## ECCO\_v4\_data\_structure\_basics

January 25, 2018

# 1 The Dataset and DataArray Objects Used in the ECCOv4 Python Package.

## 1.1 Objectives:

To introduce the two high-level data structures, Dataset and DataArray, that are used in by the ECCOv4 Python package to load and store the ECCO model grid parameters and state estimate variables

Because this is the first tutorial, we describe every step in detail. Later tutorials will be less explicit about describing the basic operations required to begin working with the state estimate. Let's get started.

## 1.2 Import external packages and modules

At the beginning of every Python program or interactive instance we need to import helpful non-core modules or packages. Modules or packages that are loaded into the environment can be thought of as external code libraries and contain subroutines and/or data objects that provide useful functionality.

```
In [97]: # Matplotlib is a Python 2D plotting library which produces publication quality
         # figures in a variety of hardcopy formats and interactive environments across
         # platforms.
         # https://matplotlib.org/
         # make all functions from the 'matplotlib.pylab' module available with the
         # prefix 'plt'
         import matplotlib.pylab as plt
         # NumPy is the fundamental package for scientific computing with Python.
         # It contains among other things:
              a powerful N-dimensional array object
              sophisticated (broadcasting) functions
              tools for integrating C/C++ and Fortran code
              useful linear algebra, Fourier transform, and random number capabilities
         # http://www.numpy.org/
         # make all functions from the 'numpy' module available with the prefix 'np'
         import numpy as np
```

```
# make all functions from the 'sys' module available with the prefix 'sys'
import sys
# xarray is an open source project and Python package that aims to bring the
# labeled data power of pandas to the physical sciences, by providing
# N-dimensional variants of the core pandas data structures.
# Our approach adopts the Common Data Model for self- describing scientific
# data in widespread use in the Earth sciences: xarray.Dataset is an in-memory
# representation of a netCDF file.
# http://xarray.pydata.org/en/stable/
# import all function from the 'xarray' module available with the prefix 'xr'
import xarray as xr
# The matplotlib basemap toolkit is a library for plotting 2D data on maps in
# Python. ... Basemap is geared toward the needs of earth scientists,
# particularly oceanographers and meteorologists.
# https://matplotlib.org/basemap/index.html
# The 'copy' module provides generic ... copy operations."
# "Assignment statements in Python do not copy objects, they create
# bindings [pointers] between a target and an object." "... a copy is
# sometimes needed so one can change one copy without changing the other."
# https://docs.python.org/2/library/copy.html
# import the 'deepcopy' function from the 'copy' module
from copy import deepcopy
# Modules to display images in Jupyter notebooks:
from IPython.display import Image
from IPython.core.display import HTML
```

### 1.2.1 Load the ECCO Version 4 Python package

The *ecco\_v4\_py* is a Python package written specifically for working with the netcdf output provided in the netcles\_monthly directory of the ECCO v4 release

See the "Getting Started" page in the tutorial for instructions about installing the *ecco\_v4\_py* module on your machine.

The syntax *import X package as Y* allows you to access all of the subroutines and other objects in X by typing *Y.some\_routine\_in\_X*. Here, we import ecco\_v4\_py as ecco because it is less to type than *ecco\_v4\_py* and by doing so we can access many subroutines spread out over several modules within the *ecco\_v4\_py* package.

## 1.3 Load a single netCDF grid tile file

To load ECCO v4's netcdf files we will use the *open\_dataset* command from the xarray Python package. *open\_dataset* creates a **Dataset** object and loads the contents of the netcdf file, including its metadata, into a data structure.

Let's open the grid file for *tile 3* (North East Atlantic Ocean), of the 13 ECCO v4 llc90 grid files. Change data\_dir to match the location of your nctiles\_grid directory.

## 1.4 The Dataset object

According to the xarray documentation, a Dataset is a "Python object designed as an "in-memory representation of the data model from the netCDF file format."

What does that mean? netCDF files are *self-describing* in the sense that they include information about the data they contain. When Dataset objects are created by loading a netCDF file they contain all of the same data and metadata provided by the netCDF file.

Just as a netCDF file can contain many variables, a Dataset object can contain many variables. These variables are referred to as Data Variables in the xarray nomenclature.

