

# Computer Aided Geometric Design

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# B-Spline Curves

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# B-Spline Curves

- ▶ **Most shapes are too complicated to define using a single Bézier curve.**
- ▶ A **spline curve is a sequence of Bézier curves** that are connected together
- ▶  $\mathcal{C}^1$  continuity is easy to attain with Bézier curves, but  $\mathcal{C}^2$  and higher continuity is cumbersome.

## B-spline curves

This is a method for representing a sequence of degree  $n$  Bézier curves that join automatically with  $\mathcal{C}^{n-1}$  continuity, regardless of where the control points are placed.

- ▶ An open string of  $m$  Bézier curves of degree  $n$  involves  $nm + 1$  distinct control points,
- ▶ whereas we will see the same string of Bézier curves can be expressed using only  $m + n$  B-spline control points (assuming  $\mathcal{C}^{n-1}$  continuity).

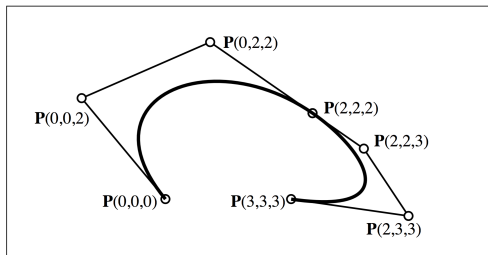
# Polar Form

- ▶ Introduced by Ramshaw (late 80's), can be thought as another method of labeling the control points; these labels are referred to as **polar values**.
- ▶ Next, we show how to derive all important algorithms for Bézier and B-spline curves from the following **four rules** for polar values.

## Rule 1. Control points of a Bézier curve

The control points of a degree  $n$  Bézier curve  $P_{[a,b]}(t)$  are relabeled

$$P_i := P(u_1, u_2, \dots, u_n), \quad u_1 = \dots = u_{n-i} = a, \quad u_{n-i+1} = \dots = u_n = b.$$



For a degree 3 Bézier curve,  
polar values are

$$P_0 = P(a, a, a), \quad P_1 = P(a, a, b),$$

$$P_2 = P(a, b, b), \quad P_3 = P(b, b, b).$$

# Polar Form

Rule 2. A polar value is **symmetric** in its arguments.

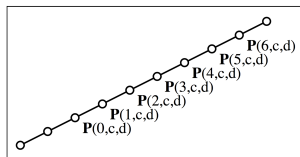
This means that the order of the arguments can be changed without changing the polar value.

For example,  $P(1, 0, 0, 2) = P(0, 1, 0, 2) = P(2, 1, 0, 0) = P(0, 0, 1, 2) = \dots$ .

Rule 3. A polar value is **multi-affine** in its arguments.

This means that a polar value is affine in each of its argument. Given  $P(u_1, \dots, u_{n-1}, a)$  and  $P(u_1, \dots, u_{n-1}, b)$ , for any value  $c$ :

$$P(u_1, \dots, u_{n-1}, c) = \frac{(b - c)P(u_1, \dots, u_{n-1}, a) + (c - a)P(u_1, \dots, u_{n-1}, b)}{b - a}.$$



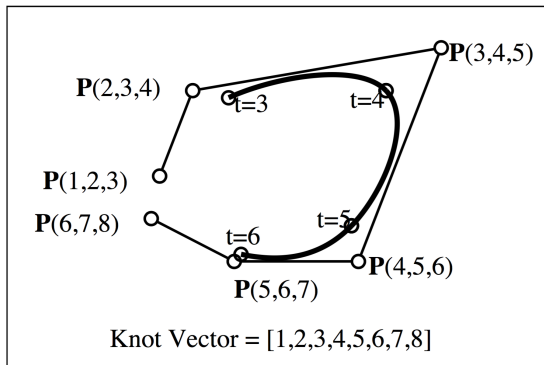
For example,

$$P(0, t, 1) = (1 - t)P(0, 0, 1) + tP(0, 1, 1).$$

# Polar Form

## Rule 4. B-spline curve.

For a **degree  $n$**  B-spline curve with a **knot vector**  $[t_1, t_2, t_3, \dots]$ , the arguments of the polar values consist of groups of  **$n$  adjacent knots** from the knot vector, with the  $i^{th}$  polar value being  $P(t_i, t_{i+1}, \dots, t_{i+n-1})$ .



# Subdivision of Bézier Curves

To illustrate how polar values work, we show how to derive the de Casteljau algorithm using only the first three rules.

