

Clinical outcome of a no. 2 suture (Dynacord): Supplementary analysis report

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1 Introduction

This analysis links to the [manuscript](#) of the Dynacord (Depuy-Mitek, USA) product as one of two companion publications assessing new-to-market hardware. The dataset is derived from the PRULO registry snapshot and live tables. A protocol has been previously prepared for the registry (Scholes et al. 2023).

1.1 Reporting

The study was reported according to the RECORD guidelines (Benchimol et al. 2015) and companion checklist.

The analysis was conducted in RStudio IDE (RStudio 2024.12.0+467 “Kousa Dogwood” Release) using *Rbase*, *quarto* and attached packages to perform the following;

- Data import and preparation
- Sample selection
- Describe and address missingness
- Data manipulation, modelling and visualisation of;
 - Patient characteristics
 - Pathology characteristics (diagnosis)
 - Management and surgical technique
 - Treatment and repair survival
 - Adverse events and complications
 - Patient reported outcomes

- Publish to posit connect for dissemination

1.2 Preparation

Packages were loaded initially with *pacman* package. Citations were applied to each library at first use in the text.

Table 1: Summary of package usage and citations

| Package | Version | Citation |
|-----------------|---------|---|
| base | 4.4.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-1 |
| broom | 1.0.10 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-2 |
| broom.helpers | 1.22.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-3 |
| broom.mixed | 0.2.9.6 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-4 |
| cardx | 0.3.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-5 |
| concord | 1.2.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-6 |
| dplyr | 1.1.4 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-7 |
| epoxy | 1.0.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-8 |
| flextable | 0.9.10 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-9 |
| forcats | 1.0.1 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-10 |
| gargle | 1.6.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-11 |
| ggdist | 3.3.3 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-12; 85b77c8a-261c-4f58-9b04-f21c67e0a758-13 |
| ggfortify | 0.4.19 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-14; 85b77c8a-261c-4f58-9b04-f21c67e0a758-15 |
| ggplot2 | 4.0.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-16 |
| ggsurvfit | 1.2.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-17 |
| googledrive | 2.1.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-18 |
| googlesheets4 | 1.1.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-19 |
| grid | 4.4.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-20 |
| gt | 1.1.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-21 |
| gtsummary | 2.4.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-22 |
| knitr | 1.50 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-23; 85b77c8a-261c-4f58-9b04-f21c67e0a758-24; 85b77c8a-261c-4f58-9b04-f21c67e0a758-25 |
| litedown | 0.7 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-26 |
| lme4 | 1.1.37 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-27 |
| lubridate | 1.9.4 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-28 |
| marginaleffects | 0.30.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-29 |
| mice | 3.18.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-30 |
| modelsummary | 2.5.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-31 |
| naniar | 1.1.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-32 |
| openxlsx2 | 1.20 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-33 |
| pacman | 0.5.1 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-34 |
| patchwork | 1.3.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-35 |

Table 1: Summary of package usage and citations

| Package | Version | Citation |
|------------|---------|---|
| quantreg | 6.1 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-36 |
| readr | 2.1.5 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-37 |
| rmarkdown | 2.30 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-38; 85b77c8a-261c-4f58-9b04-f21c67e0a758-39; 85b77c8a-261c-4f58-9b04-f21c67e0a758-40 |
| scales | 1.4.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-41 |
| stopwords | 2.3 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-42 |
| stringr | 1.5.2 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-43 |
| survival | 3.8.3 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-44; 85b77c8a-261c-4f58-9b04-f21c67e0a758-45 |
| tidycmprsk | 1.1.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-46 |
| tidymodels | 1.4.1 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-47 |
| tidyverse | 2.0.0 | 85b77c8a-261c-4f58-9b04-f21c67e0a758-49 |
| wordcloud | 2.6 | R Core Team (2024a) |

The packages drawn on to produce the following report are summarised in Table 1.

1.3 Authorisations

Access to PRULO datasets was pre-authorised using the *gargle* package and *googledrive*.

1.4 Functions for Processing

A function was generated to retrieve files using the *googledrive* package, to call on later in the analysis for processing data imports.

1.5 Analysis Aim

To describe the clinical and patient-reported outcomes, in patients presenting for surgical review of shoulder pathology and electing to undergo reconstruction or repair of soft-tissue structures with a biodegradable anchor (Healix Advance BR, Depuy-Mitek, USA), at a private, regional orthopaedic clinic between 2020 - 2024.

1.6 Analysis Hypotheses

It was hypothesised that i) a low incidence of adverse events would be observed and ii) that significant improvements in general function (QuickDASH) and pathology-specific (WORC) outcomes would be observed at up to 12months follow up.

2 Methods

2.0.1 RECORD [4] - Study Design

Subgroup analysis of a clinical registry embedded into private practice. Observational, cohort design.

2.1 Data Import and Preparation

Data was retrieved using *googlesheets4* to retrieve live database tables. Source files were specified and stored as global variables to call on in further functions.

A static registry snapshot was retrieved using the pre-specified function (see *Functions for Processing*) and formatted using *openxlsx* based on the fixed date of preparation of the snapshot (31-Mar-2024) and using *tidyverse* syntax and associated packages (*dplyr*, *lubridate*). Date columns were prepared for further analysis using *lubridate*.

Dataframes were combined into one for further analysis.

An additional export of account data was prepared and imported to the workspace using the *readr* package, as well as *tidyverse* syntax and *stringr*, to categorise text fields.

2.1.1 RECORD [5] - Setting

The PRULO registry is based in a regional private practice for upper limb orthopaedics (Scholes et al. 2023).

The registry has 2681 treatment records with the first patient enrolled 13 October 2020 and the final treatment record created 26 March 2024. The registry snapshot was extracted on 31 March 2024. Patients are followed for up to 2 years after surgery to capture treatment outcomes and patient-reported outcome measures (PROMs).

2.2 Record [6] Participants

Record [6.1] Sample selection

Identify cases receiving the suture of interest. Cases were identified by SKUs identified from the SKU database maintained as part of implant tracking within the registry. Cases were not restricted by available follow up.

Inclusion criteria;

- Case involves anchor of interest
- Case is the index procedure within the registry (first use of suture)
- Patient has not withdrawn consent for inclusion of data in the registry
- Treatment record is eligible for surgery (it has occurred)

Data manipulation (add columns and filter tables based on column values) was performed with *tidyverse* and converted to display format using *gt*.

Table 2: Summary of SKUs (Reference) used to identify cases of interest from the PRULO registry

| Size (mm) | Description | Category | Reference |
|--------------|--|-----------------|----------------|
| 4.5 | Healix Advance BR Dynacord (x2) with Needles | Anchor + Suture | 10886705029440 |
| 4.5 | Healix Advance BR Dynacord (x2) | Anchor + Suture | 10886705029402 |
| 4.5 | Healix Advance BR Dynacord (x3) | Anchor + Suture | 10886705029396 |
| 5.5 | Healix Advance BR Dynacord (x2) | Anchor + Suture | 10886705029464 |
| 5.5 | Healix Advance BR Dynacord (x3) | Anchor + Suture | 10886705029457 |
| 5.5 | Healix Advance BR Dynacord (x2) with Needles | Anchor + Suture | 10886705029471 |
| 6.5 | Healix Advance BR Dynacord (x2) | Anchor + Suture | 10886705029525 |
| 6.5 | Healix Advance BR Dynacord (x3) | Anchor + Suture | 10886705029518 |
| 6.5 | Healix Advance BR Dynacord (x2) with Needles | Anchor + Suture | 10886705029532 |
| 4.5 | Healix Advance PEEK Dynacord (x2) with Needles | Anchor + Suture | 10886705029433 |
| 4.5 | Healix Advance PEEK Dynacord (x2) | Anchor + Suture | 10886705029426 |

