### Homework 4: Privacy and Unlearnale examples

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### **01/ Brief Introduction**

### **Brief Introduction:**

#### **Points**

- MIA Attacks(10 points)
- Unlearnable Examples (15 points: 6+4)

### Requirements

- Word/pdf is both ok.
- Write a report (at most **8** pages).
- Send your report and code to trustworthy\_ai@163.com

Theme: Homework4-name-ID

• In Chinese/ English

Due: 6/08 24:00

### Language and wheel

- Python
- PyTorch

### Contents included by the Homework4-name-ID.zip

- All python file
- report





### CONTENTS

**01/ Brief Introduction** 

02/ MIA Attack

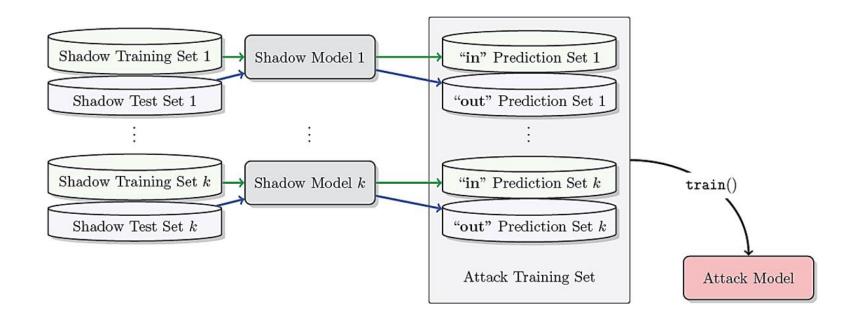
MIA Attack

#### Main files:

• MIA.ipynb

### **Objectives:**

Apply MIA attacks on MNIST with >85% accuracy



### **Split Dataset:**

```
class custum MNIST(MNIST):
                                                                                              def __getitem__(self, index):
    def init (self, target, num, *args, **kwargs):
       super().__init__(*args, **kwargs)
                                                                                                      index (int): Index
       self.target = target
                                                                                                  Returns:
       if self.train:
                                                                                                      tuple: (image, target) where target is index of the target class.
            if target:
                # training data for target model
                                                                                                  if self.train:
                self.data = self.data[:25000]
                                                                                                      if self.target:
                self.targets = self.targets[:25000]
                                                                                                          index = index % 25000
           else:
                                                                                                      else:
                # training data for shadow model
                                                                                                          index = index % 2500
                idx shadow = np.arange(25000,50000)
                                                                                                  else:
                idx_shadow = np.random.shuffle(idx_shadow)
                                                                                                      if self.target:
                self.data = self.data[idx_shadow[2500*num%10: 2500*num%10+2500]]
                                                                                                          index = index % 5000
                self.targets = self.targets[idx_shadow[2500*num%10: 2500*num%10+2500]]
                                                                                                      else:
       else:
                                                                                                          index = index % 500
            if target:
                                                                                                  img, target = self.data[index], int(self.targets[index])
                # test data for target model
                self.data = self.data[:5000]
                                                                                                  # doing this so that it is consistent with all other datasets
                self.targets = self.targets[:5000]
                                                                                                  # to return a PIL Image
                                                                                                  img = Image.fromarray(img.numpy(), mode='L')
                # test data for shadow model
                idx shadow = np.arange(5000,10000)
                                                                                                  if self.transform is not None:
                idx_shadow = np.random.shuffle(idx_shadow)
                                                                                                      img = self.transform(img)
                self.data = self.data[idx shadow[500*num%10: 500*num%10+2500]]
                self.targets = self.targets[idx_shadow[500*num%10: 500*num%10+2500]]
                                                                                                  if self.target transform is not None:
                                                                                                      target = self.target_transform(target)
   def __getitem__(self, index):
                                                                                                   return img, target
```