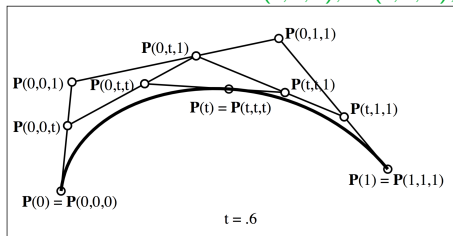
- ▶ Given a cubic Bézier curve  $P_{[0,1]}(t)$ , we wish to split it into  $P_{[0,t]}$  and  $P_{[t,1]}$ .
- ▶ The original control points are given by the polar values

$$P(0,0,0), P(0,0,1), P(0,1,1), P(1,1,1).$$

and we need to compute the polar values

$$P(0,0,0), P(0,0,t), P(0,t,t), P(t,t,t)$$

$$P(t,t,t), P(t,t,1), P(t,1,1), P(1,1,1).$$



## Corollary

$$\forall t : P(t, t, t) = P(t)$$

# Knot Vectors

## Knot Vector

It is a list of parameter values, or *knots*, that specify the parameter intervals for the individual Bézier curves that make up a B-spline. It must be a non-decreasing sequence of real numbers.

- ▶ For example, if a **cubic** B-spline is made of four Bézier curves with parameter intervals  $[1, 2]$ ,  $[2, 4]$ ,  $[4, 5]$  and  $[5, 8]$ , then the knot vector would be

$$[t_1, t_2, 1, 2, 4, 5, 8, t_8, t_9].$$

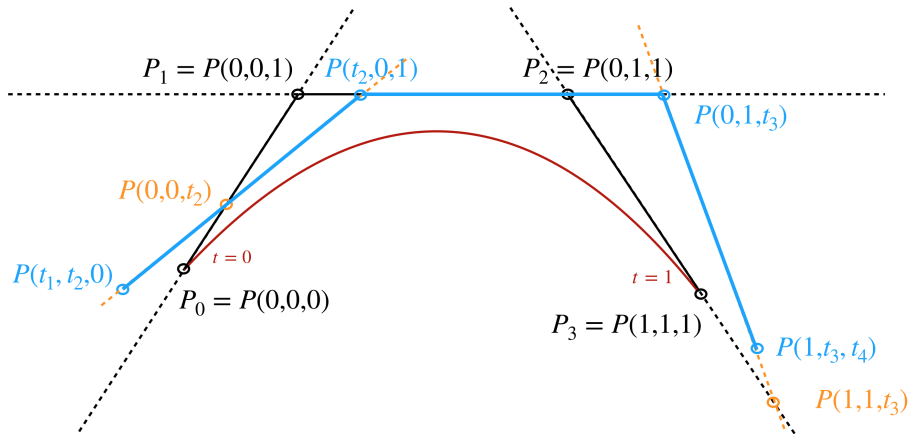
- ▶ There are two (one less of the degree) extra knots appended and prepended to the knot vector. They control the *end conditions* of the B-spline (see later).

## Terminology:

- ▶ If any knot is repeated, it is referred to as a **multiple knot** (see later).
- ▶ A B-spline whose knots are evenly spaced is known as a **uniform** B-spline.

# Cubic Bézier curves as B-Splines

Bézier and B-Spline control polygons of the same cubic Bézier curve



- ▶ Knot vector as a Bézier curve :  $[0, 0, 0, 1, 1, 1]$ ,
- ▶ Knot vector as a B-Spline curve :  $[t_1, t_2, 0, 1, t_3, t_4]$ .

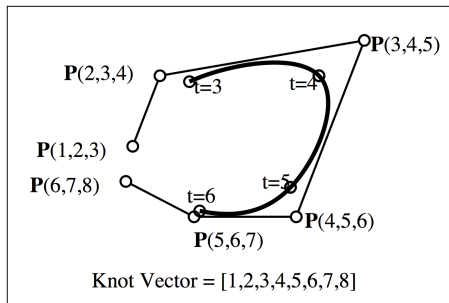


# Extracting Bézier Curves from B-Splines

## Böhm algorithm

How does one find the control points of the Bézier curves that make up a B-spline?

