Chapter 3

IoT NETWORK

(Standards-P2)

(Network Protocols)

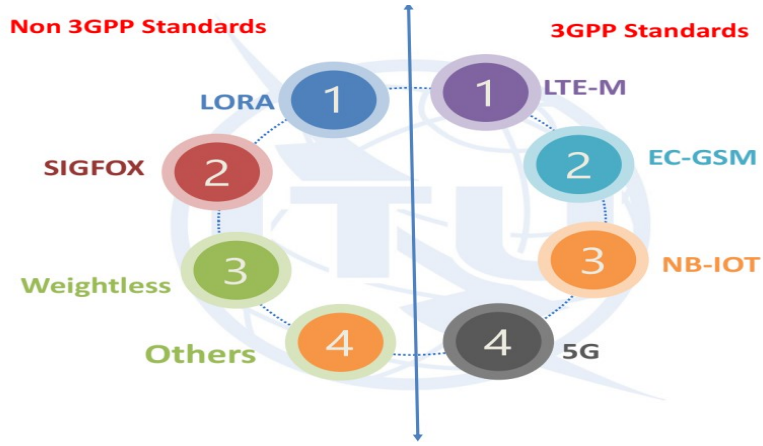
IP-based Network Layer Solutions

IoT Short Range and Long Range Systems

- **A. Fixed & Short Range**
  - **1. RFID**
  - **2. Bluetooth**
  - **3. Zigbee**
  - **4. WiFi**
- **B. Long Range technologies**
  - **1. Non 3GPP Standards (LPWAN)**
  - **2. 3GPP Standards**
-

Long Range technologies

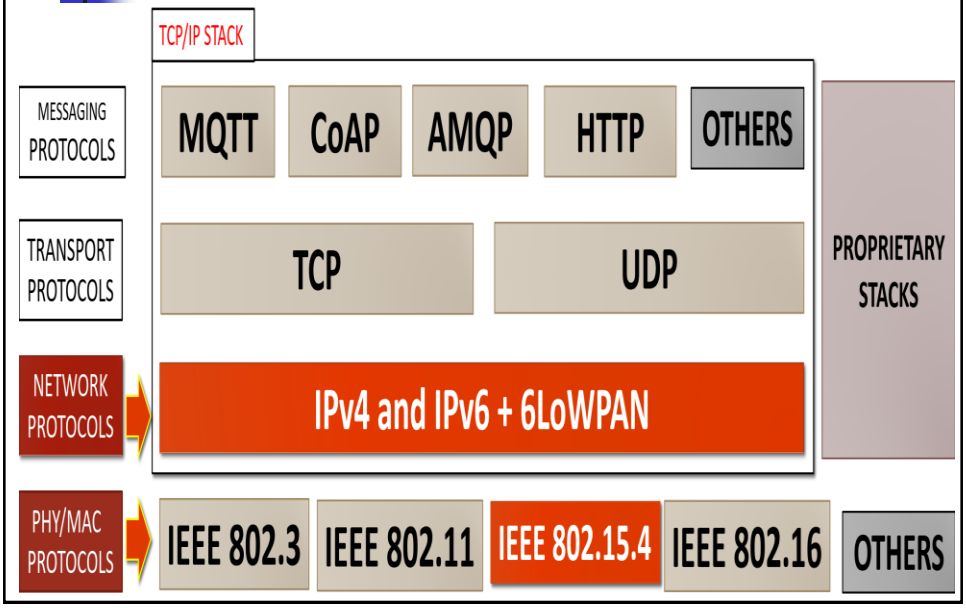
- Non 3GPP Standards (LPWAN)
- 3GPP Standards



Non 3GPP Standards (LPWAN)

- Consist of :
  - LoRaWAN
  - Sigfox
  - Weightless
  - RPMA
  - Others
- LPWAN REQUIREMENTS

IoT Protocol Stack



IPv4 Protocol

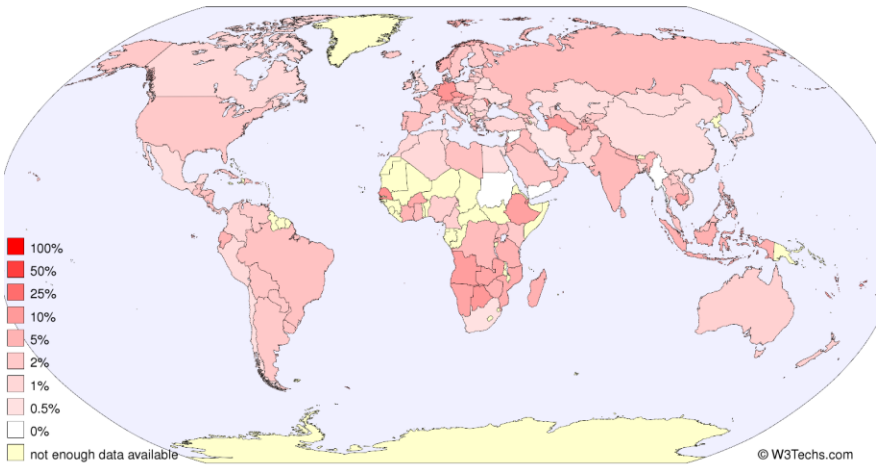
- **IP version 4** (IPv4)
  - First version deployed by the ARPANET project in 1983
  - Uses **32-bit network addresses** (address space → 4294967296 values).
  - IPv4 can be **public** (i.e. routable over the Internet) or **private**
  - Each IPv4 address contains **two parts**: the (i) network identifier and the (ii) host identifier. The **network mask** indicates the number of bits (over the 32) used to represent the network identifier.

IPv6 Protocol

- **IP version 6** (IPv6)
  - Developed by the Internet Engineering Task Force (1998).
  - Replace IPv4 and address the IPv4 address exhaustion problem.
  - Additional routing functionalities (not included in IPv4).
  - Not compatible with the IPv4 protocol
- **The migration process** to IPv6 involves:
  - Network infrastructures, routers, applications
  - Complete migration expected by 2025

Pv6 Protocol

- **IP version 6** (IPv6) adoption worldwide



Usage of IPv6 as site element on websites per country, September 2012

IPv6 Protocol

- Novel **features** of the IPv6 protocol (compared to IPv4)
  1. Extended **addressing capabilities**

IPv4 address: 32 bit, IPv6 address: 128 bit → **2^{128} combinations available**!

