

# 01\_Introduction

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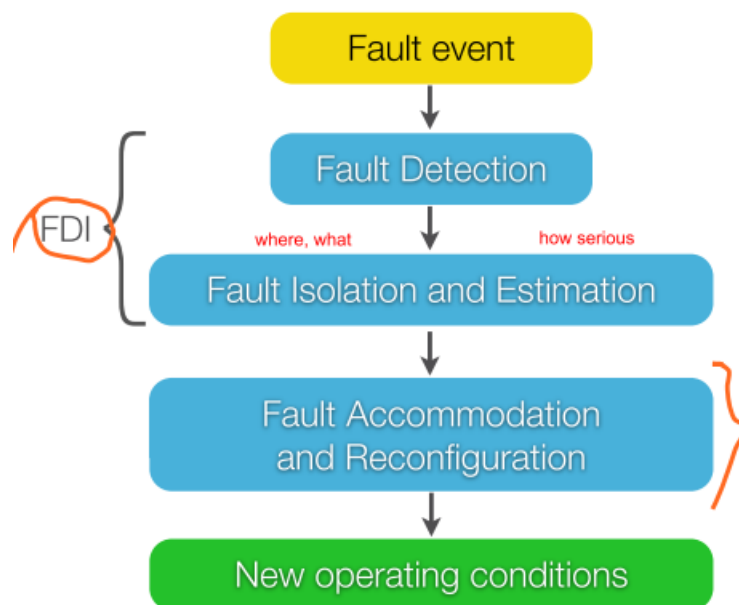
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Summary

## 1. Introduction

### Control Process with Fault Tolerance



## Redundancy

- **Hardware Redundancy:** switching or major voting logic
- **Physical Redundancy:** over-design
- **Analytical Redundancy:** model, observer ...

	Hardware	Physical	Analytical
Pro	SAME PERFORMANCE	NO OFFLINE TIME BEST PERF.	MOST EFFICIENT
Cons	COST BACKUPS CAN BE BROKEN	COST	LOSS OF PERFORMANCE 

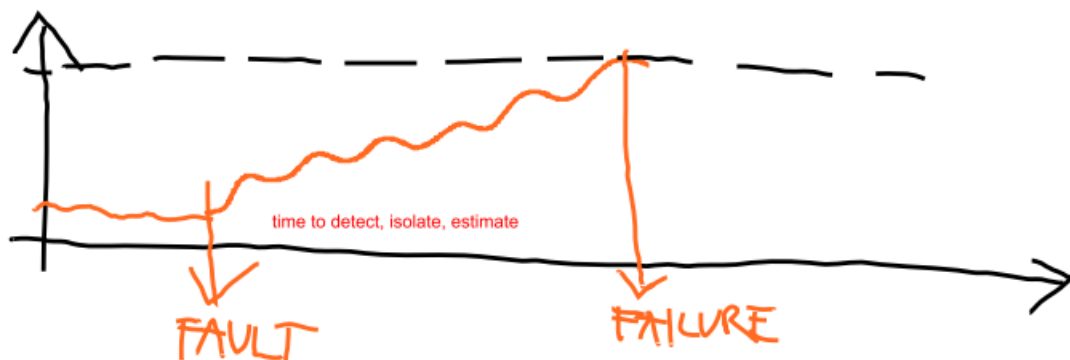
## Definitions

### Definition: Faults

A **fault** in a dynamical system is a **deviation** of the system **structure** or the system **parameters** from the **nominal** situation

### Definition: Failure:

A **failure** is a **permanent interruption** of a system's ability to **perform a required function** under specified operating conditions



### Definition: Reliability

**Ability** of a system to **perform a required function** under stated conditions, within a given scope, during a given period of time

$$MTTF = \frac{1}{\lambda}$$

- MTTF: mean time to failure
- $\lambda$ : average number of failure per unit time