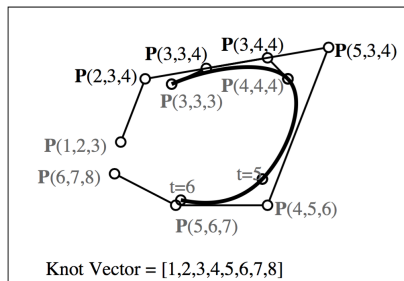
- ▶ Consider the B-spline below consisting in three Bézier curves in the domains  $[3, 4]$ ,  $[4, 5]$  and  $[5, 6]$ .
- ▶ The control points of these Bézier curves have polar values  
 $P(3, 3, 3)$ ,  $P(3, 3, 4)$ ,  $P(3, 4, 4)$ ,  $P(4, 4, 4)$ ,  
 $P(4, 4, 4)$ ,  $P(4, 4, 5)$ ,  $P(4, 5, 5)$ ,  $P(5, 5, 5)$ ,  
 $P(5, 5, 5)$ ,  $P(5, 5, 6)$ ,  $P(5, 6, 6)$ ,  $P(6, 6, 6)$ .
- ▶ Goal : compute these polar values.



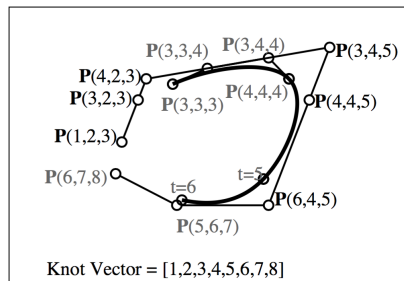
# Extracting Bézier Curves from B-Splines

For the Bézier curve over  $[3, 4]$ ,

- ▶ First step: compute  $P(3, 3, 4)$  and  $P(3, 4, 4)$ .
- ▶ Second step: compute the auxiliary points  $P(3, 2, 3)$  and  $P(4, 4, 5)$ .
- ▶ Finally, compute  $P(3, 3, 3)$  and  $P(4, 4, 4)$ .



(a) First Step.



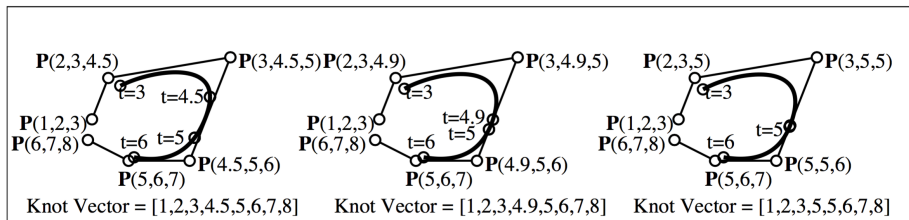
(b) Second Step.

B-splines possess the property of *local control*

The four Bézier control points are derived from four B-spline control points;  $P(5, 6, 7)$  and  $P(6, 7, 8)$  do not affect this Bézier segment.

# Multiple knots

- ▶ If a knot vector contains two identical non-end-condition knots  $t_i = t_{i+1}$ , The B-spline can be thought as containing a zero-length Bézier curve over  $[t_i, t_{i+1}]$ .
- ▶ Below is an illustration of two points which are moved together.



## Multiple knot diminishes the continuity

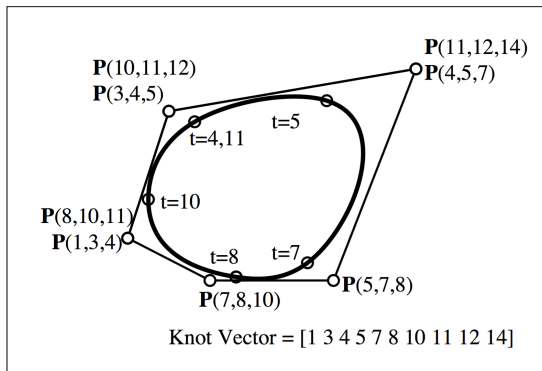
The continuity of two adjacent Bézier curves across a knot of multiplicity  $k$  is generally  $n - k$ .

# Periodic B-Splines

**A periodic B-spline is a B-spline which closes on itself.**

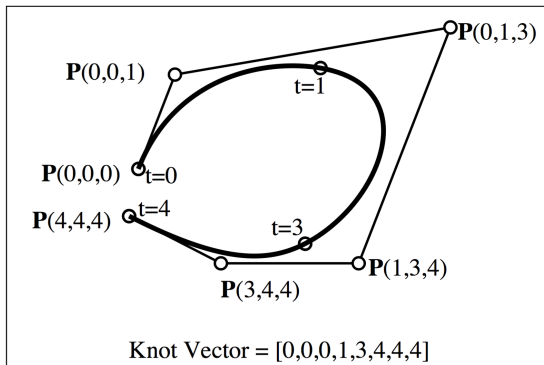
For a degree  $n$  B-spline curve, this requires that

- ▶ the first  $n$  control points are identical to the last  $n$ ,
- ▶ the first  $n$  parameter intervals in the knot vector are identical to the last  $n$ .