3FFE:085B:1F1F:0000:0000:0000:00A9:1234

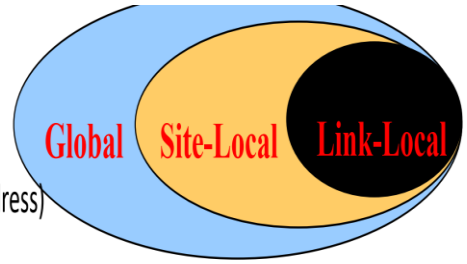
8 groups of 16-bit hexadecimal numbers separated by ":"

Leading zeros can be removed → 3FFE:85B:1F1F::A9:1234

- Novel **features** of the IPv6 protocol (compared to IPv4)
  1. Extended **addressing capabilities**
- Three types of IPv6 addresses:
  - **Unicast**: one-to-one communication
  - **Multicast**: one-to-many communication
  - **Anycast**: one-to-a-group, and a single destination is chosen
  - **Broadcast**: not supported

- 1. Extended **addressing capabilities**
  - A network interface can have multiple **addresses**

LINK-LOCAL ADDRESSES

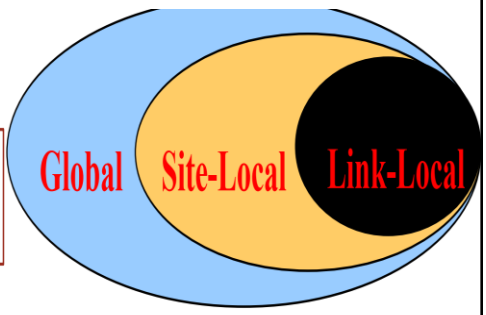


- ✧ Start using a link-local prefix **FE80::/10**
- ✧ Contain the interface identifier (e.g. MAC address) in the modified EUI-64 format.
- ✧ Can be used to reach the neighboring nodes attached to the same link
- ✧ IPv6 routers must not forward packets having link-local source/destination
- ✧ All IPv6 enabled interfaces have a link-local unicast address.

- 1. Extended **addressing capabilities**
  - A network interface can have multiple **addresses**

SITE-LOCAL ADDRESS

- ✧ Start using a link-local prefix **FC00::/7**
- ✧ Similar properties as IPV4 **private** addresses

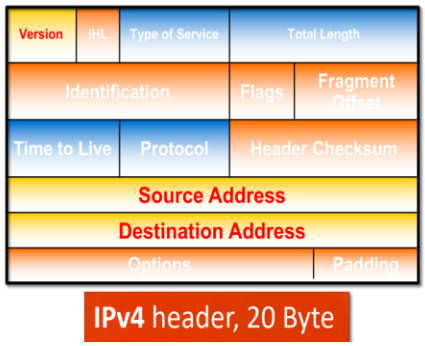


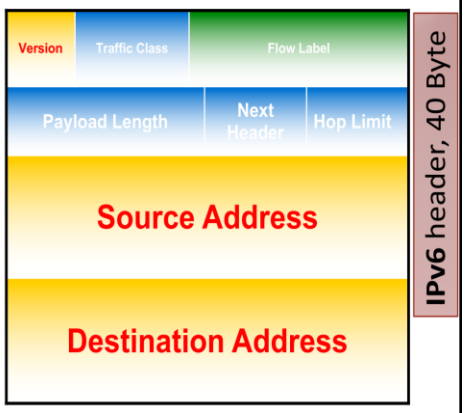
GLOBAL ADDRESS

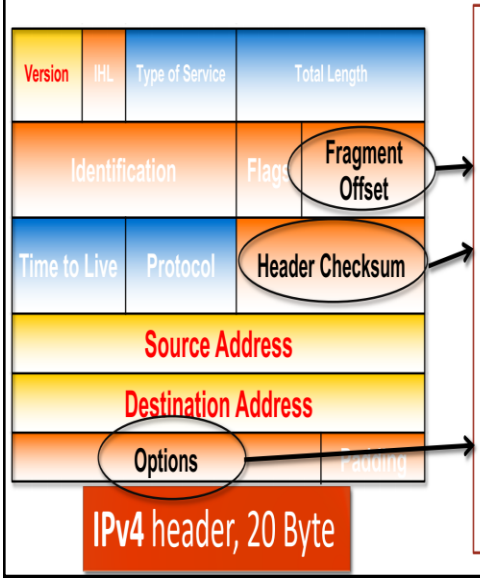
- ✧ Can be used to route IP datagrams over the Internet

- Novel **features** of the IPv6 protocol (compared to IPv4)
  2. IP Header **re-newed**

2. IP Header **re-newed**







Fields removed in the IPv4 header:

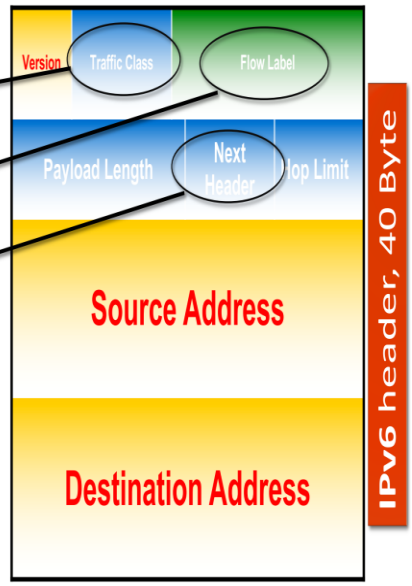
- **Checksum** → replicated in MAC and TSP header, not needed at the IP layer.
- **Fragmentation** → fragmentation is performed by end-points, while might not be supported by routers.
- **Options** → replaced by pointer to next header extension (next header).

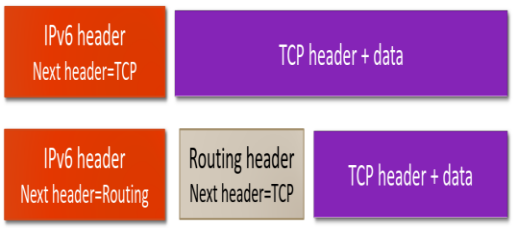
2. IP Header re-newed

Identify possible **QoS** requirements

Identify a **source-destination traffic flow**

Pointer to next **header** extension (optional)

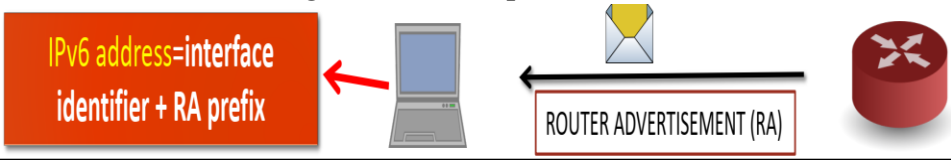




IPv6 Protocol

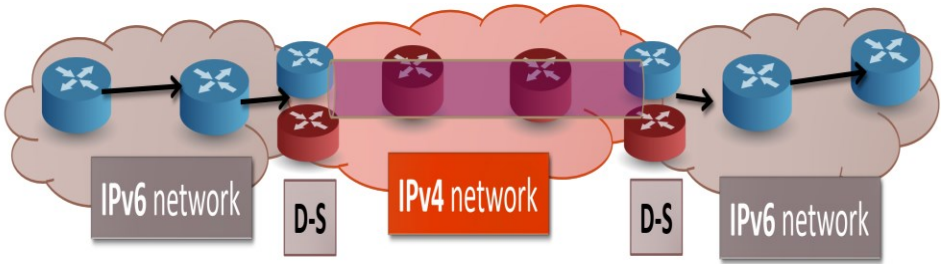
- Novel **features** of the IPv6 protocol (compared to IPv4)

  3. IP Address **assignment** process, three ways:
  - **Manual** configuration → like using the "**ifconfig**" utility
  - **Stateful** configuration → using **DHCPv6** protocol
  - **Stateless autoconfiguration → no DHCP,** IPv6 nodes can connect to a network and **automatically generate global IPv6 addresses** without the need for manual configuration or help of a server.



