

# Programming Assignment 1: Learning Distributed Word Representations

**Due Date:** October 4, 2023 by 2pm

**Submission:** You must submit two files:

1. ☐ A PDF file containing your writeup, which will be the PDF export of this notebook (i.e., by printing this notebook webpage as PDF). Note that after you generate the PDF file of the Notebook, you will need to **concatenate it with the PDF file of your solutions to written problems**. Make sure that the relevant outputs (e.g. `print_gradients()` outputs, plots, etc.) are included and clearly visible. The **concatenated PDF file** needs to be submitted to **GradeScope**.
2. ☐ The `hw1_code_<YOUR_UNI>.ipynb` iPython Notebook, where `<YOUR_UNI>` is your uni. This file needs to be submitted to the **CourseWorks assignment page**.

The programming assignments are individual work.

You should attempt all questions for this assignment. Most of them can be answered at least partially even if you were unable to finish earlier questions. If you think your computational results are incorrect, please say so; that may help you get partial credit.

## Introduction

In this assignment we will learn about word embeddings and make neural networks learn about words. We could try to match statistics about the words, or we could train a network that takes a sequence of words as input and learns to predict the word that comes next. This assignment will ask you to implement a linear embedding and then the backpropagation computations for a neural language model and then run some experiments to analyze the learned representation. The amount of code you have to write is very short but each line will require you to think very carefully. You will need to derive the updates mathematically, and then implement them using matrix and vector operations in NumPy.

## Starter code and data

First, perform the required imports for your code:

```
In [51]: import collections
import pickle
```

```

import numpy as np
import os
from tqdm import tqdm
import pylab
from six.moves.urllib.request import urlretrieve
import tarfile
import sys

TINY = 1e-30
EPS = 1e-4
nax = np.newaxis

```

If you're using colab, this following script creates a folder - here we used 'A1' - in order to download and store the data. If you're not using colab, then set the path to wherever you want the contents to be stored at locally.

You can also manually download and unzip the data from [[http://www.cs.columbia.edu/~zemel/Class/Nndl/files/a1\\_data.tar.gz](http://www.cs.columbia.edu/~zemel/Class/Nndl/files/a1_data.tar.gz)] and put them in the same folder as where you store this notebook.

Feel free to use a different way to access the files *data.pk* , *partially\_trained.pk*, and *raw\_sentences.txt*.

The file *raw\_sentences.txt* contains the sentences that we will be using for this assignment. These sentences are fairly simple ones and cover a vocabulary of only 250 words (+ 1 special [MASK] token word).

```

In [52]: #####
# Setup working directory
#####
# Change this to a local path if running locally
%mkdir -p /content/A1/
%cd /content/A1

#####
# Helper functions for loading data
#####
# adapted from
# https://github.com/fchollet/keras/blob/master/keras/datasets/cifar10.py

def get_file(fname,
              origin,
              untar=False,
              extract=False,
              archive_format='auto',
              cache_dir='data'):
    datadir = os.path.join(cache_dir)
    if not os.path.exists(datadir):
        os.makedirs(datadir)

    if untar:
        untar_fpath = os.path.join(datadir, fname)
        fpath = untar_fpath + '.tar.gz'

```

```

else:
    fpath = os.path.join(datadir, fname)

    print('File path: %s' % fpath)
    if not os.path.exists(fpath):
        print('Downloading data from', origin)

        error_msg = 'URL fetch failure on {}: {} -- {}'
        try:
            try:
                urlretrieve(origin, fpath)
            except URLError as e:
                raise Exception(error_msg.format(origin, e.errno, e.reason))
            except HTTPError as e:
                raise Exception(error_msg.format(origin, e.code, e.msg))
        except (Exception, KeyboardInterrupt) as e:
            if os.path.exists(fpath):
                os.remove(fpath)
            raise

    if untar:
        if not os.path.exists(untar_fpath):
            print('Extracting file.')
            with tarfile.open(fpath) as archive:
                archive.extractall(datadir)
            return untar_fpath

    if extract:
        _extract_archive(fpath, datadir, archive_format)

    return fpath

```

```

mkdir: /content: Read-only file system
[Errno 2] No such file or directory: '/content/A1'
/Users/dylan/home/columbia/neural_networks

```

```

In [53]: # Download the dataset and partially pre-trained model
get_file(fname='a1_data',
          origin='http://www.cs.columbia.edu/~zemel/Class/And
          untar=True)

drive_location = 'data'
PARTIALLY_TRAINED_MODEL = drive_location + '/' + 'partially_trained.pk'
data_location = drive_location + '/' + 'data.pk'

```

```
File path: data/a1_data.tar.gz
```

```
Extracting file.
```

```

/var/folders/j/_4tqb6gx5m3_qv7vcpbs2f540000gn/T/ipykernel_65859/343202416
8.py:51: DeprecationWarning: Python 3.14 will, by default, filter extracted
tar archives and reject files or modify their metadata. Use the filter argum
ent to control this behavior.
    archive.extractall(datadir)

```

We have already extracted the 4-grams from this dataset and divided them into training, validation, and test sets. To inspect this data, run the following:

```

In [54]: data = pickle.load(open(data_location, 'rb'))

```

```
print(data['vocab'][0]) # First word in vocab is [MASK]
print(data['vocab'][1])
print(len(data['vocab'])) # Number of words in vocab
print(data['vocab']) # All the words in vocab
print(data['train_inputs'][:10]) # 10 example training instances
```

[MASK]

all

251

['[MASK]', np.str\_('all'), np.str\_('set'), np.str\_('just'), np.str\_('show'), np.str\_('being'), np.str\_('money'), np.str\_('over'), np.str\_('both'), np.str\_('years'), np.str\_('four'), np.str\_('through'), np.str\_('during'), np.str\_('go'), np.str\_('still'), np.str\_('children'), np.str\_('before'), np.str\_('p olice'), np.str\_('office'), np.str\_('million'), np.str\_('also'), np.str\_('le ss'), np.str\_('had'), np.str\_(','), np.str\_('including'), np.str\_('should'), np.str\_('to'), np.str\_('only'), np.str\_('going'), np.str\_('under'), np.str\_('has'), np.str\_('might'), np.str\_('do'), np.str\_('them'), np.str\_('good'), np.str\_('around'), np.str\_('get'), np.str\_('very'), np.str\_('big'), np.str\_('dr.'), np.str\_('game'), np.str\_('every'), np.str\_('know'), np.str\_('the y'), np.str\_('not'), np.str\_('world'), np.str\_('now'), np.str\_('him'), np.st r\_('school'), np.str\_('several'), np.str\_('like'), np.str\_('did'), np.str\_('university'), np.str\_('companies'), np.str\_('these'), np.str\_('she'), np.s tr\_('team'), np.str\_('found'), np.str\_('where'), np.str\_('right'), np.str\_('says'), np.str\_('people'), np.str\_('house'), np.str\_('national'), np.str\_('some'), np.str\_('back'), np.str\_('see'), np.str\_('street'), np.str\_('ar e'), np.str\_('year'), np.str\_('home'), np.str\_('best'), np.str\_('out'), np.s tr\_('even'), np.str\_('what'), np.str\_('said'), np.str\_('for'), np.str\_('fede ral'), np.str\_('since'), np.str\_('its'), np.str\_('may'), np.str\_('state'), n p.str\_('does'), np.str\_('john'), np.str\_('between'), np.str\_('new'), np.str\_(';''), np.str\_('three'), np.str\_('public'), np.str\_('?'), np.str\_('be'), n p.str\_('we'), np.str\_('after'), np.str\_('business'), np.str\_('never'), np.st r\_('use'), np.str\_('here'), np.str\_('york'), np.str\_('members'), np.str\_('pe rcent'), np.str\_('put'), np.str\_('group'), np.str\_('come'), np.str\_('by'), n p.str\_(' \$'), np.str\_('on'), np.str\_('about'), np.str\_('last'), np.str\_('he r'), np.str\_('of'), np.str\_('could'), np.str\_('days'), np.str\_('against'), n p.str\_('times'), np.str\_('women'), np.str\_('place'), np.str\_('think'), np.st r\_('first'), np.str\_('among'), np.str\_('own'), np.str\_('family'), np.str\_('i nto'), np.str\_('each'), np.str\_('one'), np.str\_('down'), np.str\_('because'), np.str\_('long'), np.str\_('another'), np.str\_('such'), np.str\_('old'), np.str\_ ('next'), np.str\_('your'), np.str\_('market'), np.str\_('second'), np.str\_('c ity'), np.str\_('little'), np.str\_('from'), np.str\_('would'), np.str\_('few'), np.str\_('west'), np.str\_('there'), np.str\_('political'), np.str\_('two'), n p.str\_('been'), np.str\_('.'), np.str\_('their'), np.str\_('much'), np.str\_('mu sic'), np.str\_('too'), np.str\_('way'), np.str\_('white'), np.str\_(':''), np.st r\_('was'), np.str\_('war'), np.str\_('today'), np.str\_('more'), np.str\_('ag o'), np.str\_('life'), np.str\_('that'), np.str\_('season'), np.str\_('compan y'), np.str\_('-'), np.str\_('but'), np.str\_('part'), np.str\_('court'), np.str\_ ('former'), np.str\_('general'), np.str\_('with'), np.str\_('than'), np.str\_ ('those'), np.str\_('he'), np.str\_('me'), np.str\_('high'), np.str\_('made'), n p.str\_('this'), np.str\_('work'), np.str\_('up'), np.str\_('us'), np.str\_('unti l'), np.str\_('will'), np.str\_('ms.'), np.str\_('while'), np.str\_('official s'), np.str\_('can'), np.str\_('were'), np.str\_('country'), np.str\_('my'), n p.str\_('called'), np.str\_('and'), np.str\_('program'), np.str\_('have'), np.st r\_('then'), np.str\_('is'), np.str\_('it'), np.str\_('an'), np.str\_('states'), np.str\_('case'), np.str\_('say'), np.str\_('his'), np.str\_('at'), np.str\_('wan t'), np.str\_('in'), np.str\_('any'), np.str\_('as'), np.str\_('if'), np.str\_('u nited'), np.str\_('end'), np.str\_('no'), np.str\_(')'), np.str\_('make'), np.st r\_('government'), np.str\_('when'), np.str\_('american'), np.str\_('same'), n p.str\_('how'), np.str\_('mr.'), np.str\_('other'), np.str\_('take'), np.str\_('w hich'), np.str\_('department'), np.str\_('--'), np.str\_('you'), np.str\_('man y'), np.str\_('nt'), np.str\_('day'), np.str\_('week'), np.str\_('play'), np.str\_ ('used'), np.str\_('"s'), np.str\_('though'), np.str\_('our'), np.str\_('who'),

```

np.str_('yesterday'), np.str_('director'), np.str_('most'), np.str_('preside
nt'), np.str_('law'), np.str_('man'), np.str_('a'), np.str_('night'), np.str
_('off'), np.str_('center'), np.str_('i'), np.str_('well'), np.str_('or'), n
p.str_('without'), np.str_('so'), np.str_('time'), np.str_('five'), np.str_
_('the'), np.str_('left')]
[[ 28  26  90 144]
 [184  44 249 117]
 [183  32  76 122]
 [117 247 201 186]
 [223 190 249   6]
 [ 42  74  26  32]
 [242  32 223  32]
 [223  32 158 144]
 [ 74  32 221  32]
 [ 42 192  91  68]]

```

Now `data` is a Python dict which contains the vocabulary, as well as the inputs and targets for all three splits of the data. `data['vocab']` is a list of the 251 words in the dictionary; `data['vocab'][0]` is the word with index 0, and so on.