#### Definition: Availability

**Probability** that a system or equipment will operate satisfactorily and effectively at any period of time

$$A = \frac{MTTF}{MTTF + MTTR}$$

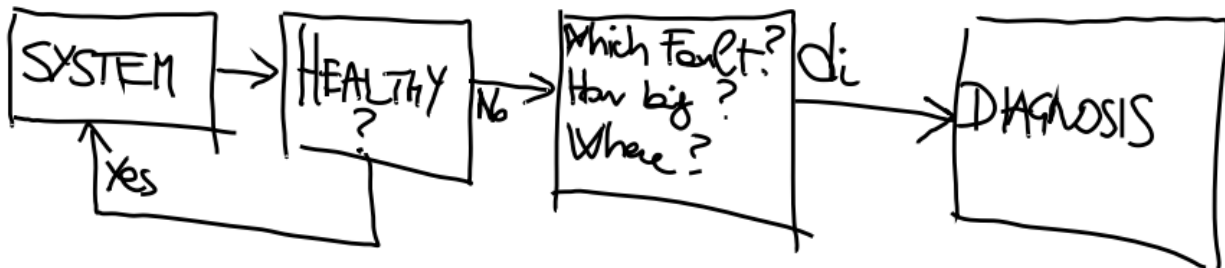
- MTTR: mean time to repair

#### Definition: Fault Detection

**Fault detection** consists in **determining the presence** of a fault in a given system at a given time

#### Definition: Fault Diagnosis

**Fault diagnosis** consists in determining the **presence, type, size and location** of a fault in a given system at a **given time**, assuming the knowledge of the possible faults affecting that given system



#### Definition: Fault Tolerance

**Fault tolerance** is defined as the **possibility** of **achieving** a given (set of) **objective(s)** in the presence of a given (set of) faults

