



# **SAR Surface Water Mapping Documentation**

***Release 1.0***

**Water Survey of Canada**

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# CONTENTS

<b>1</b>	<b>Overview of the Project</b>	<b>1</b>
1.1	Introduction . . . . .	1
1.2	Reference . . . . .	1
<b>2</b>	<b>Setting up the Algorithm</b>	<b>2</b>
2.1	User Requirements: . . . . .	2
2.2	Required Python Libraries . . . . .	2
2.3	Directory Setup . . . . .	2
2.4	Configuration file . . . . .	3
2.5	Other Data Requirements . . . . .	3
2.6	Launching the Tasks . . . . .	4
<b>3</b>	<b>Documentation</b>	<b>5</b>
3.1	Launch . . . . .	5
3.2	PreProcess . . . . .	6
3.3	Forest . . . . .	16
3.4	Training and Testing . . . . .	20
	<b>Python Module Index</b>	<b>23</b>
	<b>Index</b>	<b>24</b>

## OVERVIEW OF THE PROJECT

### 1.1 Introduction

The National Hydrologic Services (NHS) of Canada is responsible for the upkeep and distribution of data from some 2500 gauging stations strategically placed across the country to monitor Canadian water resources. While the data these stations collect is important to the regions they are located in, a majority of water bodies and systems in this country are completely unmonitored. Many of these water bodies, especially within the prairie regions, exhibit dramatic shifts in waterbody volume and extent throughout the year, which can have significant impacts on the surrounding region. Thus, the included algorithm was developed to monitor these volatile waterbodies using SAR, specifically data from the RADARSAT Constellation Mission (RCM).

RCM is a trio of radar satellites launched in June of 2019, that began collecting data in early 2020. SAR was chosen for an operational monitoring program such as this due to its ability to image at any time of day and through cloud cover, versus optical sensors that require sunlight and direct sight of the surface. Unlike Canada's previous generations of radar satellite (RADARSAR-1 launched in 1995, and RADARSAT-2 launched in 2007), having three satellites in a constellation allows for access to nearly the entire country each day. This large accessibility allows NHS to monitor highly volatile waterbodies, such as those in the prairies, on a biweekly basis with high spatial resolution products (5m).

The waterbodies themselves are detected using open-source Random Forest machine learning which, because of its robustness, is able to accommodate a variety of SAR sensors and beam-modes. The algorithm is trained on the Global Surface Water (GSW) Dataset (<https://global-surface-water.appspot.com>), which gives each 30x30m pixel on Earth a occurrence value between 0 and 100. These values were derived from 30 years of Landsat data, and give a percentage of how often the pixel was water in the record. Scenes are trained on themselves using pixels within the scene determined from GSW to have a greater than 90% probability of being water. Results are then filtered to remove false-positives, and presented in both raster and vector formats. Additional details on the methods of the algorithm are presented by Millard et al. 2020.

The following documentation presents instructions to setup the algorithm, and an accumulation of code comments for reference and troubleshooting. An overview of the science behind the algorithm is presented by Millard et al. 2020.

### 1.2 Reference

Koreen Millard, Nicholas Brown, Douglas Stiff & Alain Pietroniro (2020): Automated surface water detection from space: a Canada-wide, open-source, automated, near-real time solution, Canadian Water Resources Journal / Revue canadienne des ressources hydriques, DOI: 10.1080/07011784.2020.1816499

## SETTING UP THE ALGORITHM

### 2.1 User Requirements:

- 1) A basic understanding of the command line
- 2) Python 3.7 (Preferably Anaconda) installed.
- 3) RCM, RADARSAT-2, or Sentinel-1 raw products

Optional: - Access to a GIS

### 2.2 Required Python Libraries

GDAL, Pandas, GeoPandas, RasterStats, SciKit-Learn, SciKit-Image, Cython, NumPy, SciPy, Requests, h5py, TensorFlow

The recommended method is to create a new Anaconda environment and install Python 3.7 with the above libraries.

### 2.3 Directory Setup

Besides those included with the GitHub download, the following directories will be needed for the execution of the script:

rawInput : Where raw SAR zipfiles are placed

finishedPre: Where the results of the preprocessor are placed

ouptut : Where the results of the random forest classifier are placed

h5 : Where the training data for each scene is created and temporarily stored

DEM : Directory DEM files for orthorectification are downloaded into

logs : Where log files are placed

waterTiles : Location GSW tiles for RF training are stored

tmp : Location for temporary files created by the scripts.

Make sure to edit the config file ("classify.ini") with paths to the above directories!

## 2.4 Configuration file

The config file used for this project is named **classify.ini**, and is contained within the main *SSWM* directory. Please see *classify.ini* for notes on what each variable represents.

Under *LaunchPreprocessor*, a satellite profile must be specified. This can be one of the following:

**RS2** : Fully implemented, expects **product.xml** in main directory of data product.

**RCM** : Partially implemented, expects a **.tif** file in the main directory of data product (This file must be created by the user via independant preprocessing, replaces the manifest.safe). Since the RCM manifest.safe cannot be read by the libraries used in these scripts, some preprocessing (including SAR calibration) are required by the user beforehand. The script performs speckle-filtering and orthorectification, thus the user is mainly responsible for product calibration.

**S1** : Partially implemented, expects manifest.safe in main directory of data product. **WARNING**: Extensive testing with Sentinel-1 has yet to be performed, use this mode at your own risk!

## 2.5 Other Data Requirements

### GSW Tiles

The training data for the random forest classifier, GSW tiles, are located here: <https://global-surface-water.appspot.com/download> Download any tiles needed for your study area and place them into the waterTiles directory.

NOTE: The scripts expect the GSW tiles in a specific naming convention (occurrence\_ \*LongBoundary\*\_ \*LatBoundary\*.tif, Ex. occurrence\_100W\_50N.tif). If changes in the naming convention of these tiles occurs in the future, the tiles will have to be renamed to this convention in order for the scripts to run properly.

### DEM Files

DEM tiles are used in the preprocessor to orthorectify the scenes. The DEM tiles required for each scene are automatically determined and downloaded by the script! This is done in the *DEM.py* (documented below) and *NTS.py* (undocumented!) scripts. Within *DEM.py*, there are three choices of DEM, one of which must be configured. The three options are:

**CDED** [Canadian Digital Elevation Data] Only use if study area is fully within Canadian lands, if any portion of the scene exists outside of the Canadian landmass, the script will crash!

**SRTM** [Shuttle Radar topography mission (<https://e4ftl01.cr.usgs.gov/MEASURES/SRTMGL1.003/2000.02.11/>), requires an account with <https://urs.earthdata.nasa.gov/>) Available world-wide. Place login and password in the header of the **DownloadSRTM** function in *DEM.py* (Change *login* and *password* from **None** to your own)

**NED** [USGS National Elevation Dataset (<https://catalog.data.gov/dataset/usgs-national-elevation-dataset-ned>)] Available for North America Only

You can select the appropriate DEM type for the script to download by changing the DEM type to either CDED, SRTM, or NED in the config file.