`data['train_inputs']` is a 372,500 x 4 matrix where each row gives the indices of the 4 consecutive context words for one of the 372,500 training cases. The validation and test sets are handled analogously.

Even though you only have to modify two specific locations in the code, you may want to read through this code before starting the assignment.

## Part 1: GLoVE Word Representations (16pts)

In this part of the assignment, you will implement a simplified version of the GLoVE embedding (please see the handout for detailed description of the algorithm) with the loss defined as

$$L(\{\mathbf{w}_i, \tilde{\mathbf{w}}_i, b_i, \tilde{b}_i\}_{i=1}^V) = \sum_{i,j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij})^2$$

.

Note that each word is represented by two  $d$ -dimensional embedding vectors  $\mathbf{w}_i, \tilde{\mathbf{w}}_i$  and two scalar biases  $b_i, \tilde{b}_i$ .

Answer the following questions:

## 1.1. GLoVE Parameter Count [1pt]

Given the vocabulary size  $V$  and embedding dimensionality  $d$ , how many parameters does the GLoVE model have? Note that each word in the vocabulary is associated with 2 embedding vectors and 2 biases.

**1.1 Answer:** Each of the  $V$  words in the vocabulary is associated with two  $d$ -dimensional embedding vectors ( $\mathbf{w}_i$  and  $\tilde{\mathbf{w}}_i$ ) and two scalar biases ( $b_i$  and  $\tilde{b}_i$ ).

The total number of parameters is the sum of the sizes of all these parameters:

- Parameters for all  $\mathbf{w}_i$  vectors:  $V * d$
- Parameters for all  $\tilde{\mathbf{w}}_i$  vectors:  $V * d$
- Parameters for all  $b_i$  biases:  $V * 1$
- Parameters for all  $\tilde{b}_i$  biases:  $V * 1$

Total parameters =  $Vd + Vd + V + V = 2Vd + 2V = 2V(d+1)$ .

## 1.2. Expression for gradient $\frac{\partial L}{\partial \mathbf{w}_i}$ and $\frac{\partial L}{\partial \mathbf{b}_i}$ [6pts]

Write the expression for  $\frac{\partial L}{\partial \mathbf{w}_i}$  and  $\frac{\partial L}{\partial \mathbf{b}_i}$ , the gradient of the loss function  $L$  with respect to parameter vector  $\mathbf{w}_i$  and  $\mathbf{b}_i$ . The gradient should be a function of  $\mathbf{w}$ ,  $\tilde{\mathbf{w}}$ ,  $b$ ,  $\tilde{b}$ ,  $X$  with appropriate subscripts (if any).

**1.2 Answer:** The loss function is given by:  $L = \sum_{k,j=1}^V (\mathbf{w}_k^\top \tilde{\mathbf{w}}_j + b_k + \tilde{b}_j - \log X_{kj})^2$

To find the gradient with respect to  $\mathbf{w}_i$ , we only need to consider the terms in the sum where  $k = i$ :  $\frac{\partial L}{\partial \mathbf{w}_i} = \frac{\partial}{\partial \mathbf{w}_i} \sum_{j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij})^2$  Using the chain rule:

$$\begin{aligned} \frac{\partial L}{\partial \mathbf{w}_i} &= \sum_{j=1}^V 2(\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij}) \frac{\partial}{\partial \mathbf{w}_i} (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j) \\ \frac{\partial L}{\partial \mathbf{w}_i} &= 2 \sum_{j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij}) \tilde{\mathbf{w}}_j \end{aligned}$$

Similarly, for the gradient with respect to the bias term  $b_i$ :

$$\begin{aligned} \frac{\partial L}{\partial b_i} &= \frac{\partial}{\partial b_i} \sum_{j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij})^2 \\ \frac{\partial L}{\partial b_i} &= \sum_{j=1}^V 2(\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij}) \frac{\partial}{\partial b_i} (b_i) \\ \frac{\partial L}{\partial b_i} &= 2 \sum_{j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij}) \end{aligned}$$

## 1.3. Implement the gradient update of GLoVe. [6pts]

See YOUR CODE HERE **Comment below for where to complete the code**

We have provided a few functions for training the embedding:

- `calculate_log_co_occurrence` computes the log co-occurrence matrix of a given corpus
- `train_GLoVe` runs momentum gradient descent to optimize the embedding
- `loss_GLoVe` :
  - INPUT -  $V \times d$  matrix  $W$  (collection of  $V$  embedding vectors, each  $d$ -dimensional);  $V \times d$  matrix  $W\_tilde$ ;  $V \times 1$  vector  $b$  (collection of  $V$  bias terms);  $V \times 1$  vector  $b\_tilde$ ;  $V \times V$  log co-occurrence matrix.
  - OUTPUT - loss of the GLoVe objective
- `grad_GLoVe` : **TO BE IMPLEMENTED.**
  - INPUT:
    - $V \times d$  matrix  $W$  (collection of  $V$  embedding vectors, each  $d$ -dimensional), embedding for first word;
    - $V \times d$  matrix  $W\_tilde$ , embedding for second word;
    - $V \times 1$  vector  $b$  (collection of  $V$  bias terms);
    - $V \times 1$  vector  $b\_tilde$ , bias for second word;
    - $V \times V$  log co-occurrence matrix.
  - OUTPUT:
    - $V \times d$  matrix `grad_W` containing the gradient of the loss function w.r.t.  $W$ ;
    - $V \times d$  matrix `grad_W_tilde` containing the gradient of the loss function w.r.t.  $W\_tilde$ ;
    - $V \times 1$  vector `grad_b` which is the gradient of the loss function w.r.t.  $b$ .
    - $V \times 1$  vector `grad_b_tilde` which is the gradient of the loss function w.r.t.  $b\_tilde$ .

Run the code to compute the co-occurrence matrix. Make sure to add a 1 to the occurrences, so there are no 0's in the matrix when we take the elementwise log of the matrix.

```
In [55]: vocab_size = len(data['vocab']) # Number of vocabs

def calculate_log_co_occurrence(word_data, symmetric=False):
    "Compute the log-co-occurrence matrix for our data."
    log_co_occurrence = np.zeros((vocab_size, vocab_size))
    for input in word_data:
        # Note: the co-occurrence matrix may not be symmetric
        log_co_occurrence[input[0], input[1]] += 1
        log_co_occurrence[input[1], input[2]] += 1
        log_co_occurrence[input[2], input[3]] += 1
        # If we want symmetric co-occurrence can also increment for these.
```



```

    if symmetric:
        log_co_occurrence[input[1], input[0]] += 1
        log_co_occurrence[input[2], input[1]] += 1
        log_co_occurrence[input[3], input[2]] += 1
    delta_smoothing = 0.5 # A hyperparameter. You can play with this if you
    log_co_occurrence += delta_smoothing # Add delta so log doesn't break on 0
    log_co_occurrence = np.log(log_co_occurrence)
    return log_co_occurrence

```

```

In [56]: asym_log_co_occurrence_train = calculate_log_co_occurrence(data['train_inputs']
asym_log_co_occurrence_valid = calculate_log_co_occurrence(data['valid_inputs']

```

- ☐ **TO BE IMPLEMENTED:** Calculate the gradient of the loss function w.r.t. the parameters  $W$ ,  $\tilde{W}$ ,  $b$ , and  $\tilde{b}$ . You should vectorize the computation, i.e. not loop over every word. The reading of the matrix cookbook from <https://www.math.uwaterloo.ca/~hwolkowi/matrixcookbook.pdf> might be useful.

```

In [57]: def loss_GLoVE(W, W_tilde, b, b_tilde, log_co_occurrence):
    "Compute the GLoVE loss."
    n,_ = log_co_occurrence.shape
    if W_tilde is None and b_tilde is None:
        return np.sum((W @ W.T + b @ np.ones([1,n]) + np.ones([n,1])@b.T - log_co_
    else:
        return np.sum((W @ W_tilde.T + b @ np.ones([1,n]) + np.ones([n,1])@b_tilde.T

def grad_GLoVE(W, W_tilde, b, b_tilde, log_co_occurrence):
    "Return the gradient of GLoVE objective w.r.t W and b."
    "INPUT: W - Vxd; W_tilde - Vxd; b - Vx1; b_tilde - Vx1; log_co_occurrence:
    "OUTPUT: grad_W - Vxd; grad_W_tilde - Vxd, grad_b - Vx1, grad_b_tilde - Vx
    n,_ = log_co_occurrence.shape

    if not W_tilde is None and not b_tilde is None:
        ##### YOUR CODE HERE #####
        loss = (W @ W_tilde.T + b @ np.ones([1,n]) + np.ones([n,1])@b_tilde.T -
        grad_W = 2 * loss @ W_tilde
        grad_W_tilde = 2 * loss.T @ W
        grad_b = 2 * loss @ np.ones((n, 1))
        grad_b_tilde = 2 * loss.T @ np.ones((n, 1))
        #####
    else:
        loss = (W @ W.T + b @ np.ones([1,n]) + np.ones([n,1])@b.T - 0.5*(log_co_
        grad_W = 4 * (W.T @ loss).T
        grad_W_tilde = None
        grad_b = 4 * (np.ones([1,n]) @ loss).T
        grad_b_tilde = None

    return grad_W, grad_W_tilde, grad_b, grad_b_tilde

def train_GLoVE(W, W_tilde, b, b_tilde, log_co_occurrence_train, log_co_occu
    "Traing W and b according to GLoVE objective."
    n,_ = log_co_occurrence_train.shape
    learning_rate = 0.05 / n # A hyperparameter. You can play with this if y
    for epoch in range(n_epochs):

```

```
grad_W, grad_W_tilde, grad_b, grad_b_tilde = grad_GLoVe(W, W_tilde, b, b_tilde)
W = W - learning_rate * grad_W
b = b - learning_rate * grad_b
if not grad_W_tilde is None and not grad_b_tilde is None:
    W_tilde = W_tilde - learning_rate * grad_W_tilde
    b_tilde = b_tilde - learning_rate * grad_b_tilde
train_loss, valid_loss = loss_GLoVe(W, W_tilde, b, b_tilde, log_co_occur)
if do_print:
    print(f"Train Loss: {train_loss}, valid loss: {valid_loss}, grad_norm: {grad_norm}")
return W, W_tilde, b, b_tilde, train_loss, valid_loss
```

## 1.4. Effect of embedding dimension $d$ [3pts]

Train the both the symmetric and asymmetric GLoVe model with varying dimensionality  $d$  by running the cell below. Comment on:

1. Which  $d$  leads to optimal validation performance for the asymmetric and symmetric models?
2. Why does / doesn't larger  $d$  always lead to better validation error?
3. Which model is performing better, and why?

## 1.4 Answer:

1. **Optimal d :**

- For the **asymmetric model** (dashed blue line), the validation loss is minimized at **d=10**.
- For the **symmetric model** (solid orange line), the validation loss is minimized for **d between 2 and 10**, where it remains roughly constant.

2. **Effect of d on validation error:** A larger d does not always lead to better validation error. This is due to the bias-variance tradeoff.

- If d is too small, the model is too simple (high bias) and may underfit, failing to capture the structure of the data.
- If d is too large, the model becomes too complex (high variance) and may overfit the training data, learning noise rather than the underlying signal. This hurts its ability to generalize to unseen data, leading to a higher validation error. The graphs show this pattern, where the validation error first decreases and then increases as d grows.

