

MODELLING AND CONTROL OF HYBRID SYSTEM

Assignment

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1 Part 1 | Hybrid System Example

1.1 Step 1.1 System Description

The thermal management system inside a personal computer can be considered a hybrid system. The thermal management system aims at reducing overall temperature and providing a tolerant noise by dynamically regulating the rotation speed of the electric fans and the Thermal Design Power Setting and Scenario Design Power (we will call it TDP&SDP) in the computer.

The thermal management system can work in several different modes, for example, muted mode, normal mode, and boosting mode. During each mode, it will have different TDP&SDP settings and rotation speed settings. Each group of TDP&SDP setting specifies the largest power the CPU and GPU can achieve. The setting of TDP&SDP is always not continuous, it will have several sub-modes, for example, low power setting, normal power setting, and high power setting (it is not a technical name, but from the power consumption each sub-modes allows we can use these simplified names). If we assume the largest power of a CPU is P , then we can model different TDP&SDP sub-model by $\alpha_i P$, which presents the maximum power a TDP&SDP setting can provide with α_i are parameters in $(0, 1]$. If we observe the electrical fans in each mode, the rotation speed always fluctuates around different fixed values and the speed changes so rapidly when modes change that we can partly regard it as an abrupt change. Figure 1 shows an example TDP&SDP table and figure 2 shows the electric fans example of a laptop.

PSU 12V2 Capability Recommendations – 10 th & 11 th gen			
Processor TDP	Continuous Current	Peak Current	Test Result
165W	37.5A	40A	
125W	26A	34A	
65W	23A	30A	
35W	13A	16.5A	

PSU 12V2 Capability Recommendations – ALD-S			
Processor TDP	Continuous Current	Peak Current	Test Result
165W	37.5A	45A	
125W	26A	39A	
65W	23A	38.5A	
35W	11A	20.5A	

Figure 1: An Example TDP&SDP Table



Figure 2: An Example Laptop Back

For example, a user can set the computer to the muted mode during a meeting to reduce the noise. In the muted mode, the rotation speed of the fans will fluctuate under 100 rpm and the TDP&SDP will be set to $0.3P$ to make sure the temperature of the CPU and GPU are acceptable. If the user then changes the mode to the normal mode, the rotation speed will rapidly change to 3500 rpm and fluctuate in the interval of $[2500, 4500]$ rpm according to the dynamic workload. In some computers, the rotation speed may also change to around 8000 rpm, if the system detects that the user is doing some heavy workload computation task under the normal mode.

A real computer may have more than 3 thermal modes and different types of computers may have different mode settings. Here we will use a simplified example to show the details of the hybrid architecture and hybrid automaton of the thermal management system of the computer.

We assume a thermal computer system with two modes: muted mode and normal mode. In the muted mode, the rotation speed will fluctuate under 300 rpm and the TDP&SDP will be set to $0.3P$. In the normal mode, the TDP&SDP will be set to $0.8P$. The rotation speed will fluctuate between $[2500, 4500]$ rpm if the current core temperature is lower than 75°C and will fluctuate between $[7000 - 9000]$ rpm if the current core temperature is higher than 75°C .

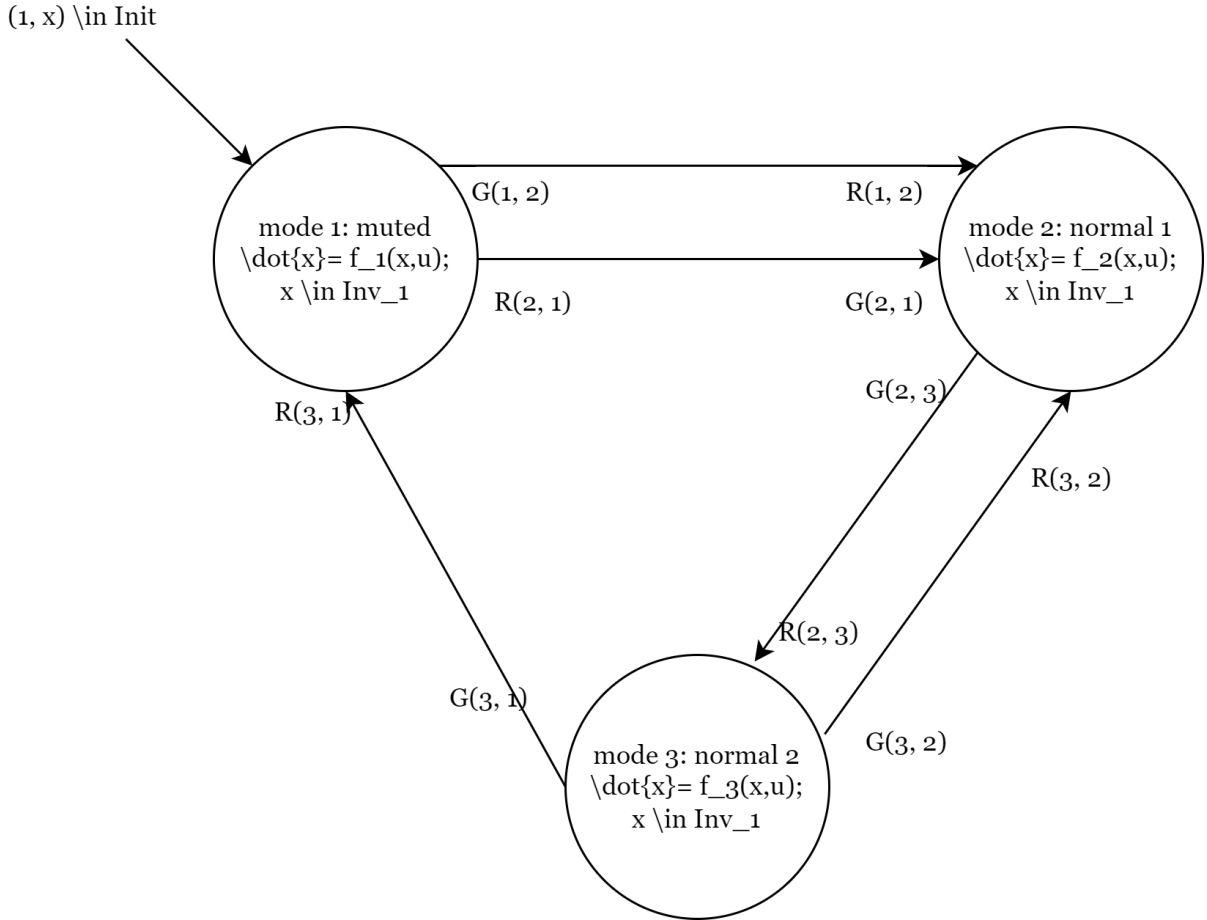
We define state variables as $x(t) = [T(t), p(t), v(t), m(t)]$, where $T(t)$ is the CPU temperature at time t , $p(t)$ is the CPU power, $v(t)$ is the fans' rotation speed and $m(t)$ is the system mode (0 for the muted mode and 1 for the normal mode). The input of the system is $u(t) = [w(t), s(t)]$, where $w(t)$ is the

user workload at time t and $s(t)$ is the user's setting of the thermal management system mode (0 for the muted mode and 1 for the normal mode). The state-space model of $m(t)$ is $m(t) = s(t)$. The function of CPU temperature, CPU power, fan's rotation speed is unknown for us (but known for the manufacturer), so we will use f to represent the functions. With different fan's rotation interval, the f will be different. In other words, system model can be presented by:

$$\dot{x}(t) = f_i(x(t), u(t)) \quad i = 1, 2, 3; \quad (1)$$

1.2 Step 1.2 Hybrid Automaton Description of the System

Based on the simplified thermal management system scenarios mentioned above, we can express the thermal management system as a hybrid automaton model as shown in Figure 3. Because it is hard to include the latex format in the figure, we use the raw latex code in the graph.



The automata has three modes 1,2,3 — 1 for model 1, the muted mode, 2 for mode 2, the normal mode, condition 1, 3 for mode 3 the normal mode, condition 2. The details of the initial states set, invariants, guard condition, reset map in the Figure are shown as follows.

$$\begin{aligned}
\text{Init} &:= (1, x_0) \quad \text{with } x_0 \in \{(T, p, v, m) \mid T < 50^\circ\text{C}, p < 0.3P, v = 0, m = 0\}; \\
\text{Inv}_1 &:= \{(T, p, v, m) \mid T < 70^\circ\text{C}, p < 0.3P, 0 \leq v \leq 300, m = 0\} \\
\text{Inv}_2 &:= \{(T, p, v, m) \mid T \leq 75^\circ\text{C}, p < 0.8P, 2500 \leq v \leq 4500, m = 1\} \\
\text{Inv}_3 &:= \{(T, p, v, m) \mid T \geq 75^\circ\text{C}, p < 0.8P, 7000 \leq v \leq 9000, m = 1\} \\
G(1,2) &:= (m = 1) \quad G(2,1) := (m = 0) \quad G(3,1) := (m = 0) \\
G(2,3) &:= (T \geq 75^\circ\text{C}) \quad G(3,2) := (T \leq 75^\circ\text{C}) \\
R(1,2) &:= (T, p, 3000, 1) \quad R(2,1) := (T, p, 0, 0) \\
R(2,3) &:= (T, p, 8000, 1) \quad R(3,2) := (T, p, 3000, 0) \\
R(3,1) &:= (T, p, 0, 0)
\end{aligned} \tag{2}$$

2 Part 2 | Adaptive Cruise Control

2.1 Step 2.1

The car will achieve the maximum speed when the the dynamic driving force is equal to the largest driving force, i.e. $F_{drive}(t) = F_{friction}(t)$. The largest driving force meets when $u(t) = u_{max}$. The analytical solution for this point is $v_{max} = \sqrt{\frac{1}{c} \frac{b}{1+\gamma g(t)} u_{max}}$. We calculated the solution for all the three possible $g(t)$ values. The result is shown in Table 1. From the table, if we assume $v < v_{12}$ ($g(t) = 1$) or $v < v_{23}$ ($g(t) = 2$), the v_{max} will violate the assumed speed limitation. The violations mean when the car start at a speed under the limitation, the car will finally exceed the limitation if it keeps the largest input u_{max} , then the gear rate assumption becomes invalid. We can specify that the max speed the car can achieve is $v_{max} = 57.7150 m/s$.

Table 1: Max Speed Calculation

	v	g	v_{max} (m/s)
1	$v < v_{12}$	1	80.1903
2	$v < v_{23}$	2	66.2472
3	$v > v_{23}$	3	57.7150

From the state-space model, the $\frac{dv(t)}{dt}$ contains two parts: $\frac{1}{m} \frac{b}{1+\gamma g(t)} u(t)$ and $-\frac{1}{m} c v^2(t)$. The first part is monotonically increase of $u(t)$ if we fix $g(t)$ and monotonically decrease of $g(t)$ if we fix $u(t)$. The second part is monotonically decrease of $v(t)$. Because the range of $u(t)$ is independent to the value of $g(t)$, the maximum value of the first part achieves when $u = u_{max} = 1.3$ and $g = g_{min} = 1$. The maximum value of the second part will achieve when $v = v_{min} = 0$. Then the maximum acceleration will be:

$$a_{acc,max} = \frac{1}{m} \frac{b}{1+\gamma \cdot 1} u_{max} - \frac{1}{m} c \cdot 0^2 = 3.2152 m/s^2 \quad (3)$$

The calculation of the maximum deceleration is more complex. Because of the piecewise affine $g(t)$, we calculated the maximum deceleration separately. The car should achieve the maximum deceleration in each region when u is u_{min} and the v reaches the maximum speed of each region — v_{12} , v_{23} and v_{max} separately. The calculation process is shown in the following equations. the maximum deceleration is $-3.3310 m/s^2$.

$$\begin{aligned} a_{dec,max,1} &= \frac{1}{m} \frac{b}{1+g(1)\gamma} u_{min} - \frac{1}{m} c v_{12}^2 = -3.3277 m/s^2; \\ a_{dec,max,2} &= \frac{1}{m} \frac{b}{1+g(2)\gamma} u_{min} - \frac{1}{m} c v_{23}^2 = -2.6443 m/s^2; \\ a_{dec,max,3} &= \frac{1}{m} \frac{b}{1+g(3)\gamma} u_{min} - \frac{1}{m} c v_{max}^2 = -3.3310 m/s^2; \end{aligned} \quad (4)$$

2.2 Step 2.2

The expression of piecewise affine (PWA) approximation $P(v)$ and true friction model $V(v)$ is shown as follow:

$$P(v) = \begin{cases} P1 = \frac{\beta(v-\alpha)}{\alpha}, & 0 < v \leq \alpha \\ P2 = \frac{(cv_{max}^2 - \beta)(v - v_{max})}{v_{max} - \alpha} + cv_{max}, & \alpha < v < v_{max} \end{cases} \quad (5)$$

$$V(v) = cv^2$$

The selection of parameter α and β in the PWA approximation model $P(v)$ would base on following objective:

$$\min \int_0^{v_{max}} (V(x) - P(v))^2 dv \quad (6)$$

We first design each expression in the MAPLE by the following commands:

```
1  p_1_val := subs(c = 0.4, vmax = 57.7150, p_1(x))
2  p_2_val := subs(c = 0.4, vmax = 57.7150, p_2(x))
3  V := x -> c*x^2
4  V_val := subs(c = 0.4, vmax = 57.7150, V(x))
5  err_1 := (p_1_val - V_val)^2
6  err_2 := (p_2_val - V_val)^2
```

The `int` function in the MAPLE software can help us derive an explicit expression of the error.

```
1  target := int(err_1, x = 0 .. alpha) + int(err_2, x = alpha .. 57.7150);
```

$$\begin{aligned} target = & 2.0492 \times 10^7 - 0.2000\beta\alpha^3 + 0.3333\beta^2\alpha - \frac{0.2000(1332.4085 - 1.0\beta)(1.1096 \times 10^7 - 1.0\alpha^4)}{57.7150 - 1.0\alpha} \\ & + 0.3333 \left(\frac{46.1720(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} - 1065.9268 + \frac{(1332.4085 - 1.0\beta)^2}{(57.7150 - 1.0\alpha)^2} \right) (1.9225 \times 10^5 - 1.0\alpha^3) \\ & + \frac{\left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right) (1332.4085 - 1.0\beta) (3331.0212 - 1.0\alpha^2)}{57.7150 - 1.0\alpha} \\ & + \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right)^2 (57.7150 - 1.0\alpha) \end{aligned} \quad (7)$$

Then we use the `diff` function in the MAPLE to generate the explicit derivative of the error at α and the β .

```
1  dtda := diff(target, alpha)
2  dtdeb := diff(target, beta)
```

$$\begin{aligned}
dtda = & -0.6000\beta\alpha^2 + 0.3333\beta^2 - \frac{0.2000(1332.4085 - 1.0\beta)(1.1096 \times 10^7 - 1.0\alpha^4)}{(57.7150 - 1.0\alpha)^2} \\
& + \frac{0.8000(1332.4085 - 1.0\beta)\alpha^3}{57.7150 - 1.0\alpha} \\
& + 0.3333 \left(\frac{46.1720(1332.4085 - 1.0\beta)}{(57.7150 - 1.0\alpha)^2} + \frac{2.0(1332.4085 - 1.0\beta)^2}{(57.7150 - 1.0\alpha)^3} \right) (1.9225 \times 10^5 - 1.0\alpha^3) \\
& - 1.0 \left(\frac{46.1720(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} - 1065.9268 + \frac{(1332.4085 - 1.0\beta)^2}{(57.7150 - 1.0\alpha)^2} \right) \alpha^2 \\
& - \frac{57.7150(1332.4085 - 1.0\beta)^2(3331.0212 - 1.0\alpha^2)}{(57.7150 - 1.0\alpha)^3} \\
& + \frac{1.0 \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right) (1332.4085 - 1.0\beta)(3331.0212 - 1.0\alpha^2)}{(57.7150 - 1.0\alpha)^2} \\
& - \frac{2.0 \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right) (1332.4085 - 1.0\beta)\alpha}{57.7150 - 1.0\alpha} \\
& - \frac{115.4300 \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right) (1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} \\
& - 1.0 \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right)^2 \\
dtdb = & -0.2000\alpha^3 + 0.6667\beta\alpha + \frac{0.2000(1.1096 \times 10^7 - 1.0\alpha^4)}{57.7150 - 1.0\alpha} \\
& + 0.3333 \left(-\frac{46.1720}{57.7150 - 1.0\alpha} - \frac{2.0(1332.4085 - 1.0\beta)}{(57.7150 - 1.0\alpha)^2} \right) (1.9225 \times 10^5 - 1.0\alpha^3) \\
& + \frac{57.7150(1332.4085 - 1.0\beta)(3331.0212 - 1.0\alpha^2)}{(57.7150 - 1.0\alpha)^2} \\
& - \frac{1.0 \left(-\frac{57.7150(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha} + 1332.4085 \right) (3331.0212 - 1.0\alpha^2)}{57.7150 - 1.0\alpha} \\
& - \frac{6662.0424(1332.4085 - 1.0\beta)}{57.7150 - 1.0\alpha}
\end{aligned} \tag{8}$$

Finally, we call solve function to find the (α, β) combinations at which both $dtda$ and $dtdb$ are all zeros. MAPLE gave us 3 solution that located inside the v_{max} as shown in the Table 2. We choose $\alpha = 28.8575, \beta = 249.8266$ because it has the smallest approximation error.

```
1 solve({dtda = 0, dtdb = 0})
```

Table 2: α, β results

	α	β	error
1	28.8575	249.8266	8.0049×10^4
2	57.7055	998.9756	1.2536×10^6
3	57.7150	1332.4085	3.4154×10^6

We can get the optimal values of parameters are $\alpha = 28.8575$ and $\beta = 249.8266$, the approximation results are shown in the figure 4.

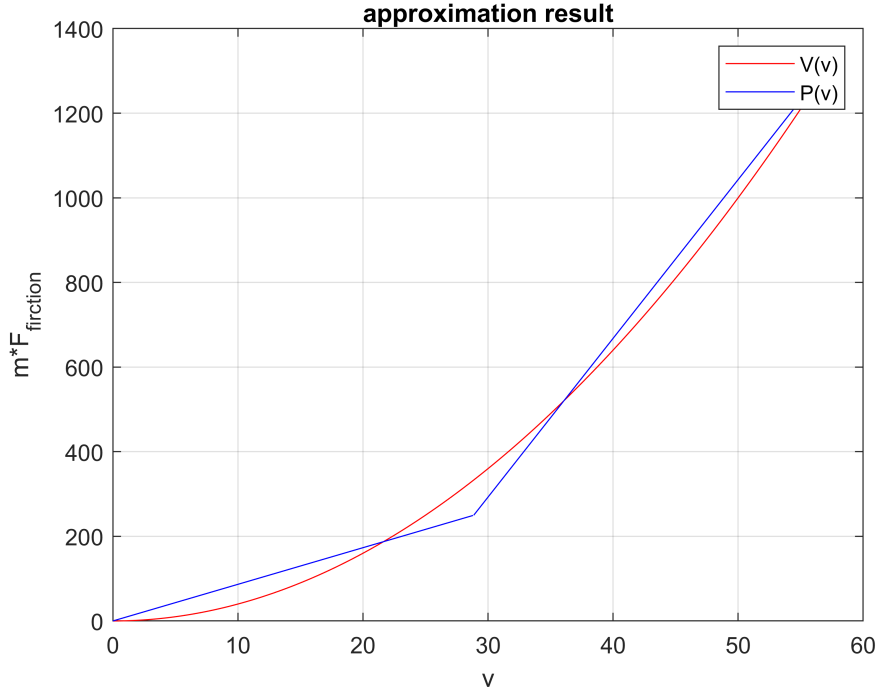


Figure 4: approximation result

2.3 Step 2.3

In this step, we assume the gear is constant, then we test different initial points — $(0, 22)$, $(0, 30)$, $(0, 37)$, $(0, 44)$, with the help of MATLAB command ode45, we can get the results, which are shown in the figure 9. The sinusoidal throttle input has a unit amplitude, the simulation time is 5 seconds.

The results show that when the approximation is close enough to the original system, around $v = 37 \text{ m/s}$ and around $v = 22 \text{ m/s}$, the error between the two models will be negligible. Figure 4 presents that around $v = 22 \text{ m/s}$ and $v = 37 \text{ m/s}$, there are intersections between the approximation line and the true line, which means the approximation error is small in the neighborhoods and will introduce a better simulation result.

For speed larger than $v = 37 \text{ m/s}$ or smaller than $v = 22 \text{ m/s}$, the approximation friction is larger than the true friction, while in other regions the approximation value is smaller. From the simulation, if we start at $v_0 = 44 \text{ m/s}$, the approximated speed is always smaller than the continuous one. If we start from $v_0 = 30 \text{ m/s}$ (at this speed, both regions of the PWA model are visited), the approximated speed is larger than the true speed.

2.4 Step 2.4

By the help of MAPLE, we can substitute each component and get the expression of state derivative:

$$\dot{v}(t) := f = \begin{cases} \frac{bu}{m(1+\gamma)} - \frac{\beta v}{m\alpha}, & 0 \leq v < v_{12} \\ \frac{bu}{m(1+2\gamma)} - \frac{\beta v}{m\alpha}, & v_{12} \leq v < \alpha \\ \frac{bu}{m(1+2\gamma)} - \frac{1}{m} \frac{(cv_{max}^2 - \beta)(v - v_{max})}{v_{max} - \alpha} + cv_{max}, & \alpha \leq v < v_{23} \\ \frac{bu}{m(1+3\gamma)} - \frac{1}{m} \frac{(cv_{max}^2 - \beta)(v - v_{max})}{v_{max} - \alpha} + cv_{max}, & v_{23} \leq v < v_{max} \end{cases} \quad (9)$$

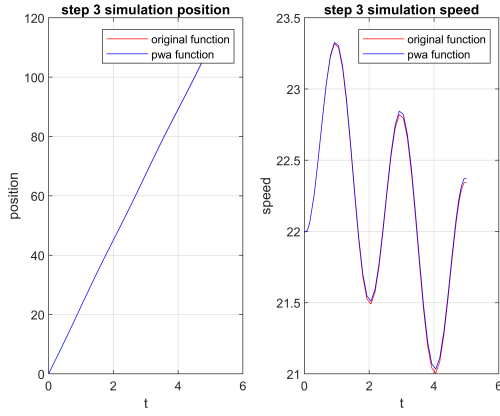
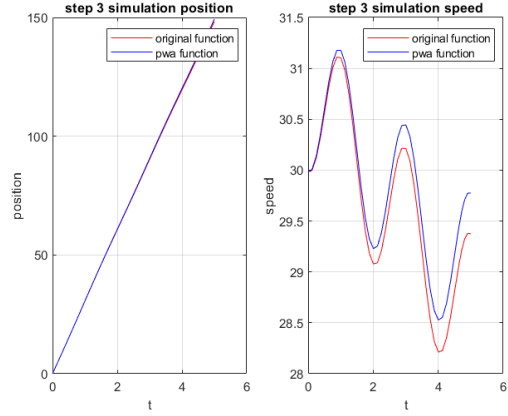
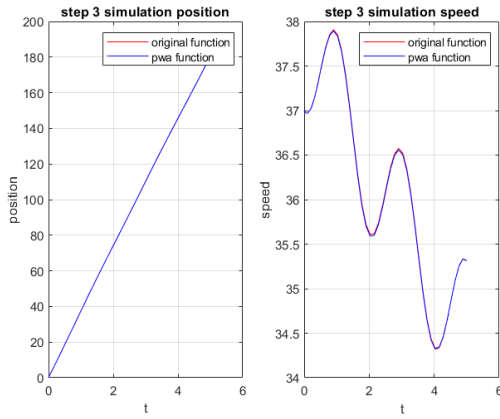
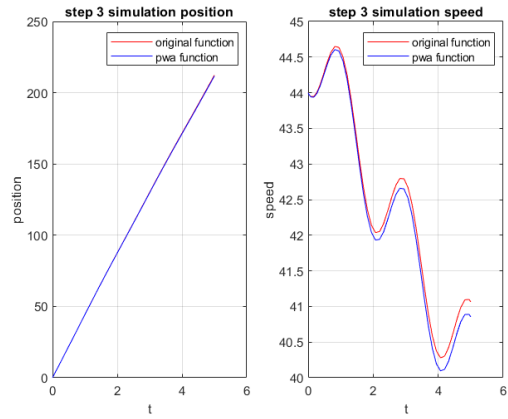

 Figure 5: Evolution of the System with $v_0 = 22$

 Figure 6: Evolution of the System with $v_0 = 30$

 Figure 7: Evolution of the System with $v_0 = 37$

 Figure 8: Evolution of the System with $v_0 = 44$

Figure 9: Evolution of the System with Constant Gear under Different Initial State

With $m = 800, c = 0.4, b = 3700, \gamma = 0.87, v_{12} = 15, v_{23} = 30, v_{max} = 57.7150, \alpha = 28.8575, \beta = 249.8266$, we can get the expression:

$$\dot{v}(t) := f = \begin{cases} 2.4733u - 0.0108v, & 0 \leq v < 15 \\ 1.6880u - 0.0108v, & 15 \leq v < 28.8575 \\ 1.6880u - 0.0469v + 1.0409, & 28.8575 \leq v < 30 \\ 1.2812u - 0.0469v + 1.0409, & 30 \leq v < 57.7150 \end{cases} \quad (10)$$

The visualization of the derivative is shown in the figure 10

2.5 Step 2.5

After we applied a forward Euler rule to the continuous time model, the discretized model is as follow:

$$v(k+1) := f = v(k) + 0.15 \times \begin{cases} 2.4733u - 0.0108v, & 0 \leq v < 15 \\ 1.6880u - 0.0108v, & 15 \leq v < 28.8575 \\ 1.6880u - 0.0469v + 1.0409, & 28.8575 \leq v < 30 \\ 1.2812u - 0.0469v + 1.0409, & 30 \leq v < 57.7150 \end{cases} \quad (11)$$

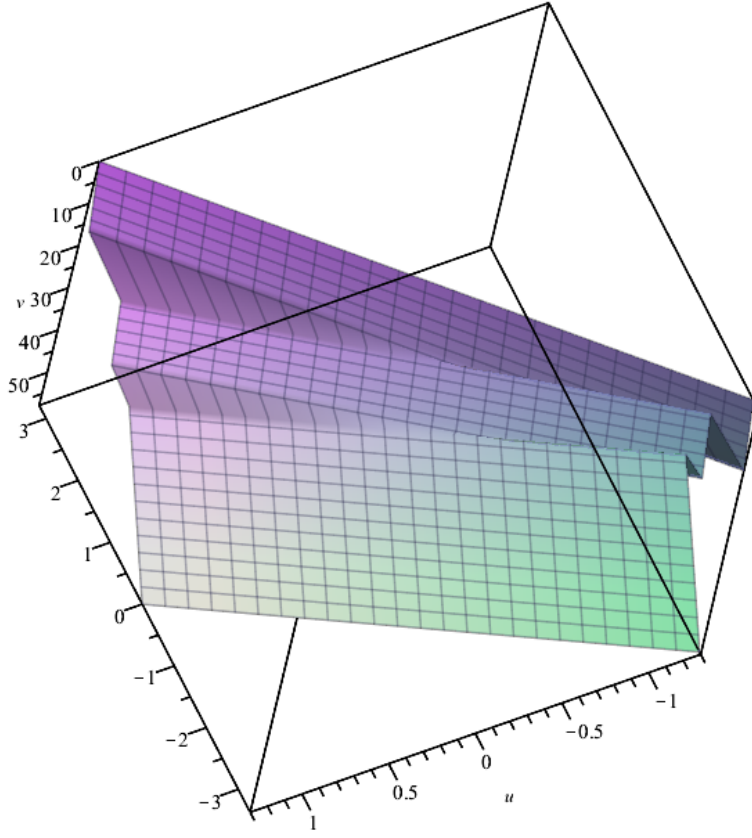


Figure 10: visualization of the derivative

2.6 Step 2.6

According to the discretized PWA model, we have four different states transition and corresponding speed condition, by adding logical variable $\delta_i \in \{0, 1\}$, $i = 1, 2, 3$, we can transfer it into an MLD model as follows.