Dataset objects contain three main classes of fields:

- 1. **Coordinates**: indices and labels for all of the coordinates used by all data variables
- 2. **Data Variables**: DataArray objects which contain numerical arrays, their coordinates, coordinate labels, and variable-specific metadata
- 3. Attributes: metadata

Now that we've loaded GRID.0003.nc into the ds Dataset object, let's examine its contents.

**Note:** You can get information about objects and their contents by typing the name of the variable and hitting **enter** in an interactive session of an IDE such as Spyder or a Jupyter notebook.

```
In [100]: ds
Out[100]: <xarray.Dataset>
          Dimensions: (i1: 50, i2: 90, i3: 90)
          Coordinates:
                       (i1) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
            * i1
            * i2
                       (i2) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
                       (i3) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
            * i3
          Data variables:
              hFacC
                       (i1, i2, i3) float64 ...
              hFacW
                       (i1, i2, i3) float64 ...
                       (i1, i2, i3) float64 ...
              hFacS
              XC
                       (i2, i3) float64 ...
              YC
                       (i2, i3) float64 ...
                       (i2, i3) float64 ...
              XG
```

```
YG
             (i2, i3) float64 ...
    RAC
             (i2, i3) float64 ...
    RAZ
             (i2, i3) float64 ...
    DXC
             (i2, i3) float64 ...
    DYC
             (i2, i3) float64 ...
             (i2, i3) float64 ...
    DXG
    DYG
             (i2, i3) float64 ...
    Depth
             (i2, i3) float64 ...
    AngleCS
             (i2, i3) float64 ...
    AngleSN
             (i2, i3) float64 ...
    RC
             (i1) float64 ...
    RF
             (i1) float64 ...
    DRC
             (i1) float64 ...
    DRF
             (i1) float64 ...
Attributes:
                    C-grid parameters (see MITgcm documentation for details)...
    description:
    A:
                    :Format
                                  = native grid (nctiles w. 13 tiles)
    B:
                    :source
                                  = ECCO consortium (http://ecco-group.org/)
    C:
                     :institution = JPL/UT/MIT/AER
    D:
                                  = files revision history :
                     :history
    E:
                                        04/20/2017: fill in geometry info for ...
                                        11/06/2016: third release of ECCO v4 (...
    F:
    G:
                                    estimates revision history (from second re...
                                        employs bi-harmonic viscosity (enhance...
    H:
    T:
                                        sea-ice parameters, updated or novel o...
                                        GRACE OBP, Aquarius SSS, global mean s...
    J:
    K:
                                        time-series, extended and/or expanded ...
    L:
                                        revised weights including data and con...
                                        to account for grid-size variation and...
    M:
    N:
                                        separate time-mean and time-variable d...
                                        and controls, sea-ice costs, and initi...
    0:
    P:
                                        additional controls.\n
    Q:
                    :references = Forget, G., J.-M. Campin, P. Heimbach, C. ...
    R:
                                     and C. Wunsch, 2015: ECCO version 4: an i...
                                     non-linear inverse modeling and global oc...
    S:
    T:
                                     Geoscientific Model Development, 8, 3071-...
                                    Forget, G., J.-M. Campin, P. Heimbach, C. ...
    U:
    V:
                                     ECCO version 4: Second Release, 2016, htt...
    W:
                    file created using gcmfaces_IO/write2nctiles.m
    date:
                    21-Apr-2017
    Conventions:
                    CF-1.6
    _FillValue:
                    nan
    missing_value:
                    nan
```

## 1.4.1 Examining the Dataset object contents

Let's go through ds piece by piece, starting from the top.

## 

The top line tells us what type of object the variable is. In this case ds is an instance of the Dataset object from the xarray package.

```
2. Dimensions Dimensions: (i1: 50, i2: 90, i3: 90)
```

The *Dimensions* list shows all of the different dimensions used by all of the different arrays stored in the netCDF file (and now loaded in the Dataset object.)

Arrays may use any combination of these dimensions. In the case of the netcdf ECCO grid file that we've loaded, there are 1D, 2D, and 3D arrays.