Table 2: Summary of SKUs (Reference) used to identify cases of interest from the PRULO registry

| Size (mm) | Description | Category | Reference |
|--------------|--|-----------------|----------------|
| 4.5 | Healix Advance PEEK Dynacord (x3) | Anchor + Suture | 10886705029419 |
| 5.5 | Healix Advance PEEK Dynacord (x2) | Anchor + Suture | 10886705029495 |
| 5.5 | Healix Advance PEEK Dynacord (x3) | Anchor + Suture | 10886705029488 |
| 5.5 | Healix Advance PEEK Dynacord (x2) with Needles | Anchor + Suture | 10886705029501 |
| 6.5 | Healix Advance PEEK Dynacord (x2) | Anchor + Suture | 10886705029556 |
| 6.5 | Healix Advance PEEK Dynacord (x3) | Anchor + Suture | 10886705029549 |
| 6.5 | Healix Advance PEEK Dynacord (x2) with Needles | Anchor + Suture | 10886705029563 |
| NA | Gryphon BR Dynacord BL | Anchor + Suture | 10886705029877 |
| NA | Gryphon BR Dynacord STR/BL | Anchor + Suture | 10886705029884 |
| NA | Gryphon P PEEK With Dynacord | Anchor + Suture | 10886705029891 |
| NA | Gryphon P PEEK DS Anchor with Dynacord | Anchor + Suture | 10886705029907 |
| NA | Dynacord #2 suture Pack Blue (with OS-6 needles) | Suture | 222065 |
| NA | Dynacord #2 suture Pack Blue (with MO-7 needles) | Suture | 222066 |
| NA | Dynacord #2 suture Pack Blue (without needles) | Suture | 222067 |
| NA | Dynacord #2 suture Pack Striped (without needles) | Suture | 222068 |
| NA | Dynacord #2 suture Pack Striped/Blue (without needles) | Suture | 222069 |
| NA | Dynacord #2 suture Pack Striped/Blue (with MO-7 needles) | Suture | 222071 |
| NA | Dynacord #2 suture Pack Striped/Blue (with OS-6 needles) | Suture | 222073 |

A dataframe was prepared to generate a flow chart of record retrieval, screening and patient follow up within the sample of interest.

The combined snapshot dataframe was filtered using the results of the STROBE flowchart dataframe and the sample of interest retrieved.

Of the 255 records in the mastersheet, 0 treatment records had withdrawn consent for data inclusion and 3 had declined to participate in PROMs.

2.2.1 Record [6.2] Algorithm validation

Record selection code was cross-checked by manual record checking within the registry snapshot for a subset (N = 10) of cases.

2.2.2 Record [6.3] Data linkage

No data linkage was utilised for this analysis.

2.3 Record [7] Variables

Table 3: Summary of variables

| Category | Variable | Comments | Citation |
|-------------------------|------------------------|---|-------------------------|
| Patient Characteristics | Insurance Status | Recode from account data to insurance status | |
| Pathology | Primary diagnosis | Free text coded using ICD-10 international | |
| | CuffRetraction | Defined as per <i>modified</i> Patte grading | (Lädermann et al. 2016) |
| | CuffCondition | Fatty infiltration as assessed by Goutallier scale | (Fuchs et al. 1999) |
| | TearPattern | Shape the tear makes within the margins of the cuff as viewed in the transverse plane | (Lädermann et al. 2016) |
| | OtherShoulderPathology | Free-text coded as present [Yes] or not [No] | |
| Management - Surgery | RepairAugment | Techniques used to augment the repair | |

| Category | Variable | Comments | Citation |
|---------------------------|----------------------|---|--------------------------------------|
| Adverse Events | CuffTension | Surgeon perceived tension to restore anatomical footprint of repair | |
| | RepairQuality | Surgeon subjective rating of the repair quality | |
| Adverse Events | Modidifed sink grade | Modification of the Sink grading of complication severity | (Felsch et al. 2021) |
| Patient-Reported Outcomes | WORC Physical Q3 | How much weakness do you experience in your shoulder? | (Kirkley, Alvarez, and Griffin 2003) |

Key variables defined as part of this analysis are summarised Table 3.

2.4 Record [8] Data sources

Data was sourced directly from the PRULO clinical registry as described in (Scholes et al. 2023). Patient and treatment information were entered into the database through the registry interface and compiled into a data cube (snapshot) every quarter. Complications and adverse events captured into an online form (QuestionPro, USA) and linked using record identifier codes.

Adverse Events

The complications tables (intraop and postop) were also processed for further analysis.

Intraoperative events were prepared to append to the Complications Table for further analysis.

Reoperations were identified and subsetted to append to the Complications Table for survival analysis.

Complication entries were written to an external file for co-author review.

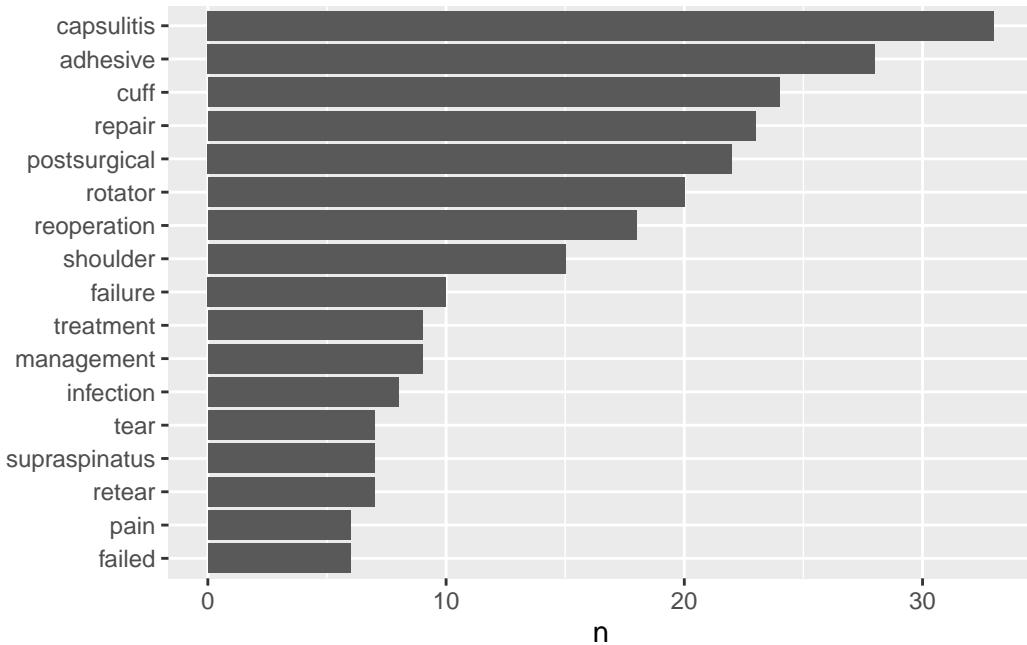
The free-text describing the nature of the complication or adverse event was pre-processed using *tidytext* (v0.4.3) (Silge and Robinson 2016) to split into word tokens and remove stop words.

Terms with less than five characters were extracted and reproduced in an external file for manual spelling of abbreviations. Terms with digits (e.g. L5) were removed.

The abbreviated terms with expanded definitions were read back into the workspace for replacement in the complication descriptions.

The terms were replaced and added to the dataframe containing complication data.

A figure displaying term frequency was generated using *ggplot2* (v4.0.0) (Wickham 2016) and formatted for reporting using (v1.50) (**knitr?**).



A wordcloud was generated using *wordcloud* (v2.6) (Fellows 2018) to express the most common terms in the complication description free text field.

NULL

Adverse Events Grading

Complication events were graded in a separate table according to (Felsch et al. 2021) and retrieved into the workspace using *googlesheets4*.

The dataset was reshaped to a long format and the indicator columns for each adverse event type were combined into one column within the dataframe (*Category*).

The date of surgery for the index procedure was linked to each complication entry and subsequent quantitative variables such as the durations between;

- date of surgery (index procedure) and date of occurrence
- date of occurrence and date of reoperation
- date of surgery (index procedure) and date of reoperation



Figure 1

Whether the event was intraoperative or presented postoperatively was also flagged within the table.

Records with no complication recorded, as well as the final period of right-censor for each record that did not undergo removal of surgery hardware at the end of the chart review period (censored) were generated and added to the complication table to enable reorganisation into a format appropriate for the analysis selected.

Censored treatment records (with no complication recorded at all) were combined with records that were censored after one or more complication events to form the *Censored* component of the adverse events dataset.

The censored data records were integrated into the dataset, with the resultant new frame reorganised into a format appropriate for a multi-state model (see RECORD 12.5) of procedure survival after use of the suture of interest, as described in the *survival* package (v3.8.3) ([survival?](#)).

A *duration* variable was calculated to arrange the dataframe rows within each PatientID in descending order of occurrence to establish the transition patterns from one health state to the next. The start and stop times for certain events (mortality, amputation) were offset by one *week* to remove ties for recurrent events or different event types occurring on the same date for the same patient. The presence of each adverse event type were restricted to the first occurrence of each Category within a patient subsequent to an index procedure per date of occurrence.