We use the three logical variables to represent the speed regions:

$$\begin{aligned} [\delta_1(k) = 1] &\iff v(k) - 15 \leq 0 \\ [\delta_2(k) = 1] &\iff v(k) - 28.8575 \leq 0 \\ [\delta_3(k) = 1] &\iff v(k) - 30 \leq 0 \end{aligned} \tag{12}$$

We first define the boolean auxiliary variables δ_i to replace the speed condition in the states transition. The definitions are as follows, where the speed v can't be a negative number.

$$\Delta = (\delta_1, \delta_2, \delta_3) = \begin{cases} (1, 1, 1) &\Leftarrow 0 \leq v \leq 15 \\ (0, 1, 1) &\Leftarrow 15 < v \leq 28.8575 \\ (0, 0, 1) &\Leftarrow 28.8575 < v \leq 30 \\ (0, 0, 0) &\Leftarrow 30 < v \leq 57.7150 \end{cases} \tag{13}$$

Then we donate $f1, f2, f3, f4$ as four state transition under different condition:

$$\begin{aligned}
f1 &= T \times (2.4733 \times u - 0.0108 \times v) + v \\
f2 &= T \times (1.6880 \times u - 0.0108 \times v) + v \\
f3 &= T \times (1.6880 \times u - 0.0469 \times v + 1.0409) + v \\
f4 &= T \times (1.2812 \times u - 0.0469 \times v + 1.0409) + v
\end{aligned} \tag{14}$$

Then we can rewrite the system state transition expression combining with boolean auxiliary variables δ_i as below:

$$v(k+1) := f = \delta_1(f1 - f2) + \delta_2(f2 - f3) + \delta_3(f3 - f4) + f4 \tag{15}$$

The justification of rewritten expression is shown below:

$$v(k+1) := f = \begin{cases} f1, \text{ iff } (\delta_1, \delta_2, \delta_3) = (1, 1, 1) \Leftarrow 0 \leq v \leq 15 \\ f2, \text{ iff } (\delta_1, \delta_2, \delta_3) = (0, 1, 1) \Leftarrow 15 < v \leq 28.8575 \\ f3, \text{ iff } (\delta_1, \delta_2, \delta_3) = (0, 0, 1) \Leftarrow 28.8575 < v \leq 30 \\ f4, \text{ iff } (\delta_1, \delta_2, \delta_3) = (0, 0, 0) \Leftarrow 30 < v \leq 57.7150 \end{cases} \tag{16}$$

We can introduce new variable $z1$, $z2$ and $z3$ into the 15, then we can get the state transition expression:

$$v(k+1) := f = \frac{9609}{50000}u - \frac{31227}{200000}\delta_2 + \frac{198593}{200000}v + \frac{23559}{200000}z_1 + \frac{1083}{200000}z_2 + \frac{3051}{50000}z_3 + \frac{31227}{200000} \tag{17}$$

With the definition of new variable as, $z_1 = \delta_1 \times u$, $z_2 = \delta_2 \times v$, $z_3 = \delta_3 \times u$.

Then we can further convert above expression into a standard MLD model:

$$v(k+1) := f = Av(k) + B_1 u(k) + B_2 \delta(k) + B_3 z(k) + \text{const} \\ A = \frac{198593}{200000}, B_1 = \frac{9609}{50000}, B_2 = \begin{bmatrix} 0 & -\frac{31227}{200000} & 0 \end{bmatrix}, B_3 = \begin{bmatrix} \frac{23559}{200000} & \frac{1083}{200000} & \frac{3051}{50000} \end{bmatrix}, \text{const} = \frac{31227}{200000} \tag{18}$$

For the constraints, the first part is the δ variables constraints, with the speed boundary $M_v = 57.7150$, $m_v = 0$

$$\begin{aligned}
\delta_1 : & \begin{cases} (v - v_1) \leq (M_v - 15) \times (1 - \delta_1) \\ (v - v_1) \geq \epsilon + (m_v - 15 - \epsilon) \times \delta_1 \end{cases}, v_1 = 15 \\
\delta_2 : & \begin{cases} (v - v_2) \leq (M_v - 28.8575) \times (1 - \delta_2) \\ (v - v_2) \geq \epsilon + (m_v - 28.8575 - \epsilon) \times \delta_2 \end{cases}, v_2 = 28.8575 \\
\delta_3 : & \begin{cases} (v - v_3) \leq (M_v - 30) \times (1 - \delta_3) \\ (v - v_3) \geq \epsilon + (m_v - 30 - \epsilon) \times \delta_3 \end{cases}, v_3 = 30
\end{aligned} \tag{19}$$

The second part of the constraints comes from the new variable $z1$, $z2$ and $z3$, with the input boundary $M_u = 1.3$, $m_u = -1.3$:

$$z_1 : \begin{cases} z_1 \leq M_u \times \delta_1 \\ z_1 \geq m_u \times \delta_1 \\ z_1 \leq u - m_u \times (1 - \delta_1) \\ z_1 \geq u - M_u \times (1 - \delta_1) \end{cases}, z_2 : \begin{cases} z_2 \leq M_v \times \delta_2 \\ z_2 \geq m_v \times \delta_2 \\ z_2 \leq v - m_v \times (1 - \delta_2) \\ z_2 \geq v - M_v \times (1 - \delta_2) \end{cases}, z_3 : \begin{cases} z_3 \leq M_u \times \delta_3 \\ z_3 \geq m_u \times \delta_3 \\ z_3 \leq u - m_u \times (1 - \delta_3) \\ z_3 \geq u - M_u \times (1 - \delta_3) \end{cases} \quad (20)$$

We can further present constraints from δ and z to the matrix format:

$$E_1 x(k) + E_2 u(k) + E_3 \vec{\delta}(k) + E_4 \vec{z}(k) \leq g_5, \quad (21)$$

where

$$E_1 = \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \\ 1 \\ -1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ -1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, E_2 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ -1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ -1 \\ 1 \end{bmatrix}, E_3 = \begin{bmatrix} 42.7150 & 0 & 0 \\ -15.0000 & 0 & 0 \\ 0 & 28.8575 & 0 \\ 0 & -38.4767 & 0 \\ 0 & 0 & 27.7150 \\ 0 & 0 & -30.0000 \\ -1.3000 & 0 & 0 \\ -1.3000 & 0 & 0 \\ 1.3000 & 0 & 0 \\ 1.3000 & 0 & 0 \\ 0 & -57.7150 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 57.7150 & 0 \\ 0 & 0 & -1.3000 \\ 0 & 0 & -1.3000 \\ 0 & 0 & 1.3000 \\ 0 & 0 & 1.3000 \end{bmatrix}, E_4 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \\ -1 & 0 & 0 \\ 1 & 0 & 0 \\ -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & -1 \\ 0 & 0 & 1 \\ 0 & 0 & -1 \end{bmatrix} \quad (22)$$

and

$$\vec{\delta}(k) = [\delta_1(k), \delta_2(k), \delta_3(k)]^T \quad \vec{z}(k) = [z_1(k), z_2(k), z_3(k)]^T \quad (23)$$

With state transition equation 18, delta variables constraints 19 and z variables constraints 20, we can express the MLD model of the given system.

2.7 Step 2.7

Based on the model in Step 2.6, we can expand the model to the future N_p steps.

For our group, in the target function, we should use $J_{\text{track}}(k) = \|\tilde{v}(k) - \tilde{v}_{\text{ref}}(k)\|_1$ and $J_{\text{input}}(k) = \|\Delta \tilde{u}(k)\|_\infty$. So we used the method in the assignment instruction to transform the optimization target to a linear programming problem. So except for the variables related to v , u , δ and z , we need to introduce variables $\rho \geq 0$ and $\tau \geq 0$.

Using τ and ρ will transform our target function to:

$$\min \sum_{i=1}^{N_p} \rho_i + \lambda \tau \quad (24)$$

And at the same time, this transformation will introduce the following constraints:

$$\begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} + \begin{bmatrix} \rho_1 \\ \vdots \\ \rho_{N_p} \end{bmatrix} \geq \begin{bmatrix} x_{ref}(k+1) \\ \vdots \\ x_{ref}(k+N_p) \end{bmatrix} \quad \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} - \begin{bmatrix} \rho_1 \\ \vdots \\ \rho_{N_p} \end{bmatrix} \leq \begin{bmatrix} x_{ref}(k+1) \\ \vdots \\ x_{ref}(k+N_p) \end{bmatrix} \quad (25)$$

$$\begin{aligned} & \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ 1 & \ddots & \\ & \ddots & \ddots \\ 0 & & 1 & 0 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} \leq \tau \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} + \begin{bmatrix} u(k-1) \\ 0 \\ \vdots \\ 0 \end{bmatrix} \\ & \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ 1 & \ddots & \\ & \ddots & \ddots \\ 0 & & 1 & 0 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} \geq -\tau \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} + \begin{bmatrix} u(k-1) \\ 0 \\ \vdots \\ 0 \end{bmatrix} \end{aligned} \quad (26)$$

For the state-transition we can write the constraints as:

$$\begin{aligned} & \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ A_1 & \ddots & \\ & \ddots & \ddots \\ 0 & & A_1 & 0 \end{bmatrix} \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} - \begin{bmatrix} B_1 & & 0 \\ & \ddots & \\ 0 & & B_1 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} \\ & - \begin{bmatrix} 0 & & 0 \\ B_2 & \ddots & \\ & \ddots & \ddots \\ 0 & & B_2 & 0 \end{bmatrix} \begin{bmatrix} \vec{\delta}(k+1) \\ \vdots \\ \vec{\delta}(k+N_p) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ B_3 & \ddots & \\ & \ddots & \ddots \\ 0 & & B_3 & 0 \end{bmatrix} \begin{bmatrix} \vec{z}(k+1) \\ \vdots \\ \vec{z}(k+N_p) \end{bmatrix} \\ & = \begin{bmatrix} Av(k) + B_2\vec{\delta}(k) + B_3\vec{z}(k) + const \\ const \\ \vdots \\ const \end{bmatrix} \end{aligned} \quad (27)$$

The inequality part of the MLD system can be written as constraints:

$$\begin{aligned}
& \begin{bmatrix} E_1 & & 0 \\ & \ddots & \\ 0 & & E_1 \end{bmatrix} \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} + \begin{bmatrix} 0 & E_2 & 0 \\ & \ddots & \ddots \\ 0 & & 0 & E_2 \\ & & & E_2 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} + \begin{bmatrix} E_3 & & 0 \\ & \ddots & \\ 0 & & E_3 \end{bmatrix} \begin{bmatrix} \vec{\delta}(k+1) \\ \vdots \\ \vec{\delta}(k+N_p) \end{bmatrix} \\
& + \begin{bmatrix} E_4 & & 0 \\ & \ddots & \\ 0 & & E_4 \end{bmatrix} \begin{bmatrix} \vec{z}(k+1) \\ \vdots \\ \vec{z}(k+N_p) \end{bmatrix} \leq \begin{bmatrix} \vec{g}_5 \\ \vdots \\ \vec{g}_5 \end{bmatrix}
\end{aligned} \tag{28}$$

The comfortable acceleration constraints can be represented by:

$$\begin{aligned}
& \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ 1 & \ddots & \\ & \ddots & \ddots \\ 0 & & 1 & 0 \end{bmatrix} \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} \leq a_{\text{comf},\text{max}} T_s + \begin{bmatrix} v(k) \\ 0 \\ \vdots \\ 0 \end{bmatrix} \\
& \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} - \begin{bmatrix} 0 & & 0 \\ 1 & \ddots & \\ & \ddots & \ddots \\ 0 & & 1 & 0 \end{bmatrix} \begin{bmatrix} v(k+1) \\ \vdots \\ v(k+N_p) \end{bmatrix} \geq -a_{\text{comf},\text{max}} T_s + \begin{bmatrix} v(k) \\ 0 \\ \vdots \\ 0 \end{bmatrix}
\end{aligned} \tag{29}$$

Besides, we still need constraints to make $u_{N_c+1} = u_{N_c+2} = \dots = u_{N_p-1}$. This constraints can be represented as follows:

$$\begin{aligned}
& \begin{bmatrix} 0 & \dots & \dots & & 0 \\ \vdots & \ddots & & & \vdots \\ (N_C):0 & \dots & 0 & 0 & \dots & 0 \\ 0 & \dots & 0 & 1 & 0 & \dots & 0 \\ \vdots & & & \ddots & \ddots & & \vdots \\ \vdots & & & & 0 & \ddots & 0 \\ 0 & \dots & & & 0 & 1 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} \\
& - \begin{bmatrix} 0 & \dots & \dots & 0 & \dots & 0 \\ \vdots & \ddots & & \vdots & & \vdots \\ (N_C):0 & \dots & 0 & 0 & \dots & 0 \\ 0 & \dots & 0 & 1 & 0 & \dots & 0 \\ \vdots & & & \vdots & \vdots & & \vdots \\ 0 & \dots & 0 & 1 & 0 & \dots & 0 \end{bmatrix} \begin{bmatrix} u(k) \\ \vdots \\ u(k+N_p-1) \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix}
\end{aligned} \tag{30}$$

To be concluded, in this part, we generate a linear programming problem with 7 groups of inequality constraints, 2 groups of equality constraints and the constraints $\rho \geq 0, \tau \geq 0$. Besides, x, z, u, ρ, τ are real-value variables while δ are binary variables.

We defined decision variables is defined as follows and we can then easily use the `glpk()` function to solve the problem.

$$\vec{x} = [v(k+1), \dots, v(k+N_p), u(k), \dots, u(k+N_p-1), \vec{\delta}(k+1), \dots, \vec{\delta}(k+N_p), \vec{z}(k+1), \dots, \vec{z}(k+N_p), \rho_1, \dots, \rho_{N_p}, \tau] \tag{31}$$

2.8 Step 2.8

Based on the model deducted in the section 2.7, we programmed our Model Predictive Control Simulator in the `Simulator_2_8.m` file. We use the `glpk()` function to solve the MILP problem.

At the end of the simulation period, the left state reference may be shorter than N_p . We then copy the last element in the state reference to make the length of the state reference equal to N_p .

2.9 Step 2.9

We run simulations with two different parameters, $(N_p, N_c) = (9, 8)$ and $(N_p, N_c) = (5, 4)$, the evolution of the system variables are shown in the figure 17.

The simulation results show that the system has a good capability to track the reference when the reference is constant, as in part c of figure 17. When the derivative of the reference speed signal is large, e.g. at $t = [3, 9]$, the derivative is infinite and at $t = [18, 21]$, the derivative is large, the controller won't force the state value to strictly track the reference signal. While the derivative of the reference speed signal is large, e.g. at $t = [9, 15]$, the state value will follow the reference signal well.

The reason for the tracking limitation at $t = [3, 9]$ and $t = [18, 21]$ could relate to the acceleration constraints and input constraints, e.g. at $t = [6, 9]$, the input signal had already reached its upper boundary, as in part e of the figure 17.

Moreover, the difference of simulation two-parameter setup $(N_p, N_c) = (9, 8)$ and $(N_p, N_c) = (5, 4)$, is not significant. One of the findings is that with larger N_p and N_c , the controller can "foresee" the speed reference, so when the state value has deviated from the reference value, the input value will be larger than the case with smaller N_p and N_c , the time instant when the input is applied is earlier as well.

The reason for the small simulation difference between the above two parameter settings could also relate to the constraints of the input signal magnitude. Since the input signal had reached its upper bound under this setting $(N_p, N_c) = (5, 4)$, increasing the control horizon and prediction horizon to $(N_p, N_c) = (9, 8)$ won't contribute much to the performance improvement.

2.10 Step 2.10

Explicit MPC is an offline computation process, which pre-compute control law as a function of state x . We need to construct the original MLD MPC problem into a parametric programming problem and feed it into the solver. The solver mostly will try to turn the problem into a pLCP problem and solve it with some algorithms. We prepare to use the `Opt()` function in the MPT3 toolbox, so we need a parametric programming problem with the following format, where \mathbf{p} is the parameter and u is the controller output.

$$\begin{aligned}
 J(th) = \min & 0.5 \times U' \times H \times u + (pF \times th + f)' \times u + t' \times Y \times th + C \times th + c \\
 \text{s.t. } & A \times U \leq b + pB \times th \\
 & A_e \times U = b_e + pE \times th \\
 & \text{lb} \leq u \leq \text{ub}
 \end{aligned} \tag{32}$$

We tried to transform the original MLD MPC problem to a parametric programming problem. Firstly, we define current speed $[u_{k-1}; x_k; d_k; z_k; |x_{ref,k+1}, \dots, x_{ref,k+N_p}]$ as the parameter th of the controller

and define $[x_{k+1}, \dots, x_{k+N_p}, | u_k, \dots, u_{k+N_p-1}, | \vec{d}_{k+1}, \dots, \vec{d}_{k+N_p}, | \vec{z}_{k+1}, \dots, \vec{z}_{k+N_p}, | \rho_1, \dots, \rho_{N_p}, | \tau]$ as the output u of the controller.

The original optimization target keeps unchanged, and the constraints in section 2.7 can still be used. If we want to put them into Opt function, the structure needs to be changed slightly because now we have different decision variables. The changes can be seen in the code shown in the appendix.

Unfortunately, we are unable to solve the above optimization problem using the MPT3 toolbox. The `Opt.solve()` function with solver `ENUMPLCP` needs more than 1 hour to generate explicit controller for $N_p = N_c = 2$. So we turn to those predefined MPC controller functions provided by the MPT3 toolbox.

We used the system model in the previous step, once the model is ready, we add the constraints using the following command.

For the boundaries of input and system states, we define the constraints as below:

```
1 sys.u.min = umin;
2 sys.u.max = umax;
3 sys.v.min = vmin;
4 sys.v.max = vmax;
```

We also need to add the acceleration constraints, we add a new attribute `deltaMin` and `deltaMax` to the system model object, then assign the acceleration constraints.

```
1 sys.v.with('ΔMin');
2 sys.v.with('ΔMax');
3 sys.v.ΔMin = -Ts * a_comfort;
4 sys.v.ΔMax = Ts * a_comfort;
```

For the objective function, we add a penalty to the difference between speed and its reference, using the keyword `free` to indicate a varying reference is applying. And the penalty function is the one-norm function of the difference.

```
1 sys.v.with('reference');
2 sys.v.reference = 'free';
3 sys.v.penalty = OneNormFunction(1);
```

We also need to add penalty to the input. We apply infinite norm function to the difference between the current input and the previous input.

```
1 sys.u.with('ΔPenalty');
2 sys.u.ΔPenalty = InfNormFunction(lambda);
```

In the end, we specify the control horizon using the following command.

```
1 sys.u.with('block');
2 sys.u.block.from = Nc;
3 sys.u.block.to = Np;
```

After the constraint setup, we call the solver, and return the `MPCcontroller` object for further simulation.

```

1 ctrl = MPCController(sys, Np);
2 explicit_ctrl = ctrl.toExplicit();
3 figure
4 explicit_ctrl.partition.plot();

```

Below command we can run the simulation, the state value and input value are stored in `data.X` and `data.U`.

```

1 loop = ClosedLoop(ctrl, sys);
2 data = loop.simulate(x0, Nsim, 'x.reference', xref, 'u.previous', u_0);

```

Or we can use the following manual simulation, whose computation time is close to the previous simulation method.

```

1 t = tic;
2 for i = 1:length(v_ref)
3
4     u = explicit_ctrl_4_4.evaluate(x_prev, 'x.reference', v_ref(i), ...
5     'u.previous', u_prev);
6     [temp_t, temp_v] = ode45(@(t,y) dydt_step8(t, y, m, gamma, b, c, g), ...
7     [0, Ts], [10; x_prev; u]);
8     x = temp_v(end, 2);
9     X = [X x];
10    U = [U u];
11    u_prev = u;
12    x_prev = x;
13 end
14 T = toc(t);

```

Based on the above scripts, we run several experiments and got the following comparison results, as shown in the table 3.

Table 3: computation times of different approach

	$N_p = N_c = 2$	$N_p = N_c = 3$	$N_p = N_c = 4$
implicit	0.6077 s	0.6211 s	0.6683 s
explicit	0.5759 s	1.2171 s	2.5533 s

The experiments' results show that the computation time of the implicit approach is less than the explicit approach, which is quite count-intuitive since normally solving an online optimization problem would be slower than searching an offline look-up table. Moreover, as the prediction horizon increases, the computation time of the implicit approach doesn't change a lot, while the explicit method consumes much more time.

Then we check the implicit method (`glpk` function), and we found that between every sampling time, it would take around 25 steps for `glpk` to solve the optimization, regardless of the prediction horizon. That would be the reason why the computation time of the implicit method doesn't affect by the number of the horizon.

Then we check the partition of the explicit method, and we found for the case with $N_c = N_p = 2$, the partition consists of a 3D domain with 105 polyhedra, for the case with $N_c = N_p = 2$, the number of polyhedra increases to 1748. The number of polyhedra would dramatically increase if the number of horizons raises. The look-up table method would be low-efficient if the size of the table is large, leading the time of locating one point is longer than solving an optimization problem. We also found that some literature mentioned that using a neural-network-based auto-encoder may be a good solution for this problem [1].

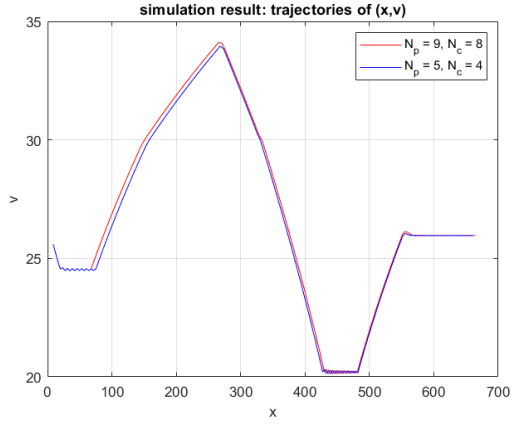


Figure 11: Evolution of (x, v) over Time

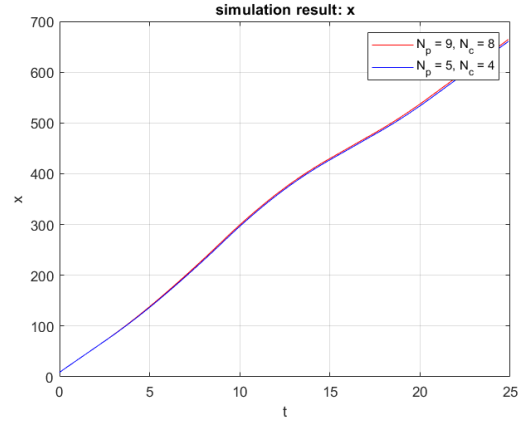


Figure 12: Evolution of x over Time

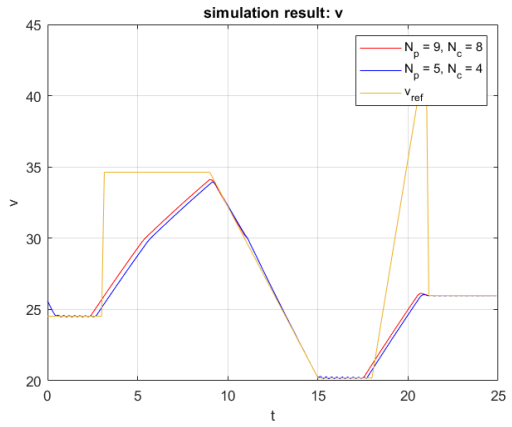


Figure 13: Evolution of v over Time

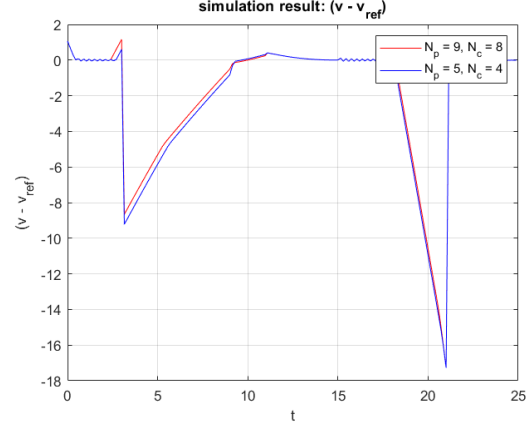


Figure 14: Evolution of $(v - v_{ref})$ over Time

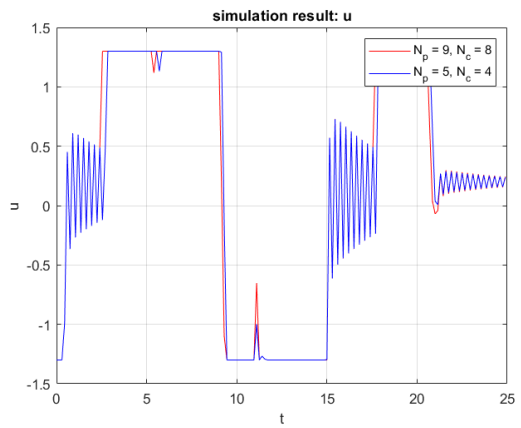


Figure 15: Evolution of u over Time

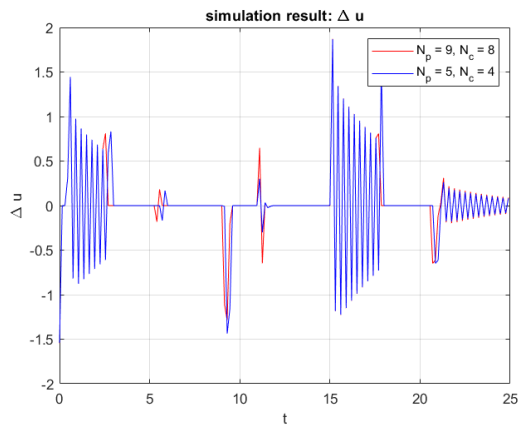


Figure 16: Evolution of Δu over Time

Figure 17: Evolution of the Controlled Closed-loop System

3 Part 3| Evaluation and Conclusion

The first insight we have obtained is the strong modeling power of the hybrid system. A lot of real-life systems can be represented by a hybrid system model. Our previous knowledge only allows us to contain a single continuous system or model them with a single discrete-time/discrete-state system but ignores the whole upper structure of the combinations of discrete part and continuous part. With the conception of the hybrid system and the tools the hybrid system research provides, we can easily model a system from the top level, research the stability and design a controller by considering both discrete part and the continuous part.

The second insight we obtained is from the Part 2 of the assignment. Part 2 shows us the whole process to analyze and design a controller for a complex real-life system.

1. The model of a real-life system may have some difficult parts (like the nonlinear part), which is too complex to deal with directly. Methods like function approximation are good methods to simplify the model.
2. After obtaining the simplified model, we can use simulation methods to test whether our simplification is acceptable and analyze the potential effect of the simplification.
3. If the simplified model is satisfying, we can then start to design a controller based on the simplified model. When designing the controller at the beginning, limitations like real-time computation requirements are not significant. We should allow our first controller to be imperfect but could work.
4. After we have a first-step available controller, we can then test requirements like real-time computation requirements to improve our controller. For improvement, general improvement patterns may not work very well in our specific scenario. Sometimes we need a specific solution, but at least we should try some general methods.

Besides, the assignment shows us how to design and implement an MPC controller in a hybrid system. During the assignment, we first tested the online MPC approach where we convert the optimization problem to a MILP problem and solved it using `glpk` solver and we noticed that the implicit MPC does has some limitations in the real-time property. To solve that, solutions like explicit MPC may work in general, because the solution of explicit MPC is a piecewise-affine function of state value and this mapping is stored offline as a look-up table. As a result, the controller doesn't have to solve the optimization problem at each step but find the specific control input based on current state values.

But the explicit MPC doesn't always outperform implicit MPC, the performance of explicit MPC might degrade when dealing with problems, where the number of partitions would grow exponentially when the horizon increases. During the experiment, we didn't get a smaller computation time after applying the explicit MPC method because of the large number of partitions, the time spent on finding the optimal value in a large loop-up table would be longer than solving an optimization problem. This also enlightens us that before applying a potential method from literature, we should always test its availability.

A Reference

- [1] E. T. Maddalena, M. W. Specq, V. L. Wisniewski, and C. N. Jones, “Embedded pwm predictive control of dc-dc power converters via piecewise-affine neural networks,” *IEEE Open Journal of the Industrial Electronics Society*, vol. 2, pp. 199–206, 2021.

B MATLAB Code

The code of this project can be found on Github Repo: SC42075-MCHS

B.1 Main File

The `main.m` file contains the main file of our program.

```

1      %%%
2      %%% SC42075 Modelling and Control of Hybrid Systems
3      %%% Assignment
4      %%% Author: Jiaxuan Zhang, Yiting Li
5      %%%
6
7      clear
8      close all
9      clc
10
11     %% add path
12     addpath('./src')
13
14     %% Global Parameters
15     m = 800;
16     c = 0.4;
17     b = 3700;
18     umax = 1.3;
19     umin = -1.3;
20     vmin = 0;
21     a_comf_max = 2.5;
22     gamma = 0.87;
23     v12 = 15;
24     v23 = 30;
25
26     g = [1,2,3];
27
28     %% Step 2.1
29     % maximum speed: 57.7150 m/s;
30     vmax_1 = sqrt(1/c * b / (1 + g(1) * gamma) * umax);
31     vmax_2 = sqrt(1/c * b / (1 + g(2) * gamma) * umax);
32     vmax_3 = sqrt(1/c * b / (1 + g(3) * gamma) * umax);
33     vmax = min([vmax_1, vmax_2, vmax_3])
34
35     % maximum acceleration 3.2152 m/s^2
36     a_acc_max = 1/m * b/(1 + g(1) * gamma) * umax - 0;
37     % maximum deacceleration
38     % for state 1 (-3.3277 m/s^2), however, it is a limit number and cannot ...
39     % actually be achieved
40     a_dec_max_1 = 1/m * b/(1 + g(1) * gamma) * umin - 1/m * c * v12^2;
41     % for state 2 (-2.6443 m/s^2), however, it is a limit number and cannot ...
42     % actually be achieved
43     a_dec_max_2 = 1/m * b/(1 + g(2) * gamma) * umin - 1/m * c * v23^2;
44     % for state 3 (-3.3310 m/s^2), however, it is a limit number and cannot ...
45     % actually be achieved
46     a_dec_max_3 = 1/m * b/(1 + g(3) * gamma) * umin - 1/m * c * vmax^2;
47     % maixmum deaccelearation is -3.3310 m/s^2
48     a_dec_max = min([a_dec_max_1, a_dec_max_2, a_dec_max_3]);
49
50     % clear a_dec_max_1 a_dec_max_2 a_dec_max_3
51     %% step 2.2

```

```

49 % model with two-point format
50 % by using maple, optimal alpha, beta are: alpha=28.8575, beta=249.8266
51 alpha = 28.8575;
52 beta = 249.8266;
53
54 Script_2_2
55
56 %% step 2.3
57
58 test_t = 5;
59 step_3.y0 = [0;44];
60
61 Script_2_3
62
63 %% step 2.4
64
65 % See in .mw file
66
67 % for driving force part
68 % f1 = @(v,u) 1/m * b/(1 + gamma) * u;
69 % f2 = @(v,u) 1/m * b/(1 + 2 * gamma) * u;
70 % f3 = @(v,u) 1/m * b/(1 + 3 * gamma) * u;
71 %
72 % % for friction part
73 % g1 = @(v) beta/alpha * v;
74 % g2 = @(v) 1/m * (c * vmax^2 - beta)/(vmax - alpha) * (v - vmax) + c * vmax^2;
75 %
76 % f11 = f1 + 0.15 * f1
77
78 %% step 2.6
79
80 model = MLD_Model_3Δ();
81
82 %% step 2.7
83 lambda = 0.1;
84 Np = 2;
85 Nc = 2;
86 x_0 = 5;
87 v_0 = [0];
88 u_0 = 0;
89 Ts = 0.15;
90 v_ref = [10; 10];
91
92 [flag, v, u, xc, uc] = Solution_2_7(Np, Nc, lambda, umax, umin, vmax, vmin, ...
    a_comf_max,...
    v_0, u_0, model, Ts, v_ref);
93
94
95 %% step 2.8
96 T_0 = 0;
97 T_end = 25;
98 v_ref = 5 * ones(length(T_0: Ts: T_end), 1);
99
100 [v, u, Result_constant_ref] = Simulator_2_8(Np, Nc, lambda, [umin, umax], ...
    [vmin, vmax], a_comf_max,...
    x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
    dydt_step8(t, y, m, gamma, b, c, g));
101
102
103 %% step 2.9
104
105 Script_2_9
106
107 %% step 2.10
108

```

109 Script_2_10

B.2 Step 2.2

Step 2.2 contains one script, the Script_2_2.m

```

1 figure;
2
3 v = [0: 0.1: vmax];
4 v1 = [0: 0.1: alpha];
5 v2 = [alpha: 0.1: vmax];
6 plot(v, c*v.^2, 'r');
7 hold on
8 plot(v1, beta/alpha*v1, 'b')
9 hold on
10 plot(v2, ((c*vmax^2 - beta)/(vmax-alpha)*(v2 - vmax) + c*vmax^2), 'b')
11 grid on
12 legend('V(v)', 'P(v)');
13 xlabel('v');
14 ylabel('m*F_{fircction}');
15 title("approximation result")
16
17 clear v v1 v2

```

B.3 Step 2.3

Step 2.3 contains two files, the file dydt_step3.m which contains original model and the PWA model, the Script_2_3.m is the script of step 2.3.

dydt_step3.m

```

1 function dydt = dydt_step3(t,y,model,alpha,beta,m,gamma,b,c,vmax)
2 %DYDT_STEP3 the function is used to continuous-time simulation of step 3
3 %
4 % input:
5 % t,y: parameter for ode function
6 % model: whether use PWA (1) or Original Model (0)
7 % alpha, beta: parameter in the PWA
8 % m,gamma,b,c,vmax: system parameters
9
10 gear = 1;
11 dydt = zeros(2,1);
12
13 % generate a sinusoidal throttle input
14 u = sin(pi*t);
15
16 % calculate dydt
17 if model == 0
18 % if we want to use the Original Model
19
20 dydt(1) = y(2);
21 dydt(2) = 1/m * b/(1 + gamma * gear) * u - 1/m * c * y(2)^2;
22
23 elseif model ==1
24 % if we want to use the PWA Model

```



```

25
26     if y(2) ≤ alpha
27         % if lies in the first part
28
29         dydt(1) = y(2);
30         dydt(2) = 1/m * b/(1 + gamma * gear) * u - 1/m * beta/alpha * y(2);
31
32     else
33         % if lies in the second part
34
35         dydt(1) = y(2);
36         dydt(2) = 1/m * b/(1 + gamma * gear) * u -...
37             1/m * ((c * vmax^2 - beta)/(vmax - alpha) * (y(2) - vmax) + c ...
38                 * vmax^2);
39     end
40 end
41 end

```

Script_2_3.m

```

1     test_t = 5;
2     step_3.y0 = [0;22];
3
4     % original function simulation
5     [temp_t, temp_y] = ode45(@(t,y) ...
6         dydt_step3(t,y,0,alpha,beta,m,gamma,b,c,vmax), ...
7         [0,test_t],step_3.y0);
8     step_3.original_simulation.t = temp_t;
9     step_3.original_simulation.y = temp_y;
10
11    % PWA function simulation
12    [temp_t, temp_y] = ode45(@(t,y) ...
13        dydt_step3(t,y,1,alpha,beta,m,gamma,b,c,vmax), ...
14        [0,test_t],step_3.y0);
15    step_3.pwa_simulation.t = temp_t;
16    step_3.pwa_simulation.y = temp_y;
17
18    % plot the result
19    figure
20    % position result
21    subplot(1, 2, 1)
22    plot(step_3.original_simulation.t, step_3.original_simulation.y(:,1), 'r');
23    hold on
24    plot(step_3.pwa_simulation.t, step_3.pwa_simulation.y(:,1), 'b');
25    grid on
26    legend('original function', 'pwa function')
27    xlabel('t')
28    ylabel('position')
29    title("step 3 simulation position")
30
31    % speed result
32    subplot(1, 2, 2)
33    plot(step_3.original_simulation.t, step_3.original_simulation.y(:,2), 'r');
34    hold on
35    plot(step_3.pwa_simulation.t, step_3.pwa_simulation.y(:,2), 'b');
36    grid on
37    legend('original function', 'pwa function')
38    xlabel('t')
39    ylabel('speed')
40    title("step 3 simulation speed")

```

B.4 Step 2.6

Step 2.6 contains one MATLAB file, the file `MLD_Model_3delta.m`.

```

1
2 function model = MLD_Model_3delta()
3     %MLD_Model_3delta Output an MLD model with 3 delta variables
4
5     %% Define Variables
6     % basic variables
7     syms v u
8
9     % Discrete Time Step
10    Ts = 0.15;
11
12    % basics functions
13    f1 = Ts * (2.4733 * u - 0.0108 * v) + v;
14    f2 = Ts * (1.6880 * u - 0.0108 * v) + v;
15    f3 = Ts * (1.6880 * u - 0.0469 * v + 1.0409) + v;
16    f4 = Ts * (1.2812 * u - 0.0469 * v + 1.0409) + v;
17
18    % some known parameters
19    vmax = 57.7150;
20    vmin = 0;
21    umax = 1.3;
22    umin = -1.3;
23    v1 = 15;
24    v2 = 28.8575;
25    v3 = 30;
26
27    m = vmin;
28    M = vmax;
29
30    % binary auxiliary variables
31    % d1 -> v ≤ v1
32    % d2 -> v ≤ v2
33    % d3 -> v ≤ v3
34    syms d1 d2 d3
35
36    % auxiliary real-value variables
37    syms z1 z2 z3
38
39    % machine precision value
40    % epsilon = 0.0001;
41    epsilon = 0;
42
43    % dimensions
44    nv = 1;
45    nu = 1;
46    nz = 3;
47    nd = 3;
48
49    n = nv + nu + nz * 4 + nd * 2;
50
51    %% Construct Target Function
52    % target function
53    f_original = d1 * (f1 - f2) + d2 * (f2 - f3) + d3 * (f3 - f4) + f4;
54    f_original = expand(f_original);
55
56    % f_original = (9609*u)/50000 - (31227*d2)/200000 + (198593*v)/200000
57    %               + (23559*d1*u)/200000 + (3051*d3*u)/50000 + ...
58    %               (1083*d2*v)/200000 + 31227/200000

```

```

58
59 % after expand you can find there are only multiply:
60 % d1*u, d3*u, d2*v
61
62 % subs binary variables * real-value variables
63 % d1*u -> z1
64 % d2*v -> z2
65 % d3*u -> z3
66 f = subs(f_original, [d1 * u, d2 * v, d3 * u], [z1, z2, z3]);
67 pretty(f);
68
69 % 9609 u    31227 d2    198593 v    23559 z1    1083 z2    3051 z3    31227
70 % ----- - ----- + ----- + ----- + ----- + ----- + -----
71 % 50000     200000     200000     200000     200000     50000     200000
72
73 % Convert to standard MLD
74 MLD.A1 = 198593/200000;
75 MLD.B1 = 9609/50000;
76 MLD.B2 = [0, -31227/200000, 0];
77 MLD.B3 = [23559/200000, 1083/200000, 3051/50000];
78 MLD.constant = 31227/200000;
79
80 %% construct constraints
81
82 constraints = [];
83
84 % Δ variables constraints
85 % d1 -> v - v1 ≤ 0
86 g = [];
87 temp_g = (v - v1) ≤ (M - v1) * (1 - d1);
88 g = [g; temp_g];
89 temp_g = (v - v1) ≥ epsilon + (m - v1 - epsilon) * d1;
90 g = [g; temp_g];
91 constraints = [constraints; g];
92 fprintf("d1 -> v - v1 ≤ 0\n");
93 pretty(g);
94
95 % d2 -> v - v2 ≤ 0
96 g = [];
97 temp_g = (v - v2) ≤ (M - v2) * (1 - d2);
98 g = [g; temp_g];
99 temp_g = (v - v2) ≥ epsilon + (m - v2 - epsilon) * d2;
100 g = [g; temp_g];
101 constraints = [constraints; g];
102 fprintf("d2 -> v - v2 ≤ 0\n");
103 pretty(g);
104
105 % d3 -> v - v3 ≤ 0
106 g = [];
107 temp_g = (v - v3) ≤ (M - v3) * (1 - d3);
108 g = [g; temp_g];
109 temp_g = (v - v3) ≥ epsilon + (m - v3 - epsilon) * d3;
110 g = [g; temp_g];
111 constraints = [constraints; g];
112 fprintf("d3 -> v - v3 ≤ 0\n");
113 pretty(g);
114
115 % z variables constraints
116 % d1*u -> z1
117 g = [];
118 temp_g = z1 ≤ umax * d1;
119 g = [g; temp_g];
120 temp_g = z1 ≥ umin * d1;

```

```

121     g = [g; temp_g];
122     temp_g = z1 ≤ u - umin * (1 - d1);
123     g = [g; temp_g];
124     temp_g = z1 ≥ u - umax * (1 - d1);
125     g = [g; temp_g];
126     constraints = [constraints; g];
127     fprintf("d1*u -> z1\n");
128     pretty(g);
129
130     % d2*v -> z2
131     g = [];
132     temp_g = z2 ≤ M * d2;
133     g = [g; temp_g];
134     temp_g = z2 ≥ m * d2;
135     g = [g; temp_g];
136     temp_g = z2 ≤ v - m * (1 - d2);
137     g = [g; temp_g];
138     temp_g = z2 ≥ v - M * (1 - d2);
139     g = [g; temp_g];
140     constraints = [constraints; g];
141     fprintf("d2*v -> z2\n");
142     pretty(g);
143
144     % d3*u -> z3
145     g = [];
146     temp_g = z3 ≤ umax * d3;
147     g = [g; temp_g];
148     temp_g = z3 ≥ umin * d3;
149     g = [g; temp_g];
150     temp_g = z3 ≤ u - umin * (1 - d3);
151     g = [g; temp_g];
152     temp_g = z3 ≥ u - umax * (1 - d3);
153     g = [g; temp_g];
154     constraints = [constraints; g];
155     fprintf("d3*u -> z3\n");
156     pretty(g);
157
158     % Other Constraints
159     % speed constraint
160     g = [];
161     temp_g = vmin ≤ v;
162     g = [g; temp_g];
163     temp_g = v ≤ vmax;
164     g = [g; temp_g];
165     constraints = [constraints; g];
166     fprintf("Other Constraints\n");
167     pretty(g);
168
169     clear g temp_g
170
171     % comfort constraint
172     % cannot be modified in a single step
173
174     % There are total n = 20 constraint
175
176     %% change to standard MLD constraints
177
178     ng = nd * 2 + nz * 4;
179
180     % E1*v + E2*u + E3*d + E4*z ≤ g5
181     MLD.E1 = zeros(ng, nv);
182     MLD.E2 = zeros(ng, nu);
183     MLD.E3 = zeros(ng, nd);

```

```

184     MLD.E4 = zeros (ng, nz);
185     MLD.g5 = zeros (ng, 1);
186
187     % define E1, v
188     MLD.E1 (1) = 1;
189     MLD.E1 (2) = -1;
190     MLD.E1 (3) = 1;
191     MLD.E1 (4) = -1;
192     MLD.E1 (5) = 1;
193     MLD.E1 (6) = -1;
194     MLD.E1 (13) = -1;
195     MLD.E1 (14) = 1;
196
197     % define E2, u
198     MLD.E2 (9) = -1;
199     MLD.E2 (10) = 1;
200     MLD.E2 (17) = -1;
201     MLD.E2 (18) = 1;
202
203     % define E3, [d1 d2 d3]: epsilon = 0
204     % d1
205     MLD.E3 (1, 1) = 8543/200;
206     MLD.E3 (2, 1) = -15;
207     % d2
208     MLD.E3 (3, 2) = 11543/400;
209     MLD.E3 (4, 2) = -11543/300;
210     % d3
211     MLD.E3 (5, 3) = 5543/200;
212     MLD.E3 (6, 3) = -30;
213     % d1*u -> z1
214     MLD.E3 (7, 1) = -13/10;
215     MLD.E3 (8, 1) = -13/10;
216     MLD.E3 (9, 1) = 13/10;
217     MLD.E3 (10, 1) = 13/10;
218     % d2*v -> z2
219     MLD.E3 (11, 2) = -11543/200;
220     MLD.E3 (14, 2) = 11543/200;
221     % d3*u -> z3
222     MLD.E3 (15, 3) = -13/10;
223     MLD.E3 (16, 3) = -13/10;
224     MLD.E3 (17, 3) = 13/10;
225     MLD.E3 (18, 3) = 13/10;
226
227     % define E4, [z1 z2 z3]
228     % d1*u -> z1
229     MLD.E4 (7, 1) = 1;
230     MLD.E4 (8, 1) = -1;
231     MLD.E4 (9, 1) = 1;
232     MLD.E4 (10, 1) = -1;
233     % d2*v -> z2
234     MLD.E4 (11, 2) = 1;
235     MLD.E4 (12, 2) = -1;
236     MLD.E4 (13, 2) = 1;
237     MLD.E4 (14, 2) = -1;
238     % d3*u -> z3
239     MLD.E4 (15, 3) = 1;
240     MLD.E4 (16, 3) = -1;
241     MLD.E4 (17, 3) = 1;
242     MLD.E4 (18, 3) = -1;
243
244     % % define g5, constant: epsilon = 0
245     MLD.g5 (1) = 15 + 8543/200;
246     MLD.g5 (2) = - 15;

```

```

247     % d2
248     MLD.g5(3) = 11543/400 + 11543/400;
249     MLD.g5(4) = - 11543/400;
250     % d3
251     MLD.g5(5) = 30 + 5543/200;
252     MLD.g5(6) = - 30;
253     % d1*u -> z1
254     MLD.g5(9) = 13/10;
255     MLD.g5(10) = 13/10;
256     % d2*v -> z2
257     MLD.g5(14) = 11543/200;
258     % d3*u -> z3
259     MLD.g5(17) = 13/10;
260     MLD.g5(18) = 13/10;
261
262     model = MLD;
263
264 end

```

B.5 Step 2.7

Step 2.7 contains one MATLAB file, the file `Solution_2_7.m`

```

1 function [flag, v, u, vc, uc] = Solution_2_7(Np, Nc, lambda, umax, umin, ...
    vmax, vmin, a_comfort, v_0, u_0, model, Ts, v_ref)
2 %Solution_2_7 Solution file for question 2.7
3 % Detailed explanation goes here
4 % Input:
5 % Np: prediction horizon
6 % Nc: control horizon
7 % lambda: relative weight of Jinput in the objective function
8 % umax, umin: min and max input
9 % vmax, vmin: min and max speed
10 % a_comfort: comfortable acceleration limitation
11 % v_0: initial v
12 % u_0: previous input
13 % model: MLD model
14 % Ts: sampling time
15 % v_ref: reference v
16 % Output:
17 % flag: 1 for feasible optimal solution
18 % v: the result of the decision variables
19 % u: the optimal u for Np
20 % vc: current state
21 % uc: the optimal u for current time
22
23
24 %% define parameters
25 nx = 1; % dimension of x
26 nu = 1; % dimension of u
27 nd = 3; % dimension of d
28 nz = 3; % dimension of z
29 ng = size(model.g5, 1); % how many inequality constraints
30
31 v1 = 15;
32 v2 = 28.8575;
33 v3 = 30;
34
35 %% judge d_0 and z_0
36

```

```

37 if v_0 ≤ v1
38
39     d_0 = [1; 1; 1];
40
41 elseif v_0 ≤ v2
42
43     d_0 = [0; 1; 1];
44
45 elseif v_0 ≤ v3
46
47     d_0 = [0; 0; 1];
48
49 else
50
51     d_0 = [0; 0; 0];
52
53 end
54
55 z_0 = d_0 .* [u_0; v_0; u_0];
56
57 %% prepare target function
58
59 c1 = zeros(1, nx*Np); % for x
60 c2 = zeros(1, nx*Np); % for u
61 c3 = zeros(1, nd*Np); % for Δ
62 c4 = zeros(1, nz*Np); % for z
63 c5 = ones(1, Np); % for rho
64 c6 = lambda; % for tau
65
66 c = [c1, c2, c3, c4, c5, c6];
67
68 %% prepare constraints
69 % decision variable format:
70 % [ x_{k+1}, ..., x_{k+Np}, | u_{k}, ..., u_{k+Np-1}, | d_{k+1}, ..., ...
71 %   d_{k+Np}, |
72 %   z_{k+1}, ..., z_{k+Np}, | rho_{1}, ..., rho_{Np}, | tau ]
73
74 % state transition
75 aux_matrix = diag(ones(1,Np-1),-1);
76 A11 = -kron(aux_matrix, model.A1);
77 A11 = eye(Np) + A11;
78
79 aux_matrix = diag(ones(1, Np), 0);
80 A12 = -kron(aux_matrix, model.B1);
81
82 aux_matrix = diag(ones(1,Np-1),-1);
83 A13 = -kron(aux_matrix, model.B2);
84
85 A14 = -kron(aux_matrix, model.B3);
86 A15 = zeros(Np,Np);
87 A16 = zeros(Np,1);
88
89 A1 = [A11, A12, A13, A14, A15, A16];
90 b1 = ones(Np,1) * model.constant;
91 b1(1) = b1(1) + model.A1 * v_0 + model.B2 * d_0 + model.B3 * z_0;
92
93 % original inequalities
94 aux_matrix = diag(ones(1, Np), 0);
95 A21 = kron(aux_matrix, model.E1);
96
97 aux_matrix = diag(ones(1, Np-1), 1);
98 aux_matrix(end,end) = 1;
99 A22 = kron(aux_matrix, model.E2);

```

```

99
100 aux_matrix = diag(ones(1, Np), 0);
101 A23 = kron(aux_matrix, model.E3);
102 A24 = kron(aux_matrix, model.E4);
103
104 A25 = zeros(ng*Np,Np);
105 A26 = zeros(ng*Np,1);
106
107 A2 = [A21, A22, A23, A24, A25, A26];
108 b2 = ones(Np,1);
109 b2 = kron(b2, model.g5);
110
111 % optimization construction
112 % for rho
113 A31 = eye(Np);
114 A32 = zeros(Np, Np);
115 A33 = zeros(Np, Np*nd);
116 A34 = zeros(Np, Np*nz);
117 A35 = -eye(Np, Np);
118 A36 = zeros(Np, 1);
119
120 A3 = [A31, A32, A33, A34, A35, A36];
121 b3 = v_ref;
122
123 A41 = eye(Np);
124 A42 = zeros(Np, Np);
125 A43 = zeros(Np, nd*Np);
126 A44 = zeros(Np, nz*Np);
127 A45 = eye(Np, Np);
128 A46 = zeros(Np, 1);
129
130 A4 = [A41, A42, A43, A44, A45, A46];
131 b4 = v_ref;
132
133 % for tau
134 A51 = zeros(Np, Np);
135
136 aux_matrix = - diag(ones(1,Np-1),-1);
137 A52 = eye(Np) + aux_matrix;
138
139 A53 = zeros(Np, nd*Np);
140 A54 = zeros(Np, nz*Np);
141 A55 = zeros(Np, Np);
142 A56 = -ones(Np, 1);
143
144 A5 = [A51, A52, A53, A54, A55, A56];
145 b5 = zeros(Np, 1);
146 b5(1) = u_0;
147
148 A61 = zeros(Np, Np);
149
150 aux_matrix = - diag(ones(1,Np-1),-1);
151 A62 = eye(Np) + aux_matrix;
152
153 A63 = zeros(Np, nd*Np);
154 A64 = zeros(Np, nz*Np);
155 A65 = zeros(Np, Np);
156 A66 = ones(Np, 1);
157
158 A6 = [A61, A62, A63, A64, A65, A66];
159 b6 = zeros(Np, 1);
160 b6(1) = u_0;
161

```



```

162 % comfortable acceleration
163 aux_matrix = - diag(ones(1,Np-1),-1);
164 A71 = eye(Np) + aux_matrix;
165 A72 = zeros(Np, Np);
166 A73 = zeros(Np, nd*Np);
167 A74 = zeros(Np, nz*Np);
168 A75 = zeros(Np, Np);
169 A76 = zeros(Np, 1);
170
171 A7 = [A71, A72, A73, A74, A75, A76];
172 b7 = zeros(Np, 1);
173 b7(1) =v_0;
174 b7 = b7 + a_comfort * Ts * ones(Np, 1);
175
176 aux_matrix = - diag(ones(1,Np-1),-1);
177 A81 = eye(Np) + aux_matrix;
178 A82 = zeros(Np, Np);
179 A83 = zeros(Np, nd*Np);
180 A84 = zeros(Np, nz*Np);
181 A85 = zeros(Np, Np);
182 A86 = zeros(Np, 1);
183
184 A8 = [A81, A82, A83, A84, A85, A86];
185 b8 = zeros(Np, 1);
186 b8(1) =v_0;
187 b8 = b8 - a_comfort * Ts * ones(Np, 1);
188
189 % prediction horizon vs control horizon
190
191 A91 = zeros(Np, Np);
192 A92 = zeros(Np, Np);
193 aux_matrix = zeros(Np, Np);
194 if (Nc < Np)
195     aux_matrix([Nc+1: 1: Np], Nc) = 1;
196     A92([Nc+1: 1: Np], [Nc+1: 1: Np]) = 1;
197     flag = 0;
198 elseif (Nc > Np)
199     fprintf("illegal Nc and Np");
200     flag = -1;
201 else
202     flag = 1;
203 end
204 A92 = A92 - aux_matrix;
205 A93 = zeros(Np, nd*Np);
206 A94 = zeros(Np, nz*Np);
207 A95 = zeros(Np, Np);
208 A96 = zeros(Np, 1);
209
210 A9 = [A91, A92, A93, A94, A95, A96];
211 b9 = zeros(Np, 1);
212
213
214 flag = 0 ;
215
216 % combined them together
217 A = [A1; A2; A3; A4; A5; A6; A7; A8; A9];
218 b = [b1; b2; b3; b4; b5; b6; b7; b8; b9];
219
220 % A = [A1; A3; A4; A5; A6; A7; A8; A9];
221 % b = [b1; b3; b4; b5; b6; b7; b8; b9];
222
223
224 %% prepare lb and ub

```

```

225
226 % state lb
227 lb1 = ones(Np, 1) * vmin;
228 % input lb
229 lb2 = ones(Np, 1) * umin;
230 % d lb
231 lb3 = 0 * ones(Np*nd, 1);
232 % z lb
233 aux_matrix = ones(Np,1);
234 lb4 = kron(aux_matrix, [0; 0; 0]);
235 % rho lb
236 lb5 = 0 * ones(Np ,1);
237 % tau lb
238 lb6 = 0 * ones(1);
239
240 % state ub
241 ub1 = ones(Np, 1) * vmax;
242 % input ub
243 ub2 = ones(Np, 1) * umax;
244 % d ub
245 ub3 = 1 * ones(Np*nd, 1);
246 % z ub
247 aux_matrix = ones(Np,1);
248 ub4 = kron(aux_matrix, [umax; vmax; umax]);
249 % rho lb
250 ub5 = +Inf * ones(Np, 1);
251 % tau lb
252 ub6 = +Inf * ones(1);
253
254 % combine the ub and lb
255 lb = [lb1; lb2; lb3; lb4; lb5; lb6];
256 ub = [ub1; ub2; ub3; ub4; ub5; ub6];
257
258
259
260 %% prepare solver parameter
261
262 % constraints characters
263 ctype =repelem(['S','U', 'U','L','U','L','U','L','S'],...
264               [nx*Np, ng*Np, nx*Np, nx*Np, nu*Np, nu*Np, nx*Np, nx*Np, nu*Np]);
265
266 % ctype =repelem(['S','U','L','U','L','U','L','S'],...
267 %               [nx*Np, nx*Np, nx*Np, nu*Np, nu*Np, nx*Np, nx*Np, nu*Np]);
268
269
270 % types of the variables
271 vartype = repelem(['C','C','B','C','C','C'], ...
272                  [nx*Np, nu*Np, nd*Np, nz*Np, Np, 1]);
273
274
275 % this is a minize question
276 sense = 1;
277
278 % solver options
279 param.msglev = 3;
280 % param.lpsolver = 2;
281
282 %% call solver
283
284 if flag == -1
285
286 else
287

```

```

288     [xopt, fopt, status, extra] = glpk (c, A, b, lb, ub, ctype, vartype, ...
289         sense, param);
290     if (status == 2)
291
292         fprintf("feasible solution exists \n");
293
294         fprintf("optimal objective function: d% \n", fopt);
295
296         v = xopt;
297         u = xopt([Np+1: 1: Np+Np]);
298         vc = v_0;
299         uc = u(1);
300         flag = 1;
301
302     elseif (status == 5)
303
304         fprintf("optimal solution exists \n");
305
306         fprintf("optimal objective function: d% \n", fopt);
307
308         v = xopt;
309         u = xopt([Np+1: 1: Np+Np]);
310         vc = v_0;
311         uc = u(1);
312         flag = 1;
313
314     else
315
316         fprintf("no feasible solution exists \n");
317         v = Inf;
318         u = Inf;
319         vc = Inf;
320         uc = Inf;
321
322     end
323
324 end
325
326 end

```

B.6 Step 2.8

Step 2.8 contains two MATLAB files, the file `Simulation_2_8.m` for simulate the MPC system, the file `dydt8.m` for the original system model.

`Simulation_2_8.m`

```

1 function Result = Simulator_2_8(Np, Nc, lambda, u_range, v_range, a_comfort, ...
2     x_0, v_0, u_0, v_ref, Ts, Tspan, model_mld, modelc)
3 %Simulator_2_8: Simulator to simulate the closed-loop behavior of the system
4 % Input:
5 %     Np: prediction horizon
6 %     Nc: control horizon
7 %     lambda: relative weight of Jinput in the objective function
8 %     urange: [umin, umax] min and max input
9 %     v_range: [vmin, vmax] min and max speed
10 %     a_comfort: comfortable acceleration limitation
11 %     v_0: initial v

```

```

11 % model: MLD model
12 % Ts: sampling time
13 % v_ref: reference v
14 % Tspand: [T_0, T_end]: starting time and stop time
15 % model_mld: MLD model
16 % modelc: continuous time model
17 % Output:
18 % Result: a structure records the result of the simulation
19 %     Result.u_diff
20 %     Result.u_history
21 %     Result.v_diff
22 %     Result.v_history
23 %     Result.x_history
24 %     Result.v_ref
25
26 %% some parameters
27
28 v1 = 15;
29 v2 = 28.8575;
30 v3 = 30;
31
32 % limitation of u and limitation of v
33 umin = u_range(1);
34 umax = u_range(2);
35 vmin = v_range(1);
36 vmax = v_range(2);
37
38 % starting time and stop time
39 T_0 = Tspand(1);
40 T_end = Tspand(2);
41
42 %% judge d_0 and z_0
43
44 if v_0 ≤ v1
45     d_0 = [1; 1; 1];
46
47 elseif v_0 ≤ v2
48     d_0 = [0; 1; 1];
49
50 elseif v_0 ≤ v3
51     d_0 = [0; 0; 1];
52
53 else
54     d_0 = [0; 0; 0];
55
56 end
57
58 z_0 = d_0 .* [u_0; v_0; u_0];
59
60 %% start simulation
61
62 x_history = zeros(1, length(T_0: Ts: T_end));
63 v_history = zeros(1, length(T_0: Ts: T_end));
64 u_history = zeros(1, length(T_0: Ts: T_end));
65
66 i = 1;
67 x_history(i) = x_0;
68 v_history(i) = v_0;
69 u_history(i) = u_0;

```

```

74
75 for t = T_0: Ts: T_end
76
77     if (t + Np * Ts > T_end)
78         % if Np future > T_end,
79         % then extend reference with the last element in x_ref
80
81         temp_v_ref = v_ref([i: 1: end]);
82         temp_v_ref = [temp_v_ref; v_ref(end) * ones(Np - length(temp_v_ref), 1)];
83
84     else
85
86         temp_v_ref = v_ref([i: 1: i + Np - 1]);
87
88     end
89
90
91     [flag, v, u, vc, uc] = Solution_2_7(Np, Nc, lambda, umax, umin, vmax, ...
92         vmin, a_comfort,...
93         v_0, u_0, model_mld, Ts, temp_v_ref);
94
95     if flag == 1
96
97         % update state with continuous model
98         [temp_t, temp_v] = ode45(modelc, [0, Ts], [10; vc; uc]);
99
100    else
101
102        fprintf("no feasible optimal solution at time d% \n", t);
103        break;
104
105    end
106
107    % update state and input
108    v_0 = temp_v(end, 2);
109    x_0 = x_0 + v_0 * Ts;
110    u_0 = u(1);
111
112    % store state and input
113    x_history(i) = x_0;
114    v_history(i) = v_0;
115    u_history(i) = u_0;
116    i = i + 1;
117
118 end
119
120 %% store the result
121
122 if flag == 1
123     % if feasible simulation
124     v_diff = v_history' - v_ref;
125     temp = circshift(u_history, 1);
126     u_diff = u_history - temp;
127
128     Result.u_diff = u_diff;
129     Result.u_history = u_history;
130     Result.v_diff = v_diff;
131     Result.v_history = v_history;
132     Result.x_history = x_history;
133     Result.v_ref = v_ref;
134 end
135

```

```
136 end
```

dydt8.m

```
1 function dydt = dydt_step8(t, y, m, gamma, b, c, g)
2 %DYDT_STEP8 the function is used to continuous-time simulation of step 8
3 %
4 % input:
5 %   t,y: parameter for ode function
6 %   m,gamma,b,c: system parameters
7 %   g: possible gear settings
8
9
10 %% some parameters
11
12 v1 = 15;
13 v2 = 28.8575;
14 v3 = 30;
15
16
17 %% dydt generate
18
19 if y < v1
20     gear = g(1);
21
22 elseif y < v3
23     gear = g(2);
24
25 else
26     gear = g(3);
27
28 end
29
30 dydt = zeros(3,1);
31
32 dydt(1) = y(2);
33 dydt(2) = 1/m * b/(1 + gamma * gear) * y(3) - 1/m * c * y(2)^2;
34 dydt(3) = 0; % represent u
35
36 end
```

B.7 Step 2.9

Step 2.9 contains two MATLAB file, the file GenerateXRef_2_9.m for generating the reference signal, the file Script_2_9.m for some scripts use in the 2.9.

GenerateXRef_2_9.m

```
1 function v_ref = GenerateXRef_2_9(Ts, alpha)
2 %GenerateXRef_2_8 Generate the Corresponding x_ref Signal
3 % Input:
4 %   Ts: sampling time
5 %   alpha: parameter of PWA model
6 % Output:
```

```

7 % v_ref: reference v
8
9 % constant
10 T_0 = 0; T_end = 25;
11 T1 = 3; T2 = 9; T3 = 15; T4 = 18; T5 = 21;
12
13 v_ref = 5 * ones(length(T_0: Ts: T_end), 1);
14
15 % 0 ≤ t ≤ 3
16 temp = 0.85 * alpha * ones(length(T_0: Ts: T1), 1);
17 v_ref = temp;
18
19 % 3 < t ≤ 9
20 temp = 1.2 * alpha * ones((length(T1: Ts: T2)-1), 1);
21 v_ref = [v_ref; temp];
22
23 % 9 < t ≤ 15
24 t = T2 + Ts;
25 for i = 1 : (length(T2: Ts: T3)-1)
26     temp = 1.2 * alpha - 1/12 * alpha * (t - 9);
27     t = t + Ts;
28     v_ref = [v_ref; temp];
29 end
30
31 % 15 < t ≤ 18
32 temp = 0.7 * alpha * ones((length(T3: Ts: T4)-1), 1);
33 v_ref = [v_ref; temp];
34
35 % 18 < t ≤ 21
36 t = T4 + Ts;
37 for i = 1 : (length(T4: Ts: T5)-1)
38     temp = 0.7 * alpha + 4/15 * alpha * (t - 18);
39     t = t + Ts;
40     v_ref = [v_ref; temp];
41 end
42
43 % 21 < t ≤ 25
44 temp = 0.9 * alpha * ones((length(T5: Ts: T_end)-1), 1);
45 v_ref = [v_ref; temp];
46
47 end

```

Script_2_9.m

```

1 lambda = 0.1;
2 Np = 5;
3 Nc = 4;
4 x_0 = 5;
5 v_0 = 0.9*alpha;
6 u_0 = 0;
7 Ts = 0.15;
8 T_0 = 0;
9 T_end = 25;
10 v_ref = GenerateXRef_2_9(Ts, alpha);
11
12 [v, u, Results_varying_ref_5_4] = Simulator_2_8(Np, Nc, lambda, [umin, umax], ...
    [vmin, vmax], a_comf_max,...
13     x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
    dydt_step8(t, y, m, gamma, b, c, g));
14
15 lambda = 0.1;
16 Np = 9;

```

```

17 Nc = 8;
18 x_0 = 5;
19 v_0 = 0.9*alpha;
20 u_0 = 0;
21 Ts = 0.15;
22 T_0 = 0;
23 T_end = 25;
24 v_ref = GenerateXRef_2_9(Ts, alpha);
25
26 [v, u, Results_varying_ref_9_8] = Simulator_2_8(Np, Nc, lambda, [umin, umax], ...
    [vmin, vmax], a_comf_max, ...
27     x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
    dydt_step8(t, y, m, gamma, b, c, g));
28
29
30 figure
31 plot(Results_varying_ref_9_8.x_history, Results_varying_ref_9_8.v_history, 'r');
32 hold on;
33 plot(Results_varying_ref_5_4.x_history, Results_varying_ref_5_4.v_history, 'b');
34 grid on;
35 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4');
36 xlabel('x');
37 ylabel('v');
38 title("simulation result: trajectories of (x,v)")
39
40 figure
41 plot([T_0: Ts: T_end], Results_varying_ref_9_8.x_history, 'r');
42 hold on;
43 plot([T_0: Ts: T_end], Results_varying_ref_5_4.x_history, 'b');
44 grid on;
45 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4');
46 xlabel('t');
47 ylabel('x');
48 title("simulation result: x")
49
50 figure
51 plot([T_0: Ts: T_end], Results_varying_ref_9_8.v_history, 'r');
52 hold on;
53 plot([T_0: Ts: T_end], Results_varying_ref_5_4.v_history, 'b');
54 hold on;
55 plot([T_0: Ts: T_end], v_ref);
56 grid on;
57 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4', 'v_{ref}');
58 xlabel('t');
59 ylabel('v');
60 title("simulation result: v")
61
62
63 figure
64 plot([T_0: Ts: T_end], Results_varying_ref_9_8.v_diff, 'r');
65 hold on;
66 plot([T_0: Ts: T_end], Results_varying_ref_5_4.v_diff, 'b');
67 grid on;
68 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4');
69 xlabel('t');
70 ylabel('(v - v_{ref})');
71 title("simulation result: (v - v_{ref})")
72
73 figure
74 plot([T_0: Ts: T_end], Results_varying_ref_9_8.u_history, 'r');
75 hold on;
76 plot([T_0: Ts: T_end], Results_varying_ref_5_4.u_history, 'b');
77 grid on;

```



```

78 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4');
79 xlabel('t');
80 ylabel('u');
81 title("simulation result: u")
82 figure
83 plot([T_0: Ts: T_end], Results_varying_ref_9_8.u_diff, 'r');
84 hold on
85 plot([T_0: Ts: T_end], Results_varying_ref_5_4.u_diff, 'b');
86 grid on;
87 legend('N_p = 9, N_c = 8', 'N_p = 5, N_c = 4');
88 xlabel('t');
89 ylabel('\Delta u');
90 title("simulation result: \Delta u")

```

B.8 Step 2.10

Step 2.10 contains two files: `Solution_2_10.m` for generating controller, `Script_2_10.m` for some script used in this step.

`Solution_2_10.m`

```

1
2 function [ctrl, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, vmin, ...
   a_comfort, model, Ts, mode)
3 %Solution_2_10 Solution file for question 2.7
4 % Detailed explanation goes here
5 % Input:
6 % Np: prediction horizon
7 % Nc: control horizon
8 % lambda: relative weight of Jinput in the objective function
9 % umax, umin: min and max input
10 % vmax, vmin: min and max speed
11 % a_comfort: comfortable acceleration limitation
12 % model: MLD model
13 % Ts: sampling time
14 % mode: 1 for implicit by MPT3, 0 for explicit, 2 for explicit manually(failed)
15 % Output:
16 % ctrl: output controller
17 %
18
19 %% define parameters
20 nv = 1; % dimension of x
21 nu = 1; % dimension of u
22 nd = 3; % dimension of d
23 nz = 3; % dimension of z
24 ng = size(model.g5, 1); % how many inequality constraints
25
26 v1 = 15;
27 v2 = 28.8575;
28 v3 = 30;
29
30
31 %% variables classification
32
33 if mode == 2
34     % explicit manually
35
36     %% define target function
37     % output variable (u) format:

```

```

38 % [ v_{k+1}, ..., v_{k+Np}, | u_{k}, ..., u_{k+Np-1}, | d_{k+1}, ..., ...
    d_{k+Np}, |
39 %   z_{k+1}, ..., z_{k+Np}, | rho_{1}, ..., rho_{Np}, | tau ]
40
41 f1 = zeros(1, nv*Np); % for x
42 f2 = zeros(1, nv*Np); % for u
43 f3 = zeros(1, nd*Np); % for Δ
44 f4 = zeros(1, nz*Np); % for z
45 f5 = ones(1, Np); % for rho
46 f6 = lambda; % for tau
47
48 f = [f1, f2, f3, f4, f5, f6]';
49
50
51 %% define equality constraints
52 % input variable (th) format:
53 % [u_{k-1}; v_{k}; d_{k}; z_{k}; v_ref(k), ..., c_ref{k+Np}]
54
55 % state transition
56 aux_matrix = diag(ones(1,Np-1),-1);
57 Ae11 = -kron(aux_matrix, model.A1);
58 Ae11 = eye(Np) + Ae11;
59
60 aux_matrix = diag(ones(1, Np), 0);
61 Ae12 = -kron(aux_matrix, model.B1);
62
63 aux_matrix = diag(ones(1,Np-1),-1);
64 Ae13 = -kron(aux_matrix, model.B2);
65
66 Ae14 = -kron(aux_matrix, model.B3);
67 Ae15 = zeros(Np,Np);
68 Ae16 = zeros(Np,1);
69
70 Ae1 = [Ae11, Ae12, Ae13, Ae14, Ae15, Ae16];
71 be1 = ones(Np,1) * model.constant;
72 pE1 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
73 pE1(1,[nu+1: 1: nu+nv+nd+nz]) = [model.A1, model.B2, model.B3];
74
75 % prediction horizon vs control horizon
76 Ae21 = zeros(Np, Np);
77 Ae22 = zeros(Np, Np);
78 aux_matrix = zeros(Np, Np);
79 if (Nc < Np)
80     aux_matrix([Nc+1: 1: Np], Nc) = 1;
81     Ae22([Nc+1: 1: Np], [Nc+1: 1: Np]) = eye(Np-Nc);
82     flag = 0;
83 elseif (Nc > Np)
84     fprintf("illegal Nc and Np");
85     flag = -1;
86 else
87     flag = 1;
88 end
89 Ae22 = Ae22 - aux_matrix;
90 Ae23 = zeros(Np, nd*Np);
91 Ae24 = zeros(Np, nz*Np);
92 Ae25 = zeros(Np, Np);
93 Ae26 = zeros(Np, 1);
94
95 Ae2 = [Ae21, Ae22, Ae23, Ae24, Ae25, Ae26];
96 be2 = zeros(Np, 1);
97 pE2 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
98 pE2(all(Ae2==0, 2), :) = [];
99 be2(all(Ae2==0, 2), :) = [];