The names and lengths of the three dimensions is given by: (i1: 50, i2: 90, i3: 90). There are 50 vertical levels in the ECCO v4 model grid so the i1 obviously corresponds to the vertical dimension while i2 | and i3 correspond to the horizontal dimensions.

**Note:** Each tile in the llc90 grid used by ECCO v4 has 90x90 horizontal grid points. That's where the "90: in llc**90** comes from!

#### 3. Coordinates

#### Coordinates:

```
i1 (i1) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
i2 (i2) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
i3 (i3) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
```

**i1**, **i2**, and **i3** are the coordinates, which are Python dictionaries of arrays whose values *label* each point. They are used for label-based indexing and alignment.

In this case, the *coordinates* of each dimension consist of *labels* [1, 2, ...n], where n is the length of the dimension:

```
Dim i1: array([ 1., 2., ..., 50.])
Dim i2 and i3: array([ 1., 2., ..., 90.])
```

**Note:** Actually these coordinates are **Dimension coordinates** which are one dimensional coordinates (marked by an asterix "\*" when printing a dataset or data array). Don't worry what that means for now, we'll return to the topic in a later tutorial.

#### 4. Data Variables

## Data variables:

```
hFacC (i1, i2, i3) float64 ...
hFacW (i1, i2, i3) float64 ...
hFacS (i1, i2, i3) float64 ...

XC (i2, i3) float64 ...

YC (i2, i3) float64 ...

RC (i1) float64 ...

RF (i1) float64 ...
```

The Data Variables are one or more xarray.DataArray objects. DataArray objects are labeled, multi-dimensional arrays that may also contain metadata (attributes). DataArray objects are very important to understand because they are container objects that containing the actual numerical arrays for the different ECCO variables. We'll investigate these objects in more detail after finishing with the Dataset object.

A subset of all Data variables in ds are shown above to demonstrate that in this netcdf grid file there are variables with three different dimension combinations: 3D with dimensions (i1, i2, i3), 2D with dimensions (i2, i3), and 1D with dimensions (i1)

Here, the 1D variables only have values in the **i1** (vertical) dimension, the 2D variables only have values in the **i2** and **i3** (horizontal) dimensions, and the 3D variables have values in all three dimensions. All of these variables are of type 64 bit float.

**Note:** All ECCO v4 netcdf grid files include a number of grid parameters. Of these, 3 are 3D, 13 are 2D, and 4 are 1D. The 3D grid parameters vary with horizontal location and depth (e.g., **hFacC**, the vertical fraction of a grid cell of the Arakawa-C grid tracer 'c' point). The 2D grid parameters only vary with horizontal location and are therefore independent of depth (e.g., **XC** the longitude of the tracer 'c' point). The 1D grid parameters only vary with depth and are therefore independent of horizontal location (e.g., **RF** the height of a tracer 'c' grid cell). The meaning of all MITgcm grid parameters is described in section 2.10.5 of the MITgcm documentation.

#### 5. Attributes

Attributes:

```
description:
                C-grid parameters (see MITgcm documentation for details)....
A:
                 :Format
                              = native grid (nctiles w. 13 tiles)
                              = ECCO consortium (http://ecco-group.org/)
B:
                 :source
C:
                 :institution = JPL/UT/MIT/AER
D:
                              = files revision history :
                 :history
                                    04/20/2017: fill in geometry info for ...
                                    11/06/2016: third release of ECCO v4 (...
F:
. . .
W:
                file created using gcmfaces_IO/write2nctiles.m
                21-Apr-2017
date:
                CF-1.6
Conventions:
_FillValue:
                nan
missing_value:
                nan
```

The attrs variable is a Python dictionary object containing metadata or any auxilliary information.

Metadata is presented as a set of dictionary key-value pairs. Here the keys are *description*, *A*, *B*, ... *missing\_value*. while the values are the corresponding text and non-text values.

To see the metadata value associated with the metadata "Conventions" key we type:

```
In [101]: print ds.attrs['Conventions']
CF-1.6
```

The resulting "CF-1.6" tells us that ECCO netcdf output conforms to the **Climate and Forecast Conventions version 1.6**.

## 1.4.2 Map of the Dataset Object

Taking a big step back we can now imagine the Dataset object using the following diagram:

We see that a Dataset object is a really *container* comprised of (actually pointing to) a set of other objects.