3. **Which model is better?** The **asymmetric model performs better**, as it achieves a lower minimum validation loss (at d=10) than the symmetric model. The asymmetric model has more parameters and is more expressive. The co-occurrence data is inherently asymmetric (e.g., the probability of "York" following "New" is not the same as "New" following "York"). The asymmetric model can capture this, while the symmetric model is constrained ( $W = W_{\text{tilde}}$ ), which limits its ability to model these asymmetric relationships.

Train the GLoVe model for a range of embedding dimensions

```
In [58]: np.random.seed(1)
n_epochs = 500 # A hyperparameter. You can play with this if you want.
embedding_dims = np.array([1, 2, 10, 128, 256]) # Play with this
# Store the final losses for graphing
asymModel_asymCoOc_final_train_losses, asymModel_asymCoOc_final_val_losses =
symModel_asymCoOc_final_train_losses, symModel_asymCoOc_final_val_losses = [
Asym_W_final_2d, Asym_b_final_2d, Asym_W_tilde_final_2d, Asym_b_tilde_final_
W_final_2d, b_final_2d = None, None
do_print = False # If you want to see diagnostic information during training

for embedding_dim in tqdm(embedding_dims):
    init_variance = 0.1 # A hyperparameter. You can play with this if you wa
    W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
    W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
    b = init_variance * np.random.normal(size=(vocab_size, 1))
    b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
    if do_print:
        print(f"Training for embedding dimension: {embedding_dim}")

    # Train Asym model on Asym Co-Oc matrix
```

```

Asym_W_final, Asym_W_tilde_final, Asym_b_final, Asym_b_tilde_final, train_
if embedding_dim == 2:
    # Save a parameter copy if we are training 2d embedding for visualizatio
    Asym_W_final_2d = Asym_W_final
    Asym_W_tilde_final_2d = Asym_W_tilde_final
    Asym_b_final_2d = Asym_b_final
    Asym_b_tilde_final_2d = Asym_b_tilde_final
    asymModel_asymCo0c_final_train_losses += [train_loss]
    asymModel_asymCo0c_final_val_losses += [valid_loss]
    if do_print:
        print(f"Final validation loss: {valid_loss}")

    # Train Sym model on Asym Co-0c matrix
    W_final, W_tilde_final, b_final, b_tilde_final, train_loss, valid_loss = t
    if embedding_dim == 2:
        # Save a parameter copy if we are training 2d embedding for visualizatio
        W_final_2d = W_final
        b_final_2d = b_final
        symModel_asymCo0c_final_train_losses += [train_loss]
        symModel_asymCo0c_final_val_losses += [valid_loss]
        if do_print:
            print(f"Final validation loss: {valid_loss}")

```

100%|██████████| 5/5 [00:04<00:00, 1.10it/s]

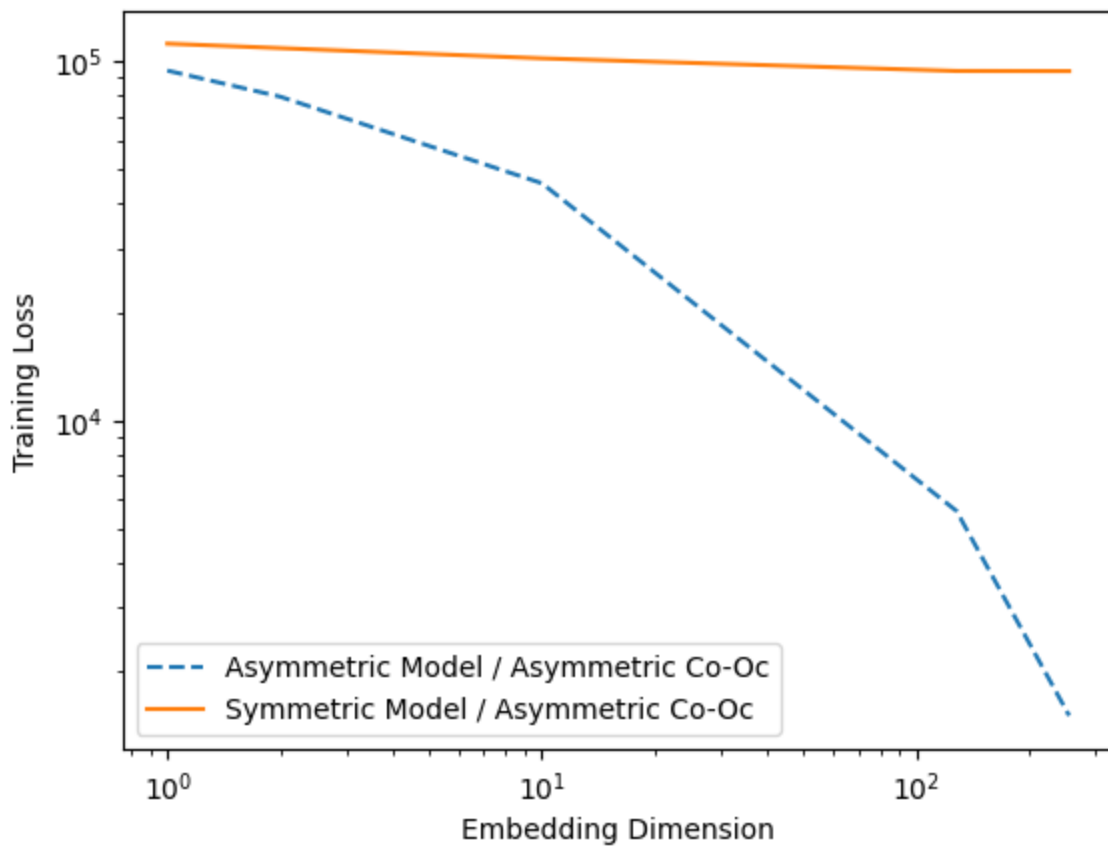
Plot the training and validation losses against the embedding dimension.

```

In [59]: pylab.loglog(embedding_dims, asymModel_asymCo0c_final_train_losses, label="A")
pylab.loglog(embedding_dims, symModel_asymCo0c_final_train_losses, label="S")
pylab.xlabel("Embedding Dimension")
pylab.ylabel("Training Loss")
pylab.legend()

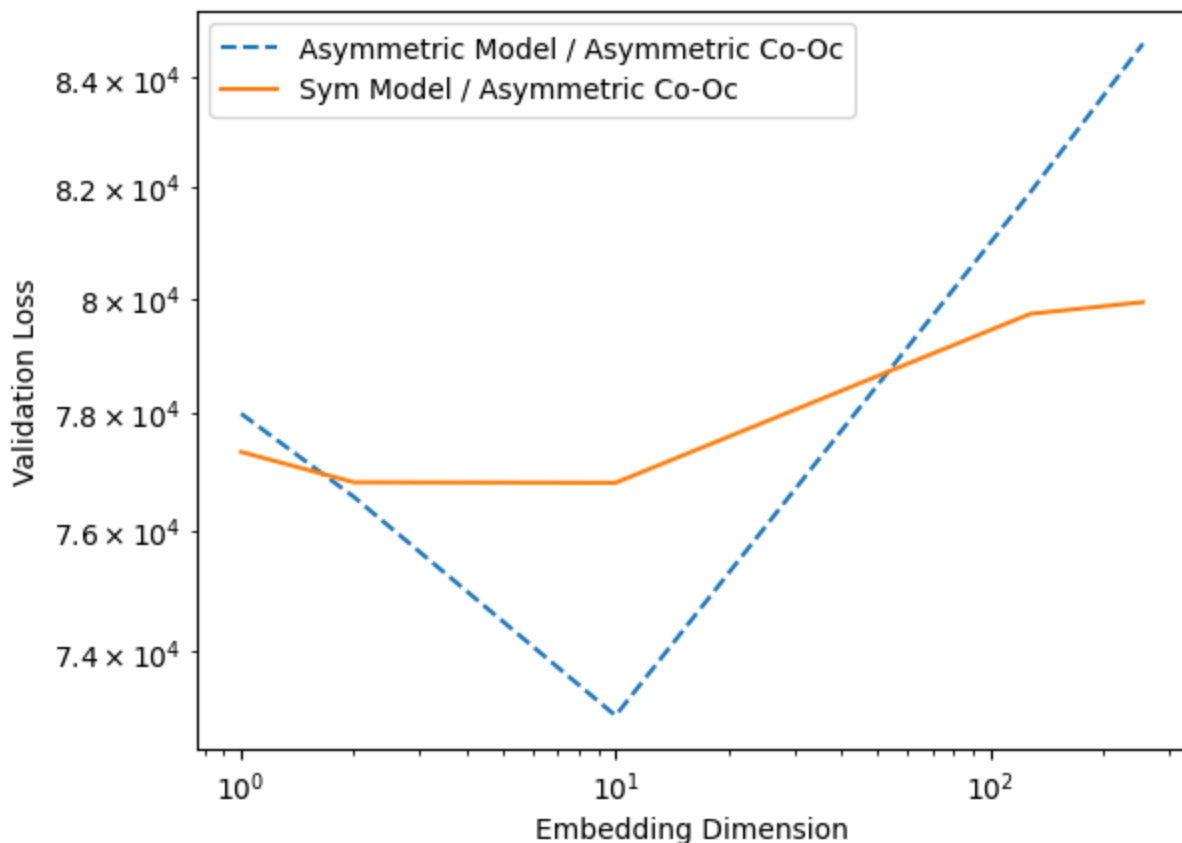
```

Out[59]: <matplotlib.legend.Legend at 0x12319bb60>



```
In [60]: pylab.loglog(embedding_dims, asymModel_asymCoOc_final_val_losses, label="Asy\npylab.loglog(embedding_dims, symModel_asymCoOc_final_val_losses , label="Sym\npylab.xlabel("Embedding Dimension")\npylab.ylabel("Validation Loss")\npylab.legend(loc="upper left")
```

```
Out[60]: <matplotlib.legend.Legend at 0x1232bf470>
```



## Part 2: Training the model (26pts)

We will modify the architecture slightly from the previous section, inspired by BERT [devlin2018bert]. Instead of having only one output, the architecture will now take in  $N = 4$  context words, and also output predictions for  $N = 4$  words. See Figure 2 diagram in the handout for the diagram of this architecture.

During training, we randomly sample one of the  $N$  context words to replace with a [MASK] token. The goal is for the network to predict the word that was masked, at the corresponding output word position. In practice, this [MASK] token is assigned the index 0 in our dictionary. The weights  $W^{(2)} = \text{hid\_to\_output\_weights}$  now has the shape  $NV \times H$ , as the output layer has  $NV$  neurons, where the first  $V$  output units are for predicting the first word, then the next  $V$  are for predicting the second word, and so on. We call this as *concatenating* output units across all word positions, i.e. the  $(j + nV)$ -th column is for the word  $j$  in vocabulary for the  $n$ -th output word position. Note here that the softmax is applied in chunks of  $V$  as well, to give a valid probability distribution over the  $V$  words. Only the output word positions that were masked in the input are included in the cross entropy loss calculation: There are three classes defined in this part: `Params`, `Activations`, `Model`. You will make changes to `Model`, but it may help to read through `Params` and `Activations` first.

$$C = - \sum_i^B \sum_n^N \sum_j^V m_n^{(i)} (t_{n,j}^{(i)} \log y_{n,j}^{(i)}),$$

Where  $y_{n,j}^{(i)}$  denotes the output probability prediction from the neural network for the  $i$ -th training example for the word  $j$  in the  $n$ -th output word, and  $t_{n,j}^{(i)}$  is 1 if for the  $i$ -th training example, the word  $j$  is the  $n$ -th word in context. Finally,  $m_n^{(i)} \in \{0, 1\}$  is a mask that is set to 1 if we are predicting the  $n$ -th word position for the  $i$ -th example (because we had masked that word in the input), and 0 otherwise.