# Bézier end conditions

- ▶ We already noted that a knot vector always has  $n - 1$  extra knots at the beginning and end which do not specify Bézier parameter limits (except in the periodic case), but which influence the shape of the curve at its end.
- ▶ In the case of an open B-spline, one usually chooses an  $n$ -fold knot at each end to get a Bézier behavior : the curve interpolates the end control points and is tangent to the control polygon at its endpoints (Böhm algorithm).

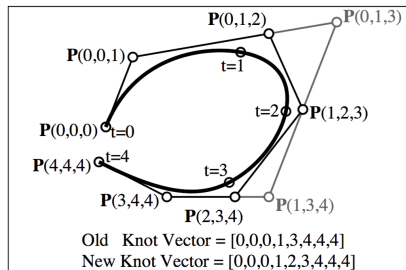


# Knot insertion

- ▶ It is a standard design tool for B-splines consisting in adding a knot in the knot vector.
- ▶ It results in an additional control point and a modification of a few existing ones. The curve itself is unchanged.
- ▶ Several applications: evaluation, add local details, *splitting in Bézier segments*, etc.

In the example below a knot at  $t = 2$  is inserted.

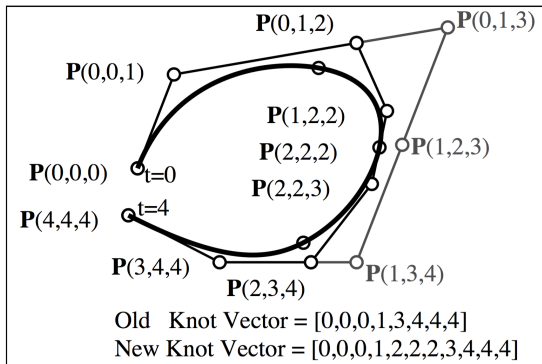
	Initial	After Knot Insertion
Knot Vector:	$[(0,0,0,1,3,4,4,4)]$	$[(0,0,0,1,2,3,4,4,4)]$
Control Points:	$P(0,0,0)$	$P(0,0,0)$
	$P(0,0,1)$	$P(0,0,1)$
		$P(0,1,2)$
	$P(0,1,3)$	
	$P(1,3,4)$	$P(1,2,3)$
		$P(2,3,4)$
	$P(3,4,4)$	$P(3,4,4)$
	$P(4,4,4)$	$P(4,4,4)$



# The de Boor algorithm

**The de Boor algorithm provides a method for evaluating a B-spline curve.**

- ▶ Given a parameter value  $t$ , find the corresponding point on the B-spline.
- ▶ This point  $P(t)$  has the polar value  $P(t, t, \dots, t)$ .
- ▶ It can be computed by inserting  $n$  times the knot  $t$ .
- ▶ Using polar forms, the algorithm is easy to figure out.



# B-spline hodographs

- ▶ The first derivative (or hodograph) of a B-spline is obtained in a manner similar to that of Bézier curves.
- ▶ The hodograph has the same knot vector as the given B-spline, except that the first and last knots are discarded.
- ▶ The control points are given by the equation

$$H_i := \frac{n}{t_{i+n} - t_i} (P_{i+1} - P_i)$$

where  $n$  is the degree.



# Polar forms and the blossoming principle

## Polar forms are not simply a labeling scheme

A multivariate polynomial  $f(t_1, \dots, t_n)$  is called

- ▶ **symmetric** if it keeps its values under any permutation of its arguments.
- ▶ **multi-affine** if it is affine in each of its arguments, i.e.

$$f\left(\dots, \sum_j \alpha_j t_j, \dots\right) = \sum_j \alpha_j f(\dots, t_j, \dots)$$

for all scalars  $\alpha_j$  such that  $\sum_j \alpha_j = 1$ .

## Blossoming principle

For every degree  $m$  polynomial  $p(t)$  there exists a **unique symmetric, multi-affine and multivariate polynomial**  $p(t_1, \dots, t_n)$ , called the **polar form**, such that  $p(t, \dots, t) = p(t)$ .