- dims: A dict that maps dimension names with dimension lengths
- coords: A dict that maps dimension names (e.g,. i1, i2, i3) with arrays that label each point in the dimension
- One or more Data Variables that are pointers to DataArray Objects
- attrs A dict that maps different attribute names with the attributes themselves.

## 1.5 The DataArray Object

It is worth looking at the DataArray object in more detail because these containers actually store the arrays that we will be using when analyzing ECCO output. Please see the xarray documentation on the DataArray object for more information.

DataArray objects are actually very similar to Dataset objects. Like Dataset objects they also contain dimensions, coordinates, and attributes. The biggest difference is that they have a **name**, a string that identifies the name of the variable, and an array of **values**. The **values** array is a numpy array.

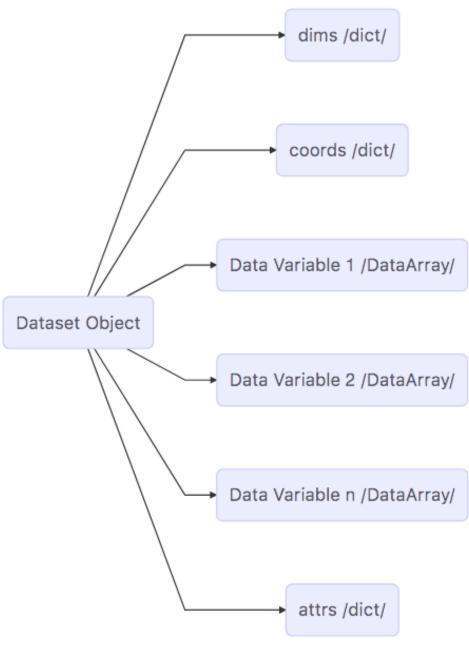
## 1.5.1 Examining the DataArray Object contents

Let's examine the contents of one DataArray found in ds, XC:

```
In [102]: ds.XC
Out[102]: <xarray.DataArray 'XC' (i2: 90, i3: 90)>
          array([[-37.5
                           , -36.5 , -35.5
                                                                  , 50.5
                                                                                   51.5
                                                           49.5
                                      , -35.5
                                                   , ..., 49.5
                                                                    , 50.5
                 [-37.5]
                           , -36.5
                                                                                   51.5
                           , -36.5
                                      , -35.5
                                                                    , 50.5
                                                                                   51.5
                 [-37.5
                                                          49.5
                 [-37.730072, -37.178291, -36.597565, ..., 50.597565, 51.178291,
                  51.730072],
                 [-37.771988, -37.291943, -36.764027, \ldots, 50.764027,
                                                                      51.291943,
                  51.771988],
                 [-37.837925, -37.44421, -36.968143, \ldots, 50.968143, 51.44421,
                  51.837925]])
          Coordinates:
                      (i2) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
           * i2
                      (i3) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
           * i3
         Attributes:
             long_name: longitude
             units:
                         degrees_east
```

],

],



DataArray-diagram

## 1.5.2 Examining the DataArray Object contents

The layout of the contents of DataArray objects is similar to those of Dataset objects which makes it easier to understand the meaning of some of its fields. Let's go through ds.XC piece by piece, starting from the top.

## 

This is indeed a DataArray object from the xarray package.

Note: You can also find the type of an object with the type command: print type(ds.XC)

## 2. Object Name XC

The top line tells us what type of object the variable is. In this case ds is an instance of the Dataset

## **3. Dimensions** Dimensions: (i2: 90, i3: 90)

Unlike the ds object, the XC DataArray only has two dimensions, **i2** and **i3**. This makes sense since the longitude of the grid cell centers only vary with horizontal location.