There are three classes defined in this part: `Params`, `Activations`, `Model`. You will make changes to `Model`, but it may help to read through `Params` and `Activations` first.

```
In [61]: class Params(object):
    """A class representing the trainable parameters of the model. This class
    contains the following attributes:
        word_embedding_weights, a matrix of size V x D, where V is the number of words in the vocabulary
        and D is the embedding dimension.
        embed_to_hid_weights, a matrix of size H x ND, where H is the number of hidden units, N is the number of
        context words, and D is the embedding dimension. The columns represent connections from the embedding of the
        context words to the hidden units. For example, the first column represents the connection from the embedding
        of the first context word to the first hidden unit, and so on. There are N context words, and so there are
        N columns.
        hid_bias, a vector of length H
        hid_to_output_weights, a matrix of size NV x H
        output_bias, a vector of length NV"""

    def __init__(self, word_embedding_weights, embed_to_hid_weights, hid_to_output_weights, hid_bias, output_bias):
        self.word_embedding_weights = word_embedding_weights
        self.embed_to_hid_weights = embed_to_hid_weights
        self.hid_to_output_weights = hid_to_output_weights
        self.hid_bias = hid_bias
        self.output_bias = output_bias

    def copy(self):
        return self.__class__(self.word_embedding_weights.copy(), self.embed_to_hid_weights.copy(),
                               self.hid_to_output_weights.copy(), self.hid_bias.copy(), self.output_bias.copy())

    @classmethod
    def zeros(cls, vocab_size, context_len, embedding_dim, num_hid):
        """A constructor which initializes all weights and biases to 0."""
        word_embedding_weights = np.zeros((vocab_size, embedding_dim))
        embed_to_hid_weights = np.zeros((num_hid, context_len * embedding_dim))
        hid_to_output_weights = np.zeros((vocab_size * context_len, num_hid))
        hid_bias = np.zeros(num_hid)
        output_bias = np.zeros(vocab_size * context_len)
        return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output_weights, hid_bias, output_bias)

    @classmethod
    def random_init(cls, init_wt, vocab_size, context_len, embedding_dim, num_hid):
```

```

        """A constructor which initializes weights to small random values and
        word_embedding_weights = np.random.normal(0., init_wt, size=(vocab_size, num_hid))
        embed_to_hid_weights = np.random.normal(0., init_wt, size=(num_hid, num_hid))
        hid_to_output_weights = np.random.normal(0., init_wt, size=(num_hid, vocab_size))
        hid_bias = np.zeros(num_hid)
        output_bias = np.zeros(vocab_size * context_len)
        return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output_weights,
                    hid_bias, output_bias)

##### The functions below are Python's somewhat oddball way of overloading
##### we can do arithmetic on Params instances. You don't need to understand

def __mul__(self, a):
    return self.__class__(a * self.word_embedding_weights,
                           a * self.embed_to_hid_weights,
                           a * self.hid_to_output_weights,
                           a * self.hid_bias,
                           a * self.output_bias)

def __rmul__(self, a):
    return self * a

def __add__(self, other):
    return self.__class__(self.word_embedding_weights + other.word_embedding_weights,
                           self.embed_to_hid_weights + other.embed_to_hid_weights,
                           self.hid_to_output_weights + other.hid_to_output_weights,
                           self.hid_bias + other.hid_bias,
                           self.output_bias + other.output_bias)

def __sub__(self, other):
    return self + -1. * other

```

```

In [62]: class Activations(object):
    """A class representing the activations of the units in the network. This class
    takes as input:
        embedding_layer, a matrix of B x ND matrix (where B is the batch size and N
        is the number of input context words), representing the embedding layer on
        all the cases in a batch. The first D columns represent the first context
        word, and so on.
        hidden_layer, a B x H matrix representing the hidden layer activations
        output_layer, a B x V matrix representing the output layer activations

    def __init__(self, embedding_layer, hidden_layer, output_layer):
        self.embedding_layer = embedding_layer
        self.hidden_layer = hidden_layer
        self.output_layer = output_layer

    def get_batches(inputs, batch_size, shuffle=True):
        """Divide a dataset (usually the training set) into mini-batches of a
        given size, returning a generator. A generator is a special type of
        'generator', i.e. something you can use in a for loop. You don't need to
        understand how it works to do the assignment."""

        if inputs.shape[0] % batch_size != 0:
            raise RuntimeError('The number of data points must be a multiple of
            the batch size')

```



```

if shuffle:
    idxs = np.random.permutation(inputs.shape[0])
    inputs = inputs[idxs, :]

for m in range(num_batches):
    yield inputs[m * batch_size:(m + 1) * batch_size, :]

```

In this part of the assignment, you implement a method which computes the gradient using backpropagation. To start you out, the *Model* class contains several important methods used in training:

- `compute_activations` computes the activations of all units on a given input batch
- `compute_loss` computes the total cross-entropy loss on a mini-batch
- `evaluate` computes the average cross-entropy loss for a given set of inputs and targets

You will need to complete the implementation of two additional methods which are needed for training, and print the outputs of the gradients.

## 2.1 Implement gradient with respect to output layer inputs [8pts]

- ☐ **TO BE IMPLEMENTED:** `compute_loss_derivative` computes the derivative of the loss function with respect to the output layer inputs.

In other words, if  $C$  is the cost function, and the softmax computation for the  $j$ -th word in vocabulary for the  $n$ -th output word position is:

$$y_{n,j} = \frac{e^{z_{n,j}}}{\sum_l e^{z_{n,l}}}$$

This function should compute a  $B \times NV$  matrix where the entries correspond to the partial derivatives  $\partial C / \partial z_j^n$ . Recall that the output units are concatenated across all positions, i.e. the  $(j + nV)$ -th column is for the word  $j$  in vocabulary for the  $n$ -th output word position.

## 2.2 Implement gradient with respect to parameters [8pts]

- ☐ **TO BE IMPLEMENTED:** `back_propagate` is the function which computes the gradient of the loss with respect to model parameters using backpropagation. It uses the derivatives computed by `compute_loss_derivative`. Some parts are already filled in for you, but you need to compute the matrices of derivatives for `embed_to_hid_weights`, `hid_bias`, `hid_to_output_weights`, and

`output_bias` . These matrices have the same sizes as the parameter matrices (see previous section).

In order to implement backpropagation efficiently, you need to express the computations in terms of matrix operations, rather than *for* loops. You should first work through the derivatives on pencil and paper. First, apply the chain rule to compute the derivatives with respect to individual units, weights, and biases. Next, take the formulas you've derived, and express them in matrix form. You should be able to express all of the required computations using only matrix multiplication, matrix transpose, and elementwise operations --- no *for* loops! If you want inspiration, read through the code for `Model.compute_activations` and try to understand how the matrix operations correspond to the computations performed by all the units in the network. To make your life easier, we have provided the routine `checking.check_gradients` , which checks your gradients using finite differences. You should make sure this check passes before continuing with the assignment.

```
In [63]: class Model(object):
    """A class representing the language model itself. This class contains v
    the model and visualizing the learned representations. It has two fields

    params, a Params instance which contains the model parameters
    vocab, a list containing all the words in the dictionary; vocab[0] i
    0, and so on."""

    def __init__(self, params, vocab):
        self.params = params
        self.vocab = vocab

        self.vocab_size = len(vocab)
        self.embedding_dim = self.params.word_embedding_weights.shape[1]
        self.embedding_layer_dim = self.params.embed_to_hid_weights.shape[1]
        self.context_len = self.embedding_layer_dim // self.embedding_dim
        self.num_hid = self.params.embed_to_hid_weights.shape[0]

    def copy(self):
        return self.__class__(self.params.copy(), self.vocab[:])

    @classmethod
    def random_init(cls, init_wt, vocab, context_len, embedding_dim, num_hid):
        """Constructor which randomly initializes the weights to Gaussians w
        and initializes the biases to all zeros."""
        params = Params.random_init(init_wt, len(vocab), context_len, embedd
        return Model(params, vocab)

    def indicator_matrix(self, targets, mask_zero_index=True):
        """Construct a matrix where the (k + j*V)th entry of row i is 1 if t
        for example i is k, and all other entries are 0.

        Note: if the j-th target word index is 0, this corresponds to the [
        and we set the entry to be 0.
        """
```

```

batch_size, context_len = targets.shape
expanded_targets = np.zeros((batch_size, context_len * len(self.vocab)))
targets_offset = np.repeat((np.arange(context_len) * len(self.vocab)),
                             batch_size)
targets += targets_offset

for c in range(context_len):
    expanded_targets[np.arange(batch_size), targets[:,c]] = 1.
    if mask_zero_index:
        # Note: Set the targets with index 0, V, 2V to be zero since
        expanded_targets[np.arange(batch_size), targets_offset[:,c]] = 0.
return expanded_targets

def compute_loss_derivative(self, output_activations, expanded_target_batch):
    """Compute the derivative of the multiple target position cross-entropy loss.

    For example:

    [y_{0} .... y_{V-1}] [y_{V}, ..., y_{2*V-1}] [y_{2*V} ... y_{i,3*V-1}]

    Where for column j + n*V,

    y_{j + n*V} = e^{z_{j + n*V}} / \sum_{m=0}^{V-1} e^{z_{m + n*V}}

    This function should return a dC / dz matrix of size [batch_size x (2*V)]
    where each row i in dC / dz has columns 0 to V-1 containing the gradient
    context word from i-th training example, then columns vocab_size to
    output context word of the i-th training example, etc.

    C is the loss function summed across all examples as well:

    C = -\sum_{i,j,n} mask_{i,n} (t_{i, j + n*V} log y_{i, j + n*V})

    where mask_{i,n} = 1 if the i-th training example has n-th context word as a target
    otherwise mask_{i,n} = 0.

    The arguments are as follows:

    output_activations - A [batch_size x (context_len * vocab_size)] tensor
    for the activations of the output layer, i.e. the y_j's.
    expanded_target_batch - A [batch_size (context_len * vocab_size)] tensor
    where expanded_target_batch[i,n*V:(n+1)*V] is the indicator for the
    the n-th context target word position, i.e. the (i, j + n*V) position in the
    i'th example, the context word at position n is j, and 0 otherwise.
    target_mask - A [batch_size x context_len x 1] tensor, where target_mask[i,n]
    is 1 if for the i'th example the n-th context word is a target position,
    0 otherwise.

    Outputs:
    loss_derivative - A [batch_size x (context_len * vocab_size)] matrix
    where loss_derivative[i,0:vocab_size] contains the gradient dC / dz_0
    for the i-th training example gradient for 1st output context word,
    and loss_derivative[i,vocab_size:2*vocab_size] contains the gradient
    for the 2nd output context word of the i-th training example, etc.

    """
    batch_size = output_activations.shape[0]
    YOUR CODE HERE

