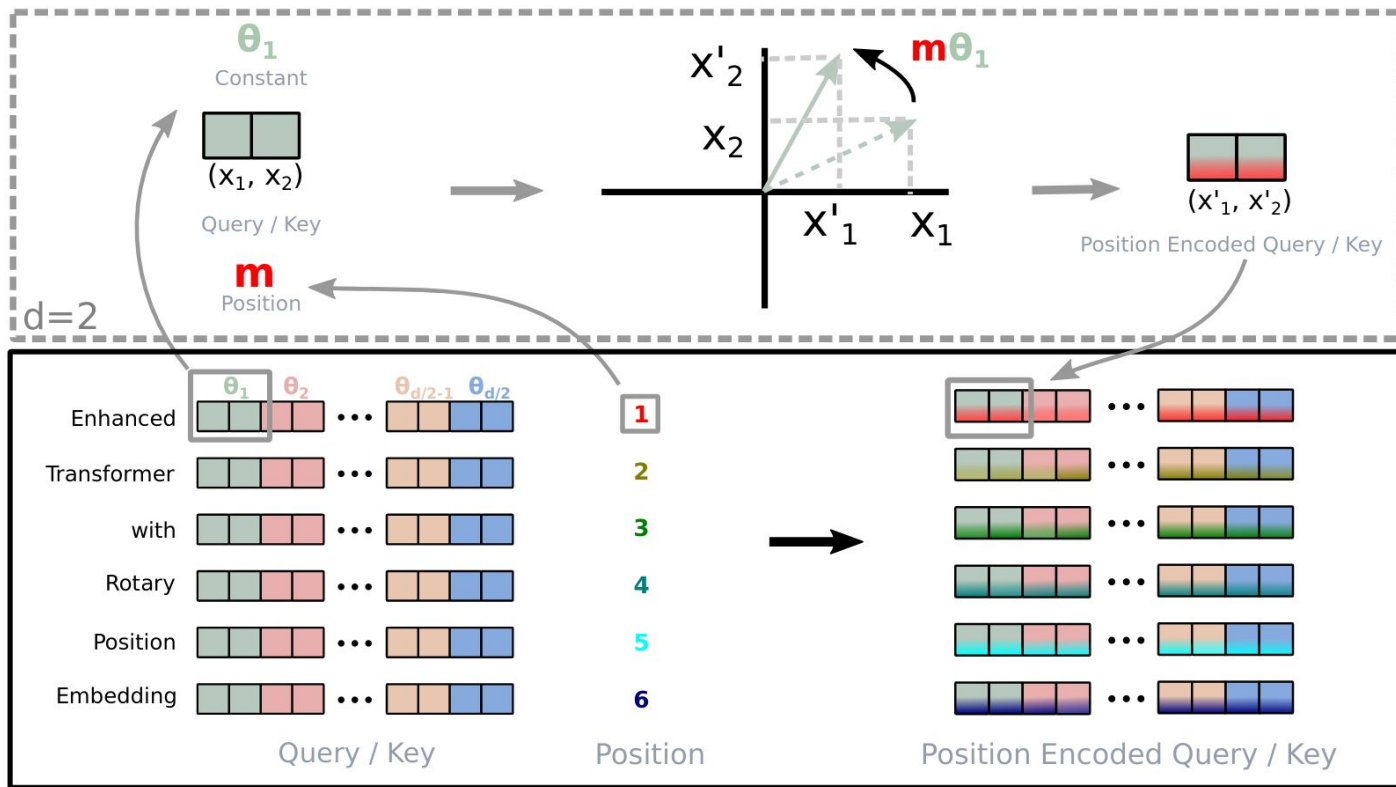
General Solution

$$f_{\{q,k\}}(\mathbf{x}_m, m) = \mathbf{R}_{\Theta, m}^d \mathbf{W}_{\{q,k\}} \mathbf{x}_m$$

$$\mathbf{R}_{\Theta, n-m}^d = (\mathbf{R}_{\Theta, m}^d)^\top \mathbf{R}_{\Theta, n}^d$$

$$\mathbf{q}_m^\top \mathbf{k}_n = (\mathbf{R}_{\Theta, m}^d \mathbf{W}_q \mathbf{x}_m)^\top (\mathbf{R}_{\Theta, n}^d \mathbf{W}_k \mathbf{x}_n) = \mathbf{x}^\top \mathbf{W}_q \mathbf{R}_{\Theta, n-m}^d \mathbf{W}_k \mathbf{x}_n$$