### 4. Array

```
, -36.5
                          , -35.5
                                                         , 50.5
array([[-37.5
                                        , ..., 49.5
                                                                       51.5
                                                                                ],
      [-37.5
                , -36.5
                                                         , 50.5
                                                                       51.5
                           , -35.5
                                        , ..., 49.5
                                                                                ],
      [-37.5
                , -36.5
                             , -35.5
                                        , ..., 49.5
                                                           50.5
                                                                       51.5
                                                                                ],
      [-37.730072, -37.178291, -36.597565, \ldots, 50.597565, 51.178291,
        51.730072],
      [-37.771988, -37.291943, -36.764027, \ldots, 50.764027, 51.291943,
        51.771988],
      [-37.837925, -37.44421, -36.968143, \ldots, 50.968143, 51.44421,
        51.837925]])
```

Unlike the Dataset object there are no Data Variables. Instead, we find an **array** of values. Python prints out a subset of the array.

DataArray objects store only one array while DataSet objects store one or more DataArrays.

#### 4. Coordinates

### Coordinates:

```
i2 (i2) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ... i3 (i3) float64 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0 11.0 12.0 ...
```

We find two 1D arrays with coordinate labels for i2 and i3.

#### 5. Attributes

Attributes:

long\_name: longitude
units: degrees\_east

The XC variable has a long\_name (longitude) and units (degrees\_east). Of course, this metadata comes from the netCDF file.

### 1.5.3 Accessing the numpy array stored in a DataArray object

To access the numpy array storing the values of the variable in the DataArray object we access its values field as follows,

```
In [103]: ds.XC.values
Out[103]: array([[-37.5
                         , -36.5
                                        , -35.5
                                                   , ..., 49.5
                          , 51.5
                 50.5
                                        ],
                                                     , ..., 49.5
               [-37.5
                           , -36.5
                                       , -35.5
                           , 51.5
                 50.5
                                        ],
                           , -36.5
                                        , -35.5
               [-37.5
                                                   , ..., 49.5
                           , 51.5
                 50.5
               [-37.73007202, -37.17829132, -36.5975647, ..., 50.5975647,
                 51.17829132, 51.73007202],
               [-37.77198792, -37.2919426, -36.76402664, \ldots, 50.76402664,
                 51.2919426 , 51.77198792],
               [-37.83792496, -37.44421005, -36.96814346, \ldots, 50.96814346,
                 51.44421005, 51.83792496]])
```

The array that is returned is a numpy n-dimensional array:

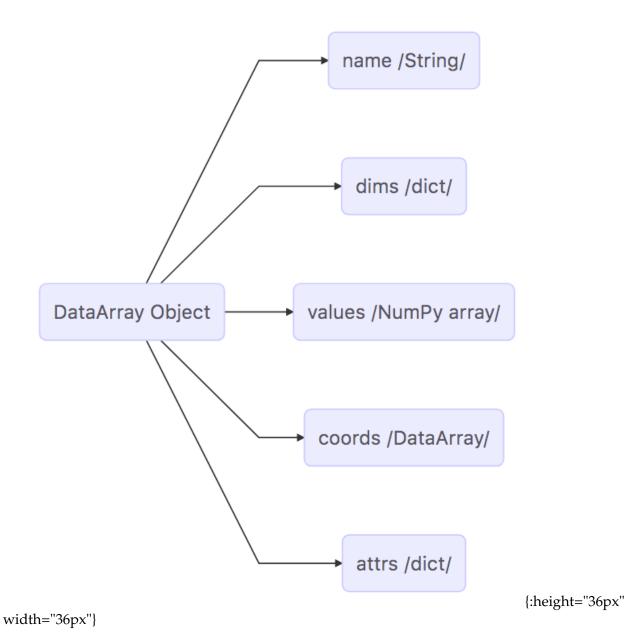
```
In [104]: type(ds.XC.values)
Out[104]: numpy.ndarray
```

Being a numpy array, one can use all of the numerical operations provided by the numpy module on it. > \*\* Note: \*\* You may find it useful to learn about the operations that can be made on numpy arrays. Here is a quickstart guide: https://docs.scipy.org/doc/numpy-dev/user/quickstart.html

We'll learn more about how to access the values of this array in a later tutorial. For now it is sufficient to know where to find the arrays!

### 1.5.4 Map of the DataArray Object

Taking another big step back we can now understand the layout of the DataArray object:



# 1.6 Conclusion

Now you know the basics of the Dataset and DataArray objects that will store the ECCO v4 grid and variables. Now that you're oriented, go back and take another look at the contents of the grid ds object that we originally loaded!