```

```

# Reshape mask from (B, N, 1) to (B, N) to be able to repeat it
reshaped_mask = np.repeat(
    target_mask.reshape(batch_size, self.context_len), self.vocab_size,
    axis=-1
)
# The derivative is (y - t) for the masked words, and 0 otherwise
loss_derivative = reshaped_mask * (output_activations - expanded_target)
return loss_derivative
#####

def compute_loss(self, output_activations, expanded_target_batch):
    """Compute the total loss over a mini-batch. expanded_target_batch is
    by calling indicator_matrix on the targets for the batch."""
    return -np.sum(expanded_target_batch * np.log(output_activations + 1e-10))

def compute_activations(self, inputs):
    """Compute the activations on a batch given the inputs. Returns an A
    You should try to read and understand this function, since this will
    how to implement back_propagate."""

    batch_size = inputs.shape[0]
    if inputs.shape[1] != self.context_len:
        raise RuntimeError('Dimension of the input vectors should be {},
                           self.context_len, inputs.shape[1])

    # Embedding layer
    # Look up the input word indices in the word_embedding_weights matrix
    embedding_layer_state = np.zeros((batch_size, self.embedding_layer_dim))
    for i in range(self.context_len):
        embedding_layer_state[:, i * self.embedding_dim:(i + 1) * self.embedding_dim] = self.params.word_embedding_weights[inputs[:, i], :]

    # Hidden layer
    inputs_to_hid = np.dot(embedding_layer_state, self.params.embed_to_hidden)
    self.params.hidden_bias
    # Apply logistic activation function
    hidden_layer_state = 1. / (1. + np.exp(-inputs_to_hid))

    # Output layer
    inputs_to_softmax = np.dot(hidden_layer_state, self.params.hidden_to_output)
    self.params.output_bias

    # Subtract maximum.
    # Remember that adding or subtracting the same constant from each input
    # softmax unit does not affect the outputs. So subtract the maximum
    # make all inputs <= 0. This prevents overflows when computing their
    inputs_to_softmax -= inputs_to_softmax.max(1).reshape((-1, 1))

    # Take softmax along each V chunks in the output layer
    output_layer_state = np.exp(inputs_to_softmax)
    output_layer_state_shape = output_layer_state.shape
    output_layer_state = output_layer_state.reshape((-1, self.context_len))
    output_layer_state /= output_layer_state.sum(axis=-1, keepdims=True)
    output_layer_state = output_layer_state.reshape(output_layer_state_shape)

    return Activations(embedding_layer_state, hidden_layer_state, output_layer_state)

```

```

def back_propagate(self, input_batch, activations, loss_derivative):
    """Compute the gradient of the loss function with respect to the tra
    of the model. The arguments are as follows:

        input_batch - the indices of the context words
        activations - an Activations class representing the output of M
        loss_derivative - the matrix of derivatives computed by compute

    Part of this function is already completed, but you need to fill in
    computations for hid_to_output_weights_grad, output_bias_grad, embed
    and hid_bias_grad. See the documentation for the Params class for a
    these matrices represent."""

    # The matrix with values dC / dz_j, where dz_j is the input to the j
    # i.e. h_j = 1 / (1 + e^{-z_j})
    hid_deriv = np.dot(loss_derivative, self.params.hid_to_output_weight
        * activations.hidden_layer * (1. - activations.hidden_la

    ##### YOUR CODE HERE #####
    hid_to_output_weights_grad = np.dot(loss_derivative.T, activations.h
    output_bias_grad = np.sum(loss_derivative, axis=0)
    embed_to_hid_weights_grad = np.dot(hid_deriv.T, activations.embeddin
    hid_bias_grad = np.sum(hid_deriv, axis=0)
    #####

    # The matrix of derivatives for the embedding layer
    embed_deriv = np.dot(hid_deriv, self.params.embed_to_hid_weights)

    # Embedding layer
    word_embedding_weights_grad = np.zeros((self.vocab_size, self.embedd
    for w in range(self.context_len):
        word_embedding_weights_grad += np.dot(self.indicator_matrix(input
            embed_deriv[:, w * self.em

    return Params(word_embedding_weights_grad, embed_to_hid_weights_grad
        hid_bias_grad, output_bias_grad)

def sample_input_mask(self, batch_size):
    """Samples a binary mask for the inputs of size batch_size x context
    For each row, at most one element will be 1.
    """
    mask_idx = np.random.randint(self.context_len, size=(batch_size,))

    # changed mask for numpy version (TA said it was okay on ED)
    mask = np.zeros((batch_size, self.context_len), dtype=int) # Convert
    mask[np.arange(batch_size), mask_idx] = 1
    return mask

def evaluate(self, inputs, batch_size=100):
    """Compute the average cross-entropy over a dataset.

        inputs: matrix of shape D x N"""

    ndata = inputs.shape[0]

    total = 0.

```

```

    for input_batch in get_batches(inputs, batch_size):
        mask = self.sample_input_mask(batch_size)
        input_batch_masked = input_batch * (1 - mask)
        activations = self.compute_activations(input_batch_masked)
        target_batch_masked = input_batch * mask
        expanded_target_batch = self.indicator_matrix(target_batch_masked)
        cross_entropy = -np.sum(expanded_target_batch * np.log(activations))
        total += cross_entropy

    return total / float(ndata)

def display_nearest_words(self, word, k=10):
    """List the k words nearest to a given word, along with their distance"""

    if word not in self.vocab:
        print('Word "{}" not in vocabulary.'.format(word))
        return

    # Compute distance to every other word.
    idx = self.vocab.index(word)
    word_rep = self.params.word_embedding_weights[idx, :]
    diff = self.params.word_embedding_weights - word_rep.reshape((1, -1))
    distance = np.sqrt(np.sum(diff ** 2, axis=1))

    # Sort by distance.
    order = np.argsort(distance)
    order = order[1:1 + k] # The nearest word is the query word itself,
    for i in order:
        print('{}: {}'.format(self.vocab[i], distance[i]))

def word_distance(self, word1, word2):
    """Compute the distance between the vector representations of two words"""

    if word1 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))

    idx1, idx2 = self.vocab.index(word1), self.vocab.index(word2)
    word_rep1 = self.params.word_embedding_weights[idx1, :]
    word_rep2 = self.params.word_embedding_weights[idx2, :]
    diff = word_rep1 - word_rep2
    return np.sqrt(np.sum(diff ** 2))

```

<>:57: SyntaxWarning: invalid escape sequence '\s'

<>:57: SyntaxWarning: invalid escape sequence '\s'

/var/folders/j/\_/4tqb6gx5m3\_qv7vcpbs2f540000gn/T/ipykernel\_65859/415188471

5.py:57: SyntaxWarning: invalid escape sequence '\s'

$y_{\{j + n*V\}} = e^{\{z_{\{j + n*V\}}\}} / \sum_{m=0}^{V-1} e^{\{z_{\{m + n*V\}}\}}$ , for  $n=0, \dots, N-1$

## 2.3 Print the gradients [8pts]

To make your life easier, we have provided the routine `check_gradients`, which

checks your gradients using finite differences. You should make sure this check passes before continuing with the assignment. Once `check_gradients()` passes, call `print_gradients()` and include its output in your write-up.

```
In [64]: def relative_error(a, b):
    return np.abs(a - b) / (np.abs(a) + np.abs(b))

def check_output_derivatives(model, input_batch, target_batch):
    def softmax(z):
        z = z.copy()
        z -= z.max(-1, keepdims=True)
        y = np.exp(z)
        y /= y.sum(-1, keepdims=True)
        return y

    batch_size = input_batch.shape[0]
    z = np.random.normal(size=(batch_size, model.context_len, model.vocab_size))
    y = softmax(z).reshape((batch_size, model.context_len * model.vocab_size))
    z = z.reshape((batch_size, model.context_len * model.vocab_size))

    expanded_target_batch = model.indicator_matrix(target_batch)
    target_mask = expanded_target_batch.reshape(-1, model.context_len, len(model.vocab_size))
    loss_derivative = model.compute_loss_derivative(y, expanded_target_batch, target_mask)

    if loss_derivative is None:
        print('Loss derivative not implemented yet.')
        return False

    if loss_derivative.shape != (batch_size, model.vocab_size * model.context_len):
        print('Loss derivative should be size {} but is actually {}'.format(
            (batch_size, model.vocab_size * model.context_len), loss_derivative.shape))
        return False

    def obj(z):
        z = z.reshape((-1, model.context_len, model.vocab_size))
        y = softmax(z).reshape((batch_size, model.context_len * model.vocab_size))
        return model.compute_loss(y, expanded_target_batch)

    for count in range(1000):
        i, j = np.random.randint(0, loss_derivative.shape[0]), np.random.randint(0, loss_derivative.shape[1])

        z_plus = z.copy()
        z_plus[i, j] += EPS
        obj_plus = obj(z_plus)

        z_minus = z.copy()
        z_minus[i, j] -= EPS
        obj_minus = obj(z_minus)

        empirical = (obj_plus - obj_minus) / (2. * EPS)
        rel = relative_error(empirical, loss_derivative[i, j])
        if rel > 1e-4:
            print('The loss derivative has a relative error of {}, which is too high'.format(rel))
            return False
```

```

print('The loss derivative looks OK.')
return True

def check_param_gradient(model, param_name, input_batch, target_batch):
    activations = model.compute_activations(input_batch)
    expanded_target_batch = model.indicator_matrix(target_batch)
    target_mask = expanded_target_batch.reshape(-1, model.context_len, len(model.vocab))
    loss_derivative = model.compute_loss_derivative(activations, output_layer, target_mask)
    param_gradient = model.back_propagate(input_batch, activations, loss_derivative)

    def obj(model):
        activations = model.compute_activations(input_batch)
        return model.compute_loss(activations, output_layer, expanded_target_batch)

    dims = getattr(model.params, param_name).shape
    is_matrix = (len(dims) == 2)

    if getattr(param_gradient, param_name).shape != dims:
        print('The gradient for {} should be size {} but is actually {}'.format(
            param_name, dims, getattr(param_gradient, param_name).shape))
        return

    for count in range(1000):
        if is_matrix:
            slc = np.random.randint(0, dims[0]), np.random.randint(0, dims[1])
        else:
            slc = np.random.randint(dims[0])

        model_plus = model.copy()
        getattr(model_plus.params, param_name)[slc] += EPS
        obj_plus = obj(model_plus)

        model_minus = model.copy()
        getattr(model_minus.params, param_name)[slc] -= EPS
        obj_minus = obj(model_minus)

        empirical = (obj_plus - obj_minus) / (2. * EPS)
        exact = getattr(param_gradient, param_name)[slc]
        rel = relative_error(empirical, exact)
        if rel > 3e-4:
            import pdb; pdb.set_trace()
            print('The loss derivative has a relative error of {}, which is too high'.format(rel))
            return False

    print('The gradient for {} looks OK.'.format(param_name))

def load_partially_trained_model():
    obj = pickle.load(open(PARTIALLY_TRAINED_MODEL, 'rb'))
    params = Params(obj['word_embedding_weights'], obj['embed_to_hid_weights'],
                    obj['hid_to_output_weights'], obj['hid_bias'], obj['output_bias'])

    vocab = obj['vocab']
    return Model(params, vocab)