IPv6 Protocol

- Managing transition from IPv4 to IPv6
  - **Dual-stack** approach: Some routers will support both IPv4 and IPv6 protocols
  - **GRE Tunnelling** approach: Communication tunnels enable communication between IPv6 subnetworks over IPv4 links



IPv6 Protocol and the IoT

- Benefits of using IPv6 protocols on **IoT scenarios**:
  - Address/manage/access **any IoT device from the Internet.**
  - Easily connect to other IP networks without the need of **translation gateways** or **proxies**.
  - Use **well-known socket APIs** for the deployment of network application.
  - Easily **re-use tools** for managing, commissioning (vận hành) and diagnosing IP-based networks.
  - **Leverage (tận dụng) on the addressing capability of the IPv6 protocol**

IPv6 Protocol and the IoT

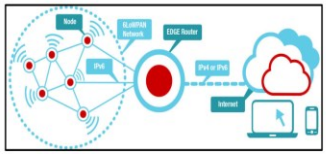
- At the same time, supporting IPv6 over IoT scenarios present several challenges:
  - **IPv6 datagrams are not a natural fit** for IEEE 802.15.4 networks
    - MTU size of an IEEE 802.15.4 frame is 127 bytes, while the minimum IPv6 frame size is 1280 bytes;
    - The IPv6 header size (40 bytes) can occupy 1/3 of the MTU
  - IPv6 assumes that a **link is a single broadcast domain**, while the assumption does not hold in multi-hop wireless sensor networks.
  - IPv6 includes **optional support for IP security** (IPsec), authentication and encryption but these techniques might be too complex for IoT-devices.

Pv6 Protocol and the IoT

- Worst case scenario calculations.
  - Maximum **frase size** in IEEE 802.15.4 → 127 bytes
  - Reduced by the **max frame header** (25 bytes) → 102 bytes
  - Reduced by the **highest link layer security** (21 bytes) → 81 bytes
  - Reduced by **standard IPv6 header** (40 bytes) → 41 bytes
  - Reduced by **standard UDP header** (8 bytes) → 33 bytes
  - **Only 33 bytes left for data payload!**

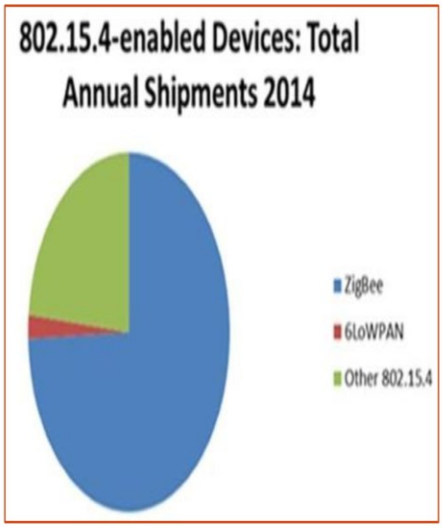
6LoWPAN

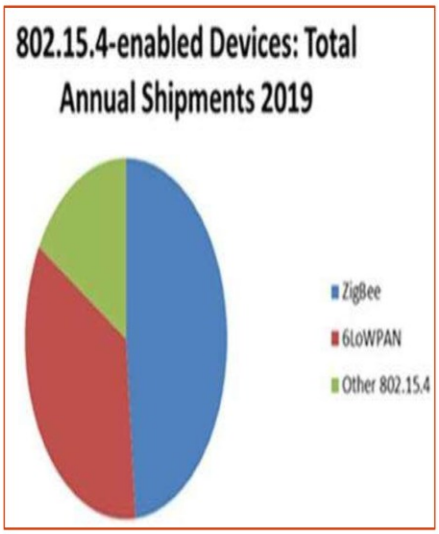
- Set of standards defined by the **Internet Engineering Task Force** (IETF) enabling the efficient use of IPv6 over low-power, low-rate wireless networks on simple embedded IoT devices.
  It provides:
  - A novel **Adaptation Layer;**
  - Several **optimization** of IPv6 functionalities.

