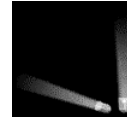
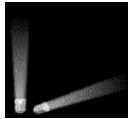


## Tournament Trees



Winner trees.

Loser Trees.

## Winner Trees

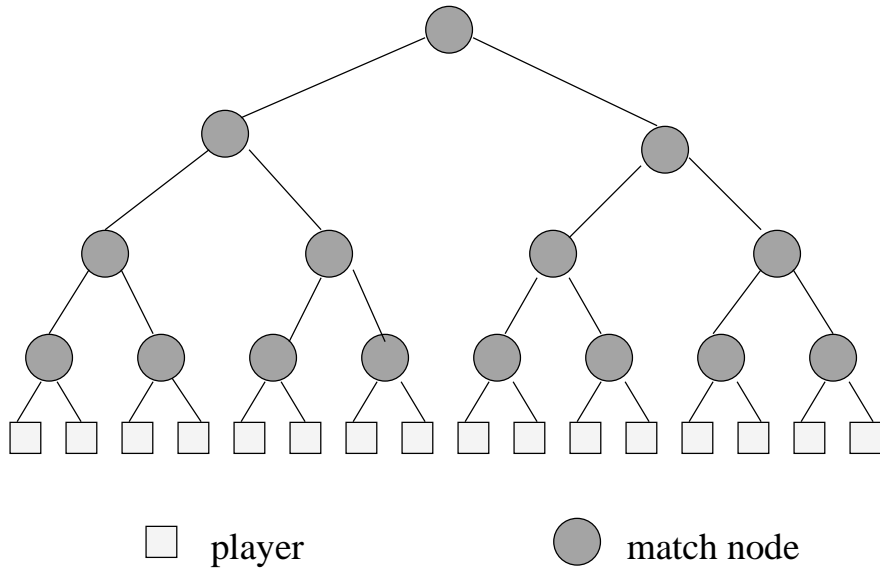
Complete binary tree with  $n$  external nodes  
and  $n - 1$  internal nodes.

External nodes represent tournament players.

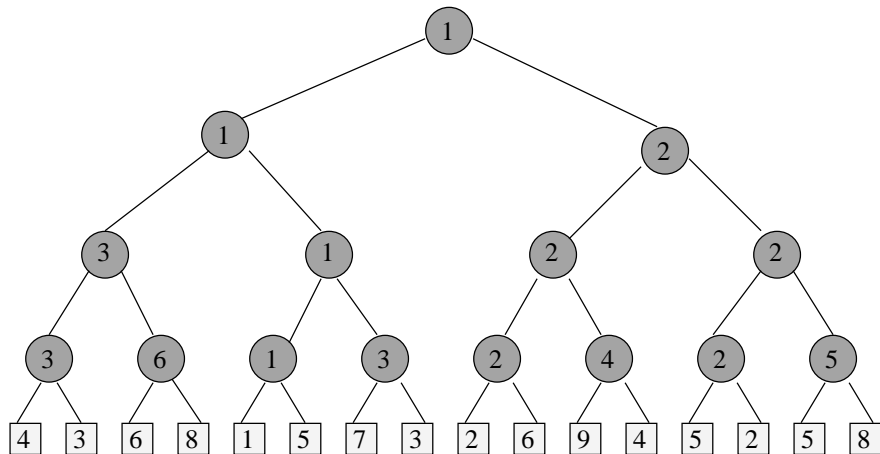
Each internal node represents a match played  
between its two children; the winner of the match  
is stored at the internal node.

Root has overall winner.

## Winner Tree For 16 Players

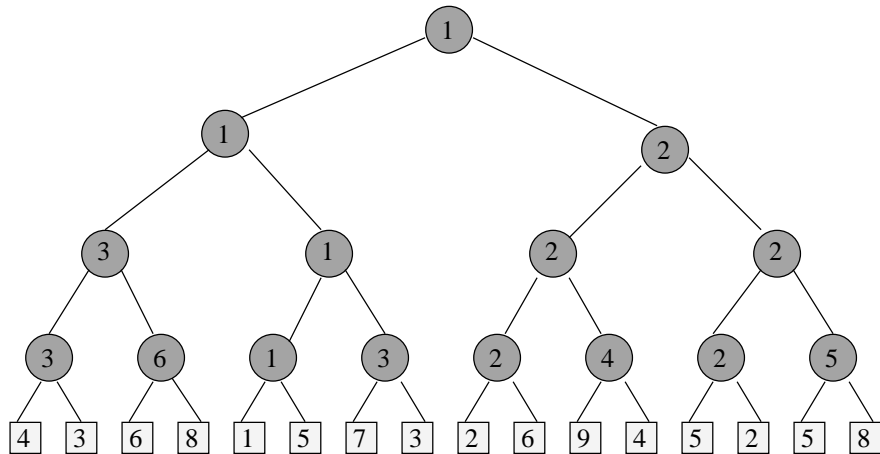


## Winner Tree For 16 Players



Smaller element wins => min winner tree.

## Winner Tree For 16 Players



height is  $\log_2 n$  (excludes player level)

## Complexity of Initialize

- $O(1)$  time to play match at each match node.
- $n - 1$  match nodes.
- $O(n)$  time to initialize  $n$  player winner tree.

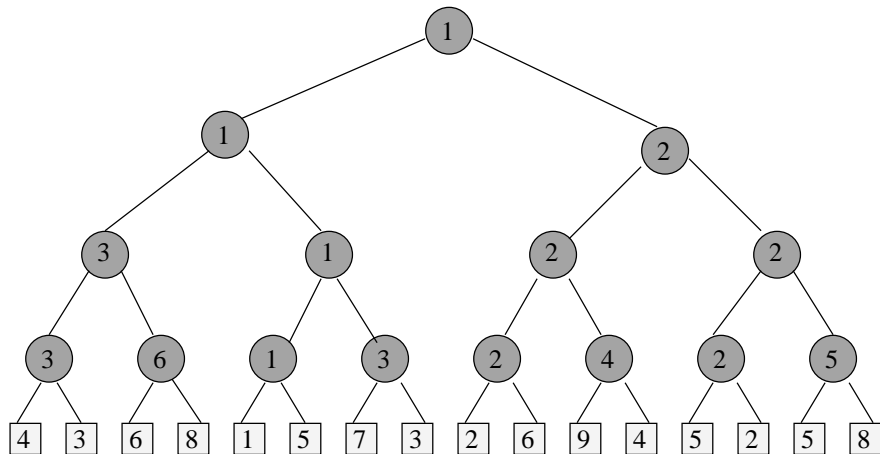
# Applications

Sorting.