### Cython

The filtering procedured conducted on the imagery during the preprocessing utilizes the Cython library to increase efficiency. It is highly recommended that you read up on this module here: (<https://cython.org/>) as it can be quite tricky to setup properly. This document will not go over how to setup Cython, but will describe how to setup the **PSPOL** module to run properly. The **PSPOL** module requires Cython inorder to run, and setting it up is as easy as the following:

- 1) Navigate to the **PSPOL** directory in the terminal.

- 2) In the terminal, execute the following command: “python setup.py build\_ext –inplace” (Make sure the “python” command in the terminal is set to the same python executable you will be running the remaining code with)

If all goes well, **PSPOL** should be ready to go with no further action required. If an error message occurs while running the above command, it will be related to the installation of Cython dependencies. Please see the Cython page for help.

## 2.6 Launching the Tasks

As noted before, there are two “tasks” associated with this package: Preprocessor and RandomForestClassifier. Each of these tasks has a section within the config file (“Classify.ini”). Launching of both tasks begins by running the script *check\_directory.py*. In the first few lines of this script (Line 17-18) is an area for user input. The path to the config file, along with the task to be launch need to be specified. Input your path to “Classify.ini”, then set **task** equal to either “LaunchPreprocessor” or “LaunchClassifier” to run the preprocessor and rf classifier respectively. Once these two lines are configured, save the file and run it. This should result in the creation of a *manifest.txt* file within the data directory for the given task (either rawInput or finishedPre for LaunchPreprosessor and LaunchClassifier respectively) listing the files to process. The chosen job is then automatically called by this script, and is continually run until all files listed in the manifest are processed. Once the code finishes, if another task is to be run, simply change the **task** on line 18 of *check\_directory.py*, save the script, and run it again.

## DOCUMENTATION

### 3.1 Launch

Checks a directory for the existence of certain files.

`launch_preprocess.preprocess(folder, DEM_directory, finished_result, DEMType, logger, satellite='RS2')`

Run preprocessing on next file and move results to correct directory

Gets the next file from the manifest, processes it and moves the results to the target folders.

*Parameters*

`folder` : str

**DEM\_directory** [str] Path to directory containing DEM files in appropriate folder hierarchy

**finished\_raw** [str] Path to folder where original file should be moved after processing

**finished\_result** [str] Path to folder where results should be saved

**DEMType**: DEM type the preprocessor will use (See documentation for options)

**satellite** [str] Which satellite profile should be used. One of “RS2” or “RCM”

`launch_preprocess.untar(cur_file, folder, sl=False)`

Extract files from archive

*Returns*

**tuple**

(1) Path to extracted VRT file

(2) Path to working directory containing VRT and image files

This script is used to create a hdf5 file from an image, train a random forest classifier and then classify the image

`launch_forest.clean_up(extracted_directory)`

Remove extracted files and move archive to backup directory

`launch_forest.failure(output_h5, exdir, cur_file, images_output_dir, msg)`

Create a flag file to indicate that the processing was aborted.

`launch_forest.get_cur_file(folder)`

Read current file from textfile manifest

*Parameters*

**folder** [str] Path to job directory containing manifest and preprocessed image archives

*Returns*

**tuple**

- (1) Path at which to create next file to process
- (2) Directory to which the archived files should be extracted

`launch_forest.untar_VRT(cur_file)`

Extract files from archive

*Returns*

**tuple**

- (1) Path to extracted VRT file
- (2) Path to working directory containing VRT and image files

## 3.2 PreProcess

This file contains functions to download and mosaic DEM tiles from a variety of providers

`DEM.DEMproj4(product)`

return proj4 string or equivalent for DEM product

`DEM.NED_tile_name(lon, lat, fext="", v='2013')`

Build name of NED DEM file

*Parameters*

**lon** [int] Longitude (whole number) for corner of DEM tile

**lat** [int] Latitude (whole number) for corner of DEM tile

**fext** [str] File extension to append

**v** [str] Which version of the dataset to use. One of ["2013", "2017"]. Not all tiles are available in each version.

*Returns*

**str** File name of DEM tile for NED

*Example*

`NED_tile_name(-110, 49, ".zip", "2017")` `NED_tile_name(-110, 49, ".zip", "2013")`

`DEM.NED_tiles_from_extent(ext)`

Get a list of NED tiles required to cover a spatial extent

*Parameters*

**ext** [dict] Dictionary with the following keys: {xmin, xmax, ymin, ymax} corresponding to the spatial extent in WGS84 decimal degrees

*Returns*

**list** List of tile names required to cover specified spatial extent

*Examples*

`E = {'xmin': -110, 'xmax': -108, 'ymin': 48, 'ymax': 51}` `NED_tiles_from_extent(E)`

`DEM.NTS_tiles_from_extent(ext, scale=1)`

Determine which NTS tiles are required to cover a target spatial extent

*Parameters*



**ext** [dict] Dictionary with the following keys: {xmin, xmax, ymin, ymax} corresponding to the spatial extent in WGS84 decimal degrees

scale : int

*Examples*

```
ext = {'ymin': 52, 'ymax': 53, 'xmin' : -114, 'xmax' : -112} NTS_tiles_from_extent(ext)
```

DEM.**SRTM\_tile\_name** (*lon, lat*)

Build name of SRTM DEM file

*Parameters*

**lon** [int] Longitude (whole number) for corner of DEM tile. Negative numbers correspond to W

**lat** [int] Latitude (whole number) for corner of DEM tile. Negative numbers correspond to

*Returns*

**str** File name of DEM tile for SRTM

*Example*

```
SRTM_tile_name(-110, 49)
```

**class** DEM.**SessionWithHeaderRedirection** (*username, password*)

**rebuild\_auth** (*prepared\_request, response*)

When being redirected we may want to strip authentication from the request to avoid leaking credentials. This method intelligently removes and reapplies authentication where possible to avoid credential loss.