2.5 Record [9] Bias

For a discussion of biases in the context of the clinical registry utilised for this analysis, refer to (Scholes et al. 2023). Specific to this analysis, the following considerations were noted;

Table 4: Biases in analysis of observational cohort of a clinical registry

| Bias | Definition | Source | Mitigation |
|-------------------|--|-----------------------------------|--|
| Misclassification | Treatment record labelled into incorrect cohort. PROMs package not aligned to clinical presentation | (Benchimol et al. 2015) | Clinical notes reviewed by experienced reviewer and matched to ICD10 code by definition. |
| Confounder | An variable of interest and a target outcome simultaneously influenced by a third variable | (Tennant et al. 2020) | PROMs analysis incorporated adjustment for age and sex |
| Missing data | The absence of a data value where a treatment record is eligible to have a data value collected | (Carroll, Morris, and Keogh 2020) | Multiple imputation utilised |
| Prevalent user | Follow-up starts after eligible individuals have started the treatment. The follow-up time is left-truncated | (Nguyen et al. 2021) | Eligibility and enrollment is performed prior to treatment offering for any patient or new presentation. Index procedures identified for analysis are followed prior to surgery occurring. |
| Selection | Treatments are selected based on post-treatment criteria | (Nguyen et al. 2021) | Unable to be mitigated fully - records are identified by presence of hardware code associated with suture of interest |

| Bias | Definition | Source | Mitigation |
|-------------------|---|------------------------------------|--|
| Immortal time | Individuals need to meet eligibility criteria that can only be assessed after follow-up has started | (Nguyen et al. 2021) | Patients enrolled at time of diagnosis |
| Pseudoreplication | Analyse data while ignoring dependency between observations. Inadequate model specification. | (Davies and Gray 2015; Lazic 2010) | Cluster for patient in survival (all-cause failure and retear). Utilise mixed effects linear model (<code>lme4::lmer</code>) for PROMs analysis with treatment identifier as random effect |

2.6 Record [10] Sample size

Sample size was derived from the available records of the Registry at the time of analysis.

2.7 Record [11] Quantitative variables

The anterior-posterior (AP) and mediolateral (ML) dimensions of the cuff tear were reported and multiplied to calculate tear area (mm^2). The tear was also classified according to (Rashid et al. 2017).

- **Small** tears were defined as full-thickness defects in the supraspinatus tendon under 1 cm in the anterior–posterior (AP) dimension.
- **Medium** tears were defined as full-thickness defects in the supraspinatus tendon only, greater than 1 cm and less than 3 cm in the AP dimension.
- **Large** tears involved full-thickness defects of both the supraspinatus and infraspinatus tendons, greater than 3 cm, and less than 5 cm in the AP dimension.
- **Massive** tears involved all 3 tendons (supraspinatus, infraspinatus, and subscapularis) and were greater than 5 cm in the AP dimension.

Partial tears were left labelled as *partial*. Ultimately recoded tear classification based on AP tear length, as the involvement of other tendons for tears of small length was not adequately defined in the original paper.

Data was read in from database table to determine account type.

Procedure details were extracted from the master table and processed to enable presentations in summary tables.

Tables were rearranged with *tidyverse* to prepare patient-reported outcomes (PROMs) for analysis in the *long* format. Separate dataframes were created for the QuickDASH and the WORC, as the QuickDASH was collected at 3months and the WORC was not.

Tables were modified to track anchor usage.

2.8 Record [12] Statistical methods

A number of analytical techniques were employed to i) clean the data inputs as well as ii) evaluate missingness in the dataset and iii) complete the descriptive analysis of;

- Patient characteristics
- Pathology details
- Patient, implant and adverse event time to event
- Patient-reported outcomes

2.8.1 Record [12.1] Access to population

The registry system represents all cases presenting to the rooms of a surgical group within Geelong, Australia using the implant of interest from the inception of the clinical registry to the analysis date. All reviewed charts from the operating surgeons practice records (electronic medical record) were entered into database and the present analysis draws data from a regular compilation of the registry records (snapshot) produced quarterly by the registry administration team.

2.8.2 Record [12.2] Data cleaning methods

Complication descriptions were pre-processed to remove relational terms (stopwords) and expand abbreviations to improve clarity.

Dates of events (preceding and subsequent surgical records; adverse events including mortality) relative to index surgery date were assessed using coded checks to flag anomalies and were resolved by further manual review to resolve inconsistencies or discrepancies with the chart review input data stored in the registry database.

Diagnosis and complication description free text fields were pre-processed to remove relational terms (stopwords) and expand abbreviations to improve clarity.

The dataset used as input for the survival analysis of adverse outcomes was assessed survival analysis, with visual assessment of the transitions table to ensure procedure endstates (mortality, implant removal) did not have subsequent states and that the numbers of events and unique identifiers matched the numbers in the dataframe.

Call:

```
survival::survcheck(formula = Surv(DurationStart1, DurationStop1,
  Category) ~ 1, data = ComplicMaster, id = CombID)
```

| Unique identifiers | Observations | Transitions |
|--------------------|--------------|-------------|
| 234 | 292 | 58 |

4 observations removed due to missing

Transitions table:

| from | Capsulitis | RepairFailure | Reoperation | Infection | Neurological |
|---------------|------------|---------------|-------------|-----------|--------------|
| (s0) | 31 | 12 | 0 | 1 | 2 |
| Capsulitis | 0 | 3 | 1 | 0 | 0 |
| RepairFailure | 0 | 0 | 1 | 0 | 0 |
| Reoperation | 0 | 0 | 0 | 0 | 0 |
| Infection | 0 | 0 | 1 | 0 | 0 |
| Neurological | 0 | 0 | 0 | 0 | 0 |
| Pain - Other | 0 | 0 | 0 | 0 | 0 |

to

| from | Pain - Other (censored) |
|---------------|-------------------------|
| (s0) | 4 184 |
| Capsulitis | 1 26 |
| RepairFailure | 1 13 |
| Reoperation | 0 3 |
| Infection | 0 0 |
| Neurological | 0 2 |
| Pain - Other | 0 6 |

Number of subjects with 0, 1, ... transitions to each state:

| state | 0 | 1 | 2 |
|---------------|-----|----|---|
| Capsulitis | 203 | 31 | 0 |
| RepairFailure | 219 | 15 | 0 |
| Reoperation | 231 | 3 | 0 |
| Infection | 233 | 1 | 0 |
| Neurological | 232 | 2 | 0 |
| Pain - Other | 228 | 6 | 0 |
| (any) | 184 | 42 | 8 |

2.8.3 Record [12.3] Data linkage

Not applicable

2.8.4 Record [12.4] Missingness

Evaluation

Missingness was assessed with visualisation and table functions in the *naniar* package and compiled into figures using *patchwork*.

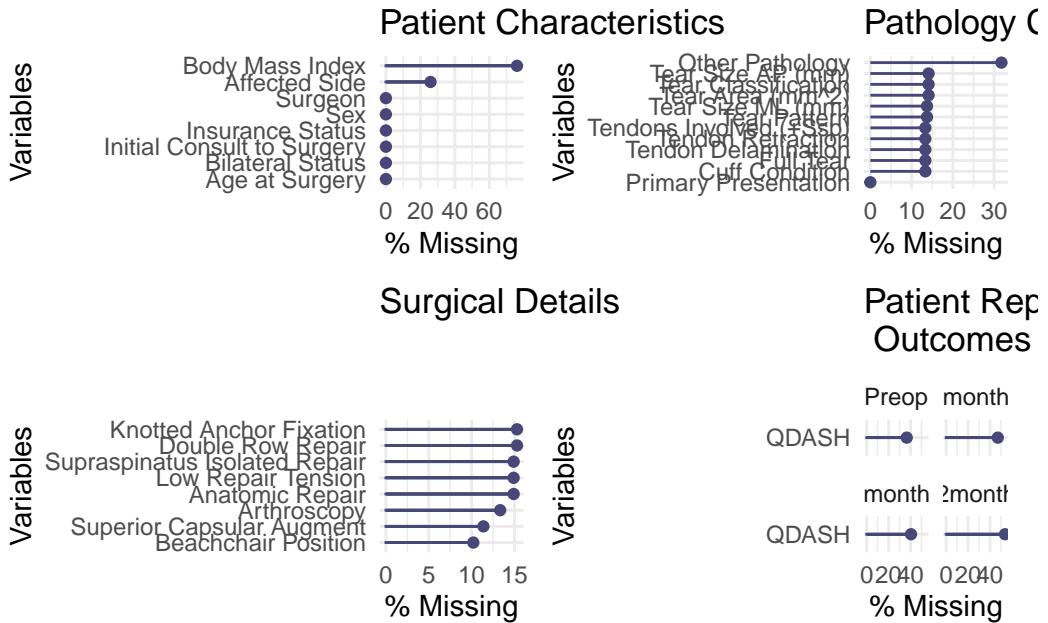


Figure 2: Missingness rates of patient, pathology, management and patient-reported outcomes

The compliance for the QuickDASH at baseline was 63.5% and for the WORCNorm it was 50.2%.

The compliance for the QuickDASH was 46.3% and for the WORCNorm it was 41.3% at 12months.

Management

The data tables were reduced to the required columns (PROMs and adjunct columns) in preparation for multiple imputation using chained equations (White, Royston, and Wood 2010) with the *mice* package. One patient with bilateral records in the sample had one field (EducationLevel_Preop) mirrored from one side record to the other, where it was missing. Character fields were converted to factors and the dataset was filtered to those cases that were eligible for 12months followup.

A row was inserted for one case that did not return an entry for the 3month timepoint. The dataframe was reordered to create a *visitsequence* for the multiple imputation function.

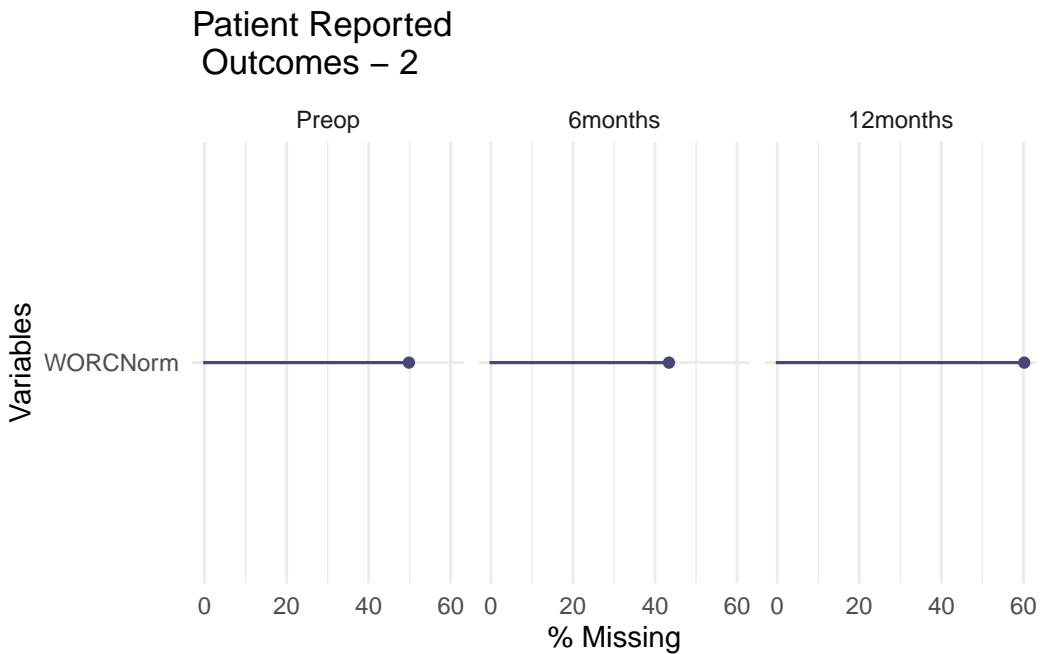


Figure 3: Missingness rates of patient, pathology, management and patient-reported outcomes

A predictor matrix was generated to specify the combination of variables to be drawn on for the imputation of each column in the dataset. In addition, a *method* matrix was created to specify varying univariate imputations to account for the multilevel nature of the dataset (van Buuren and Groothuis-Oudshoorn 2011). Patient level variables (education, sex, bilateralstatus) were imputed as level-2 variables and the PROMs columns treated as level-1 variables.

2.9 Record [12.5] Analysis

A flow chart was created with the *consort* package (v1.2.2) (Dayim 2024) to describe the inclusion and exclusion of records into the sample pool for the present analysis to be drawn from. Patient demographics, pathology characteristics and surgical details were summarised using *gtsummary* (v2.4.0) (Sjoberg et al. 2021). Alpha was set for all significance tests at 5%, with confidence intervals of 95% used to bound point estimates for central tendency and model coefficients.

2.9.1 Adverse Events

The analysis of adverse events and treatment/patient survival after arthroplasty remains a challenging endeavour, made more so by the complexities of ortho-oncology. Attempts have been made to standardize reporting of adverse outcomes after rotator cuff surgery