```



```

def check_gradients():
    """Check the computed gradients using finite differences."""
    np.random.seed(0)

    np.seterr(all='ignore') # suppress a warning which is harmless

    model = load_partially_trained_model()
    data_obj = pickle.load(open(data_location, 'rb'))
    train_inputs = data_obj['train_inputs']
    input_batch = train_inputs[:100, :]
    mask = model.sample_input_mask(input_batch.shape[0])
    input_batch_masked = input_batch * (1 - mask)
    target_batch_masked = input_batch * mask

    if not check_output_derivatives(model, input_batch_masked, target_batch_masked):
        return

    for param_name in ['word_embedding_weights', 'embed_to_hid_weights', 'hid_to_output_weights', 'hid_bias', 'output_bias']:
        input_batch_masked = input_batch * (1 - mask)
        target_batch_masked = input_batch * mask
        check_param_gradient(model, param_name, input_batch_masked, target_batch_masked)

def print_gradients():
    """Print out certain derivatives for grading."""
    np.random.seed(0)

    model = load_partially_trained_model()
    data_obj = pickle.load(open(data_location, 'rb'))
    train_inputs = data_obj['train_inputs']
    input_batch = train_inputs[:100, :]

    mask = model.sample_input_mask(input_batch.shape[0])
    input_batch_masked = input_batch * (1 - mask)
    activations = model.compute_activations(input_batch_masked)
    target_batch_masked = input_batch * mask
    expanded_target_batch = model.indicator_matrix(target_batch_masked)
    target_mask = expanded_target_batch.reshape(-1, model.context_len, len(model.output_layer_weights))
    loss_derivative = model.compute_loss_derivative(activations, target_mask)
    param_gradient = model.back_propagate(input_batch, activations, loss_derivative)

    print('loss_derivative[2, 5]', loss_derivative[2, 5])
    print('loss_derivative[2, 121]', loss_derivative[2, 121])
    print('loss_derivative[5, 33]', loss_derivative[5, 33])
    print('loss_derivative[5, 31]', loss_derivative[5, 31])
    print()
    print('param_gradient.word_embedding_weights[27, 2]', param_gradient.word_embedding_weights[27, 2])
    print('param_gradient.word_embedding_weights[43, 3]', param_gradient.word_embedding_weights[43, 3])
    print('param_gradient.word_embedding_weights[22, 4]', param_gradient.word_embedding_weights[22, 4])
    print('param_gradient.word_embedding_weights[2, 5]', param_gradient.word_embedding_weights[2, 5])
    print()
    print('param_gradient.embed_to_hid_weights[10, 2]', param_gradient.embed_to_hid_weights[10, 2])
    print('param_gradient.embed_to_hid_weights[15, 3]', param_gradient.embed_to_hid_weights[15, 3])

```

```

print('param_gradient.embed_to_hid_weights[30, 9]', param_gradient.embed
print('param_gradient.embed_to_hid_weights[35, 21]', param_gradient.embe
print()
print('param_gradient.hid_bias[10]', param_gradient.hid_bias[10])
print('param_gradient.hid_bias[20]', param_gradient.hid_bias[20])
print()
print('param_gradient.output_bias[0]', param_gradient.output_bias[0])
print('param_gradient.output_bias[1]', param_gradient.output_bias[1])
print('param_gradient.output_bias[2]', param_gradient.output_bias[2])
print('param_gradient.output_bias[3]', param_gradient.output_bias[3])

```

In [65]: *# Run this to check if your implement gradients matches the finite difference*  
*# Note: this may take a few minutes to go through all the checks*  
 check\_gradients()

The loss derivative looks OK.  
 The gradient for word\_embedding\_weights looks OK.  
 The gradient for embed\_to\_hid\_weights looks OK.  
 The gradient for hid\_to\_output\_weights looks OK.  
 The gradient for hid\_bias looks OK.  
 The gradient for output\_bias looks OK.

In [66]: *# Run this to print out the gradients*  
 print\_gradients()

```

loss_derivative[2, 5] 0.0
loss_derivative[2, 121] 0.0
loss_derivative[5, 33] 0.0
loss_derivative[5, 31] 0.0

```

```

param_gradient.word_embedding_weights[27, 2] 0.0
param_gradient.word_embedding_weights[43, 3] 0.01159689251148945
param_gradient.word_embedding_weights[22, 4] -0.022267062381729714
param_gradient.word_embedding_weights[2, 5] 0.0

```

```

param_gradient.embed_to_hid_weights[10, 2] 0.3793257091930164
param_gradient.embed_to_hid_weights[15, 3] 0.01604516132110911
param_gradient.embed_to_hid_weights[30, 9] -0.4312854367997418
param_gradient.embed_to_hid_weights[35, 21] 0.06679896665436336

```

```

param_gradient.hid_bias[10] 0.023428803123345162
param_gradient.hid_bias[20] -0.02437045237887423

```

```

param_gradient.output_bias[0] 0.000970106146902794
param_gradient.output_bias[1] 0.1686894627476322
param_gradient.output_bias[2] 0.0051664774143909235
param_gradient.output_bias[3] 0.1509622647181436

```

## 2.4 Run model training [2pts]

Once you've implemented the gradient computation, you'll need to train the model. The function *train* implements the main training procedure. It takes two arguments:

- `embedding_dim` : The number of dimensions in the distributed representation.

- `num_hid` : The number of hidden units

As the model trains, the script prints out some numbers that tell you how well the training is going. It shows:

- The cross entropy on the last 100 mini-batches of the training set. This is shown after every 100 mini-batches.
- The cross entropy on the entire validation set every 1000 mini-batches of training.

At the end of training, this function shows the cross entropies on the training, validation and test sets. It will return a *Model* instance.

```
In [69]: _train_inputs = None
         _train_targets = None
         _vocab = None

DEFAULT_TRAINING_CONFIG = {'batch_size': 100, # the size of a mini-batch
                           'learning_rate': 0.1, # the learning rate
                           'momentum': 0.9, # the decay parameter for the m
                           'epochs': 50, # the maximum number of epochs to
                           'init_wt': 0.01, # the standard deviation of the
                           'context_len': 4, # the number of context words
                           'show_training_CE_after': 100, # measure trainin
                           'show_validation_CE_after': 1000, # measure vali
                           }

def find_occurrences(word1, word2, word3):
    """Lists all the words that followed a given tri-gram in the training se
    times each one followed it."""

    # cache the data so we don't keep reloading
    global _train_inputs, _train_targets, _vocab
    if _train_inputs is None:
        data_obj = pickle.load(open(data_location, 'rb'))
        _vocab = data_obj['vocab']
        _train_inputs, _train_targets = data_obj['train_inputs'], data_obj['

    if word1 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))
    if word3 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word3))

    idx1, idx2, idx3 = _vocab.index(word1), _vocab.index(word2), _vocab.index(word3)
    idxs = np.array([idx1, idx2, idx3])

    matches = np.all(_train_inputs == idxs.reshape((1, -1)), 1)

    if np.any(matches):
        counts = collections.defaultdict(int)
        for m in np.where(matches)[0]:
            counts[_vocab[_train_targets[m]]] += 1
```

```

word_counts = sorted(list(counts.items()), key=lambda t: t[1], reverse=True)
print('The tri-gram "{} {} {}" was followed by the following words in order: {} {} {}'
      .format(word1, word2, word3))
for word, count in word_counts:
    if count > 1:
        print('    {} ({} times)'.format(word, count))
    else:
        print('    {} (1 time)'.format(word))
else:
    print('The tri-gram "{} {} {}" did not occur in the training set.'.format(word1, word2, word3))

def train(embedding_dim, num_hid, config=DEFAULT_TRAINING_CONFIG):
    """This is the main training routine for the language model. It takes two arguments:
        embedding_dim, the dimension of the embedding space
        num_hid, the number of hidden units."""
    # For reproducibility
    np.random.seed(123)

    # Load the data
    data_obj = pickle.load(open(data_location, 'rb'))
    vocab = data_obj['vocab']
    train_inputs = data_obj['train_inputs']
    valid_inputs = data_obj['valid_inputs']
    test_inputs = data_obj['test_inputs']

    # Randomly initialize the trainable parameters
    model = Model.random_init(config['init_wt'], vocab, config['context_len'])

    # Variables used for early stopping
    best_valid_CE = np.inf # changed for np version
    end_training = False

    # Initialize the momentum vector to all zeros
    delta = Params.zeros(len(vocab), config['context_len'], embedding_dim, num_hid)

    this_chunk_CE = 0.
    batch_count = 0
    for epoch in range(1, config['epochs'] + 1):
        if end_training:
            break

        print()
        print('Epoch', epoch)

        for m, (input_batch) in enumerate(get_batches(train_inputs, config['batch_size'])):
            batch_count += 1

            # For each example (row in input_batch), select one word to mask
            mask = model.sample_input_mask(config['batch_size'])
            input_batch_masked = input_batch * (1 - mask) # We only zero out the target
            target_batch_masked = input_batch * mask # We want to predict the target

            # Forward propagate

```

```

        activations = model.compute_activations(input_batch_masked)

        # Compute loss derivative
        expanded_target_batch = model.indicator_matrix(target_batch_mask)
        loss_derivative = model.compute_loss_derivative(activations.output_layer, expanded_target_batch)
        loss_derivative /= config['batch_size']

        # Measure loss function
        cross_entropy = model.compute_loss(activations.output_layer, expanded_target_batch)
        this_chunk_CE += cross_entropy
        if batch_count % config['show_training_CE_after'] == 0:
            print('Batch {} Train CE {:.3f}'.format(
                batch_count, this_chunk_CE / config['show_training_CE_after']))
            this_chunk_CE = 0.

        # Backpropagate
        loss_gradient = model.back_propagate(input_batch, activations, loss_derivative)

        # Update the momentum vector and model parameters
        delta = config['momentum'] * delta + loss_gradient
        model.params -= config['learning_rate'] * delta

        # Validate
        if batch_count % config['show_validation_CE_after'] == 0:
            print('Running validation...')
            cross_entropy = model.evaluate(valid_inputs)
            print('Validation cross-entropy: {:.3f}'.format(cross_entropy))

            if cross_entropy > best_valid_CE:
                print('Validation error increasing! Training stopped.')
                end_training = True
                break

            best_valid_CE = cross_entropy

    print()
    train_CE = model.evaluate(train_inputs)
    print('Final training cross-entropy: {:.3f}'.format(train_CE))
    valid_CE = model.evaluate(valid_inputs)
    print('Final validation cross-entropy: {:.3f}'.format(valid_CE))
    test_CE = model.evaluate(test_inputs)
    print('Final test cross-entropy: {:.3f}'.format(test_CE))

    return model

```

Run the training.

```

In [70]: embedding_dim = 16
         num_hid = 128
         trained_model = train(embedding_dim, num_hid)

```

Epoch 1  
Batch 100 Train CE 4.793  
Batch 200 Train CE 4.645  
Batch 300 Train CE 4.649  
Batch 400 Train CE 4.629  
Batch 500 Train CE 4.633  
Batch 600 Train CE 4.648  
Batch 700 Train CE 4.617  
Batch 800 Train CE 4.607  
Batch 900 Train CE 4.606  
Batch 1000 Train CE 4.615  
Running validation...  
Validation cross-entropy: 4.615  
Batch 1100 Train CE 4.615  
Batch 1200 Train CE 4.624  
Batch 1300 Train CE 4.608  
Batch 1400 Train CE 4.595  
Batch 1500 Train CE 4.611  
Batch 1600 Train CE 4.598  
Batch 1700 Train CE 4.577  
Batch 1800 Train CE 4.578  
Batch 1900 Train CE 4.568  
Batch 2000 Train CE 4.589  
Running validation...  
Validation cross-entropy: 4.589  
Batch 2100 Train CE 4.573  
Batch 2200 Train CE 4.611  
Batch 2300 Train CE 4.562  
Batch 2400 Train CE 4.587  
Batch 2500 Train CE 4.589  
Batch 2600 Train CE 4.587  
Batch 2700 Train CE 4.561  
Batch 2800 Train CE 4.544  
Batch 2900 Train CE 4.521  
Batch 3000 Train CE 4.524  
Running validation...  
Validation cross-entropy: 4.496  
Batch 3100 Train CE 4.504  
Batch 3200 Train CE 4.449  
Batch 3300 Train CE 4.384  
Batch 3400 Train CE 4.352  
Batch 3500 Train CE 4.324  
Batch 3600 Train CE 4.261  
Batch 3700 Train CE 4.267