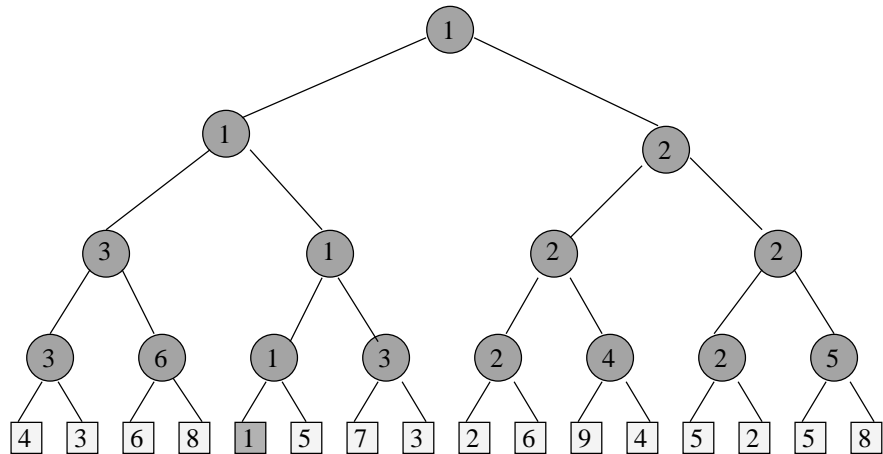
Put elements to be sorted into a winner tree.

Repeatedly extract the winner and replace by a large value.

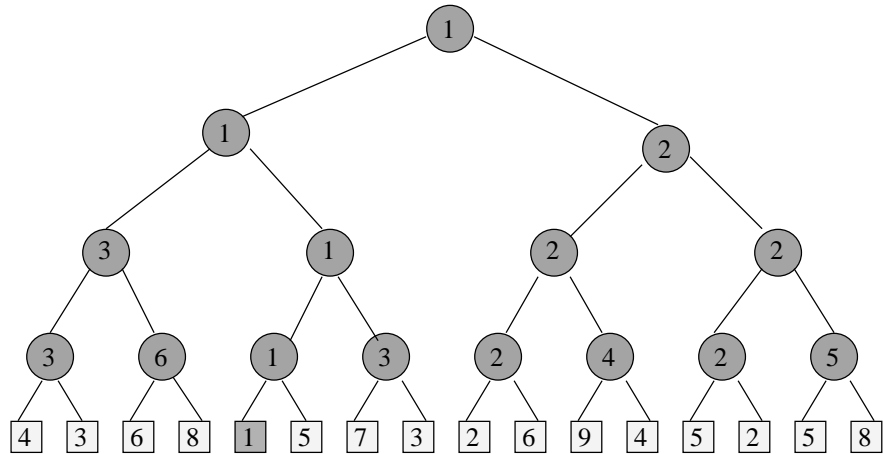
## Sort 16 Numbers



# Sort 16 Numbers

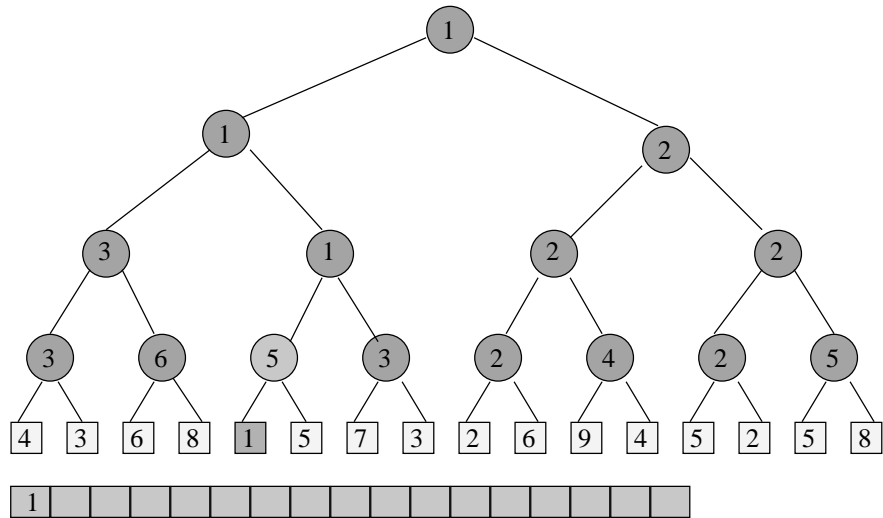


# Sort 16 Numbers



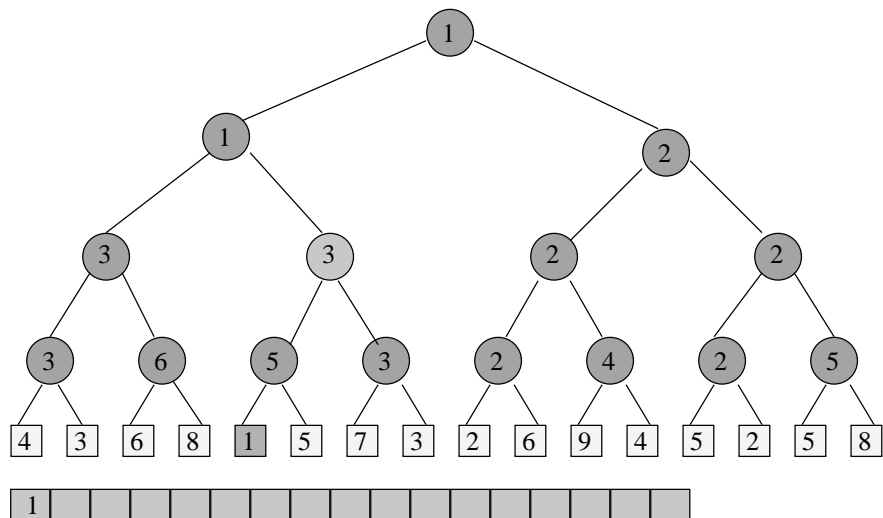
Sorted array.