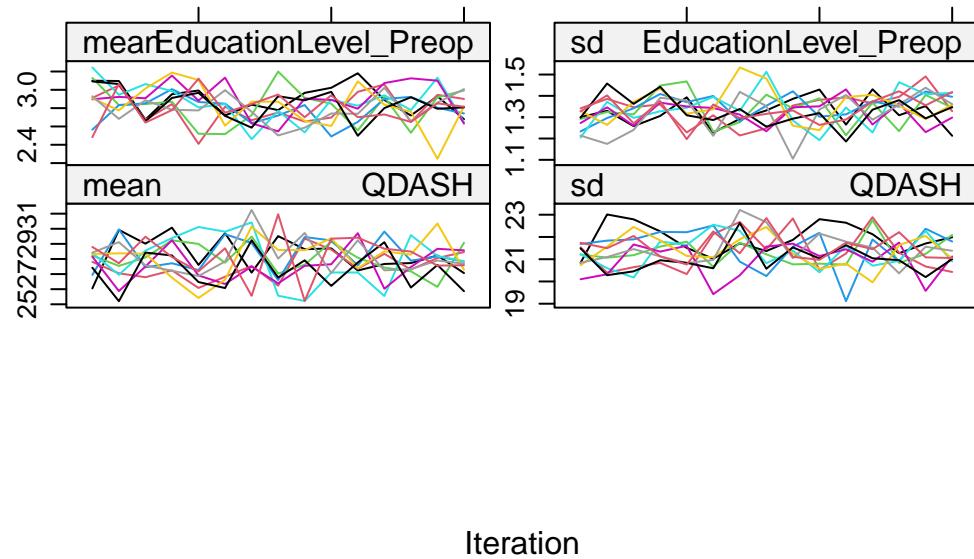


Figure 4: Stability of imputed variables over iterations for QuickDASH dataset

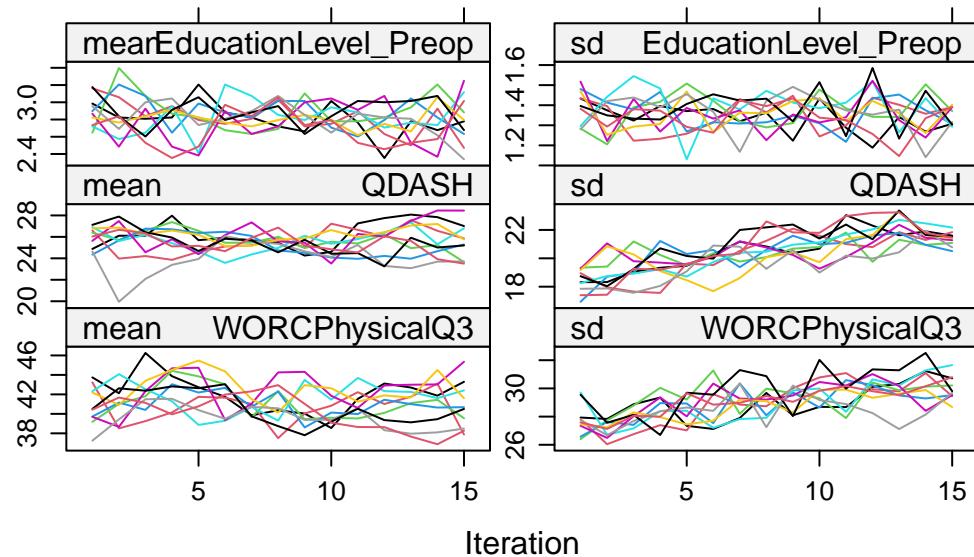


Figure 5: Stability of imputed variables over iterations for WORC dataset

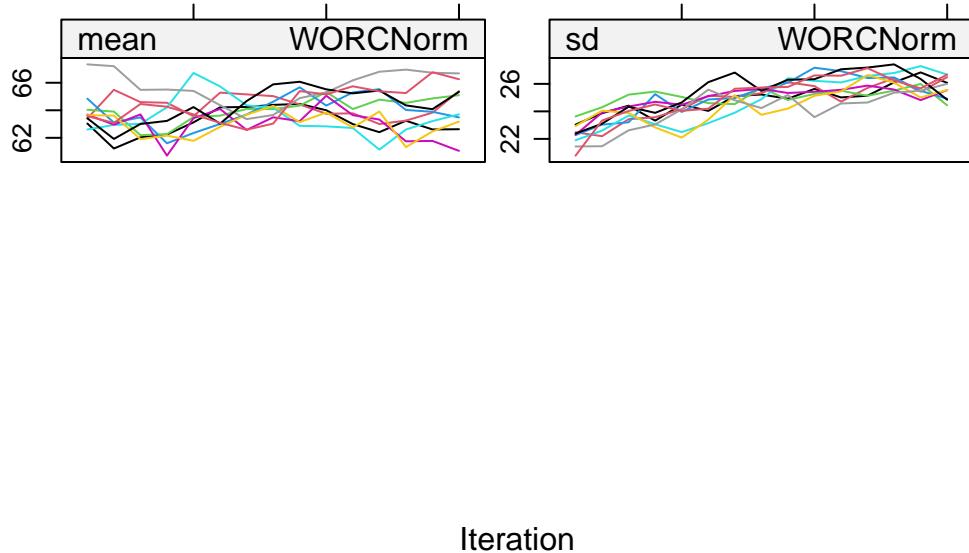


Figure 6: Stability of imputed variables over iterations for WORC dataset

[citations]. However, a key challenge of reporting incidence rates of these outcomes in a given sample is the variability in follow up from one patient to another in the same analytical sample. With variation in follow up, the uni-dimensional estimate of incidence (number with condition/total available sample) leads to considerable underestimation of the true rate, since some cases have not yet reached sufficient follow up to experience the event of interest. For this reason time-to-event (survival) analysis provides superior incidence estimates - however, there are additional aspects of the present analysis that preclude the use of traditional Kaplan-Meier analysis.

The first is that each patient can experience multiple adverse events after the index procedure (recurring events) which adds a element of dependency to the structure of the adverse event data (**thenmozhi2019?**), which is not accounted for in a KM curve. The second is that certain events (e.g. implant removal) preclude the appearance of subsequent adverse events. When these records are subsequently censored (removed from the pool available records) it can bias estimates of other events of interest upward to impossible values (**Coemans2022?**). In the present dataset, where these elements exist simultaneously, traditional (simplistic) methods can lead to analytical decisions that remove a considerable amount of information from the dataset (e.g. analysis of first occurrence of any type) or biased estimates.

To address these issues within the analysis, the *survival* and *tidycmprsk* (v1.1.0) (Sjoberg and Fei 2024) packages were utilised to deploy a multi-state survival model (see Table 2) to estimate time-varying incidences of competing events such as;

- Implant removal (competing)
- Tendon retear | Hardware breakage

- Infection
- Adhesive capsulitis
- Dislocation - Instability
- Other events

The survival model was expressed in the form;

```
CRMModelRCR <- survfit2(Surv(DurationStart1, DurationStop1, Category) ~ 1,
                           data = ComplicMaster,
                           id = CombID
                         )
```

3 Analysis Results

3.1 Record [13] Participants

The initial export from the registry returned 2681 records of all types.

3.1.1 Record [13.1] Treatment selection

A flow chart of individual treatment episodes (treatments) was generated using the *consort* package and prepared for display with the *knitr* package.

The diagram below summarises recruitment and categorisation of patients into the PRULO registry.

The table below summarises patient diagnoses in the PRULO registry.

Table 5: Summary of diagnoses by ICD-10 code

| ICD10 | n |
|--------|-----|
| M75.1 | 151 |
| S46.0 | 75 |
| S43.43 | 13 |
| S43.0 | 6 |
| M24.4 | 2 |

3.2 Record [14] Patient and record characteristics

¹Non-index procedure refers to a procedure that has a preceding treatment using the hardware of interest

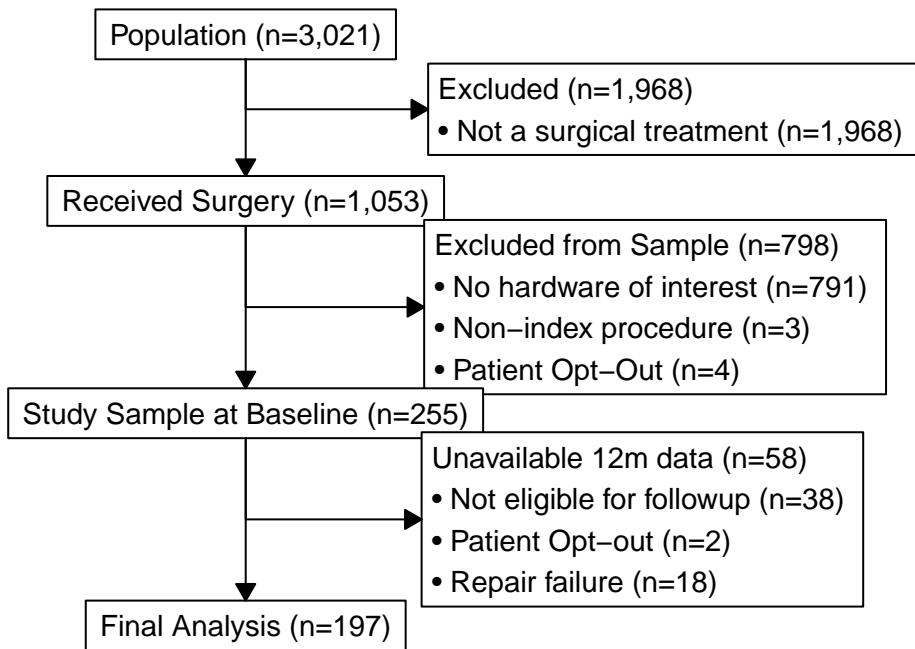


Figure 7: Flowchart of extraction and followup of sample from the Registry¹

Table 6: Summary of patient characteristics

| Characteristic | N | N = 255 | 95% CI |
|--|----------|----------------|---------------|
| Age at Surgery, Mean (SD) | 255 | 59 (11) | 57 - 60 |
| Female, % (n) | 255 | 28% (71) | 23 - 34 |
| Non-dominant, % (n) | 189 | 35% (67) | 29 - 43 |
| Bilateral, % (n) | 255 | 9.0% (23) | 5.9 - 13 |
| Exam to surgery delay (weeks), Mean (SD) | 255 | 19 (41) | 14 - 24 |
| Insurance Type, % (n) | 255 | | |
| DVA ¹ | | 1.2% (3) | 0.30 - 3.7 |
| Private | | 71% (182) | 65 - 77 |
| TAC ² | | 2.4% (6) | 0.96 - 5.3 |
| Uninsured | | 5.9% (15) | 3.4 - 9.7 |
| WorkCover | | 19% (49) | 15 - 25 |

¹DVA = Department of Veterans Affairs

²TAC = Transport Accident Commission

| Characteristic | N | N = 255 | 95% CI |
|-----------------------|----------|----------------|---------------|
|-----------------------|----------|----------------|---------------|

Abbreviation: CI = Confidence Interval

Patient characteristics for cases receiving the anchor of interest are summarised in Table 6.

3.2.1 Record [14.1] Pathology characteristics

Table 7: Summary of presenting pathology characteristics

| Characteristic | Available Sample | Summary Statistic | 95% CI |
|---|------------------|-------------------|------------|
| Primary Presentation, % (n) | 255 | 97 (247) | 94 - 99 |
| Full Tear, % (n) | 221 | 92 (204) | 88 - 95 |
| Fatty Infiltration, % (n) ¹ | 221 | | |
| 0 ¹ | | 56 (123) | 49 - 62 |
| 1 ¹ | | 30 (67) | 24 - 37 |
| 2 ¹ | | 12 (27) | 8.3 - 17 |
| 3 ¹ | | 1.8 (4) | 0.58 - 4.9 |
| Tendon Retraction, % (n) ² | 221 | | |
| I ² | | 39 (86) | 33 - 46 |
| II ² | | 38 (84) | 32 - 45 |
| III ² | | 15 (34) | 11 - 21 |
| IV ² | | 6.8 (15) | 4.0 - 11 |
| No retraction ² | | 0.9 (2) | 0.16 - 3.6 |
| Tendon Delamination, % (n) | 221 | 56 (123) | 49 - 62 |
| Tendons Involved (+Supraspinatus), % (n) | 221 | | |
| Infraspinatus | | 14 (31) | 9.9 - 19 |
| Infraspinatus; Subscapularis | | 4.1 (9) | 2.0 - 7.8 |
| Infraspinatus; Teres Minor; Subscapularis | | 0.5 (1) | 0.02 - 2.9 |
| Subscapularis | | 16 (36) | 12 - 22 |
| Subscapularis (isolated) | | 9.0 (20) | 5.8 - 14 |
| Supraspinatus (isolated) | | 56 (124) | 49 - 63 |