DEM.**create\_DEM\_mosaic** (*DEM, DEM\_dir, dstfile, product='NED', vrt\_only=False, format='GTiff'*)

Create a Mosaic from a list of DEM urls or NTS tiles. Missing tiles will be downloaded

DEM.**create\_DEM\_mosaic\_from\_extent** (*ext, dstfile, DEM\_dir, product='CDED', vrt\_only=False*)

Generate DEM mosaic covering a extent

*Parameters*

**ext** [dict] Dictionary with the following keys: {xmin, xmax, ymin, ymax} corresponding to the spatial extent in WGS84 decimal degrees

**dstfile** [str] Path to file to create

**DEM\_dir** [str] Directory where DEM files are saved

**product** [str] DEM source to use. One of ("NED", "CDED").

**vrt\_only** [boolean ] Whether to create a VRT as an output file or

*Returns*

**str** Path to mosaicked DEM

*Examples*

```
from os import path home = path.expanduser('~') ext = {'ymin': 52, 'ymax': 53, 'xmin' : -114, 'xmax' : -112}
create_DEM_mosaic_from_extent(ext,
```

```
dstfile = path.join(home, 'mosaic.tif'), DEM_dir = path.join(home, 'DEM'), product = "CDED",
vrt_only = False)
```

DEM.**degree\_tiles\_from\_extent** (*ext, tile\_function, xoff=0, yoff=0*)

Get a list of raster tiles required to cover a spatial extent

*Parameters*

**ext** [dict] Dictionary with the following keys: {xmin, xmax, ymin, ymax} corresponding to the spatial extent in WGS84 decimal degrees

**tile\_function** [function] function that takes lon, lat as keyword arguments and returns tile name

*Returns*

**list** List of tile names required to cover specified spatial extent

*Examples*

E = {'xmin': -110, 'xmax': -108, 'ymin': 48, 'ymax': 51 } NED\_tiles\_from\_extent(E)

DEM. **downloadSRTM** (url, destfile, username=None, password=None, retry=5)

Downloads an SRTM tile.

*Parameters*

**url** [str] path to SRTM tile

**username** [str (optional)] USGS Earthdata username. If missing, looks for the existence of a .netrc file in your home directory

**password** [str (optional)] USGS Earthdata username. If missing, looks for the existence of a .netrc file in your home directory

**retry** [int] how many times to retry downloading

**If username / password are not provided, the function requires a netrc** file in your home directory (named either '\_netrc' or '.netrc')

with the following contents: machine <hostname> login <login> password <password>

DEM. **download\_and\_unzip** (url, destfile, exdir, rmzip=True)

Downloads and unzips a file

*Parameters*

**url** [str] Url path

**destfile** [str] Filepath of output zipfile

**exdir** [str ] The directory to which files are extracted

**rmzip** [boolean] Whether or not to remove zipfile after extraction.

*Returns*

**str** path(s) to target tiles

DEM. **download\_multiple\_DEM** (DEM, DEM\_dir, product='NED')

Download a list of DEM URLs. If they exist already, they are not downloaded

*Parameters*

**DEM** [list] List of DEM urls (NED) or NTS tiles (CDED)

**DEM\_dir** [str ] Path to which files are downloaded

**product** [str] Which DEM tile series should be downloaded: ('NED', 'CDED')

*Returns*

**list** a list of file paths for target DEMs

DEM. **download\_single\_DEM** (DEM\_id, DEM\_dir, replace=False, product='NED')

Download a DEM tile

*Parameters*

**DEM\_id** [str] Name or NTS sheet of tile to download. If product is “NED” or “SRTM”, a name should be specified, but if product is “CDED”, then a NTS sheet should be.

**DEM\_dir** [str] Path to which files are downloaded

**replace** [boolean] Whether or not existing files should be re-downloaded and overwritten

**product** [str] Which DEM tile series should be downloaded: (‘NED’, ‘CDED’)

*Returns*

**list** List of file paths to DEM files. There may be more than one file per single zipped tile.

DEM.**egm96\_to\_wgs84\_heights** (*dem, geoid*)

Convert heights above the EGM96 geoid to heights above the WGS84 ellipsoid, for example, as required to use the RADARSAT-2 rational function model.

DEM.**get\_spatial\_extent** (*raster\_path, target\_EPSG=4326, tol=0.1*)

Get the spatial extent of a raster file.

If the file is not georeferenced (e.g. for raw radarsat 2), this function attempts to use the GCPs in the image. However, this doesn’t always produce exact results, so it is advisable to use an extra buffer tolerance in your spatial extent (maybe ~0.1 decimal degrees)

*Parameters*

**raster\_path** [str] Path to raster for which a spatial extent is desired

**target\_EPSG** [int] EPSG code specifying coordinate system for output file

**tol** [float] By how many decimal degrees to buffer spatial extent

*Returns*

**dict** Dictionary with the following keys: {xmin, xmax, ymin, ymax} corresponding to the spatial extent in WGS84 decimal degrees

DEM.**get\_tile\_path\_CDED** (*NTS*)

Get FTP path for a CDED NTS tile

*Parameters*

**NTS** [str] Name of NTS sheet for which a DEM is desired

*Returns*

**str** FTP location for CDED DEM tile

get\_tile\_path\_CDED(“079D01”) get\_tile\_path\_CDED(“079D”)

DEM.**get\_tile\_path\_NED** (*lon=None, lat=None, name=None, test=True*)

Get http path to download a USGS NED tile over a particular coordinate

Only valid over North America ( lon is always assumed to be positive )

*Parameters*

**lon** [int] Longitude (whole number) for corner of DEM tile

**lat** [int] Latitude (whole number) for corner of DEM tile

**name** [str, optional] If provided, use the name directly to produce link

**test** [boolean] Whether or not to test whether or not the file path is valid. There are two possible nomenclature styles for NED tiles. If test is False then the link may be invalid.

*Returns*

**str** HTTP location for CDED DEM tile

*Example*

```
get_tile_path_NED(112, 56) get_tile_path_NED(-112, 56) get_tile_path_NED(name='n56w112')
```

```
DEM.get_tile_path_SRTM(-110, 49)
```

```
filters.energy(img, window)
```

Apply energy texture filter to an image. Does not modify original.

*Parameters*

**img** [numpy array ] Array to which filter is applied

**window** [int] Size of filter

*Returns*

**array** Filtered array with float32 datatype ( done internally to avoid overflow)

```
filters.enhanced_lee(img, looks, window=7, df=1)
```

Apply enhanced lee filter to image. Does not modify original.

Enhanced lee filter following Lopes et al. (1990) (PCI implementation)

*Parameters*

**img** [numpy array ] Array to which filter is applied

**window** [int] Size of filter

**looks** [int] Number of looks in input image

**df** [int] Number of degrees of freedom

*Returns*

array

**R = Im for  $C_i \leq C_u$   $R = I_m * W + I_c * (1-W)$  for  $C_u < C_i < C_{max}$   $R = I_c$  for  $C_i \geq C_{max}$  where:**  $W = \exp(-\text{Damping Factor} * (C_i - C_u) / (C_{max} - C_i))$   $C_u = \text{SQRT}(1/\text{Number of Looks})$   $C_i = S / I_m$   $C_{max} = \text{SQRT}(1 + 2/\text{Number of Looks})$   $I_c$  = center pixel in the kernel  $I_m$  = mean value of intensity within the kernel  $S$  = standard deviation of intensity within the kernel

```
filters.enhanced_lee_filter(d, rg_win, az_win, nlooks, damp=1)
```

PCI-style Enhanced Lee filter after Lopes, 1990.

```
filters.filter_image(file, output=None, filter='lee', **kwargs)
```

*Parameters*

**file** [str[]] File to filter (may have multiple bands)

**filter** [str ] Name of filter to use on each band

**output** [str ] Path to output file. If none, overwrites input file

```
filters.lee_filter(img, window=5, 5)
```

Apply a Lee filter to a numpy array. Modifies original array

*Parameters*

**img** [numpy array ] Array to which filter is applied

**window** [int] Size of filter

`filters.lee_filter2 (img, window=3, 3)`

Apply a Lee filter to a numpy array. Does not modify original.