# Sort 16 Numbers



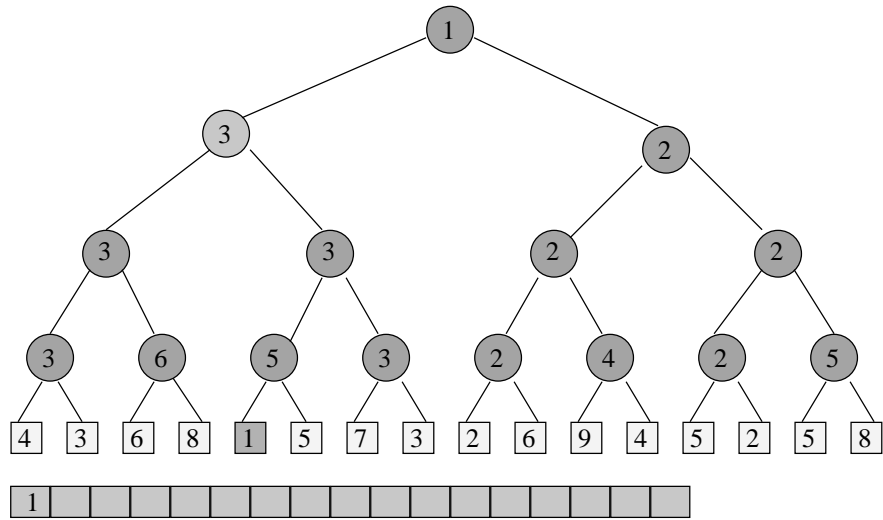
Sorted array.

# Sort 16 Numbers

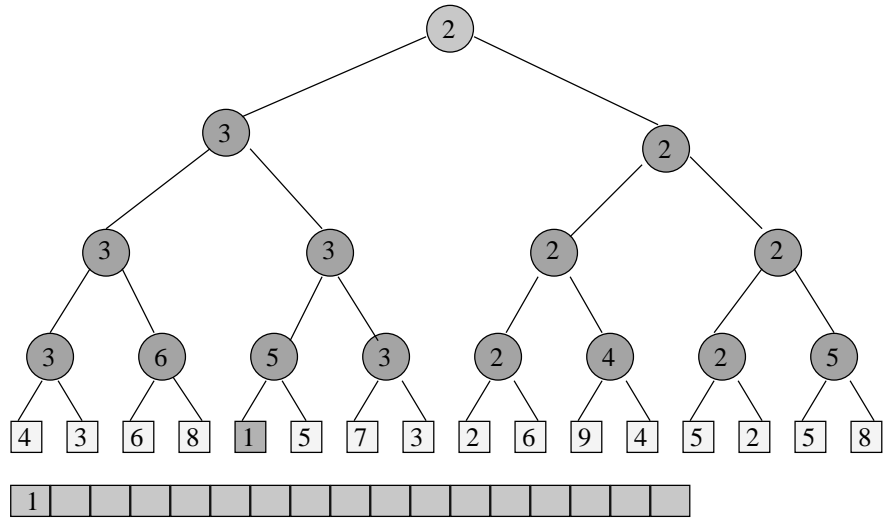


Sorted array.

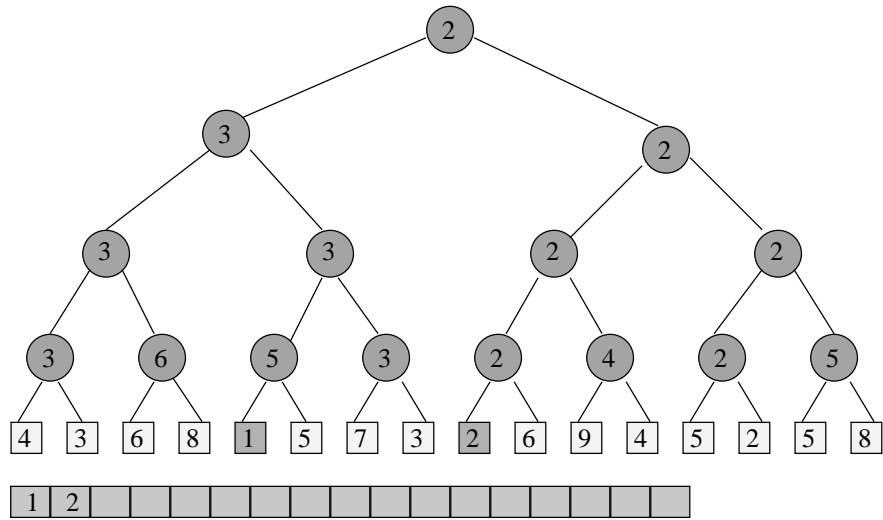
# Sort 16 Numbers



# Sort 16 Numbers

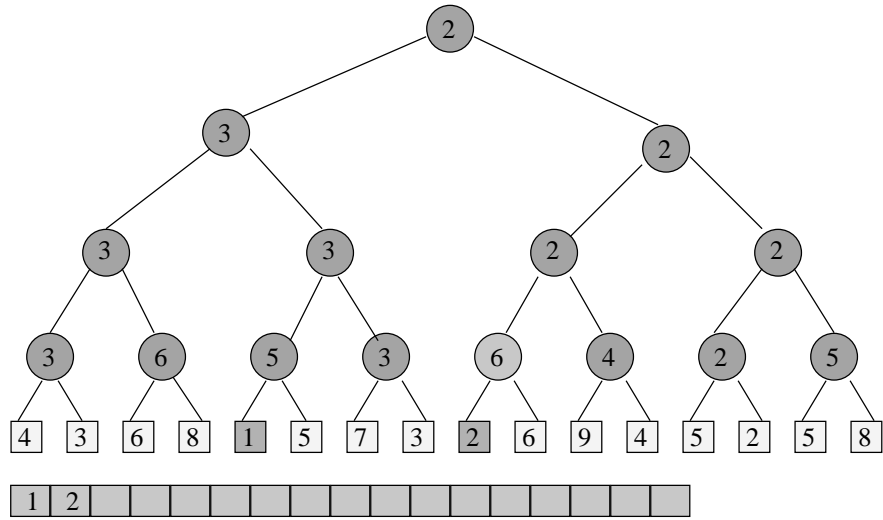


# Sort 16 Numbers



Sorted array.