Epoch 2  
Batch 3800 Train CE 4.208  
Batch 3900 Train CE 4.168  
Batch 4000 Train CE 4.117  
Running validation...  
Validation cross-entropy: 4.112  
Batch 4100 Train CE 4.105  
Batch 4200 Train CE 4.049  
Batch 4300 Train CE 4.008  
Batch 4400 Train CE 3.986  
Batch 4500 Train CE 3.924

```
Batch 4600 Train CE 3.897
Batch 4700 Train CE 3.857
Batch 4800 Train CE 3.790
Batch 4900 Train CE 3.796
Batch 5000 Train CE 3.773
Running validation...
Validation cross-entropy: 3.776
Batch 5100 Train CE 3.766
Batch 5200 Train CE 3.714
Batch 5300 Train CE 3.720
Batch 5400 Train CE 3.668
Batch 5500 Train CE 3.668
Batch 5600 Train CE 3.639
Batch 5700 Train CE 3.571
Batch 5800 Train CE 3.546
Batch 5900 Train CE 3.537
Batch 6000 Train CE 3.511
Running validation...
Validation cross-entropy: 3.531
Batch 6100 Train CE 3.494
Batch 6200 Train CE 3.495
Batch 6300 Train CE 3.477
Batch 6400 Train CE 3.455
Batch 6500 Train CE 3.435
Batch 6600 Train CE 3.446
Batch 6700 Train CE 3.411
Batch 6800 Train CE 3.376
Batch 6900 Train CE 3.419
Batch 7000 Train CE 3.375
Running validation...
Validation cross-entropy: 3.386
Batch 7100 Train CE 3.398
Batch 7200 Train CE 3.383
Batch 7300 Train CE 3.371
Batch 7400 Train CE 3.355
```

```
Epoch 3
Batch 7500 Train CE 3.320
Batch 7600 Train CE 3.315
Batch 7700 Train CE 3.342
Batch 7800 Train CE 3.293
Batch 7900 Train CE 3.285
Batch 8000 Train CE 3.296
Running validation...
Validation cross-entropy: 3.294
Batch 8100 Train CE 3.271
Batch 8200 Train CE 3.291
Batch 8300 Train CE 3.287
Batch 8400 Train CE 3.274
Batch 8500 Train CE 3.228
Batch 8600 Train CE 3.256
Batch 8700 Train CE 3.250
Batch 8800 Train CE 3.256
Batch 8900 Train CE 3.266
Batch 9000 Train CE 3.221
Running validation...
```

Validation cross-entropy: 3.233

Batch 9100 Train CE 3.248

Batch 9200 Train CE 3.229

Batch 9300 Train CE 3.224

Batch 9400 Train CE 3.216

Batch 9500 Train CE 3.206

Batch 9600 Train CE 3.201

Batch 9700 Train CE 3.195

Batch 9800 Train CE 3.234

Batch 9900 Train CE 3.183

Batch 10000 Train CE 3.179

Running validation...

Validation cross-entropy: 3.175

Batch 10100 Train CE 3.169

Batch 10200 Train CE 3.168

Batch 10300 Train CE 3.170

Batch 10400 Train CE 3.201

Batch 10500 Train CE 3.173

Batch 10600 Train CE 3.174

Batch 10700 Train CE 3.140

Batch 10800 Train CE 3.179

Batch 10900 Train CE 3.190

Batch 11000 Train CE 3.105

Running validation...

Validation cross-entropy: 3.147

Batch 11100 Train CE 3.168

Epoch 4

Batch 11200 Train CE 3.154

Batch 11300 Train CE 3.136

Batch 11400 Train CE 3.139

Batch 11500 Train CE 3.150

Batch 11600 Train CE 3.119

Batch 11700 Train CE 3.117

Batch 11800 Train CE 3.162

Batch 11900 Train CE 3.110

Batch 12000 Train CE 3.141

Running validation...

Validation cross-entropy: 3.119

Batch 12100 Train CE 3.132

Batch 12200 Train CE 3.134

Batch 12300 Train CE 3.126

Batch 12400 Train CE 3.105

Batch 12500 Train CE 3.075

Batch 12600 Train CE 3.142

Batch 12700 Train CE 3.126

Batch 12800 Train CE 3.135

Batch 12900 Train CE 3.093

Batch 13000 Train CE 3.116

Running validation...

Validation cross-entropy: 3.093

Batch 13100 Train CE 3.105

Batch 13200 Train CE 3.087

Batch 13300 Train CE 3.093

Batch 13400 Train CE 3.093

Batch 13500 Train CE 3.074



```
Batch 13600 Train CE 3.068
Batch 13700 Train CE 3.080
Batch 13800 Train CE 3.080
Batch 13900 Train CE 3.081
Batch 14000 Train CE 3.079
Running validation...
Validation cross-entropy: 3.078
Batch 14100 Train CE 3.083
Batch 14200 Train CE 3.105
Batch 14300 Train CE 3.141
Batch 14400 Train CE 3.083
Batch 14500 Train CE 3.074
Batch 14600 Train CE 3.142
Batch 14700 Train CE 3.094
Batch 14800 Train CE 3.066
Batch 14900 Train CE 3.083

Epoch 5
Batch 15000 Train CE 3.049
Running validation...
Validation cross-entropy: 3.055
Batch 15100 Train CE 3.096
Batch 15200 Train CE 3.070
Batch 15300 Train CE 3.090
Batch 15400 Train CE 3.099
Batch 15500 Train CE 3.058
Batch 15600 Train CE 3.074
Batch 15700 Train CE 3.070
Batch 15800 Train CE 3.082
Batch 15900 Train CE 3.076
Batch 16000 Train CE 3.080
Running validation...
Validation cross-entropy: 3.083
Validation error increasing! Training stopped.

Final training cross-entropy: 3.063
Final validation cross-entropy: 3.072
Final test cross-entropy: 3.075
```

To convince us that you have correctly implemented the gradient computations, please include the following with your assignment submission:

- ☐ You will submit `hw1_code_<YOUR_UNI>.ipynb` through CourseWorks. You do not need to modify any of the code except the parts we asked you to implement.
- ☐ In your PDF file, include the output of the function `print_gradients`. This prints out part of the gradients for a partially trained network which we have provided, and we will check them against the correct outputs. **Important:** make sure to give the output of `print_gradients`, **not** `check_gradients`.

Since we gave you a gradient checker, you have no excuse for not getting full points on this part.

## Part 3: Arithmetics and Analysis (8pts)

In this part, you will perform arithmetic calculations on the word embeddings learned from previous models and analyze the representation learned by the networks with t-SNE plots.

### 3.1 t-SNE

You will first train the models discussed in the previous sections; you'll use the trained models for the remainder of this section.

**Important:** if you've made any fixes to your gradient code, you must reload the a1-code module and then re-run the training procedure. Python does not reload modules automatically, and you don't want to accidentally analyze an old version of your model.

These methods of the Model class can be used for analyzing the model after the training is done:

- `tsne_plot_representation` creates a 2-dimensional embedding of the distributed representation space using an algorithm called t-SNE. (You don't need to know what this is for the assignment, but we may cover it later in the course.) Nearby points in this 2-D space are meant to correspond to nearby points in the 16-D space.
- `display_nearest_words` lists the words whose embedding vectors are nearest to the given word
- `word_distance` computes the distance between the embeddings of two words

Plot the 2-dimensional visualization for the trained model from part 3 using the method `tsne_plot_representation`. Look at the plot and find a few clusters of related words. What do the words in each cluster have in common? Plot the 2-dimensional visualization for the GloVe model from part 1 using the method `tsne_plot_GLoVe_representation`. How do the t-SNE embeddings for both models compare? Plot the 2-dimensional visualization using the method `plot_2d_GLoVe_representation`. How does this compare to the t-SNE embeddings? Please answer in 2 sentences for each question and show the plots in your submission.

3.1 Answer: **\*\*TODO: Write Part 3.1 answer here\*\***

```
In [72]: from sklearn.manifold import TSNE

def tsne_plot_representation(model):
    """Plot a 2-D visualization of the learned representations using t-SNE."
```