Code is based on: <https://stackoverflow.com/questions/39785970/speckle-lee-filter-in-python>

PCI implementation is found at [http://www.pcigeomatics.com/geomatica-help/references/pciFunction\\_r/python/Pfle.html](http://www.pcigeomatics.com/geomatica-help/references/pciFunction_r/python/Pfle.html)

*Parameters*

**img** [numpy array ] Array to which filter is applied

**window** [int] Size of filter

*Returns*

**array** filtered array

`filters.moving_window_sd (data, window, return_mean=False, return_variance=False)`

This is Ben's implementation Calculate a moving window standard deviation (and mean)

`filters.window_stdev (img, window, img_mean=None, img_sqr_mean=None)`

Calculate standard deviation filter for an image

*Parameters*

**img** [numpy array ] Array to which filter is applied

**window** [int] Size of filter

**img\_mean** [array, optional] Mean of image calculated using an equally sized window. If not provided, it is computed.

**img\_sqr\_mean** [array, optional] Mean of square of image calculated using an equally sized window. If not provided, it is computed.

The function is based on code from: [http://nickc1.github.io/python,/matlab/2016/05/17/Standard-Deviation-\(Filters\)-in-Matlab-and-Python.html](http://nickc1.github.io/python,/matlab/2016/05/17/Standard-Deviation-(Filters)-in-Matlab-and-Python.html)

`orthorectify.orthorectify_dem_rpc (input, output, DEM, dtype=None)`

Orthorectify raster using rational polynomial coefficients and a DEM

*Parameters*

**input** [str] Path to image to orthorectify

**output** [str] Path to output image

**DEM** [str] Path to DEM

**dtype** [int] GDAL data type for output image (UInt16=2, Float32=6 etc.)

*Returns*

**boolean** True if it completes successfully

`preprocess.preproRCM_bd (folder, DEM_dir, cleanup=True, product='CDED', filter=True)`

Preprocess RCM scenes that have been converted to \*.tif files

This assumes files have been converted to (amplitude \* 20k) values and have embedded GCPs

*Parameters*

**folder** [str] Path to product.xml file for Radarsat-2 image

**DEM\_dir** [str] Path to directory containing DEM files in

**cleanup** [boolean] Whether intermediate files should be deleted

**product** [str] Which DEM product to use.

*Returns*

**str** Path to zipped output files

`preprocess.preproRS2 (product_xml, DEM_dir, cleanup=True, product='CDED')`

Preprocess Radarsat-2 file in preparation for classification

*Parameters*

**product\_xml** [str] Path to product.xml file for Radarsat-2 image

**DEM\_dir** [str] Path to directory containing DEM files in appropriate folder hierarchy

**cleanup** [boolean] Whether intermediate files should be deleted

**product** [str] Which DEM product to use.

*Returns*

**str** Path to zipped output files

`preprocess.preproS1 (folder, DEM_dir, cleanup=True, product='CDED')`

Preprocess Radarsat-2 file in preparation for classification

*Parameters*

**product\_xml** [str] Path to product.xml file for Radarsat-2 image

**DEM\_dir** [str] Path to directory containing DEM files in appropriate folder hierarchy

**cleanup** [boolean] Whether intermediate files should be deleted

**product** [str] Which DEM product to use.

*Returns*

**str** Path to zipped output files

`preutils.ProcessSLC (product_xml)`

Convert SLC values to raw DN values

Checks whether a RS-2 product.xml file is associated with SLC data and if so, converts the two-channel (i,q) \*.tif images into single-channel (amplitude) images. Also updates the product.xml file data type attribute from 'Complex' to 'Magnitude Detected'

*Parameters*

**product\_xml** [str] file path pointing to product.xml file

*Returns*

**boolean** True if completed successfully

**class** `preutils.RCM`

Class for accessing information about an RCM dataset

**classmethod** `path_to_xml (folder)`

given a standard folder with RCM data, find the product.xml file

**class** `preutils.RS2`

Class for accessing information about an RS2 dataset

**classmethod** `lut (product_xml, norm='Sigma')`

given product\_xml path, find calibration LUTs norm : str

one of 'Beta', 'Gamma', 'Sigma' (default)

```

classmethod path_to_xml (folder)
    given a standard folder with RS-2 data, find the product.xml file

classmethod product_xml_imagery_files (xml)
    Return a list of which imagery files are associated with a RS-2 product.xml file

classmethod product_xml_pol_modes (xml)
    Return a list of polarization modes associated with an RS-2 product.file

class preutils.Radar
    Generic class for RS2 and RCM folder structures

    classmethod TIF_channels (tif)
        Get count how many channels are in an image

preutils.ReIm2Amp (re, im, inplace=True)
    Convert complex components to their modulus

    Adds small value to the result to ensure it is positive because the code is based on the SLC2IMG algorithm
    from PCI such that  $DN = \text{int}(\sqrt{I \cdot I + Q \cdot Q}) + 0.5$ 

    Parameters

    re [numpy array] Numpy array of shape (m,n) corresponding to the real component of a complex number.

    im [] Numpy array of shape (m,n) corresponding to the imaginary component of a complex number.

    inplace [boolean] Whether the inputs should be modified in-place. If true, the final result is stored in the re
    array

    Returns

    array Modulus of real and imaginary arrays (with shape [m,n])

class preutils.S1
    Class for accessing information about an RCM dataset

preutils.SLC2IMG (image_file, output)
    Convert SLC (Re, Im) raster to amplitude.

    The code is based on the SLC2IMG algorithm from PCI such that  $DN = \text{int}(\sqrt{I \cdot I + Q \cdot Q}) + 0.5$ 

    Parameters

    image_file [str] Path to imagery file, usually a tiff

    output [str] Path to output file

preutils.calibrate (array, lut, complex=False, scale=20000)
    apply LUT calibration to a radar array. Modifies array in-place

    Parameters

    array [array-like] m x n array of raw DN values or modulus for SLC ( $DN_i^2 + DN_q^2$ )*0.5

    lut [str] path to xml for LUT

    complex [bool] does the array represent the modulus of complex (SLC) data?

    scale [int] scaling factor used to store results as int16 (default is 20000, same as CIS for visual interpretation)

preutils.calibrate_in_place (file, lut, complex, scale, band=[1])
    Apply LUT calibration to file and change in-place

preutils.cloneRaster (img, newRasterfn, ret=True, all_bands=True, coerce_dtype=None,
    copy_data=False)
    make empty raster container from gdal raster object. Does not copy data

```

*Parameters*

**img** [osgeo.gdal.Dataset] An open gdal raster object

**newRasterfn str** Filename of raster to create

**ret** [boolean] Whether to return a file handle. If False, closes file

**all\_bands** [boolean] Whether or not all bands should be copied or just the first one

*Returns*

a handle for the new raster file (if ret is True)