# Sort 16 Numbers

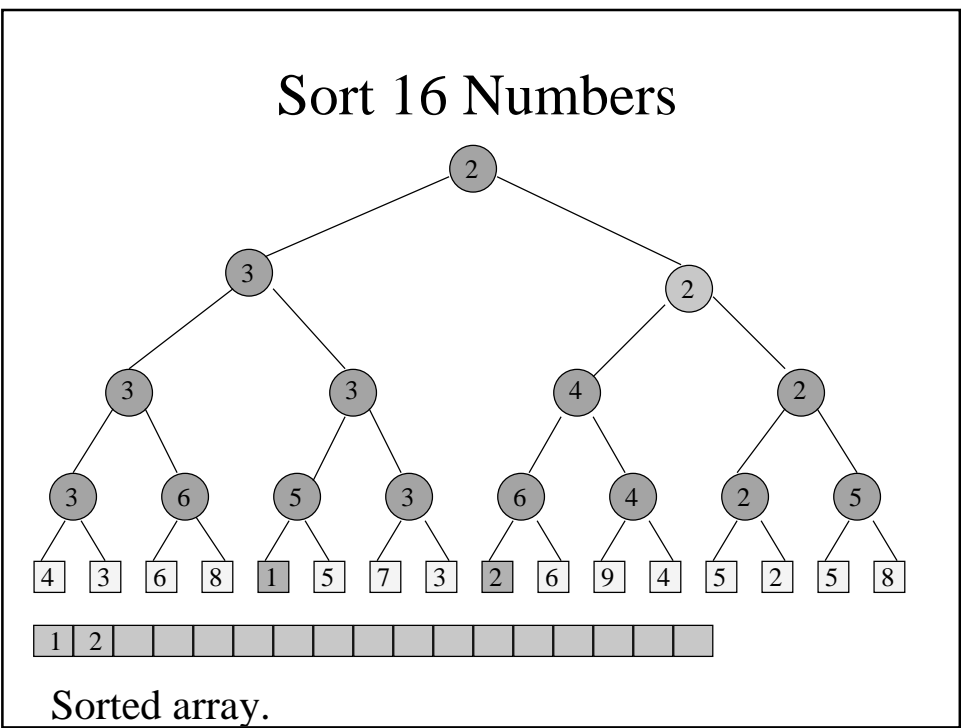


Sorted array.

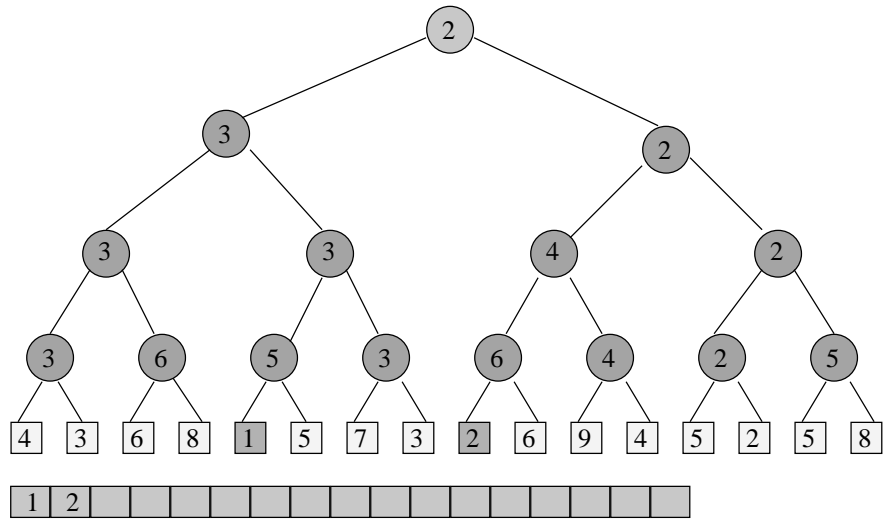


# Sort 16 Numbers

Sorted array.