# Polar forms and the blossoming principle

Suppose given a polynomial  $p(t)$  of degree  $n$  and denote by  $p(t_1, \dots, t_n)$  its polar form. Then, one can prove the following results.

## Blossoming of Bézier curves

Let  $\Delta := [a, b]$  be an arbitrary interval. The polynomial  $p(t)$  can be represented as a Bézier polynomial of degree  $n$  w.r.t.  $\Delta$  and its control points are given as

$$P_i = p(\underbrace{a, \dots, a}_{n-i}, \underbrace{b, \dots, b}_i).$$

## Blossoming of B-spline curves

The polynomial  $p(t)$  can be represented as a B-spline segment over a non-decreasing knot sequence  $r_n \leq \dots \leq r_1 < s_1 \leq \dots \leq s_n$ . Its control points are given as

$$P_i = p(r_1, \dots, r_{n-i}, s_1, \dots, s_i).$$

# B-spline Curves from Basis Functions

## Definition

A degree  $d$  B-spline curve with  $n + 1$  control points can be expressed as

$$P(t) = \sum_{i=0}^n P_i N_i^d(t).$$

- ▶ The knot vector for this curve contains  $n + d$  knots, which we will denote

$$[t_1, \dots, t_{n+d}].$$

- ▶ The control point  $P_i$  has polar value  $P(t_{i+1}, \dots, t_{i+d})$ .
- ▶ The functions  $N_i^d(t)$  are called the *B-spline basis functions*.
- ▶ The domain of the B-Spline curve is the interval  $[t_d, t_{n+1}]$  (eliminate the end-condition knots).
- ▶ This curve is composed of  $n - d + 1$  Bézier curves corresponding to the parameter intervals  $[t_{d+j-1}, t_{d+j}]$ ,  $j = 1, \dots, n - d + 1$ .

# B-Spline Basis Functions

## Definition

The functions  $N_i^0(t)$  are the step functions; they are defined as

$$N_i^0(t) = \begin{cases} 1 & \text{if } t \in [t_i, t_{i+1}] \\ 0 & \text{otherwise} \end{cases}$$

Then, B-Spline basis functions of degree  $k$  are defined using the recurrence relation

$$N_i^k(t) = w_i^k(t)N_i^{k-1}(t) + (1 - w_{i+1}^k(t))N_{i+1}^{k-1}(t)$$

where

$$w_i^k(t) = \begin{cases} \frac{t - t_i}{t_{i+k} - t_i} & \text{if } t_i < t_{i+k} \\ 0 & \text{otherwise} . \end{cases}$$

**N.B.:** It is necessary to add two extra knots at the ends :  $[t_0, t_1, \dots, t_{n+d}, t_{n+d+1}]$

**Remark:** If for some  $i$ , one has  $t_i = t_{i+k+1}$  ( $t_i$  is a knot of multiplicity  $\geq k + 2$ ), then  $N_i^k \equiv 0$ .

# Properties of B-spline Basis Functions

## Piecewise polynomials

$N_i^k(t)$  is a piecewise polynomial, each polynomial piece being of degree  $k$

## Support

- ▶  $N_i^k(t) = 0$  if  $t \notin [t_i, t_{i+k+1}]$
- ▶  $N_i^k(t) > 0$  if  $t \in ]t_i, t_{i+k+1}[$ .  
 $N_i^k(t_i) = 0$ , except if  $t_i = \dots = t_{i+k} < t_{i+k+1}$  (knot of multiplicity  $k + 1$ ), in which case  $N_i^k(t_i) = 1$ .

## Partition of unity

For any integer  $m$ , the B-spline functions form a partition of unity on the interval  $[t_k, t_{m-k}]$ :

$$\sum_{i=0}^{m-k-1} N_i^k(t) \equiv 1 \quad \forall t \in [t_k, t_{m-k}].$$

⇒ From here, one can develop an extensive theory of B-Spline curves.

# Non-uniform rational B-spline (NURBS) curves

## Definition

A degree  $d$  NURBS curve with  $n + 1$  control points is expressed as

$$P(t) = \frac{\sum_{i=0}^n w_i P_i N_i^d(t)}{\sum_{i=0}^n w_i N_i^d(t)}.$$

- ▶ A **weight** needs to be introduced for each control point.
- ▶ The NURBS curve does not change if all the weights are multiplied by the same nonzero constant.
- ▶ It is the central projection of a B-spline curve in one more dimension.
- ▶ Most algorithms for B-spline curves extend to NURBS curves (similarly to the Bézier case).