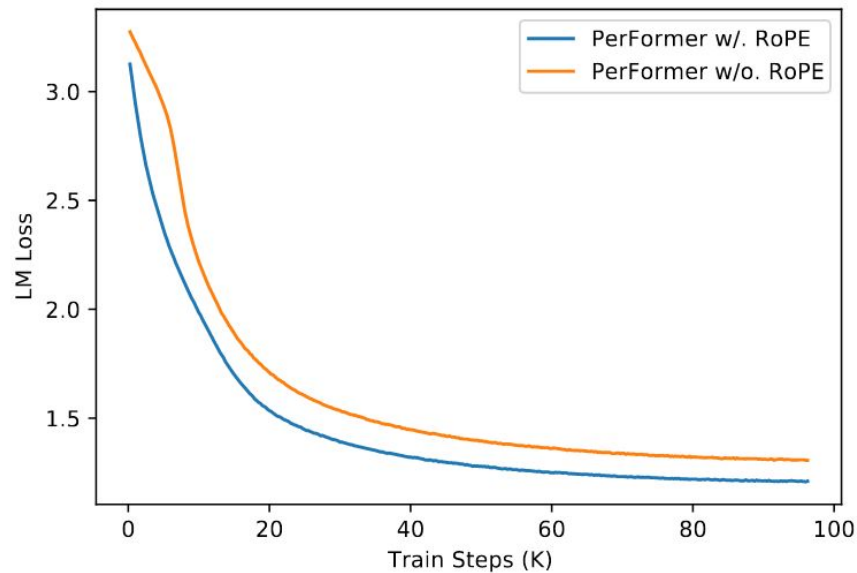
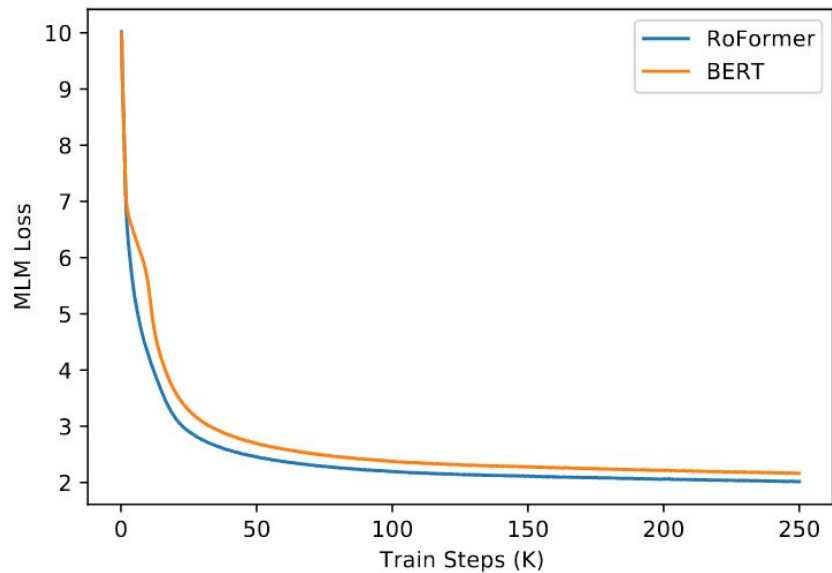
Implementation