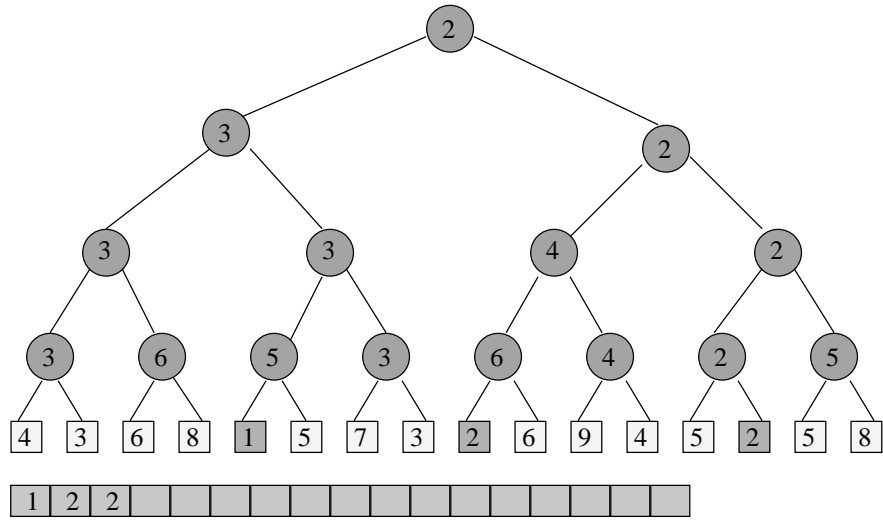


# Sort 16 Numbers



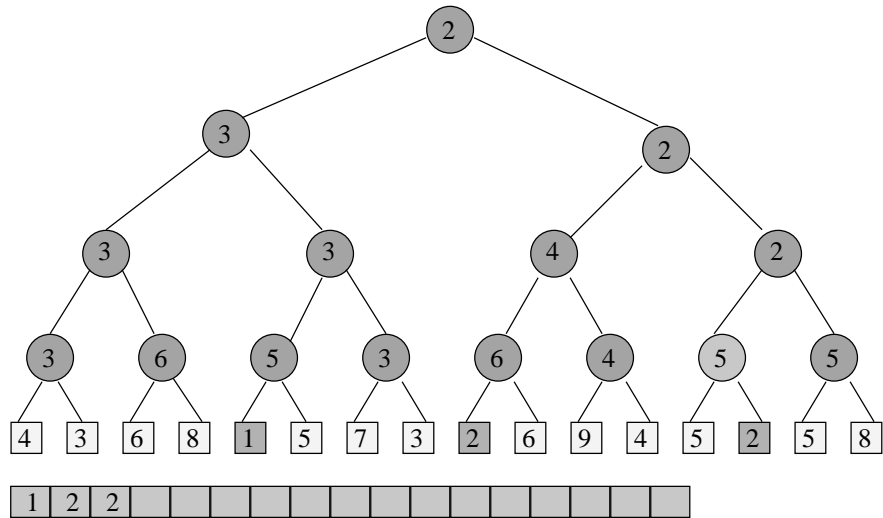
Sorted array.

# Sort 16 Numbers



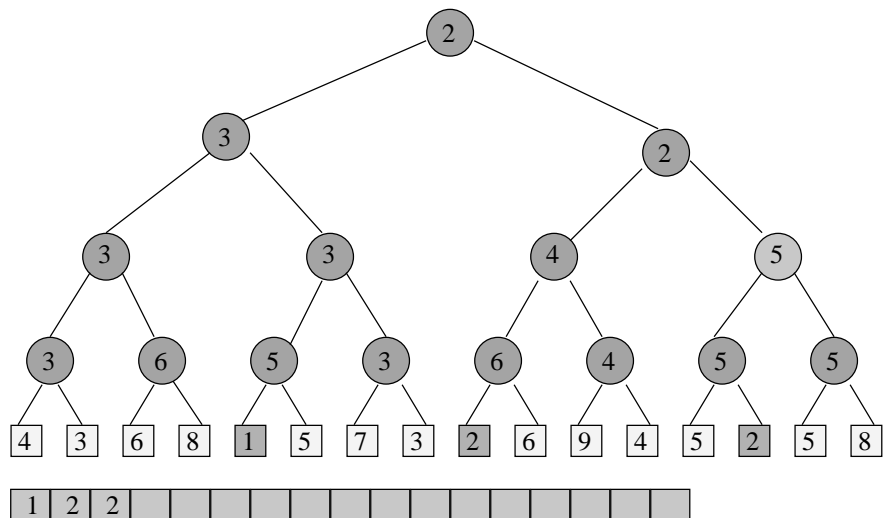
Sorted array.

# Sort 16 Numbers



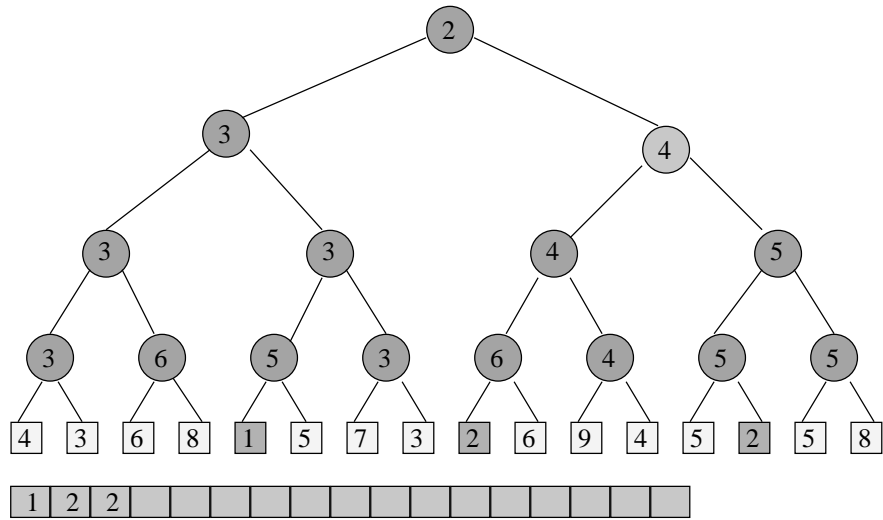
Sorted array.

# Sort 16 Numbers



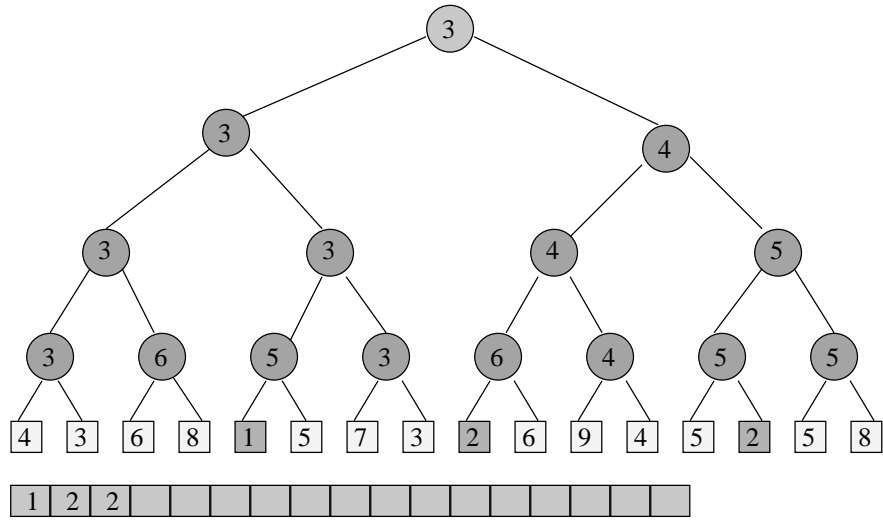
Sorted array.