MIA Attack

#### Train model and return data for MIA:

```
for epoch in range(num_epochs):
    #print('Epoch {}/{}'.format(epoch, num_epochs - 1))
   #print('-' * 10)
   X = [] ## The feature of the final logits for MIA classifier
   Y = [] ## 1:"in" training sample 0:"out" training sample
   # Each epoch has a training and validation phase
    for phase in ['train', 'val']:
        if phase == 'train':
            if scheduler is not None:
                scheduler.step()
           model.train() # Set model to training mode
        else:
            model.eval() # Set model to evaluate mode
        running loss = 0.0
       running corrects = 0
        # Iterate over data.
        for data, target in tqdm.tqdm(dataloaders[phase]):
           inputs, labels = data.to(device), target.to(device)
            # zero the parameter gradients
           optimizer.zero grad()
            # forward
            # track history if only in train
           with torch.set grad enabled(phase == 'train'):
               outputs = model(inputs)
                _, preds = torch.max(outputs, 1)
               loss = criterion(outputs, labels)
```

```
##### Generate data for MIA on the final epoch, return X and Y
        ##### X is models output
        ##### Y denotes whether such sample is used for training
        ##### Point 2
        # backward + optimize only if in training phase
        if phase == 'train':
            loss.backward()
            optimizer.step()
    # statistics
    running loss += loss.item() * inputs.size(0)
    running corrects += torch.sum(preds == labels.data)
epoch loss = running loss / dataset sizes[phase]
epoch acc = running corrects.double() / dataset sizes[phase]
# deep copy the model
if phase == 'val' and epoch acc > best acc:
    best acc = epoch acc
    print(epoch, best acc)
```

## Train target model and use target model's training set and validation set as validation set for MIA

```
import torch
import torch.nn as nn
import torch.optim as optim
from model import *
from torch.optim import lr scheduler
from sklearn.utils import shuffle
from sklearn.metrics import precision recall fscore support, accuracy score
data_train_target = custum_MNIST(True, 0, '../data', train=True, download=True,
                   transform=transforms.Compose([
                       transforms.ToTensor(),
                       transforms.Normalize((0.1307,), (0.3081,))
data_test_target = custum_MNIST(True, 0, '.../data', train=False, transform=transforms.Compose([
                   transforms.ToTensor(),
                   transforms.Normalize((0.1307,), (0.3081,))
criterion = nn.CrossEntropyLoss()
train loader target = torch.utils.data.DataLoader(data train target, batch size=64, shuffle=True)
test loader target = torch.utils.data.DataLoader(data test target, batch size=64, shuffle=True)
dataloaders target = {"train": train loader target, "val": test loader target}
dataset sizes target = {"train": len(data train target), "val": len(data test target)}
print("TAILLE dataset", dataset sizes target)
model target = Net mnist().to(device)
optimizer = optim.SGD(model target.parameters(), lr=0.001, momentum=0.5)
exp_lr_scheduler = None
model_target, best_acc_target, data_test_set, label_test_set = train(model_target, criterion, optimizer, exp_lr_scheduler, dataloaders target, dataset sizes target,
                      num epochs=40)
print(best acc target)
```

## Train shadow model and use shadow model's training set and validation set as training set for MIA

#### Process data and attack

#### Process datasets

```
data_train_set = np.concatenate(data_train_set)
label_train_set = np.concatenate(label_train_set)
data_train_set, label_train_set = shuffle(data_train_set, label_train_set, random_state=42)
data_test_set, label_test_set = shuffle(data_test_set, label_test_set, random_state=42)
print("Finished Shadow Dataset Generation")
```

Finished Shadow Dataset Generation

### Use lightgbm to attack

```
import lightgbm as lgb
model = lgb.LGBMClassifier(objective='binary', reg_lambda=10, n_estimators=10000)
print("Start Fit")
model.fit(data_train_set, label_train_set)
print("Finish Fit")
y_pred_lgbm = model.predict(data_test_set)
```

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### **02** \_\_R

### **Results**

- Report: Tell how your code works: 3 points
- Correctness of Code: 7 points(2+5)
- Besides the report, you should also hand in your code.
- Do not hand in your checkpoint.

### **CONTENTS**

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02/ MIA Attack

**03/ Unlearnable Examples** 

03

### **Unlearnable examples**

#### Main files:

- Classwise.ipynb
- Samplewise.ipynb

### **Objectives:**

Final classification accuracy on generated dataset less than 40%.

### Classwise min-min: Prepare Data

```
import os
import torch
import torchyision
from torch.utils.data import DataLoader
from torchvision import datasets, transforms
# Prepare Dataset
train transform = |
   transforms.ToTensor()
test transform = [
    transforms.ToTensor()
train transform = transforms.Compose(train transform)
test_transform = transforms.Compose(test_transform)
clean_train_dataset = datasets.CIFAR10(root='/data1/mjli/Code/kerdeq/data/', train=True, download=True, transform=train_transform)
clean_test_dataset = datasets.CIFAR10(root='/data1/mjli/Code/kerdeq/data/', train=False, download=True, transform=test_transform)
clean train loader = DataLoader(dataset=clean train dataset, batch size=512,
                               shuffle=False, pin_memory=True,
                               drop_last=False, num_workers=12)
clean_test_loader = DataLoader(dataset=clean_test_dataset, batch_size=512,
                                shuffle=False, pin_memory=True,
                                drop_last=False, num_workers=12)
```

Files already downloaded and verified Files already downloaded and verified

#### Prepare Model

```
from models.ResNet import ResNet18
torch.backends.cudnn.enabled = True
torch.backends.cudnn.benchmark = True
base model = ResNet18()
base_model = base_model.cuda()
criterion = torch.nn.CrossEntropyLoss()
optimizer = torch.optim.SGD(params=base model.parameters(), lr=0.1, weight decay=0.0005, momentum=0.9)
```

#### Classwise min-min:

Prepare Perturbation Generator Tool

```
import numpy as np
from torch.autograd import Variable
if torch.cuda.is_available():
   device = torch.device('cuda')
else:
  device = torch.device('cpu')
class PerturbationTool():
   def __init__(self, seed=0, epsilon=0.03137254901, num_steps=20, step_size=0.00784313725):
       self.epsilon = epsilon
       self.num_steps = num_steps
       self.step_size = step_size
       self.seed = seed
       np.random.seed(seed)
   def random_noise(self, noise_shape=[10, 3, 32, 32]):
       random_noise = torch.FloatTensor(*noise_shape).uniform_(-self.epsilon, self.epsilon).to(device)
   def min min attack(self, images, labels, model, optimizer, criterion, random noise=None, sample wise=False):
        random_noise = torch.FloatTensor(*images.shape).uniform_(-self.epsilon, self.epsilon).to(device)
       perturb_img = Variable(images.data + random_noise, requires_grad=True)
       perturb_img = Variable(torch.clamp(perturb_img, 0, 1), requires_grad=True)
       eta = random_noise
       for _ in range(self.num_steps):
           opt = torch.optim.SGD([perturb_img], lr=1e-3)
           opt.zero_grad()
           model.zero grad()
           if isinstance(criterion, torch.nn.CrossEntropyLoss):
              if hasattr(model, 'classify'):
                 model.classify = True
               logits = model(perturb img)
               loss = criterion(logits, labels)
            logits, loss = criterion(model, perturb_img, labels, optimizer)
           perturb_img.retain_grad()
           loss.backward()
           eta = self.step_size * perturb_img.grad.data.sign() * (-1)
           perturb_img = Variable(perturb_img.data + eta, requires_grad=True)
           eta = torch.clamp(perturb_img.data - images.data, -self.epsilon, self.epsilon)
           perturb_img = Variable(images.data + eta, requires_grad=True)
           perturb img = Variable(torch.clamp(perturb img, 0, 1), requires grad=True)
       return perturb_img, eta
noise generator = PerturbationTool(epsilon=16/255, num steps=10, step size=4/255)
### Test noise_generator
images= torch.randn([1,3,32,32]).cuda()
labels= torch.ones([1]).long().cuda()
```

