

# 06\_02\_Active\_Fault\_Tolerant\_Control

## 1. Structure of Active FTC

### 2. One Active FTC Method: Model Matching Method

#### Pseudo-Inverse Method for Linear Systems

##### Linear Systems with State-Feedback

##### Output Feedback and Sensor Fault

##### Output Feedback and Actuator Fault

### 3. Virtual Sensors and Virtual Actuators

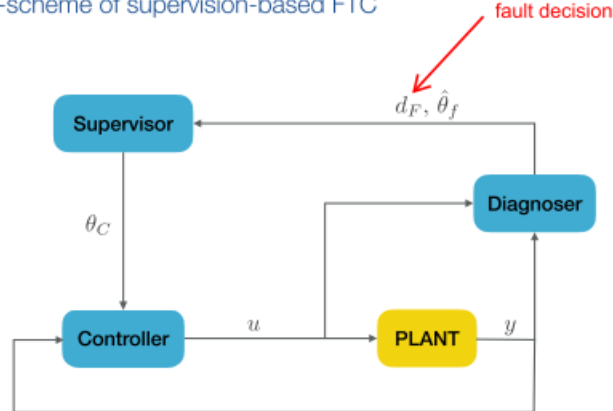
#### Virtual Sensors

#### Virtual Actuators

#### Summary

## 1. Structure of Active FTC

A block-scheme of supervision-based FTC



- **Supervision Block**

- **fault diagnosis**
- an **algorithm** to **alter the control law** based on the diagnosis
  - alteration is described by a **parameters vector**  $\theta_c$
  - $\theta_c$  can contain coefficients used by controller (**online re-design**), or can select a pre-computed controller (**control switching**)

## 2. One Active FTC Method: Model Matching Method

### Main Idea

Given a **general control law**  $u(t) = k(y(t), y_{\text{ref}}(t), \theta_c)$ , produce **new parameters** such that the accommodated faulty closed loop system and the nominal one are "the same"

### Pseudo-Inverse Method for Linear Systems

- In general (nonlinear dynamics etc.), there is **no guarantee** that the model-matching fault accommodation approach **has a solution**
- It has, for **linear systems** (if **some geometric conditions are met**)

## Linear Systems with State-Feedback

Model:

$$\begin{cases} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) \end{cases} \quad \underline{u(t) = -Kx(t)}$$

$$\Rightarrow \begin{cases} \dot{x}(t) &= (A - BK)x(t) \\ y(t) &= Cx(t). \end{cases} \quad \text{CLOSED LOOP DYNAMICS}$$

After a fault the dynamics become:

$$\begin{aligned} \dot{x}(t) &= A_f x(t) + B_f u(t) \\ y(t) &= C_f x(t) \end{aligned}$$

### Controller Design

We want to design a **new controller gain**  $K_f$  such that

$$A - BK = A_f - B_f K_f$$

- If solution exists, then just calculate
  - Solution exists when  $B$  and  $B_f$  have **same image(column space)**, i.e. there are **redundant actuators**
- If not exists, use a **degraded goal**:

$$\|(A - BK) - (A_f - B_f K_f)\|$$

$$K_f = B_f^+ (A_f - A + BK) = (B_f' B_f)^{-1} B_f' (A_f - A + BK)$$

## Output Feedback and Sensor Fault

Model

$$\begin{cases} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) \end{cases} \quad u(t) = -Ky(t)$$

$$\Rightarrow \begin{cases} \dot{x}(t) &= (A - BKC)x(t) \\ y(t) &= Cx(t). \end{cases}$$

After a fault the dynamics become:

$$\begin{aligned} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= C_f x(t) \end{aligned}$$

### Controller Design

We want to design a **new controller gain**  $K_f$  such that

$$K_f C_f = KC$$

- Condition for exact solution:

$$\text{Kern}(C_f) \subseteq \text{Kern}(C)$$

**Kern** is the orthogonal complement of the row space, means that  $C_f$  is providing at least **the same information** as  $C$

Take the control law into consideration, means, the  $u$  space we can reach is at least large as the nominal case.