Implementation

$$\mathbf{R}_{\Theta, m}^d \mathbf{x} = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix} \otimes \begin{pmatrix} \cos m\theta_1 \\ \cos m\theta_1 \\ \cos m\theta_2 \\ \cos m\theta_2 \\ \vdots \\ \cos m\theta_{d/2} \\ \cos m\theta_{d/2} \end{pmatrix} + \begin{pmatrix} -x_2 \\ x_1 \\ -x_4 \\ x_3 \\ \vdots \\ -x_{d-1} \\ x_d \end{pmatrix} \otimes \begin{pmatrix} \sin m\theta_1 \\ \sin m\theta_1 \\ \sin m\theta_2 \\ \sin m\theta_2 \\ \vdots \\ \sin m\theta_{d/2} \\ \sin m\theta_{d/2} \end{pmatrix}$$

Experiments: Pretraining



Experiments: Downstream Tasks

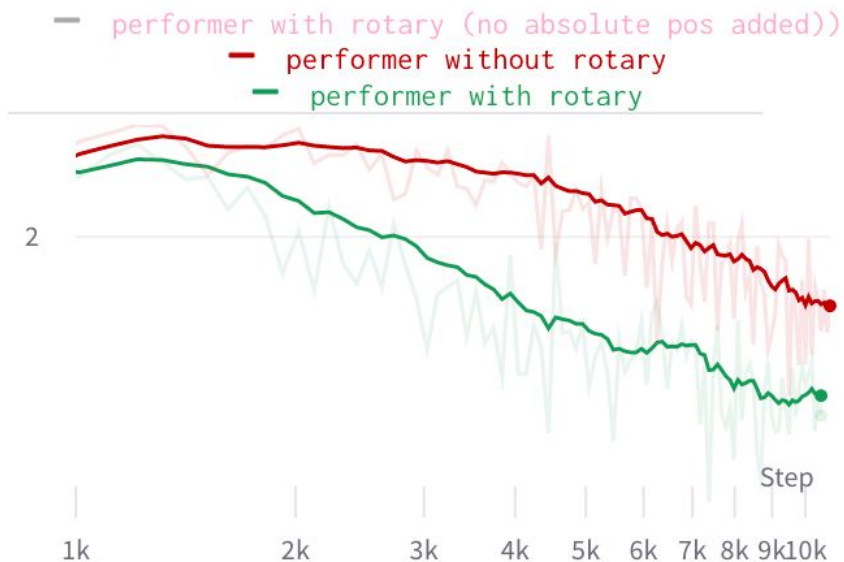
Model	MRPC	SST-2	QNLI	STS-B	QQP	MNLI(m/mm)
BERT[8]	88.9	93.5	90.5	85.8	71.2	84.6/83.4
RoFormer	89.5	90.7	88.0	87.0	86.4	80.2/79.8

Model	validation	test
BERT-512	64.13%	67.77%
WoBERT-512	64.07%	68.10%
RoFormer-512	64.13%	68.29%
RoFormer-1024	66.07%	69.79%

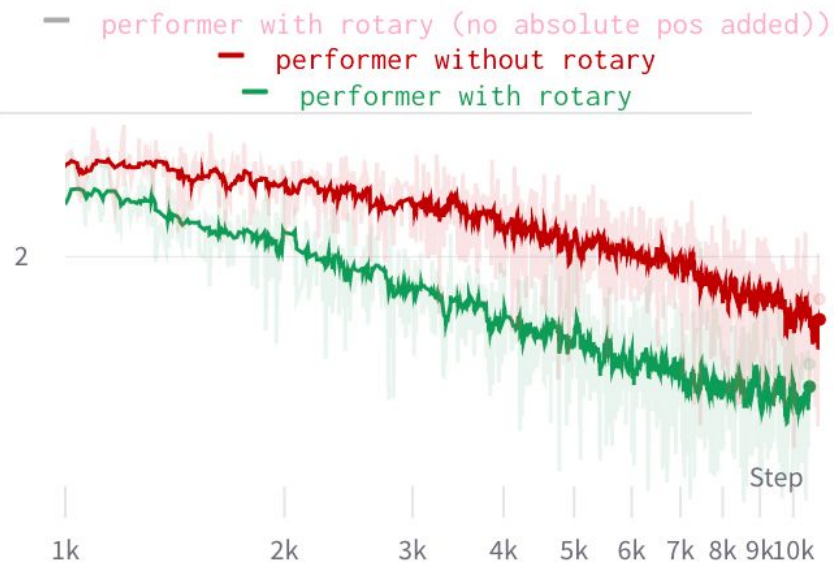
Model	BLEU
Transformer-base[37]	27.3
RoFormer	27.5

Experiments: Performer

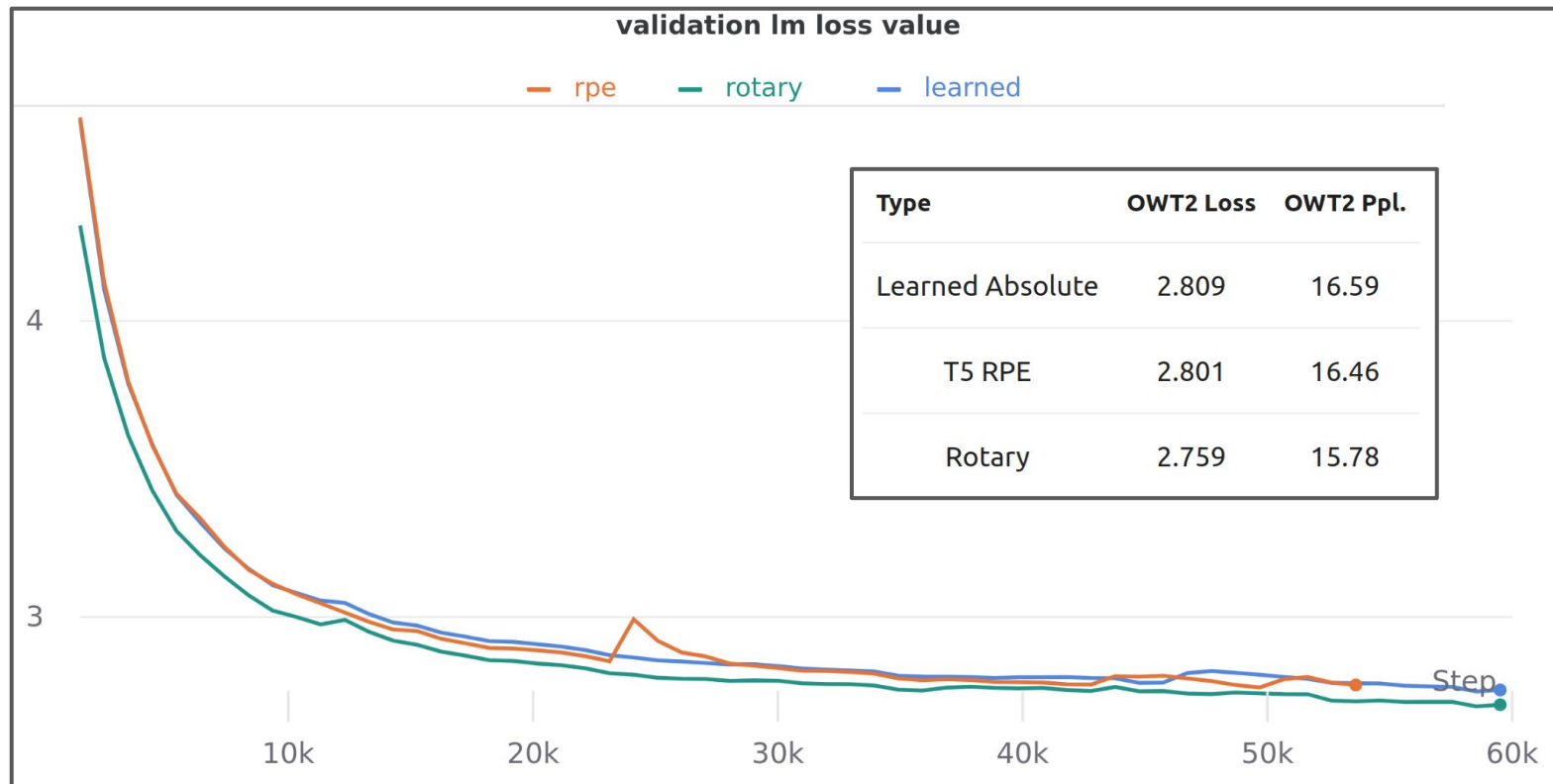
valid_loss



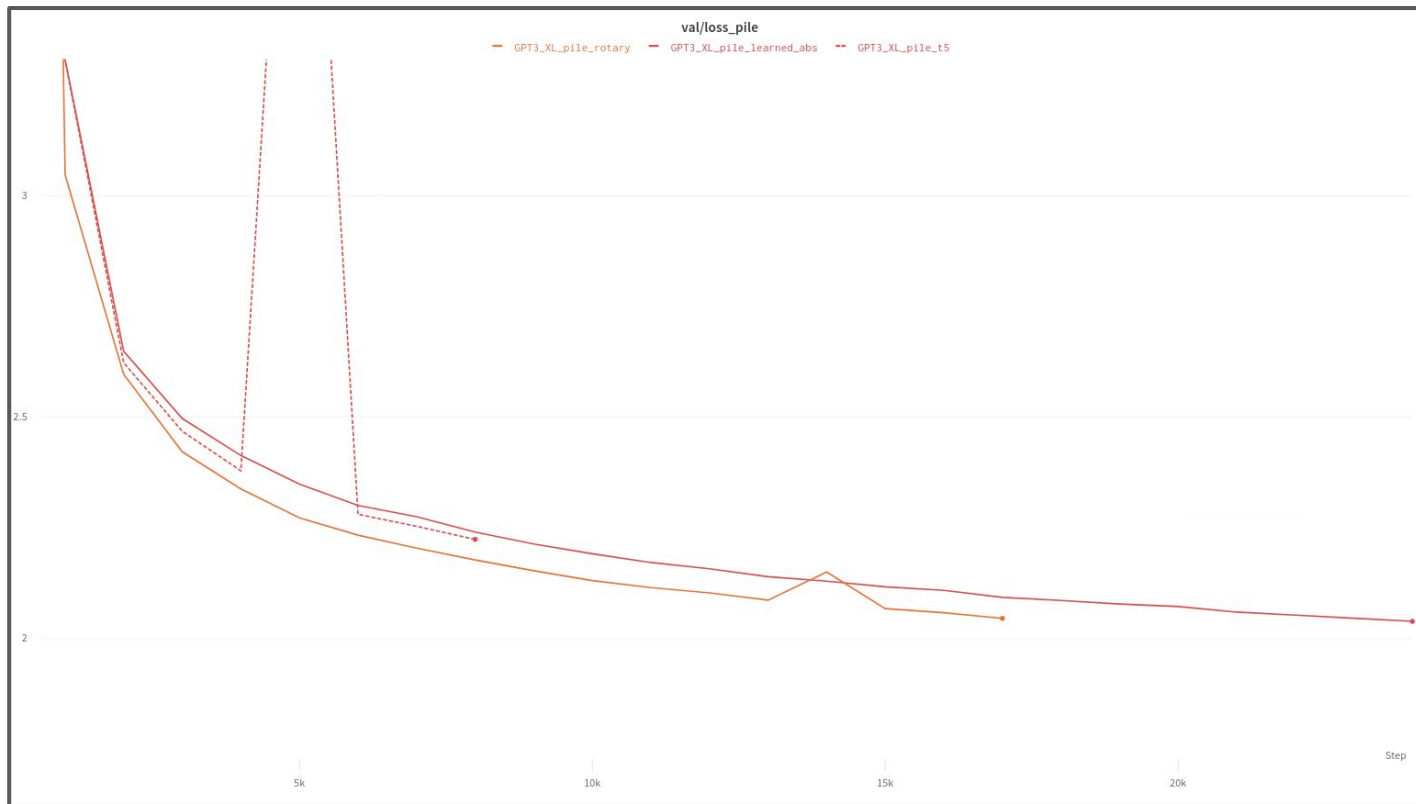
loss



Experiments: LM



Experiments: LM



Conclusion: Rotary Embeddings

- Relative position in self-attention encoded through rotation matrix
- No training
- Faster convergence
- Greater stability