## Models

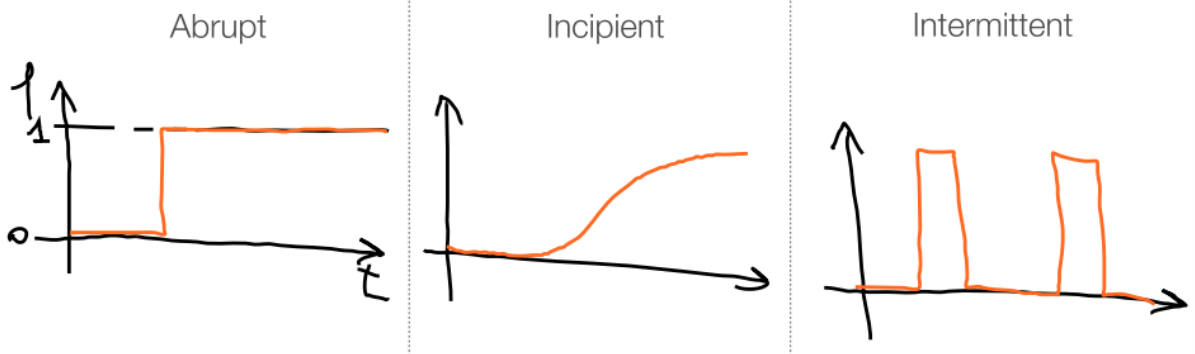
### Dynamical System Models

$$\begin{aligned}\dot{x} &= g(x, u, w, \rho) \\ y &= h(x, u, v)\end{aligned}$$

- $w, v$  are uncertainty
- $\rho$  is the fault appearance:  $\rho \in [0, 1]$

## Faults Model

- Magnitude



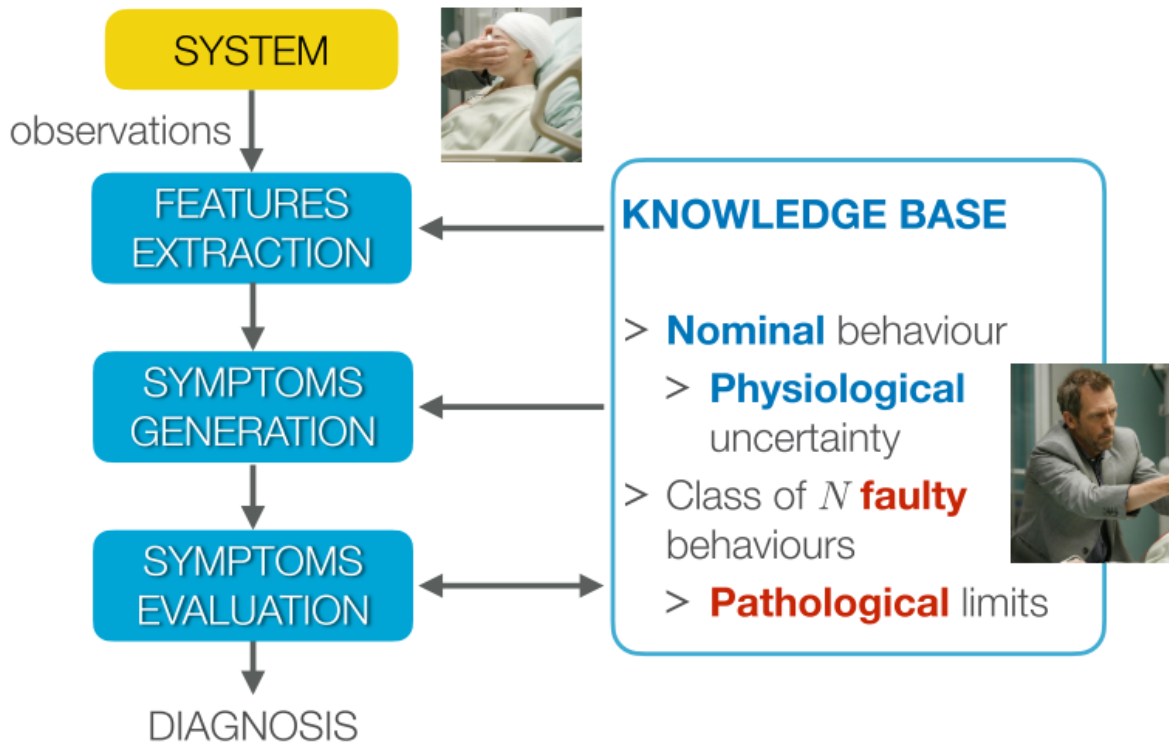
- Location

Actuator	Plant	Sensor
$\tilde{u} = u(1 + f)$	$\dot{x} = g(x, u, \dots, f)$	$\tilde{y} = y(1 + f)$

- Analytical Model

Additive	Multiplicative	General
$\tilde{y} = y + f$	$\tilde{y} = y(1 + f)$	$\tilde{y} = h(x, u, f)$

## 2. Fault Diagnosis Approaches



## Feature Extraction

### Signal-Based Method

- Raw value
- Mean, Std in a time window
- Peak values
- Fourier analysis
- Cepstrum

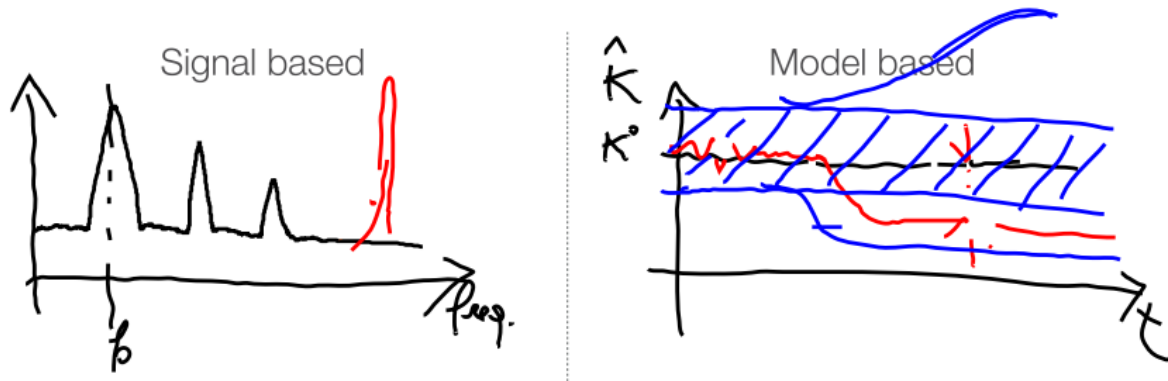
### Model-Based Method

- Estimation error of observer (compared to expected value)
- Parity space relation:  $\dot{x} = v$
- Estimate Parameter: for example, for a spring, estimate  $K$  based on  $x, \dot{x}, \ddot{x}$ , if  $K \neq K_0$ , fault

## Symptoms Generation

### Definition: Symptom

In FD, a **symptom** is a measure of the **difference** between **actual** value of **features** extracted from observations, and **nominal** ones



## Symptoms Evaluation

- **Physiological** changes shall be **ignored**, **pathological** changes should be **evaluated**
- A measure of a symptom is so compared against a known **pathological threshold**
- In general, this is a **change detection problem**

## Diagnosis

### 1. Detection

Testing the null hypothesis:

$\mathcal{H}_0$  : "Is the system behaving in a nominal way?"

### 2. Isolation

Testing  $N$  faulty hypotheses:

$\mathcal{H}_i$  : "Is the system behaving as if the  $i$ -th fault is present?"

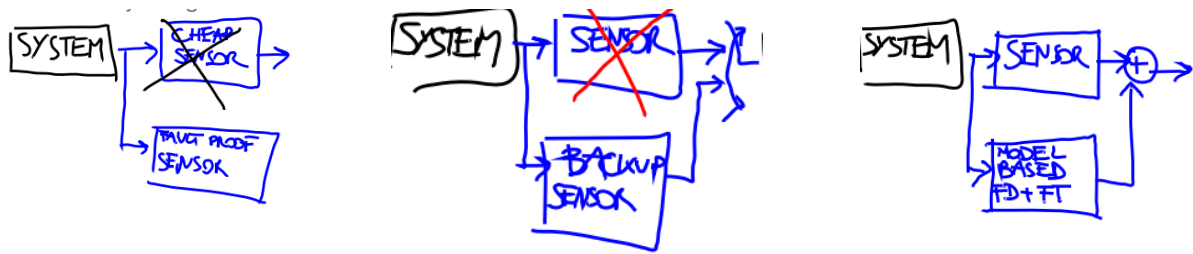
### 3. Identification/Estimation

- If  $\mathcal{H}_0$  and every but one  $\mathcal{H}_i$  are falsified: estimate parameters of  $i$ -th fault
- If  $\mathcal{H}_0$  and every  $\mathcal{H}_i$  are falsified, identify model a new fault

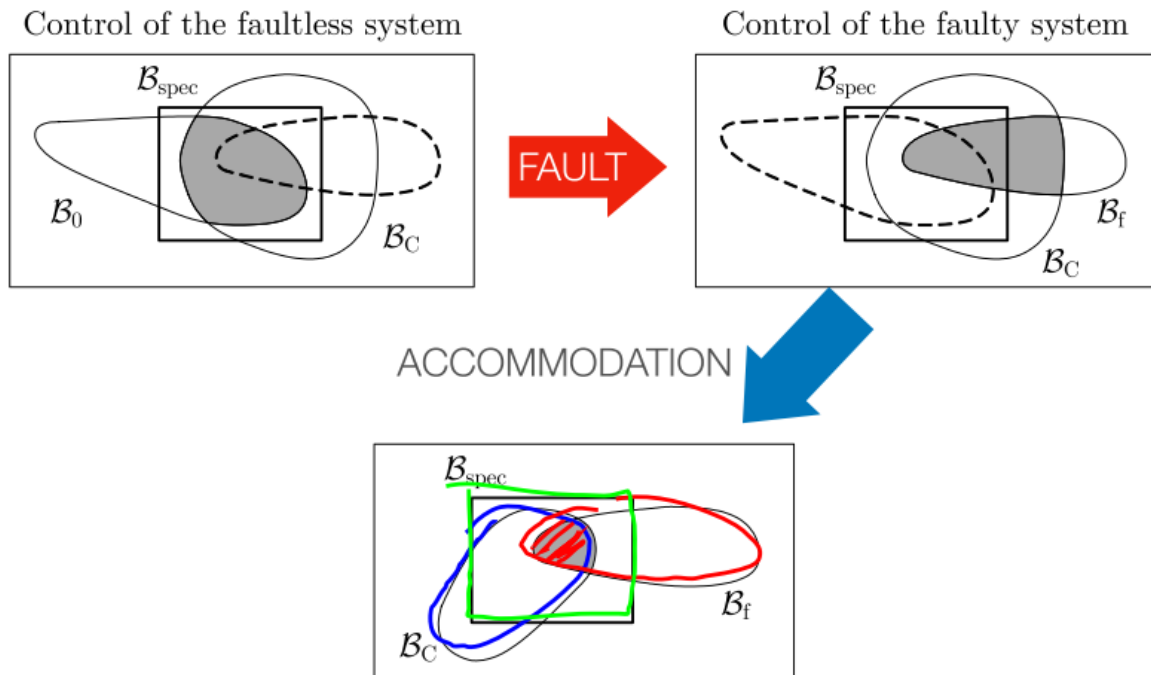
## Fault Tolerance Approaches

### Approaches

- By design
- By switching
- By adaptive



## Behavior Diagram View



Change the controller to set the behavior under fault to a normal range.

## Summary

- Process of Fault Tolerance Control: FDI+ FAR → new operating conditions
- Redundancy: hardware, physical, analytical
- Definitions: fault, failure, reliability, availability
- Models: fault model (transient, incipient, intermittent )
- Fault Diagnosis Approach
  - Feature Extraction: signal-based, model-based
  - Symptoms Generation

- Symptoms Evaluation: pathological evaluated
  - Diagnosis: Test Hypotheses
- Fault Tolerance Approaches
  - Approaches: design, switch, adaptive
  - Behavior Graph