Then a solution is:

$$u(t) = -KP_y(t) \quad P = CC_f^+ = CC_f' (C_f C_f')^{-1}$$

## Output Feedback and Actuator Fault

### Model

$$\begin{cases} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) \end{cases} \quad \underline{u(t) = -Ky(t)}$$

$$\Rightarrow \begin{cases} \dot{x}(t) &= (A - BKC)x(t) \\ y(t) &= Cx(t). \end{cases}$$

After an **actuator-only fault** the dynamics become

$$\begin{aligned}\dot{\mathbf{x}}(t) &= (\mathbf{A} - \mathbf{B}_f \mathbf{K} \mathbf{C}) \mathbf{x}(t) \\ \mathbf{y}(t) &= \mathbf{C} \mathbf{x}(t).\end{aligned}$$

### Controller Design

We want to design a **new controller gain**  $\mathbf{K}_f$  such that

$$\mathbf{B}_f \mathbf{K}_f = \mathbf{B} \mathbf{K}$$

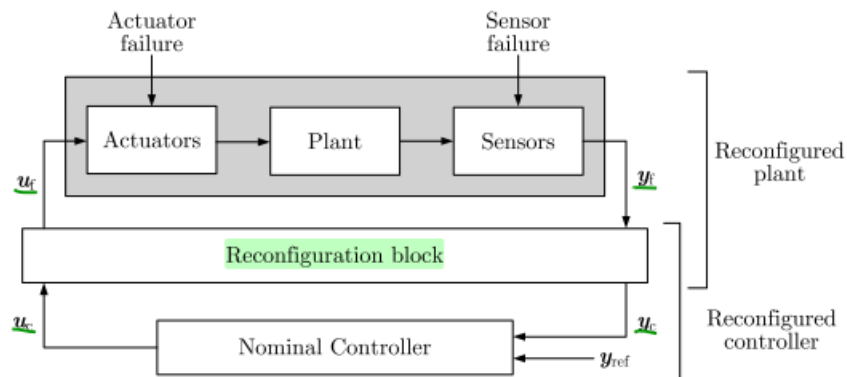
- A **exact solution** exists when:

$$\text{Im}(\mathbf{B}_f) \supseteq \text{Im}(\mathbf{B})$$

Then a solution is:

$$\mathbf{u}(t) = -\mathbf{N} \mathbf{K} \mathbf{y}(t) \quad \mathbf{N} = \mathbf{B}_f^+ \mathbf{B} = (\mathbf{B}_f' \mathbf{B}_f)^{-1} \mathbf{B}_f' \mathbf{B}$$

## 3. Virtual Sensors and Virtual Actuators



### Virtual Sensors

#### Motivation

- Model Matching Limitations:
  - controller must be re-designed
  - strong geometric assumptions
- Virtual Sensors Advantages:
  - does not require controller re-design
  - has less stringent assumptions (at least **detectability and stabilisability**)

#### Model 1: (Healthy Condition)

$$\begin{cases} \dot{\mathbf{x}}(t) &= \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t) + \mathbf{E}\mathbf{d}(t), \quad \mathbf{x}(0) = \mathbf{x}_0 \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t). \\ \mathbf{u}_c(t) &= -\mathbf{K}\mathbf{y}_c(t) + \mathbf{V}\mathbf{y}_{\text{ref}}(t) \end{cases}$$

The closed-loop dynamics:

$$\begin{cases} \dot{\mathbf{x}}(t) &= (\mathbf{A} - \mathbf{B}\mathbf{K}\mathbf{C})\mathbf{x}(t) + \mathbf{B}\mathbf{V}\mathbf{y}_{\text{ref}}(t) + \mathbf{E}\mathbf{d}(t), \quad \mathbf{x}(0) = \mathbf{x}_0 \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t). \end{cases}$$

### Model 2: Fault Formulation

A fault is assumed to **completely disable a sensor**: (assume  $\mathbf{C}_f$  is known by us)

$$\begin{aligned} \mathbf{C} &\rightarrow \mathbf{C}_f \\ \mathbf{y} &\rightarrow \mathbf{y}_f \end{aligned}$$