```

```

100     Ae2(all(Ae2==0, 2), :) = [];
101
102     Ae = [Ae1; Ae2];
103     be = [be1; be2];
104     pE = [pE1; pE2];
105
106
107     %% define inequality constraints
108     % input variable (th) format:
109     % [u_{k-1}; v_{k}; d_{k}; z_{k}; v_ref(k), ..., v_ref{k+Np}]
110
111     % original inequalities
112     aux_matrix = diag(ones(1, Np), 0);
113     A11 = kron(aux_matrix, model.E1);
114
115     aux_matrix = diag(ones(1, Np-1), 1);
116     aux_matrix(end,end) = 1;
117     A12 = kron(aux_matrix, model.E2);
118
119     aux_matrix = diag(ones(1, Np), 0);
120     A13 = kron(aux_matrix, model.E3);
121     A14 = kron(aux_matrix, model.E4);
122
123     A15 = zeros(ng*Np,Np);
124     A16 = zeros(ng*Np,1);
125
126     A1 = [A11, A12, A13, A14, A15, A16];
127     b1 = ones(Np,1);
128     b1 = kron(b1, model.g5);
129     pB1 = ones(Np*ng, nu + nv + nd + nz + nv * Np) * 0;
130
131     % optimization construction
132     % for rho
133     A21 = eye(Np);
134     A22 = zeros(Np, Np);
135     A23 = zeros(Np, Np*nd);
136     A24 = zeros(Np, Np*nz);
137     A25 = -eye(Np, Np);
138     A26 = zeros(Np, 1);
139
140     A2 = [A21, A22, A23, A24, A25, A26];
141     b2 = ones(Np, 1) * 0;
142     pB2 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
143     pB2([1: 1: Np], [nu + nv + nd + nz + 1: 1: nu + nv + nd + nz + nv * Np]) ...
        = eye(Np);
144
145     A31 = eye(Np);
146     A32 = zeros(Np, Np);
147     A33 = zeros(Np, nd*Np);
148     A34 = zeros(Np, nz*Np);
149     A35 = eye(Np, Np);
150     A36 = zeros(Np, 1);
151
152     A3 = [A31, A32, A33, A34, A35, A36];
153     b3 = ones(Np, 1) * 0;
154     pB3 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
155     pB3([1: 1: Np], [nu + nv + nd + nz + 1: 1: nu + nv + nd + nz + nv * Np]) ...
        = eye(Np);
156
157     % for tau
158     A41 = zeros(Np, Np);
159
160     aux_matrix = - diag(ones(1,Np-1),-1);

```

```

161     A42 = eye(Np) + aux_matrix;
162
163     A43 = zeros(Np, nd*Np);
164     A44 = zeros(Np, nz*Np);
165     A45 = zeros(Np, Np);
166     A46 = -ones(Np, 1);
167
168     A4 = [A41, A42, A43, A44, A45, A46];
169     b4 = zeros(Np, 1);
170     pB4 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
171     pB4(1, [1: 1: nu]) = 1;
172
173     A51 = zeros(Np, Np);
174
175     aux_matrix = - diag(ones(1, Np-1), -1);
176     A52 = eye(Np) + aux_matrix;
177
178     A53 = zeros(Np, nd*Np);
179     A54 = zeros(Np, nz*Np);
180     A55 = zeros(Np, Np);
181     A56 = ones(Np, 1);
182
183     A5 = [A51, A52, A53, A54, A55, A56];
184     b5 = zeros(Np, 1);
185     pB5 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
186     pB5(1, [1: 1: nu]) = 1;
187
188     % comfortable acceleration
189     aux_matrix = - diag(ones(1, Np-1), -1);
190     A61 = eye(Np) + aux_matrix;
191     A62 = zeros(Np, Np);
192     A63 = zeros(Np, nd*Np);
193     A64 = zeros(Np, nz*Np);
194     A65 = zeros(Np, Np);
195     A66 = zeros(Np, 1);
196
197     A6 = [A61, A62, A63, A64, A65, A66];
198     b6 = zeros(Np, 1);
199     pB6 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
200     pB6(1, [nu+1: 1: nu+nv]) = 1;
201
202     aux_matrix = - diag(ones(1, Np-1), -1);
203     A71 = eye(Np) + aux_matrix;
204     A72 = zeros(Np, Np);
205     A73 = zeros(Np, nd*Np);
206     A74 = zeros(Np, nz*Np);
207     A75 = zeros(Np, Np);
208     A76 = zeros(Np, 1);
209
210     A7 = [A71, A72, A73, A74, A75, A76];
211     b7 = zeros(Np, 1);
212     pB7 = ones(Np, nu + nv + nd + nz + nv * Np) * 0;
213     pB7(1, [nu+1: 1: nu+nv]) = 1;
214
215     A = [A1; A2; -A3; A4; -A5; A6; -A7];
216     b = [b1; b2; -b3; b4; -b5; b6; -b7];
217     pB = [pB1 ; pB2; -pB3; pB4; -pB5; pB6; -pB7];
218
219     %% prepare lb and ub
220     % output variable (u) format:
221     % [ v_{k+1}, ..., v_{k+Np}, | u_{k}, ..., u_{k+Np-1}, | d_{k+1}, ..., ...
222       d_{k+Np}, |
223       % z_{k+1}, ..., z_{k+Np}, | rho_{1}, ..., rho_{Np}, | tau ]

```

```

223
224 % state lb
225 lb1 = ones(Np, 1) * vmin;
226 % input lb
227 lb2 = ones(Np, 1) * umin;
228 % d lb
229 lb3 = 0 * ones(Np*nd, 1);
230 % z lb
231 aux_matrix = ones(Np,1);
232 lb4 = kron(aux_matrix, [0; 0; 0]);
233 % rho lb
234 lb5 = 0 * ones(Np,1);
235 % tau lb
236 lb6 = 0 * ones(1);
237
238 % state ub
239 ub1 = ones(Np, 1) * vmax;
240 % input ub
241 ub2 = ones(Np, 1) * umax;
242 % d ub
243 ub3 = 1 * ones(Np*nd, 1);
244 % z ub
245 aux_matrix = ones(Np,1);
246 ub4 = kron(aux_matrix, [umax; vmax; umax]);
247 % rho lb
248 ub5 = 60 * ones(Np, 1);
249 % tau lb
250 ub6 = 2.6 * ones(1);
251
252 % combine the ub and lb
253 lb = [lb1; lb2; lb3; lb4; lb5; lb6];
254 ub = [ub1; ub2; ub3; ub4; ub5; ub6];
255
256
257 %% variable type definition
258 vartype = repelem(['C','C','B','C','C','C'], ...
259                  [nv*Np, nu*Np, nd*Np, nz*Np, Np, 1]);
260
261 %% call solver
262
263 opt = Opt('f', f, 'A', A, 'b', b, 'pB', pB, 'Ae', Ae, 'be', be, 'pE', pE, ...
264         'lb', lb, 'ub', ub, 'vartype', vartype);
265
266 opt.display()
267 % opt.minHRep();
268 opt.display()
269 opt.qp2lcp();
270 opt.solver = 'ENUMPLCP';
271 ctrl = opt.solve();
272 sys = [];
273
274
275 else
276
277
278 %% define system model
279
280 % % regard [x, d, z] as the whole state variables
281 sys = Model_generator(vmin, v1, v2, v3, vmax, Ts);
282
283 %% define MPC model
284 % define penalty: the target function
285

```

```

286     sys.u.min = umin;
287     sys.u.max = umax;
288     sys.x.min = vmin;
289     sys.x.max = vmax;
290     % sys.y.min = vmin;
291     % sys.y.max = vmax;
292
293     sys.u.with('ΔPenalty');
294     sys.u.ΔPenalty = InfNormFunction(lambda);
295
296     sys.x.with('reference');
297     sys.x.reference = 'free';
298     sys.x.penalty = OneNormFunction(1);
299
300     sys.x.with('ΔMin');
301     sys.x.with('ΔMax');
302     sys.x.ΔMin = -Ts * a_comfort;
303     sys.x.ΔMax = Ts * a_comfort;
304
305     % define Np and Nc horizon
306     sys.u.with('block');
307     sys.u.block.from = Nc;
308     sys.u.block.to = Np;
309
310     %% call solver
311     ctrl = MPCController(sys, Np);
312
313     if mode == 1
314         ctrl;
315     elseif mode == 0
316         explicit_ctrl = ctrl.toExplicit();
317         figure
318         explicit_ctrl.partition.plot();
319     end
320
321 end
322
323 end

```

Script_2_10.m

```

1
2 x0 = 0.9*alpha;
3 v_ref = GenerateXRef_2_9(Ts, alpha)';
4 Nsim = length(v_ref);
5 temp = [];
6 for i = 1:Nsim
7     temp = [temp, repmat(v_ref(i), 1, 1)];
8 end
9 xref = temp;
10
11 clear temp
12
13 %% Failed Attempts: Manually Explicit Controller: Generation Too Slow
14
15 % lambda = 0.1;
16 % Np = 2;
17 % Nc = 2;
18 % x_0 = 5;
19 % v_0 = [0];
20 % u_0 = 0;
21 % Ts = 0.15;

```

```

22 %
23 % [explicit_ctrl, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, ...
    vmin, a_comf_max,...
24 %                               model, Ts, 2);
25
26
27 %% Generate Controllers
28
29 Np = 2;
30 Nc = 2;
31
32 [ctrl_2_2, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, vmin, ...
    a_comf_max,...
33                               model, Ts, 1);
34 [explicit_ctrl_2_2, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, ...
    vmin, a_comf_max,...
35                               model, Ts, 0);
36
37 Np = 3;
38 Nc = 3;
39 [ctrl_3_3, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, vmin, ...
    a_comf_max,...
40                               model, Ts, 1);
41 [explicit_ctrl_3_3, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, ...
    vmin, a_comf_max,...
42                               model, Ts, 0);
43
44 Np = 4;
45 Nc = 4;
46 [ctrl_4_4, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, vmin, ...
    a_comf_max,...
47                               model, Ts, 1);
48 [explicit_ctrl_4_4, sys] = Solution_2_10(Np, Nc, lambda, umax, umin, vmax, ...
    vmin, a_comf_max,...
49                               model, Ts, 0);
50
51 %% simulation: implicit controller
52 t1 = tic;
53 % loop_im_2_2 = ClosedLoop(ctrl_2_2, sys);
54 % data_im_2_2 = loop_im_2_2.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
55 v_ref = GenerateXRef_2_9(Ts, alpha);
56 [v, u, Results_2_2] = Simulator_2_8(2, 2, lambda, [umin, umax], [vmin, vmax], ...
    a_comf_max,...
57                               x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
    dydt_step8(t, y, m, gamma, b, c, g));
58
59 T1 = toc(t1);
60 t2 = tic;
61 % loop_im_3_3 = ClosedLoop(ctrl_3_3, sys);
62 % data_im_3_3 = loop_im_3_3.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
63 [v, u, Results_3_3] = Simulator_2_8(3, 3, lambda, [umin, umax], [vmin, vmax], ...
    a_comf_max,...
64                               x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
    dydt_step8(t, y, m, gamma, b, c, g));
65
66 T2 = toc(t2);
67 t3 = tic;
68 % loop_im_4_4 = ClosedLoop(ctrl_4_4, sys);
69 % data_im_4_4 = loop_im_4_4.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
70 [v, u, Results_4_4] = Simulator_2_8(4, 4, lambda, [umin, umax], [vmin, vmax], ...

```

```

    a_comf_max,...
71         x_0, v_0, u_0, v_ref, Ts, [T_0, T_end], model, @(t,y) ...
            dydt_step8(t, y, m, gamma, b, c, g));
72
73 T3 = toc(t3);
74
75 %% simulation: explicit controller
76
77 % x0 = 0.9*alpha;
78 % u0 = 0;
79 % x_prev = x0;
80 % u_prev = u0;
81 % X = [];
82 % U = [];
83 % t4 = tic;
84 % for i = 1:length(v_ref)
85 %
86 %     u = explicit_ctrl_2_2.evaluate(x_prev, 'x.reference', v_ref(i), ...
            'u.previous', u_prev);
87 %     [temp_t, temp_v] = ode45(@(t,y) dydt_step8(t, y, m, gamma, b, c, g), ...
            [0, Ts], [10; x_prev; u]);
88 %     x = temp_v(end, 2);
89 %     X = [X x];
90 %     U = [U u];
91 %     u_prev = u;
92 %     x_prev = x;
93 % end
94 % T4 = toc(t4);
95 %
96 % x0 = 0.9*alpha;
97 % u0 = 0;
98 % x_prev = x0;
99 % u_prev = u0;
100 % X = [];
101 % U = [];
102 % t5 = tic;
103 % for i = 1:length(v_ref)
104 %
105 %     u = explicit_ctrl_3_3.evaluate(x_prev, 'x.reference', v_ref(i), ...
            'u.previous', u_prev);
106 %     [temp_t, temp_v] = ode45(@(t,y) dydt_step8(t, y, m, gamma, b, c, g), ...
            [0, Ts], [10; x_prev; u]);
107 %     x = temp_v(end, 2);
108 %     X = [X x];
109 %     U = [U u];
110 %     u_prev = u;
111 %     x_prev = x;
112 % end
113 % T5 = toc(t5);
114 %
115 % x0 = 0.9*alpha;
116 % u0 = 0;
117 % x_prev = x0;
118 % u_prev = u0;
119 % X = [];
120 % U = [];
121 % t6 = tic;
122 % for i = 1:length(v_ref)
123 %
124 %     u = explicit_ctrl_4_4.evaluate(x_prev, 'x.reference', v_ref(i), ...
            'u.previous', u_prev);
125 %     [temp_t, temp_v] = ode45(@(t,y) dydt_step8(t, y, m, gamma, b, c, g), ...
            [0, Ts], [10; x_prev; u]);

```



```
126 %     x = temp_v(end, 2);
127 %     X = [X x];
128 %     U = [U u];
129 %     u_prev = u;
130 %     x_prev = x;
131 % end
132 % T6 = toc(t6);
133
134 t4 = tic;
135 loop_explicit_2_2 = ClosedLoop(explicit_ctrl_2_2, sys);
136 data_explicit_2_2 = loop_explicit_2_2.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
137 T4 = toc(t4);
138 t5 = tic;
139 loop_explicit_3_3 = ClosedLoop(explicit_ctrl_3_3, sys);
140 data_explicit_3_3 = loop_explicit_3_3.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
141 T5 = toc(t5);
142 t6 = tic;
143 loop_explicit_4_4 = ClosedLoop(explicit_ctrl_4_4, sys);
144 data_explicit_4_4 = loop_explicit_4_4.simulate(x0, Nsim, 'x.reference', xref, ...
    'u.previous', u_0);
145 T6 = toc(t6);
146
147 clear t1 t2 t3 t4 t5 t6
```