# Hazard Analysis Software Engineering

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Table 1: Revision History

Date	Developer(s)	Change
October 10th 2025 Date2	Dylan Garner Name(s)	Added initial hazard analysis Description of changes
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#### 1 Introduction

#### 1.1 Definition of Hazard

Following the definition from hazard analysis literature, a **hazard** is defined as a property or condition in the Sanskrit Manuscript Fragment Reconstruction Platform system together with a condition in the environment that has the potential to cause harm or damage (loss).

#### 1.1.1 System Conditions

System conditions are properties or states of the software that create vulnerability or risk. Examples include:

- Vulnerable database configuration: Database exposed to unauthorized access attempts
- Inaccurate Artificial Intelligence (AI) models: Script classification model with low accuracy (¡50%)
- Insufficient input validation: System accepts malformed or malicious data
- Poor error handling: System crashes or enters unstable state on unexpected input
- Inadequate session management: Sessions persist beyond intended duration or lack proper isolation
- Resource allocation issues: Memory leaks, unbounded queries, or inefficient algorithms
- Missing accessibility features: Interface elements without keyboard navigation or screen reader support

#### 1.1.2 Environmental Conditions

Environmental conditions are external circumstances or user behaviors that, when combined with system conditions, can cause harm. Examples include:

- User attempts unauthorized data access: User tries to download entire database or access restricted content
- User trusts inaccurate AI predictions: Scholar bases research conclusions on low-confidence model outputs
- Network interruptions during critical operations: Connection lost while saving research data

- Concurrent user access to shared resources: Multiple researchers editing same fragment simultaneously
- Users with varying technical expertise: Non-technical users attempting complex system operations
- Users with accessibility needs: Users requiring assistive technologies to interact with system

#### 1.2 Project Context and Unique Hazard Considerations

The Sanskrit Manuscript Fragment Reconstruction Platform operates within a distinctive environment that creates unique user experience hazards not typically addressed in conventional software projects:

#### 1.2.1 Diverse User Base with Varying Technical Skills

The system serves users ranging from graduate students learning paleography to expert scholars to archival staff, each with different technical comfort levels and research needs. Poor interface design or insensitive feature implementation could exclude or frustrate significant user groups, leading to abandonment of the tool or ineffective research workflows.

#### 1.2.2 Research Workflow Integration Challenges

Scholars have established research methodologies and workspace preferences developed over years of practice. Software that disrupts familiar workflows, lacks intuitive navigation, or forces users to adapt to rigid system constraints can cause significant frustration and reduce research productivity. Poor performance or unreliable functionality breaks concentration and research flow.

#### 1.2.3 Data Privacy and Confidentiality Concerns

Researchers work with sensitive data including unpublished discoveries, institutional collaborations, and potentially restricted manuscript materials. Unintended data exposure through system vulnerabilities, poor session management, or inadequate access controls could compromise ongoing research, violate institutional agreements, or expose confidential scholarly work to competitors.

# 2 Scope and Purpose of Hazard Analysis

#### 2.1 Purpose

This hazard analysis identifies and evaluates potential risks associated with the Sanskrit Manuscript Fragment Reconstruction Platform to ensure safe, responsible deployment in academic research environments. The analysis focuses on

protecting cultural heritage materials, maintaining scholarly integrity, and preventing negative impacts on Buddhist Studies research. Through systematic verification testing and validation processes, this analysis helps identify potential system failures and ensures that main functionalities operate safely and reliably before deployment.

#### 2.2 Potential Losses

Table 2 summarizes the potential losses that could occur from identified hazards in the system. These losses span data security, user experience, research productivity, and system reliability concerns.

### 3 System Boundaries and Components

[Dividing the system into components will help you brainstorm the hazards. You shouldn't do a full design of the components, just get a feel for the major ones. For projects that involve hardware, the components will typically include each individual piece of hardware. If your software will have a database, or an important library, these are also potential components. —SS

This hazard analysis treats the system as four major component groups that interact to support scholarly reconstruction of manuscript fragments. (For full details, refer to the SRS, Section S.1.)

#### Major Component Groups

- Front-end: Scholar-facing web UI for secure login, batch image uploads, an interactive canvas (arrange/rotate/zoom fragments), match discovery display, and session/annotation saving. Quick list of smaller components:
  - User Authentication Interface
  - Fragment Upload Interface
  - Interactive Canvas Module
  - Match Discovery Module
  - Progress Management Module
- Backend: API gateway, authentication/authorization, image preprocessing pipeline (normalization/orientation/format), database access layer, and a match-orchestration service coordinating analysis results. Concise breakdown of sub-components:
  - API Gateway
  - Authentication Service
  - Image Processing Service
  - Database Access Layer

- Match Orchestration Service
- Data Storage: Fragment image store (originals and derivatives with metadata), user accounts/permissions, and project records (arrangements, match history, confidence scores, session snapshots). Brief overview of minor elements:
  - Fragment Image Database
  - User Database
  - Project Database
- AI/ML: Services/models for edge/damage matching, handwriting/script classification, OCR text extraction (with confidence), and content similarity/embedding comparisons. Summary of key smaller parts:
  - Edge Pattern Matching Model
  - Handwriting Style Classifier
  - Damage Pattern Recognition Model
  - Text Extraction Model
  - Content Similarity Model

#### Component Boundaries (Exclusions)

- UI presents results and collects inputs; it does not run ML models or make authoritative scholarly decisions.
- Preprocessing only standardizes images; it does not judge correctness of reconstructions.
- Matching produces scored suggestions; it does not auto-merge/link records without explicit user confirmation.
- OCR/Transcription is machine-generated with confidence indicators; final text requires human review before being marked confirmed.
- Datastores are system-of-record for this application only; they do not write back to external catalogues/corpora.

See SRS Section S.1 for the detailed component descriptions and interfaces. Note that here I have separated the backend and data storage to make it a little clearer to visualize the components but data storage will be treated as backend for the project.

# 4 Critical Assumptions

[These assumptions that are made about the software or system. You should minimize the number of assumptions that remove potential hazards. For instance, you could assume a part will never fail, but it is generally better to include this potential failure mode. —SS

## 5 Failure Mode and Effect Analysis

[Include your FMEA table here. This is the most important part of this document. —SS] [The safety requirements in the table do not have to have the prefix SR. The most important thing is to show traceability to your SRS. You might trace to requirements you have already written, or you might need to add new requirements. —SS] [If no safety requirement can be devised, other mitigation strategies can be entered in the table, including strategies involving providing additional documentation, and/or test cases. —SS]

## 6 Safety and Security Requirements

[Newly discovered requirements. These should also be added to the SRS. (A rationale design process how and why to fake it.) —SS]

## 7 Roadmap

[Which safety requirements will be implemented as part of the capstone timeline? Which requirements will be implemented in the future? —SS]

### Appendix — Reflection

#### [Not required for CAS 741—SS]

The purpose of reflection questions is to give you a chance to assess your own learning and that of your group as a whole, and to find ways to improve in the future. Reflection is an important part of the learning process. Reflection is also an essential component of a successful software development process.

Reflections are most interesting and useful when they're honest, even if the stories they tell are imperfect. You will be marked based on your depth of thought and analysis, and not based on the content of the reflections themselves. Thus, for full marks we encourage you to answer openly and honestly and to avoid simply writing "what you think the evaluator wants to hear."

Please answer the following questions. Some questions can be answered on the team level, but where appropriate, each team member should write their own response:

- 1. What went well while writing this deliverable?
- 2. What pain points did you experience during this deliverable, and how did you resolve them?
- 3. Which of your listed risks had your team thought of before this deliverable, and which did you think of while doing this deliverable? For the latter ones (ones you thought of while doing the Hazard Analysis), how did they come about?
- 4. Other than the risk of physical harm (some projects may not have any appreciable risks of this form), list at least 2 other types of risk in software products. Why are they important to consider?

Loss Category	Specific Losses
Data Security	*
·	• Exposure of confidential research data
	• Unauthorized access to manuscript database
	• Unintended data sharing between users
	• Violation of institutional data agreements
	• Loss of competitive research advantage
Research Productivity	
	• Lost research time due to crashes or slow performance
	• Workflow disruption from poor interface design
	• Research momentum loss requiring work restart
	• Inefficient task completion from confusing navigation
Data Integrity	
	• Data corruption compromising months of work
	• Inadvertent modification of research data
	• Loss of unsaved work due to system failures
	• Incorrect fragment matches from inaccurate AI
User Experience	
	$\bullet$ System unresponsiveness or freezing
	• Frequent errors reducing user confidence
	• Frustration from overly complex interfaces
	• Inconsistent behavior across browsers/devices
Accessibility & Inclusion	
	$\bullet$ Exclusion of users with disabilities
	• Frustration for non-technical users
	• Reduced adoption by diverse user

groups