# Sort 16 Numbers



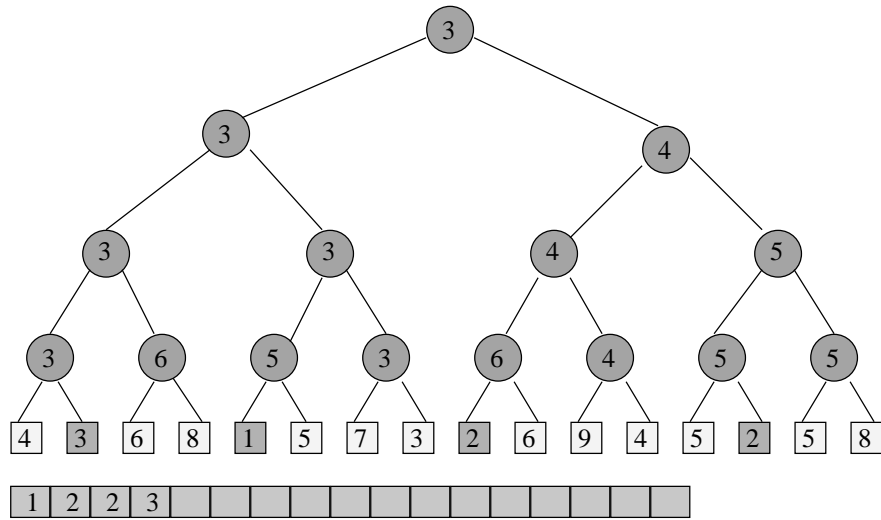
Sorted array.

# Sort 16 Numbers



Sorted array.

## Sort 16 Numbers



Sorted array.

## Time To Sort

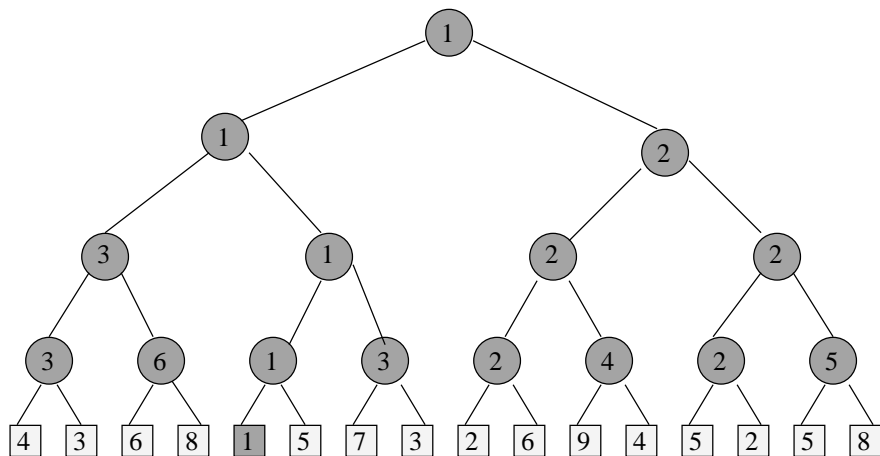


- Initialize winner tree.
  - $O(n)$  time
- Remove winner and replay.
  - $O(\log n)$  time
- Remove winner and replay  $n$  times.
  - $O(n \log n)$  time
- Total sort time is  $O(n \log n)$ .
- Actually  $\Theta(n \log n)$ .

# Winner Tree Operations

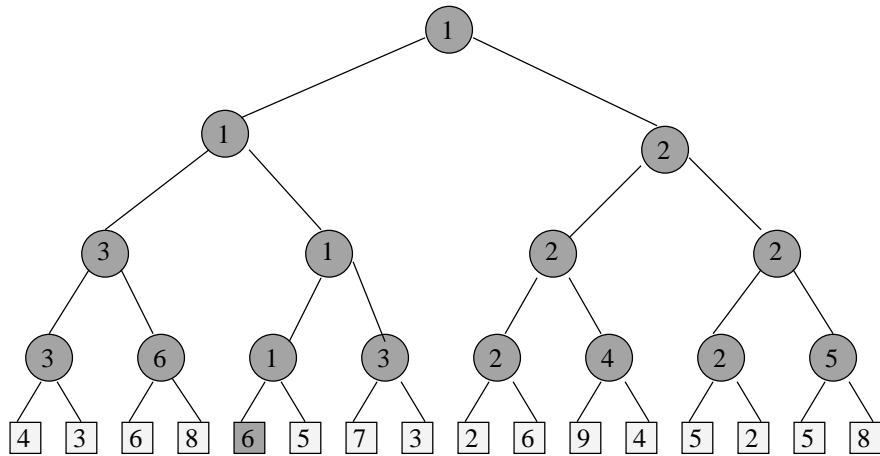
- Initialize
  - $O(n)$  time
- Get winner
  - $O(1)$  time
- Remove winner and replay
  - $O(\log n)$  time
  - more precisely  $\Theta(\log n)$

## Replace Winner And Replay



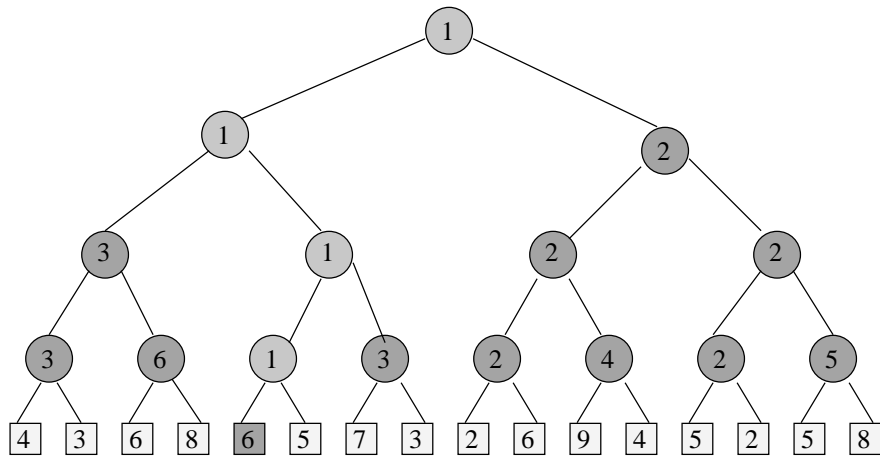
Replace winner with 6.

## Replace Winner And Replay



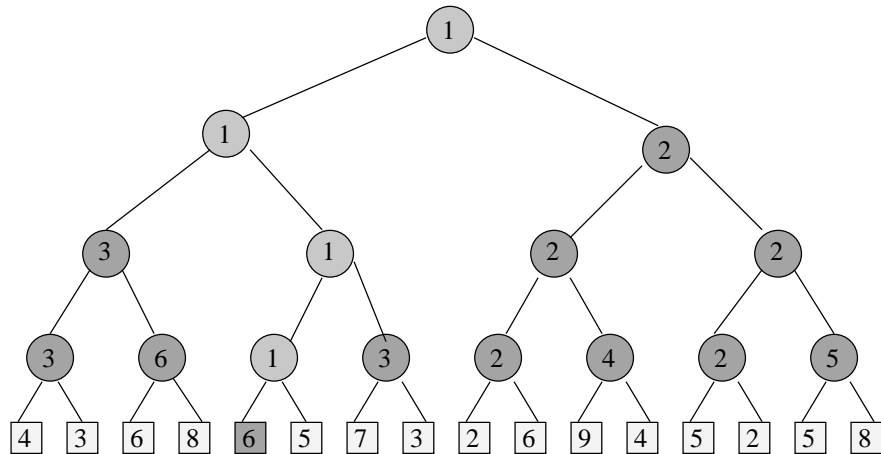
Replay matches on path to root.

## Replace Winner And Replay



Replay matches on path to root.

## Replace Winner And Replay



Opponent is player who lost last match played at this node.

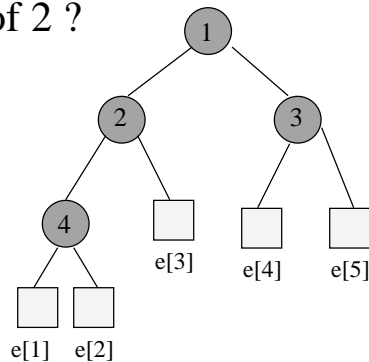
## Loser Tree

- Each match node stores the match loser rather than the match winner.
- We will skip Loser Tree.
- Read Section 10.4



What if  $n$  is not a power of 2 ?

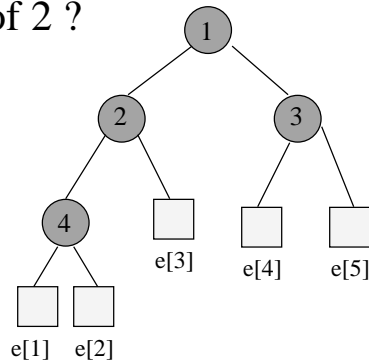
- $n=5$  players
  - $(n-1)$  internal tree nodes
- (Property of Complete Binary Tree)



- The leftmost internal node at the lowest level is numbered  $2^s$  where  $s = \lfloor \log(n-1) \rfloor$
- # of internal nodes at the lowest level =  $(n - 2^s)$
- # of external nodes at the lowest level =  $\text{LowExt} = 2 * (n - 2^s)$

What if  $n$  is not a power of 2 ?

- $n=5$  players
  - $(n-1)$  internal tree nodes
- (Property of Complete Binary Tree)



- The leftmost external node at the 2nd lowest level is numbered  $(\text{LowExt} + 1)$
- For any external node  $e[i]$ , its parent index  $p$  is given by:
 
$$p = 2^s + (i-1)/2 \quad \text{if } i \leq \text{LowExt}$$

$$p = (i - \text{LowExt} + n - 1)/2 \quad \text{if } i > \text{LowExt}$$

## Winner Tree Applications

- Bin Packing Problem
- Truck loading
  - $n$  packages to be loaded into trucks
  - each package has a weight
  - each truck has a capacity of  $c$  tons
  - minimize number of trucks

## Truck Loading



- $n$  packages to be loaded into trucks
- each package has a weight
- each truck has a capacity of  $c$  tons
- minimize number of trucks

## Truck Loading

$n = 5$  packages

weights [2, 5, 6, 3, 4]

truck capacity  $c = 10$

Load packages from left to right. If a package doesn't fit into current truck, start loading a new truck.

## Truck Loading

$n = 5$  packages

weights [2, 5, 6, 3, 4]

truck capacity  $c = 10$

truck1 = [2, 5]

truck2 = [6, 3]

truck3 = [4]

uses 3 trucks when 2 trucks suffice

## Truck Loading

$n = 5$  packages

weights  $[2, 5, 6, 3, 4]$

truck capacity  $c = 10$

truck1 =  $[2, 5, 3]$

truck2 =  $[6, 4]$

## Bin Packing

- $n$  items to be packed into bins
- each item has a size
- each bin has a capacity of  $c$  tons
- minimize number of bins

# Bin Packing

Truck loading is same as bin packing.

Truck is a bin that is to be packed (loaded).

Package is an item/element.

Bin packing to minimize number of bins is NP-hard.

Several fast heuristics have been proposed.

## Bin Packing Heuristics

- First Fit.
  - Bins are arranged in left to right order.
  - Items are packed one at a time in given order.
  - Current item is packed into leftmost bin into which it fits.
  - If there is no bin into which current item fits, start a new bin.

## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10



Pack red item into first bin.

## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10



Pack blue item next.

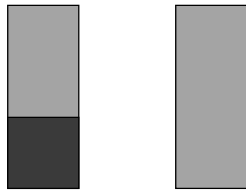
Doesn't fit, so start a new bin.

## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10

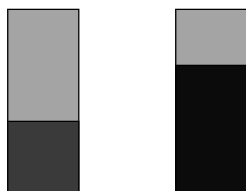


## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10



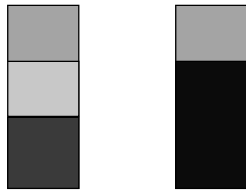
Pack yellow item into first bin.

## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10



Pack green item.

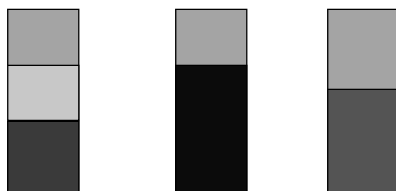
Need a new bin.

## First Fit

$n = 4$

weights = [4, 7, 3, 6]

capacity = 10



Not optimal.

2 bins suffice.



## Bin Packing Heuristics

- First Fit Decreasing.
  - Items are sorted into decreasing order.
  - Then first fit is applied.

*(Can this one find the optimal solution  
for the previous example?)*

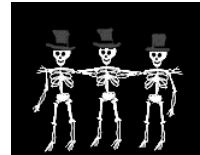
## Bin Packing Heuristics

- Best Fit.
  - Items are packed one at a time in given order.
  - To determine the bin for an item, first determine set  $S$  of bins into which the item fits.
  - If  $S$  is empty, then start a new bin and put item into this new bin.
  - Otherwise, pack into bin of  $S$  that has least available capacity.

# Bin Packing Heuristics

- Best Fit Decreasing.
  - Items are sorted into decreasing order.
  - Then best fit is applied.

## Performance



- For first fit and best fit:
$$\text{Heuristic Bins} \leq (17/10)(\text{Minimum Bins}) + 2$$
- For first fit decreasing and best fit decreasing:
$$\text{Heuristic Bins} \leq (11/9)(\text{Minimum Bins}) + 4$$

[The proofs of these facts are laborious and can be found in two papers published in *J. of Combinatorial Theory* (1976) and *SIAM J. on Computing* (1974)].

# Complexity of First Fit

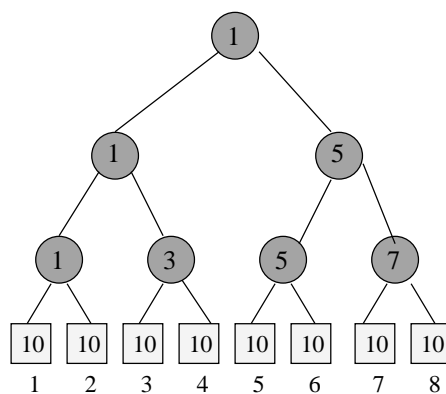


Use a max tournament tree in which the players are  $n$  bins and the value of a player is the available capacity in the bin.

$O(n \log n)$ , where  $n$  is the number of items.

## First Fit

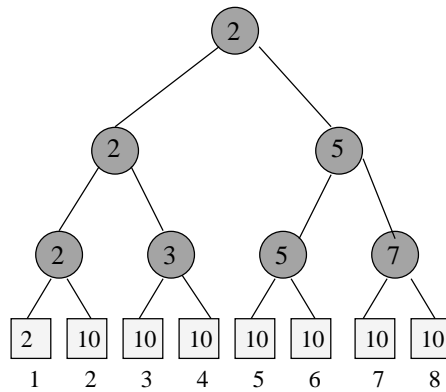
Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



Initial

### First Fit

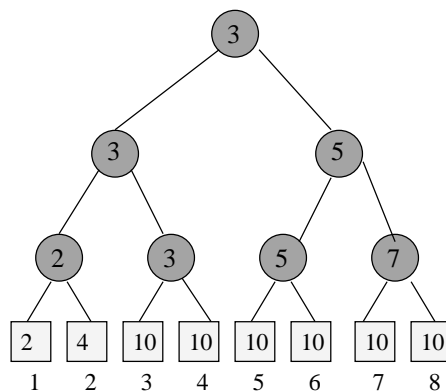
Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



After  $s[1]=8$  packed

### First Fit

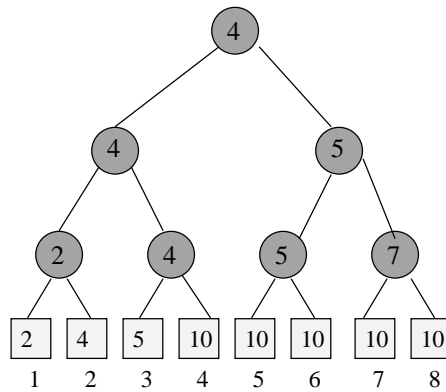
Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



After  $s[2]=6$  packed

### First Fit

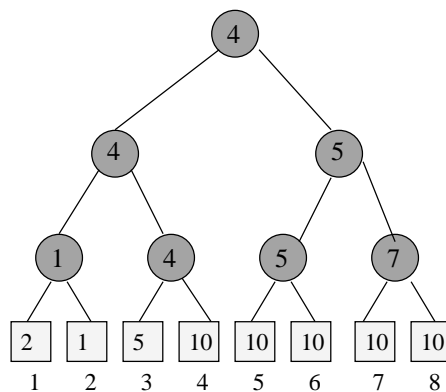
Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



After  $s[3]=5$  packed

### First Fit

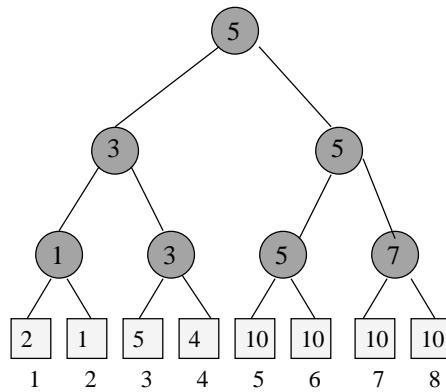
Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



After  $s[4]=3$  packed

## First Fit

Example:  $n=8$ ,  $c=10$ ,  $s[] = \{8, 6, 5, 3, 6, 4, 2, 7\}$



After  $s[5]=6$  packed

**Total no. of bins used = 4**      **TIME COMPLEXITY =  $O(n \log n)$**