| Characteristic | Available Sample | Summary Statistic | 95% CI |
|---|------------------|-------------------|------------|
| Tear Size AP (mm), Mean (SD) | 219 | 23 (11) | 22 - 25 |
| Tear Size ML (mm), Mean (SD) | 220 | 21 (9) | 19 - 22 |
| Tear Area (mm ²), Mean (SD) | 219 | 547 (482) | 483 - 611 |
| Tear Classification, % (n) ³ | 219 | | |
| Large ³ | | 15 (33) | 11 - 21 |
| Massive ³ | | 1.4 (3) | 0.35 - 4.3 |
| Medium ³ | | 68 (148) | 61 - 74 |
| Partial ³ | | 7.3 (16) | 4.4 - 12 |
| Small ³ | | 8.7 (19) | 5.4 - 13 |
| Tear Pattern, % (n) | 220 | | |
| Crescent | | 46 (101) | 39 - 53 |
| L | | 21 (46) | 16 - 27 |
| Partial articular side | | 3.2 (7) | 1.4 - 6.7 |
| Partial bursal side | | 1.8 (4) | 0.58 - 4.9 |
| Reverse L | | 12 (27) | 8.4 - 18 |
| U | | 15 (33) | 11 - 21 |
| V | | 0.9 (2) | 0.16 - 3.6 |
| Other Pathology, % (n) | 174 | 30 (52) | 23 - 37 |

¹Fuchs et al 1999

²Modified Patte Grading (Lädermann et al., 2016)

³(Rashid et al., 2017)

Abbreviation: CI = Confidence Interval

Pathology characteristics for cases receiving the anchor of interest are summarised in Table 7.

3.2.2 Record [14.2] Management summary

Table 8: Summary of management and surgical details

| Characteristic | **Available Sample** | **Summary Statistic** | 95% CI |
|--|-----------------------------|------------------------------|---------------|
| Arthroscopy, % (n) | 221 | 87 (193) | 82 - 91 |
| Beachchair Position, % (n) | 229 | 86 (197) | 81 - 90 |
| Supraspinatus (isolated) Repair, % (n) | 217 | 59 (128) | 52 - 66 |
| Double Row Repair, % (n) | 216 | 92 (198) | 87 - 95 |
| Knotted Anchor Fixation, % (n) | 216 | 63 (136) | 56 - 69 |
| Superior Capsular Augment, % (n) | 226 | 4.0 (9) | 2.0 - 7.7 |
| Low Repair Tension, % (n) | 217 | 80 (174) | 74 - 85 |
| Anatomic Repair, % (n) | 217 | 90 (196) | 85 - 94 |

Abbreviation: CI = Confidence Interval

Details of surgical management are summarised in Table 8.

3.2.3 Record [14.3] Follow up

Table 9: Summary of case follow up (weeks) at the time of analysis

| Characteristic | Overall N = 255¹ | Failed N = 18¹ | No further followup N = 2¹ | Ongoing N = 235¹ |
|-----------------------|--|--------------------------------------|--|--|
| TreatDuration | 94 (50) | 25 (14) | 43 (32) | 100 (47) |

¹Mean (SD)

The followup of the cohort is summarised in Table 9.

The follow up varied by the type of adverse event observed - as shown below in the Figure.

3.3 Record [15] Outcomes

3.3.1 Record [15.3] Adverse events and complications

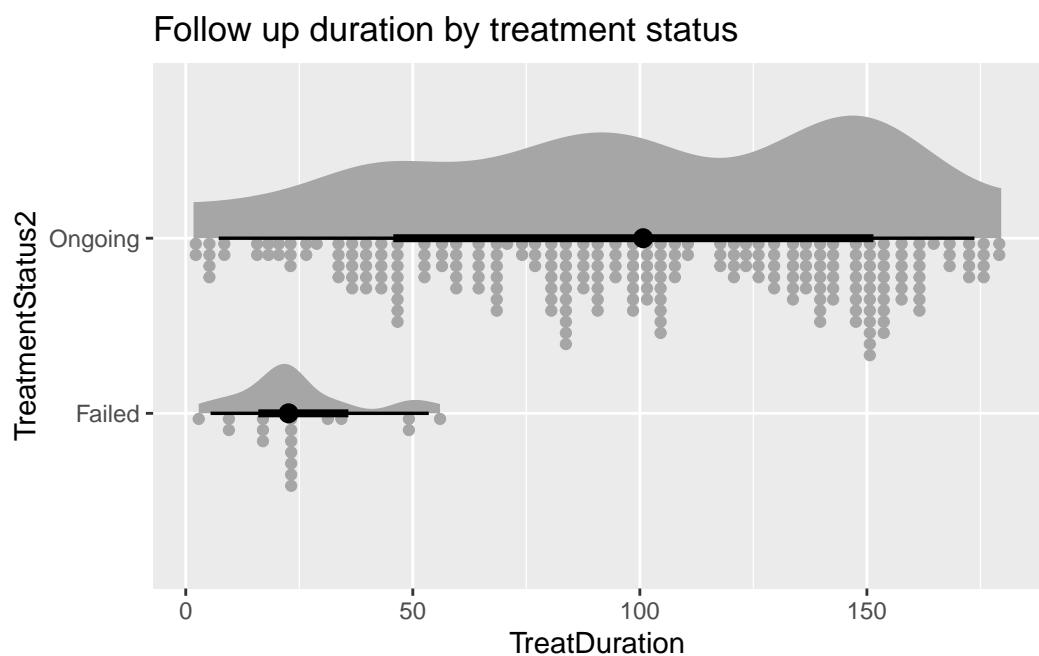


Figure 8: Summary of follow up duration for the included sample.

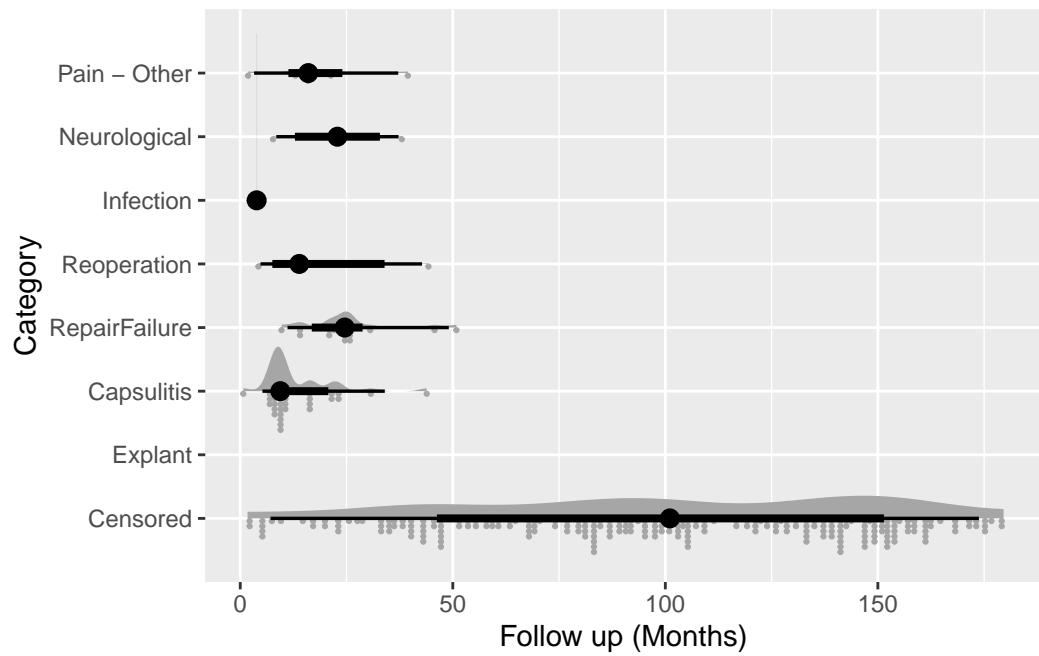


Figure 9

Table 10

| Characteristic | N = 255 | 95% CI |
|-----------------------|----------------|---------------|
| Infection, n (%) | 6 (2.4) | 0.96 - 5.3 |
| RepairFailure, n (%) | 30 (12) | 8.2 - 17 |
| ImplantRemoval, n (%) | 6 (2.4) | 0.96 - 5.3 |
| Capsulitis, n (%) | 34 (13) | 9.5 - 18 |
| Loosening, n (%) | 1 (0.4) | 0.02 - 2.5 |
| Neurological, n (%) | 2 (0.8) | 0.14 - 3.1 |
| Thrombosis, n (%) | 1 (0.4) | 0.02 - 2.5 |
| Reoperation, n (%) | 15 (5.9) | 3.4 - 9.7 |
| PainOther, n (%) | 6 (2.4) | 0.96 - 5.3 |

Abbreviation: CI = Confidence Interval

The cohort displayed retear or failure to heal of N = 30 (12)% with (95%CI 8.2 - 17)%, as well as infection (N = 6 (2.4)%, 95%CI 0.96 - 5.3%), implant removal (N = 6 (2.4)%, 95%CI 0.96 - 5.3%) and capsulitis (N = 34 (13)%, 95%CI 9.5 - 18%).