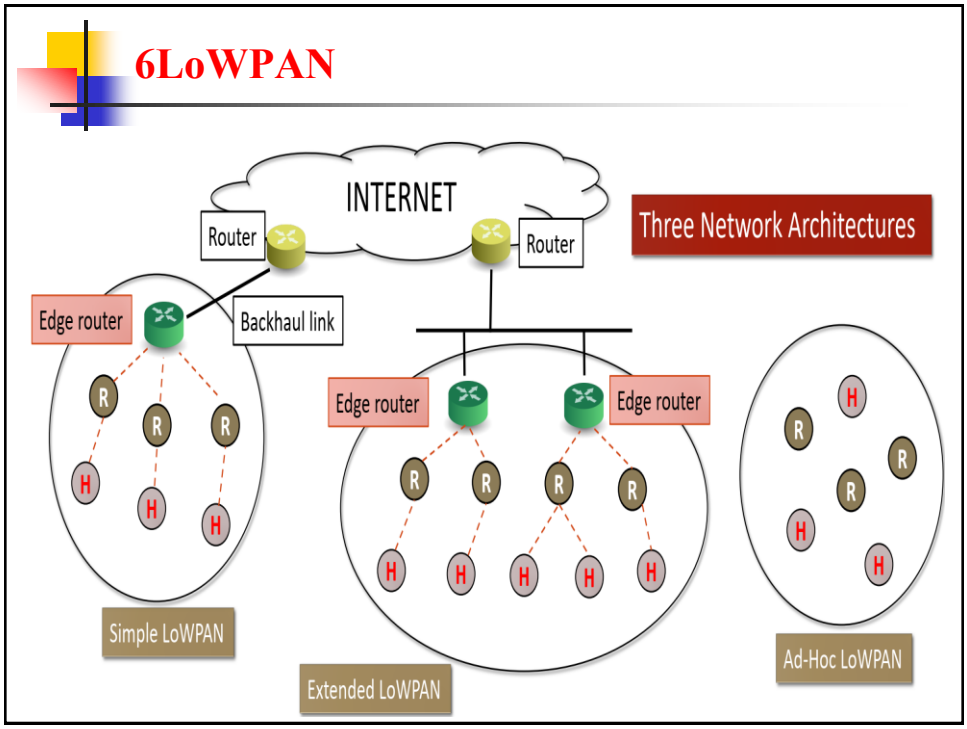


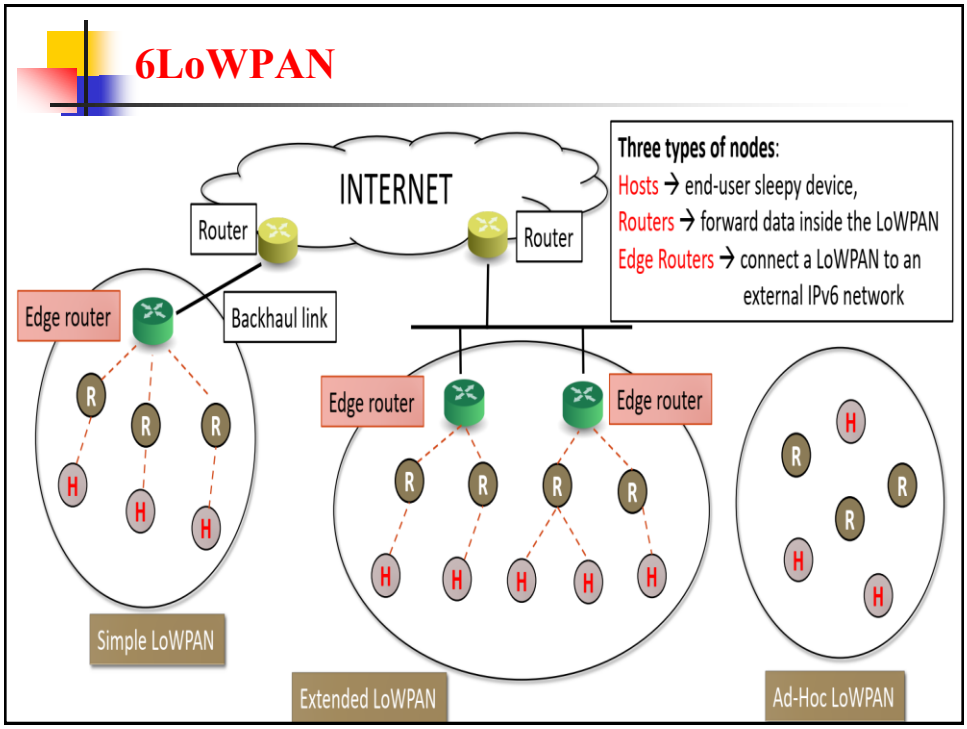
- RFC 4919 (first specification, 2007)
- RFC 4944 (auto-configuration)
- RFC 6282 (header compression)
- RFC 7400 (header compression)
- ...

6LoWPAN MarketShare



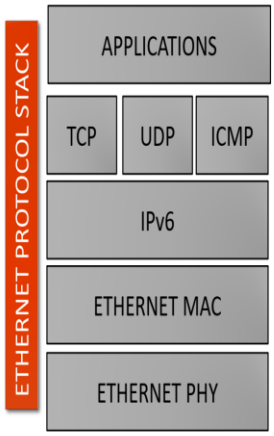


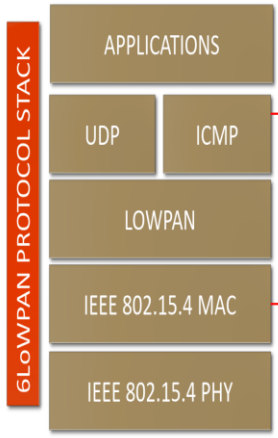

6LoWPAN
INTERNET
Router
Router
Three Network Architectures
Edge router
Backhaul link
Edge router
Edge router
R
H
Simple LoWPAN
Extended LoWPAN
Ad-Hoc LoWPAN


6LoWPAN
INTERNET
Router
Router
Three types of nodes:
Hosts → end-user sleepy device,
Routers → forward data inside the LoWPAN
Edge Routers → connect a LoWPAN to an external IPv6 network
Edge router
Backhaul link
Edge router
Edge router
R
H
Simple LoWPAN
Extended LoWPAN
Ad-Hoc LoWPAN

6LoWPAN

- **6LoWPAN Protocol Stack** vs **Ethernet** Protocol Stack



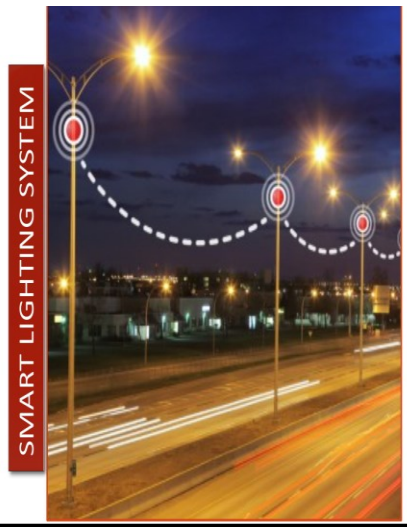


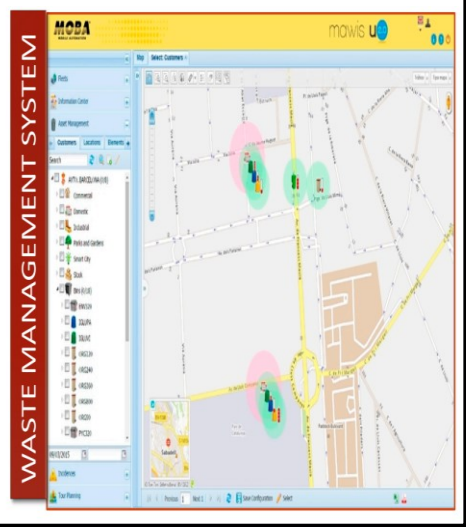
UDP is the most common TSP protocol with 6LOWPAN, since its header can be easily compressed ...

6LoWPAN can work with other link-layer protocols beside IEEE 802.15.4.
Requirements:
- ✧ Unique addressing
- ✧ Unicast transmissions
- ✧ MTU size > 30 bytes