#### Classwise min-min:

```
from tqdm import tqdm
import collections
### Final noise####
noise = torch.zeros([10, 3, 32, 32])
data iter = iter(clean train loader)
condition = True
train_idx = 0
while condition:
  # optimize base model for 10 steps (train base models with 10 batches)
   base_model.train()
   for param in base_model.parameters():
    param.requires_grad = True
   for j in range(0, 10):
      #####1. each iteration is a batch, you may use next(data_iter) to get images and labels for training
   # Perturbation over entire dataset
   idx = 0
   for param in base_model.parameters():
      param.requires grad = False
   for i, (images, labels) in tqdm(enumerate(clean train loader), total=len(clean train loader)):
       batch_start_idx, batch_noise = idx, []
       tmp_idx = 0
       for i, _ in enumerate(images):
          # Update noise to images
           batch_noise.append(noise[labels[tmp_idx]])
           idx += 1
           tmp_idx += 1
       batch_noise = torch.stack(batch_noise).cuda()
       # Update class-wise perturbation
       base model.eval()
       images, labels = images.cuda(), labels.cuda()
       perturb_img, eta = noise_generator.min_min_attack(images, labels, base_model, optimizer, criterion,
                                                    random noise=batch noise)
       # Eval stop condition
   eval_idx, total, correct = 0, 0, 0
   for i, (images, labels) in enumerate(clean_train_loader):
       eval_idx = 0
       for i, _ in enumerate(images):
          # Update noise to images
           images[i] += noise[labels[eval idx]]
           eval idx += 1
       images, labels = images.cuda(), labels.cuda()
       with torch.no grad():
          logits = base_model(images)
           _, predicted = torch.max(logits.data, 1)
          total += labels.size(0)
          correct += (predicted == labels).sum().item()
   acc = correct / total
   print('Accuracy %.2f' % (acc*100))
   if acc > 0.9:
      condition=False
```

#### Classwise min-min:

Creat Unlearnable class-wise min-min Dataset

#### Classwise min-min:

Creat Unlearnable class-wise min-min Dataset

### Classwise min-min:

Train ResNet18 on Unlearnable Dataset

```
from util import AverageMeter
model = ResNet18()
model = model.cuda()
criterion = torch.nn.CrossEntropyLoss()
optimizer = torch.optim.SGD(params=model.parameters(), lr=0.1, weight_decay=0.0005, momentum=0.9)
scheduler = torch.optim.lr scheduler.CosineAnnealingLR(optimizer, T max=30, eta min=0)
unlearnable loader = DataLoader(dataset=unlearnable train dataset, batch size=128,
                                shuffle=True, pin memory=True,
                                drop_last=False, num_workers=12)
for epoch in range(30):
   # Train
    model.train()
    acc meter = AverageMeter()
   loss meter = AverageMeter()
    pbar = tqdm(unlearnable_loader, total=len(unlearnable_loader))
   for images, labels in pbar:
        images, labels = images.cuda(), labels.cuda()
        model.zero_grad()
       optimizer.zero grad()
        logits = model(images)
        loss = criterion(logits, labels)
        loss.backward()
        torch.nn.utils.clip_grad_norm_(model.parameters(), 5.0)
        optimizer.step()
        _, predicted = torch.max(logits.data, 1)
       acc = (predicted == labels).sum().item()/labels.size(0)
        acc_meter.update(acc)
       loss_meter.update(loss.item())
        pbar.set description("Acc %.2f Loss: %.2f" % (acc meter.avg*100, loss meter.avg))
    scheduler.step()
    # Eval
    model.eval()
    correct, total = 0, 0
    for i, (images, labels) in enumerate(clean test loader):
        images, labels = images.cuda(), labels.cuda()
       with torch.no grad():
           logits = model(images)
           _, predicted = torch.max(logits.data, 1)
           total += labels.size(0)
           correct += (predicted == labels).sum().item()
    acc = correct / total
    tqdm.write('Clean Accuracy %.2f\n' % (acc*100))
```

### samplewise min-min:

```
from tgdm import tgdm
noise = torch.zeros([50000, 3, 32, 32])
data_iter = iter(clean_train_loader)
condition = True
train idx = 0
while condition:
   #######Try to write the sample-wise min-min noise generator #######
   #####1.optimize theta for M steps
   #####2. generate min-min noise for each training images and update noise
   #####3. If acc>0.99 break, else loop
   #####4. Please refer to class-wise min-min noise generator
   ##### Point 6
```

### 02

### **Results**

- Report: Tell how your code works: 3 points
- Correctness of Code: 12 points(6+2+2+2)
- Besides the report, you should also hand in your code.
- Do not hand in your checkpoint.

### 论文列表

- [1] Membership Inference Attacks against Machine Learning Models Reza Shokri, Marco Stronati, Congzheng Song, Vitaly Shmatikov
- [2] Unlearnable Examples: Making Personal Data Unexploitable Hanxun Huang, Xingjun Ma, Sarah Monazam Erfani, James Bailey, Yisen Wang

# Q&A

# Thanks