Table 9:

Table 11

| Characteristic | Overall N = 98¹ | 1 N = 39 | 2 N = 29 | 3 N = 26 | 4 N = 4 |
|-----------------------|---------------------------------------|---------------------|---------------------|---------------------|--------------------|
| Category_Value, n (%) | | | | | |
| Capsulitis | 38 (39) | 29 (74) | 7 (24) | 2 (7.7) | 0 (0) |
| Explant | 3 (3.1) | 3 (7.7) | 0 (0) | 0 (0) | 0 (0) |
| ImplantRemoval | 6 (6.1) | 0 (0) | 0 (0) | 6 (23) | 0 (0) |
| Infection | 10 (10) | 0 (0) | 0 (0) | 10 (38) | 0 (0) |
| Loosening | 1 (1.0) | 0 (0) | 0 (0) | 1 (3.8) | 0 (0) |
| Neurological | 2 (2.0) | 0 (0) | 1 (3.4) | 0 (0) | 1 (25) |
| Pain - Other | 7 (7.1) | 5 (13) | 1 (3.4) | 1 (3.8) | 0 (0) |
| RepairFailure | 30 (31) | 2 (5.1) | 20 (69) | 5 (19) | 3 (75) |
| Thrombosis | 1 (1.0) | 0 (0) | 0 (0) | 1 (3.8) | 0 (0) |

Table 12Summary of cumulative incidences of adverse events after rotator cuff repair with suture of interest

| | W4 | | | Wk14 | | | CumIncid |
|----------------|----------|----------|----------|----------|----------|----------|----------|
| | CumIncid | CI Lower | CI Upper | CumIncid | CI Lower | CI Upper | |
| (s0) | 98.7 | 97.3 | 100.0 | 88.6 | 84.6 | 92.8 | 79.1 |
| Explant | 0.0 | NA | NA | 0.0 | NA | NA | 0.0 |
| Capsulitis | 0.4 | 0.1 | 3.0 | 8.7 | 5.7 | 13.3 | 11.4 |
| RepairFailure | 0.0 | NA | NA | 0.5 | 0.1 | 3.3 | 5.4 |
| Reoperation | 0.0 | NA | NA | 0.9 | 0.2 | 3.5 | 0.9 |
| ImplantRemoval | 0.0 | NA | NA | 0.0 | NA | NA | 0.0 |
| Infection | 0.4 | 0.1 | 3.0 | 0.0 | NA | NA | 0.0 |
| Thrombosis | 0.0 | NA | NA | 0.0 | NA | NA | 0.0 |
| Loosening | 0.0 | NA | NA | 0.0 | NA | NA | 0.0 |
| Neurological | 0.0 | NA | NA | 0.4 | 0.1 | 3.1 | 0.9 |
| Pain - Other | 0.4 | 0.1 | 3.0 | 0.9 | 0.2 | 3.5 | 2.2 |

| Characteristic | Overall N = 98 ¹ | 1 N = 39 | 2 N = 29 | 3 N = 26 | 4 N = 4 |
|--------------------|--------------------------------|-------------|-------------|-------------|------------|
| ¹ n (%) | | | | | |

Incidence rates were altered when viewed within the context of the multistate survival model. The cumulative incidences, when expressed at set follow up times, showed early peak incidence (<12months of surgery) for infection (Table 10). Cumulative tendon retear also peaked at 20.6% by the 3 year followup.

The following figure illustrates the different incidence trajectories for adverse events within each cohort, when taking into account retear and implant removal as competing risks.

3.3.2 Record [15.4] Patient-reported outcome measures

The QuickDASH total score and WORC Normalised Index, as well as Question 3 of the Physical sub-scale of the WORC were visualised using the *ggdist* and *ggplot2* packages. Plots were arranged using the *patchwork* package.

3.4 Record [16] Main results

The imputed datasets for QDASH and WORC were modeled with a linear mixed effects model in *lme4* and summarised with *broom.mixed*. Up to a 38.7 point improvement in QuickDASH total score was observed (Table 11), as well as 47.1 and 54 point improvements

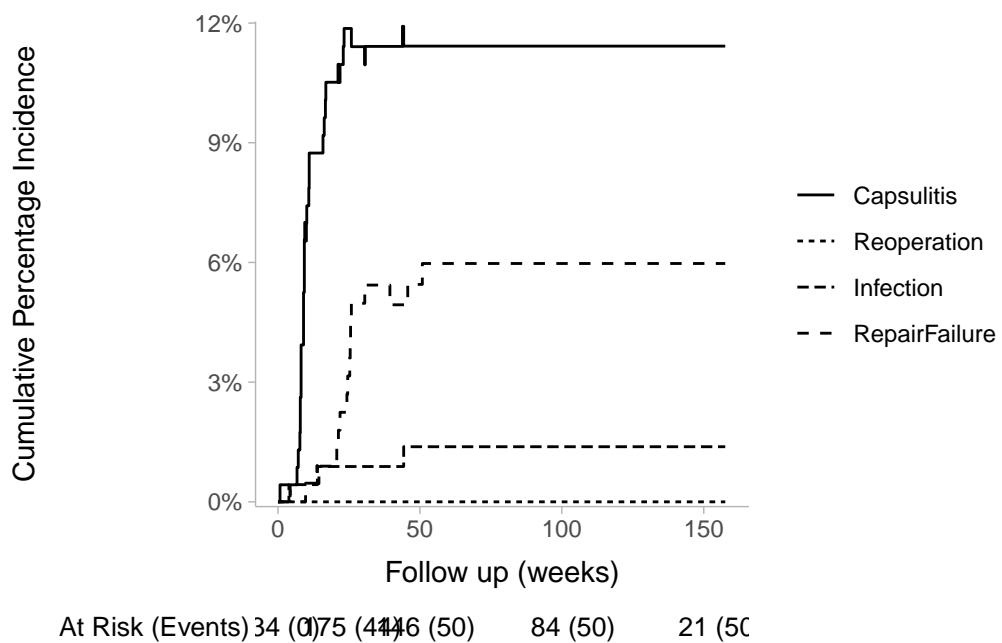


Figure 10

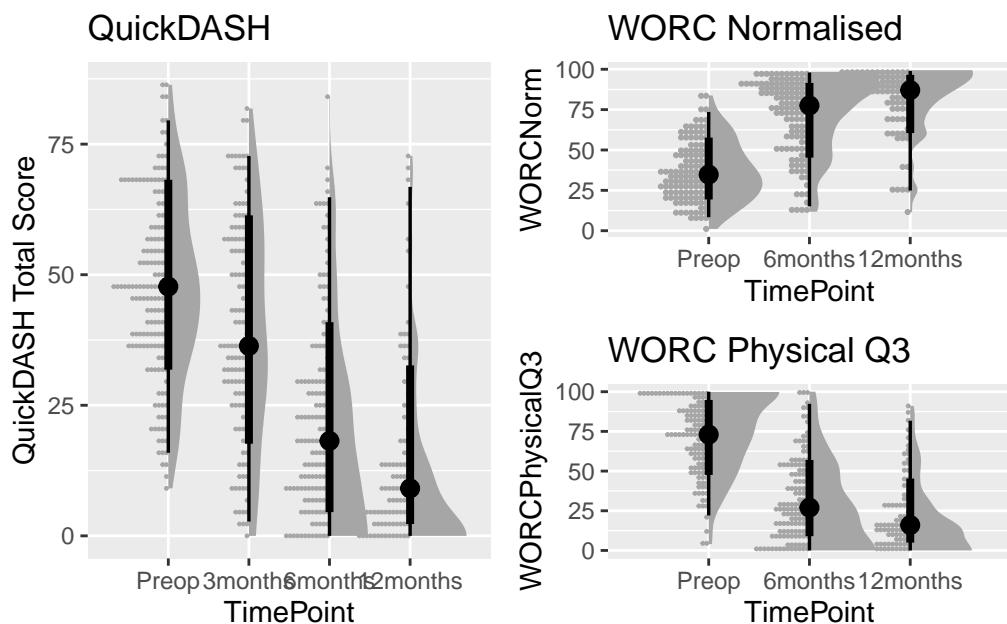


Figure 11 Patient reported outcomes (QDASH, WORC) trajectories by timepoint

in WORC Index Normalised and WORC Physical Question3 respectively (Table 12). Distributions of model-predicted results illustrated variability in recovery trajectories within all PROMs measures (Figure 7).