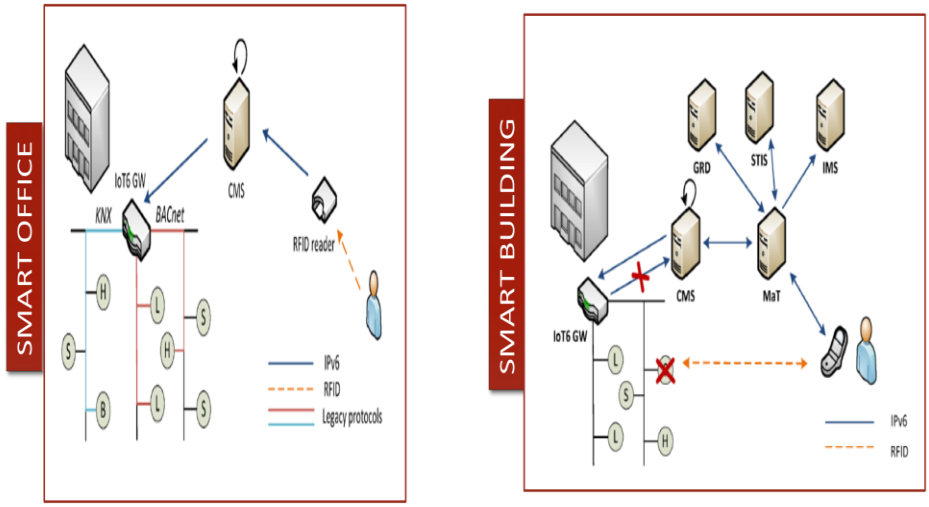
6LoWPAN

- **Use-cases: Large-scale IoT Deployment**



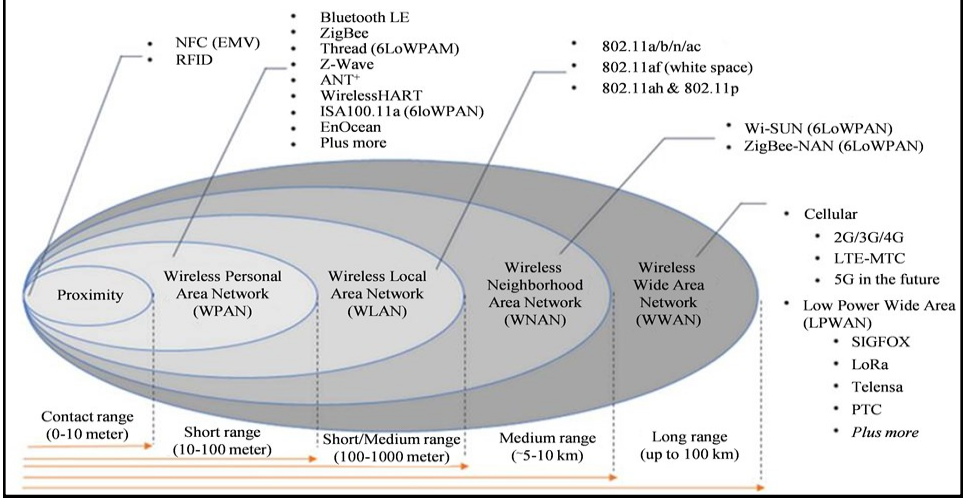


- **Use-cases: Interoperable, Smart Environments**



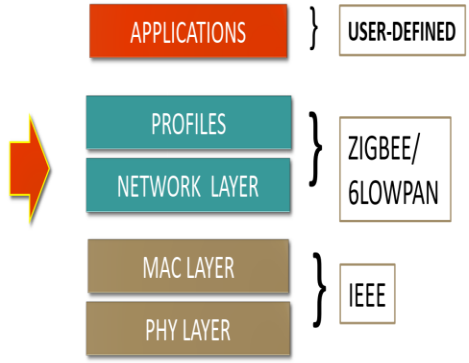
Digression: IEEE 802.15.4

- Low-power, low-cost technology for **Wireless Personal Area Networks** (WPANs)



- **IEEE 802.15.4** → standard for the deployment of WPAN. Characteristics: low complexity, low-power for low-datarate wireless connectivity among fixed and portable devices

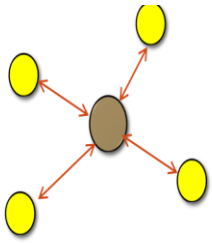
The specifications define the PHY techniques and MAC layer, while the upper layers are defined by other stacks (e.g. **Zigbee**).



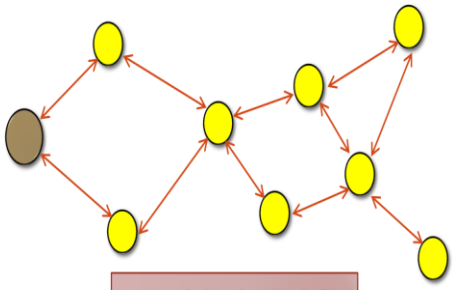
- **IEEE 802.15.4** → standard for the deployment of WPAN.Characteristics: low complexity, low-power for low-datarate wireless connectivity among fixed and portable devices.

Feature	Description
Spectrum bands	2.4GHz, 915 MHz or 868 MHz
Data-rate	Up to 250 Kbs (2.4GHz)
Range	<30 meters
Channels	16 (2.4GHz)
Channel access	CSMA/CA or slotted CSMA/CA

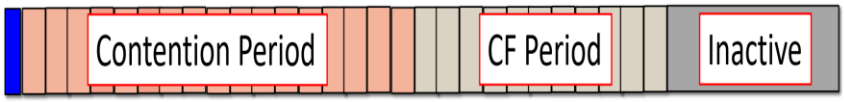
- **IEEE 802.15.4** → standard for the deployment of WPAN.Characteristics: low complexity, low-power for low-datarate wireless connectivity among fixed and portable devices.



STAR TOPOLOGY



AD HOC TOPOLOGY



Network **BEACON**, send by the PAN coordinator, and containing network-related info. Used also for synchronizing each device with the start of the contention-free operations.

Contention-period slots. Accessed by using CSMA/CA protocol.

Contention-Free period slots. Reserved by PAN coordinator to applications with **QoS** requirements.

Inactive periods (needed for **energy saving** on battery-constrained devices)

6LoWPAN

- **Main operations**:
  - Device Addressing
  - Routing (different from forwarding)
  - Header Extensions
  - Header compression
  - Fragmentation
  - Bootstrapping & Device discovery
  - …

- **IPv6 addresses** are typically formed **automatically** from the prefix of the LoWPAN edge router, and the MAC address of the wireless card.
- The IEEE 802.15.4 supports two MAC address format:

✧ **64-bit EUI-64 address**

ACDE:4812:3456:7890 + 2001:0DB8:0BAD:FADE

EUI-64 MAC address Network Prefix

✧ **48-bit EUI-64 address**

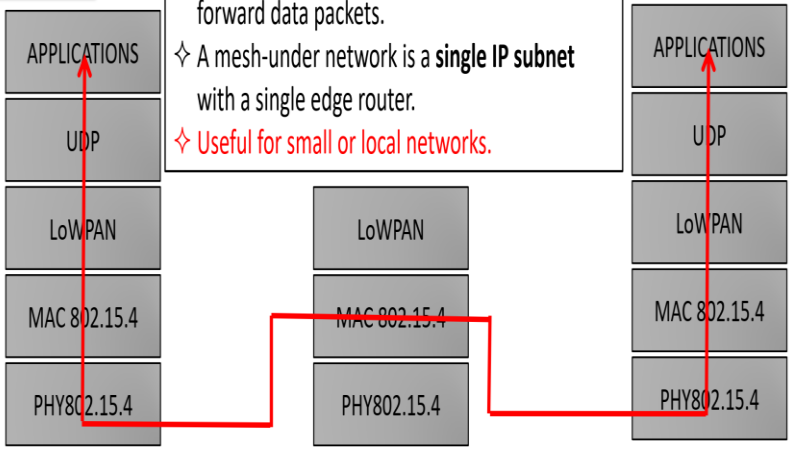
PAN Network Identifier (16 bits) + 16 bits (zeros) + PAN Address (16 bits)

6LoWPAN: Routing

- 6LoWPAN supports **two different routing modes**

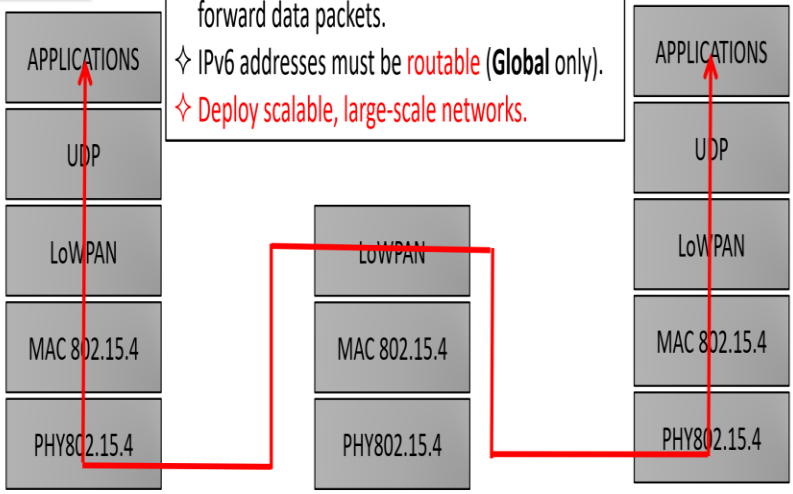
MESH-UNDER ROUTING

- Uses the layer-two (**MAC layer**) addresses to forward data packets.
- A mesh-under network is a **single IP subnet** with a single edge router.
- Useful for small or local networks.



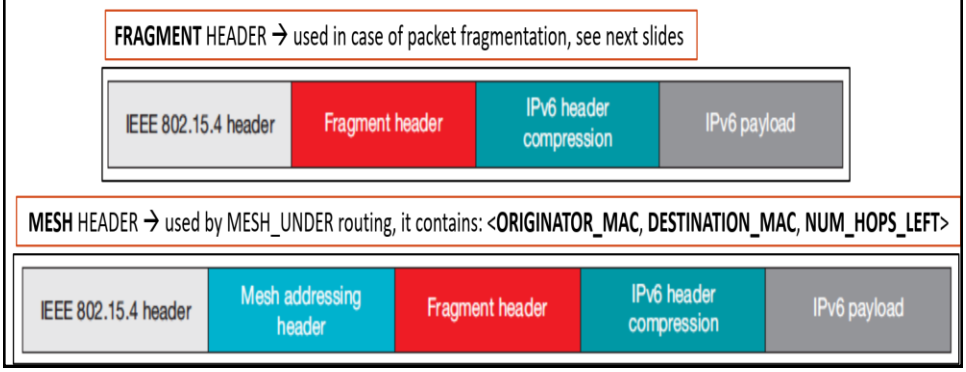
ROUTE OVER ROUTING

- Uses the layer-three (**IPv6**) addresses to forward data packets.
- IPv6 addresses must be routable (**Global** only).
- Deploy scalable, large-scale networks.



6LoWPAN: Extension Headers

- Analogously to IPv6, 6LoWPAN uses the **Extension Headers** for the optional data and for specific use-cases.
- Two 6LoWPAN Extension Headers are defined:



6LoWPAN: Fragmentation

- All IPv6 subnetworks have to provide a **minimum MTU** of 1280 bytes (recommended: 1500 bytes).
  - IPV6 does provide its own fragmentation for datagrams larger than the minimum MTU (1280 bytes).
  - 6LoWPAN provides fragmentation in order to fit the size of 802.15.4 MTU (127 bytes)
  - **Mesh-Under** → fragments are reassembled at the destination.If any fragment is missing, **the complete packet must be retransmitted by the source node**

6LoWPAN: Fragmentation

- **Route-over** → fragments are reassembled at every hop (and fragmented again). If a fragment is missing, **the complete packet must be re-transmitted by the previous node**
- Fragment info are contained in the **Fragment Header**.
- All Fragments carry the same **tag value**, assigned sequentially by the source of fragmentation.

FIRST FRAGMENT

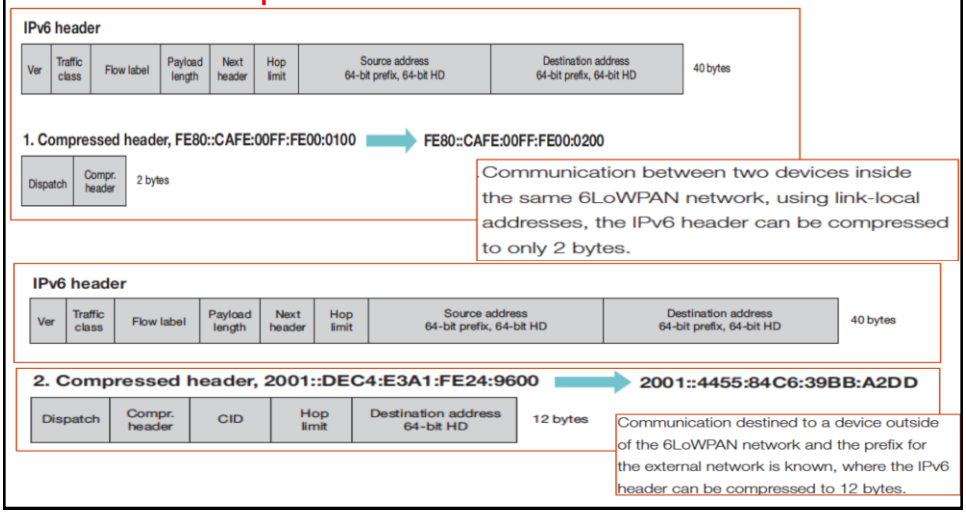
11000	SIZE	TAG

OTHER FRAGMENTs

11000	SIZE	TAG	OFFSET

6LoWPAN: Header Compression

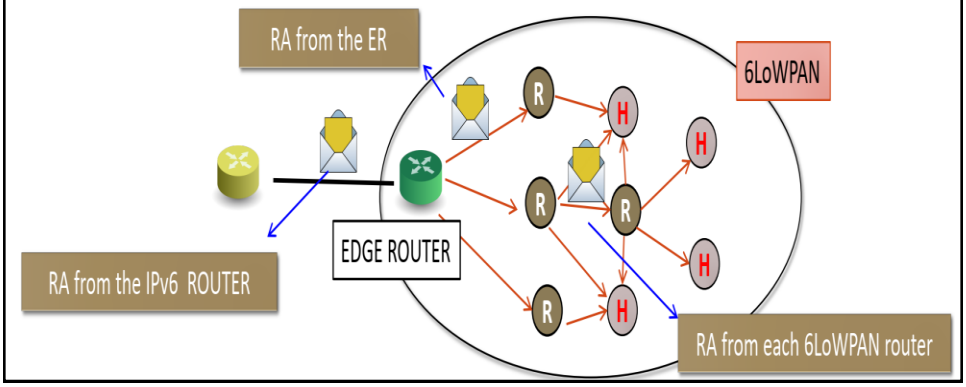
- 6LoWPAN can use **state-less** or **shared-context header** compression mechanisms.



6LoWPAN: Device Discovery

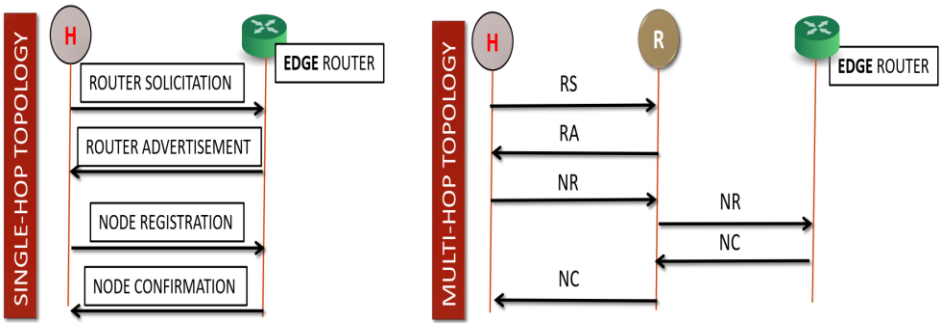
- The **IPv6 Neighbour Discovery Protocol** is used by IPv6 nodes to find routers, to determine their link-layer address and to maintain reachibility info about the paths.
  - Routers send **Announcement messages** (RA) in multicast, attaching their network prefix.
  - IPv6 nodes can solicit a RA message by using a **Router Solicitation** (RS) message.
  - Each IPv6 node builds its own address: <Prefix, MAC>

- Differences compared to the standard NDPv6 protocol
  - In 802.15.4 networks, 6LoWPAN nodes might belong to different broadcast domains (e.g. multi-hop scenarios).
  - RA messages must be **flooded** in the entire 6LoWPAN.



6LoWPAN: Device Discovery

- Differences compared to the standard NDPv6 protocol.
  - The 6LoWPAN Edge Router maintains a **whiteboard** of all the IPv6 address registered in the 6LoWPAN.
  - It also performs **Duplicate Address Detection** (DAD).

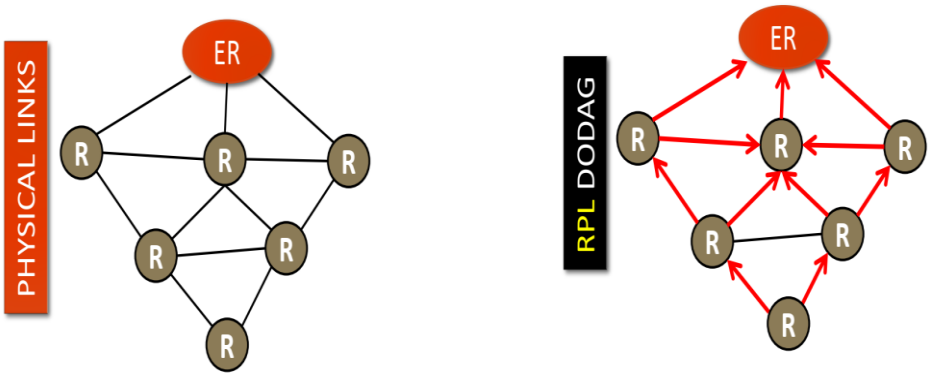


RPL Protocol: Routing over 6LoWPAN

- **RPL** → IPv6 Routing Protocol for Low-Power and Lossy Networks
  - Standardized by the IETF in 2011 (current draft: RFC 6550)
  - De Facto **standard routing protocol** for IoT scenarios characterized by the presence of low-power, resource-constrained devices.
  - It supports: point-to-point, point-to-multipoint and multipoint-to-point communications.
  - It separates **packet processing and forwarding** from the routing optimization objective (e.g. min energy, maxthroughput, min delay, etc).
  - It can be used to disseminate IPv6 or 6LoWPAN specific info (e.g. neighbour discovery).
  - It **does not rely on any specific link-layer protocol** (although it is commonly coupled with the IEEE 802.15.4 standard).

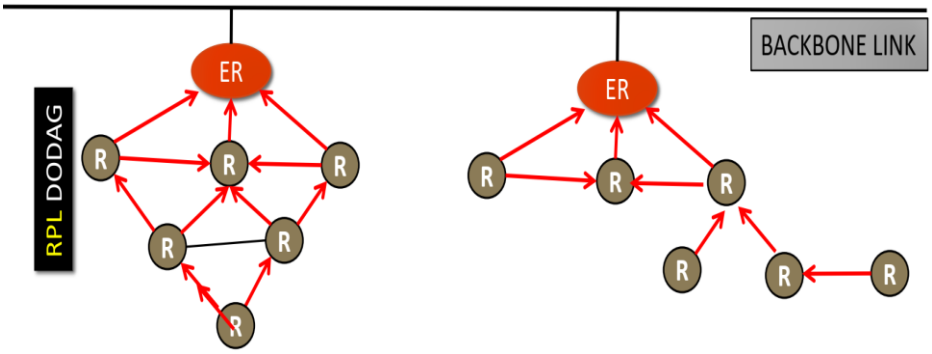
RPL Protocol: Routing over 6LoWPAN

- RPL creates a routing topology in the form of a **Destination-Oriented Directed Acyclic Graph** (DODAG)
  - Directed graph without cycles, oriented towards a root node (the edge router)



RPL Protocol: Routing over 6LoWPAN

- In case of Extended LoWPANs (i.e. presence of multiple Edge Routers), RPL might create **multiple disjoint DODAGs**, routed at different ER

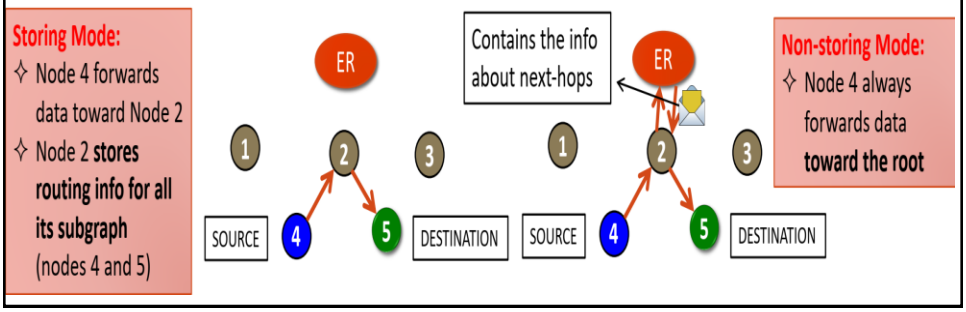


RPL Protocol: Routing over 6LoWPAN

- In order to create and maintain the DODAG, the RPL protocol introduces the **following control packets**:
  - **DIO** (DODAG Information Object) → used to enstablish the upward path (from leafs to root)
  - **DAO** (Destination Advertisment Object) → used to enstablish the downlink path (from root to leafs)
  - **DIS** (DODAG Information Solicitation) → used by an internal node in order to solicitate the transmission of DIO messages
  - **DAO-ACK** (Destination Advertisement Object Acknowledgement)

RPL Protocol: Routing over 6LoWPAN

- Two modes of operation: **storing** and **non-storing**
  - **Storing** → each node keeps a **routing entry** for all the destinations reachable via its sub-DODAG.
  - **Non-Storing** → the root is the only network node maintaining routing information; source routing is used for downward routing



RPL Protocol: Routing over 6LoWPAN

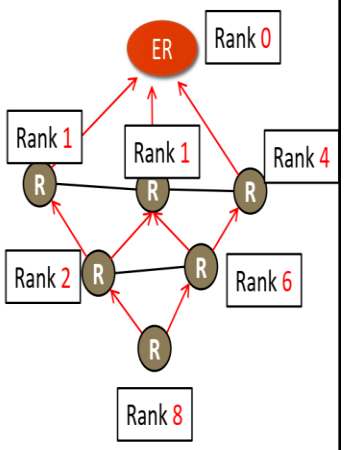
- Each node of the DODAG has its own **rank** value.

PROPERTIES

- ✧ **Abstract numeric value**, expression of a relative position within a DODAG Version.
- ✧ Rank of the nodes must monotonically decrease towards the DODAG destination.
- ✧ Rank is used to avoid and detect loops.

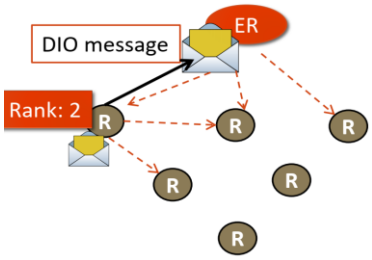
HOW TO COMPUTE IT?

- ✧ Rank is computed according to the **Objective Function** in use (see next slides)



RPL Protocol: Routing over 6LoWPAN

- Creation of the **upward paths** (assumed at start-up)
  - 1.The Edge router creates the **DIO** message, containing its rank and DODAG id, and sends it in **multicast.**
- Receiving nodes:
  - 2.Each node establishes the upward link toward **the sender.**
  - 3.Each node computes its own rank value, based on the **root's rank and on the Objective Function.**
  - 4.Each node rebroadcasts the DIO message (following the **Trickle** algorithm), by including its own computed rank**.**



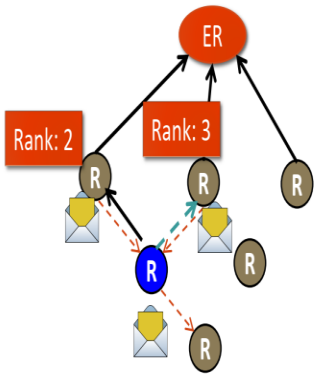
RPL Protocol: Routing over 6LoWPAN

- Creation of the **upward paths** (assumed at start-up)

A node receiving multiple DIO messages (e.g the blue node)

2. Based on the used metric and constraints defined by the Objective Function, it chooses an appropriate parent:
   - Multiple parents can be established, but a **preferred parent** is selected;
   - If the node has already its own rank, and the received one is greater than the local rank, the DIO message is discarded (**loop avoidance**)

3. As before, each node rebroadcasts the DIO message (following the **Trickle** algorithm), by including its own computed rank.



The routing procedure ends when reaching the leaf nodes.

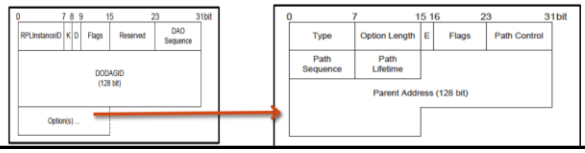
RPL Protocol: Routing over 6LoWPAN

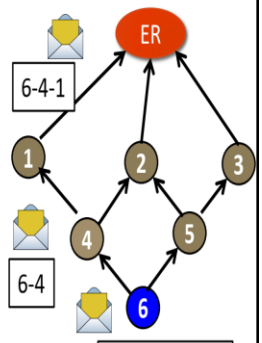
- Creation of the **downward paths** (from leaf to edge router)

NON-STORING MODE

1. Each node periodically generates a DAO message and sends it to the destination, by using the upward path established through the DIO message.

2. All the intermediate parents extend the DAO message by adding their IPv6 address in the **Transit Information Option.**





DAO message

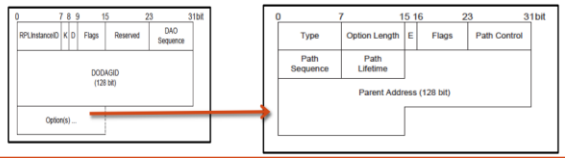
RPL Protocol: Routing over 6LoWPAN

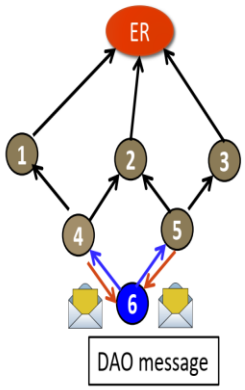
- Creation of the **downward paths** (from leaf to edge router)

STORING MODE

1. Each node periodically generates a DAO message and sends it to all parents node (differently to the previous case, the message is not forwarded toward the root).

2. Each parent maintains additional routing tables for all the nodes of its sub-DODAG.





RPL Protocol: Routing over 6LoWPAN

- **Trickle** algorithm → data dissemination scheme for **lossy shared medium** (e.g. low-power and lossy networks).
  - It can be applied to a wide range of protocol design problems (beside our topic, i.e. the DIO message dissemination in RPL)
  - Three **configuration parameters**: the minimum interval size I_{min}, the maximum interval size I_{max}, and a redundancy constant k.
  - In addition, Trickle maintains **three variables**:
    - I → the current interval size.
    - t → a time within the current interval.
    - c → a counter

RPL Protocol: Routing over 6LoWPAN

- The **Trickle** execution follows five rules:
  - 1. At startup, it sets I to a value in the range of [I_{min}, I_{max}], c to 0 and t to a random point in the interval, [I/2, I];
  - 2. Whenever Trickle hears a transmission that is "**consistent**", it increments the counter c;
  - 3. At time t, Trickle transmits if and only if the counter c is less than the redundancy constant k.
  - 4. When the interval I expires, Trickle doubles the interval length (I).
  - 5. If Trickle hears a transmission that is "**inconsistent**" and I is greater than I_{min}, sets I to I_{min} and t to a random point in the interval [I/2, I] (step 1).
    The meaning of consistent and inconsistent depends on the specific use-case!

RPL Protocol: Routing over 6LoWPAN

- The **Objective Function** (OF) defines the specific metrics/constraints to use for finding minimum cost paths.
  - How to compute the rank;
  - How to select the parents (and the preferred parent);
  - How to compute the path cost.
    - **EXAMPLE1**. Determine the shortest route (METRIC) by avoiding lowenergy nodes (CONSTRAINT).
    - **EXAMPLE2**. Determine the lowest end-to-end delay (METRIC) by avoiding low-quality links (CONSTRAINT).

RPL Protocol: Routing over 6LoWPAN

- Two objective functions have been defined so far:
  - **OF0**: Objective Function Zero → use hop count as default routing metric.
  - **OF1**: Minimum Rank with Hysteresis Objective Function →
    - Select routes which minimize an additive metric.
    - Default Metric: Expected Transmission Number (ETX)

$$PRR(\rho) = \frac{\text{Number of received packets}}{\text{Number of sent packets}}$$

$$ETX = \frac{1}{PRR_{down} \cdot PRR_{up}}$$