`preutils.copy_band_metadata(src, dst, bands)`

Copy band metadata from one osgeo.gdal.Dataset to another

*Parameters*

**src** [osgeo.gdal.Dataset] An open gdal raster object

**dst** [osgeo.gdal.Dataset] A gdal raster object that is open for writing

**bands** [int] How many bands are in the image

`preutils.copy_georeferencing(src, dst)`

Copy geotransform and/or GCPs from one osgeo.gdal.Dataset to another

*Parameters*

**src** [osgeo.gdal.Dataset] An open gdal raster object

**dst** [osgeo.gdal.Dataset] A gdal raster object that is open for writing

`preutils.copy_metadata(src, dst)`

Copy metadata from one osgeo.gdal.Dataset to another

*Parameters*

**src** [osgeo.gdal.Dataset] An open gdal raster object

**dst** [osgeo.gdal.Dataset] A gdal raster object that is open for writing

`preutils.createvalidpixrast(img, dst, band)`

Create valid pixel raster (0 or 1) for a gdal raster band

`preutils.get_blocksize_options(img)`

Get raster blocksize information as a string that can be passed to gdal

`preutils.incidence_angle_from_gains(beta_gains, sigma_gains, complex=False)`

calculate incidence angle array

`preutils.incidence_angle_from_xml(beta_xml, sigma_xml, nrow, complex=False)`

Calculate incidence angle from lutBeta and lutSigma xml files

*Parameters*

**beta\_xml** [str] path to lutBeta.xml file

**sigma\_xml** [str] path to lutSigma.xml file

**nrow** [int] number of rows in output array (number of lines in original image)

**complex** [boolean] whether or not the xml files represent complex data (in which case beta and sigma values are squared before dividing)

*Returns*

an array of dimension (M,N) with M = nrow and N = the number of values represented by each the xml files.



`preutils.interpolate_steps(array, step)`  
interpolate array with desired step size

`preutils.interpolator(y)`  
Interpolate missing (nan) values in an array

*Parameters*

**y** [array] Array which may contain nan values

*Returns*

**array** equal-length array with nan values replaced with imputed data

*Example*

import numpy as np a = np.array([1, np.nan, np.nan, 4, np.nan, 6, 7], dtype='float32') interpolator(a)

`preutils.read_calibration_gains(xml)`  
Read calibration info from RCM or RS2 lut\*.xml

*Parameters*

**xml** [str] Path to look-up table (e.g. sigma.xml)

*Returns*

**tuple** tuple consisting of: (1) gains (array) (2) offset (int) (3) stepsize (int)

`preutils.read_lut_array(xml, nrow)`

`preutils.reproject_image_to_master(master, src, dst)`

This function reprojects an image (`src`) to match the extent, resolution and projection of another (`master`) using GDAL. The newly reprojected image is a GDAL VRT file for efficiency. A different spatial resolution can be chosen by specifying the optional `res` parameter. The function returns the new file's name.

*Parameters*

**master: str** A filename (with full path if required) with the master image (that that will be taken as a reference)

**src: str** A filename (with path if needed) with the image that will be reprojected

**res: float, optional** The desired output spatial resolution, if different to the one in `master`.

*Returns*

The reprojected filename

code credit: [https://github.com/jgomezdans/eoldas\\_ng\\_observations](https://github.com/jgomezdans/eoldas_ng_observations)

`preutils.write_array_like(img, newRasterfn, array, dtype=6, ret=True, driver='GTiff', copy_metadata=False)`  
write numpy array to gdal-compatible raster.

*Parameters*

**img** [osgeo.gdal.Dataset or str] An open gdal raster object or path to file

**newRasterfn** [str] Filename of raster to create

**array** [array] array to be written with shape (nrow[y], ncol[x], band)

**dtype** [int] What kind of data should raster contain?

**ret** [logical] Whether to return a file handle. If false, closes file

*Returns*

**osgeo.gdal.Dataset** a handle for the new raster file

### 3.3 Forest

For RandomForest water classification of radar images

**class** `forest.imgchunker` (*img*, *by\_y*=5000)

Splits image into chunks for memory-safer processing

This object takes raster arrays of dimension (m,n,p) and yields ‘chunks’ with dimension (i, j) with  $1 < i < m*n$  and  $1 < j < p$ . The smaller chunks can then be classified without running out of memory.

The last chunk is usually smaller than the rest unless *by\_y* is chosen to evenly divide the number of image rows.

*Parameters*

**img** [str ] Path to gdal-compatible raster image

**by\_y** [int] How many rows should be returned during each iteration

**build\_band\_dict** (*img*)

Get indices of image bands that will be used in classification

**chunkerator** ()

Generate image chunks for classification

During the classification process, this function ‘feeds’ the classifier pieces of the input image. The last piece of the image is usually smaller than the rest.

*Yields*

**tuple** A tuple containing (1) An array corresponding to a chunk of the input image, and (2) the y-offset of the chunk relative to the upper-left corner of the original image.

**static get\_chunk** (*img*, *ix*, *offx*, *offy*, *lnx*, *lny*)

Get a slice of an image for classification.

Images are classified in pieces to prevent memory overflow

*Parameters*

**ix** [list ] indices (1-based) for image bands that are to be used.

**offx** [int] X offset from which to begin reading image. Referenced to upper left corner

**offy** [int] Y offset from which to begin reading image. Referenced to upper left corner.

**lnx** [int] How many columns to read beginning from x offset

**lny** [int] How many rows to read beginning from y offset

*Returns*

**array** an array corresponding to a slice of the raster array with dimensions (m,n,p) where m=lnx, n=lny and p=len(ix)

**open** (*img*)

Open an image and collect some parameters

**reshape\_chunk** (*chunk*)

Flatten a 3-d array so it can be fed into a random forest classifier

*Parameters*

**chunk** [array-like ] 3-d array with dimensions (m, n, p)

*Returns*

**array-like** 2-d array with dimensions (m\*n, p). Each row corresponds to a pixel and each column corresponds to an image band

**class** `forest.metric` (*labels, predictions*)

A class to hold various statistics about a binary classification

*Parameters*

**labels** [array-like (1-d)] vector of feature labels

**predictions** [array-like (1-d)] vector of predicted categories equal in length to labels

*Example*

```
labels = np.array([True, True, True, True, True, False, False, False])
predictions = np.array([True, False, False, True, True, True, False, False])
M = metric(labels, predictions)
print(M)
```

**add\_dict** (*dct, name*)

Add custom statistics

Dictionaries are added to the 'extras' attribute are written to the output file when `save_report()` is called.

*Parameters*

**dct** [dict] Dictionary of statistics to add

**name** [str] Header for set of statistics when it is written to a file

**calculate\_metrics** (*labels, predictions*)

Calculates accuracy, precision, F1, recall and specificity

**confusion\_matrix** (*labels, predictions*)

Build confusion matrix for labels and predictions

**save\_report** (*txtfile*)

Saves all calculated statistics to a textfile.

Includes confusion matrix, derived statistics (F1, Accuracy etc..) and any custom statistics that were added.

*Parameters*

**txtfile** [str] path to output file

**class** `forest.training_dataset`

A class to hold data for random forest training and evaluation.

Makes use of hdf5 files to store training data.

*Attributes*

**training\_data** [array-like] features for training samples

**testing\_data** [array-like] features for testing samples

**training\_targets** [array-like] 1-d vector of feature labels for training samples

**testing\_targets** [array-like] 1-d vector of feature labels for testing samples

**classmethod** `from_h5` (*h5f, nland, nwater, eval\_frac, max\_L2W\_ratio=None*)

Create training dataset object from h5 file

**classmethod** `from_many_h5` (*h5list, nland, nwater, eval\_frac, max\_L2W\_ratio=None*)

Create training dataset object from multiple h5 files

**proportional\_h5\_sample** (*h5f, nwater=1000, eval\_frac=0.2, max\_L2W\_ratio=15*)

Sample water/land pixels proportional to their abundance in the image

*Parameters*

**h5f** [str] Path to hdf5 file created using PixStats or a list of h5 files

**nwater** [int] Number of water pixels to sample

**eval\_frac** [float] Fraction between 0 and 1 that should be set aside for evaluation

**max\_L2W\_ratio** [int] Maximum ratio of land to water pixels. Used to prevent very large sample sizes in scenes where water is very sparse.

**sample\_from\_h5** (*h5f, nland=1000, nwater=1000, eval\_frac=0.2*)

Read data from an HDF5 file.

This is done to more easily sample values with known labels without having to read the entire dataset into memory. Assigns each data point to either water or land depending on what is

*Parameters*

**h5f** [str] path to hdf5 file created using PixStats or a list of h5 files

**nland** [int ] number of water pixels to sample

**nwater** [int] number of water pixels to sample

**eval\_frac** [float] fraction between 0 and 1 that should be set aside for evaluation

*Returns*

**None** Stores data in training\_dataset object

**split\_sample** (*sample, eval\_frac=0.2*)

Randomly split sample into training and test subsamples

Returned samples are shuffled relative to the input sample

*Parameters*

**sample** [array-like] an array of samples with dimension (m, n)

**eval\_frac** [numeric] fraction of rows to allocate to testing data

*Returns*

**tuple** Two arrays with dimension (m - j, n) and (j, n) where  $j \sim \text{eval\_frac} * m$

**class** `forest.waterclass_RF` (*\*\*rfargs*)

RandomForest classifier for open-water classification of (radar) images

*Parameters*

**\*\*rfargs** [] keyword arguments passed to `sklearn.ensemble.RandomForestClassifier`

**evaluate** ()

Evaluate the current random forest model using current test data

**predict\_chunked** (*imfile, outfile, chunksize=5000*)

Classify an image piece-by-piece to avoid running out of RAM

*Parameters*

**imfile** [str] Path to input image file

**outfile** [str] Path to output raster containing probability of water

**chunksize** [int] How many rows to process at once during classification

**predict\_features** (*imfile, outfile*)

Use current RF model to produce binary classification of an image

**predict\_probabilities** (*infile, outfile*)

Use current RF model to produce probabilistic classification of an image

**save\_evaluation** (*file*)

Save current evaluation statistics to a text file

**test\_from\_h5** (*h5f, nwater=200, output=None*)

Test current model using a random sample from an hdf5 file

*Parameters*

**h5f** [str] Path to hdf5 file containing training data

**nwater** [int] The number of water pixels to draw from

**output** [str, optional] File path to output classification statistics. Ignored if None

*Returns*

**metric** metric() object with classification statistics

**train\_from\_h5** (*h5f, nland=1000, nwater=1000, eval\_frac=0.2*)

Train a random forest using a data sample from an hdf5 file Optionally set aside some of the sample for evaluation

*Parameters*

**h5f** [str] path to hdf5 file created using PixStats or a list of h5 files

**nland** [int ] number of water pixels to sample

**nwater** [int] number of water pixels to sample

**eval\_frac** [float] fraction between 0 and 1 that should be set aside for evaluation

Postprocessing for probability images generated using random forest classification

`postprocess.grow_regions` (*input, output, window=3, val=50*)

Threshold water classification and grow lakes by 1 pixel

`postprocess.max_filter_inplace` (*img\_path, band=1, size=3*)

Run a maximum filter on a raster file and changes the values in-place

`postprocess.modefilter` (*input, output, window=7*)

Threshold water classification at 50% water likelihood

`postprocess.polygonize` (*output, rast, pythonexe='python', gdalpolypath='/usr/bin/gdal', fmt='GPKG', shell=False*)

Convert raster to polygons

The input raster should be equal to 1 wherever a polygon is desired and zero elsewhere. The raster nodata value should also be set to zero for maximum performance

*Parameters*

**output** [str] path to output polygon file with file extension

**rast** [str] path to raster file that will be polygonized

**pythonexe** [str] path to python executable

**gdalpolypath** [str] path to gdal\_polygonize.py file

**fmt** [str] GDAL-compatible format for output polygons

**shell** [boolean] Passed to subprocess. Experimental.

*Returns*

**int** return code for the subprocess.call function

`postprocess.postprocess(classified_img, output_poly, pythonexe, gdalpolypath, window=7)`  
 Postprocess a classified probability image to remove false positives

using a technique inspired by Bolanos et al. (2013)

*Parameters*

**classified\_img** [str] path to classified probability image

**output\_poly** [str] path to output GPKG file

**pythonexe** [str] path to python executable

**gdalpolypath** [str] path to gdal\_polygonize.py file

`postprocess.postprocess_highestimate(classified_img, output_poly, pythonexe, gdalpolypath)`  
 Polygonize regions without filtering

`postprocess.rasterize_inplace(rast, inshape, prefill=0)`  
 Overwrites a raster with the output of a polygon rasterization

*Parameters*

**rast** [str] path to EXISTING raster file that will store values from rasterized

**inshape** [str] path to vector dataset that will be rasterized

**prefill** [int] Value to write to raster before writing polygonization result

`postprocess.raststats(inshape, raster)`  
 calculate mean and max value of a raster in each polygon

`postprocess.set_nodata(file, nodata=0)`  
 Set nodata value for raster file

*Parameters*

**file** [str] path to EXISTING raster file

**nodata** [numeric] value to set as nodata for input raster

`postprocess.threshold(input, val=50)`  
 Threshold a raster image and return the new array

## 3.4 Training and Testing

`TrainingTestingutils.bin_ndarray(ndarray, new_shape, operation='sum')`

**Bins an ndarray in all axes based on the target shape, by summing or averaging.**

Keeps the dtype of the input array Number of output dimensions must match number of input dimensions.

*Example*

```
>>> m = np.arange(0,100,1).reshape((10,10))
>>> n = bin_ndarray(m, new_shape=(5,5), operation='sum')
>>> print(n)
[[ 22  30  38  46  54]
 [102 110 118 126 134]
 [182 190 198 206 214]
 [262 270 278 286 294]
 [342 350 358 366 374]]
```

`TrainingTestingutils.consume(iterator, n=None)`

“Advance the iterator n-steps ahead. If n is none, consume entirely. From python.org manual 9.7.2

`TrainingTestingutils.rebin(a, new_shape)`

Resizes a 2d array by averaging or repeating elements, new dimensions must be integral factors of original dimensions *Parameters*

**a** [array\_like] Input array.

**new\_shape** [tuple of int] Shape of the output array

*Returns*

**rebinned\_array** [ndarray] If the new shape is smaller of the input array, the data are averaged, if the new shape is bigger array elements are repeated

*See Also*

`resize` : Return a new array with the specified shape.

*Examples*

```
>>> a = np.array([[0, 1], [2, 3]])
>>> b = rebin(a, (4, 6)) #upsized
>>> b
array([[0, 0, 0, 1, 1, 1],
       [0, 0, 0, 1, 1, 1],
       [2, 2, 2, 3, 3, 3],
       [2, 2, 2, 3, 3, 3]])
>>> c = rebin(b, (2, 3)) #downsize
>>> c
array([[ 0. ,  0.5,  1. ],
       [ 2. ,  2.5,  3. ]])
```

**class** `GSWInterpolator.GSWInterpolator(sat_f_name, gsw_dir, output_dir=None, data=None, use_cols_vector=None)`

Handle Global Surface Water files

*Parameters*

**sat\_f\_name** [str] File path to satellite imagery scene for which water mask is to be interpolated

**gsw\_dir** [str] File path to location of global surface water \*.tif files

**output\_dir** [str] File path to desired location of output files

**data** : use\_cols\_vector :

**array\_to\_raster** (array)

Array > Raster Save a raster from a C order array (column-major).

*Parameters*

array : ndarray

*Returns*

a tuple of the gdal file handle for the raster dataset and a gdal RasterBand object corresponding to the input array

**get\_covering\_global\_surface\_water\_file\_names** (min\_lat, max\_lat, min\_lon, max\_lon)

Assuming we're running in the path where there is a 'coverage' directory containing all the RS2 BBOX and convex hulls.

**get\_water\_presence\_for\_points** (min\_lat, max\_lat, min\_lon, max\_lon, pts)

```
interpolate_water_presence (min_lat, max_lat, min_lon, max_lon, save=True,  
                             get_data=True)
```

**class** PixStats.**PixStats** (*f\_path*, *output\_dir=None*, *gsw\_path=None*, *images\_output\_dir=None*,  
 *fst\_converter\_path=None*)

Get satellite images ready for neural net processing

*Parameters*

**f\_path** [str] imagery file to be converted

**output\_dir** : str

**gsw\_path** [str] Path to directory containing global surface water data

**images\_output\_dir** : str

**fst\_converter\_path** [str] File path with location to save the files in FST format

**classmethod** **get\_file\_pol** (*file*)

get valid polarizations for a file

**get\_predict\_data** (*index=0*, *num\_procs=8*, *polarization='HH'*, *water\_weight=1.0*)

Put image data into a dictionary that can be fed as features into an `tf.estimator.inputs.numpy_input_fn` object

*Returns*

A dictionary whose keys correspond to the names of image bands

**get\_stats** (*write\_water\_mask=True*, *write\_hist=False*)

Create hdf5 file and a priori water mask for radar image

Creates a \*.tif file corresponding to the 89-100% confidence interval for water in the GSW product. Also takes any pixels with water likelihood equal to zero or greater than 89 and writes them to an hdf5 file (these become the data on which the model will be trained)

Creates histograms of water/non-water pixel values

**get\_valid\_bands** ()

build dictionary to describe order of bands

**prepare\_from\_geotif** (*classified\_img*, *convert\_probabilities=False*, *\*\*kwargs*)

Equivalent to `prepare_fst_info` but used when `pol_fst_array` doesn't exist

*Parameters*

**classified\_img** [str] path to gdal-supported raster

**class** SRIDConverter.**SRIDConverter**

convert coordinates from one coordinate reference system to another using a spatial reference identifier (SRID)

**classmethod** **convert\_from\_coordinates\_check\_geo** (*coordinates*, *src\_srs*,  
 *dest\_srid=4326*)

*Returns*

A tuple (x, y) consisting of x and y coordinates



## PYTHON MODULE INDEX

### c

`check_directory`, [5](#)

### d

`DEM`, [6](#)

### f

`filters`, [10](#)

`forest`, [16](#)

### g

`GSWInterpolator`, [21](#)

### h

`HDF5Feeder`, [22](#)

`HDF5Reader`, [22](#)

`Hdf5Writer`, [22](#)

### l

`launch_forest`, [5](#)

`launch_preprocess`, [5](#)

### o

`orthorectify`, [11](#)

### p

`PixStats`, [22](#)

`postprocess`, [19](#)

`preprocess`, [11](#)

`preutils`, [12](#)

### s

`SRIDConverter`, [22](#)

### t

`TrainingTestingutils`, [20](#)

## A

`add_dict()` (*forest.metric* method), 17  
`array_to_raster()` (*GSWInterpolator* method), 21

## B

`bin_ndarray()` (*in module TrainingTestingutils*), 20  
`build_band_dict()` (*forest.imgchunker* method), 16

## C

`calculate_metrics()` (*forest.metric* method), 17  
`calibrate()` (*in module preutils*), 13  
`calibrate_in_place()` (*in module preutils*), 13  
`check_directory`  
     *module*, 5  
`chunkerator()` (*forest.imgchunker* method), 16  
`clean_up()` (*in module launch\_forest*), 5  
`cloneRaster()` (*in module preutils*), 13  
`confusion_matrix()` (*forest.metric* method), 17  
`consume()` (*in module TrainingTestingutils*), 20  
`convert_from_coordinates_check_geo()`  
     (*SRIDConverter.SRIDConverter* class method), 22  
`copy_band_metadata()` (*in module preutils*), 14  
`copy_georeferencing()` (*in module preutils*), 14  
`copy_metadata()` (*in module preutils*), 14  
`create_DEM_mosaic()` (*in module DEM*), 7  
`create_DEM_mosaic_from_extent()` (*in module DEM*), 7  
`createvalidpixrast()` (*in module preutils*), 14

## D

`degree_tiles_from_extent()` (*in module DEM*), 7  
`DEM`  
     *module*, 6  
`DEMproj4()` (*in module DEM*), 6  
`download_and_unzip()` (*in module DEM*), 8  
`download_multiple_DEM()` (*in module DEM*), 8  
`download_single_DEM()` (*in module DEM*), 8  
`downloadSRTM()` (*in module DEM*), 8

## E

`egm96_to_wgs84_heights()` (*in module DEM*), 9  
`energy()` (*in module filters*), 10  
`enhanced_lee()` (*in module filters*), 10  
`enhanced_lee_filter()` (*in module filters*), 10  
`evaluate()` (*forest.waterclass\_RF* method), 18

## F

`failure()` (*in module launch\_forest*), 5  
`filter_image()` (*in module filters*), 10  
`filters`  
     *module*, 10  
`forest`  
     *module*, 16  
`from_h5()` (*forest.training\_dataset* class method), 17  
`from_many_h5()` (*forest.training\_dataset* class method), 17

## G

`get_blocksize_options()` (*in module preutils*), 14  
`get_chunk()` (*forest.imgchunker* static method), 16  
`get_covering_global_surface_water_file_names()`  
     (*GSWInterpolator.GSWInterpolator* method), 21  
`get_cur_file()` (*in module launch\_forest*), 5  
`get_file_pol()` (*PixStats.PixStats* class method), 22  
`get_predict_data()` (*PixStats.PixStats* method), 22  
`get_spatial_extent()` (*in module DEM*), 9  
`get_stats()` (*PixStats.PixStats* method), 22  
`get_tile_path_CDED()` (*in module DEM*), 9  
`get_tile_path_NED()` (*in module DEM*), 9  
`get_tile_path_SRTM()` (*in module DEM*), 10  
`get_valid_bands()` (*PixStats.PixStats* method), 22  
`get_water_presence_for_points()` (*GSWInterpolator.GSWInterpolator* method), 21  
`grow_regions()` (*in module postprocess*), 19  
`GSWInterpolator`  
     *module*, 21  
`GSWInterpolator` (*class in GSWInterpolator*), 21

**H**

HDF5Feeder  
     module, 22  
 HDF5Reader  
     module, 22  
 Hdf5Writer  
     module, 22

**I**

imgchunker (*class in forest*), 16  
 incidence\_angle\_from\_gains() (*in module preutils*), 14  
 incidence\_angle\_from\_xml() (*in module preutils*), 14  
 interpolate\_steps() (*in module preutils*), 14  
 interpolate\_water\_presence() (*GSWInterpolator method*), 21  
 interpolator() (*in module preutils*), 15

**L**

launch\_forest  
     module, 5  
 launch\_preprocess  
     module, 5  
 lee\_filter() (*in module filters*), 10  
 lee\_filter2() (*in module filters*), 10  
 lut() (*preutils.RS2 class method*), 12

**M**

max\_filter\_inplace() (*in module postprocess*), 19  
 metric (*class in forest*), 17  
 modefilter() (*in module postprocess*), 19  
 module  
     check\_directory, 5  
     DEM, 6  
     filters, 10  
     forest, 16  
     GSWInterpolator, 21  
     HDF5Feeder, 22  
     HDF5Reader, 22  
     Hdf5Writer, 22  
     launch\_forest, 5  
     launch\_preprocess, 5  
     orthorectify, 11  
     PixStats, 22  
     postprocess, 19  
     preprocess, 11  
     preutils, 12  
     SRIDConverter, 22  
     TrainingTestingutils, 20  
 moving\_window\_sd() (*in module filters*), 11

**N**

NED\_tile\_name() (*in module DEM*), 6  
 NED\_tiles\_from\_extent() (*in module DEM*), 6  
 NTS\_tiles\_from\_extent() (*in module DEM*), 6

**O**

open() (*forest.imgchunker method*), 16  
 orthorectify  
     module, 11  
 orthorectify\_dem\_rpc() (*in module orthorectify*), 11

**P**

path\_to\_xml() (*preutils.RCM class method*), 12  
 path\_to\_xml() (*preutils.RS2 class method*), 12  
 PixStats  
     module, 22  
 PixStats (*class in PixStats*), 22  
 polygonize() (*in module postprocess*), 19  
 postprocess  
     module, 19  
 postprocess() (*in module postprocess*), 20  
 postprocess\_highestimate() (*in module postprocess*), 20  
 predict\_chunked() (*forest.waterclass\_RF method*), 18  
 predict\_features() (*forest.waterclass\_RF method*), 18  
 predict\_probabilities() (*forest.waterclass\_RF method*), 18  
 prepare\_from\_geotif() (*PixStats.PixStats method*), 22  
 preprocess  
     module, 11  
 preprocess() (*in module launch\_preprocess*), 5  
 preproRCM\_bd() (*in module preprocess*), 11  
 preproRS2() (*in module preprocess*), 12  
 preproS1() (*in module preprocess*), 12  
 preutils  
     module, 12  
 ProcessSLC() (*in module preutils*), 12  
 product\_xml\_imagery\_files() (*preutils.RS2 class method*), 13  
 product\_xml\_pol\_modes() (*preutils.RS2 class method*), 13  
 proportional\_h5\_sample() (*forest.training\_dataset method*), 17

**R**

Radar (*class in preutils*), 13  
 rasterize\_inplace() (*in module postprocess*), 20  
 raststats() (*in module postprocess*), 20  
 RCM (*class in preutils*), 12

`read_calibration_gains()` (in module *preutils*),  
15  
`read_lut_array()` (in module *preutils*), 15  
`rebin()` (in module *TrainingTestingutils*), 21  
`rebuild_auth()` (*DEM.SessionWithHeaderRedirection*  
method), 7  
`ReIm2Amp()` (in module *preutils*), 13  
`reproject_image_to_master()` (in module *pre-*  
*utils*), 15  
`reshape_chunk()` (*forest.imgchunker* method), 16  
*RS2* (class in *preutils*), 12

## S

*S1* (class in *preutils*), 13  
`sample_from_h5()` (*forest.training\_dataset* method),  
18  
`save_evaluation()` (*forest.waterclass\_RF* method),  
19  
`save_report()` (*forest.metric* method), 17  
*SessionWithHeaderRedirection* (class in  
*DEM*), 7  
`set_nodata()` (in module *postprocess*), 20  
`SLC2IMG()` (in module *preutils*), 13  
`split_sample()` (*forest.training\_dataset* method), 18  
*SRIDConverter*  
module, 22  
*SRIDConverter* (class in *SRIDConverter*), 22  
`SRTM_tile_name()` (in module *DEM*), 7

## T

`test_from_h5()` (*forest.waterclass\_RF* method), 19  
`threshold()` (in module *postprocess*), 20  
`TIF_channels()` (*preutils.Radar* class method), 13  
`train_from_h5()` (*forest.waterclass\_RF* method), 19  
*training\_dataset* (class in *forest*), 17  
*TrainingTestingutils*  
module, 20

## U

`untar()` (in module *launch\_preprocess*), 5  
`untar_VRT()` (in module *launch\_forest*), 6

## W

*waterclass\_RF* (class in *forest*), 18  
`window_stddev()` (in module *filters*), 11  
`write_array_like()` (in module *preutils*), 15