Table 13 Pooled linear mixed effects model for QuickDASH

| Characteristic | Beta | 95% CI | p-value |
|-----------------------|-------------|----------------|----------------|
| TimePoint | | | |
| Preop | — | — | |
| 3months | -8.29 | -12.69, -3.88 | <0.001 |
| 6months | -24.84 | -28.64, -21.04 | <0.001 |
| 12months | -30.66 | -34.44, -26.88 | <0.001 |
| Age at Surgery | 0.05 | -0.13, 0.23 | 0.578 |
| Male vs Female | -3.85 | -9.01, 1.30 | 0.139 |

Abbreviation: CI = Confidence Interval

Table 14 Summary of QuickDASH complete case analysis

| Characteristic | Preop N = 197¹ | 3months N = 195¹ | 6months N = 197¹ | 12months N = 197¹ |
|-----------------------|--------------------------------------|--|--|---|
| QuickDASH | 45 (36 - 57) | 34 (23 - 55) | 18 (9 - 30) | 9 (2 - 20) |

¹Median (Q1 - Q3)

Table 15 Pooled linear mixed effects model for WORC normalised total score and WORC Physical sub-scale Question 3

| Characteristic | Normalised Index | | | Physical Q3 | | |
|-----------------------|------------------|---------------|----------------|-------------|----------------|----------------|
| | Beta | 95% CI | p-value | Beta | 95% CI | p-value |
| TimePoint | | | | | | |
| Preop | — | — | | — | — | |
| 6months | 34.16 | 28.43, 39.89 | <0.001 | -37.18 | -43.54, -30.82 | <0.001 |
| 12months | 39.49 | 33.94, 45.05 | <0.001 | -44.68 | -54.42, -34.93 | <0.001 |
| Age at Surgery | 0.15 | -0.12, 0.41 | 0.266 | -0.20 | -0.53, 0.14 | 0.243 |
| Male vs Female | 4.25 | -2.85, 11.36 | 0.229 | -2.33 | -10.14, 5.48 | 0.545 |

| | Normalised Index | | | Physical Q3 | | |
|----------------|------------------|--------|---------|-------------|--------|---------|
| Characteristic | Beta | 95% CI | p-value | Beta | 95% CI | p-value |

Abbreviation: CI = Confidence Interval

Table 16Summary of model-predicted QuickDASH by TimePoint

| Characteristic | Preop N = 197 ¹ | 3months N = 195 ¹ | 6months N = 197 ¹ | 12months N = 197 ¹ |
|----------------|-------------------------------|---------------------------------|---------------------------------|----------------------------------|
| QuickDASH | 45 (36 - 57) | 36 (20 - 55) | 16 (9 - 30) | 9 (2 - 20) |

¹Median (Q1 - Q3)

Table 17Summary of model-predicted WORC Normalised Total Score and WORC Physical sub-scale Question 3 by TimePoint

| Characteristic | Preop N = 197 ¹ | 6months N = 197 ¹ | 12months N = 197 ¹ |
|------------------|-------------------------------|---------------------------------|----------------------------------|
| WORC Normalised | 37 (25 - 51) | 78 (59 - 89) | 85 (64 - 91) |
| WORC Physical Q3 | 73 (53 - 87) | 28 (13 - 49) | 17 (10 - 42) |

¹Median (Q1 - Q3)

3.5 Record [17] Sensitivity analyses

Based on the distribution changes in QDASH and WORC over time, a sensitivity analysis was performed on the model structure using the complete case dataset. A comparison was made between quantile regression using the *quantreg* package and an ordinary least squares linear model from *stats* and a linear mixed effects model with *lme4*. Results were tabulated using the *modelsummary* package as rq models are not supported in *gtsummary*.

Table 18Comparison of linear model types to assess QuickDASH by Timepoints.

| | RQ | LM | ME |
|-------------|-----------------|-----------------|-----------------|
| (Intercept) | 44.3 | 44.9 | 44.5 |
| | se = 6.8 | se = 5.9 | se = 7.7 |
| | [30.9, 57.7] | [33.3, 56.6] | [29.3, 59.6] |

| | RQ | LM | ME |
|--|--|--|--|
| 3months | -9.6 se = 3.3 [-16.0, -3.2] | -8.5 se = 2.3 [-13.1, -3.9] | -9.7 se = 1.8 [-13.2, -6.3] |
| 6months | -27.5 se = 2.9 [-33.1, -21.8] | -24.9 se = 2.3 [-29.4, -20.4] | -26.0 se = 1.7 [-29.4, -22.6] |
| 12months | -36.1 se = 2.4 [-40.8, -31.3] | -31.4 se = 2.4 [-36.2, -26.6] | -30.5 se = 1.8 [-34.1, -26.9] |
| AgeAtTreatment | 0.1 se = 0.1 [-0.1, 0.3] | 0.1 se = 0.1 [-0.1, 0.2] | 0.1 se = 0.1 [-0.1, 0.3] |
| Sex2Male | -4.8 se = 2.3 [-9.3, -0.2] | -3.5 se = 2.1 [-7.6, 0.6] | -3.7 se = 2.9 [-9.4, 2.0] |
| SD (Intercept Treat- mentInt) | | | 13.0 |
| SD (Ob- servations) | | | 12.5 |
| Num.Obs. | 437 | 437 | 437 |
| R2 | 0.318 | 0.336 | |
| R2 Adj. | | 0.329 | |
| R2 Marg. | | | 0.323 |
| R2 Cond. | | | 0.674 |
| AIC | 3771.2 | 3763.5 | 3665.3 |
| BIC | 3795.7 | 3792.1 | 3697.9 |
| ICC | | | 0.5 |

| | RQ | LM | ME |
|----------|-------|-----------|-------|
| Log.Lik. | | -1874.771 | |
| F | | 43.672 | |
| RMSE | 17.90 | 17.66 | 10.58 |

The comparison between models revealed an underestimate of the difference in 12month score to preoperative baseline of 5.6 points for the QuickDASH (15.5%) in the mixed effects linear model, compared to the quantile regression (50th percentile).

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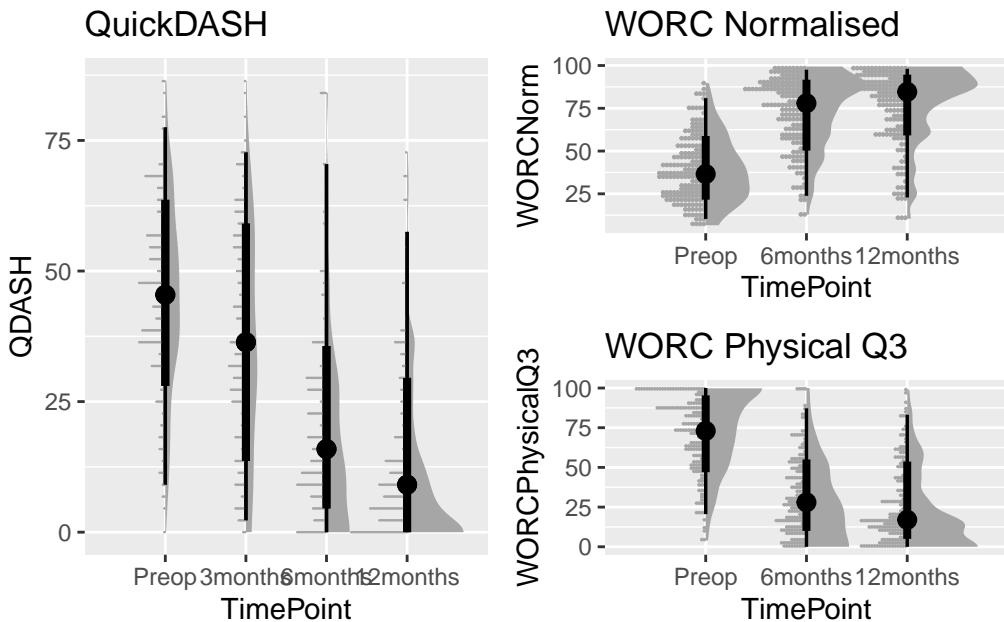


Figure 12 Model predicted PROMs (QuickDASH, WORC) trajectories across time points.

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