### Goal

- Strong Goal:

$$\mathbf{y}_f(t) = \mathbf{y}(t)$$

- Weak Goal:

- static: for constant  $\mathbf{d}$  and  $\mathbf{y}_{\text{ref}}$

$$\mathbf{y}_f(t) \rightarrow \mathbf{y}(t) \text{ for } t \rightarrow \infty$$

- dynamic: **transfer function** of reconfigured closed loop should be “**approximately the same**” as the one of the healthy system
- In practice: dominant poles and zeros the same

### Virtual Sensor Design

The virtual sensor is implemented as a Luenberger observer

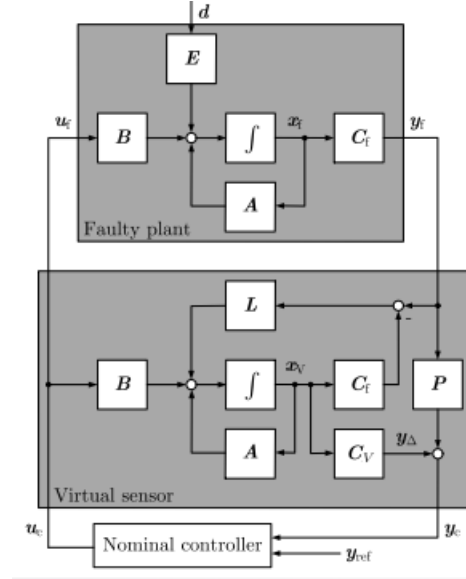
$$\begin{cases} \dot{\mathbf{x}}_V(t) &= \mathbf{A}_V\mathbf{x}_V(t) + \mathbf{B}_V\mathbf{u}_c(t) + \mathbf{L}\mathbf{y}_f(t), \quad \mathbf{x}_V(0) = \mathbf{x}_{V0} \\ \mathbf{u}_f(t) &= \mathbf{u}_c(t) \\ \mathbf{y}_c(t) &= \underbrace{\mathbf{C}_V\mathbf{x}_V(t)}_{\Delta} + \mathbf{P}\mathbf{y}_f(t) \end{cases}$$

where  $P$  and  $L$  are design parameters and

$$A_V = A - LC_f$$

$$B_V = B$$

$$C_V = C - PC_f$$



$$\begin{pmatrix} \dot{x}_f(t) \\ \dot{x}_V(t) \end{pmatrix} = \begin{pmatrix} A & O \\ LC_f & A - LC_f \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_V(t) \end{pmatrix} + \begin{pmatrix} B \\ B \end{pmatrix} u_c(t) + \begin{pmatrix} E \\ O \end{pmatrix} d(t)$$

$$y_c(t) = \begin{pmatrix} PC_f & C_V \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_V(t) \end{pmatrix}$$

introduce **observation error**  $x_\Delta = x_f - x_V$

$$\begin{pmatrix} \dot{x}_f(t) \\ \dot{x}_\Delta(t) \end{pmatrix} = \begin{pmatrix} A & O \\ O & A - LC_f \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix} + \begin{pmatrix} B \\ O \end{pmatrix} u_c(t) + \begin{pmatrix} E \\ -E \end{pmatrix} d(t)$$

$$y_c(t) = \begin{pmatrix} C & C_V \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix}$$

$$\begin{pmatrix} x_f(0) \\ x_\Delta(0) \end{pmatrix} = \begin{pmatrix} x_{f0} \\ x_{V0} - x_{f0} \end{pmatrix}.$$

Then the **closed-loop dynamics** are

$$\begin{pmatrix} \dot{x}_f(t) \\ \dot{x}_\Delta(t) \end{pmatrix} = \begin{pmatrix} A - BKC & -BKC_V \\ O & A - LC_f \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix} + \begin{pmatrix} E \\ -E \end{pmatrix} d(t) + \begin{pmatrix} BV \\ O \end{pmatrix} y_{\text{ref}}(t)$$

$$y_f(t) = \begin{pmatrix} C_f & O \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix}.$$

### Autonomous Behavior Case

Assume **autonomous behavior** ( $y_{\text{ref}} = 0$  and  $d = 0$ )

$$\begin{pmatrix} \dot{x}_f(t) \\ \dot{x}_\Delta(t) \end{pmatrix} = \begin{pmatrix} A - BKC & -BKC_V \\ O & A - LC_f \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix}$$

$$y_f(t) = \begin{pmatrix} C_f & O \end{pmatrix} \begin{pmatrix} x_f(t) \\ x_\Delta(t) \end{pmatrix}$$

$$\begin{pmatrix} x_f(0) \\ x_\Delta(0) \end{pmatrix} = \begin{pmatrix} x_{f0} \\ x_{V0} - x_{f0} \end{pmatrix}.$$

- By using **Separation principle**
  - The eigenvalues of the reconfigured closed loop are the union of
    - the eigenvalues of  $A - BKC$
    - the eigenvalues of  $A - LC_f$
  - If the healthy closed loop is stable, the first ones are stable
  - the second ones can be made stable by choosing  $L$ 
    - always possible assuming the pair  $(A, C_f)$  is **observable**

### Tracking Behavior Case

Assume  $x_{f0}$ ,  $x_{V0}$  and  $d$  are all 0

$$\begin{cases} \dot{x}_f(t) &= (A - BKC) x_f(t) + BV y_{\text{ref}}(t), & x_f(0) = O \\ y_f(t) &= C_f x_f(t), \end{cases}$$

- Identical to the nominal one (**strong goal is reached**)

## Virtual Actuators

### Model 1: Healthy Condition

$$\begin{cases} \dot{x}(t) &= Ax(t) + Bu(t) + Ed(t), \quad x(0) = x_0 \\ y(t) &= Cx(t). \\ u_c(t) &= -Ky_c(t) + Vy_{\text{ref}}(t) \end{cases}$$

The Closed-Loop Dynamics:

$$\begin{cases} \dot{x}(t) &= (A - BKC)x(t) + BVy_{\text{ref}}(t) + Ed(t), \quad x(0) = x_0 \\ y(t) &= Cx(t). \end{cases}$$

### Fault Formulation

A fault is assumed to **completely disable an actuator**

$$\begin{aligned} B &\rightarrow B_f \\ u &\rightarrow u_f \end{aligned}$$

### Virtual Actuator Design

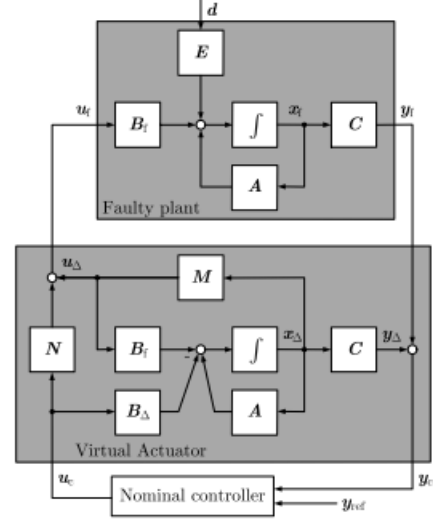
The virtual actuator is the dual of the virtual sensor: requires **controllability** in the faulty condition

$$\begin{cases} \dot{x}_\Delta(t) &= A_\Delta x_\Delta(t) + B_\Delta u_c(t), \quad x_\Delta(0) = x_{\Delta 0} \\ u_f(t) &= C_\Delta x_\Delta(t) + D_\Delta u_c(t) \\ y_c(t) &= Cx_\Delta(t) + y_f(t) \end{cases}$$

where  $M$  and  $N$  are design parameters

$$\begin{aligned} A_\Delta &= A - B_f M \\ B_\Delta &= B - B_f N \\ C_\Delta &= M \\ D_\Delta &= N. \end{aligned}$$





$$\begin{aligned}
 \begin{pmatrix} \dot{\mathbf{x}}_f(t) \\ \dot{\mathbf{x}}_\Delta(t) \end{pmatrix} &= \begin{pmatrix} \mathbf{A} & \mathbf{B}_f \mathbf{M} \\ \mathbf{O} & \mathbf{A} - \mathbf{B}_f \mathbf{M} \end{pmatrix} \begin{pmatrix} \mathbf{x}_f(t) \\ \mathbf{x}_\Delta(t) \end{pmatrix} \\
 &\quad + \begin{pmatrix} \mathbf{B}_f \mathbf{N} \\ \mathbf{B} - \mathbf{B}_f \mathbf{N} \end{pmatrix} \mathbf{u}_c(t) + \begin{pmatrix} \mathbf{E} \\ \mathbf{O} \end{pmatrix} \mathbf{d}(t) \\
 \mathbf{y}_c(t) &= \begin{pmatrix} \mathbf{C} & \mathbf{C} \end{pmatrix} \begin{pmatrix} \mathbf{x}_f(t) \\ \mathbf{x}_\Delta(t) \end{pmatrix}.
 \end{aligned}$$

Introduce a **new state**  $\hat{\mathbf{x}}(t) = \mathbf{x}_f(t) + \mathbf{x}_\Delta(t)$

$$\begin{aligned}
 \frac{d}{dt} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_\Delta(t) \end{pmatrix} &= \begin{pmatrix} \mathbf{A} & \mathbf{O} \\ \mathbf{O} & \mathbf{A} - \mathbf{B}_f \mathbf{M} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_\Delta(t) \end{pmatrix} \\
 &\quad + \begin{pmatrix} \mathbf{B} \\ \mathbf{B} - \mathbf{B}_f \mathbf{N} \end{pmatrix} \mathbf{u}_c(t) + \begin{pmatrix} \mathbf{E} \\ \mathbf{O} \end{pmatrix} \mathbf{d}(t) \\
 \mathbf{y}_c(t) &= \begin{pmatrix} \mathbf{C} & \mathbf{O} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_\Delta(t) \end{pmatrix} \\
 \begin{pmatrix} \hat{\mathbf{x}}(0) \\ \mathbf{x}_\Delta(0) \end{pmatrix} &= \begin{pmatrix} \mathbf{x}_0 + \mathbf{x}_{\Delta 0} \\ \mathbf{x}_{\Delta 0} \end{pmatrix}.
 \end{aligned}$$

- $\mathbf{x}_\Delta$  is not observable from  $\mathbf{y}_c$ , it does not influence the  $I/O$  behavior. We can rewrite the dynamics:

$$\begin{cases} \dot{\mathbf{x}}(t) &= \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}_c(t), & \mathbf{x}(0) = \mathbf{x}_0 + \mathbf{x}_{\Delta 0} \\ \mathbf{y}_c(t) &= \mathbf{C}\mathbf{x}(t). \end{cases}$$

which is the similar to the healthy system

### Autonomous Behavior

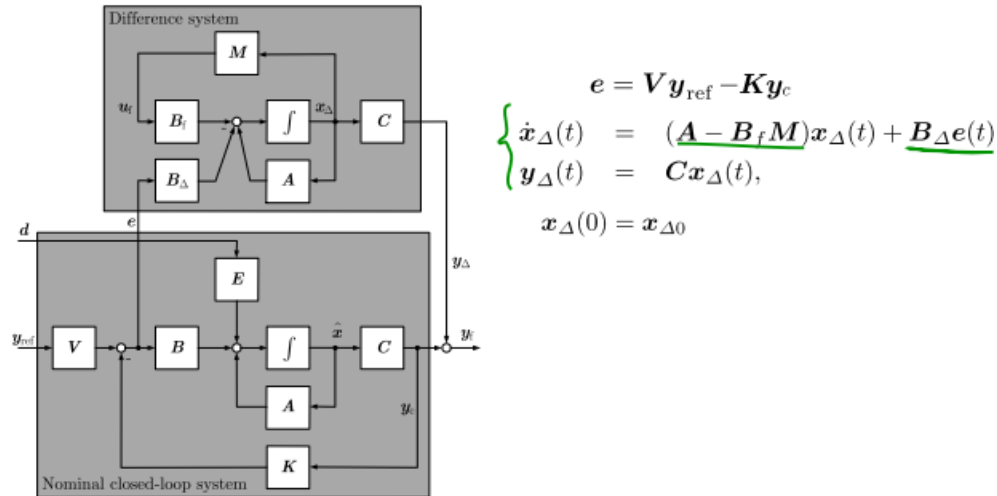
Assume **autonomous behavior** ( $y_{ref} = 0$  and  $d = 0$ )

$$\begin{aligned} \frac{d}{dt} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix} &= \begin{pmatrix} \mathbf{A} - \mathbf{B}\mathbf{K}\mathbf{C} & \mathbf{O} \\ -\mathbf{B}_{\Delta}\mathbf{K}\mathbf{C} & \mathbf{A} - \mathbf{B}_f\mathbf{M} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix} \\ \begin{pmatrix} \hat{\mathbf{x}}(0) \\ \mathbf{x}_{\Delta}(0) \end{pmatrix} &= \begin{pmatrix} \mathbf{x}_0 + \mathbf{x}_{\Delta 0} \\ \mathbf{x}_{\Delta 0} \end{pmatrix}. \end{aligned}$$

- > The **eigenvalues** of the **reconfigured closed loop** are the **union** of
  - a. the eigenvalues of  $\mathbf{A} - \mathbf{B}\mathbf{K}\mathbf{C}$
  - b. the eigenvalues of  $\mathbf{A} - \mathbf{B}_f\mathbf{M}$
- > If the **healthy closed loop** is **stable**, the **first** ones are **stable**
- > the **second** ones can be **made stable** by **choosing  $\mathbf{M}$**
- > always possible **assuming the pair  $(\mathbf{A}, \mathbf{B}_f)$  is stabilisable**

### Analysis of I/O behavior of reconfigured closed-loop system

$$\begin{aligned} \frac{d}{dt} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix} &= \begin{pmatrix} \mathbf{A} - \mathbf{B}\mathbf{K}\mathbf{C} & \mathbf{O} \\ -\mathbf{B}_{\Delta}\mathbf{K}\mathbf{C} & \mathbf{A} - \mathbf{B}_f\mathbf{M} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix} \\ &\quad + \begin{pmatrix} \mathbf{B}\mathbf{V} \\ \mathbf{B}_{\Delta}\mathbf{V} \end{pmatrix} \mathbf{y}_{ref}(t) + \begin{pmatrix} \mathbf{E} \\ \mathbf{O} \end{pmatrix} d(t) \\ \begin{pmatrix} \hat{\mathbf{x}}(0) \\ \mathbf{x}_{\Delta}(0) \end{pmatrix} &= \begin{pmatrix} \mathbf{x}_0 + \mathbf{x}_{\Delta 0} \\ \mathbf{x}_{\Delta 0} \end{pmatrix} \\ \mathbf{y}_c(t) &= (\mathbf{C} \quad \mathbf{O}) \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix} \\ \mathbf{y}_f(t) &= (\mathbf{C} \quad -\mathbf{C}) \begin{pmatrix} \hat{\mathbf{x}}(t) \\ \mathbf{x}_{\Delta}(t) \end{pmatrix}. \end{aligned}$$



- I/O behaviour from  $y_{ref}$  and  $d$  to  $y_c$  is the same as nominal system
- I/O behaviour from  $y_{ref}$  and  $d$  to  $y_f$  is affected by “difference system”
- To have complete reconfiguration, we would like to have

$$B_\Delta = B - B_f N = O$$

**Note:**

- The graph above is not a direct mapping from the virtual actuators real block graph. The mapping sequence is: VA real block graph  $\rightarrow$  state-space model  $\rightarrow$  I/O graph
- This graph is an I/O graph, that is from  $y_{ref}, d$  to  $y_f$

## Summary

- Structure of Active FTC:
  - diagnoser
  - supervisor  $\rightarrow$  choose/change controller
- Method 1: Model Matching Method
  - Need model, then calculate new  $K$  to try to get the same performance or minimize the loss
  - Different scenarios
- Method 2: Virtual Sensors and Virtual Actuators
  - Need model
  - Design using **Separation Principle**