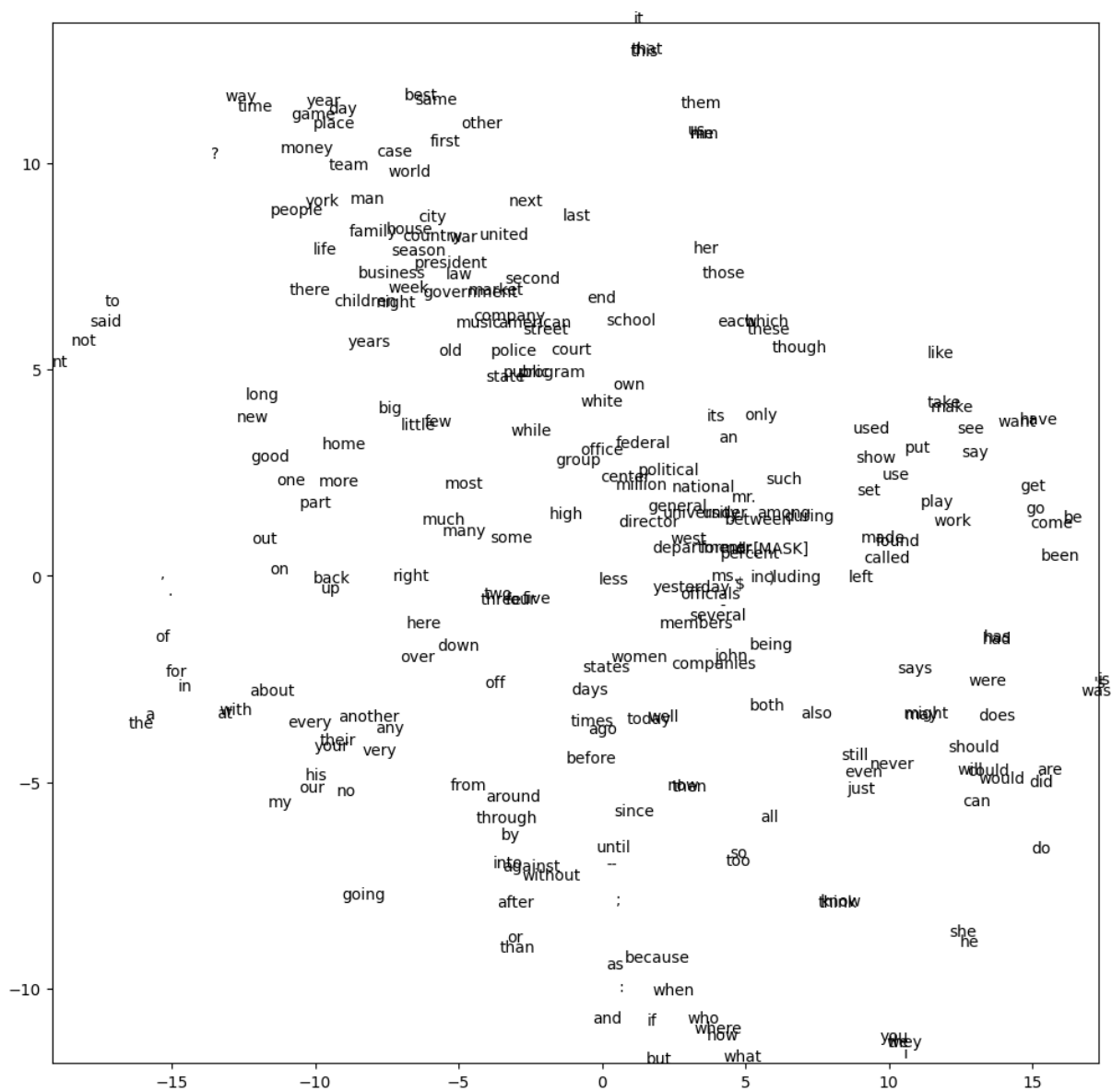
```
print(model.params.word_embedding_weights.shape)
mapped_X = TSNE(n_components=2).fit_transform(model.params.word_embeddings)
pylab.figure(figsize=(12,12))
for i, w in enumerate(model.vocab):
    pylab.text(mapped_X[i, 0], mapped_X[i, 1], w)
pylab.xlim(mapped_X[:, 0].min(), mapped_X[:, 0].max())
pylab.ylim(mapped_X[:, 1].min(), mapped_X[:, 1].max())
pylab.show()

def tsne_plot_GLoVE_representation(W_final, b_final):
    """Plot a 2-D visualization of the learned representations using t-SNE."""
    mapped_X = TSNE(n_components=2).fit_transform(W_final)
    pylab.figure(figsize=(12,12))
    data_obj = pickle.load(open(data_location, 'rb'))
    for i, w in enumerate(data_obj['vocab']):
        pylab.text(mapped_X[i, 0], mapped_X[i, 1], w)
    pylab.xlim(mapped_X[:, 0].min(), mapped_X[:, 0].max())
    pylab.ylim(mapped_X[:, 1].min(), mapped_X[:, 1].max())
    pylab.show()

def plot_2d_GLoVE_representation(W_final, b_final):
    """Plot a 2-D visualization of the learned representations."""
    mapped_X = W_final
    pylab.figure(figsize=(12,12))
    data_obj = pickle.load(open(data_location, 'rb'))
    for i, w in enumerate(data_obj['vocab']):
        pylab.text(mapped_X[i, 0], mapped_X[i, 1], w)
    pylab.xlim(mapped_X[:, 0].min(), mapped_X[:, 0].max())
    pylab.ylim(mapped_X[:, 1].min(), mapped_X[:, 1].max())
    pylab.show()
```

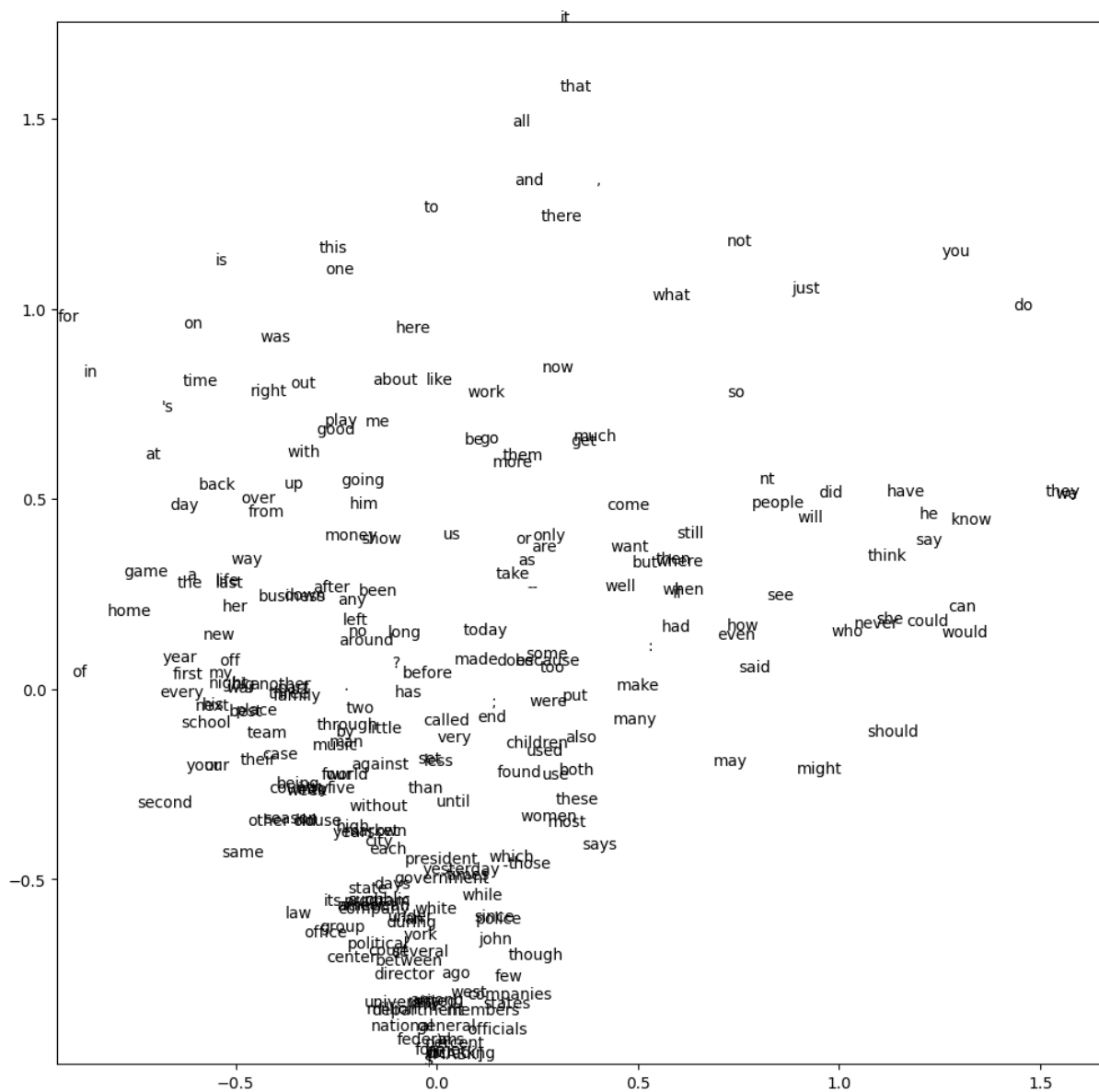
```
In [73]: tsne_plot_representation(trained_model)
```

```
(251, 16)
```

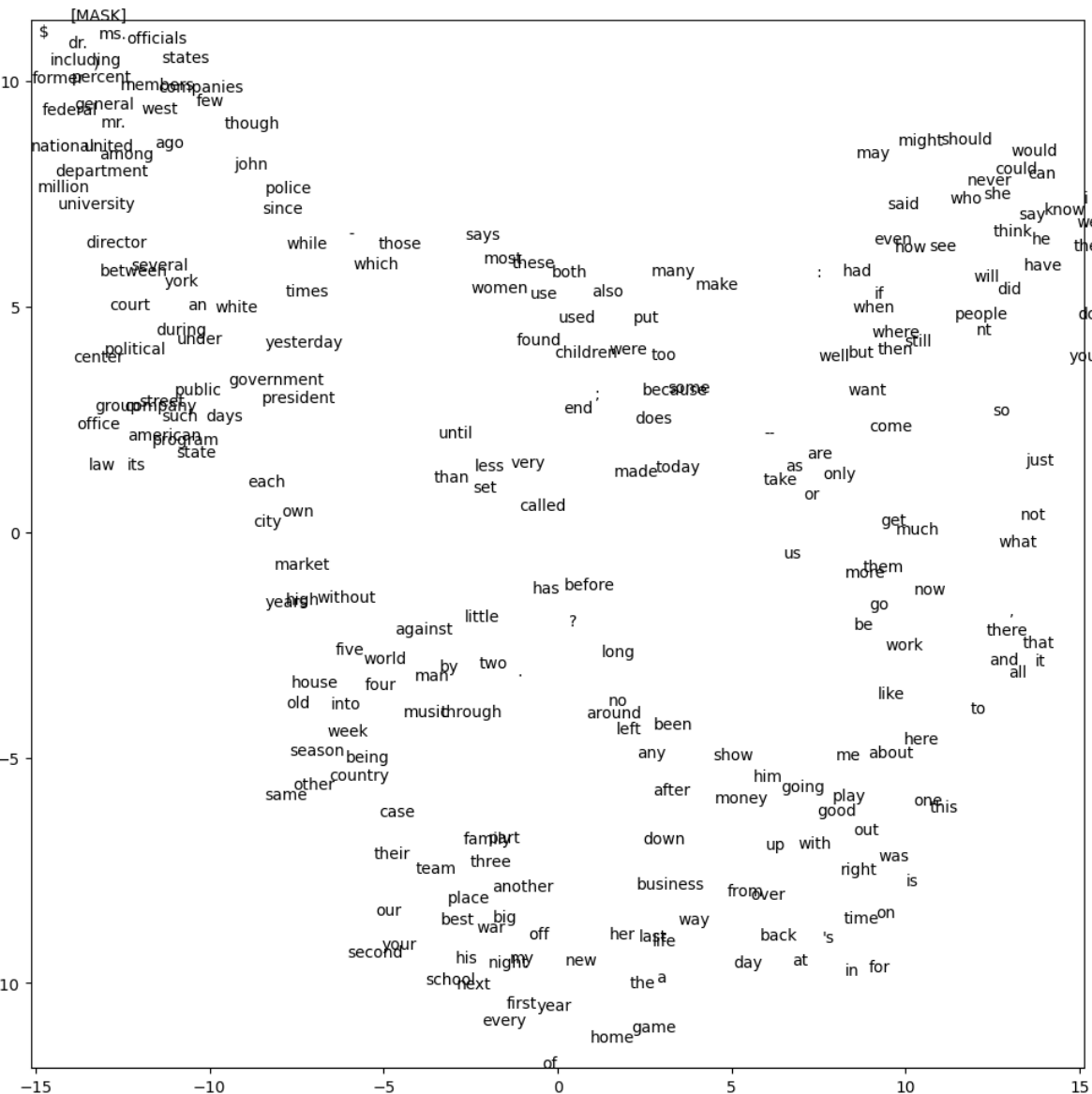


```
In [74]: tsne_plot_GLoVe_representation(W_final, b_final)
```





```
In [76]: tsne_plot_GLoVe_representation(W_final_2d, b_final_2d)
```



## What you have to submit

For reference, here is everything you need to hand in. See the top of this handout for submission directions.

- Please make sure you finish the solve problems and submit your answers and codes according to the submission instruction:
  - ☐ **Part 1:** Questions 1.1, 1.2, 1.3, 1.4. Completed code for `grad_GLoVE` function.
  - ☐ **Part 2:** Completed code for `compute_loss_derivative()` (2.1), `back_propagate()` (2.2) functions, and the output of `print_gradients()` (2.3) and (2.4)
  - ☐ **Part 3:** Questions 3.1

In [ ]: