## 11 Functors and Monads

**Exercise 11.1** (*Warm-up:* Type instances, FindDefs.hs). Give **non-trivial** function definitions that match the following types:

```
(?$) :: Maybe (a \rightarrow b) \rightarrow Maybe \ a \rightarrow Maybe \ b
pair :: (Applicative f) \Rightarrow f a \rightarrow f b \rightarrow f (a,b)
apply :: [a \rightarrow b] \rightarrow a \rightarrow [b]
apply2nd :: [a \rightarrow b \rightarrow c] \rightarrow b \rightarrow [a \rightarrow c]
```

For the purpose of this exercise, a *trivial* function is one that always returns the same result no matter the input, that does not terminate, or that produces a run time error when evaluated.

For the function pair, note that it is *polymorphic* on the *kind* of Applicative. So it must work on arguments of type Maybe a and Maybe b to produce a an object of type Maybe (a,b), but also on [a] and [b] to produce a list [(a,b)], etc. The variable t gets substituted like any other type variable, except that instead of accepting complete types (like Int or Maybe String), it expects a *type constructor* like Maybe or [].

But since you don't know what that type constructor will be, you have to rely on the Applicative class operations like pure,  $\langle * \rangle$ ,  $\langle * \rangle$ , liftA2, etc.

**Exercise 11.2** (*Warm up:* Functor recap, FunctorRecap.hs). We have already seen the Functor type class before in week 6. Do you still remember how it can be used? This exercise will give you some examples to practice with.

Consider the following container type, that holds two or three values:

```
data TwoOrThree a = Two a a | Three a a a
```

- 1. Give an instance of Functor for TwoOrThree.
- 2. What is the result of fmap succ (Two 1 2)?
- 3. If you have a list of TwoOrThrees, can you double the elements in each of them?

```
doubleAll :: [TwoOrThree Int] → [TwoOrThree Int]
```

We can also make a slight variant of the container,

```
data TwoOrThree' a = Two' Int a | Three' Int a a
```

- 4. Give an instance of Functor for TwoOrThree'.
- 5. What is the result of fmap succ (Two' 1 2)? Why is this different than the result in part 2
- 6. Could Int be made an instance of Functor?

**Exercise 11.3** (*Warm up:* Working with applicatives, ApplicativeExpr.hs). Consider the following expressions:

```
("dr." ++) <$> Just "Sjaak"

pure (filter (\x→x>1)) ⟨*⟩ Just [1,2,3]

filter (>1) <$> Just [1,2,3]

mod <$> Just 7 ⟨*⟩ Just 5

replicate <$> [1,2,3] ⟨*⟩ ['a','b']
```

Predict what each of these expressions do. Check your answers using GHCi! (Reminder: the function replicate has signature Int  $\rightarrow a \rightarrow [a]$ )

Exercise 11.4 (Warm-up: From Maybe to Monad, MaybeMonad.hs).

The Maybe type should by now be very familiar. Consider the following function types (some of which we have seen before).

```
maybeMap :: (a \rightarrow b) \rightarrow Maybe \ a \rightarrow Maybe \ b
stripMaybe :: Maybe (Maybe \ a) \rightarrow Maybe \ a
applyMaybe :: (a \rightarrow Maybe \ b) \rightarrow Maybe \ a \rightarrow Maybe \ b
```

1. Give **non-trivial** implementations of these three functions. Again, a *trivial* function is one that always does the same thing no matter the input, so for example

```
maybeMap :: (a \rightarrow b) \rightarrow Maybe a \rightarrow Maybe b
maybeMap _ _ = Nothing
```

is trivial, and so not a correct solution for this exercise.

2. Now that we have seen Functor and Monad, the types above should look similar to operations from those type classes.

If you didn't do so already in step 1, implement all three of the above functions making use of the fact that Maybe is an instance of Monad and Functor. I.e., use the function fmap, the bind operator (>>=) and/or do-notation.

You may also use any function from the extensive list available in the Control.Monad module: https://hackage.haskell.org/package/base-4.16.0.0/docs/Control-Monad.html#g:4.

To check your answers, change the types of the functions, replacing Maybe with a type variable m that is required to be an instance of Monad, e.g. you should be able to change

```
maybeMap :: (a \rightarrow b) \rightarrow Maybe \ a \rightarrow Maybe \ b
into
monadMap :: (Monad \ m) \rightarrow (a \rightarrow b) \rightarrow m \ a \rightarrow m \ b
```

without needing to change anything (besides the name) of your definition.

(If you get stuck on this exercise, simply cheat using Hoogle.)

Exercise 11.5 (Warm-up: Do-notation, Notation.hs).

*Do-notation* can be very useful, but is just syntactic sugar for the bind-operator (>>=), as shown during the lecture.

1. Rewrite the following *IO action* **using** do-notation. (You do not really need to know what getZonedTime or formatTime do, but you can probably guess.)

```
siri :: IO ()
siri =
  putStrLn "What is your name?" >>
  getLine >>= \name \to 
  getZonedTime >>= \now \to 
  putStrLn (name ++ formatTime defaultTimeLocale ", the time is %H:%M" now)
```

2. Rewrite the following function **without** do-notation, **using** the bind operator (>>=).

```
mayLookup :: (Eq a) ⇒ Maybe a → [(a, b)] → Maybe b
mayLookup maybekey assocs = do
  key ← maybekey
  result ← lookup key assocs
  return result
```

What does this function compute?

Exercise 11.6 (Warm-up: Applicatives and Monads, ApplicativeMonad.hs).

The type class Applicative is a super-class of Monad. That means that every monad is also an applicative functor, and we can use fmap, (\*), etc. on them as well. Consider this function:

```
liftMaybe2 :: (a \rightarrow b \rightarrow c) \rightarrow Maybe \ a \rightarrow Maybe \ b \rightarrow Maybe \ c liftMaybe2 f (Just x) (Just y) = Just (f x y)  
liftMaybe2 _ _ = Nothing
```

1. Define this function **without explicit case distinctions**, using the fact that Maybe is an instance of Applicative. Check your definition by changing its name and type to:

```
liftA2 :: (Applicative m) \Rightarrow (a \rightarrow b \rightarrow c) \rightarrow m a \rightarrow m b \rightarrow m c
```

2. Define this function again, but this time using the fact that Maybe is a monad (i.e. use return, (>>=) and/or *do-notation*). Check your definition by changing its name and type to:

```
liftM2 :: (Monad m) \Rightarrow (a \rightarrow b \rightarrow c) \rightarrow m a \rightarrow m b \rightarrow m c
```

3. Test your liftA2/liftM2 functions. Also try calling them on a *different* monad than Maybe:

```
\gg liftM2 (++) (return "Hi, ") (putStr "name: " \gg getLine) -- IO monad \gg liftM2 (++) ["Pol", "Engelbert"] ["!", "?"] -- list monad
```

What do you expect to be the result?

(Note: the 'official' liftA2 and liftM2 are defined in Control.Applicative and Control.Monad, respectively, and are functionally equivalent for monads. Also see Hint 1)

Exercise 11.7 (Mandatory: Creating Applicative instances, Result.hs).

(This exercise is needed for the final part of Exercise 11.8, but you can do the first two parts of that exercise independently.)

The Maybe type is typically used in cases where it is not certain whether a computation will deliver a result—if it doesn't, Nothing can be returned. Examples are the expression evaluator of Exercise 4.6, or the standard function lookup :: (Eq a)  $\Rightarrow$  a  $\rightarrow$  [(a, b)]  $\rightarrow$  Maybe b. However just returning Nothing doesn't really tell us why a computation failed. So, we are going to introduce this variant on the Maybe type:

```
data Result a = Okay a | Error [String]
```

Here, the Okay constructor corresponds to the Just data constructor for Maybe, and Error corresponds to Nothing, except that we now have the ability to return (multiple) explicit error messages. Like Maybe, this type can be turned into an instance of Functor and Applicative.

1. Create the instance Functor Result. It should behave similar to the instance for Maybe: apply the given function to the value kept in Okay, and preserve error messages:

```
>>> fmap reverse (Okay [1,2,3])
Okay [3,2,1]
>>> fmap reverse (Error ["list is empty", "not divisible by 5"])
Error ["list is empty", "not divisible by 5"]
```

- 2. What is the type of fmap for the instance of Functor for Result?
- 3. Create an instance Applicative Result. The boilerplate for this starts with:

```
instance Applicative Result where
```

Complete this instance definition by defining the two minimally required functions, and specify what their types are.

Note that the intent is that all error messages are preserved and combined. For example:

```
>>> (*) <$> 0kay 6 (*) 0kay 7
0kay 42
>>> (++) <$> 0kay [1,2,3] (*) 0kay [4,5,6]
0kay [1,2,3,4,5,6]
>>> (++) <$> 0kay [1,2,3] (*) Error ["invalid arguments"]
Error ["invalid arguments"]
>>> (*) <$> Error ["division by zero"] (*) Error ["not a number", "unknown variable: x"]
Error ["division by zero", "not a number", "unknown variable: x"]
```

**Exercise 11.8** (*Mandatory*: Using applicative functors, AST.hs/ASTInfix.hs (your choice)). In Exercise 4.6, we wrote an expression evaluator:

```
eval :: (Fractional a, Eq a) \Rightarrow Expr \rightarrow a \rightarrow Maybe a
```

for a data type Expr that could express addition, subtraction, multiplication and division, as well as integer constants and a *single* unknown variable x. So, Expr could represent a formula like "2x + 1". This data type could be implemented (your choice) using either prefix data constructors:

```
data Expr = Lit Integer | Var | Add Expr Expr | Mul Expr Expr | ...
or infix constructors:
```

```
data Expr = Lit Integer | Var | Expr :+: Expr | Expr :*: Expr | ...
```

To support multiple unknown variables (x, y, ...), we can extend this data type, replacing the Var constructor as follows:

```
type Identifier = String
data Expr = ... | Var Identifier | ...
```

We are going to modify eval so it supports this extension to Expr. You can use your solution to Exercise 4.6 as a starting point if you prefer, or use one of the two template versions.

1. Modify eval to support *multiple variables*, using the type:

```
eval :: (Fractional a, Eq a) \Rightarrow Expr \rightarrow [(Identifier,a)] \rightarrow Maybe a
```

The second argument to eval (which in Exercise 4.6 gave the value for x) is now an association list that associates variable names with values (we have seen association lists before, for instance when creating Huffman encodings in Exercise 7.6).

For example (assuming prefix-constructors):

```
let vars = [("x",5), ("y",37)]
eval (Add (Var "x") (Var "y")) vars \Longrightarrow Just 42.0
eval (Add (Var "x") (Var "y")) [] \Longrightarrow Nothing
eval (Div (Var "z") (Lit 0)) vars \Longrightarrow Nothing
```

- 2. Reduce the number of case-expressions needed in eval as much as possible by using the fact that Maybe is an instance of Applicative. So, rewrite it using the operations (\*) and <\$> and/or pure, as discussed in the lecture. Only one or two case-expressions should remain.
- 3. Replace Maybe with the Result type of Exercise 11.7:

```
eval :: (Fractional a, Eq a) \Rightarrow Expr \rightarrow [(Identifier,a)] \rightarrow Result a
```

So it can accurately report on all occurrences of these errors:

- division by zero
- variables without an associated value

For example (assuming infix-constructors; the order of the errors does not matter):

```
let vars = [("x",5), ("y",37)]
eval (Var "x" :+: Var "y") vars ⇒ Okay 42.0
eval (Var "x" :+: Var "y") [] ⇒ Error ["unknown variable: x","unknown variable: y"]
eval (Var "z" :/: Lit 0) vars ⇒ Error ["division by zero", "unknown variable: z"]
```

(If you used Applicative correctly in the previous step, this should not be a lot of work.)

**Exercise 11.9** (*Extra*: Turning a container into a monad, BtreeMonad.hs). Consider again the type of binary *leaf trees*:

```
data Btree a = Tip a | Bin (Btree a) (Btree a)
```

which is an instance of Functor:

```
instance Functor Btree where
fmap f (Tip x) = Tip (f x)
fmap f (Bin l r) = Bin (fmap f l) (fmap f r)
```

- 1. Give an instance of Applicative for Tree.
- 2. Give an instance of Monad for Tree.

Exercise 11.10 (Extra: Composing functors, Compose.hs).

Consider the type [Maybe a]. This has two layers of containers: it is a list of maybes of integers. You could also consider this combination of containers as a single new continer type,

```
data ListMaybe a = LM [Maybe a]
```

- 1. Give an instance of Functor for ListMaybe. Try to use the Functor instances of list and maybe.
- 2. Give an instance of Applicative for ListMaybe. Try to use the Applicative instances of list and maybe.
- 3. Give an instance of Monad for ListMaybe. Try to use the Monad instances of list and maybe as much as possible.
- 4. Test your implementation on the following cases

```
fmap succ (LM [Nothing])
fmap succ (LM [Just 1, Just 2, Nothing])
(+) <$> LM [Nothing, Just 2, Just 3] (*) LM [Just 1, Nothing]
liftM2 (+) (LM [Nothing, Just 2, Just 3]) (LM [Just 1, Nothing])
```

The expected output is in the template file.

More generally, we can consider the composition of any two container types f and g

```
data Compose f g a = C (f (g a))
```

What we had before is a special case with f=[] and g=Maybe.

- 5. Give an instance of Functor for Compose.
- 6. Give an instance of Applicative for Compose.
- 7. Is it possible to give an instance of Monad for Compose? What problem do you run into?

Hints to practitioners 1. The confusion between Applicative and Monad was a long-standing sore point in Haskell, Originally, these were separate classes with separate functions. Later, Applicative was made a super-class of Monad (see https://wiki.haskell.org/Functor-Applicative-Monad\_Proposal). This was a good idea, but has caused a lot of redundancy in operations. For example:

```
pure :: (Applicative f) \Rightarrow a \rightarrow f a return :: (Monad m) \Rightarrow a \rightarrow m a

fmap :: (Functor f) \Rightarrow (a \rightarrow b) \rightarrow f a \rightarrow f b liftM :: (Monad m) \Rightarrow (a \rightarrow b) \rightarrow m a \rightarrow m b

liftA2 :: (Applicative f) \Rightarrow (a \rightarrow b \rightarrow c) \rightarrow f a \rightarrow f b \rightarrow f c liftM2 :: (Monad m) \Rightarrow (a \rightarrow b \rightarrow c) \rightarrow m a \rightarrow m b \rightarrow m c

((\checkmark)) :: (Applicative f) \Rightarrow f (a \rightarrow b) \rightarrow f a \rightarrow f b ap :: (Monad m) \Rightarrow m (a \rightarrow b) \rightarrow m a \rightarrow m b
```

All these function pairs are equivalent for monads.

So when should you use Applicative, and when should you use Monad? A general rule is that when using Monad, computations have a distinct sequencing to them: the left-hand side of (>>=) can influence the outcome of the computation on the right-hand side. This is even more clear when using do-notation:  $m >= k = do \{ x \leftarrow m; y \leftarrow k x; return y \}$ .

On the other hand, with an Applicative that is *not* a Monad, the *sequencing* in an expression like  $f < m1 \ \ ) m2$  is *not specified*—it can be that m1 and m2 are completely independent, or even be the case that m2 influences the result of m1.

As a general rule, when what you want to write can be expressed using Applicative, use that instead of Monad. If you are going to need monad anyway, it doesn't really matter—and you will find many Haskell programs that freely mix pure and (>>=).